

Background Supporting the Conceptual Model of Asthma Severity

Based on clinical and mechanistic evidence in the published literature and consensus among investigators, a conceptual model was developed to hypothetically describe how 8 risk factor domains of allergen sensitization, allergic inflammation, pulmonary physiology, stress, obesity, Vitamin D, environmental tobacco smoke (ETS) exposure and rhinitis severity are linked to asthma severity (Figure 1). The basis for constructing this model and its pathways are discussed in the following sections.

Allergy Pathway

36 Ample evidence links allergic sensitization and IgE to allergic inflammation, pulmonary

37 physiology and asthma severity, especially in children. In a birth cohort study of BHR in New Zealand children, serum total IgE measured at age 11 years was associated with asthma and 39 bighly correlated with methacholine BHR in asthmatic and non-asthmatic children.¹ Even more so, in the same cohort, a multivariable analysis demonstrated a stronger correlation of the number of positive allergy skin tests to cat, dog, mite and Aspergillus determined at age 13 42 years with BHR, as well as airflow limitation by FEV₁/FVC and FEV₁ (% predicted).² In the Childhood Asthma Management Program (CAMP) study and the Severe Asthma Research Program (SARP), asthma phenotypes with greater disease severity manifest with more exacerbations, greater lung dysfunction (i.e., airflow limitation, methacholine BHR and bronchodilator responsiveness), higher levels of total serum IgE, peripheral blood eosinophils, 47 FeNO, and greater number of allergen sensitizations.^{3,4} The severe asthma phenotype in children is consistent with a severe 'Th2-high' phenotype in adults, with IL-13-induced epithelial gene expression (e.g., periostin), allergic inflammation (i.e., BAL and blood eosinophilia, higher 50 total serum IgE), greater lung dysfunction (i.e., BHR, BD response), and exacerbation risk.⁵

52 Asthma severity and exacerbations are associated with allergen sensitization and exposure to 53 *indoor allergens*: house dust mite,⁶ cockroach,⁷ rodents,⁸⁻¹³ molds,¹⁴⁻¹⁸ and pets.^{6,14} Sensitization to dust mite, dog and/or cat, especially when combined with high levels of home exposure, is 55 also associated with asthma persistence and lower lung function through childhood.^{19,20} A recent update review on indoor exposures affecting asthma exacerbations of a 2000 Institute of 57 Medicine report (Clearing the Air: Asthma and Indoor Air Exposures) $21,22$ found sufficient evidence for causal relationships or associations between common indoor allergens (mite, cat, cockroach, mold, dog) and asthma exacerbations in allergen-sensitized individuals. Allergen 60 sensitization to common foods has also been linked to asthma severity and exacerbations. $23,24$

The Inner City Asthma Study (ICAS) study revealed that 94% of the cohort of inner city children with moderate to severe asthma were sensitized to at least one perennial inhalant allergen, of which 50% were sensitized to 3 or more, and had a high frequency of home exposures to 65 dampness/mold (45%), cockroach (58%), rodents (39%), and furry pets (28%).²⁵ For inner-city children with asthma, their schools can also be a significant source of allergen exposure that 67 worsens their asthma. High levels of mouse^{26,27} and mold^{28,29} allergens in inner-city schools have been associated with increased asthma symptom days in sensitized children. Inner-City asthma studies have also shown that therapeutically blocking IgE-mediated responses with 70 omalizumab reduced asthma exacerbations and improved asthma symptoms and control $30,31$. Since most inner-city children with moderate to severe asthma are sensitized to multiple indoor allergens, with exposure at home and/or school being common, the allergy pathway may be particularly relevant to this study.

75 Allergic sensitization is linked to allergic inflammation. IgE antibodies to inhalant allergens are essential mediators of allergen-driven inflammation in asthma. In children with asthma, the number of positive inhalant allergen prick skin tests and total serum IgE levels correlated with

104 rhinitis with nasal corticosteroids and antihistamines reduces the risk for asthma-related ER 105 visits and hospitalizations.⁴⁵

106

107 Pulmonary physiology is linked to asthma severity. Spirometric measures of airflow are 108 frequently used as an objective marker of asthma severity in guidelines-based care. $46,47$ 109 Persistent airway obstruction (e.g., low FEV₁/FVC) has been shown to develop in a subgroup of 110 children with asthma and is associated with disease severity and morbidity. $36,48-50$ In the CAMP 111 study, airflow limitation (pre-BD $FEV₁$ % predicted) was associated with subsequent asthma 112 symptoms and exacerbation risk,⁵¹ and bronchial hyperreactivity to methacholine correlated with 113 airflow limitation, asthma symptoms, and clinical severity.⁵² Cluster analysis studies to 114 distinguish childhood asthma phenotypes have associated greater allergy, allergic inflammation 115 and lung dysfunction with more clinically severe disease. $3,4$

