Supplementary Figures



Supplementary Fig. 1: CONSORT diagram.

Grey boxes indicate different measurement or analysis steps and criteria for sample exclusions with the number of patients (single or paired). Samples with successful whole exome sequencing, but low coverage during the first capture-probe sequencing (left) were sequenced a second time ("Target-Seq resequencing") and included in the set of samples from Phase I. Samples that failed whole exome sequencing were sequenced together with the additional samples (right) from Phase II. In both cases the same DNA extract was used, if the amount of left DNA allowed this. Two pairs (1 good responder, 1 Control) were sequenced in 1st set and 2nd set, although coverage was not low to measure reproducibility of the sequencing (Supplementary Fig. 4). These two pairs therefore appear once in the final analysis only. In total 86 patients entered the downstream analysis, of which 77 are paired samples. For the baseline, a total of 84 samples was available, and for the surgery 79. Abbreviations: E2, 17β-estradiol; ER, oestrogen receptor; H&E, hematoxylin and eosin.

Supplementary Fig. 2: Sequencing overview.



PeriOperative Endocrine Therapy -Individualising Care



Overview of the sequencing process conducted in this study. Samples were selected from POETIC as described in the main text. Initially 60 patients with paired RNA*later* preserved samples were selected for whole exome sequencing (WES). For each patient the baseline, surgery and blood samples were sequenced at low depth to discover somatic mutations. Germline mutations were excluded based on the blood samples. A capture panel was

designed on all potential somatic mutations found within these samples and additionally 77 breast cancer related genes were added. Samples with low coverage from WES were not used for designing the capture-panel. All samples that underwent exome sequencing plus additional samples from 28 patients were sequenced at high depth for confident somatic mutation calling. Again the matched blood samples from each patient were used to exclude germline mutations.



Supplementary Fig. 3: Mutations per sample and patient.

Shown are the number of mutations per sample and their mutation type. Grey bars show the number of mutations per patient by combining the mutations from the baseline (B) and surgery (S) sample. The two outliers (P035 and P045) with much more mutations than other samples are shown on the right at a different scale.



Supplementary Fig. 4: Two samples with highly different mutation count.

Two samples showed large differences in the mutation count between baseline and surgical sample. Patient P035 had mutations identified almost exclusively in the surgical sample, patient P045 much more in the baseline sample (1st run). To confirm this finding, the samples were sequenced a second time on the capture panel (together with samples from Phase II, 2nd run). On the second run we calculated the variant allele fractions (VAFs) at all positions where a mutation was found in the first run (at baseline and surgical combined)

and found high reproducibility for the samples with more mutations (P035 surgery, r=0.87, **d**; P045 baseline, r=0.88, **e**; Pearson correlation). We also compared the VAFs in the two samples with lower mutation count on mutations identified in the other sample of the pair. Although these mutations had low VAFs and would have not been detected confidently by the variant caller in these samples, strong correlations were found (P035 baseline, r=0.86, **c**; P045 surgery, r=0.87, **f**; Pearson correlation). This shows the robustness and reproducibility of the sequencing approach and suggests that the low mutation count in one sample of these pairs was from normal contamination. By combining reads from both runs (**a** and **b**, VAFs of mutations are shown and coloured if they were called in the baseline (green), surgery (red) or both (blue) samples), over 200x coverage was achieved per sample. At this higher depth the concordance between the sample pairs was still low; only one mutation in P035 was present in B and S, the other 405 mutations only in S. For P045 the majority of the mutations were present in B only (590), few in S only (3) and some in both pairs (83). For P035 the estimated turnour purity was 15% and 70% for B and S, respectively and for P045

the purity is 56% and 23% for B and S, respectively as estimated by using Sequenza¹ based on whole exome sequencing data (see Methods).

Supplementary Fig. 5: Variant allele fractions of paired samples.

Good responder



50 75 Poor responder

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100

75 -50 -







50

P037 p-val=0 r=0.65

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50 75

P056

50 Baseline

75

r=0.52

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P060 al=2.3e r=0.778

50 75 Baseline

100

-08





50 75 100

1 100

100 Control P004 p-val=0.15, r=0.213

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Each plot shows the variant allele fractions (VAFs) of identified mutations in paired samples. Colours indicate if mutations were identified by the somatic variant caller in both samples of the pair (blue), only the baseline sample (green) or only the surgery sample (red). Pearson's correlation coefficient and p-value were calculated based on all mutations.



