Hutchison 2012-013-00CH1 - Cutoff Date: 04Nov2015 - Final - Unblinded

Table 14.4.3

Analysis of Progression Free Survival (BICR)

ITT Set

Characteristic	Statistic	Fruquintinib+BSC (N=47)	Placebo+BSC (N=24)
NUMBER OF SUBJECTS WITH PROGRESSION DEATH	ON n (%)	44 ( 93.6)	23 ( 95.8)
PROGRESSION	n (%)	35 ( 74.5)	21 ( 87.5)
DEATH	n (%)	9 (19.1)	2 ( 8.3)
NUMBER OF SUBJECTS CENSORED	n (%)	3 ( 6.4)	1 ( 4.2)
REASON FOR CENSORING			
NO PD/DEATH	n (%)	3 ( 6.4)	1 ( 4.2)
RANDOMIZED BUT NO DRUG	n (%)	0	0
ADMINISTRATION			
NO POST BASELINE TUMOR ASSESSME	NT n (%)	0	0
PROGRESSION FREE SURVIVAL (MONTHS	) Median (95% CI) Stratified Log-Rank Test P-value Non-stratified Log-Rank Test P-val Stratified HR (95% CI) Non-stratified HR (95% CI)	<0.001 ue 0.002 0.288 ( 0.150,	4.731) 0.953 ( 0.920, 1.018) 0.552) 0.734)

SOURCE: Listing 16.2.37

Page 1 of 2

BICR: Blinded Independent Central Review. HR: Hazard Ratio.

% = Percentage of subjects in each category relative to the total number of subjects in the relevant analysis set. Median progression free survival and survival rate are based on Kaplan-Meier estimate.

P-values are calculated from stratified/non-stratified log-rank tests (Fruquintinib+BSC vs. Placebo+BSC).

HRs and its 95% CIs are calculated from stratified/non-stratified cox proportional hazard models. Stratification factors are previous chemotherapy, VEGF inhibitors and liver metastasis. If less than 5 subjects in one stratification level, this stratum will be removed from stratified model. Subjects 10011001, 10061001 and 10061008 are excluded from stratified analysis due to the uncertainty of VEGF inhibitor usage.

For subjects without PD or death, the date of last tumor assessment is the censoring date. For subjects without death and tumor assessment after baseline evaluation, censoring date is the randomization date.

K:\Hutchison\Fruquintinib\UWA13414\Biostatistics\Production\Tables\T 14.4-3.sas 23DEC2015 11:42

Hutchison 2012-013-00CH1 - Cutoff Date: 04Nov2015 - Final - Unblinded

## Table 14.4.3 Analysis of Progression Free Survival (BICR) ITT Set

Characteristic	Statistic	Fruquintinib+BSC (N=47)	Placebo+BSC (N=24)	
SURVIVAL RATE AT				
3 MONTHS		0.506	0.125	
6 MONTHS		0.132	0.083	

SOURCE: Listing 16.2.37

Page 2 of 2

BICR: Blinded Independent Central Review. HR: Hazard Ratio.

% = Percentage of subjects in each category relative to the total number of subjects in the relevant analysis set. Median progression free survival and survival rate are based on Kaplan-Meier estimate.

P-values are calculated from stratified/non-stratified log-rank tests (Fruquintinib+BSC vs. Placebo+BSC).

HRs and its 95% CIs are calculated from stratified/non-stratified cox proportional hazard models. Stratification factors are previous chemotherapy, VEGF inhibitors and liver metastasis. If less than 5 subjects in one stratification level, this stratum will be removed from stratified model. Subjects 10011001, 10061001 and 10061008 are excluded from stratified analysis due to the uncertainty of VEGF inhibitor usage.

For subjects without PD or death, the date of last tumor assessment is the censoring date. For subjects without death and tumor assessment after baseline evaluation, censoring date is the randomization date.

K:\Hutchison\Fruquintinib\UWA13414\Biostatistics\Production\Tables\T 14.4-3.sas 23DEC2015 11:42

Hutchison 2012-013-00CH1 - Cutoff Date: 04Nov2015 - Final - Unblinded

Characteristic			Fruquintinib+BSC BICR Assessment		Placebo+BSC BICR Assessment				
	Statistic		PD		NO PD		PD		NO PI
LE ASSESSMENT									
PD	N	(a1/a2/a3)	5/27/0	(b)	7	(a1/a2/a3)	10/8/0	(b)	1
NO PD	N	(c) 3		(d)	5	(c) 3		(d)	2
EARLY DISCREPANCY RATE (EDR)		0.18				0.05			
LATE DISCREPANCY RATE (LDR)		0.81				0.92			

SOURCE: Listing 16.2.20 and 16.2.37

Page 1 of 1

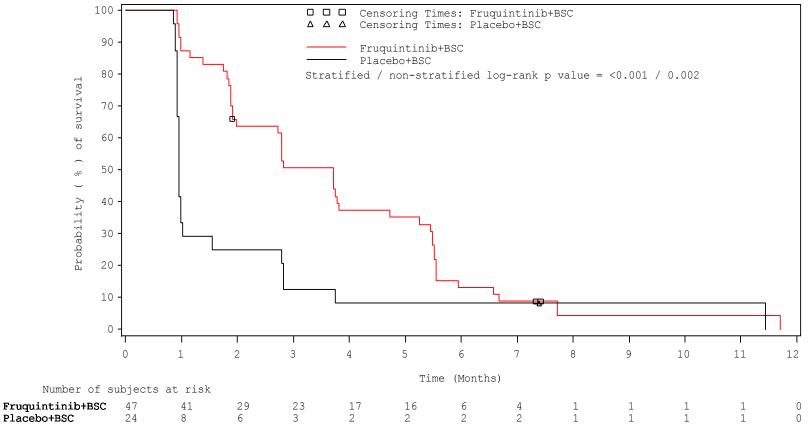
BICR: Blinded Independent Central Review. LE: Local Evaluation.

al: Number of agreements on timing and occurrence of PD. a2: Number of times LE declares PD later than BICR. a3: Number of times LE declares PD earlier than BICR.

a=a1+a2+a3. EDR= (b+a3) / (a+b) . LDR= (c+a2) / (b+c+a2+a3) .

K:\Hutchison\Fruquintinib\UWA13414\Biostatistics\Production\Tables\T 14.4-4.sas 23DEC2015 11:42

Figure 14.4.3 Kaplan-Meier Curve of Progression Free Survival by Treatment Group (BICR)  $$\operatorname{ITT}$$  Set



<sup>-</sup> P-value is obtained from stratified/non-stratified log-rank test. If less than 5 subjects in one stratification level, this strata will be removed from stratified model. Subjects 10011001, 10061001 and 10061008 are excluded from stratified analysis due to the uncertainty of VEGF inhibitor usage, but are included in the non-stratified analysis and Kaplan-Meier curve.

SOURCE: Listing 16.2.37

K:\Hutchison\Fruquintinib\UWA13414\Biostatistics\Production\Figures\F 14.4-3.sas 23DEC2015 11:47