116

117 **Environmental Tobacco Smoke (ETS) Pathway**

118 ETS exposure can affect asthma severity directly and through its effects on allergic

119 *inflammation and pulmonary physiology*. A recent Cochrane-based meta-analysis of ETS 120 exposure and asthma severity in children⁵³ and an update of a 2000 Institute of Medicine 121 report^{21,22} demonstrated an increased risk and suggestive evidence of associations between 122 ETS exposure and reduced lung function (FEV₁/FVC ratio), wheezing symptoms, and ED visits 123 and hospitalizations for asthma. In the Severe Asthma Research Program, children and adults 124 with ETS exposure had lower lung function, greater bronchodilator response, and greater risk of 125 severe exacerbations.⁵⁴ In urban children with inner-city demographic features (57% African 126 American, 76% Medicaid), detectable serum and salivary cotinine (i.e., biomarkers for ETS 127 exposure) were common in children hospitalized for asthma, and were also associated with 128 subsequent hospital re-admission.⁵⁵ ETS exposure has also been shown to be negatively 129 associated with FeNO levels in allergic asthmatic children and normal subjects.⁵⁶⁻⁵⁸ The ETS

130 pathway may be particularly relevant to explaining asthma severity because most (48-75%) 131 inner-city children with asthma reside in households with smokers, have detectable tobacco 132 smoke exposure in their homes, or have elevated urine cotinine levels indicating ETS 133 exposure. $25,59-62$

134

135 **Vitamin D Pathway**

136 Vitamin D could affect asthma severity through its effects on allergic inflammation and

137 pulmonary physiology. Vitamin D could decrease asthma severity by enhancing corticosteroid 138 responses that could effectively reduce airway inflammation. 63 In adults with asthma, reduced 139 vitamin D levels were associated with impaired lung function, increased BHR, and reduced in 140 *vitro* corticosteroid responsiveness.⁶⁴ In the CAMP study, children who received daily 141 corticosteroid had less improvement in $FEV₁$ if they were vitamin D deficient (total 25-142 hydroxyvitamin D<20 ng/mL) versus insufficient (<30 ng/mL) or sufficient.⁶⁵ Vitamin D might also 143 mitigate the risk of asthma exacerbations by the induction of innate anti-microbial and anti-144 inflammatory responses, although specific mechanisms are less clear.⁶⁶ In the CAMP study, 145 vitamin D insufficiency was associated with being African American and having an increased 146 risk of asthma hospitalization or ER visits. 67 In children with asthma in Costa Rica and Puerto 147 Rico, vitamin D insufficiency was associated with increased peripheral blood eosinophils, lower 148 FEV₁/FVC, increased methacholine BHR, and a history of exacerbations.^{68,69} However, in a 149 nationalized study of inner city adolescents with asthma, vitamin D levels were not associated 150 with asthma symptoms, control, exacerbations, lung function, or FeNO.⁷⁰ A recent systematic 151 review and meta-analysis of vitamin D supplementation clinical trials in children with asthma 152 suggested a statistically significant reduction in exacerbation risk in treated versus controls 153 (relative risk 0.41, 5-95% confidence interval 0.27-0.63), without adequate evidence to draw 154 conclusions about other outcomes.⁷¹ Considering that Black urban children in Washington D.C. 155 with asthma had a much higher prevalence of vitamin D insufficiency and deficiency when

156 compared with their matched non-asthmatic controls,⁷² inner-city children with asthma may be

particularly susceptible to concerns related to vitamin D insufficiency, supporting the relevance

of this vitamin D pathway in our study.

Stress Pathway

Psychosocial stress can affect asthma severity directly and through its effects on allergic

inflammation and pulmonary physiology. The stressors associated with inner-city living can influence adverse asthma outcomes in a number of ways. In inner-city children and adolescents, violence and severely negative or stressful life events were associated with increased day and 165 night symptoms of asthma and exacerbation/hospitalization risk.⁷³⁻⁷⁵ Caretaker-perceived stress

also mediated the effects of violence or severely negative life events on asthma symptoms and 167 attacks. $73,76$

Psychosocial stress has been associated with allergic inflammation. Asthmatic children living in lower SES had higher chronic stress and perceived threat, which was associated with higher IL-5 and IL-13 production in PMA/ionomycin-stimulated PBMC, and peripheral blood 172 eosinophilia.⁷⁷ In children with asthma, stress-induced increases in FeNO were more 173 pronounced in those living in lower SES.⁷⁸ A prolonged stressor, the final exam period in asthmatic college students, enhanced sputum eosinophilia and a shift towards a Th2 mRNA 175 profile following allergen challenge.⁷⁹ In other social science experiments, social and arithmetic 176 stressors were associated with increased FeNO in patients with and without asthma.^{80,81} Psychosocial stress and intense emotions can also increase airflow limitation. With intense 179 anger or fear, children with asthma had declines in $FEV₁$ that improved with relaxation.⁸² In response to emotional or psychological stressors, airways resistance measured by impulse oscillometry increased, such that 22% of children with asthma had a greater than 20%

182 increase.^{83,84} Considering the high levels of perceived stress and stressful events associated

183 with inner city living, this stress pathway seems relevant to this APIC cohort study.

