**Circulation: Cardiovascular Quality and Outcomes** 

"Neighborhood differences in post-stroke mortality"

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Appendix table 1: Sensitivity and specificity of first self-reported stroke compared to Center for Medicare and Medicaid Services (CMS) recorded strokes, Health and Retirement Study participants age 65+ years with linkable CMS records (n=6,223).

				All CMS	strokes			CMS strokes: Primary Diagnosis									
	S		ensitivity	ý		S	Specificit	у		S	ensitivit	y	N with-	Sp	oecificity		
	N with CMS strokes	D	95%	<u> </u>	N with- out CMS strokes	D	95% CI		N with CMS strokes	p	95% (1		out CMS strokes	р	95% CI		
Full sample	1349	74%	72%	76%	4793	93%	92%	93%	1101	79%	77%	81%	5058	91%	91%	92%	
Age at baseline																	
<75	593	75%	72%	79%	2594	94%	93%	95%	486	80%	76%	83%	2706	93%	92%	94%	
75+	756	73%	70%	76%	2199	91%	90%	92%	615	78%	75%	82%	2352	89%	88%	91%	
Sex																	
Males	571	75%	72%	79%	2008	93%	92%	94%	471	81%	78%	85%	2113	92%	91%	93%	
Females	778	73%	70%	76%	2785	92%	91%	93%	630	77%	74%	80%	2945	91%	90%	92%	
Race/ethnicity																	
Whites	1115	75%	72%	77%	4061	93%	92%	94%	913	80%	77%	82%	4276	92%	91%	93%	
Blacks	151	75%	69%	82%	472	90%	88%	93%	126	79%	71%	86%	499	88%	86%	91%	
Hispanics	67	64%	53%	76%	199	90%	86%	95%	52	69%	57%	82%	215	89%	85%	93%	
Education																	
< 12 years	612	73%	70%	77%	1859	90%	89%	92%	508	78%	74%	81%	1975	89%	88%	91%	
12 years	394	75%	71%	79%	1484	93%	92%	94%	320	81%	77%	85%	1561	92%	90%	93%	
> 12 years	343	74%	70%	79%	1449	95%	94%	96%	273	79%	74%	84%	1521	93%	92%	95%	
Nativity																	
Southern US US, not	517	77%	74%	81%	1629	91%	90%	93%	432	81%	77%	85%	1724	90%	88%	91%	
southern	727	74%	71%	77%	2816	93%	92%	94%	587	79%	76%	82%	2962	92%	91%	93%	
Non-US	105	60%	51%	69%	348	92%	89%	<b>9</b> 5%	82	70%	59%	80%	372	91%	89%	94%	
Marital Status																	
Married	731	74%	71%	77%	2850	94%	93%	94%	587	79%	76%	83%	3003	92%	91%	93%	
Not Married Elevated depressive	618	74%	71%	78%	1943	91%	90%	92%	514	78%	75%	82%	2055	90%	89%	91%	

symptoms

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Elevated	339	74%	69%	78%	1001	90%	88%	92%	273	78%	73%	83%	1070	89%	87%	90%
Not Elevated	855	74%	71%	77%	3226	94%	93%	<b>9</b> 5%	696	79%	76%	82%	3398	93%	92%	94%
Missing	155	77%	70%	83%	566	89%	86%	92%	132	82%	75%	88%	590	88%	86%	91%
Memory																
functioning at																
baseline																
High*	454	73%	69%	77%	2138	95%	94%	96%	371	76%	72%	81%	2227	94%	93%	95%
Low	696	75%	72%	78%	1901	90%	88%	91%	572	81%	78%	85%	2033	88%	87%	90%
Missing	199	73%	67%	79%	754	92%	90%	94%	158	76%	69%	83%	798	90%	88%	92%
Current smoker																
Smoker	152	82%	75%	88%	530	92%	90%	95%	123	86%	80%	92%	558	91%	89%	93%
Non-smoker	1196	73%	71%	76%	4261	93%	92%	93%	977	78%	75%	81%	4498	91%	91%	<b>9</b> 2%
Hypertension																
Hypertensive Not	719	76%	73%	79%	2097	91%	90%	92%	590	81%	77%	84%	2235	90%	89%	91%
hypertensive	629	72%	69%	76%	2693	94%	93%	95%	510	77%	73%	81%	2820	92%	91%	93%
Diabetes																
Diabetic	229	78%	73%	84%	569	90%	87%	92%	192	82%	77%	88%	611	89%	86%	91%
Not diabetic	1119	73%	71%	76%	4221	93%	92%	94%	908	78%	76%	81%	4444	92%	91%	93%
Heart disease																
Heart disease	460	80%	76%	83%	1309	91%	90%	93%	385	82%	79%	86%	1388	90%	88%	91%
disease	887	71%	68%	74%	3482	93%	92%	94%	715	77%	74%	80%	3667	92%	91%	93%