Supplementary Fig. 6: SciClone plots controls.

Supplementary Fig. 7: SciClone plots good responders.





Supplementary Fig. 8: SciClone plots poor responders.

Supplementary Fig. 9: SNP profile.



Dendrogram of all samples used in this study based on single-nucleotide polymorphisms (SNPs) from the sequencing data. See Supplementary Material and Methods for details. For all patients the blood, baseline and surgery samples clustered together, except for the baseline sample of patient P085 as highlighted in red. All three samples from this patient were excluded from further analysis. Figure shows an extract of the dendrogram only.

Supplementary Fig. 10: Differences in mutation count between Phase I and Phase II.



Samples from Phase I underwent whole exome sequencing for somatic mutation discovery. Based on these mutations the capture probe panel was designed. The same panel was used for additional samples in Phase II. As the capture panel was not specifically designed for individual mutations in these, the number of identified mutations was much less. Therefore, only samples with prior whole exome sequencing were used for analysis on the mutational load. Mann-Whitney test, red bars show median and interquartile ranges.



Ki67 levels at baseline (**a**) and surgery (**b**) for patients with mutated *TP53* (mut) and wildtype *TP53* (WT). At baseline good responder and Poor responder had a significantly higher Ki67 level if there was a mutation in *TP53* (good responder median 16.85 vs. 35.81, p=0.009, poor responder median 15.98 vs. 31.26, p=0.005). This difference was lost after treatment for the good responders (median 2.45 vs. 3.05), but not for the poor responders (median 10.34 vs. 28.65, p=0.011). Shown are median and 95% confidence intervals as whiskers, all statistical test were performed with Mann-Whitney.

Supplementary Fig. 12: Cellularity based on field counts



The Good responders showed a significantly lower cellularity in the surgery (S) samples compared to baseline (B) based on field counts (median B 186.8 *vs.* S 157.0, p-value<0.001, Wilcoxon matched-pairs signed rank test). There was no significant difference between the B and S samples for the Poor responders and Controls.

Supplementary Fig. 13: Biopsy types



Surgery samples were from core-cuts and resections. (**a**) There was no statistical significant difference in the number of resections across the responder groups (Good n=9, Poor n=5, Control n=3). There was also no difference in the (**b**) Ki67 levels and (**c**) cellularity (based on field counts) in relation to the type of biopsy except for the Control group where a slightly lower cellularity was found in the three samples from resection (Mann-Whitney test, bars show median and interquartile ranges).

Supplementary Tables

Supplementary Table 1: Clinical data summary of 60 patients with whole exome sequencing.

Patient demographics of those patients with whole exome sequencing from Phase I separated by poor responder, good responder and Control.

	Response group					
	Poor	(n=15)	Good	(n=25)	Control	(n=20)
	n %		n	%	n	%
PgR status						
Positive	10	66.7	21	84.0	15	75.0
Negative	3	20.0	3	12.0	3	15.0
Not known	2	13.3	1	4.0	2	10.0
Histological subtype						
Ductal	13	86.7	19	76.0	16	80.0
Lobular	1	6.7	3	12.0	2	10.0
Mucinous	0	0.0	1	4.0	0	0.0
Mixed ductal and lobular	1	6.7	0	0.0	1	5.0
Not known	0	0.0	2	8.0	1	5.0
Pre-treatment tumour grade						
G1	1	6.7	0	0.0	3	15.0
G2	6	40.0	16	64.0	8	40.0
G3	6	40.0	2	8.0	5	25.0
Not known	2	13.3	7	28.0	3	15.0
Missing	0	0.0	0	0.0	1	5.0