184

185 **Obesity Pathway**

186 Obesity has been linked to asthma severity, both directly and via pulmonary physiology. Among 187 inner-city asthmatic subjects in the ICAC ACE Study, the prevalence of obesity (BMI > 95th 188 percentile BMI-for-age reference values) was 34% in boys and 37% in girls.⁸⁵. This study also 189 found that higher BMI correlated with more frequent asthma symptoms, lower ACT scores, and 190 the occurrence of exacerbations among females but not males. Others have reported that 191 obese asthmatic children are more likely to have asthma exacerbations and other clinical 192 markers of severe disease⁸⁶ are less responsive to corticosteroid (ICS) than their non-obese 193 counterparts.^{87,88} In the CAMP study, children who became obese developed significant airflow 194 Iimitation, as measured by $FEV₁/FVC.⁸⁹$ Obese asthmatic adults in a randomized, controlled 195 weight loss program for 3 months, had a mean weight loss of 16.5 kg and significant 196 improvement in bronchial hyperreactivity to methacholine, $FEV₁$, and asthma control, without 197 improvements in the control group.⁹⁰ In black and Latino children and adolescents with asthma, 198 obesity was associated with bronchodilator unresponsiveness, and obese, bronchodilator-199 unresponsive children reported more wheezing, night awakening, and higher-level controller 200 usage.⁹¹ Residents of low-income, inner-city communities in the US have a high prevalence of 201 obesity.⁹² Because our APIC cohort is largely comprised of black and Latino children, the 202 obesity pathway may be particularly relevant to this study.

REFERENCES

- 1. Sears MR, Burrows B, Flannery EM, Herbison GP, Hewitt CJ, Holdaway MD. Relation
- between airway responsiveness and serum IgE in children with asthma and in
- apparently normal children. The New England journal of medicine 1991;325:1067-71.
- 2. Burrows B, Sears MR, Flannery EM, Herbison GP, Holdaway MD, Silva PA. Relation of
- 209 the course of bronchial responsiveness from age 9 to age 15 to allergy. American journal of respiratory and critical care medicine 1995;152:1302-8.
- 3. Howrylak JA, Fuhlbrigge AL, Strunk RC, et al. Classification of childhood asthma phenotypes and long-term clinical responses to inhaled anti-inflammatory medications. J Allergy Clin Immunol 2014;133:1289-300, 300 e1-12.
- 4. Fitzpatrick AM, Teague WG, Meyers DA, et al. Heterogeneity of severe asthma in childhood: confirmation by cluster analysis of children in the National Institutes of Health/National Heart, Lung, and Blood Institute Severe Asthma Research Program. J Allergy Clin Immunol 2011;127:382-9 e1-13.
- 5. Woodruff PG, Modrek B, Choy DF, et al. T-helper type 2-driven inflammation defines major subphenotypes of asthma. American journal of respiratory and critical care medicine 2009;180:388-95.
- 6. Langley SJ, Goldthorpe S, Craven M, Morris J, Woodcock A, Custovic A. Exposure and sensitization to indoor allergens: association with lung function, bronchial reactivity, and exhaled nitric oxide measures in asthma. J Allergy Clin Immunol 2003;112:362-8.
- 224 7. Rosenstreich DL, Eggleston P, Kattan M, et al. The role of cockroach allergy and
- exposure to cockroach allergen in causing morbidity among inner-city children with
- asthma. The New England journal of medicine 1997;336:1356-63.
- 8. Phipatanakul W, Eggleston PA, Wright EC, Wood RA. Mouse allergen. I. The prevalence 228 of mouse allergen in inner-city homes. The National Cooperative Inner-City Asthma Study. J Allergy Clin Immunol 2000;106:1070-4.