Sensitivity sample is 76 subjects less than the specificity sample (those false-negative cases who had missing first post-stroke proxy status). We considered events strokes if the ICD-9 codes 430, 431, 433.01, 433.11, 433.21, 433.31, 433.81, 433.91, 434.00, 434.01, 434.10, 434.11, 434.90, 434.91, 435.0, 435.1, 435.3,

435.8, 435.9, 436, 997.02 were noted in any diagnosis on the claim. If the billing occasion included any ICD codes between 800 and 804.9 (inclusive) or between 850 and 854.1 (inclusive), or if the principal diagnosis for the billing occasion was V57.xx, this event was not considered a stroke, even if other ICD codes would have qualified as a stroke. If the diagnosis was based on outpatient services, we required two stroke-related claims within 12 months of each other to qualify the event as a stroke.

\* High memory functioning indicates performance at or above the median

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Model 1 Model 2 Model 3 Model 4 95% CI 95% CI HR 95% CI HR 95% CI HR HR р р р р **Higher Neigh** 0.75 (0.68 ,0.83) <.0001 0.86 (0.86 ,0.96) 0.007 0.75 (0.68 ,0.83) <.0001 0.86 (0.78 ,0.96) 0.005 Social Ties **Higher Neigh** (0.70 ,0.83) <.0001 0.88 (0.80 ,0.96) 0.005 0.94 (0.85 ,1.03) 0.95 (0.86 ,1.04) 0.239 0.156 0.76 Family Income (ordinal, 4th vs. 1st Q) 1.22 (1.11 ,1.35) <.0001 (0.94 (0.89 ,1.09) 0.748 **Higher Neigh** 1.04 ,1.14) 0.489 0.98 (0.86 ,1.06) 0.373 0.95 Deprivation (ordinal, 4th vs. 1st Q) (0.95 (0.98 ,1.15) 0.120 (0.97 ,1.14) High Neigh % 1.15 (1.06 ,1.24) 0.001 0.212 1.03 ,1.12) 0.418 1.06 1.05 Poverty High Neigh % (0.99 ,1.19) (0.93 ,1.12) 0.702 (0.95 ,1.14) 0.400 1.01 (0.92 ,1.11) 0.838 1.08 0.096 1.02 1.04 Black ,1.05) 0.369 (0.88 High Neigh % 0.89 (0.81 ,0.98) 0.015 0.96 (0.88 0.92 (0.84 ,1.01) 0.076 0.97 ,1.06) 0.480 White High Neigh % 0.91 (0.78 ,1.06) 0.220 0.84 (0.72 ,0.98) 0.030 0.89 (0.77 ,1.04) 0.135 0.83 (0.71 ,0.97) 0.021 Foreign Born High Neigh % (0.92 ,1.05) (0.90 ,1.03) (0.88 ,1.01) (0.89 ,1.02) 0.159 0.98 0.623 0.96 0.259 0.95 0.114 0.95 Residentially Stable

Appendix Table 2: Main Effects of Neighborhood Context on Hazard Ratio of Mortality.

