

#### **Instructions**

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## Identifying information.

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## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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**Royalties:** Funds are coming in to you or your institution due to your patent

Belkina 1



Section 1.	dentifying Informa	ation	
1. Given Name (First l Anna	Name)	2. Surname (Last Name) Belkina	3. Date 21-April-2016
4. Are you the corresp	ponding author?	Yes ✓ No	Corresponding Author's Name Joseph Mizgerd
5. Manuscript Title Epithelial Cell–Deriv	ved Secreted and Tra	nsmembrane 1a Signals	to Activated Neutrophils during Pneumococcal Pneumonia
6. Manuscript Identify Red-2015-0261OC	ying Number (if you kno	ow it)	
Section 2. T	he Work Under Co	nsideration for Publi	cation
any aspect of the subs statistical analysis, etc	mitted work (including	but not limited to grants, d	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. R	elevant financial a	activities outside the	submitted work.
of compensation) w clicking the "Add +"	vith entities as describ	oed in the instructions. U ort relationships that we	nether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by tree present during the 36 months prior to publication.
Section 4. In	ntellectual Propert	ty Patents & Copyri	ghts
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo			

Belkina 2



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Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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✓ No other relationships/conditions/circumstances that present a potential conflict of interest
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Section 6. Disclosure Statement
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Dr. Belkina has nothing to disclose.

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5.



Section 1. Identifying Infor	mation	
Given Name (First Name)  Jane	2. Surname (Last Name) Deng	3. Date 21-April-2016
4. Are you the corresponding author?	Yes No	Corresponding Author's Name Mizgerd
Pneumonia		a) Signals to Activated Neutrophils During Pneumococcal
6. Manuscript Identifying Number (if you Red-2015-0261OC	know it)	
Section 2. The Work Under	Consideration for Publi	cation
Did you or your institution at any time re any aspect of the submitted work (includ statistical analysis, etc.)? Are there any relevant conflicts of int	ing but not limited to grants, o	n a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financi	al activities outside the	submitted work.
of compensation) with entities as de- clicking the "Add +" box. You should	report relationships that we	hether you have financial relationships (regardless of amount Use one line for each entity; add as many lines as you need by ere <b>present during the 36 months prior to publication</b> .
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Do you have any patents, whether p	lanned, pending or issued,	broadly relevant to the work? Tyes Ves No



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Deng



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Gower 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Adam	2. Surname (Last Name) Gower	3. Date 22-April-2016	
4. Are you the corresponding author?	☐ Yes   ✓ No	Corresponding Author's Name Joseph P. Mizgerd	
5. Manuscript Title Epithelial Cell–Derived Secreted and Tr	ansmembrane 1a Signals t	o Activated Neutrophils during Pneumococcal Pneumonia	
6. Manuscript Identifying Number (if you ki Red-2015-0261OC	now it)		
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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo			

Gower 2



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Jones 1



Section 1. Ide	entifying Informat	ion	
1. Given Name (First Na Matthew	•	2. Surname (Last Name) ones	3. Date 21-April-2016
4. Are you the correspo	nding author?	Yes 🗸 No	Corresponding Author's Name Joseph Mizgerd
5. Manuscript Title Epithelial Cell–Derive	d Secreted and Trans	smembrane 1a Signals	to Activated Neutrophils during Pneumococcal Pneumonia
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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo			

Jones 2



Section 5.				
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Kamata 1



Section 1. Identifying Inform	ation		
1. Given Name (First Name) Hirofumi	2. Surname (Last Name) Kamata	3. Date 25-April-2016	
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Joseph P. Mizgerd	
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**Royalties:** Funds are coming in to you or your institution due to your patent

Lung 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Wing Yi	, ,	2. Surname (Last Name) Lung	3. Date 17-August-2016	
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Joseph P. Mizgerd, Sc.D	
5. Manuscript Title Epithelial Cell-derived Secreted and Transmembrane 1a (Sectm1a) Signals to Activated Neutrop		•	uring	
6. Manuscript Ide	ntifying Number (if you kr	now it)	-	
Section 2.	The Work Under C	onsideration for Public		
any aspect of the s statistical analysis,	stitution <b>at any time</b> rece submitted work (including	ive payment or services from g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,	
Section 3.	Relevant financial	activities outside the s	ubmitted work.	
of compensation clicking the "Add	n) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e <b>present during the 36 months prior to publication</b> .	
Section 4.	Intellectual Proper	rty Patents & Copyrig	htc	
Do you have are		, , , , ,		
Do you have any	Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes V			

Lung 2



Section 5. Polationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
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Ms. Lung has nothing to disclose.

