

RED-ML: a novel, effective RNA editing detection method based on machine learning

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Abstract

Background: With the advancement of second generation sequencing techniques, our ability to detect and quantify RNA editing on a global scale has been vastly improved. As a result, RNA editing is now being studied under a growing number of biological conditions so that its biochemical mechanisms and functional roles can be further understood. However, a major barrier that prevents RNA editing from being a routine RNA-seq analysis, similar to gene expression and splicing analysis for example, is the lack of user-friendly and effective computational tools.

Findings: Based on years of experience of analyzing RNA editing using diverse RNA-seq datasets, we have developed a software tool RED-ML: RNA Editing Detection based on Machine learning (pronounced as “red ML”). The input to RED-ML can be as simple as a single BAM file, while it can also take advantage of matched genomic variant information when available. The output not only contains detected RNA editing sites, but also a confidence score to facilitate downstream filtering. We have carefully designed validation experiments and performed extensive comparison and analysis to show the efficiency and effectiveness of RED-ML under different conditions, and it can accurately detect novel RNA editing sites without relying on curated RNA editing databases. We have also made this tool freely available via GitHub <<https://github.com/BGIRED/RED-ML>>.

Conclusions: We have developed a highly accurate, speedy and general-purpose tool for RNA editing detection using RNA-seq data. With the availability of RED-ML, it is now possible to conveniently make RNA editing a routine analysis of RNA-seq. We believe this can greatly benefit the RNA editing research community and has profound impact to accelerate our understanding of this intriguing post-transcriptional modification process.

Keywords: RNA editing, A-to-I editing, RNA-seq, post-transcriptional modification, machine learning

Introduction

RNA editing provides a dynamic and flexible means to alter the sequence of RNA transcripts during development and in a cell-type specific manner. Since discovered almost 30 years ago [1, 2], the biological importance of RNA editing, in particular adenosine to inosine (A-to-I) editing which is the most prevalent type in animals, has been well established [3-8]. Being a layer of post-transcriptional modification, it could increase the proteomic diversity of mRNA transcripts, affect transcript stability and localization, interact with other primary RNA processing steps such as splicing and polyadenylation, impact the biogenesis and functions of small RNAs such as microRNA (miRNA) and long noncoding RNA (lncRNA) and regulate gene expression. When mis-regulated, it contributes to various diseases [9, 10], including neurological disorders [11, 12] and cancer [13-16]. However, in spite of some well-studied examples, there is still much to be learned about the regulation and function of RNA editing in general.

In the last few years, large-scale, genome-wide analyses of RNA editing finally became feasible with the availability of high throughput RNA sequencing [17, 18]. Even so, technical limitations and computational challenges have made this task difficult, especially at the beginning [19]. Several groups have since developed techniques to overcome many of the early difficulties with considerable success [20-24]. Nonetheless, the detection and quantification of RNA editing are still mostly restricted to a few specialized labs, partly due to the high demand of domain specific knowledge and skills to apply these methods effectively, as well as various usability issues of previous methods. A common theme of many previous RNA editing detection (RED) methods, including our own [17, 25], is to apply a series of carefully tuned filters to combat different types of errors affecting RED, such as sequencing artifacts, mapping errors, contamination from genomic variants etc, in addition to the possible use of a second read alignment program [26]. While highly effective, these hard filters are difficult to adjust, tend to work well only under specific conditions, and cannot be easily modified to achieve different trade-offs between sensitivity and specificity.

Envisioning that deep, high-throughput RNA sequencing will keep acting as a driving force of RNA editing research, we have developed a fast, high performance and user-friendly RED tool based on machine learning (ML) to better serve the community and advance the field. Our new tool RED-ML (RNA Editing Detection based on Machine Learning) can perform genome-wide RED based on human RNA-seq data alone, can take advantage of matching DNA-seq data if available, and integrates well with other common RNA-seq data analysis steps. By adopting ML principles [27], our new method can automatically and optimally combine different sources of information to detect RNA editing sites with adjustable confidence levels in a robust manner, and comes as a computationally efficient, all-in-one software package. To facilitate training and testing of our ML model, we have also carefully designed high-throughput RED validation experiments. In the remainder of this paper, we will first describe the design and components of our method, followed by comparisons and detailed analyses to verify its