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NOTES: Main effects of neighborhood context on hazard rate of mortality derived from main effect models adjusting for covariates and for each neighborhood factor individually. Model 1 adjusted for demographic variables: (stroke status, age, gender, race, ethnicity, southern birth, nativity, and marital status). Model 2 adjusted for demographics plus CVD risk factors (physical activity, ADL, IADL, obesity, alcohol use, smoking status, depressive symptoms, hypertension, diabetes, self-rated health). Model 3 adjusted for demographics plus SES variables (parental education, education, income, and wealth). Model 4 adjusted for demographic vars, SES vars, and CVD risk factors. Reference group for neighborhood family income (ordinal) is the first quartile, and reference group for neighborhood deprivation (ordinal) is the first quartile, and reference group for neighborhood deprivation (ordinal) is the first quartile. The reference groups for categorical covariates are: female, non-Hispanic White or Other Race, unmarried, US born, born outside the South, high parental education, own education was 12 years, income 1<sup>st</sup> quartile, wealth 1<sup>st</sup> quartile, no IADL, no ADL, non-vigorous physical activity, normal weight, heavy alcohol use, never smoker, excellent/very good/good self rated health, no hypertension, no diabetes, low CESD. Q=Quartile. Neigh=neighborhood. These estimates are also reported in Figure 1. These results exclude any missing covariate data from the models, n=15,560.

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Appendix Table 3: Multiple Imputation Results for Stratum-Specific Estimates of Neighborhood Context on Survival

(Hazard Ratios of Mortality) within Strata of Ever-Stroke Status. Model 4.

	Ev	ver Strok	(e	Ne	Inter- action		
-	HR	95% CI		HR	95% CI		р
Higher Neighborhood Social Ties	0.93	(0.74	,1.18)	0.86	(0.78	,0.95)	0.53
Higher Neighborhood Family Income (ordinal, 4th vs. 1st Q)	0.96	(0.79	,1.05)	0.99	(0.96	,1.02)	0.93
Higher Neighborhood Deprivation (ordinal, 4th vs. 1st Q)	0.99	(0.80	,1.21)	0.96	(0.87	,1.06)	0.79
High Neighborhood % Poverty	1.07	(0.91	,1.25)	1.02	(0.94	,1.11 <b>)</b>	0.59
High Neighborhood % Black	1.08	(0.91	,1.28)	1.04	(0.95	,1.13)	0.65
High Neighborhood % White	0.82	(0.68	,0.98)	0.98	(0.90	,1.08)	0.05
High Neighborhood % Foreign Born	1.09	(0.75	,1.55)	0.85	(0.72	,1.01)	0.24
High Neighborhood % Residentially Stable	0.96	(0.81	,1.13)	0.94	(0.87	,1.01)	0.82

NOTES: Stratum-specific neighborhood-mortality estimates within strata of ever-stroke status derived from interaction models (interacting neighborhood context variable with stroke status). Model 4 adjusted for demographic variables (stroke status, age, gender, race, ethnicity, southern birth, nativity, and marital status), for SES variables (parental education, education, income, and wealth), and CVD risk factors (physical activity, ADL, IADL, obesity, alcohol use, smoking status, depressive symptoms, hypertension, diabetes, self-rated health). Q=Quartile. Neighborhood social ties modeled with a 3-item index; hazard ratio models a change from 0 to 3 social ties. Neighborhood family income and neighborhood deprivation are modeled in quartiles modeled ordinally; hazard ratio models a change from 4<sup>th</sup> vs. 1<sup>st</sup> quartiles. N=17,960.

