

Appendix 3: Categories of misconduct and list of cases [posted as supplied by author]

The GMC provided standardised information on the types of professional misconduct found in the 'cases'. The categories and descriptions provided were as shown.

GMC categories	Category descriptions
Sub standard clinical practice and care	Sub-standard treatment Inappropriate/irresponsible prescribing Relations with colleagues Inadequate practice arrangements
Dysfunctional conduct	Improper sexual/emotional relationship Failure to report dysfunctional colleague
Dishonesty	False claims about effectiveness of treatment Research misconduct Financial fraud/deception False claims to qualifications/experience False certifications/false reporting
Dishonesty/criminality	Clinical drugs trials and research Assault/breach of the peace Murder/manslaughter Assault/violence/breach of peace Firearms offences Controlled substances offences Other/not specified
Sexual assault or indecency	Indecent behaviour Indecent assault
Treatment	Irresponsible prescribing Inadequate/inappropriate treatment Medical reports and records
Other complaints and enquiries	Medical reports/records issues
Other	Not specified
Unclear/irrational	Unknown

The case closure reasons listed by the GMC were:

- Erasure
- Erasure with immediate suspension
- Suspension
- Suspension with immediate effect
- Admonished/reprimanded
- Conditional registration
- Existing conditions remain
- New conditions
- Case Review
- CR Case Created
- Suspension removed: no longer impaired
- Not applicable

These categories were collapsed into six simpler groups and used to code the outcomes in the database:

1. Erasure or Erasure with immediate suspension
2. Suspension or Suspension with immediate effect
3. Admonished/reprimanded
4. Conditional registration, New conditions, Existing conditions remain
5. Case Review, CR Case Created
6. Suspension removed: no longer impaired; not applicable
7. Not applicable

Details of individual cases: misconduct categories and sanctions

Study ID	Category of misconduct	Description of misconduct	Case closure reason
282	Dishonesty	False certifications/false reporting	Admonished/reprimanded
296	Dishonesty	False claims to qualifications/experience	Suspension
121	Dishonesty	False claims to qualifications/experience	Admonished/reprimanded
231	Dishonesty	Research misconduct	Erasure
61	Dishonesty	False claims about effectiveness of treatment	Case Review
163	Dishonesty	Research misconduct	Suspension
155	Dishonesty	Research misconduct	Case Review
204	Dishonesty / Criminality	Other	Not Applicable (previous erasure)
171	Dishonesty / Criminality	Controlled substances offences	Case Review
172	Dishonesty / Criminality	Other	New conditions
191	Dishonesty / Criminality	Assault/breach of the peace	Erasure with immediate suspension
192	Dishonesty / Criminality	Other	Erasure with immediate suspension
113	Dishonesty / Criminality	Murder/manslaughter	Erasure with immediate suspension
202	Dishonesty / Criminality		Erasure with immediate suspension
111	Dishonesty / Criminality	Other	Erasure
108	Dishonesty / Criminality		Case Review
107	Dishonesty / Criminality	Firearms offences	Erasure
40	Dishonesty / Criminality	Controlled substances offences	Erasure
18	Dishonesty / Criminality		Erasure with immediate suspension
292	Dishonesty / Criminality + Personal behaviour/health	Other	Erasure with immediate suspension
241	Dishonesty/criminality	Clinical drugs trials and research	Conditional registration
213	Dysfunctional conduct	Improper sexual/emotional relationship	Erasure with immediate suspension
199	Dysfunctional conduct	Improper sexual/emotional relationship	Suspension with immediate effect
272	Dysfunctional conduct		Case Review
47	Dysfunctional conduct	Improper sexual/emotional relationship	Erasure
258	Dysfunctional conduct	Improper sexual/emotional relationship	Suspension removed: no longer impaired
24	Dysfunctional conduct	Failure to report dysfunctional colleague	Admonished/reprimanded
132	Other	Other	Admonished/reprimanded
264	Other	Other	Admonished/reprimanded

Continued overleaf

Study ID	Category of misconduct	Description of misconduct	Case closure reason
179	Other	Other	Admonished/reprimanded
32	Other complaints and enquiries	Medical reports/records issues	Admonished/reprimanded
161	Other complaints and enquiries	Medical reports/records issues	Conditional registration
50	Sexual assault or indecency	Indecent behaviour	Admonished/reprimanded
135	Sexual assault or indecency	Indecent assault	Erasure with immediate suspension
71	Sexual assault or indecency	Indecent behaviour	Erasure with immediate suspension
146	Sub standard clinical practice and care	Inappropriate/irresponsible prescribing	Erasure with immediate suspension
152	Sub standard clinical practice and care	Inadequate practice arrangements	Conditional registration
125	Sub standard clinical practice and care	Sub-standard treatment	Erasure with immediate suspension
289	Sub standard clinical practice and care	Inappropriate/irresponsible prescribing	Admonished/reprimanded
279	Sub standard clinical practice and care	Sub-standard treatment	Conditional registration
68	Sub standard clinical practice and care	Inappropriate/irresponsible prescribing	Erasure with immediate suspension
41	Sub standard clinical practice and care	Sub-standard treatment	New conditions
55	Sub standard clinical practice and care	Inappropriate/irresponsible prescribing	Conditional registration
112	Sub standard clinical practice and care	Relations with colleagues	Admonished/reprimanded
136	Sub standard clinical practice and care	Inappropriate/irresponsible prescribing	Admonished/reprimanded
116	Sub standard clinical practice and care	Sub-standard treatment	Case Review
269	Sub standard clinical practice and care	Sub-standard treatment	Admonished/reprimanded
223	Sub standard clinical practice and care	Sub-standard treatment	Case Review
242	Sub standard clinical practice and care	Inappropriate/irresponsible prescribing	Admonished/reprimanded
110	Sub standard clinical practice and care	Sub-standard treatment	Erasure with immediate suspension
218	Sub standard clinical practice and care	Sub-standard treatment	Admonished/reprimanded
109	Treatment	Consent issues	Admonished/reprimanded
250	Treatment	Inadequate/inappropriate treatment	Conditional registration
237	Treatment	Inadequate/inappropriate treatment	Admonished/reprimanded
14	Treatment	Inadequate/inappropriate treatment	New conditions
214	Treatment	Irresponsible prescribing	Erasure
181	Treatment	Medical reports and records	Conditional registration
30	Treatment	Medical reports and records	Admonished/reprimanded
147	Unclear/irrational	Unknown	Suspension