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4 high performance, before concluding the paper with a discussion on further
5 improvements and future directions.
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8 9 **Methods**

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11 A flow chart of our RED pipeline using RED-ML is shown in Fig. 1a. The input to RED-
12 ML is a sorted BAM file. Based on this sorted BAM file, RED-ML will extract candidate
13 RNA editing sites and their corresponding features, with optional filtering if individual
14 genotype information is available, then apply a logistic regression (LR) classifier to
15 detect true RNA editing sites with an associated confidence score. Below we provide
16 further details about the features used by RED-ML and the construction of the LR
17 classifier.
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20 21 **Features used by RED-ML**

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23 There are three broad classes of features used by RED-ML, based on insights obtained
24 from previous hard filtering approaches, our own experience of tuning these filters, and
25 current understanding of RNA editing mechanism. The first class is basic read features,
26 including the number of supporting reads of a candidate site and the putative editing
27 frequency. The second class of features is related to possible sequencing artifacts and
28 misalignments, including mapping qualities of the supporting reads, the relative position
29 of the candidate site in the mapped reads, indication of strand bias, whether the candidate
30 site falls into simple repeat regions etc. The third class is based on known properties of
31 RNA editing, such as the editing type (whether it is A-to-I), whether the candidate site is
32 in an Alu region and its sequence context. Note that while the first two classes of features
33 could be directly used in hard filtering, the third class cannot since it is inappropriate to
34 make hard decisions based on them, i.e., they cannot be used as criteria to directly filter
35 out non-RNA editing sites. However, they still provide valuable information to ML based
36 approaches where different sources of evidence can be combined to make soft decisions.
37 In total, we extracted 28 features for every possible editing site, and full details of each
38 feature are provided in Table S4.
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44 45 **Validating RNA editing sites**

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47 To construct a classifier by supervised machine learning, it is imperative to have a high
48 quality, adequate-sized training set on RNA editing. Unfortunately, the lack of a gold
49 standard dataset is a well-known challenge in the field [19]. Here, we overcame this
50 difficulty with a two-step strategy: first, we overlapped results of three previously
51 developed RED methods on the same male Han Chinese individual RNA-seq and DNA-
52 seq data [17], abbreviated as the YH dataset hereafter; second, we designed high-
53 throughput experiments to validate RNA editing with high accuracy.
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57 The three computational methods considered include the original one developed with the
58 publication of the data by Peng et al [17], a second method developed by a different lab
59 shortly after by Ramaswami et al [20], and an adapted and optimized version of RES-
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4 scanner [25] on the YH dataset (details in SM). Roughly speaking, the method by Peng et
5 al tends to be very accurate at the price of reduced sensitivity; the method by Ramaswami
6 et al substantially improved sensitivity but could be less accurate, while our own hard
7 filters attempt to strike a balance between accuracy and sensitivity (Fig. S2 showing the
8 Venn diagram, details in SM). Overall, due to the many differences among the three
9 methods and independent validation experiments carried out in the first two, it is very
10 likely that the overlap of these three, which is shown in Fig. S2, consists of genuine RNA
11 editing sites.
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15 To further validate these predicted RNA editing sites, we carried out high-throughput Ion
16 Proton sequencing[28] (details in SM) using the same YH sample. Although both Ion
17 Proton sequencing and Illumina HiSeq are referred to as second generation sequencing
18 platforms, they differ in many key aspects, including the underlying chemistry, base
19 calling method as well as read alignment strategies. We took advantage of these
20 differences to perform independent, high-throughput validation of the RNA-editing sites
21 detected by HiSeq. In contrast, other validation methods that have been used in the
22 literature, such as Sanger sequencing and mass spectrometry (MS), are of low-throughput
23 and limited sensitivity, and not able to generate a dataset of reasonable size and diversity
24 that can be used to train a ML classifier. To confirm the effectiveness of our high-
25 throughput Ion Proton validation method, we checked whether the sites predicted by
26 Peng et al could be confidently detected. As shown in Fig. S1, most of the predicted sites
27 with adequate Ion Proton sequencing coverage are detected (details in SM), with
28 increasing validation rate as the sequencing coverage increases. Since sites predicted by
29 Peng et al tend to be highly accurate, this further justifies the soundness of our Ion Proton
30 validation approach. Based on the trend shown in Fig. S1, we picked a coverage
31 threshold of 20 when evaluating the performance of RED-ML in the Results section.
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37 **Building a ML classifier**

