A randomised clinical trial comparing prednisone and azathioprine in myasthenia gravis. Results of the second interim analysis

MYASTHENIA GRAVIS CLINICAL STUDY GROUP

Abstract

From January 1983 to October 1990, 41 patients with generalised myasthenia gravis were randomly given either prednisone or azathioprine. The main goal was to record the time to the occurrence of the first episode of deterioration.

During a mean follow-up of 30 months, 21 patients showed deterioration, 12 in the prednisone group and nine in the azathioprine group (p = 0.40). No difference was observed between the two groups in muscular score and functional grade, assessed at the end of each treatment year, or in tolerance. Treatment failure occurred in 17 patients, 12 in the prednisone group and five in the azathioprine group (p = 0.02); even after adjustment for imbalances in prognostic features, the failure rate remained 2.8 times higher in the prednisone group than in the azathioprine group (p = 0.5). In the patients in whom treatment failed, symptoms were initially more severe than in the others, but the combination of prednisone and azathioprine resulted in clinical improvement, consisting of remission or only minor deficits in half of the patients after two years of treatment. These findings indicate that azathioprine increases treatment response compared with prednisone, although no difference in the duration of improvement was demonstrated. Nevertheless, it appears that the most severe forms of the disease, often resistant to prednisone or azathioprine alone, could benefit from the combination of both drugs.

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Over the past 25 years, the outcome of myasthenia gravis has improved dramatically. With the introduction of mechanical ventilation, steroids and therapeutic plasma exchange, the mortality related to myasthenia gravis has dropped to less than 10% and rapid improvement can be elicited even in the most severe forms of the disease.¹² Both long term improvement and remission rates have thus increased with the prolonged use of steroids or immunosuppressive drugs. Such estimates ranged from 72% to 92% in patients treated with steroids,³⁻⁸ whereas azathioprine, the immunosuppressive drug most often used, yielded a success rate of 70–90%.⁴⁹⁻¹¹ To maintain such favourable results, all published series indicated that these medications should be continued for many years. Unfortunately, adverse effects are likely to occur. Finally, there is no prospective randomised study available showing the superiority of any of these treatments with regard to their efficacy or side effects. The indications for steroids or immunosuppressants remain empirical and mainly influenced by their respective contraindications.

To define the optimal therapeutic strategy regarding the long term outcome of generalised myasthenia gravis, we compared two strategies using a prospective randomised design. One group received prednisone alone whereas the remaining patients were given azathioprine and prednisone for four months, and then azathioprine alone. A first interim analysis had been performed, using 1 June 1989 as the reference date, which showed similar results in the two treatment groups.¹² Accrual was terminated in June 1990 mainly because of the lower than expected recruitment rate. Follow up of all patients has been continued.

The results presented are those of the second interim analysis. They are based on 41 patients.

Patients and methods Experimental design

The study compared the long term effects of prednisone and azathioprine in patients with myasthenia gravis, who were randomly allocated between these two treatment groups. Because of the delayed response to azathioprine11 and of the need for a prompt improvement in symptoms and signs of myasthenia gravis, patients in the azathioprine group with severe disability also received prednisone for the first four months. We speculated that this combination would not influence the main outcome criteria or the long term outcome. If treatment failed (as defined later) after one year in either the prednisone or the azathioprine group, patients were taken off the protocol and they received a combination of the two drugs. This decision was motivated by the observations in many open trials showing

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Criteria for elegibility

The diagnosis of myasthenia gravis was based on a fluctuating deficit of skeletal or bulbar muscles, associated with seropositivity of antibodies to acetylcholine receptors or with a decremental amplitude of at least 20% of the fifth summed muscle potential compared with the first, under a 3-5 Hz stimulation. We included patients with severe myasthenia gravis, severity being defined by the persistence of at least one of the following criteria, despite treatment with cholinesterase inhibitors and correction of eventual aggravating factors: (a) swallowing impairment; (b) respiratory insufficiency requiring mechanical ventilation; (c) functional deficit responsible for discontinuation of occupational activity or important reduction of daily activity for at least one month.

Patients with at least one of the following criteria were excluded: (a) exclusive ocular myasthenia gravis; (b) contraindications to steroids or immunosuppressive drugs; (c) treatment with steroids or immunosuppressants in the past three months; (d) age under 15 or above 75 years; (e) pregnancy.

