

1 **Comparative genomic analysis of *SET*-domain family reveals the**
2 **origin, expansion, and putative function of the arthropod-specific**
3 ***SmydA* genes as histone modifiers in insects**

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Evolution of *SET* Genes in Insects

25 **Abstract**

26 The *SET* domain is an evolutionarily conserved motif present in histone lysine
27 methyltransferases, which are important in the regulation of chromatin and gene
28 expression in animals. In this study, we searched for *SET* domain-containing genes
29 (*SET* genes) in all of the 147 arthropod genomes sequenced at the time of carrying out
30 this experiment to understand the evolutionary history by which *SET* domain have
31 evolved in insects. Phylogenetic and ancestral state reconstruction analysis revealed
32 an arthropod-specific *SET* gene family, named *SmydA*, which is ancestral to arthropod
33 animals and specifically diversified during insect evolution. Considering that
34 pseudogenization is the most probable fate of the new emerging gene copies, we
35 provided experimental and evolutionary evidence to demonstrate their essential
36 functions. Fluorescence *in situ* hybridization analysis and *in vitro* methyltransferase
37 activity assays showed that the *SmydA-2* gene was transcriptionally active and
38 retained the original histone methylation activity. Expression knockdown by RNA
39 interference significantly increased mortality, implying that the *SmydA* genes may be
40 essential for insect survival. We further showed predominantly strong purifying
41 selection on the *SmydA* gene family and a potential association between the regulation
42 of gene expression and insect phenotypic plasticity by transcriptome analysis. Overall,
43 these data suggest that the *SmydA* gene family retains essential functions that may
44 possibly define novel regulatory pathways in insects. This work provides insights into
45 the roles of lineage-specific domain duplication in insect evolution.

46 *Key words:* insects, domain, gene duplication, histone modification.

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47 **Background**

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3 48 Protein domains are functional and structural units that are evolutionary well
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5 49 conserved across species [1]. Specific protein domains are often linked to discrete
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8 50 biological function; therefore, the frequent duplication, gain, and loss of protein
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11 51 domains play substantial roles in functional novelty [2]. Domain duplication can be
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13 52 achieved via frequent domain-containing gene family expansion. Thus, the member
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15 53 number of a gene family that contains domains can be expanded, representing a
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18 54 common method by which divergence to domain sequences can lead to the
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20 55 evolutionary novelty of domain-containing genes [3]. Rapid domain diversification in
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22 56 particular lineages is important for the adaptation of lineage-specific ecological
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25 57 specializations [4].

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28 58 Histones are highly alkaline proteins in cell nuclei that package and order the
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30 59 nuclear DNA into nucleosomes, which are the main components of chromatin.
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32 60 Histone modifications are a major epigenetic regulatory mechanism for phenotypic
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35 61 plasticity in insects. Inhibition of histone deacetylation affects developmental
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37 62 plasticity both in ants (*Camponotus floridanus*) and honeybees (*Apis mellifera*) [5, 6].
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40 63 Genome-wide profiling of histone modifications revealed an important role of histone
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42 64 H3 lysine 27 acetylation in the caste differentiation of ants [7]. Methylations of
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44 65 histone H3 lysine 27 and histone H3 lysine 36 are more abundant in queen ovaries
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47 66 than in larvae, implying that histone methylation plays a specific role in honey bees
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50 67 [8]. In recent years an increasing number of publications have established histone
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52 68 lysine methylation as a central epigenetic modification in regulation of chromatin and
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54 69 transcription. The *SET* domain, which is observed in many histone lysine
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57 70 methyltransferases, is widely and probably universally distributed in metazoan
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71 species. This protein family typically comprises an approximately 130 amino
72 acid-long *SET* domain, which was identified in the strongest PEV suppressor gene
73 *Su(var)3-9*, in the Pc-G gene Enhancer of zeste [E(z)] and in the activating *trx-G* gene
74 *Trithorax* of *Drosophila* [9]. The *SET* domain possesses a catalytic activity that
75 transfers a methyl group to the amino group of lysine residues of nuclear histones
76 from S-adenosyl-L-methionine. Based on their biochemical characteristics, *SET*
77 domain is capable of catalyzing mono-, di- or tri-methylation of their lysine
78 substrates. *SET* domain-dependent methylation has been identified in a wide range of
79 lysine residues in different histones: K4 (K is the abbreviation for lysine), K9, K27,
80 K36, and K79 in histone H3; K20 in histone H4; K59 in the globular domain of
81 histone H4; and K26 in histone H1B [10]. Methylation of lysine residues in histone
82 proteins is an important post-translational epigenetic event that regulates gene
83 expression by serving as an epigenetic marker for the recruitment of complexes that
84 participate in the organization of chromatin structure [11]. The importance of
85 *SET*-domain containing genes is strongly supported by the involvement of this protein
86 family in diverse biological mechanisms, such as transcriptional activation,
87 transcriptional repression, enhancer function, mRNA splicing and DNA replication
88 [12]. Therefore, expectedly, the regulation of various *SET*-domain containing genes
89 are increasing correlated with diverse epigenetic phenomena which, for example,
90 include epigenetic control in plants, centromeric gene silencing in yeasts,
91 repeat-induced point mutations in fungi, DNA elimination in *Tetrahymena*, germline
92 chromatin silencing in worms and heterochromatin formation in flies [13].

93 Insects constitute a remarkably diverse group of organisms that make up a vast
94 majority of known species with their importance including biodiversity, agricultural,

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1 95 and human health concerns. The insect lineage comprises species that are both
2 96 cosmopolitan distributed and geographically restricted, showing a broad range of
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4 97 adaptation diversity. The evolutionary history of gene families is not confounded by
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7 98 whole-genome duplication, and the major topology of insect species is well resolved
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10 99 [14]. Therefore, the insect lineage offers an excellent model to study domain/gene
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12 100 evolution in the context of gene family dynamics [15-19]. Insect *SET*
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14 101 domain-containing genes (*SET* genes) have been identified in a limited number of
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16 102 representative insect species without complicated analysis [20-22]. The *Smyd*
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18 103 subfamilies of *SET* genes have expanded in a few insects from Diptera and
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20 104 Hymenoptera, and several members of the *Smyd* subfamilies show significant changes
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22 105 in gene expression in response to phenotypic plasticity in ants [23, 24]. However, the
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24 106 evolutionary history of insect *SET* genes remains largely unknown because the *SET*
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26 107 genes from a broad range of insect species have not been combined in a single
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28 108 evolutionary framework. Therefore, a comprehensive study of the origin and
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30 109 diversification of the *SET* gene family in insects is required. Accurate classification of
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32 110 *SET*-domain containing genes can pave the fundamental way to further understanding
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34 111 the epigenetic basis of gene regulation in insects.

41 112 In the present study, we aimed to ascertain the origin and diversification of *SET*
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43 113 genes in insects. We searched for *SET* genes in the 130 insect genomes and the 17
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45 114 other arthropod genomes as outgroups. These 130 insect species include both
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47 115 hemimetabolous and holometabolous insects and cover all the insect species for
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49 116 which genome data have been fully available and annotated so far. Our phylogenetic
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51 117 analysis revealed that an important diversification of arthropod-specific *SET* genes,
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53 118 *SmydA*, occurred during insect evolution. Experimental evidence of the important

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119 functions of *SmydA* genes in insects was obtained through fluorescence *in situ*
120 hybridization, *in vitro* methyltransferase activity assay, and survival assay after
121 expression knockdown. Furthermore, we compared the gene expression patterns and
122 examined the selection signatures of *SmydA* genes in the four representative insects
123 exhibiting phenotypic plasticity. These results provide insights into the regulatory
124 roles of lineage-specific domain duplication in insect evolution.

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126 **Results**

127 **Identification and phylogenetic classification of *SET* genes**

128 We comprehensively searched for *SET* genes in a wide range of sequenced insect
129 species, which included 130 insect species from 14 insect orders (Supplementary
130 Table S1). The *SET* genes were defined by the presence of the *SET* domain as
131 predicted by the HMMER search, and their gene models were manually improved.
132 Seventeen non-insect arthropods were also included to achieve ancestral status along
133 with insect evolution. In total, 4,498 *SET* genes were identified in the 147 arthropod
134 genomes (Supplementary Table S2). The genes showing potential pseudogene signals
135 were removed in these identified *SET* genes. A database webserver
136 (<http://159.226.67.242:8080/>) has been constructed to select, retrieve, and analyze the
137 data in this study. In insects, the number of *SET* genes found per species ranges from
138 16 in the scuttle fly *Megaselia scalaris* to 81 in the mosquito *Culex quinquefasciatus*
139 (Table 1 and see Supplementary Table S3 for the full list of summary of *SET* genes in
140 the 147 arthropod genomes). This observation suggests that the size of *SET* genes
141 varies significantly among different insect lineages. Although the genome size of the
142 migratory locust *Locusta migratoria* is approximately 30-fold that of the fruit fly

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143 *Drosophila melanogaster* [25] , the number of *SET* genes in locusts is comparable
144 with that of flies. The specificity of certain substrates is reflected by the classification
145 of *SET* genes, and *SET* genes can be classified into seven major conserved groups,
146 namely: Suv, Ash, Trx, E(z), PRDM, SMYD, and SETD [20]. We performed
147 phylogenetic analysis of the *SET* genes for representative species to obtain insights
148 into the evolution of insect *SET* genes. Multiple sequence alignments of complete
149 proteins could not accurately determine the homologous sites of *SET* genes because of
150 the considerably different sequence lengths and domain architectures of these genes.
151 Thus, alignment-based methods using Bayesian inferences for *SET* domain sequences
152 and alignment-free methods based on feature frequency profiles for complete protein
153 sequences were conducted to infer phylogenetic relationships. The overall tree
154 topologies (Figure 1) inferred using the two methods were generally consistent. Based
155 on the previous nomenclature system [20], the phylogenetic tree topology allows the
156 grouping of insect *SET* genes into seven major conserved groups, generally showing
157 slight fluctuation in the member sizes in each conserved group. The protein domains
158 for each *SET* gene were annotated using the InterProScan package. In general, the
159 *SET* genes in the same conserved group exhibited a similar domain composition,
160 suggesting that the domain architectures support the conserved group classification
161 inferred through the phylogenetic analysis. In addition to the *SET* genes in the
162 conserved groups, a large number of *SET* genes could not be classified into known
163 conserved groups on the basis of the phylogenetic analysis. These unclassified genes
164 act as potential “arthropod-specific” genes. Indeed, a large number of these *SET* genes
165 are homologous to the already defined arthropod-specific *SmydA* genes described in

166 the previous study [24]. The lineage-specificity was further verified through
167 reciprocal BLAST search against known *SET* genes of nematodes and humans.

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169 **Ancestral states of the *SET* gene family in insects**

170 A character matrix that represents the present/absent states for each *SET* homologous
171 group (a OrthoMCL-based homolog set including both putative orthologs and
172 paralogs) was constructed to infer the ancestral states of interior nodes along with the
173 species tree using the Mesquite program. The ancestral states at different nodes could
174 infer the emergences/losses of the *SET* homologous group that occurred at and above
175 the level of orders (Figure 2). The grouping of *SET* homologous genes for each
176 species was inferred using the OrthoMCL program with the corresponding
177 orthologous *SET* gene in *D. melanogaster*, and the grouping reliability was supported
178 by the phylogenetic analysis (Supplementary Figure S1–S5). The putative ancestral
179 state was composed of 19 *SET* homologous groups present in the last common
180 ancestor (LCA) of the studied arthropod species. Generally, the insect species
181 possessed more *SET* homologous groups than the chelicerata species studied,
182 suggesting that *SET* homologous groups considerably expanded during insect
183 evolution. At the interior clades, novel *SET* homologous groups emerged several
184 times. Only few losses of *SET* homologous groups, such as the loss of *SmydA-3*, were
185 observed at the interior clades. The large fluctuation of *SET* homologous groups in
186 each species indicates that these groups experienced rapid lineage-specific
187 expansion/contraction within insect orders. For example, in Hymenoptera, the number
188 of *SET* homologous groups ranged from 18 (covering 23 *SET* genes) in the jumping
189 ant *Harpegnathos saltator* to 30 (covering 52 *SET* genes) in the parasitoid wasp
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190 *Nasonia vitripennis*. In Diptera, 13 *SET* homologous groups (covering 14 *SET* genes)
191 were found in *M. scalaris*, and the oriental fruit fly *Bactrocera dorsalis* possessed
192 only 31 *SET* homologous groups (covering 45 *SET* genes). A large number of
193 arthropod specific *SET* homologous groups cannot be classified into the seven major
194 conserved groups, which revealed their origin after the emergence of main arthropod
195 lineages. Nevertheless, at least six of these groups were present among insect species
196 belonging to different orders, indicating their broad conservation in insects (Figure
197 2A).