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Lung 3



#### **Instructions**

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# 4. Intellectual Property.

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earning royalties or not **Royalties:** Funds are coming in to you or your institution due to your

patent

Mizgerd 1



Section 1. Ide	entifying Informa	ntion				
1. Given Name (First Na Joseph	=	2. Surname (Last Nai Mizgerd	me)		3. Date 21-April-20	016
4. Are you the correspo	nding author?	✓ Yes No				
5. Manuscript Title Epithelial Cell–Derive	d Secreted and Tran	nsmembrane 1a Sig	nals to Activated	Neutrophils du	uring Pneum	ococcal Pneumonia
6. Manuscript Identifyir Red-2015-0261O	ng Number (if you knov	w it)				
Section 2						
Section 2. The	e Work Under Con	nsideration for P	ublication			
any aspect of the submi statistical analysis, etc.)? Are there any relevan	tted work (including b t conflicts of interest ne appropriate inforr	out not limited to grant? Yes mation below. If yo	nts, data monitorin	g board, study de	esign, manusc	vate foundation, etc.) for ript preparation, " button to add a row.
Name of Institution/0	Company	Grant? Personal Fees?	Non-Financial Support?	Other? Co	mments	
NIH		<b>7</b>				
Continue 2						
Section 3. Rel	evant financial ac	ctivities outside	the submitted	work.		
Place a check in the a of compensation) wit clicking the "Add +" b Are there any relevan	h entities as describe ox. You should repo	ed in the instructio ort relationships tha	ns. Use one line f t were <b>present c</b>	or each entity;	add as many	lines as you need by
Section 4. Into	ellectual Property	y Patents & Co	pyrights			
Do you have any pate	ents, whether planne	ed, pending or issu	ed, broadly relev	ant to the work	? Yes	✓ No

Mizgerd 2



Section 5. Polationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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Dr. Mizgerd reports grants from NIH, during the conduct of the study.

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Mizgerd 3



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Quinton 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Quinton	3. Date 17-August-2016	
4. Are you the cor	the corresponding author? Yes Vo		Corresponding Author's Name  Joseph P. Mizgerd	
5. Manuscript Title Epithelial Cell-de Pneumonia		nsmembrane 1a (Sectm1a	) Signals to Activated Neutrophils During Pneumococcal	
6. Manuscript Ider Red-2015-0261C	ntifying Number (if you kr OC.R2	now it)	-	
Section 2				
Section 2.	The Work Under Co	onsideration for Public	ation	
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,	
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of compensation clicking the "Add	ı) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by e <b>present during the 36 months prior to publication</b> .	
Section 4.	Intolloctual Duamer	stry Datanta & Commis	hea	
	intellectual Proper	rty Patents & Copyrig	mts	
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? ☐ Yes ✓ No	

Quinton 2



Section 5. Polationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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**Royalties:** Funds are coming in to you or your institution due to your patent

Ramirez 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Maria	rst Name)	2. Surname (Last Name) Ramirez		3. Date 16-August-2016
4. Are you the corresponding author?		Yes 🗸 No	Corresponding Author's Nam Joseph Mizgerd	ne
Pneumonia	erived Secreted and Tra ntifying Number (if you kr		a) Signals to Activated Neutro	ophils During Pneumococcal
Neu-2013-02010	JC.NZ		_	
Section 2.	The Work Under C	onsideration for Publi	cation	
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, da	a third party (government, com ata monitoring board, study des	nmercial, private foundation, etc.) for sign, manuscript preparation,
Section 3.	Relevant financial	activities outside the	submitted work.	
of compensation clicking the "Add	n) with entities as descri	ibed in the instructions. Us port relationships that we		ntionships (regardless of amount dd as many lines as you need by onths prior to publication.
Section 4.	Intellectual Proper	rty Patents & Copyri	ahts	
Do you have any			roadly relevant to the work?	☐ Yes ✓ No

Ramirez 2



Section 5. Relationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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Lisans and The material has been lisans and to an enti-

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**Royalties:** Funds are coming in to you or your institution due to your patent