Initial assessment

Initial assessment included clinical examination, electromyography and measurement of the acetylcholine receptor titre. Severity of myasthenia gravis was assessed using a myasthenic muscle score (table 1) and a five-grade functional scale, defined as follows: 1—complete remission; 2—minor symptoms allowing normal activity, except for exertional activity;

Table 1 Muscle strength score

	Score (points)
Maintain upper limbs horizontally outstretched 1 Point per 10 s	Max. 15 Min. 0
Maintain lower limbs above bed plane, while lying 1 Point per 5 s	on back Max. 15 Min. 0
Raise head above bed plane, while lying on back Against resistance Without resistance Impossible	10 5 0
Sit up from lying position Without help of hands Impossible	10 0
Extrinsic ocular musculature Normal Ptosis Double vision	10 5 0
Eyelid occlusion Complete Incomplete Impossible	10 5 0
Chewing Normal Weak Impossible	10 5 0
Swallowing Normal Impaired without aspiration Impaired with aspiration	10 5 0
Speech Normal Nasal Slurred	10 5 0

3—moderate symptoms allowing occupational or partial daily activity; 4—major disability requiring discontinuation of occupational activity or major reduction of daily activity; and 5—major disability requiring continuous help by others or mechanical ventilation. The myasthenic muscle score and the functional scale were also used to assess patients during follow-up.

Therapeutic schedules

In the first group, patients received prednisone 1 mg/kg once daily for one month, subsequently reduced gradually to 0.5 mg/kgdaily by the fifth month. The second dosage was maintained for one month, then progressively reduced to 0.25 mg/kg daily by the 10th month. This schedule was maintained until the 12th month, and then adapted to the clinical status of each patient. If the patient deteriorated clinically (see below) during steroid reduction, the dosage was doubled within an upper limit of 1 mg/kg daily. After one month at this dosage, reduction was resumed in order to reach, within two months, 5-10 mg above the level at which the clinical deterioration occurred. If treatment failed, azathioprine was added at a dosage of 3 mg/kg daily for one year, and then 2 mg/kg.

In the second group, patients received azathioprine 3 mg/kg once daily for one year, then 2 mg/kg daily. Because of the delayed response to azathioprine,¹¹ patients were also given prednisone at a daily dosage of 1 mg/kg during the first month. Thereafter, prednisone was progressively tapered off and then discontinued at the end of the fourth month. If the treatment failed, prednisone was introduced again at a dosage of 1 mg/kg daily for one month and then reduced as described in the first group.

In addition all patients received cholinesterase inhibitors (pyridostigmine or ambemonium). If swallowing was impaired or respiratory insufficiency was observed, whichever group the patient was in, the investigator was allowed to use therapeutic plasma exchanges during the first two weeks. Such plasma exchange treatment, however, had to be decided before randomisation.

The protocol was approved by the Ethics Committee of the Société de Réanimation de Langue Française (Paris, France). Patients were informed, but no written consent was required according to French regulations in effect at the time.

Randomisation

Randomisation was performed through a centralised telephone, blind assignment procedure with blocks of four patients, stratified by centre and according to whether or not patients had had a thymectomy.

The trial was not blind, because the side effects of each treatment would have led inevitably to their identification.

Endpoints

Owing to the experimental design, we looked

for endpoints that would not be altered by the possible combination of the two tested drugs. As the protocol consisted of prednisone at high dosage and possibly plasma exchange treatment within the first weeks in both randomised groups, we speculated that most of the patients would improve during the first months. We then hypothesized that the maintenance or the progression of this improvement would differ according to whether patients were subsequently maintained on either prednisone or azathioprine alone.

The main endpoint was the time that elapsed to the first episode of meaningful, clinical deterioration within the 60 months, assessed from the date of treatment onset. Such a deterioration was defined by the occurrence of either impaired swallowing or respiratory insufficiency, or a drop in the myasthenic muscle score of at least 20 points.

Treatment failure within 60 months was analysed as a secondary endpoint. It was defined as an increase of less than two grades in the functional scale after one year of treatment, or by the occurrence of two episodes of clinical deterioration, as described above.

Other endpoints, possibly influenced by the combination of the two drugs, were also analysed, namely the functional grade and the myasthenic muscle score at the end of each treatment year and, finally, the overall rate of side effects.