198 *SET* domains do not just function as an independent unit, as in many proteins it
199 co-occurs with multiple other protein domains to regulate their target specificity and
200 catalysis [12]. We surveyed the gene ontology (GO) classification of proteins by
201 integrating biological knowledge into three hierarchies, namely, biological process,
202 molecular function, and cellular component, to assess the function innovation of
203 domain acquisition globally. The common GO categories included histone lysine
204 methylation (GO:0034968), regulation of transcription (GO:0006355), protein
205 binding (GO:0005515), nucleic acid binding (GO:0003676), and metal ion binding
206 (GO:0046872) (Figure 3A). Partitioning of *SET* gene families between the conserved
207 and arthropod specific groups revealed that GO categories could be shared between
208 the two groups or be assigned exclusively to one group. The GO categories, which
209 were only exclusive in the arthropod specific groups, included RNA
210 methyltransferase activity (GO:0008173), metalloproteinase activity
211 (GO:0004181), lysozyme activity (GO:0003796), homophilic cell adhesion
212 (GO:0007156), sulfotransferase activity (GO:0008146) and so on.

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214 **Emergence of arthropod lineage-specific *SET* gene families**

215 Pairwise BLAST search against all the *SET* genes indicated that the arthropod specific
216 *SET* genes showed considerable amino acid similarity to the SMYD groups, which
217 contain a conserved core consisting of a *SET* domain and a MYND (Myeloid
218 translocation protein, Nervy, Deaf) zinc finger domain [26]. The arthropod specific
219 *SET* genes also contain the *SET* and MYND domains and were named *SmydA* [24].
220 We performed the phylogenetic analysis of the SMYD genes through Bayesian
221 inferences. The majority of the SMYD genes could be classified into 11 monophyletic
222 clades, which exhibited similar high Bayesian posterior probability values (Figure
223 3B). In a global view, these SMYD genes fell into two distinct branches, which
224 correspond with the conserved SMYD and *SmydA* groups. These results could
225 exclude the possibility that the *SmydA* groups have raised from multiple independent
226 gain events by duplications from deeply diverged SMYD genes of insects. Indeed, as
227 shown in Figure 2A, *SmydA* genes were absent from in all Chelicerata species
228 investigated but present in the genomes of crustacean species and insect species,
229 suggesting that *SmydA* genes may have originated prior to the divergence of Crustacea
230 and Insecta. *SmydA-1*, *SmydA-2*, *SmydA-3*, and *SmydA-6* were already present before
231 the split of Crustacea with other insects, showing clues for their ancient duplication
232 events. The strong support for distinct individual lineages of paralogous genes implied
233 that multiple duplications occurred within the order level; the most notable case was
234 the detection of three copies of *SmydA-3* in the red flour beetle *Tribolium castaneum*
235 (Supplementary Table 2). *SmydA-1/SmydA-4* and *SmydA-6* were subjected to
236 additional rounds of duplication in Lepidoptera and Orthoptera, respectively. The
237 genes annotated as *SmydA-8* and *SmydA-9* in *D. melanogaster* previously formed a

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238 single clade alone with a high Bayesian posterior probability value (0.99), suggesting
239 a specific duplication event in *Drosophila*. Therefore, the *SmydA* groups differed
240 considerably in the number of genes in each insect order, implying the complexity of
241 their evolutionary histories.

242 To shed light into the evolutionary history of *SmydA* genes, we determined the
243 location and gene order of *SmydA* genes in the four holometabolous species with
244 available chromosome-level genome assemblies or genome-scale genetic linkage
245 maps (Figure 3C). In Diptera, the syntenic gene orders could be inferred from the four
246 ancient *SmydA* genes, namely, *SmydA-1*, *SmydA-2*, *SmydA-3*, and *SmydA-6*, all of
247 which may have been present in the ancestor of insects and crustaceans. An
248 insect-specific *SmydA-9* could be observed in the majority of insect orders, including
249 both hemimetabolous and holometabolous insects. *SmydA-9* showed syntenic
250 conservation with the four ancient genes. This gene order was also conserved when
251 *SmydA* genes in insects distantly related from other insect orders were examined.
252 Almost all of the five synteny-anchoring genes were maintained in both the
253 coleopteran species *T. castaneum* and hymenoptera species *A. mellifera*, with an
254 exception of *SmydA-2* that was missed in *A. mellifera*. In contrast to those in *T.*
255 *castaneum* and *A. mellifera*, the reversed order of *SmydA-3* and *SmydA-6* in Dipteran
256 species implies that an intrachromosome transfer event of genomic segments occurred
257 before the emergence of Diptera. Duplication events could also occur in the early
258 diversification of arthropod species. No orthologous *SmydA-4* gene was detected the
259 chelicerata species, indicating that duplication event contributes to the emergence of
260 *SmydA-4* gene in Pancrustacea species. *SmydA-4* was present in all the
261 hemimetabolous insect orders studied, as well as in the holometabolous insect orders

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262 Lepidoptera, Coleoptera, and Diptera. The absence of *SmydA-4* in all the 32
263 hymenopteran species suggested that subsequent loss of *SmydA-4* could be traced
264 back to the ancestor of the hymenopteran lineage before the divergence of wasp, ants,
265 and bees. In the SMYD phylogenetic tree, the Bayesian inferences supported the
266 grouping of *SmydA-3*, *SmydA-4*, and *SmydA-6*. Three of the four species exhibited a
267 accordant location of *SmydA-3/SmydA-4/SmydA-6* in the syntenic regions. In addition
268 to the old duplication events that categorized the divergent duplicates into distinct
269 *SmydA* subfamilies (e.g., *SmydA-3* and *SmydA-4*), recent duplications within an insect
270 order were also observed. The three copies of *SmydA-3* in *T. castaneum*, which
271 spanned within a 4.2 kb genomic region, were observed in tandem array between the
272 two syntenic genes *SmydA-1* and *SmydA-6*. The closeness in protein sequence and
273 genomic location implies an evolutionary origin of these three copies of *SmydA-3* via
274 local duplication. Overall, our data suggest that the order of *SmydA* genes was
275 conserved over a remarkable wide range of holometabolous insect orders.

277 **Selective pressures acting on *SmydA* genes**

278 Functional differentiations or mutations leading to pseudogene formation are the two
279 major causes for sequence divergence between new duplicates and their orthologous
280 counterpart. Synonymous substitutions are assumed to accumulate at a constant rate;
281 hence, the ratios of nonsynonymous substitution per nonsynonymous site (d_N) to
282 synonymous substitution per synonymous site (d_S) are deemed to be an indicator to
283 measure the relative rates of evolution for protein sequences. The four genes
284 (ACYPI26757 and ACYPI55839 in *Acyrtosiphon pisum*; Px015362.1 and
285 Px001029.1 in *Plutella xylostella*) showing signals of recombination were removed

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286 from the further selection analysis. We estimated a global d_N/d_S ratio (one ratio, model
287 M0) for these *SET* genes to determine whether the *SmydA* genes have been under
288 different selection pressures than the other conserved *SET* genes. The d_N/d_S ratios (ω
289 = d_N/d_S ratio) of *SET* genes varied from low (0.0007, Ez, CG6502) to high (0.1627,
290 *Smyd4-1*, CG1868), indicating a variance in the rates of protein evolution on different
291 *SET* genes (Table 2). The ω values among the conserved *SET* genes (excluding the
292 SMYD genes) ranged from 0.0007 to 0.0624 (mean ω = 0.0185). The conserved
293 SMYD and *SmydA* groups showed ω values in the ranges of 0.055–0.1627 (mean ω =
294 0.1020) and 0.0052–0.1623 (mean ω = 0.0884), respectively. Overall, both the
295 conserved SMYD and *SmydA* (P = 0.0003 and P = 0.0178, Wilcoxon signed-rank
296 tests with Bonferroni correction, respectively) groups exhibited significantly higher ω
297 values than the conserved *SET* genes (Figure 3D). However, the distributions of ω
298 values of the conserved SMYD and *SmydA* groups were statistically indistinguishable
299 (P = 1.0000, Wilcoxon signed-rank tests with Bonferroni correction).

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301 **Function approval of *SmydA* genes**

302 We attempted to determine whether the *SmydA* genes retained histone methylation
303 activities to approve the non-pseudogenization process of these genes. We expressed
304 *SmydA-2* as a randomly selected representative and performed *in vitro* histone
305 methylation activity assays using histones as substrates in the migratory locust. As
306 shown in Figure 4A, Western blot analysis detected increased lysine methylation on
307 histone H3 compared with the controls, indicating that *SmydA-2* possesses
308 methyltransferase activity on histones. Similar to that of the other conserved SMYD
309 genes, the methyltransferase activity of *SmydA-2* was also dependent on S-adenosyl
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310 methionine. Fluorescence *in situ* hybridization analysis provided further tissue
311 expression evidence to support the reliability of the *SmydA-2* gene function. Obvious
312 fluorescence signals were observed in the brain and epidermal cells of cuticle in the
313 locusts (Figure 4B). These cells did not show any hybridization signal for the negative
314 controls. The origin and evolution of new emerging genes undergo an increased
315 expression breadth of new duplicated genes over evolutionary time [27, 28]. Thus, we
316 determined the expression levels of the *SmydA-2* gene using quantitative real-time
317 polymerase chain reaction (qPCR) analysis in the different tissues. qPCR data showed
318 that the *SmydA-2* gene was expressed in a broad range of tissues, including brains,
319 testes, ovaries, cuticles, and legs (Figure 4C). The broad expression pattern suggests
320 that the *SmydA-2* gene is less tissue specific and may serve as a functional gene in
321 multiple tissues [28].

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322 Essential genes are often considered as conserved and functionally important [29] ,
323 whereas pseudogenes have been considered to be more dispensable and to have minor
324 influences on survival and phenotype. To determine whether the *SmydA-2* gene plays
325 an essential role during development [30], we knocked its expression down by using
326 RNA interferences in the locusts. Compared with the controls, the relative mRNA
327 level of the *SmydA-2* gene decreased by approximately 70% after injecting
328 double-strand RNAs (Supplementary Figure S6). After injection of *dsSmydA-2*, we
329 observed large numbers of dead locusts, which did not display obvious defect
330 phenotype. As shown in Figure 4D, Kaplan–Meier survival estimates indicate that
331 injection of locusts with *dsSmydA-2* significantly increased mortality when compared
332 with the controls ($\chi^2 = 6.260$, $df = 1$, $P = 0.0123$, Chi-square tests).

334 Expression and selection analysis of *SmydA* genes in response to phenotypic plasticity

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2 335 Epigenetic reprogramming that modifies chromatin structure through histone
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4 336 modifiers contributes to orchestrate the generation and maintenance of phenotypic
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7 337 plasticity, which is a key trait for the success of insects. Therefore, we compared the
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9 338 expression patterns of histone-modifier *SET* genes in four representative insects
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11 339 exhibiting phenotypic plasticity, namely, locust density-dependent behavior, aphid
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13 340 seasonal morphs, dietary-mediated interactions of bees and ants. Specially, we
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15 341 performed differential expression analysis between gregarious and solitary locusts,
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17 342 between asexual and sexual morphs in *A. pisum*, between queens and workers in *A.*
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19 343 *mellifera*, and between large workers and queens in *Acromyrmex echinator*. In all the
20
21 344 four species, a number of differentially expressed genes (DEGs) were detected
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23 345 between the two alternative phenotypes using the criteria of a false discovery rate
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25 346 (FDR)-corrected $P < 0.05$. In terms of DEG number, a large portion of *SET* genes
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27 347 showed significant changes in gene expression (12 in 29, 41%, in *A. mellifera*; 23 in
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29 348 62, 37%, in *A. pisum*; 11 in 29, 38%, in *L. migratoria*; and 10 in 27, 37%, in *A.*
30
31 349 *echinator*). Compared with that of the DEGs observed at the genome-wide level
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33 350 (DEGs in total), the number changes of the DEGs in *SET* genes in the four insects
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35 351 were even more prominent, emphasizing the important regulatory role of *SET* genes
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37 352 in phenotypic transition ($P_s < 0.05$, Chi-square tests). Overlapping of the
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39 353 differentially expressed *SET* genes derived from the same ortholog could provide a
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41 354 clue of their convergent function in phenotypic transition. We found two *SET* genes,
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43 355 namely, *Set2* and *SmydA-5*, showed significant changes in gene expression
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45 356 simultaneously in three of the four insect species studied.
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Evolution of *SET* Genes in Insects

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357 Assuming that a non-pseudogene gene should not be randomly expressed, we
358 compared the expression pattern of the duplication-derived *SmydA* genes to their
359 derived ancestral SMYD genes in response to environment-dependent phenotypic
360 plasticity (Figure 5). The majority of *SET* genes from the conserved SMYD (33 in 34
361 in total, 97%) and *SmydA* (13 in 17 in total, 76%) groups were expressed in at least
362 one insect. No significant differences ($P = 0.749$, Chi-Square tests) in the number of
363 expressed genes were observed between the two groups. A number of DEGs were
364 detected in both the conserved SMYD and *SmydA* groups in the four insect species.
365 All the four *SmydA* genes in *A. echinator* were also differentially expressed. We also
366 obtained significant results in three of the six *SmydA* genes of *L. migratoria* and in
367 two of the five *SmydA* genes of *A. mellifera* between the two alternative phenotypes.
368 The DEG number in the *SmydA* groups did not show significant deviation from those
369 in the conserved SMYD group in the four insects ($P_s > 0.2$, Fisher's exact tests). This
370 result suggests that the *SmydA* genes might not be randomly expressed and that they
371 did not represent pseudogenes or transcriptional byproducts. Thus, the *SmydA* genes
372 may preserve a regulatory role, indicating the function similarity to their ancestral
373 SMYD genes.