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39 In order to build a high quality classifier based on ML principles, we carefully
40 constructed the positive and negative training sets as follows. The positive set contains
41 the overlap of three hard-filtering based RED methods (2,960 sites) that are further
42 validated by Ion Proton sequencing with a minimum coverage of 15, which results in
43 1,334 sites (the slightly reduced coverage threshold is to obtain a large enough positive
44 set). In addition, we also selected sites detected by both Peng et al and Ramaswami et al,
45 but not our own method, that are validated by Ion Proton sequencing (Fig. S2). This gives
46 us an additional 141 validated RNA editing sites and results in a total of 1,475 data points
47 in the positive set. To construct the negative set, we first selected seven highly
48 informative features used by our hard filtering method that are also shared by RED-ML,
49 and randomly sampled 150 sites each that failed the corresponding hard filtering criterion,
50 which results in 1,050 data points. We also sampled 300 sites that were aligned by
51 TopHat2 but filtered out by BWA, and not validated by Ion Proton sequencing. We
52 further randomly sampled 1,200 SNPs from dbSNP 138 so that the classifier can be
53 trained to distinguish between typical SNPs and RNA editing sites. Finally, we added
54 those RNA editing sites that are detected by only one or two of the three methods but not
55 validated by Ion Proton sequencing even when the coverage is adequate (20x or more),
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4 which results in an additional 375 data points. This gives us 2,925 negative samples
5 overall and a total of 4,400 data points in the training set (full details in SM).
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8 We tried several popular ML techniques to build classifiers for RNA editing detection
9 and settled on logistic regression due to its simplicity, efficiency of implementation and
10 relatively good performance (further discussions later). The LR classifier was trained and
11 tested using the scikit-learn Python package (version 0.17.1), with a slightly higher
12 weight (2.0) given to positive points to minimize the $F_{0.5}$ score, which is defined as
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$$F_{0.5} = (1 + 0.5^2) \cdot \frac{\text{precision} \cdot \text{recall}}{(0.5^2 \cdot \text{precision}) + \text{recall}}$$
. Five fold cross validation and grid search were
14 carried out to pick between L1 and L2 regularization and an appropriate regularization
15 coefficient to avoid overfitting. A LR classifier with weak L2 regularization was selected
16 as the final architecture. The final LR classifier was trained on the full set of 4,401 data
17 points using the best hyper-parameters picked by cross validation and grid search.
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23 Results