- 9. Phipatanakul W, Eggleston PA, Wright EC, Wood RA, National Coooperative Inner-City Asthma S. Mouse allergen. II. The relationship of mouse allergen exposure to mouse sensitization and asthma morbidity in inner-city children with asthma. J Allergy Clin Immunol 2000;106:1075-80.
- 10. Pongracic JA, Visness CM, Gruchalla RS, Evans R, 3rd, Mitchell HE. Effect of mouse allergen and rodent environmental intervention on asthma in inner-city children. Ann Allergy Asthma Immunol 2008;101:35-41.
- 11. Torjusen EN, Diette GB, Breysse PN, Curtin-Brosnan J, Aloe C, Matsui EC. Dose-response relationships between mouse allergen exposure and asthma morbidity among urban children and adolescents. Indoor air 2013;23:268-74.
- 12. Ahluwalia SK, Peng RD, Breysse PN, et al. Mouse allergen is the major allergen of public health relevance in Baltimore City. J Allergy Clin Immunol 2013;132:830-5 e1-2.
- 13. Perry T, Matsui E, Merriman B, Duong T, Eggleston P. The prevalence of rat allergen in inner-city homes and its relationship to sensitization and asthma morbidity. J Allergy Clin Immunol 2003;112:346-52.
- 14. Nelson HS, Szefler SJ, Jacobs J, Huss K, Shapiro G, Sternberg AL. The relationships
- among environmental allergen sensitization, allergen exposure, pulmonary function, and
- bronchial hyperresponsiveness in the Childhood Asthma Management Program. J
- Allergy Clin Immunol 1999;104:775-85.
- 15. O'Connor G T, Walter M, Mitchell H, et al. Airborne fungi in the homes of children with asthma in low-income urban communities: The Inner-City Asthma Study. J Allergy Clin Immunol 2004;114:599-606.
- 16. Pongracic JA, O'Connor GT, Muilenberg ML, et al. Differential effects of outdoor versus indoor fungal spores on asthma morbidity in inner-city children. J Allergy Clin Immunol 2010;125:593-9.