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374 The free ratio model of *SmydA* genes fitted the data significantly better than the
375 one model (model M0) using likelihood ratio tests ($P_s < 0.001$), indicating
376 heterogeneous rates of sequence evolution along the gene tree of *SmydA* genes.
377 Therefore, we tested whether the differentially expressed *SmydA* genes between
378 alternative phenotypes (foreground branches) evolved under different selective
379 pressures than those in the remaining branches (background branch) (Supplementary
380 Figure S7). The branch model was much better supported by the data than the model

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381 M0 for *SmydA-5* in *A. mellifera* and *SmydA-1* in *L. migratoria* (Table 3). Fixing $\omega = 1$
382 for the foreground branch did not result in an improved fit over the branch model with
383 the unconstrained foreground branch (the null neutral model and the alternative
384 model). This result suggests that the ω values in the external branch were smaller than
385 1 for *SmydA-3* and *SmydA-5* in *A. mellifera*, *SmydA-1* in *L. migratoria*, and *SmydA-3*
386 in *A. echinator*. Only *SmydA-1* in *L. migratoria* exhibited elevated ω values, and a
387 branch-site model allowing heterogeneous ω values across sequences and branches
388 identified four sites (5M, 11K, 93P, and 105C) under positive selection.

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390 Discussion

391 In this study, the phylogenetic analyses allowed the subdivision of the insect *SET*
392 genes into seven major conserved groups and one arthropod-specific *SmydA* group.
393 We inferred many *SmydA* gene duplication events along insect evolution, suggesting
394 an important diversification of the *SmydA* genes occurred during insect evolutionary
395 processes. With the *SmydA-2* genes in locusts as representatives, the maintenance of
396 essential gene function was confirmed from the experimental evidence of *in vitro*
397 methyltransferase activity, *in situ* mRNA expression, and phenotypes after expression
398 knockdown. Based on the examination of distribution pattern and selection signatures
399 across insects, our data indicated that extensive pseudogenization unlikely occurred
400 for the *SmydA* genes. Finally, the transcriptome analyses of the four insects showed
401 that several *SmydA* genes are involved in insect phenotype plasticity, suggesting that
402 *SmydA* genes contributed novelties for insect adaptive evolution. This data suggests a
403 role of diverged regulatory functions after their duplication in insects.

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404 A recent study has provided a framework for understanding the evolution history
405 of SMYD gene family in representative animal phyla [24]. The phylogenetic results
406 show that the metazoan SMYD genes can be classified in three main classes, *Smyd3*,
407 *Smyd5* and *Smyd4*. Two sub-classes of SMYD genes, namely *Smyd4-4* and *SmydA*,
408 are absent in vertebrates; the former one is insect-specific and the later one is
409 arthropod-specific. Within Chelicerata, we detected *Smyd4-4* in Acariform mites
410 (non-insect arthropods), suggesting our evidence did not support the point that
411 *Smyd4-4* is specific of insects. Since Chelicerata represents an out-group branch for
412 this study, further studies covering more basal branches of arthropod phylogeny are
413 required to ascertain the origin of *Smyd4-4*. *SmydA* genes represent a class of
414 arthropod-specific genes that are only present in the LCA of insect species and
415 crustacean species, suggesting their origin after the split of chelicerates from
416 Pancrustacea species. Conservation of five ancient *SmydA* genes in a wide range of
417 species suggests they probably originated from duplication events of conserved
418 SMYD genes predating the diversification of insects. Although a few cases of
419 whole-genome duplication have been documented in chelicerates, evidence that
420 whole-genome duplication occurs widely in arthropod evolution remains lacking [31].
421 Therefore, gene duplication rather than whole-genome duplication possibly leads to
422 the emergence of multiple copies of ancient *SmydA* genes in the LCA of Pancrustacea
423 species. The clear split of conserved SMYD and *SmydA* genes excluded the
424 possibility that multiple independent duplication events from conserved SMYD genes
425 resulted in the current repertoire of *SmydA* genes in insects. This result suggests that
426 the five ancient *SmydA* genes were first produced from a single ancestral gene, which
427 was derived from conserved SMYD genes. The five ancient *SmydA* genes were thus

58 Evolution of *SET* Genes in Insects
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428 the source from which insect-specific *SmydA* duplications were subsequently
429 produced in insects. Determining the location and order of multiple gene members at
430 the genomic scale sheds light on the evolutionary history of gene family. The closely
431 linked manner in genomic location suggests that homologous recombination and
432 functional differentiation may be a major force to shape the evolution of *SmydA* genes
433 in insects. For instance, in dipteran and lepidopteran insects, homologous
434 recombination may give rise to *SmydA-6* via the duplication events of *SmydA-3*
435 because *SmydA-3* and *SmydA-6* were in close proximity to each other in both genomic
436 location and phylogenetic trees. The tandem organization of three *SmydA-3* copies in
437 *T. castaneum* may also result from species-specific duplications via homologous
438 recombination. Retrotransposition events may represent another contributing force for
439 generating unlinked *SmydA* genes; these events can also generate intronless
440 retroposed gene copies [32]. However, the retrotransposition events could not be
441 inferred from the presence of signature of intron–exon structure because of the
442 subsequent insertion in deeply diverged duplicates, such as *SmydA-5*. Conserved gene
443 orders between species from Lepidoptera, Coleoptera, and Diptera revealed a high
444 degree of macrosyntenic gene order of the five ancient *SmydA* genes during
445 approximately 348 million years of evolutions splitting these insects [33]. This
446 observation implies strong constraints for preserving the conserved gene order of
447 *SmydA* genes in insects. Currently, whether this macro-syntenic gene order is
448 preserved outside holometabolous insects cannot be determined because
449 chromosome-level genome assemblies or genome-scale genetic linkage maps are not
450 available in hemimetabolous insects. This issue would be addressed when the genome
451 assembly is considerably improved in the future.

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452 Selective pressures were significantly weaker for the SMYD genes than for the
453 six conserved groups (Suv, Ash, Trx, E(z), PRDM, and SETD). Compared with the
454 six conserved groups, SMYD genes were the least conserved gene group and,
455 concordantly, the least constrained one. Nevertheless, the ω values of SMYD genes
456 ranged from 0.0052 for *SmydA-2* to 0.1627 for *Smyd4-1*. $\omega \ll 1$ was consistent with
457 their broad conservation across insects, implying their essential functional roles. This
458 observation suggests that purifying selection is the main force governing the evolution
459 of SMYD genes. The distributions of ω values of the conserved SMYD and *SmydA*
460 genes were statistically indistinguishable, indicating a symmetrical rate of sequence
461 evolution. Thus, purifying selection is subject to the conserved SMYD and *SmydA*
462 genes, but their intensity may be relaxed compared with other *SET* genes. Both the
463 GO analysis and the *in vitro* methyltransferase activity assay suggest that *SmydA*
464 genes, similar to their conserved SMYD ancestors, are sufficient to perform the
465 original function relating to histone methylation [34]. GO ontology analysis implied
466 that the *SmydA* genes have developed to acquire novel functions. These functions
467 were absent in the conserved SMYD genes, indicating that the *SmydA* genes may have
468 undergone functional differentiation. Gene duplications that occurred in specific
469 lineages are important in contributing to lineage-specific adaptive processes [35].
470 After gene duplication, purifying selection is expected in both gene copies if
471 duplication can confer a selective advantage [36]. By contrast, one of the two copies
472 can evolve either under relaxed purifying selection when no immediate advantage is
473 shown from gene duplication or under positive selection when a new function is
474 acquired via advantageous mutations [37]. Overall, these data suggest that the *SmydA*
475 genes may not represent redundant gene copies that are under pseudogenization.

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476 Several members of the SMYD family of histone methyltransferases have
477 undergone a dramatic expansion in the insect lineage [23]. These SMYD genes were
478 identified as caste-specific genes in ants (*Harpegnathos saltator*), suggesting that
479 these histone modifiers play dedicated regulatory roles in insect phenotypic plasticity.
480 However, the biological significance of the differential expressions of these genes
481 remains unknown [38]. Our study further verified the presence of the differential
482 expression patterns of the SMYD genes in the four other insects that also possessed
483 adaptive phenotypic plasticity. Consequently, the understanding of convergent
484 regulatory roles of the SMYD genes in insect phenotypic plasticity was extended.
485 Histone lysine methyltransferase catalyzes methyl group transfer to the amino group
486 of lysine residues of histones by means of the *SET* domain, a domain presented within
487 many proteins that regulate diverse development processes [39]. Histone lysine
488 methylation on specific residues is associated with distinct signatures of gene
489 expression, thereby serving as a chromatin modulator for epigenetic regulation [40].
490 Future studies should understand how the expanded SMYD gene family can quickly
491 become essential and identify the roles of the duplicated SMYD genes in insects,
492 despite the expectation of redundant functionality at the beginning of new duplicated
493 gene evolution [30].

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495 **Materials and Methods**

496 **Identification of insect *SET* genes**

497 Genome assemblies and official gene sets of 130 insect species, including 62 dipteran
498 insects, 33 hymenopteran insects, 10 hemipteran insects, 7 coleopteran species, 9
499 lepidopteran insects, and representatives from Orthoptera, Phthiraptera,

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500 Phasmatoptera, Trichoptera, Thysanoptera, Isoptera, Blattodea, Ephemeroptera and
501 Odonata, were obtained from their respective genome databases (Supplementary
502 Table S1). Among the basal arthropod species, we included 17 arthropod genomes
503 from 10 chelicerate species, five crustacean species and two non-insect hexapod
504 species.

505 The hidden Markov model-based HMMER program was used to identify the *SET*
506 domain containing proteins using PF00856 in the Pfam database with a conditional
507 E-value cutoff of $1e-5$ [41, 42]. Despite that the *SET* domain can be detected in their
508 homologs in closely related species, the genes lacking SET domain were considered
509 as deprived of lysine methylation capacity and were excluded for further analysis. The
510 resulting genes with stop codons or frameshift mutations were subsequently manually
511 checked. The obvious incorrect gene models were improved with transcriptome data
512 through the GeneWise version 2.2.0 program [43]. The PSILC version 1.21 program
513 was used to identify the potential pseudogenes [44]. Gene Ontology (GO) categories
514 were determined via scanning protein sequences against Interpro member databases
515 using various profile-based and hidden Markov models in the InterProScan version
516 5.13-52.0 package [45]. The member database binaries and models include
517 TIGRFAM, ProDom, Panther, SMART, PrositePatterns, SuperFamily, PRINTS,
518 Gene3d, PIRSF, PfamA and PrositeProfiles.

519 520 **Phylogenetic analysis, ancestral state reconstructions, and tests for selection**

521 Alignment-based methods using Bayesian inferences for *SET* domain sequences and
522 alignment-free methods based on feature frequency profiles for complete protein
523 sequences were used to infer phylogenetic relationships of *SET* genes across insects.