No. of involved lymph nodes							
	N0	7	46.7	14	56.0	11	55.0
1	V1-3	5	33.3	9	36.0	6	30.0
	N4+	3	20.0	2	8.0	3	15.0
HER2 status							
Neg	ative	10	66.7	24	96.0	13	65.0
Pos	itive	5	33.3	1	4.0	7	35.0
Pre-treatment tumour size (cm)							
	<2	6	40.0	10	40.0	7	35.0
	2-5	8	53.3	15	60.0	12	60.0
	>5	1	6.7	0	0.0	1	5.0
Surgery tumour size (cm)							
	<2	3	20.0	8	32.0	8	40.0
	2-5	12	80.0	17	68.0	10	50.0
	>5	0	0.0	0	0.0	2	10.0
		Median	IQR	Median	IQR	Median	IQR
Age at randomization (years)		66	61 - 75	74	63 - 82	70	59 - 76
Time from randomization to surge (days)	ery	19	13 - 23	17	15 - 19	18.5	14 -

Supplementary Table 2: List of 77 breast cancer related genes

In addition to the regions identified as mutated through exome-sequencing, we added all

exons of 77 genes of interest manually curated from COSMIC and Ellis *et al*² and Piccart et al^{3} .

ABCA13	DNAH5	LDLRAP1	PTPRD
AGTR2	DNAH9	LRP2	RB1
AKT1	DNMT3A	LYN	RELN
AKT2	DSPP	MACF1	RUNX1
APOB	ERBB2	MAP2K4	RYR1
ARID1A	ESR1	MAP3K1	RYR2
ATM	FAT3	MDN1	RYR3
ATR	FCGBP	MED12	SF3B1
BAP1	FGFR1	MLL3	SPEN
BRAF	FGFR2	MYH9	SPTA1
BRCA2	FGFR3	NCOA3	STMN2
CBFB	FLG	NCOR1	SYNE1
CCND1	FRG1B	NCOR2	SYNE2
CDH1	GATA3	NEB	TBX3
CDKN1B	GOLGA6L2	NF1	TP53
CSMD1	HMCN1	OBSCN	USH2A
CSMD2	HRNR	PIK3CA	ZFHX4
DMD	HUWE1	PIK3R1	
DNAH11	IGF1R	PKHD1L1	
DNAH3	KRAS	PTEN	

Supplementary Table 3: Frequently mutated genes.

Genes that were mutated in 10% or more of the 86 patients in this study are listed. As comparison the percentage of patients with mutations in TCGA are shown. Only ER+ breast cancers from post-menopausal patients were selected from TCGA. Apart from the genes shown at the top of the table, only three other genes (*GATA3*, *RYR2* and *MAP3K1*) were mutated with a frequency >5% in tumours from TCGA. These genes were mutated at a lower, but similar frequency in our data set.

Gene	Patients with mutations	% Patients with mutations	% ER+ tumours with mutations in TCGA
PIK3CA	32	37%	35%
TP53	22	26%	18%
CDH1	12	14%	15%
MLL3	12	14%	8%
ABCA13	10	12%	4%
FLG	9	10%	5%
GATA3	6	7%	9%
RYR2	5	6%	6%
MAP3K1	4	5%	9%

Supplementary Table 4: Concordance with driver gene mutations from DriverDB.

Mutations found in 94 breast cancer driver genes according to DriverDB for the 77 sample pairs. Mutations identical in baseline and surgery were counted separately and the percentage of identical mutations is shown.

Patient ID	Driver genes	Identical	% identical
	mutated in	mutations	
	baseline/surgery	between	
	sample	baseline and	
P001	1/1	1	100%
P002	1/3	1	33%
P004	3/3	3	100%
P005	3/4	3	75%
P006	5/4	4	80%
P007	3/3	3	100%
P008	4/4	3	60%
P009	0/0	0	0%
P010	1/2	1	50%
P011	1/1	1	100%
P012	0/0	0	0%
P013	3/0	0	0%
P014	4/3	3	75%
P015	3/4	2	40%
P016	3/3	3	100%
P017	3/2	2	67%
P018	2/1	1	50%
P019	0/0	0	0%
P020	0/0	0	0%
P021	1/0	0	0%
P022	1/1	1	100%
P023	1/2	1	50%
P024	0/0	0	0%
P025	4/4	4	100%
P026	1/1	1	100%
P027	2/2	2	100%
P028	1/1	1	100%
P029	3/3	3	100%
P030	2/3	2	67%
P031	4/3	2	40%
P032	3/3	3	100%
P034	4/5	4	80%
P035	0/13	0	0%
P036	2/1	1	50%
P037	4/5	4	80%