- 17. Sharpe RA, Bearman N, Thornton CR, Husk K, Osborne NJ. Indoor fungal diversity and asthma: a meta-analysis and systematic review of risk factors. J Allergy Clin Immunol 2015;135:110-22.
- 18. Vicencio AG, Santiago MT, Tsirilakis K, et al. Fungal sensitization in childhood persistent asthma is associated with disease severity. Pediatr Pulmonol 2014;49:8-14.
- 19. Illi S, von Mutius E, Lau S, et al. Perennial allergen sensitisation early in life and chronic asthma in children: a birth cohort study. Lancet 2006;368:763-70.
- 20. Sears MR, Greene JM, Willan AR, et al. A longitudinal, population-based, cohort study of childhood asthma followed to adulthood. The New England journal of medicine
- 2003;349:1414-22.
- 21. Institute of Medicine (U.S.). Committee on the Assessment of Asthma and Indoor Air.
- Clearing the air : asthma and indoor air exposures. Washington, D.C.: National Academy Press; 2000.
- 22. Kanchongkittiphon W, Mendell MJ, Gaffin JM, Wang G, Phipatanakul W. Indoor
- environmental exposures and exacerbation of asthma: an update to the 2000 review by
- the Institute of Medicine. Environmental health perspectives 2015;123:6-20.
- 23. Liu AH, Jaramillo R, Sicherer SH, et al. National prevalence and risk factors for food
- allergy and relationship to asthma: results from the National Health and Nutrition
- Examination Survey 2005-2006. J Allergy Clin Immunol 2010;126:798-806 e13.
- 24. Wang J, Visness CM, Sampson HA. Food allergen sensitization in inner-city children with asthma. J Allergy Clin Immunol 2005;115:1076-80.
- 25. Crain EF, Walter M, O'Connor GT, et al. Home and allergic characteristics of children
- with asthma in seven U.S. urban communities and design of an environmental
- intervention: the Inner-City Asthma Study. Environmental health perspectives
- 2002;110:939-45.
- 26. Permaul P, Petty C, Sheehan W, et al. Mouse Allergen Exposure in Urban Schools and 281 its Effect on Childhood Asthma Morbidity. J Allergy and Clin Immunol 2013;131:AB 141.
- 27. Sheehan WJ, Rangsithienchai PA, Muilenberg ML, et al. Mouse allergens in urban
- elementary schools and homes of children with asthma. Ann Allergy Asthma Immunol 2009;102:125-30.
- 28. Baxi S, Petty C, Fu C, et al. Classroom Fungal Spore Exposure and Asthma Morbidity in Inner-City School Children. J Aller Clin Immunol 2013;131:AB 54.
- 29. Baxi S, Sheehan WJ, Permaul P, et al. Airborne Fungus Diversity and Concentrations in Inner City Elementary Schools Ped Aller Immunol 2013;24:697-703.
- 30. Busse WW, Morgan WJ, Gergen PJ, et al. Randomized trial of omalizumab (anti-IgE) for asthma in inner-city children. The New England journal of medicine 2011;364:1005-15.
- 31. Teach SJ, Gergen PJ, Szefler SJ, et al. Seasonal risk factors for asthma exacerbations among inner-city children. J Allergy Clin Immunol 2015;135:1465-73 e5.
- 32. Covar RA, Spahn JD, Martin RJ, et al. Safety and application of induced sputum analysis in childhood asthma. J Allergy Clin Immunol 2004;114:575-82.
- 33. Strunk RC, Szefler SJ, Phillips BR, et al. Relationship of exhaled nitric oxide to clinical and inflammatory markers of persistent asthma in children. J Allergy Clin Immunol 2003;112:883-92.
- 34. Spanier AJ, Hornung R, Lierl M, Lanphear BP. Environmental exposures and exhaled nitric oxide in children with asthma. J Pediatr 2006;149:220-6.
- 35. Simpson A, Custovic A, Pipis S, Adisesh A, Faragher B, Woodcock A. Exhaled nitric oxide, sensitization, and exposure to allergens in patients with asthma who are not
-
- taking inhaled steroids. American journal of respiratory and critical care medicine
- 1999;160:45-9.
- 36. Szefler SJ, Mitchell H, Sorkness CA, et al. Management of asthma based on exhaled nitric oxide in addition to guideline-based treatment for inner-city adolescents and young adults: a randomised controlled trial. Lancet 2008;372:1065-72.
- 37. Meyts I, Proesmans M, De Boeck K. Exhaled nitric oxide corresponds with office evaluation of asthma control. Pediatr Pulmonol 2003;36:283-9.
- 38. Delgado-Corcoran C, Kissoon N, Murphy SP, Duckworth LJ. Exhaled nitric oxide reflects asthma severity and asthma control. Pediatr Crit Care Med 2004;5:48-52.
- 39. Togias A. Rhinitis and asthma: evidence for respiratory system integration. J Allergy Clin Immunol 2003;111:1171-83; quiz 84.
- 40. Braunstahl GJ, Overbeek SE, Kleinjan A, Prins JB, Hoogsteden HC, Fokkens WJ. Nasal allergen provocation induces adhesion molecule expression and tissue eosinophilia in upper and lower airways. J Allergy Clin Immunol 2001;107:469-76.
- 41. Corren J, Adinoff AD, Irvin CG. Changes in bronchial responsiveness following nasal provocation with allergen. J Allergy Clin Immunol 1992;89:611-8.
- 42. Nolte D, Berger D. On vagal bronchoconstriction in asthmatic patients by nasal irritation.
- Eur J Respir Dis Suppl 1983;128 (Pt 1):110-5.
- 43. Littell NT, Carlisle CC, Millman RP, Braman SS. Changes in airway resistance following nasal provocation. Am Rev Respir Dis 1990;141:580-3.
- 44. Lohia S, Schlosser RJ, Soler ZM. Impact of intranasal corticosteroids on asthma outcomes in allergic rhinitis: a meta-analysis. Allergy 2013;68:569-79.
- 45. Corren J, Manning BE, Thompson SF, Hennessy S, Strom BL. Rhinitis therapy and the prevention of hospital care for asthma: a case-control study. J Allergy Clin Immunol 2004;113:415-9.
- 46. National Asthma Education and Prevention Program, Expert Panel Report 3: Guidelines for the Diagnosis and Management of Asthma.
- 329 http://www.nhlbi.nih.gov/guidelines/asthma/: U.S. Department of Health and Human Services; 2007.
- 47. Global Strategy for Asthma Management and Prevention, Global Initiative for Asthma (GINA) 2015. . 2015. (Accessed October 10, 2015, 2015, at
- 333 http://www.ginasthma.org.)
- 48. Covar RA, Spahn JD, Murphy JR, Szefler SJ, Childhood Asthma Management Program Research G. Progression of asthma measured by lung function in the childhood asthma management program. American journal of respiratory and critical care medicine 2004;170:234-41.
- 49. Horak E, Lanigan A, Roberts M, et al. Longitudinal study of childhood wheezy bronchitis and asthma: outcome at age 42. BMJ 2003;326:422-3.
- 50. Oswald H, Phelan PD, Lanigan A, et al. Childhood asthma and lung function in mid-adult life. Pediatr Pulmonol 1997;23:14-20.
- 51. Fuhlbrigge AL, Weiss ST, Kuntz KM, Paltiel AD, Group CR. Forced expiratory volume in
- 1 second percentage improves the classification of severity among children with asthma. Pediatrics 2006;118:e347-55.
- 52. Weiss ST, Van Natta ML, Zeiger RS. Relationship between increased airway
- responsiveness and asthma severity in the childhood asthma management program.
- American journal of respiratory and critical care medicine 2000;162:50-6.
- 53. Wang Z, May SM, Charoenlap S, et al. Effects of secondhand smoke exposure on
- asthma morbidity and health care utilization in children: a systematic review and meta-
- analysis. Ann Allergy Asthma Immunol 2015;115:396-401 e2.
- 54. Comhair SA, Gaston BM, Ricci KS, et al. Detrimental effects of environmental tobacco smoke in relation to asthma severity. PloS one 2011;6:e18574.
- 55. Howrylak JA, Spanier AJ, Huang B, et al. Cotinine in children admitted for asthma and readmission. Pediatrics 2014;133:e355-62.
- 56. Laoudi Y, Nikasinovic L, Sahraoui F, Grimfeld A, Momas I, Just J. Passive smoking is a major determinant of exhaled nitric oxide levels in allergic asthmatic children. Allergy 2010;65:491-7.
- 57. Yates DH, Breen H, Thomas PS. Passive smoke inhalation decreases exhaled nitric oxide in normal subjects. American journal of respiratory and critical care medicine 2001;164:1043-6.
- 58. Kharitonov SA, Robbins RA, Yates D, Keatings V, Barnes PJ. Acute and chronic effects of cigarette smoking on exhaled nitric oxide. American journal of respiratory and critical care medicine 1995;152:609-12.
- 59. Halterman JS, Borrelli B, Tremblay P, et al. Screening for environmental tobacco smoke exposure among inner-city children with asthma. Pediatrics 2008;122:1277-83.
- 60. Kattan M, Mitchell H, Eggleston P, et al. Characteristics of inner-city children with asthma: the National Cooperative Inner-City Asthma Study. Pediatr Pulmonol 1997;24:253-62.
- 61. Kumar R, Curtis LM, Khiani S, et al. A community-based study of tobacco smoke exposure among inner-city children with asthma in Chicago. J Allergy Clin Immunol 2008;122:754-9 e1.
- 62. Matsui EC, Hansel NN, Aloe C, et al. Indoor pollutant exposures modify the effect of airborne endotoxin on asthma in urban children. American journal of respiratory and critical care medicine 2013;188:1210-5.
- 63. Goleva E, Searing DA, Jackson LP, Richers BN, Leung DY. Steroid requirements and immune associations with vitamin D are stronger in children than adults with asthma. J Allergy Clin Immunol 2012;129:1243-51.