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524 Multiple alignments were generated using the MAFFT alignment software [46].
525 According to the Akaike information criterion, the model of molecular evolution with
526 the best fit to the data was determined by using the ProtTest 3.4.2 software [47].
527 Bayesian reconstruction of phylogeny was conducted using the MrBayes 3.2.1
528 software for 10,000,000 generations [48]. The first 25% of the trees were discarded as
529 burn-in. The alignment-free and distance-based methods for phylogenetic tree
530 building were implemented by means of the feature frequency profile method with the
531 FFP version 3.19 suite (<http://sourceforge.net/projects/ffp-phylogeny/>), utilizing the
532 FFPaa program for amino acid sequences with a word length of $L = 5$. The FFPboot
533 program was used for bootstrap analysis of the tree generated for 100 replicates.

534 We constructed a character matrix that represents present/absent states for each
535 *SET* homologous group to reconstruct the ancestral states of interior clades. We did
536 not consider member number variation and considered only the binary state, presence
537 or absence, of a given *SET* homologous group in any given node. The grouping of the
538 *SET* genes was inferred from the OrthoMCL software with the corresponding
539 orthologous *SET* gene in *D. melanogaster*. Ancestral state reconstruction was
540 implemented in the Mesquite program (<http://mesquiteproject.org/>) under maximum
541 likelihood optimization using Markov k-state 1 parameter model. After ancestral
542 reconstruction, we measured emergence and loss events of *SET* homologous group
543 along each branch in the phylogenetic tree. The emergence event of *SET* homologous
544 group was defined as the *SET* homologous group was absent at the ancestral nodes of
545 a given node and either of the outgroups This process requires a phylogeny tree of all
546 the species studied. Single-copy orthologous gene families were inferred from the
547 benchmarking universal single-copy ortholog BUSCO gene sets from each species

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548 [49]. The resulting 527 single-copy orthologous (completed genes in BUSCO) gene
549 families were used to construct the neighbor-joining species tree, which is consistent
550 with the phylogenomic tree recently inferred from transcriptome data [14]. The
551 neighbor-joining species tree was constructed from amino acid sequences of
552 single-copy orthologs using Phylip version 3.69 package. The bootstrap values,
553 calculated from 100 replicates using the seqboot, protdist, neighbor and consense
554 programs of Phylip package.

556 **Expression of SMYD family genes in response to phenotypic plasticity**

557 The transcriptome data for gregarious and solitary locusts in *L. migratoria*, asexual
558 and sexual morphs in *A. pisum*, queens and workers in *A. mellifera*, and minor and
559 major workers in *A. echinator* were retrieved from the NCBI database under
560 accession numbers PRJNA79681, GSE56830, GSE61253, and GSE51576,
561 respectively. The raw reads were preprocessed to remove adapters and low-quality
562 bases using the Trimmomatic software; these reads were then mapped to the genome
563 assembly (genome assembly version: v2.4 for *L. migratoria*, v1.0 for *A. pisum*,
564 Amel_2.0 for *A. mellifera* and Aech_v2.0 for *A. echinator*, respectively) using the
565 Tophat2 version 2.0.14 software [50, 51]. Raw counts of each gene were calculated
566 and annotated using the HT-seq version 0.6.1 package in Python, and the trimmed
567 mean of M value normalization method was used to normalize raw counts [52].
568 Differential expression analysis was performed using the edgeR version 3.8.0 package
569 at an FDR cut-off of 0.05 [53].

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571 Function approval of *SmydA-2* genes via experimental evidence

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2 572 Fluorescence *in situ* analysis of *SmydA-2* was performed on the brains and
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5 573 integuments of locust nymphs. Biotin-labeled antisense and sense probes
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7 574 (Supplementary Table S4) of *SmydA-2* were produced from pGEM-T Easy plasmids
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9 575 (Promega) by using the T7/SP6 RNA transcription system (Roche) following the
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11 576 manufacturer's protocol. The PCR parameters were a preincubation 94 °C for 5 min,
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13 577 followed by 30 cycles of 94 °C for 10 sec, 58 °C for 30 sec, 72 °C for 30 sec, and a
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15 578 final extension at 72 °C for 10min. The brains and integuments were fixed in 4%
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17 579 paraformaldehyde overnight. The paraffin-embedded slides (5 µm thick) were
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19 580 deparaffinized in xylene, rehydrated with an ethanol gradient, digested with 20 µg/mL
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21 581 proteinase K (Roche) at 37 °C for 15 min, and then incubated with *SmydA-2* probe at
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23 582 60 °C for 5 min. The slides were hybridized for 7–15 h at 37 °C and washed in
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25 583 0.2×SSC and 2% BSA at 4 °C for 5 min. The biotin-labeled probes of *SmydA-2* were
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27 584 detected with a streptavidin horseradish peroxidase conjugate and fluorescein
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29 585 tyramide substrate using a TSA kit (Perkin Elmer). Images for fluorescence signals
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31 586 were acquired using an LSM 710 confocal fluorescence microscope (Zeiss).

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39 587 The recombinant proteins for *SmydA-2* and the negative controls of translation
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41 588 system were produced using the TNT protein expression system (Promega) following
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43 589 the manufacturer's protocol. In brief, 3 µg PCR-generated DNA templates
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45 590 (Supplementary Table S4) were added to 30 µl TNT master mix, and the translation
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47 591 reactions were incubated at 25 °C for 2 h. The recombinant proteins were verified by
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49 592 Western blotting using His-tag antibodies. For *in vitro* methyltransferase assay, 2 mg
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51 593 of unmodified histone H3 peptides (Sino Biological) were incubated with 1 mg of
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53 594 recombinant protein and 0.1 mM S-adenosyl-methionine (SAM, NEB) in a reaction

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595 buffer containing 50 mM Tris-HCl (pH 8.0), 10% glycerol, 20 mM KCl, 5 mM MgCl₂,
596 1 mM DTT, and 1 mM PMSF at 30 °C for 2 h. The reaction mixtures were subjected
597 to electrophoresis on SDS-PAGE, and the methylation activities were detected in
598 Western blotting using anti-pan methyl lysine antibody (Abcam Cat# ab7315,
599 RRID:AB_305840). Anti-histone H3 (Abcam Cat# ab176877, RRID:AB_2637011)
600 was used as endogenous control for protein samples.

601 Locusts (the migratory locust, *Locusta migratoria*) were reared in large,
602 well-ventilated cages (40 cm × 40 cm × 40 cm) at a density of 500–1000 insects per
603 container. These colonies were reared under a 14:10 light/dark photo regime at 30 °C
604 and were fed fresh wheat seedlings and bran. Double-stranded RNAs of *SmydA-2* and
605 green fluorescent protein (GFP) were prepared using the T7 RiboMAX Express RNAi
606 system (Promega) in accordance with the manufacturer's protocols. Second-instar
607 locusts were injected with double-stranded RNAs in the second ventral segment of the
608 abdomen. Total RNAs were isolated using TRIzol reagent (Thermo Fisher Scientific)
609 and then reverse-transcribed into cDNA using M-MLV reverse transcriptase
610 (Promega). The mRNA levels were quantified using the SYBR Green expression
611 assays on a LightCycler 480 instrument (Roche). The parameters were a
612 pre-incubation 95°C for 10 min, followed by 45 cycles of 95 °C for 10 sec, 58 °C for
613 20 sec, and a single acquisition when 72 °C for 20 sec. The ribosomal protein 49 gene
614 was used as reference control, and the quantification was based on the requirement of
615 PCR cycle number to cross or exceed the fluorescence intensity level; the $2^{-\Delta\Delta Ct}$
616 method was used to analyze mRNA expression levels. Survival data were analyzed
617 using the Kaplan–Meier method [54], and survival curves were compared using
618 log-rank testing for the *dsSmydA-2* and *dsGFP* curves.

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620 Signature of selection detected through likelihood ratio tests

621 Protein sequences of *SET* genes were aligned with the MAFFT alignment software
622 [46] and the back-translated into corresponding nucleotide sequences. Gene
623 conversion was detected using the recombination detection program GENECONV
624 version 1.81a. To assess the contribution of natural selection during the diversification
625 of the *SET* gene family in insects, the ratios of nonsynonymous substitution per
626 nonsynonymous site (d_N) to synonymous substitution per synonymous site (d_S) across
627 the phylogenetic tree of the species were calculated using the software package
628 PAML version 4.48a [55]. The basic model M0 (null model) assumes the ratio $\omega =$
629 d_N/d_S is invariable (one-ratio model) among all branches examined, whereas the
630 alternative model allows the ω ratio to vary in different tree branches in the
631 phylogenetic tree [56, 57]. Likelihood ratio tests were applied to compare the null and
632 alternative models, which estimated ω ratio separately for different branches,
633 assuming a priori and the background branches. A significantly higher likelihood of
634 the alternative model than the null model indicates a better fit to the data, indicating a
635 variation of selective pressures in different tree branches [56, 57].

636

637 Declarations**638 List of abbreviations**

639 *SET* genes, *SET* domain-containing genes; E(z), Enhancer of zeste; LCA, last
640 common ancestor; GO, gene ontology; MYND, Myeloid translocation protein; qPCR,

Evolution of *SET* Genes in Insects

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641 quantitative real-time polymerase chain reaction; DEGs, differentially expressed
642 genes; FDR, false discovery rate; SAM, S-adenosyl-methionine; GFP, green
643 fluorescent protein; PP, posterior probability

644 **Ethics approval and consent to participate**

645 All animal procedures were licensed under the Institutional Animal Care and Use
646 Committee of the Institute of Zoology, Chinese Academy of Sciences.

647 **Competing interests**

648 The authors declare they have no competing interests.

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653 decision to publish, or preparation of the manuscript.

654 **Authors' contributions**

655 F.J., X.W., and L.K conceived and designed the experiments. F.J. and Q. L analyzed
656 and interpreted the data. F.J., Q. L., Y.W., J.Z., H.W., T.S., and M.Y. performed the
657 experiments. F.J., Q.L., and L.K wrote the paper.

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662 Availability of supporting data and materials

663 Snapshots of the dataset supporting the conclusions of this article is available in the
 664 *GigaScience* GigaDB database [58], as well as from our website which also has a
 665 BLAST server <http://159.226.67.242:8080>.

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819 SmydA genes as histone modifiers in insects”. *GigaScience Database.*
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823 **Figures**

824 **Figure 1. Phylogenetic analysis of *SET* genes in insects.** A phylogeny using
825 Bayesian inference is generated from the domain protein sequence of *SET* genes. One
826 representative is elected for each order. The protein domains, which are labeled with
827 different colors based on the domain type, are shown in the exterior circle of the
828 phylogenetic tree. The length of the grey long line after each terminal is directly
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829 proportional to the length of the corresponding *SET* gene. The branch colors of the
 830 phylogenetic trees indicate the established *SET* gene classification which divides *SET*
 831 genes into seven major conserved groups, namely: Suv, Ash, Trx, E(z), PRDM,
 832 SMYD, and SETD. The *SET* genes labeled in black branches cannot be classified into
 833 the seven major conserved groups, suggesting their arthropod origin. The
 834 representative species include *Apis mellifera*, *Daphnia pule*, *Drosophila*
 835 *melanogaster*, *Ixodes scapularis*, *Locusta migratoria*, *Pediculus humanus*, *Plutella*
 836 *xylostella*, *Rhodnius prolixus*, *Tetranychus urticae*, *Timema cristinae* and *Tribolium*
 837 *castaneum*.

838
 839 **Figure 2. Diversification of arthropod-specific *SET* genes.** (A) Distribution pattern
 840 of *SET* genes in arthropod orders. One representative is elected for each order. Red
 841 color indicates presence of *SET* genes, and blue color indicates absence of *SET* genes.
 842 (B) Inference of ancestral sets of *SET* homologous groups along the evolution of
 843 insects. The gains and losses of *SET* homologous groups are indicated in the internal
 844 nodes of the phylogenetic tree. The number in parentheses indicates the number of
 845 species in each order. The bars indicate the number ranges of *SET* homologous groups
 846 in each order.