P038	4/4	2	33%
P039	1/1	1	100%
P040	0/0	0	0%
P041	0/0	0	0%
P042	4/3	3	75%
P043	3/2	1	25%
P044	1/1	1	100%
P045	22/2	2	9%
P046	7/7	4	40%
P048	4/2	2	50%
P049	2/3	2	67%
P050	3/3	2	50%
P051	0/1	0	0%
P052	2/2	2	100%
P053	2/1	1	50%
P054	2/2	2	100%
P055	0/0	0	0%
P056	7/4	4	57%
P061	2/1	1	50%
P057	1/2	1	50%
P058	0/0	0	0%
P062	3/1	1	33%
P079	5/3	3	60%
P063	0/0	0	0%
P064	2/2	2	100%
P080	1/1	1	100%
P081	1/2	1	50%
P082	2/1	1	50%
P083	0/0	0	0%
P060	2/2	2	100%
P084	3/2	2	67%
P066	1/1	1	100%
P067	2/2	2	100%
P086	10/11	10	91%
P087	1/0	0	0%
P069	0/0	0	0%
P088	1/0	0	0%
P071	3/3	3	100%
P072	1/1	1	100%
P073	3/2	2	67%
P075	2/2	2	100%
P076	2/2	2	100%

Supplementary Table 5: Histologies per patient.

Availability of sequencing data along with Ki67 measurements for each patient in this study. Treated patients were classified as poor responders with a Ki67 reduction of <60% between baseline and surgery and as good responders with >75% Ki67 reduction. Controls did not receive treatment and were not selected for Ki67 change. HER2 status of the surgical sample as measured by immunohistochemistry (IHC) or fluorescence *in situ* hybridization (FISH) is shown.

	ID	Group	Paired Exome- Sequencing available	Paired targeted sequencing	Her2 IHC/FISH	Ki67 baseline	Ki67 surgery	Ki67 % change
-	P001	Good	paired	paired	negative	25.98	4.54	-82.51
	P002	Good	paired	paired	negative	14.45	0.12	-99.18
	P003	Poor	pre	pre	negative	15.98	8.75	-45.25
	P004	Control	paired	paired	negative	10.5	8.68	-17.32
	P005	Poor	post	paired	negative	8.8	6.46	-26.58
	P006	Control	pre	paired	positive	40.79	34.1	-16.4
	P007	Good	paired	paired	negative	16.95	3.91	-76.95
	P008	Control	paired	paired	positive	14.94	25.36	69.79
	P009	Control	paired	paired	negative	11.92	31.84	167.09
	P010	Good	paired	paired	negative	14.59	1.6	-89.03
	P011	Good	paired	paired	negative	18.64	1.77	-90.5
	P012	Poor	paired	paired	positive	42.36	17.15	-59.51
	P013	Control	paired	paired	positive	76.92	49.59	-35.54
	P014	Poor	paired	paired	negative	97.31	94.86	-2.52
	P015	Control	paired	paired	positive	6.34	15.83	149.61
	P016	Good	paired	paired	negative	38.91	7.54	-80.63
	P017	Poor	paired	paired	negative	45.19	29.62	-34.46
	P018	Good	paired	paired	negative	27.07	4.2	-84.49
	P019	Poor	paired	paired	negative	20.44	10.34	-49.43
	P020	Control	paired	paired	positive	16.99	13.93	-18.03
	P021	Poor	paired	paired	negative	20	8.69	-56.56