- 64. Sutherland ER, Goleva E, Jackson LP, Stevens AD, Leung DY. Vitamin D levels, lung function, and steroid response in adult asthma. American journal of respiratory and critical care medicine 2010;181:699-704.
- 65. Wu AC, Tantisira K, Li L, et al. Effect of vitamin D and inhaled corticosteroid treatment on lung function in children. American journal of respiratory and critical care medicine 2012;186:508-13.
- 66. Paul G, Brehm JM, Alcorn JF, Holguin F, Aujla SJ, Celedon JC. Vitamin D and asthma. American journal of respiratory and critical care medicine 2012;185:124-32.
- 67. Brehm JM, Schuemann B, Fuhlbrigge AL, et al. Serum vitamin D levels and severe
- asthma exacerbations in the Childhood Asthma Management Program study. J Allergy Clin Immunol 2010;126:52-8 e5.
- 68. Brehm JM, Acosta-Perez E, Klei L, et al. Vitamin D insufficiency and severe asthma exacerbations in Puerto Rican children. American journal of respiratory and critical care medicine 2012;186:140-6.
- 69. Brehm JM, Celedon JC, Soto-Quiros ME, et al. Serum vitamin D levels and markers of severity of childhood asthma in Costa Rica. American journal of respiratory and critical care medicine 2009;179:765-71.
- 70. Gergen PJ, Teach SJ, Mitchell HE, et al. Lack of a relation between serum 25-

hydroxyvitamin D concentrations and asthma in adolescents. Am J Clin Nutr 2013;97:1228-34.

- 71. Pojsupap S, Iliriani K, Sampaio TZ, et al. Efficacy of high-dose vitamin D in pediatric asthma: a systematic review and meta-analysis. The Journal of asthma : official journal of the Association for the Care of Asthma 2015;52:382-90.
- 72. Freishtat RJ, Iqbal SF, Pillai DK, et al. High prevalence of vitamin D deficiency among inner-city African American youth with asthma in Washington, DC. J Pediatr

2010;156:948-52.