847
 848 **Figure 3. Evolution of *SmydA* genes in insects.** (A) Gene ontology categories of the
 849 conserved and arthropod-specific groups of *SET* genes. The gene ontology categories,
 850 which are only present in the arthropod-specific group, are highlighted in red. (B)
 851 Phylogenetic tree of the SMYD gene family of the representative species selected
 852 from each order. The representative species include *Apis mellifera*, *Daphnia pule*,

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853 *Drosophila melanogaster*, *Ixodes scapularis*, *Locusta migratoria*, *Pediculus humanus*,
 854 *Plutella xylostella*, *Rhodnius prolixus*, *Tetranychus urticae*, *Timema cristinae* and
 855 *Tribolium castaneum*. The phylogenetic tree is constructed using the Bayesian
 856 inference method. The Bayesian posterior probability (PP) values are indicated only
 857 for the internal nodes to improve clarity; consequently, the *SET* genes are grouped
 858 into different monophyletic clades (SMYD subfamilies). Red and orange circles
 859 indicate PP > 90% and PP > 70%, respectively. (C) Conserved synteny for *SmydA*
 860 genes in four holometabolous species. Shown from top to bottom are *Drosophila*
 861 *melanogaster*, *Anopheles gambiae*, *Tribolium castaneum* and *Apis mellifera*. (D)
 862 Distributions of ω ($\omega = d_N/d_S$ ratio) values of the conserved SMYD and *SmydA* groups
 863 of *SET* genes.

864
 865 **Figure 4. Function approval of *SmydA-2* genes through experimental evidence.**

866 (A) *In vitro* methyltransferase assay of histone H3 of *SmydA-2* in locusts. Anti-pan
 867 methyl lysine antibody recognizes histone H3 *in vitro* methylated with *SmydA-2*.
 868 Anti-histone H3 serves as endogenous control for protein samples. The analyses were
 869 carried out in three replicates. **** $P < 0.01$** . (B) Expression evidence of *SmydA-2* in the
 870 brain and cuticle of locusts via fluorescence *in situ* hybridization analysis. Green
 871 signals indicate the expression of *SmydA-2* /control, and blue signals indicate nuclear
 872 staining with Hoechst. (C) Relative gene expression of *SmydA-2* in the different
 873 tissues. mRNA levels are quantified using the SYBR Green expression assays on a
 874 LightCycler 480 instrument. The qPCR data are shown as the mean \pm SEM ($n = 6$).
 875 (D) Survival analysis of the locusts after *SmydA-2* double-strand RNA injection. Data

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876 are analyzed through the Kaplan–Meier survival curve comparison of the *dsSmydA-2*
877 and *dsGFP* groups for three replicates.

878

879 **Figure 5. Differential expression analysis in insects showing phenotype plasticity.**

880 Alternative phenotype includes gregarious and solitary phases in *Locusta migratoria*
881 (LOCMI), asexual and sexual morphs in *Acyrtosiphon pisum* (ACYPI), queens and
882 workers in *Apis mellifera* (APIME), and large workers and queens in *Acromyrmex*
883 *echinator* (ACREC).

884

885 **Tables**

886 **Table 1. Summary of *SET* genes in insect genomes.**

887 **Table 2. Tests of rate heterogeneity acting on *SET* genes in insects.**

888 **Table 3. Signatures of selection acting on differentially expressed *SET* genes in**
889 **response to phenotypic plasticity.**

890

891 **Supplementary Data**

892 **Supplementary Table S1. The arthropod genome data involved in this study.**

893 **Supplementary Table S2. *SET* genes in the 147 arthropod genomes.**

894 **Supplementary Table S3. Summary of *SET* genes in the 147 arthropod genomes.**

895 **Supplementary Table S4. Primers used in the study.**

896 **Supplementary Figure S1. Phylogenetic analysis of the *SET* genes in Lepidoptera**
897 **using Maximum-likelihood inferences with PhyML. The *SET* gene families labeled**

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898 with different colors are shown in the exterior circle of the phylogenetic tree. The
899 insect species involved are represented with different colors of the external branch.

900 **Supplementary Figure S2. Phylogenetic analysis of the *SET* genes in Diptera**

901 **using Maximum-likelihood inferences with PhyML.** The *SET* gene families labeled
902 with different colors are shown in the exterior circle of the phylogenetic tree. The
903 insect species involved are represented with different colors of the external branch.
904 The representative species are selected to improve clarity.

905 **Supplementary Figure S3. Phylogenetic analysis of the *SET* genes in Hemiptera**

906 **using Maximum-likelihood inferences with PhyML.** The *SET* gene families labeled
907 with different colors are shown in the exterior circle of the phylogenetic tree. The
908 insect species involved are represented with different colors of the external branch.

909 **Supplementary Figure S4. Phylogenetic analysis of the *SET* genes in**

910 **Hymenoptera using Maximum-likelihood inferences with PhyML.** The *SET* gene
911 families labeled with different colors are shown in the exterior circle of the
912 phylogenetic tree. The insect species involved are represented with different colors of
913 the external branch. The representative species are selected to improve clarity.

914 **Supplementary Figure S5. Phylogenetic analysis of the *SET* genes in Coleopteran**

915 **using Maximum-likelihood inferences with PhyML.** The *SET* gene families labeled
916 with different colors are shown in the exterior circle of the phylogenetic tree. The
917 insect species involved are represented with different colors of the external branch.

918 **Supplementary Figure S6. Effects of RNA interference of the mRNA expression**

919 **levels of *SmydA-2* in locust brains.** The locusts are injected with double-stranded
920 RNAs into the second ventral segment of the abdomen. Due to the systemic RNA
921 interference in locusts, the brain, which is spatially distant from the abdomen, is used

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922 in qPCR assays to guarantee effective expression knockdown. qPCR data are shown
923 as the mean \pm SEM (n = 6). **P < 0.01.

924 **Supplementary Figure S7. Tree topology and branch labeling for tests of**
925 **selection on *SET* genes.** APIME, *Apis mellifera*; ACREC, *Acromyrmex echinator*;
926 LOCMI, *Locusta migratoria*. Supplementary Table S1 presents the abbreviation of
927 insect species.

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Table 1. Summary of *SET* genes in insect genomes.

Order	Genus	SMYD	SETD	PRDM	Ash	Suv	Trx	Ez	Other	Total
Coleoptera	<i>Agrilus</i> (1)	4	1	2	3	3	3	1	9	26
Coleoptera	<i>Anoplophora</i> (1)	7	1	2	3	3	3	2	7	28
Coleoptera	<i>Dendroctonus</i> (1)	5	1	1	3	3	3	1	12	29
Coleoptera	<i>Leptinotarsa</i> (1)	10	1	1	2	5	3	1	9	32
Coleoptera	<i>Onthophagus</i> (1)	4	1	1	3	4	3	1	10	27
Coleoptera	<i>Oryctes</i> (1)	6	1	1	3	3	1	1	9	25
Coleoptera	<i>Tribolium</i> (1)	6	2	1	3	3	3	1	15	34
Phthiraptera	<i>Pediculus</i> (1)	6	1	1	3	4	3	1	9	28
Blattodea	<i>Blattella</i> (1)	4	2	2	4	3	2	1	7	25
Diptera	<i>Aedes</i> (2)	11-12	1	2	3-4	2-3	3-4	1-2	11-12	34-38
Diptera	<i>Anopheles</i> (19)	6-19	1	1-2	1-3	2-3	2-3	1	4-11	20-37
Diptera	<i>Bactrocera</i> (2)	4-5	1	1-2	3-4	4	3-6	1-2	13-22	31-45
Diptera	<i>Ceratina</i> (1)	5	1	1	2	4	3	1	11	28
Diptera	<i>Ceratitis</i> (1)	5	1	1	3	3	3	1	14	31
Diptera	<i>Culex</i> (1)	40	1	1	13	2	9	1	14	81
Diptera	<i>Drosophila</i> (22)	4-5	1	1	3-4	3-5	2-4	1	7-14	24-31
Diptera	<i>Glossina</i> (6)	4-5	1	1	3-4	2-5	3-4	1	12-15	29-34
Diptera	<i>Lucilia</i> (1)	5	1	1	3	3	3	1	12	29
Diptera	<i>Lutzomyia</i> (1)	6	1	1	3	3	2	1	10	27
Diptera	<i>Mayetiola</i> (1)	13	1	1	9	6	4	1	25	60
Diptera	<i>Megaselia</i> (1)	2	1	1	3	2	1	1	5	16
Diptera	<i>Musca</i> (1)	5	1	1	3	3	3	1	20	37
Diptera	<i>Phlebotomus</i> (1)	5	1	1	4	3	3	1	6	24
Diptera	<i>Belgica</i> (1)	27	2	1	3	5	4	1	12	55
Diptera	<i>Stomoxys</i> (1)	5	1	1	3	2	3	1	16	32
Ephemeroptera	<i>Ephemer</i> (1)	18	1	1	3	2	2	1	12	40
Hemiptera	<i>Acyrtosiphon</i> (1)	14	1	0	2	10	4	1	31	63
Hemiptera	<i>Cimex</i> (1)	4	1	2	3	5	3	1	5	24
Hemiptera	<i>Diaphorina</i> (1)	3	1	1	4	4	3	2	11	29
Hemiptera	<i>Gerris</i> (1)	6	1	1	3	3	3	1	8	26
Hemiptera	<i>Halyomorpha</i> (1)	5	1	1	2	5	3	1	8	26
Hemiptera	<i>Homalodisca</i> (1)	5	2	2	2	5	4	1	8	29
Hemiptera	<i>Nilaparvata</i> (1)	4	1	6	2	4	4	1	7	29
Hemiptera	<i>Oncopeltus</i> (1)	6	1	1	2	5	4	1	7	27
Hemiptera	<i>Pachypsylla</i> (1)	1	1	2	2	3	1	1	9	20
Hemiptera	<i>Rhodnius</i> (1)	6	1	1	2	2	2	1	6	21
Hymenoptera	<i>Acromyrmex</i> (1)	7	2	1	3	3	3	1	7	27
Hymenoptera	<i>Apis</i> (3)	6-7	1	1	3	3-4	1-3	1	7-9	22-29
Hymenoptera	<i>Athalia</i> (1)	7	1	2	2	3	2	1	8	26
Hymenoptera	<i>Atta</i> (1)	8	1	1	3	4	3	1	7	28
Hymenoptera	<i>Bombus</i> (2)	7-8	1	1	3	4	3	1	8-10	29-30
Hymenoptera	<i>Camponotus</i> (1)	8	2	1	2	3	2	1	8	27
Hymenoptera	<i>Cardiocondyla</i> (1)	7	2	1	3	4	3	1	10	31
Hymenoptera	<i>Cephus</i> (1)	6	1	1	2	3	2	1	6	22
Hymenoptera	<i>Cerapachys</i> (1)	5	1	1	2	3	3	1	6	22
Hymenoptera	<i>Ceratosolen</i> (1)	8	1	1	3	3	2	1	9	28
Hymenoptera	<i>Copidosoma</i> (1)	17	1	1	3	4	2	1	16	45
Hymenoptera	<i>Dufourea</i> (1)	7	2	1	3	4	3	1	7	28

Hymenoptera	<i>Eufriesea</i> (1)	6	2	1	3	4	3	1	8	28
Hymenoptera	<i>Fopius</i> (1)	9	1	1	3	4	1	1	9	29
Hymenoptera	<i>Habropoda</i> (1)	8	2	1	3	4	3	1	8	30
Hymenoptera	<i>Harpegnathos</i> (1)	8	2	0	1	2	1	1	8	23
Hymenoptera	<i>Linepithema</i> (1)	7	2	1	3	4	3	1	8	29
Hymenoptera	<i>Megachile</i> (1)	7	2	1	3	3	3	1	8	28
Hymenoptera	<i>Melipona</i> (1)	7	2	1	3	4	3	1	8	29
Hymenoptera	<i>Microplitis</i> (1)	18	1	1	3	4	3	2	8	40
Hymenoptera	<i>Monomorium</i> (1)	6	1	1	2	3	2	1	5	21
Hymenoptera	<i>Nasonia</i> (1)	17	1	1	3	4	2	1	23	52
Hymenoptera	<i>Orussus</i> (1)	11	2	1	2	3	3	1	7	30
Hymenoptera	<i>Pogonomyrmex</i> (1)	5	2	1	2	4	3	1	8	26
Hymenoptera	<i>Polistes</i> (1)	6	1	1	1	4	2	1	6	22
Hymenoptera	<i>Solenopsis</i> (1)	2	1	1	3	3	3	1	7	21
Hymenoptera	<i>Trichogramma</i> (1)	15	1	1	3	4	1	1	26	52
Hymenoptera	<i>Vollenhovia</i> (1)	6	1	1	3	4	2	1	3	21
Hymenoptera	<i>Lasioglossum</i> (1)	9	1	1	3	3	3	1	8	29
Hymenoptera	<i>Wasmannia</i> (1)	7	1	1	3	3	3	1	6	25
Isoptera	<i>Zootermopsis</i> (2)	6	1	2	2	4	3	1	10	29
Lepidoptera	<i>Bombyx</i> (1)	4	2	1	3	4	3	1	8	26
Lepidoptera	<i>Danaus</i> (1)	5	1	1	3	5	3	1	10	29
Lepidoptera	<i>Heliconius</i> (1)	5	1	1	2	4	3	1	6	23
Lepidoptera	<i>Papilio</i> (2)	6	1	1	3	2-4	2	1	9-11	26-27
Lepidoptera	<i>Lerema</i> (1)	4	1	2	3	3	3	1	10	27
Lepidoptera	<i>Melitaea</i> (1)	5	1	1	3	1	3	1	8	23
Lepidoptera	<i>Manduca</i> (1)	6	2	7	7	5	5	2	29	63
Lepidoptera	<i>Plutella</i> (1)	5	4	1	4	5	6	0	13	38
Odonata	<i>Ladona</i> (1)	3	2	2	3	4	3	1	9	27
Orthoptera	<i>Locusta</i> (1)	9	1	1	3	4	3	1	7	29
Phasmatoptera	<i>Timema</i> (1)	3	1	1	3	5	3	1	6	23
Thysanoptera	<i>Frankliniella</i> (1)	6	2	8	3	5	3	1	21	49
Trichoptera	<i>Limnephilus</i> (1)	3	1	0	2	3	2	1	6	18

used to represent the range of *SET* gene number in each genus. The exact gene numbers for different groups in a species are shown in the supplementary Table 3. Other, arthropod-specific and unclassified *SET* genes.

