TRIPOD Checklist: Prediction Model Development and Validation

This document contains a completed TRIPOD checklist for the manuscript: "**THE SIMPLIFIED MORTALITY SCORE FOR THE INTENSIVE CARE UNIT (SMS-ICU): Protocol for the development and validation of a bedside clinical prediction rule**".

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As this TRIPOD checklist refers to a study protocol, not all items are relevant at this stage. We have tried to the furthest extent possible to make the protocol adhere to the TRIPOD checklist and all reporting of results will be in accordance to the protocol and the TRIPOD statement. Page numbers in the submitted manuscript are provided. For items that are only partly relevant at this time, page numbers are provided in parentheses and for items that are not relevant at this time a "-" has been written.

Section/Topic	Item		Checklist Item	Page
Title and abstract				
Title	1	D;V	Identify the study as developing and/or validating a multivariable prediction model, the target population, and the outcome to be predicted.	1
Abstract	2	D;V	Provide a summary of objectives, study design, setting, participants, sample size, predictors, outcome, statistical analysis, results, and conclusions.	2
Introduction				
Background and objectives	3a	D;V	Explain the medical context (including whether diagnostic or prognostic) and rationale for developing or validating the multivariable prediction model, including references to existing models.	4
	3b	D;V	Specify the objectives, including whether the study describes the development or validation of the model or both.	4-5
Methods				
Source of data	4a	D;V	Describe the study design or source of data (e.g., randomized trial, cohort, or registry data), separately for the development and validation data sets, if applicable.	6-7, 12-13
	4b	D;V	Specify the key study dates, including start of accrual; end of accrual; and, if applicable, end of follow-up.	6, 13
Participants	5a	D;V	Specify key elements of the study setting (e.g., primary care, secondary care, general population) including number and location of centres.	6, 12-13
	5b	D;V	Describe eligibility criteria for participants.	6-7, 12-13, suppl.
	5c	D;V	Give details of treatments received, if relevant.	(Suppl)
Outcome	6a	D;V	Clearly define the outcome that is predicted by the prediction model, including how and when assessed.	7
	6b	D;V	Report any actions to blind assessment of the outcome to be predicted.	7
Predictors	7a	D;V	Clearly define all predictors used in developing or validating the multivariable prediction model, including how and when they were measured.	7-9
	7b	D;V	Report any actions to blind assessment of predictors for the outcome and other predictors.	7
Sample size	8	D;V	Explain how the study size was arrived at.	12+14
Missing data	9	D;V	Describe how missing data were handled (e.g., complete-case analysis, single imputation, multiple imputation) with details of any imputation method.	10, 13
Statistical analysis methods	10a	D	Describe how predictors were handled in the analyses.	9-11
	10b	D	Specify type of model, all model-building procedures (including any predictor selection), and method for internal validation.	9-12
	10c	V	For validation, describe how the predictions were calculated.	11-14
	10d	D;V	Specify all measures used to assess model performance and, if relevant, to compare multiple models.	11-14
	10e	V	Describe any model updating (e.g., recalibration) arising from the validation, if done.	-
Risk groups	11	D;V	Provide details on how risk groups were created, if done.	-
Development vs. validation	12	V	For validation, identify any differences from the development data in setting, eligibility criteria, outcome, and predictors.	(12-13)
Results				
Participants	13a	D;V	Describe the flow of participants through the study, including the number of participants with and without the outcome and, if applicable, a summary of the follow-up time. A diagram may be helpful.	(6-7, 12-13)
	13b	D;V	Describe the characteristics of the participants (basic demographics, clinical features, available predictors), including the number of participants with missing data for predictors and outcome.	(9, 13)
	13c	V	For validation, show a comparison with the development data of the distribution of important variables (demographics, predictors and outcome).	(9, 13)
Model development	14a	D	Specify the number of participants and outcome events in each analysis.	(6, 12)
	14b	D	If done, report the unadjusted association between each candidate predictor and outcome.	-
Model	15a	D	Present the full prediction model to allow predictions for individuals (i.e., all regression	(10-

specification			coefficients, and model intercept or baseline survival at a given time point).	11)
	15b	D	Explain how to the use the prediction model.	-
Model performance	16	D;V	Report performance measures (with CIs) for the prediction model.	(11-14)
Model-updating	17	V	If done, report the results from any model updating (i.e., model specification, model performance).	-
Discussion				
Limitations	18	D;V	Discuss any limitations of the study (such as nonrepresentative sample, few events per predictor, missing data).	3, 14-15
Interpretation	19a	V	For validation, discuss the results with reference to performance in the development data, and any other validation data.	-
	19b	D;V	Give an overall interpretation of the results, considering objectives, limitations, results from similar studies, and other relevant evidence.	-
Implications	20	D;V	Discuss the potential clinical use of the model and implications for future research.	(4)
Other information				
Supplementary information	21	D;V	Provide information about the availability of supplementary resources, such as study protocol, Web calculator, and data sets.	(16)
Funding	22	D;V	Give the source of funding and the role of the funders for the present study.	17

*Items relevant only to the development of a prediction model are denoted by D, items relating solely to a validation of a prediction model are denoted by V, and items relating to both are denoted D;V. We recommend using the TRIPOD Checklist in conjunction with the TRIPOD Explanation and Elaboration document.