Follow up

Examinations were scheduled for the second, fourth, sixth, ninth and 12th month and every six months thereafter. Clinical evaluation included assessment of the muscle score and the functional grade. All side effects were listed: cushingoid feature; bacterial, viral or fungal infection; systemic hypertension; diabetes; osteoporosis; psychiatric problems; hair loss; decrease in polymorphonuclear cell or platelet counts below 1500/mm³ and 150 000/mm³, respectively; and an increase in alanine or aspartate transaminases and alkaline phosphatase above two upper limits.

Estimation of sample size and statistical methods The estimation of sample size was based on the method described by George and Desu.¹³ With an assumption of treatment benefit

Table 2 Main clinical features of patients in the two groups at the time of randomisation

	Prednisone (n = 20)	Azathioprine $(n = 21)$	p value*
			F
Male	7 (35%)	8 (38%)	0.84
Age (years)	47 (20)	43 (17)	0.62
Duration of disease (years)	4.7 (6.8)	6 (7·2)	0.85
Number of previous crises	1.7 (2.6)	1.9 (1.8)	0.40
Thymectomy	12 (6 0 %)	11 (52%)	0.62
Thymoma	7 (35%)	3 (15%)	0.16**
Myasthenic muscle score	47 (1 9)	54 (21)	0.29
Functional scale 2	0`´	1 ` ´	
3	7	8	
4	6	8	0.54
5	7	4	
AChR-ab titre 10-9 M	90 (143)	216 (240)	0.10
AChR-ab undetectable	3 (15%)	6 (29%)	0.45**
Therapeutic plasma exchange	12 (60%)	7 (33%)	0.12

For continuous variables, mean (SD) is given.

 χ square test for categorical variables, non parametric Wilcoxon test for continuous variables. **Fisher's test.

AChR-ab = antibodies to acetycholine receptors.

Statistical analysis was performed on an intention-to-treat basis. Failure time estimates (time to clinical deterioration and time to treatment failure) were based on the Kaplan-Meier method,¹⁴ then compared between the randomised groups by the log rank test.¹⁵ The semiparametric Cox's model¹⁶ was used to adjust treatment comparison of both imbalanced and prognostic baseline variables.

Comparison of side effects in the two treatment groups was based on Fischer's test of exact probability, whereas the comparison of functional grades and myasthenic muscle scores at each year were based on the nonparametric Wilcoxon's test.

The findings at the reference date of 1 October 1990 were used.

Results

From January 1983 to June 1990, 41 patients with myasthenia gravis were recruited in the six neurological departments of the Myasthenia Gravis Clinical Study Group and were randomly allocated to receive either prednisone (20 patients) or azathioprine (21 patients). At the reference date of October 1990, the mean follow-up was 30 months and no patient was lost to follow-up.

Table 2 presents the main characteristics of the two treatment groups at the time of randomisation. Although there are some imbalances (more thymectomies in the prednisone group, higher acetylcholine receptor levels in the azathioprine group), this table shows no major differences in the distribution of baseline parameters in the two treatment groups. Nineteen patients received therapeutic plasma exchanges, namely 12 in the prednisone group and seven in the azathioprine group, but the difference was not statistically significant (p = 0.12, χ -square test). The total number of plasma exchanges administered did not differ between the two groups (median 3.5 v 3).

Figure 1 shows the time to first occurrence of clinical deterioration. Among the 21 deterioration events, 12 were observed in the prednisone group and nine in the azathioprine group (p = 0.40, two-sided log rank test). During the two first years of treatment, the deterioration rate was estimated at 52% in the prednisone group as opposed to 37% in the azathioprine group. The four year deterioration rate was estimated at 67% and 51%, respectively.

Otherwise, the treatment failure rate was higher, although not statistically significant, in the prednisone group (60%) than in the azathioprine group (24%) (p = 0.15, χ -square test). Moreover, treatment failures were delayed in the azathioprine group compared with the prednisone group (p = 0.02, two-sided log rank test; fig 2). For instance, the

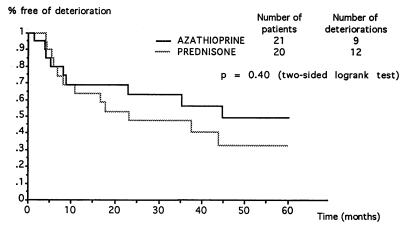


Figure 1 Time to occurrence of clinical deterioration according to randomisation.