Table 2. Tests of rate heterogeneity acting on *SET* genes in insects.

	Gene	One Ratio Likelihood	One Ratio ω	Free Ratio Likelihood	df	<i>P</i>
	<i>Smyd3</i>	-4833.870633	0.055	-4833.870633	16	<0.001
SMYD	<i>Smyd4-1</i>	-17270.85481	0.1627	-17140.2931	58	<0.001
	<i>Smyd4-2</i>	-13187.36796	0.1125	-13112.10598	44	<0.001
	<i>Smyd4-3</i>	-20488.96316	0.1069	-20364.99139	66	<0.001
	<i>Smyd4-4</i>	-15552.36608	0.1112	-15475.97917	44	<0.001
	<i>Smyd5</i>	-21495.43548	0.0633	-21329.01303	64	<0.001
	<i>upSET(MLL5)</i>	-7286.598116	0.0103	-7247.800191	62	0.087
	<i>Set8</i>	-6450.096636	0.0321	-6386.997507	60	<0.001
	<i>Hmt4-20</i>	-3523.660744	0.0079	-3478.339497	56	<0.001
SETD	<i>SETD</i>	-9030.115692	0.033	-9009.972504	34	0.212
PRDM	<i>Blimp-1</i>	-2679.981724	0.0051	-2664.129882	52	0.988
	<i>Mes-4</i>	-5530.425067	0.0163	-5504.225668	56	0.612
Ash	<i>ash1</i>	-4995.315864	0.0122	-4947.987993	60	<0.001
	<i>Set2</i>	-5636.021533	0.0118	-5570.266003	60	<0.001
	<i>Su(var)3-9</i>	-4351.473377	0.0212	-4308.872564	32	<0.001
Suv	<i>egg</i>	-15308.27271	0.0624	-15214.54477	54	<0.001
	<i>CG4565</i>	-7168.675146	0.056	-7114.254055	46	<0.001
	<i>G9a</i>	-4641.585219	0.0091	-4604.810574	54	0.040
	<i>trx</i>	-3897.22035	0.0031	-3877.624919	58	0.972
Trx	<i>Set1</i>	-3733.003015	0.0026	-3700.07484	60	0.281
	<i>trr</i>	-4549.712	0.0114	-4471.116449	60	<0.001
E(z)	<i>Ez</i>	-3368.302419	0.0007	-3355.922925	61	1.000
	<i>SmydA-1</i>	-10066.85883	0.0904	-9995.276076	34	<0.001
	<i>SmydA-2</i>	-11858.79656	0.0052	-11812.61641	30	<0.001
	<i>SmydA-3</i>	-13902.68842	0.0817	-13842.81154	56	<0.001
SMYDA	<i>SmydA-4</i>	-9602.742487	0.0254	-9583.599425	26	0.057
	<i>SmydA-5</i>	-13748.76916	0.1179	-13656.26849	50	<0.001
	<i>SmydA-6</i>	-12142.19779	0.1623	-12043.99319	42	<0.001
	<i>SmydA-9</i>	-13258.40628	0.1357	-13193.53611	52	<0.001

Note: Accounting for the unequal genome sequencing efforts between different insect families, we selected one species within each genus to be representative of the genus.

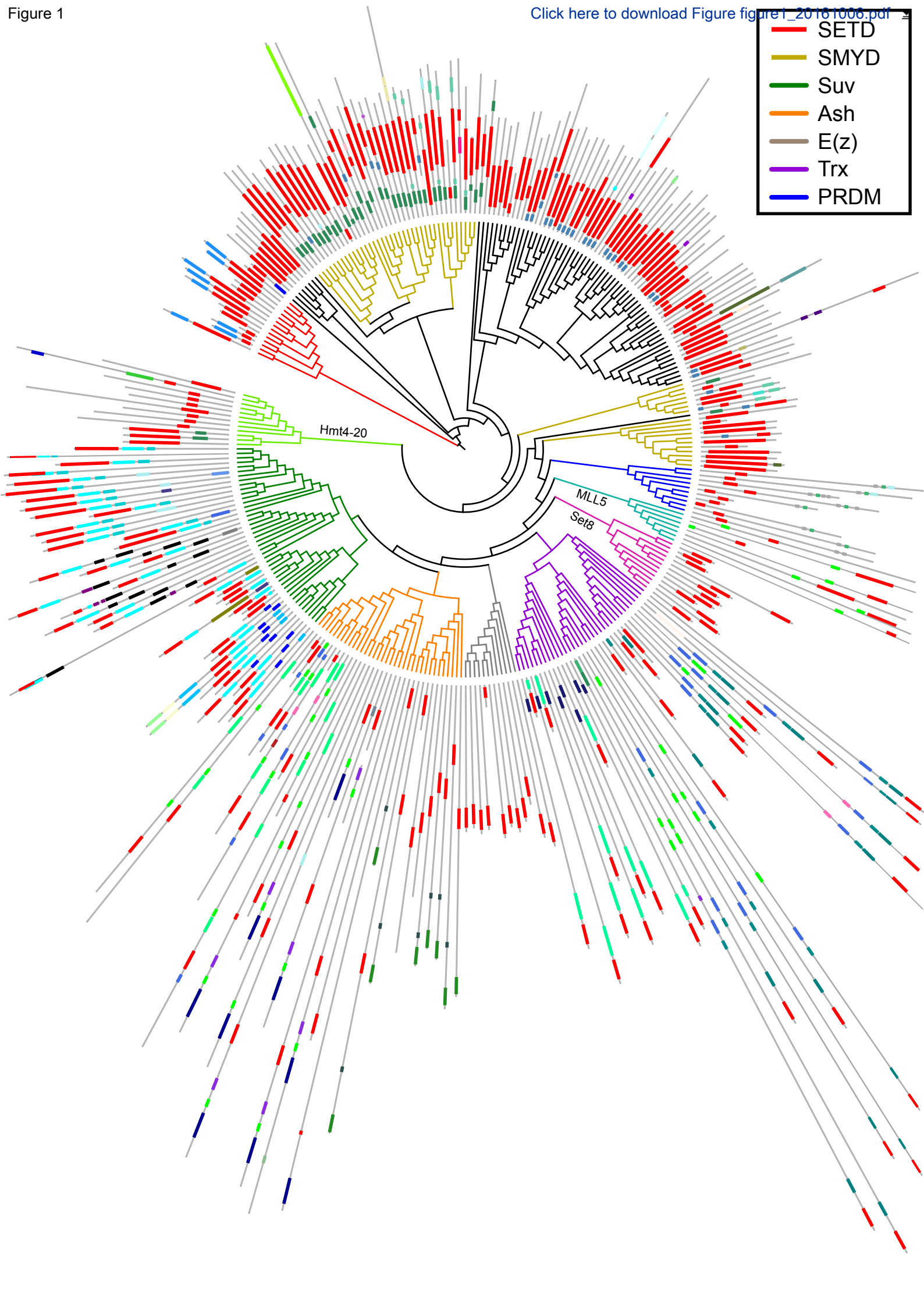
Table 3. Signatures of selection acting on differential expressed *SET* genes in response to phenotypic plasticity.

Model-Parameters	APIME		LOCMI	ACREC		
	<i>SmydA-3</i>	<i>SmydA-5</i>	<i>SmydA-1</i>	<i>SmydA-3</i>	<i>SmydA-5</i>	<i>SmydA-9</i>
Basic models						
M0: ω	0.082	0.118	0.090	0.082	0.118	0.136
Branch models						
B0: lnL	-13914.741	-13749.007	-10088.904	-13905.140	-13749.047	-13259.370
B0: ω_0 ($\omega_1 = 1$)	0.077	0.113	0.090	0.081	0.117	0.135
BA: lnL	-13901.138	-13745.405	-10056.182	-13901.922	-13748.719	-13258.338
BA: ω_0, ω_1	0.080, 0.142	0.115, 0.313	0.095, 0.003	0.081, 0.177	0.118, 0.181	0.135, 0.186
Branch-site models						
A0: p_{2a} ($\omega_2 = 1$)	0.078	0.059	0.111	0.082	0.155	0.096
AA: p_{2a}, ω_2	0.078, 1.000	0.025, 3.102	0.109, 8.895	0.082, 1.000	0.155, 1.000	0.011, 19.742
Positively selected sites (BEB)	5 M 11 K 93 P 105 C					
LRT, P						
M0 versus BA	0.078	0.009	<0.001	0.216	0.752	0.712
BA versus B0	<0.001	0.007	<0.001	0.011	0.418	0.151
A0 versus AA	1.000	0.802	0.022	1.000	1.000	0.082

ω , the ratios of nonsynonymous substitution per nonsynonymous site to synonymous substitution per synonymous site; ω_0, ω_1 , background and foreground ω values, respectively; APIME, *Apis mellifera*; ACREC, *Acromyrmex echinator*; LOCMI, *Locusta migratoria*.