24 The set of 4,400 data points just described would be very challenging for hard filtering
25 based approaches. To test the performance of our ML based approach, we randomly
26 partitioned these data points into training (80%) and test (the remaining 20%) sets.
27 Performance on test data, which is not used when training the model, is shown in Fig. 2a
28 & 2b, where an area under curve (AUC) of 0.98 for the receiver operating characteristic
29 (ROC) curve and an AUC of 0.94 for the precision-recall curve were obtained,
30 demonstrating the good performance of our LR classifier on this task. A key advantage of
31 our ML based method is that it also outputs a confidence score of detection, which could
32 be interpreted as the probability of a candidate site being a true RNA editing site.
33 Therefore, this score provides a turning knob to adjust between sensitivity and specificity
34 to suit different research goals, which is missing in hard filtering based approaches. As
35 a test, we have applied our trained LR classifier on the full YH dataset and adjusted this
36 threshold between the default 0.5 and the highly confident 0.9, and the Ion Proton
37 validation rate increases monotonically as expected (Fig. 2c).
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44 We further took advantage of such an ability to do pair-wise comparison with the other
45 three methods used in building our model. For the method of Peng et al and RES-scanner,
46 we adjusted the threshold of RED-ML to roughly match the total number of detected
47 RNA editing sites and compared the validation rates by Ion Proton sequencing. For the
48 method of Ramaswami et al, we adjusted the threshold to match the number of detected
49 RNA editing sites in non Alu regions only, since Ramaswami et al applied a very loose
50 filter in the Alu region and included many low frequency sites that are not able to be
51 detected by RED-ML (more details in SM). These results are shown in Fig. 2d, 2e & 2f,
52 where RED-ML clearly outperforms the other three methods by detecting slightly more
53 RNA editing sites while achieving higher Ion Proton validation rates at the same time.
54 For example, when detecting ~140,000 RNA editing sites similar to RES-scanner (with a
55 threshold of 0.68), the validation rate of RED-ML is 0.88 while RES-scanner is 0.82.
56 When using the default threshold of 0.5, the validation rate of RED-ML only dropped
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4 slightly to 0.86, still higher than that of RES-scanner, but it can detect ~27,000 more
5 RNA editing sites (Table S5.1).
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8 It should be emphasized that evaluating RED-ML on the YH dataset is not truly unbiased,
9 since a very small portion of the YH dataset has been used in training our model.
10 Moreover, other methods have been more or less tuned on the YH dataset as well. Most
11 importantly, however, is that a critical goal of adopting ML principles for RED is to build
12 a tool that can generalize well, i.e., by learning the intrinsic, underlying characteristics of
13 RNA editing, it can reach high performance beyond a specific dataset, experimental setup
14 or tissue type etc. To fully test the real world performance of RED-ML, we carried out
15 independent RNA-seq experiments on two prostate tumor samples (CH24T and CH62T)
16 and a HeLa sample to detect RNA editing with RED-ML, and further performed Ion
17 Proton validation experiments on these samples. RED-ML detected ~30,000-50,000 RNA
18 editing sites using the default threshold of 0.5 (Fig. 3a, with full details in Tables S5.1
19 and S11) and achieved Ion Proton validation rates of 0.9 or higher in these three samples
20 (Fig. 3b). We also applied RES-scanner as a high performance baseline to compare
21 against, which has been demonstrated to be superior among existing RED methods [25].
22 Once again, RED-ML substantially outperforms RES-scanner on these three datasets (Fig.
23 3 a&b), by detecting more RNA editing sites and simultaneously achieving higher
24 validation rates. This clearly demonstrates the advantage of our new ML based approach,
25 which can generalize well beyond the data used to train the model. We have also
26 performed mass spectrometry (MS) validation experiments on some detected sites in the
27 prostate tumor samples, randomly selected across a wide range of RNA editing levels
28 (15%-90%) with a slight bias towards sites in non-Alu regions (Table S6) and achieved
29 an overall validation rate of 87.5% (35/40, Fig. 3c). As before, the detection threshold
30 can be further adjusted to detect fewer but more confident sites, and it achieved even
31 higher validation rates (Fig. 3d).
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38 RED-ML didn't use information from existing RNA editing databases when detecting
39 editing sites, which enables it to detect novel, sample-specific sites. This is a valuable
40 asset in many applications, especially disease studies. To investigate whether it suffers
41 from lower accuracy by not using curated databases, we carried out the following
42 analysis. We first checked the overlap of RED-ML detected sites in CH24T, CH62T and
43 HeLa samples with those in two curated RNA editing databases (DARNED and RADAR)
44 and plotted the results as Venn diagrams (Fig. 4 a, b & c). Significant portions of RED-
45 ML detected sites are in neither of the existing databases (46.5%, 60.1% and 60.4% for
46 CH24T, CH62T and HeLa samples respectively), probably because these are not normal
47 tissues. We then partitioned the detected RNA editing sites into three categories: (1) both:
48 existed in both DARNED and RADAR; (2) one: existed in only one of DARNED and
49 RADAR but not both; (3) none: existed in none of the two databases, and checked the
50 validation rates of these three categories across three samples. As shown in Fig. 4d, there
51 are no significant differences on the validation rates among the categories in all three
52 samples, which demonstrate that RED-ML performed quite consistently independent of
53 existing RNA editing databases. In order to study the effect of genomic variants on RED,
54 we compared the sites detected by RED-ML (without using genomic variant information)
55 with the genomic variants detected by DNA sequencing on the same sample (Fig. 4e).
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4 Even in the highly challenging tumor samples, where there exist both somatic SNVs and
5 SNPs, the percentage of genomic variants in RED-ML detected RNA editing sites is quite
6 low (no more than 1%), which confirms the high specificity of RED-ML in detecting
7 RNA editing sites.
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10 Running the RED-ML pipeline from a sorted BAM file only takes a single command, and
11 it runs quite fast for typical RNA-seq experiments, usually no more than an overnight job.
12 For example, using a single thread on a Linux machine with a quad-core AMD Opteron
13 2.4GHz processor, it takes 5-8 hours for CH24T, CH62T and Hela samples and ~16
14 hours for the much larger YH dataset (Table S7.1), with no more than 5GB RAM usage.
15 Most of the computation time was on variant pileup, while the ML step is extremely fast
16 (~10 minutes for all samples). Comparing to our previously published RES-scanner, the
17 improvement on speed is very substantial, achieving ~6x-10x speedup (Table S7.2). This
18 is mainly due to the removal of a time-consuming realignment step by BLAT, as well as
19 some optimization of variant pileup.
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25 **Discussions**