- 73. Wright RJ, Mitchell H, Visness CM, et al. Community violence and asthma morbidity: the Inner-City Asthma Study. Am J Public Health 2004;94:625-32. 74. Wright RJ, Steinbach SF. Violence: an unrecognized environmental exposure that may contribute to greater asthma morbidity in high risk inner-city populations. Environmental health perspectives 2001;109:1085-9. 75. Sandberg S, Jarvenpaa S, Penttinen A, Paton JY, McCann DC. Asthma exacerbations in children immediately following stressful life events: a Cox's hierarchical regression. Thorax 2004;59:1046-51. 76. Sandberg S, Paton JY, Ahola S, et al. The role of acute and chronic stress in asthma attacks in children. Lancet 2000;356:982-7. 77. Chen E, Hanson MD, Paterson LQ, Griffin MJ, Walker HA, Miller GE. Socioeconomic status and inflammatory processes in childhood asthma: the role of psychological stress. J Allergy Clin Immunol 2006;117:1014-20. 78. Chen E, Strunk RC, Bacharier LB, Chan M, Miller GE. Socioeconomic status associated with exhaled nitric oxide responses to acute stress in children with asthma. Brain Behav Immun 2010;24:444-50. 79. Liu LY, Coe CL, Swenson CA, Kelly EA, Kita H, Busse WW. School examinations enhance airway inflammation to antigen challenge. American journal of respiratory and critical care medicine 2002;165:1062-7. 80. Ritz T, Ayala ES, Trueba AF, Vance CD, Auchus RJ. Acute stress-induced increases in exhaled nitric oxide in asthma and their association with endogenous cortisol. American journal of respiratory and critical care medicine 2011;183:26-30. 81. Ritz T, Trueba AF, Simon E, Auchus RJ. Increases in exhaled nitric oxide after acute stress: association with measures of negative affect and depressive mood. Psychosom
- Med 2014;76:716-25.
- 82. Tal A, Miklich DR. Emotionally induced decreases in pulmonary flow rates in asthmatic children. Psychosom Med 1976;38:190-200.
- 83. Ritz T, Kullowatz A, Goldman MD, et al. Airway response to emotional stimuli in asthma: 432 the role of the cholinergic pathway. Journal of applied physiology 2010;108:1542-9.
- 84. McQuaid EL, Fritz GK, Nassau JH, Lilly MK, Mansell A, Klein RB. Stress and airway resistance in children with asthma. J Psychosom Res 2000;49:239-45.
- 85. Kattan M, Kumar R, Bloomberg GR, et al. Asthma control, adiposity, and adipokines among inner-city adolescents. J Allergy Clin Immunol 2010;125:584-92.
- 86. Black MH, Zhou H, Takayanagi M, Jacobsen SJ, Koebnick C. Increased asthma risk and
- asthma-related health care complications associated with childhood obesity. Am J Epidemiol 2013;178:1120-8.
- 87. Boulet LP, Franssen E. Influence of obesity on response to fluticasone with or without salmeterol in moderate asthma. Respir Med 2007;101:2240-7.
- 88. Peters-Golden M, Swern A, Bird SS, Hustad CM, Grant E, Edelman JM. Influence of body mass index on the response to asthma controller agents. Eur Respir J
- 2006;27:495-503.
- 89. Strunk RC, Colvin R, Bacharier LB, et al. Airway Obstruction Worsens in Young Adults with Asthma Who Become Obese. J Allergy Clin Immunol Pract 2015;3:765-71 e2.
- 90. Pakhale S, Baron J, Dent R, Vandemheen K, Aaron SD. Effects of weight loss on airway responsiveness in obese adults with asthma: does weight loss lead to reversibility of asthma? Chest 2015;147:1582-90.
- 91. McGarry ME, Castellanos E, Thakur N, et al. Obesity and bronchodilator response in
- black and Hispanic children and adolescents with asthma. Chest 2015;147:1591-8.
- 92. Kumanyika SK. Obesity in minority populations: an epidemiologic assessment. Obes Res 1994;2:166-82.

454 93. Pongracic JA, Krouse RZ, Babineau DC, et al. Asthma Phenotypes in the Inner City:

455 Distinguishing Characteristics of Difficult-to-Control Asthma in Children. J Aller Clin

456 Immunol Submitted simultaneously.

457 **TABLE E1**. Characterization of study participants by demographics and observed variables in 458 each domain. Summary statistics include mean (standard deviation) <minimum; maximum> for 459 normally distributed continuous variables, median $[25th$ percentile; 75th percentile] <minimum; normally distributed continuous variables, median $[25th$ percentile; $75th$ percentile] <minimum; 460 maximum> for non-normally distributed continuous variables and frequency (percentage) for 461 categorical variables. categorical variables.

463 1. Race is dichotomous (black vs. other) in the structural equation model.
464 2. Not included in the structural equation model.