Figure 1

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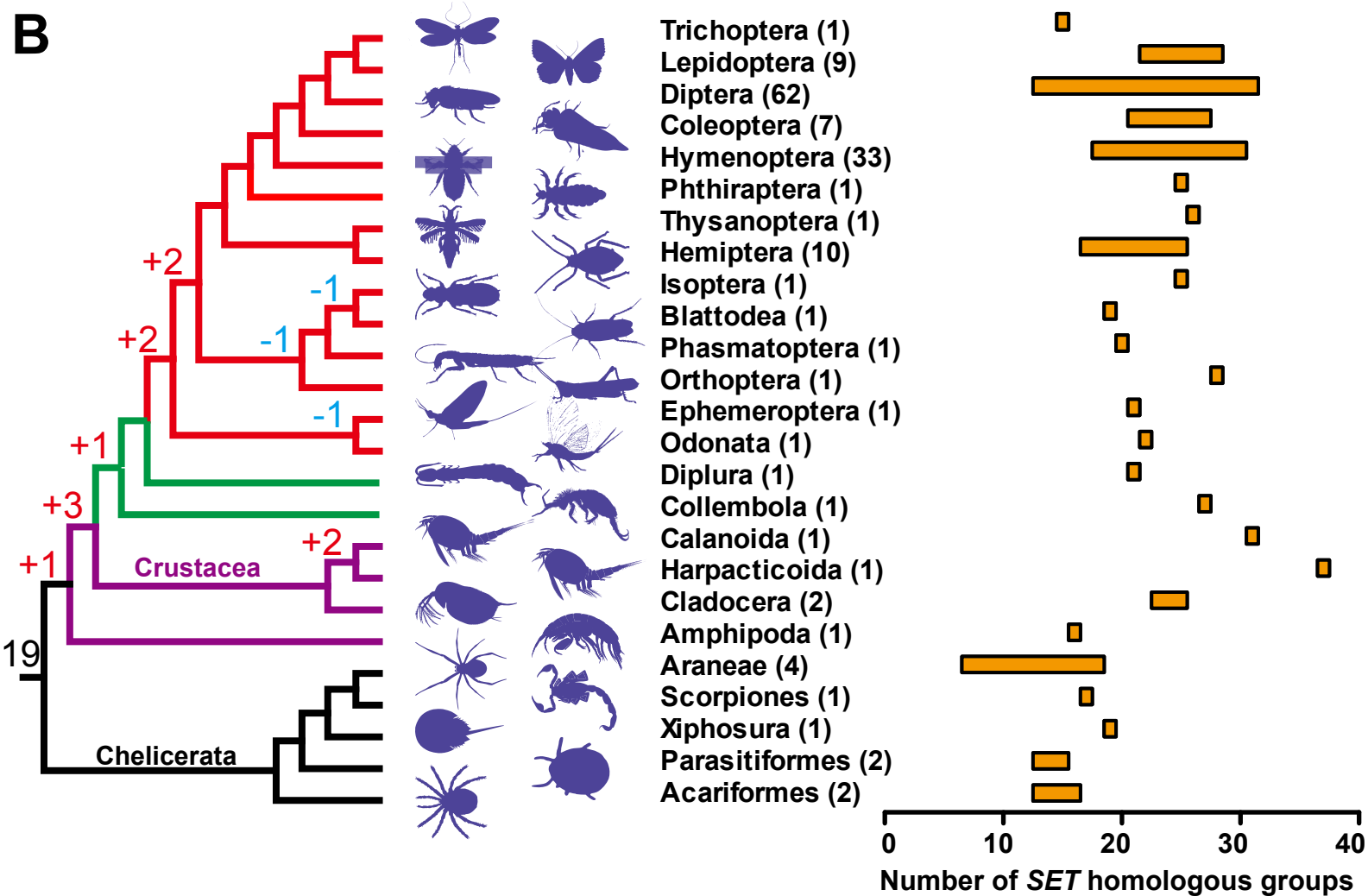
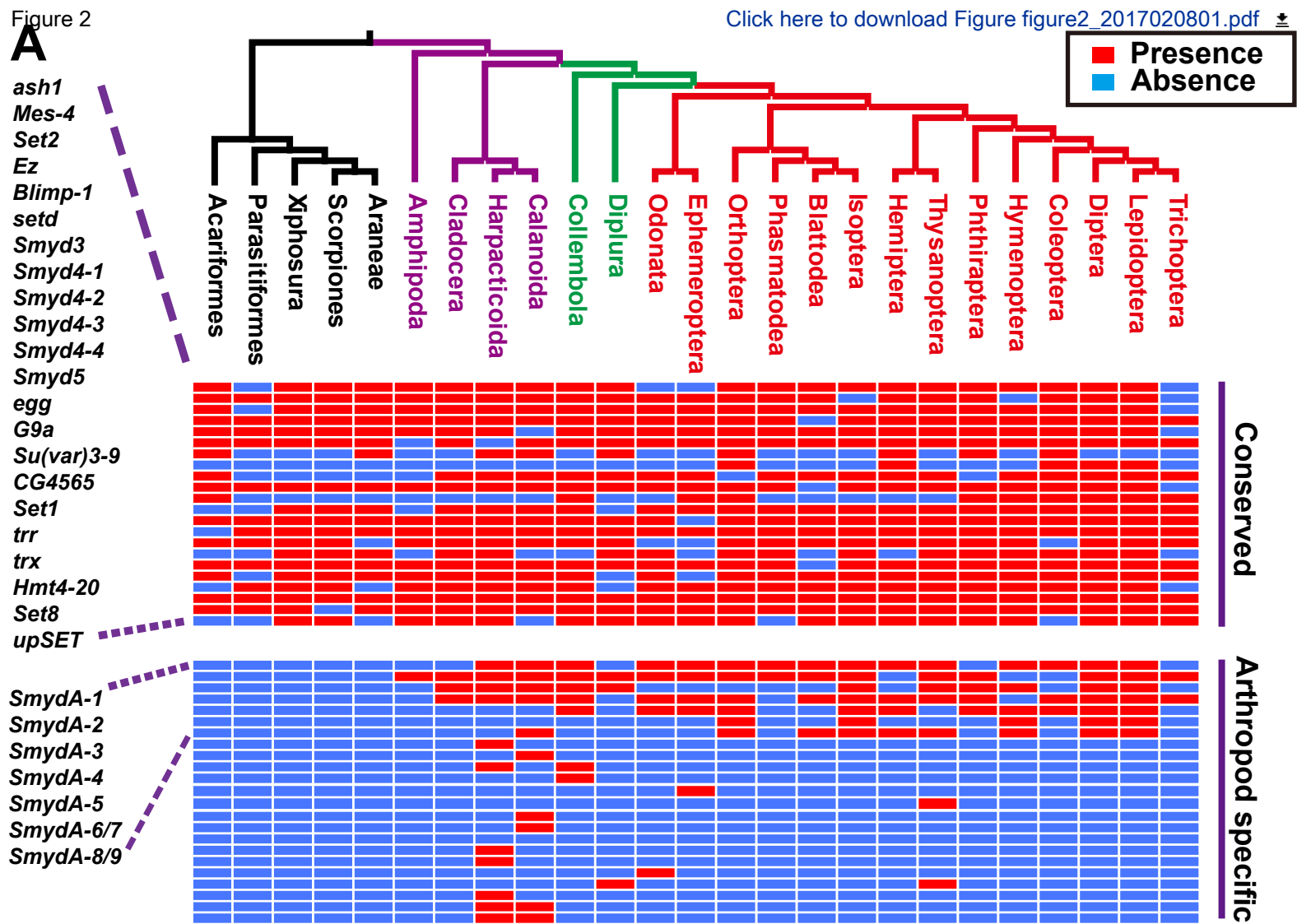
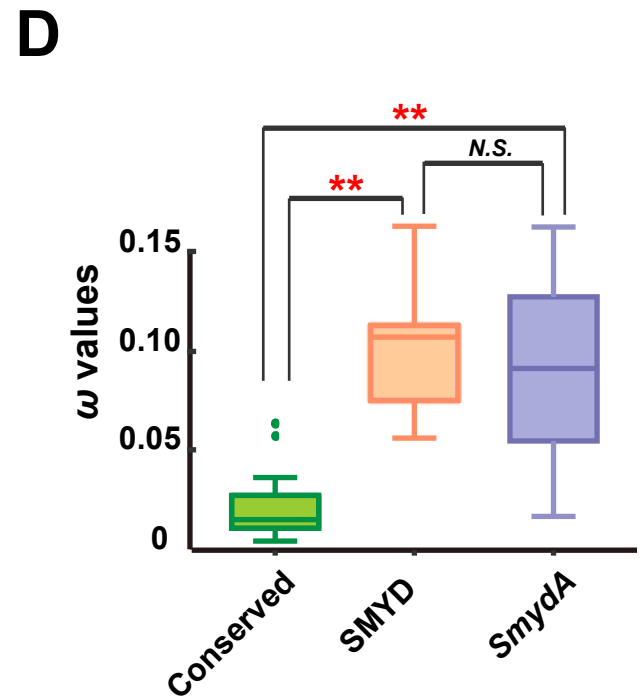
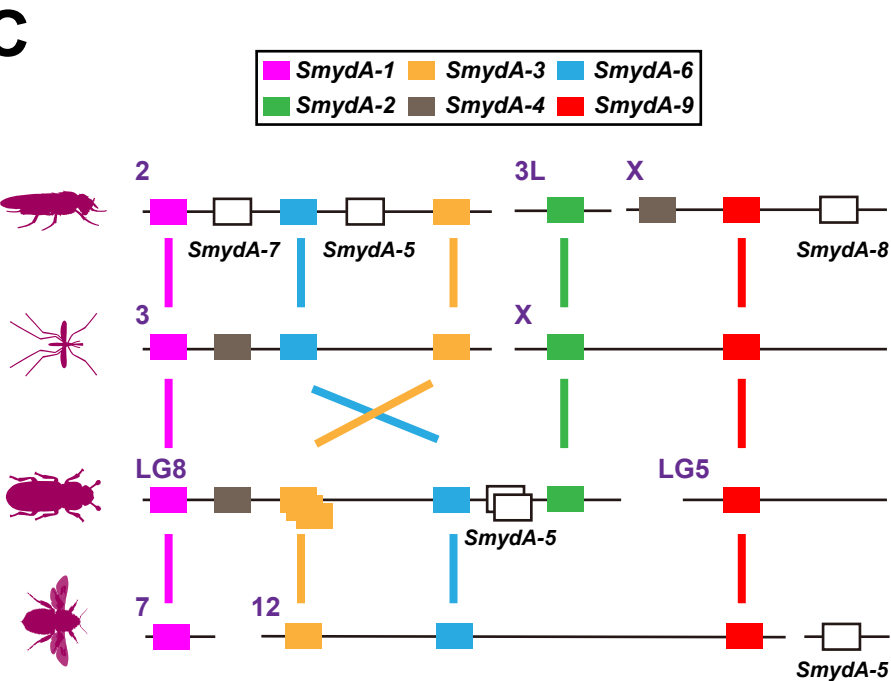
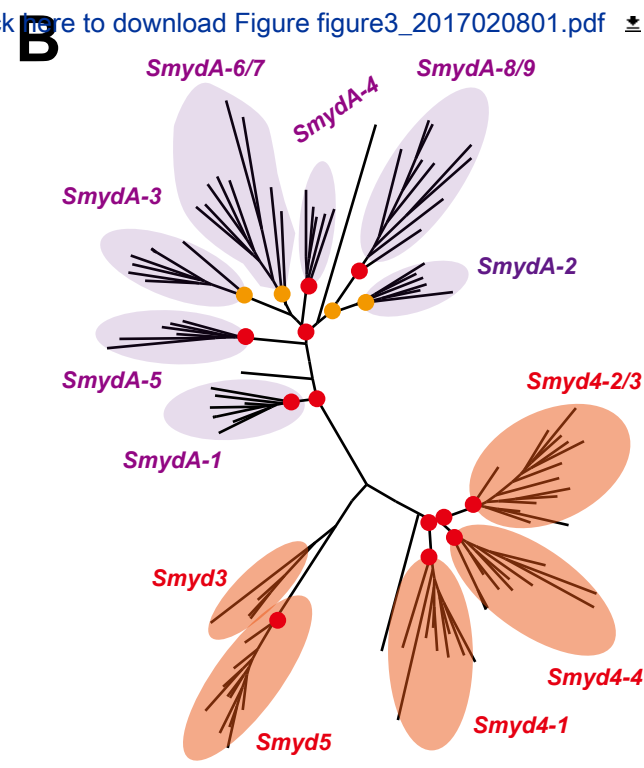
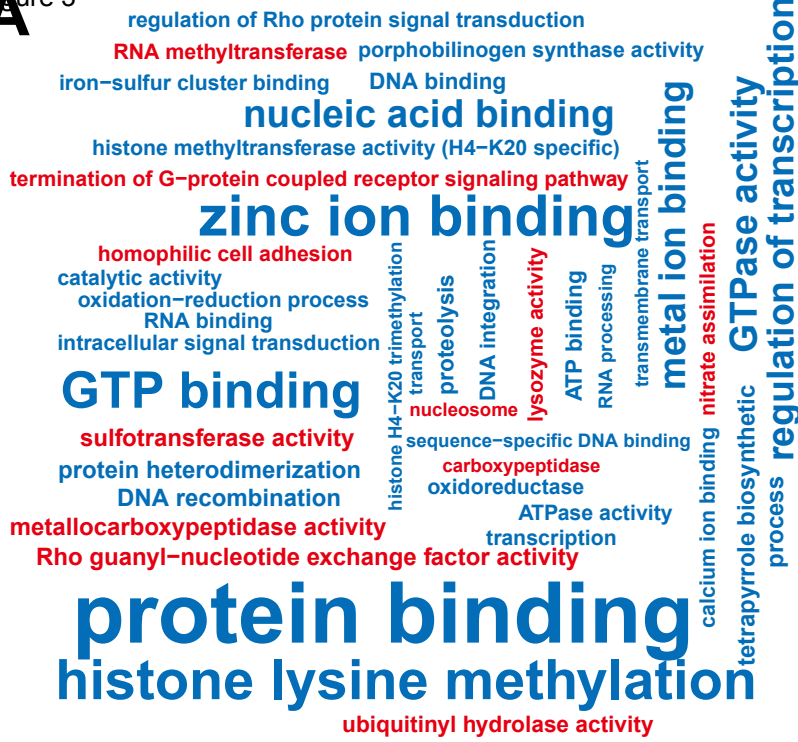