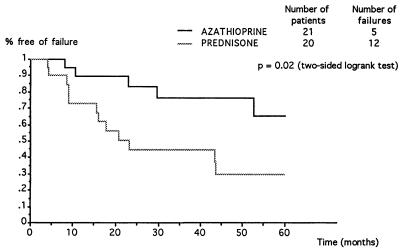


Figure 2 Time to occurrence of treatment failure according to randomisation.

12 month failure rate was estimated at 27% in the prednisone group and 11% in the azathioprine group. Treatment comparison was thereafter adjusted on prognostic factors, using Cox's model, to remove imbalances against the azathioprine group (higher mean muscle score and antibody titre) and to correct the bias in estimating the treatment effect caused by omitting a balanced prognostic factor when considering a censored criterion such as time to treatment failure. Three parameters were selected as either being imbalanced between the two randomised groups (table 2) or as being individually predictive for treatment failure, as assessed by the log rank test (table 3). They consisted of initial myasthenic muscle score, functional grade, and time from disease onset. As for the unadjusted test, the adjusted treatment comparison for treatment failure still showed a significantly longer time to treatment failure in the azathioprine group (p = 0.05, twosided likelihood ratio test); the adjusted failure rate was estimated to be 2.8 times higher in the prednisone group than in the azathioprine group.

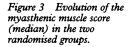
According to the protocol, the 17 patients whose treatment failed were subsequently treated with the combination of prednisone and azathioprine. Therefore, observed changes either in myasthenic muscle score or in the functional grade during the follow up could be incurred by either the randomised treatment or the combination of prednisone and azathioprine. According to the intentionto-treat analysis, no differences were observed between the prednisone or the azathioprine groups in the myasthenic muscle score at the end of each year (fig 3). Changes in functional scale were similar in both treatment groups. At the end of the first year of treatment, and according to the functional scale described above, 72% in the prednisone group and 74% in the azathioprine group were in remission (grade 1) or had a minor deficit (grade 2). At the end of the second year, these figures were 65% and 76%, respectively, and at the end of the third year, there were 67% and 64%. Among the patients who failed to respond to either prednisone or azathioprine alone, eight out of 16 and five out of 11 were in remission or had a

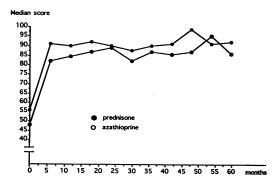
Table 3 Prognostic value, assessed by the log rank test, of several baseline parameters, for either treatment failure or deterioration

Variables	Number of patients (n = 41)	Number of deteriorations (n = 21)	p value* (log rank)	Number of failures (n = 17)	p value** (log rank)
Randomisation					
Azathioprine	21	9	0.40	5	0.02
Prednisone	20	12		12	
Sex					
Male	15	8	0.57	8 9	0.12
Female	26	13		9	
Age (years)					
≤ 4 0	19	10	0.71	8	0.78
> 40	22	11		9	
Previous crisis					
No	11	5	0.95	6	0.12
Yes	30	16		11	
Time from disease onset (years)					
≤ 5	29	16	0.48	15	0.08
> 5	12	5		2	
Thymectomy					
No	18	9	0.67	8	0.62
Yes	23	12		9	
Muscle strength					
score ≤ 50	22	14	0.02	11	0.06
> 50	19	7		6	
Stage 1–4	30	12	0.0004	11	0.06
5	11	9		6	

*The p value of the log rank test comparing the occurrence of clinical deteriorations between the groups defined by the presence or absence of several baseline characteristics.

or absence of several baseline characteristics. **The p value of the log rank test comparing the occurrence of treatment failures between the groups defined above.





minor deficit respectively after one and two years of treatment with prednisone plus azathioprine.