- 464 2. Not included in the structural equation model.
465 3. Analyzed as a continuous variable in the struc
	-
- $465\quad \,$ 3. Analyzed as a continuous variable in the structural equation model.
 $466\quad \,$ 4. Rhinitis medication score is set to 0 for no medications, 5 for antihis 15 for antihistamines and nasal steroids.
	- 5. Rhinitis symptom score is based on the Modified Rhinitis Symptom Utility Index.⁹³
	- 6. Variable is log10 transformed in the structural equation model.
- 466 4. Rhinitis medication score is set to 0 for no medications, 5 for antihistamines only, 10 for nasal steroids only, and 468 5. Naniable is login transformed in the structural equation model. The medication score is ba 7. Sensitization is based on a positive skin prick test and/or positive specific IgE to at least one of the following allergens: Alternaria tenuis (skin prick test) or Alternaria alternata (specific IgE), Aspergillus fumigatus (both skin prick test and specific IgE), Cladosporium herbarum (specific IgE only), Dermatophagoides farinae, Dermatophagoides pteronyssinus, German cockroach, American cockroach, mouse, rat, cat, dog, oak, pecan, birch, maple, Eastern 8 tree mix, ragweed mix (giant/short; skin prick test) or short ragweed (specific Ige), timothy grass, Kentucky Blue/June, Orchard and Timothy (K-O-T) grass mix, peanut, egg and milk.
	- 476 8. Sensitization is based on a positive prick skin test and/or positive specific IgE to at least one of the following allergens: Alternaria tenuis (skin prick test) or Alternaria alternata (specific IgE), Aspergillus fumigatus, and Cladosporium herbarum.
	- Sensitization is based on a positive skin prick test and/or positive specific IgE to at least one of the following allergens: Dermatophagoides farinae and Dermatophagoides pteronyssinus.
	- 481 10. Sensitization is based on a positive skin prick test and/or positive specific IgE to at least one of the following allergens: German cockroach and American cockroach.
	- 11. Sensitization is based on a positive skin prick test and/or positive specific IgE to at least one of the following allergens: mouse and rat.
	- 12. Sensitization is based on a positive skin prick test and/or positive specific IgE to at least one of the following allergens: cat and dog.
	- 487 13. Sensitization is based on a positive skin prick test and/or positive specific IgE to at least one of the following allergens: oak, pecan, birch, maple, Eastern 8 tree mix, ragweed, timothy grass, Kentucky Blue/June, Orchard
- 489 and Timothy (K-O-T) grass mix, and peanut.
490 14. Sensitization is based on a positive skin prici
491 allergens: egg and milk. 14. Sensitization is based on a positive skin prick test and/or positive specific IgE to at least one of the following 491 allergens: egg and milk.
- 492 15. ACT is categorized as very poorly controlled (≤15), not well controlled (≥16 & ≤19), and well controlled (≥20).
493 cACT is categorized as very poorly controlled (≤12), not well controlled (≥13 & ≤19), and well co 493 cACT is categorized as very poorly controlled (≤12), not well controlled (≥13 & ≤19), and well controlled (≥20).
- 16. Composite Asthma Severity Index (CASI) component Day symptoms includes measures of day asthma
495 symptoms and albuterol use in the last 2 weeks (scoring range between 0 and 3).
496 17. CASI component Night symptom symptoms and albuterol use in the last 2 weeks (scoring range between 0 and 3).
- 17. CASI component Night symptoms includes measures of night asthma symptoms and albuterol use in the last 2 497 weeks (scoring range between 0 and 3).
498 18. CASI component – Exacerbations includ
- 18. CASI component Exacerbations includes hospitalizations and/or oral corticosteroid bursts in the last 2 months.

499
500 500 **TABLE E2**. Effect of age, sex and race on each domain. The direct effect of age is the adjusted 501 standard deviation (SD) (± standard error (SE)) increase in each domain for every one SD

501 standard deviation (SD) (\pm standard error (SE)) increase in each domain for every one SD 502 increase in age while the direct effect of sex or race is the adjusted standard deviation (SD increase in age while the direct effect of sex or race is the adjusted standard deviation (SD) (\pm

503 standard error (SE)) increase in each domain comparing males to females or black and non-
504 black respectively. black respectively.

505

510 **TABLE E3.** Indirect effects of Allergen Sensitization on Asthma Severity. Estimates (± standard 511 error) are interpreted as the standard deviation (SD) increase in Asthma Severity for every one 512 SD increase in Allergen Sensitization, via the intermediate or intermediate pathway. All
513 estimates are adjusted for age, sex and race.

estimates are adjusted for age, sex and race.

514

517 **TABLE E4**. Mediated effects of domains on Asthma Severity. The direct effect is the adjusted
518 standard deviation (SD) (± standard error (SE)) increase in Asthma Severity for every one SD 518 standard deviation (SD) (\pm standard error (SE)) increase in Asthma Severity for every one SD
519 increase in the independent domain. The indirect effect is the SD (\pm SE) increase in Asthma 519 increase in the independent domain. The indirect effect is the SD $(\pm$ SE) increase in Asthma
520 Severity for every one SD increase in independent domain, via the mediator or mediating 520 Severity for every one SD increase in independent domain, via the mediator or mediating 521 pathway. The total effect is the SD $(\pm$ SE) increase in Asthma Severity for every one SD 521 pathway. The total effect is the SD $(\pm$ SE) increase in Asthma Severity for every one SD 522 increase in the independent domain. All estimates are adjusted for age, sex and race. increase in the independent domain. All estimates are adjusted for age, sex and race.

523

526 **TABLE E5**. Frequency and percentage of groups based on urine cotinine at Screening 527 stratified by number of smokers in the home (p<0.001 based on Pearson chi-squared test). 528