Figure 3



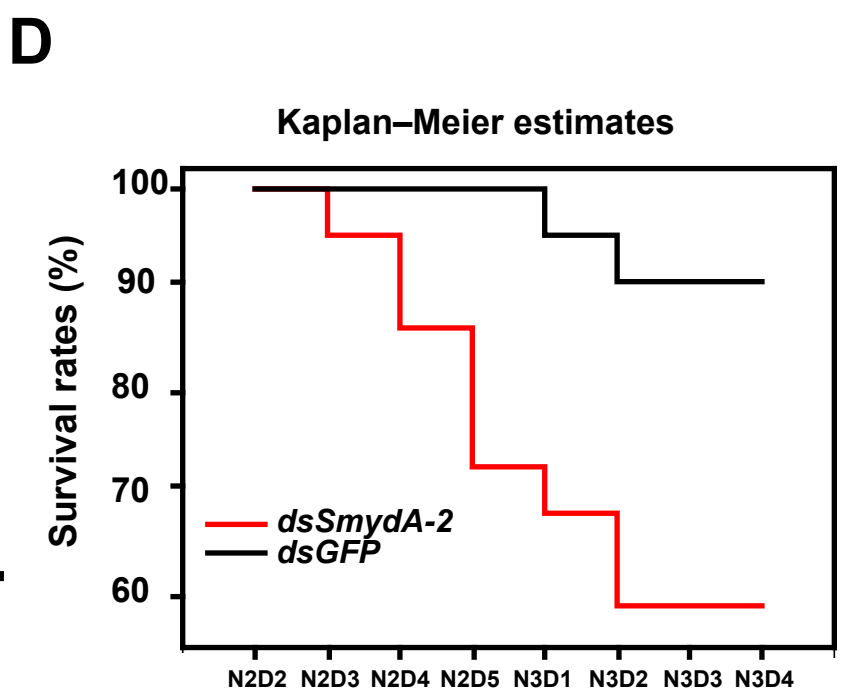
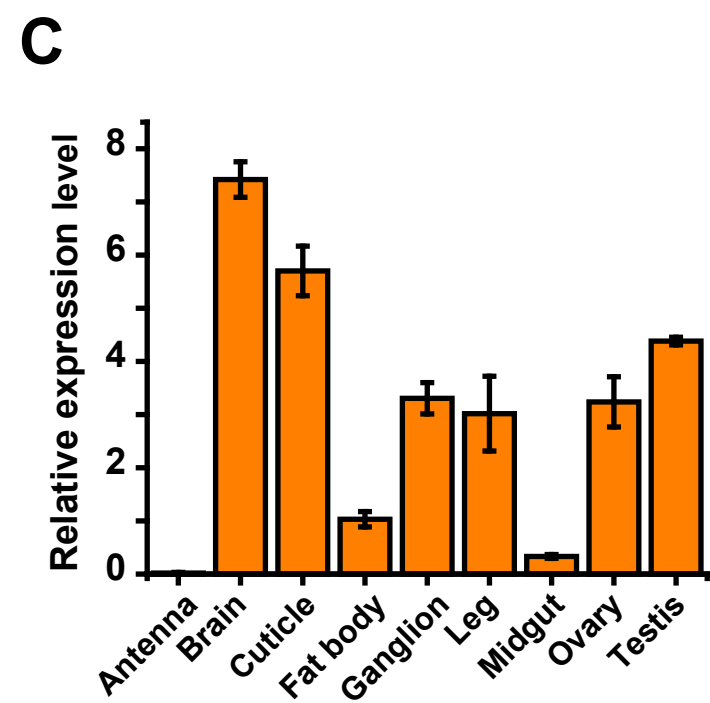
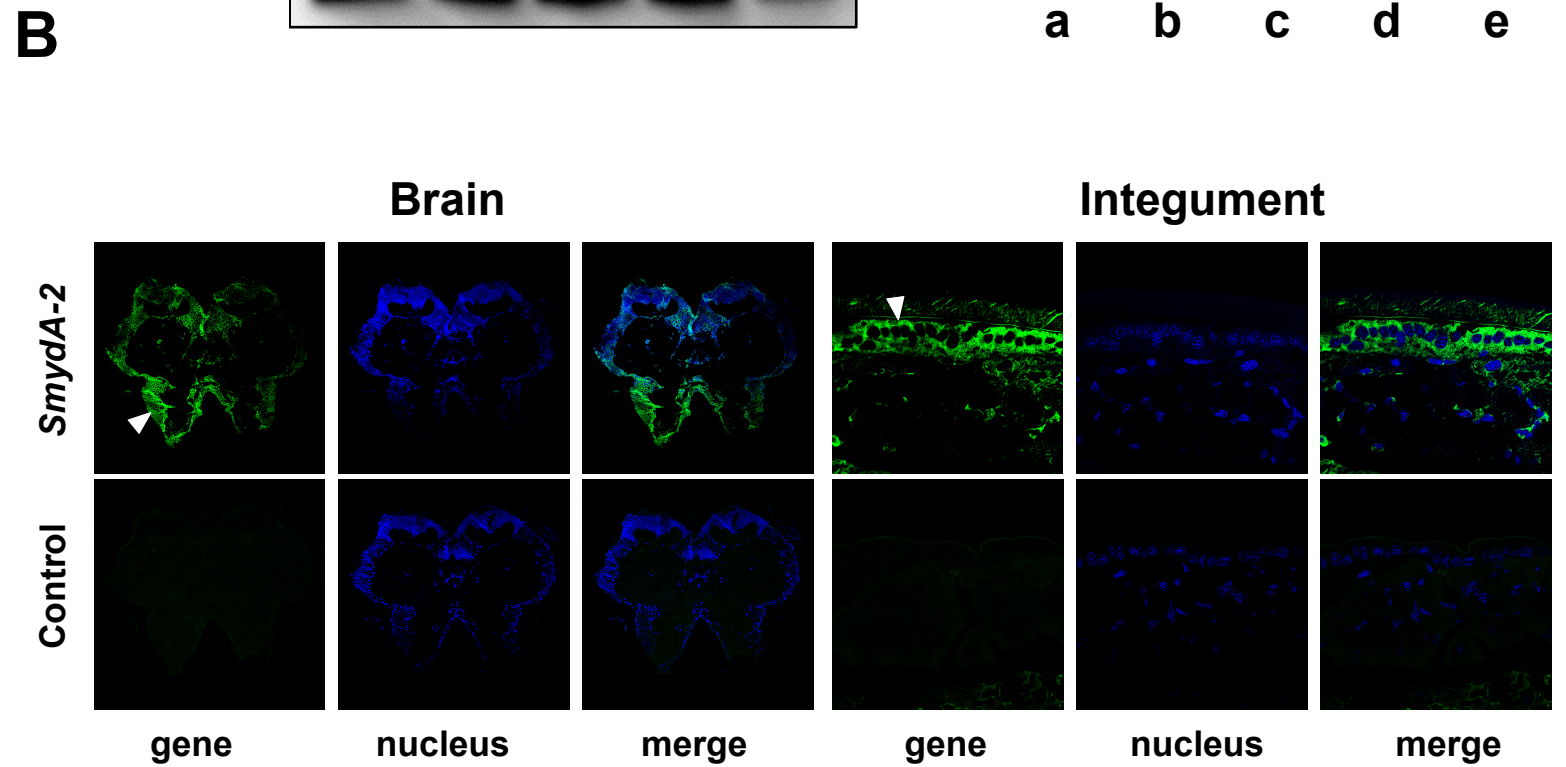
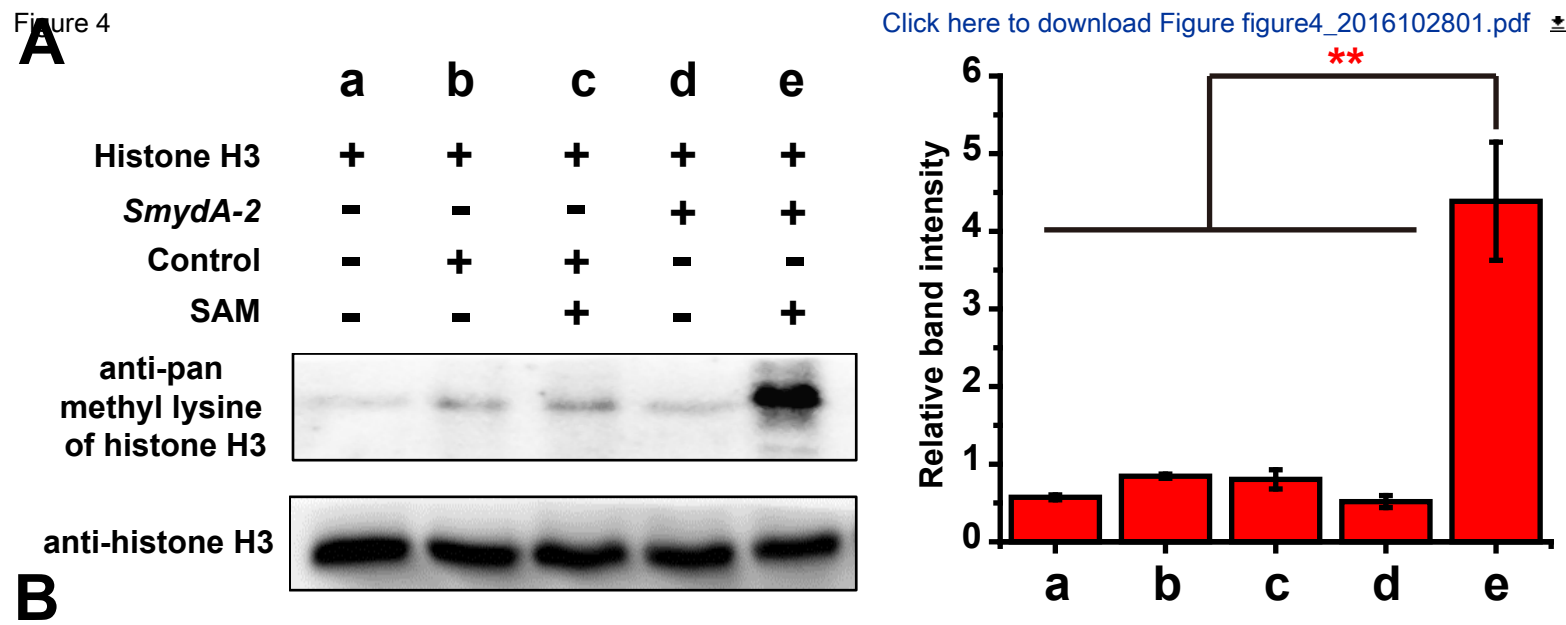
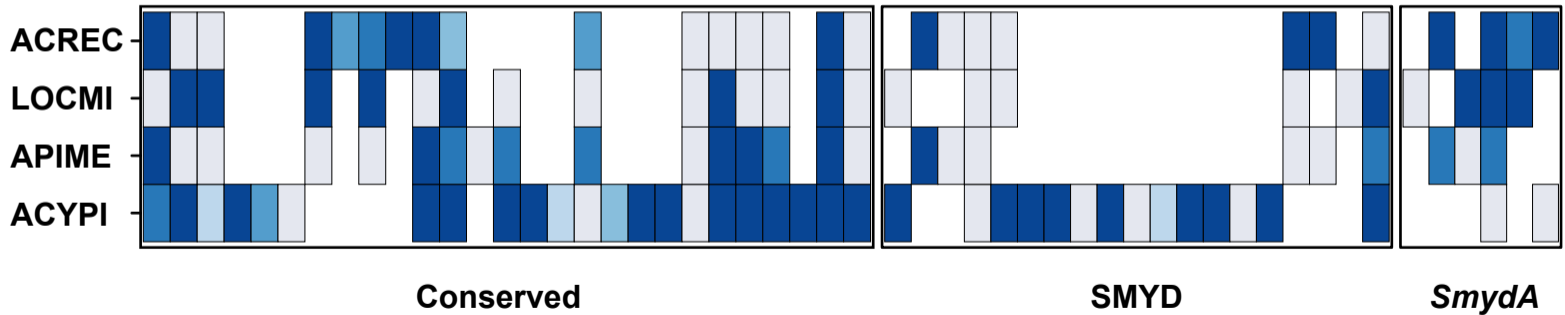
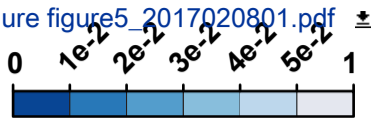


Figure 5

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Supplementary Material

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