Table 4 lists all the adverse effects observed during the follow up. Sixty-seven side effects were recorded from 28 patients. The percentage of patients who experienced at least one side effect was higher in the prednisone group (80%) than in the azathioprine group (57%), although this was not statistically significant (p = 0.18, two-sided Fisher's test). After excluding the 14 observed cushingoid features, including 11 in the prednisone group and three in the azathioprine group, these percentages dropped to 45% and 43%, respectively (p = 1.00, two-sided Fisher's)test). The percentage of patients who developed at least one bacteriological infection was significantly higher, however, in the prednisone group compared with the azathioprine group (p = 0.05, two-sided Fisher's test). In the prednisone group, 12 bacterial infectious episodes were observed in 10 patients, as opposed to four infections in the azathioprine group. Among these bacterial infections there were seven cases of pneumonia (five in the prednisone group and two in the azathioprine group) which yielded a deterioration of the myasthenia and acute respiratory failure in four cases (three in the prednisone group, one in the azathioprine group). The other bacterial complications included urinary tract infections and skin infections which did not affect

Table 4 Total side effects observed in the prednisone group and in the azathioprine group

	Prednisone (n = 20)	$\begin{array}{l} Azathioprine\\ (n=21) \end{array}$	
	Number of patients side effect	p value	
	16 (80 %)	12 (57 %)	(Fisher's test 0·18
Side effects			
Cushingoid features	11	3	0.009
Bacterial infection	10	4	0.05
Viral infection	0	4	0.11
Fungal infection*	3	3	1.00
Systemic hypertension ⁺	2	1	0.61
Diabetes	2	0	0.23
Osteoporosis	6	2	0.13
Psychiatric disorders‡	1	0	0.49
Hair loss	4	2	0.41
Polymorphonuclear cell	1	3	0.61
ASAT or ALAT increase	0	4	0.11
Alkaline phosphatase increase	0	1	1.00
Total	40	27	

*Buccal or cutaneous infections.

†Defined by diastolic blood pressure above 100 mm Hg. ‡Psychotic episode. the course of the myasthenia. Four viral infections were otherwise observed in the azathioprine group: one herpes zoster, one herpes gengivostomatitis and two cases of pneumonia. Finally, in the azathioprine group, treatment was discontinued after the occurrence of hepatitis in two patients, who both recovered without sequelae. A drop in polymorphonuclear cell count below 1500/mm³ was observed in four patients; it consistently returned to normal after transient discontinuation or reduction in azathioprine dosage. In the prednisone group, treatment was discontinued in one patient because of bilateral rupture of the Achilles tendon.

Discussion

Immunosuppressive drugs and steroids are now widely used in the treatment of severe mysthenia gravis, achieving long term improvement and increased remission rates. The second analysis of our trial confirms the good outcome of such patients, in both treatment groups. Indeed, muscle strength improved and remained stable over several years in most patients, reflected by the course of the myasthenic muscle score. After the first year of treatment, about 70% of patients were in complete remission or showed a minor deficit. These results are in agreement with those of Cornelio et al⁷ who reported 72% remission or minor deficit after a follow up ranging from 18 months to six years in patients with myasthenia gravis treated with prednisone, azathioprine, or their combination.

Our findings suggested a beneficial effect from azathioprine, compared with prednisone, when given in a daily regimen to patients with severe myasthenia gravis. Although no significant difference regarding the time to occurrence of first clinical deterioration was demonstrated, treatment failures were more frequently seen and observed earlier in the prednisone group (with a one year failure rate of 27%) compared with the azathioprine group (with a one year rate of 11%). Otherwise, although time to clinical worsening appeared to be similar in both treatment groups, there was a trend that azathioprine could do better, with the two year deterioration rate estimated at 37% in the azathioprine group compared with 52% in the prednisone group. Such a trend was observed despite the low power of the treatment comparison. Indeed for a two-sided test and for a = 0.05, the power was 34% to detect a change in one year deterioration from 75% (assumed one year deterioration in the prednisone group) to 50%. Such estimates were not confirmed in our sample, however, given that the observed one year deterioration rates were 36% in the prednisone group and 31% in the azathioprine group. Obviously, the required sample size to detect such a slight difference was larger than that planned. Moreover, the required sample size was not reached. This could be explained by the low prevalence of the disease, about 60