Good	paired	paired	positive	39.63	3.24	-91.82
Control	paired	paired	negative	34.9	32.68	-6.35
Control	paired	paired	negative	14.61	19.96	36.62
Control	paired	paired	positive	37.72	19.25	-48.98
Good	paired	paired	negative	32.97	1.17	-96.45
Poor	post	paired	positive	85.8	36.21	-57.8
Good	paired	paired	negative	16.85	3.61	-78.58
Good	paired	paired	negative	36.72	2.07	-94.36
Good	paired	paired	negative	16.49	2.72	-86.04
Poor	paired	paired	negative	34.95	34.08	-2.49
Good	paired	paired	negative	32.13	6.23	-80.61
Poor	none	pre	positive	21.55	13.69	-36.48
Poor	paired	paired	negative	23.81	13.48	-43.38
Control	paired	paired	negative	8.62	16.16	87.47
Good	paired	paired	negative	13.33	2.45	-81.62
Control	paired	paired	negative	28.5	36.42	27.79
Poor	paired	paired	positive	41.1	27.67	-32.68
Poor	paired	paired	positive	27.57	15.25	-44.69
Control	paired	paired	positive	39.22	38.55	-1.71
Control	paired	paired	negative	27.11	35.21	29.88
Control	paired	paired	negative	16.98	17.07	0.13
Good	paired	paired	negative	14.09	2.86	-79.7
Good	post	paired	negative	59.65	2.77	-95.36
Good	paired	paired	negative	23.19	2.77	-88.04
Poor	paired	paired	negative	10.54	4.6	-56.36
Good	pre	pre	negative	15.47	1.63	-89.48
Poor	post	paired	positive	26.93	17.14	-36.38
Control	paired	paired	negative	36.7	27.09	-26.19
Control	post	paired	negative	4.09	6.94	69.58
Good	paired	paired	negative	9.7	1.08	-88.82
Good	paired	paired	negative	11.15	1.29	-88.43
Good	paired	paired	negative	14.23	1.82	-87.21
Poor	paired	paired	negative	26.84	32.18	19.92
Good	paired	paired	negative	4.26	0.22	-94.87
Control	paired	paired	negative	28.03	29.08	3.75
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P061	Poor	none	paired	negative	2.33	1.26	-45.92
P057	Good	paired	paired	negative	57.31	6.92	-87.93
P058	Control	post	paired	negative	12.42	18.46	48.64
P062	Poor	none	paired	positive	17	7.71	-54.65
P079	Good	none	paired	negative	15.32	3.38	-77.94
P063	Poor	none	paired	negative	3.28	12.31	275.3
P064	Poor	none	paired	negative	32.32	18.84	-41.71
P059	Good	none	post	negative	34.9	5.1	-85.39
P080	Good	none	paired	negative	57.35	11.42	-80.09
P081	Good	none	paired	negative	22.51	1.23	-94.54
P082	Good	none	paired	negative	30.19	6.08	-79.86
P083	Good	none	paired	negative	12.04	1.24	-89.7
P060	Control	paired	paired	negative	23.63	20.91	-11.51
P084	Good	none	paired	negative	31.37	1.41	-95.5
P065	Poor	none	pre	positive	55.02	25.33	-53.96
P066	Poor	none	paired	negative	17	59.5	3.5
P067	Poor	none	paired	negative	29.51	18.11	-38.63
P086	Good	none	paired	negative	10.38	1.12	-89.21
P087	Good	none	paired	negative	24.14	3.99	-83.47
P068	Poor	none	pre	negative	15.81	7.98	-49.53
P069	Poor	none	paired	negative	4.18	5.19	24.16
P088	Good	none	paired	negative	26.51	2.96	-88.83
P070	Poor	none	pre	negative	1.79	5.88	228.49
P071	Poor	none	paired	negative	40.33	48.86	21.15
P072	Poor	none	paired	negative	5.52	3.32	-39.86
P073	Poor	none	paired	negative	5.69	3.32	-39.86
P074	Poor	none	pre	negative	11.68	10.29	-11.9
P075	Poor	none	paired	negative	14.66	8.07	-44.95
P076	Poor	none	paired	negative	21.25	14.31	-32.66
P077	Poor	none	post	negative	16.78	12.91	-23.06

Supplementary Table 6: Extended list of cancer related genes.

List of frequently mutated genes in cancer derived from literature. The MAF files were obtained from supplementary files⁴⁻⁸ associated with each publication and were merged into a single list containing 65,880 mutations observed in one or more of 1,273 patients. The list is then filtered for non-silent mutations and genes mutated in at least 1% of the patients.