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		Stroke	< 3 mo			Stroke	3-12 mo			Strok	e >1 yr	Ne	Never Stroke		
	HR 95%		6 CI	p <sup>1</sup> HR		95% CI		p <sup>1</sup>	HR	95%	% CI p <sup>1</sup>		HR	95%	6 CI
Higher Neighborhood Social Ties	2.90	(0.97	,8.61)	0.03	0.75	(0.40	,1.41)	0.71	0.90	(0.67	,1.20)	0.74	0.85	(0.76	,0.95)
Higher Neighborhood Family Income	0.92	(0.68	,1.26)	0.68	1.03	(0.85	,1.24)	0.67	0.96	(0.89	,1.04)	0.48	0.99	(0.95	,1.02)
Higher Neighborhood Deprivation	1.35	(1.03	,1.77)	0.02	0.99	(0.82	,1.18 <b>)</b>	0.96	0.98	(0.90	,1.06)	0.91	0.98	(0.95	,1.02)
High Neighborhood % Poverty	0.93	(0.43	,2.01)	0.82	1.05	(0.67	,1.63)	0.92	1.11	(0.92	,1.34)	0.44	1.02	(0.94	,1.12)
High Neighborhood % Black	1.28	(0.65	,2.52)	0.47	0.86	<b>(</b> 0.54	,1.36)	0.54	1.13	(0.92	,1.39)	0.23	0.99	(0.90	,1.10)
High Neighborhood % White	0.54	(0.26	,1.11)	0.09	0.87	<b>(</b> 0.56	,1.35)	0.53	0.81	(0.65	,1.00)	0.05	1.01	(0.91	,1.11)
High Neighborhood % Foreign Born	2.04	(0.56	,7.41)	0.16	0.83	(0.27	,2.5 <b>9)</b>	0.93	1.12	(0.72	,1.73)	0.15	0.79	(0.66	,0.94)
High Neighborhood % Residentially Stable	1.70	(0.75	,3.88)	0.16	0.84	(0.53	,1.34)	0.62	0.96	(0.79	,1.17)	0.88	0.95	(0.88	,1.02)

Appendix Table 4: Stratum-Specific Estimates of Neighborhood Context on Survival (Hazard Ratios of Mortality) within Strata of Time Since Stroke. Model 4.

NOTES: Model 4 adjusted for demographic variables, SEP variables, and CVD risk factors.<sup>1</sup> p-value from interaction test of association of neighborhood characteristic with mortality among this time since stroke patient group, contrasted against neighborhood association with mortality among those without stroke. Neighborhood social ties modeled with a 3-item index; hazard ratio models a change from 0 to 3 social ties. Neighborhood family income and neighborhood deprivation are modeled in quartiles modeled ordinally; hazard ratio models a one-quartile change. A subset of these estimates are presented in Figure 2. These results exclude any missing covariate data from the models, n=15,560.

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Appendix Table 5: Multiple Imputation Results for Stratum-Specific Estimates of Neighborhood Context on Survival (Hazard Ratios of Mortality) within Strata of Time Since Stroke. Model 4.

	Stroke < 3 mo					Stroke 3-12 mo				Strok	e >1 yr	N	Never Stroke		
	HR	95%	6 CI	p <sup>1</sup>	HR	95% CI		<b>p</b> <sup>1</sup>	HR	95% CI		p1	HR	95% CI	
Higher Neighborhood Social Ties	1.74	(0.59	,5.16)	0.31	0.66	(0.37	,1.18)	0.16	0.94	(0.73	,1.23)	0.66	0.86	(0.78	,0.95)
Higher Neighborhood Deprivation	1.29	(1.03	,1.62)	0.03	1.02	(0.86	,1.20)	0.85	0.98	(0.90	,1.05)	0.53	0.99	(0.95	,1.02)
High Neighborhood % White	0.52	(0.27	,1.02)	0.06	0.85	(0.57	,1.26)	0.41	0.84	(0.69	,1.02)	0.08	0.98	(0.90	,1.08)

NOTES: Model 4 adjusted for demographic variables, SEP variables, and CVD risk factors. <sup>1</sup> p-value from interaction test of association of neighborhood characteristic with mortality among this time since stroke patient group, contrasted against neighborhood association with mortality among those without stroke. Neighborhood social ties modeled with a 3-item index; hazard ratio models a change from 0 to 3 social ties. Neighborhood family income and neighborhood deprivation are modeled in quartiles modeled ordinally; hazard ratio models a one-quartile change. For comparison: non-imputed results found in Figure 2 and in Appendix Table 4. N=17,960.