per million.² The very restrictive criteria for eligibility also could have contributed to a very low inclusion rate. This led the Myasthenia Gravis Clinical Study Group to decide, on June 1990, to stop randomisation. Follow up of all 41 recruited patients has been continued ever since. Finally, it must be noted that about 44% of the patients effectively received a combination of the two tested treatments from one year after randomisation, in agreement with the protocol, and this could have erased the eventual difference between the two treatment groups in terms of either muscular score or functional grade. Obviously, it would not have been ethical to deprive patients who did not respond to either prednisone or azathioprine from the potential benefits expected from their combination. It is noteworthy that such patients, in whom neither prednisone or azathioprine was successful, initially had a more severe myasthenia in terms of both muscular score and functional grade, than those who improved (table 3). In 45% of these single treatment failures, however, the introduction of combined prednisone and azathioprine resulted in remission or only minor deficits two years later. A similar outcome was reported by Cornelio et al⁷ who observed 32% remission and 48% improvement with the combination of prednisone and azathioprine in 25 patients who did not respond to either drug when given alone. The superiority of the combination over prednisone alone has also been suggested in the treatment of other immune diseases such as lupus nephritis.17

Nevertheless, the difference in the failure rate between the prednisone and azathioprine groups should be interpreted with caution. Firstly, treatment allocation was not blind, because it could not have been maintained given the specific side effects of each treatment. This may have induced some investigators to recognise failure more often in the prednisone group. Secondly it must be noted that, although the azathioprine failure rate estimated from our series is in agreement with previous reports by Mertens et al⁹ and Mattell,11 the estimated prednisone failure rate appears higher than that usually attributed to steroids. Using steroids, Johns⁸ reported an 80% remission or major improvement rate in 116 myasthenic patients, and Sghirlanzoni et al⁸ a 72% improvement rate in 60 myasthenic patients. Nevertheless, treatment failures observed in our prednisone group did not seem to be related to a precipitous decrease in dosage, as the mean daily dosage of prednisone was 27 (10) mg (range: 15-50 mg daily) when the absence of improvement was observed. Neither did the failures seem to be related to an insufficient length of treatment, as the duration was over one year in 11 of 13 patients of this group. Two patients had been treated for four months with prednisone when it was concluded that they were not responding adequately. Clinical status was unchanged in one and worsened in the other during prednisone treatment. Rowland,⁴ in a review of published

reports, stated that improvement with steroids usually occurs before the 50th day. In Johns's series,⁸ 93 patients out of 116 improved with prednisone before the 60th day. Finally, the high failure rate observed in the prednisone group could not be explained by a difference in severity of the disease between the two groups. Indeed, after using Cox's model to adjust for three baseline prognostic factors, namely myasthenic muscular score, functional scale, and time from disease onset, the failure rate was still estimated to be 2.8 times higher in the prednisone group.

Finally, the overall percentage of patients who have experienced at least one side effect was similar in both groups. Several side effects were more frequently observed in the prednisone group, however, such as cushingoid features-as expected-and, more surprisingly, bacterial infections. Moreover, the respective influence of azathioprine and prednisone in the occurrence of these adverse effects may be difficult to analyse, given that all patients received both drugs at the beginning of treatment and that 18 patients (44%) were secondarily treated with the combination. In three patients (one in the prednisone group, and two in the azathioprine group), side effects were responsible for the discontinuation of the involved drug. As reported in other series,11 18 19 haematological side effects and increase of hepatic enzymes consistently returned to normal after reduction or transient interruption of azathioprine. Unfortunately, long term side effects of azathioprine have not yet been assessed in our study. Nonetheless, few severe long term side effects have been reported in the literature. In a series of 104 patients followed up for 12 years. Hohlfeld et al¹⁸ reported a single case of renal lymphoma which may have been brought about by the administration of azathioprine. Corey,20 after reviewing five published studies of 800 patients treated with azathioprine, found one case of acute leukemia and no lymphoma.

In conclusion, this randomised clinical trial in patients with severe myasthenia gravis failed to show any marked benefit in either the duration of improvement or treatment tolerance from azathioprine in a daily regimen, when compared with prednisone. Nevertheless, this trial showed that azathioprine increases treatment response and that, whatever the treatment group, the occurrence of treatment failure depends mainly on the initial severity of the disease. Given that 50% of these severe forms improved with the combination of both treatments, the question is posed of whether the combination of prednisone and azathioprine should be proposed first in the management of severe myasthenia gravis. A randomised trial comparing the effects of prednisone alone versus the combination of prednisone and azathioprine is thus warranted.

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