ABCA13	DNAH5	LYN	PTPRB
ADAMTS20	DNAH6	LYST	PTPRD
ADAMTSL1	DNAH7	MACF1	QSER1
ADCY9	DNAH8	MADD	RB1
AFF2	DNAH9	MALAT1	RBMX
AGTR2	DOCK11	MAP1A	RELN
AHNAK	DSP	MAP2	RIMS2
AHNAK2	DSPP	MAP2K4	RP1
AKAP9	DST	MAP3K1	RPGR
AKD1	DYNC1H1	MAP3K4	RUNX1
AKT1	DYNC2H1	MDM2	RYR1
ANK1	ERBB2	MDM4	RYR2
ANK3	ERBB3	MDN1	RYR3
ANKRD12	ERBB4	MED12	SCN10A
ANKRD30A	ESR1	MED23	SCN1A
AOAH	EYS	MEF2A	SCN2A
APOB	F5	MET	SCN3A
ARID1A	FAM135B	MGA	SCN5A
ARID1B	FAM157B	MGAM	SCN7A
ARID2	FAM157C	MLL	SDK1
ASPM	FAM171A1	MLL2	SDK2
ASXL3	FAM186A	MLLT4	SETD2
ATM	FAM47C	MST1P9	SETX
ATN1	FAT1	MT-CYB	SF3B1
ATP10B	FAT3	MTOR	SHROOM4
ATR	FAT4	MXRA5	SI
BAT2L1	FBN1	MYB	SMG1
BIRC6	FBN3	MYCBP2	SPEN
BRAF	FCGBP	MYH11	SPHKAP
BRCA1	FER1L5	MYH14	SPI1
BRCA2	FLG	MYH6	SPTA1
BRWD3	FLG2	MYH8	SPTB
C10orf18	FLNB	MYH9	SRCAP
C12orf51	FMN2	МҮОЗА	SRRM2
C5orf42	FOXA1	MYO5B	STAB2
CACNA1A	FRG1B	MYO7A	SVEP1

CACNA1B	FRY	MYO9A	SYCP2
CACNA1C	GATA3	MYST4	SYNE1
CACNA1E	GIGYF2	NAV3	SYNE2
CACNA1F	GOLGB1	NBAS	TAF1
CASP8	GON4L	NBEAL2	TAF1L
CASR	GPR112	NBPF1	TBX3
CBFB	GPR98	NBPF10	TEP1
CCDC66	GRIN2A	NCOA3	TEX15
CD163L1	HCFC1	NCOR1	TG
CDC42BPA	HEATR1	NCOR2	TLN1
CDH1	HECTD1	NEB	TLR4
CDKN1B	HECW1	NF1	TNXB
CENPE	HECW2	NHS	TP53
CEP350	HERC1	NOTCH4	TPR
CFH	HERC2	NR1H2	UBR4
CHD4	HGC6.3	NUP160	UBR5
CHD6	HMCN1	OBSCN	UNC5D
CIT	HRNR	ODZ1	USH2A
CMYA5	HUWE1	ODZ4	USP32
CNTLN	HYDIN	OTOF	USP34
COL12A1	INSRR	PCDH15	USP36
COL6A3	ITPR1	PCDH19	USP9X
COL6A6	JAK1	PCLO	UTRN
COL7A1	JAK2	PCNT	VPS13C
CRIPAK	KIF26B	PCNXL2	VPS13D
CROCCL1	KIT	PCSK5	VWF
CSF1R	KRAS	PDE4DIP	WDFY3
CSMD1	LAMA1	PDGFRA	XIRP2
CSMD2	LAMA2	PDZD2	ZDBF2
CSMD3	LAMA3	PHKA2	ZFHX3
CTCF	LAMB4	PIK3CA	ZFHX4
CUBN	LAMC1	PIK3R1	ZFPM2
DCC	LOC283685	PKD1L1	ZNF384
DCHS1	LOC440300	PKHD1	ZNF462
DCHS2	LOC442421	PKHD1L1	
DDR1	LOC642236	PLCE1	
DIDO1	LOC643677	PLXNA4	
DLC1	LOC646214	POLE	
DMD	LOC729057	POLQ	
DNAH10	LRBA	PREX1	
DNAH11	LRP1	PREX2	
DNAH17	LRP1B	PRKDC	
DNAH2	LRP2	PRUNE2	
DNAH3	LTK	PTEN	

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