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27 In conclusion, a highly effective and widely applicable RED tool based on ML has been
28 developed. We have also adopted careful software design to make this tool easy to use
29 and it comes as an all-in-one software package. In addition, by adopting ML principles in
30 building our model, further improvement can be easily made when improved knowledge
31 of RNA editing becomes available. For example, when more accurate, large-scale RNA
32 editing validation results are available, we can retrain our model with a better training set.
33 When more characteristics of the RNA editing mechanism are discovered, we can design
34 more features to reflect our improved knowledge.
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38 One limitation of RED-ML is that it only detects RNA editing sites with relatively high
39 editing levels. The lowest level in our training set is 0.1, and RED-ML rarely detects sites
40 with levels lower than 0.1 in reality. This limitation is mostly by design since we aim to
41 detect functional RNA editing sites, which are unlikely to be of very low frequency, and
42 it also helps to reduce the impact of sequencing errors and artifacts. However, if the
43 accuracy in sequencing experiments and alignment tools could be substantially improved,
44 such a limitation can be readily lifted when building our model. The speed of RED-ML
45 can also be further improved if multithreading is supported in the variant pileup and
46 feature extraction stage, and we plan to do so in the future. Meanwhile, a user could
47 process the BAM files of each chromosome in parallel to speed up the pipeline.
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51 Although RED-ML can accept BAM files produced by different alignment tools, the
52 current version has been specifically optimized for BWA and TopHat2 due to the
53 construction of model, and we find that the choice of alignment tools and the associated
54 parameters could have a large impact on RED. To help users with proper alignment
55 strategies, we have detailed some recommendations in the SM. We have also tested some
56 alignment tools other than those used in building our model. For example, when we tried
57 the BAM file produced by STAR [29] on the CH24T dataset, we detected many RNA
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4 editing sites but with low validation rate (~0.34, details in SM). When we tried the BAM
5 file produced by HISAT2 [30], which could be considered as the successor of TopHat2,
6 the result is much better (validation rate ~0.85, A-to-I ~0.93, details in SM), probably due
7 to its similarity to TopHat2. Since designing accurate RNA-seq alignment strategies,
8 especially in the context of SNP and RNA editing detection, is still an open research
9 problem [24], we plan to incorporate more popular alignment tools when building future
10 versions of RED-ML.
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14 The current version of RED-ML is designed for human RED since we used various
15 features specific to human RNA editing as well as human data when building our ML
16 model. With the increased RNA editing data available in other species as well as the
17 growing interest of studying them, we could build future versions to support more species,
18 as our previous method RES-scanner did. As a test, we have run RED-ML on ant BAM
19 files from RNA-seq data in Li et al [31] by disabling all human related features. The
20 result doesn't seem to be good qualitatively. For example, the percentage of A-to-I
21 editing is only ~60% (details in SM), and it shows that more work needs to be done to
22 make RED-ML work well on other species.
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26 A simple ML technique, namely logistic regression (LR), has been adopted in the current
27 version of RED-ML. We also tried other methods, including decision trees, random
28 forests and SVMs, but the gain in performance by more sophisticated techniques is very
29 minor (data not shown). As a result, LR was picked since it runs very fast and can be
30 easily incorporated into our existing RNA-seq pipeline. However, when the need is
31 warranted, more sophisticated ML techniques, including deep learning [32], could be
32 applied. ML may play a particularly large role when the accumulation of data and
33 knowledge on RNA editing reaches such a stage that computational models of RNA
34 editing could be assembled to simulate the process, such as what has been successfully
35 accomplished for RNA splicing [33], or even building joint models with other RNA
36 processing steps, and we believe this is a promising direction of future RNA editing
37 research.
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Availability and requirements

Project name: RED-ML

Project home page: <https://github.com/BGIRED/RED-ML>

Operating system(s): Linux_x86_64

Programming language: Perl & C++

Other requirements: SAMtools package and the following Perl modules: FindBin, Getopt::Long, File::Basename.