Wasserman 1



Section 1.	dentifying Informa	ation		
1. Given Name (First Gregory	Name)	2. Surname (Last Name) Wasserman	3. Date 21-April-2016	
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Joseph Mizgerd, Sc.D.	
5. Manuscript Title Epithelial Cell–Deri	ved Secreted and Tra	nsmembrane 1a Signals t	to Activated Neutrophils during Pneumococcal Pneumonia	
6. Manuscript Identif	ying Number (if you kno	ow it)		
			_	
Section 2.	he Work Under Co	nsideration for Public	cation	
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  Volume				
Section 3.	elevant financial a	activities outside the s	submitted work.	
of compensation) w clicking the "Add +"	vith entities as describ	oed in the instructions. Us ort relationships that we	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.	
Section 4.	ntellectual Propert	ty Patents & Copyri	ghts	
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo				

Wasserman 2



Section 5.	
Re	lationships not covered above
	onships or activities that readers could perceive to have influenced, or that give the appearance of g, what you wrote in the submitted work?
Yes, the following	relationships/conditions/circumstances are present (explain below):
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Wasserman 3



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Yamamoto 1



Section 1.	Identifying Inform	ation		
1. Given Name (Fii Kazuko	rst Name)	2. Surname (Last Name) Yamamoto	3. Date 18-August-2016	
4. Are you the cor	ne corresponding author? Yes 🗸 No		Corresponding Author's Name  Joseph P. Mizgerd	
5. Manuscript Title Epithelial Cell-de Pneumonia		nsmembrane 1a (Sectm1a	) Signals to Activated Neutrophils During Pneumococcal	
6. Manuscript Ider Red-2015-0261C	ntifying Number (if you kr OC.R2	now it)	_	
Section 2.				
Section 2.	The Work Under Co	onsideration for Public	ation	
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Section 3.	Relevant financial	activities outside the s	ubmitted work.	
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Section 4.	Intellectual Proper	rty Patents & Copyrig	hts	
Do you have any			oadly relevant to the work?	

Yamamoto 2



Section 5.					
	Relationships not covered above				
	Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?				
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):				
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Section 6.	Disclosure Statement				
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box				
Dr. Yamamoto h	as nothing to disclose.				

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# Identifying information.

## 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

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#### Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

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**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued **Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Yuen 1



Section 1. Identifying	Information		
Given Name (First Name) Constance	2. Surname (Last Name) Yuen	3. Date 17-August-2016	
4. Are you the corresponding auth	oor? Yes ✓ No	Corresponding Author's Name Joseph P. Mizgerd	
5. Manuscript Title Epithelial Cell-derived Secreted and Transmembrane 1a (Sectm1a) Signals to Activated Neutrophils During Pneumococcal Pneumonia			
6. Manuscript Identifying Number	(if you know it)		
Section 2. The Work U	nder Consideration for Public	ation	
	including but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,	
Section 3. Relevant fir	nancial activities outside the s	ubmitted work.	
Place a check in the appropriate of compensation) with entities	e boxes in the table to indicate who as described in the instructions. Us nould report relationships that wer	ether you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e <b>present during the 36 months prior to publication</b> .	
Section 4. Intellectual	Property Patents & Copyrig	hts	
Do you have any patents, whet	her planned, pending or issued, bro	oadly relevant to the work? ☐ Yes ✓ No	

Yuen 2



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Relationships not covered above
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**Royalties:** Funds are coming in to you or your institution due to your patent

Zabinski 1



Section 1. Identifying Inform	nation	
Given Name (First Name)  Mary	2. Surname (Last Name) Zabinski	3. Date 17-August-2016
4. Are you the corresponding author?	☐ Yes 🗸 No	Corresponding Author's Name Dr. Joseph Mizgerd
5. Manuscript Title Epithelial Cell-derived Secreted and Transmembrane 1a (Sectm1a) Signals to Activated Neutrophils During Pneumococcal Pneumonia		
6. Manuscript Identifying Number (if you king Red-2015-0261OC.R2	now it)	
Section 2. The Work Under Consideration for Publication		
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Continu 2		
Section 3. Relevant financial	activities outside the s	ubmitted work.
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes Vo		
Section 4. Intellectual Prope	rty Patents & Copyrig	ghts
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo		

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Relationships not covered above
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Disclosure Statement
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Ms. Zabinski has nothing to disclose.

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