License: GNU General Public License version 3.0 (GPLv3)

Any restrictions to use by non-academics: None

Availability of Supporting Data

Data further supporting this work can be found in the GigaScience repository, GigaDB [34]. More information can also be found in the project homepage [35].

Competing interests

The authors declare that they have no competing interests.

Authors' contributions

LJL and DL designed the study; HX, DL, LJL, QL and CW contributed to the software programming and pipeline construction; SR, LW and LX prepared the samples; LW, LX, WL, MX, LL and HL performed the experiment; HX and ML analyzed the data; YH, SZ, XL, YS, JW, HY, KW and XX supervised the project; LJL, HX and DL wrote the manuscript; All authors read and approved the final manuscript.

Acknowledgements

This project was supported by the Shenzhen Peacock Plan (NO.KQTD20150330171505310). We are indebted to colleagues of Beijing Genomics Institute who contributed to this work but were not included in the author list. We also thank Dr. Laurie Goodman and Dr. Scott Edmunds for their valuable suggestions.

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Figure Captions

Fig. 1 Flow charts of our RED-ML pipeline: (a) overview of the entire pipeline; (b) schematic of the ML component in RED-ML.

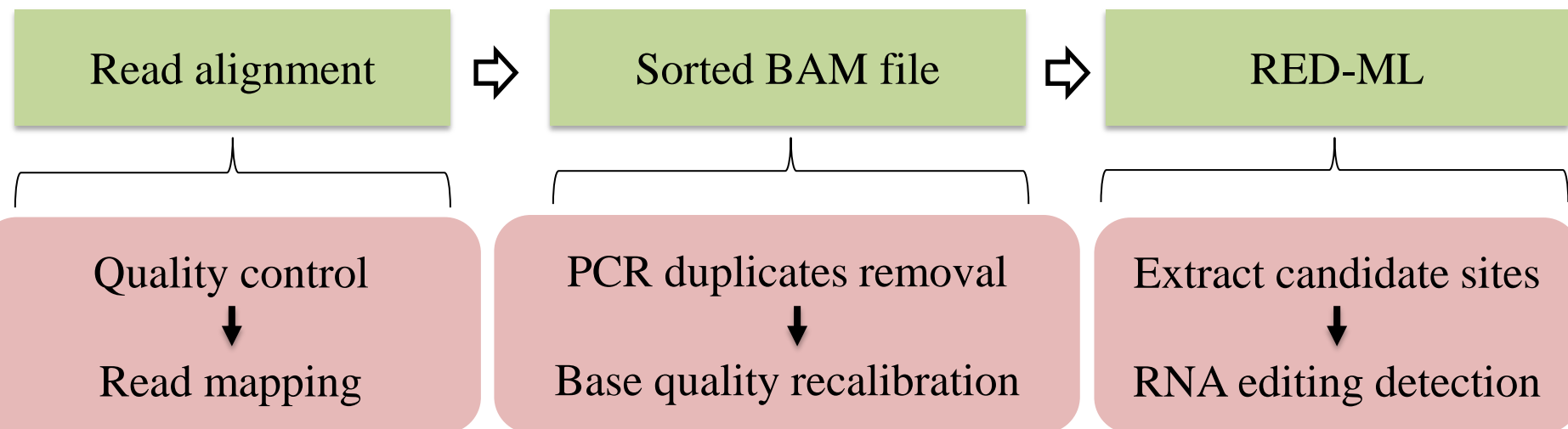
Fig. 2 Evaluating RED-ML on the YH dataset. (a) & (b) ROC and precision-recall curves on the test set when building the LR classifier. Both curves were plotted to show a more

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4 comprehensive picture of RED-ML performance on this biased dataset, where the
5 number of negative examples is about twice of the positive ones, and they are obtained by
6 varying the detection threshold in small steps. (c) The effect of varying the detection
7 threshold: the Ion Proton validation rate increases monotonically as more stringent
8 classification thresholds are chosen. (d)-(f) Adjusting the detection threshold to compare
9 RED-ML with the methods of Peng et al, Ramaswami et al and RES-scanner: the
10 thresholds used are 0.96, 0.5 and 0.68, respectively.
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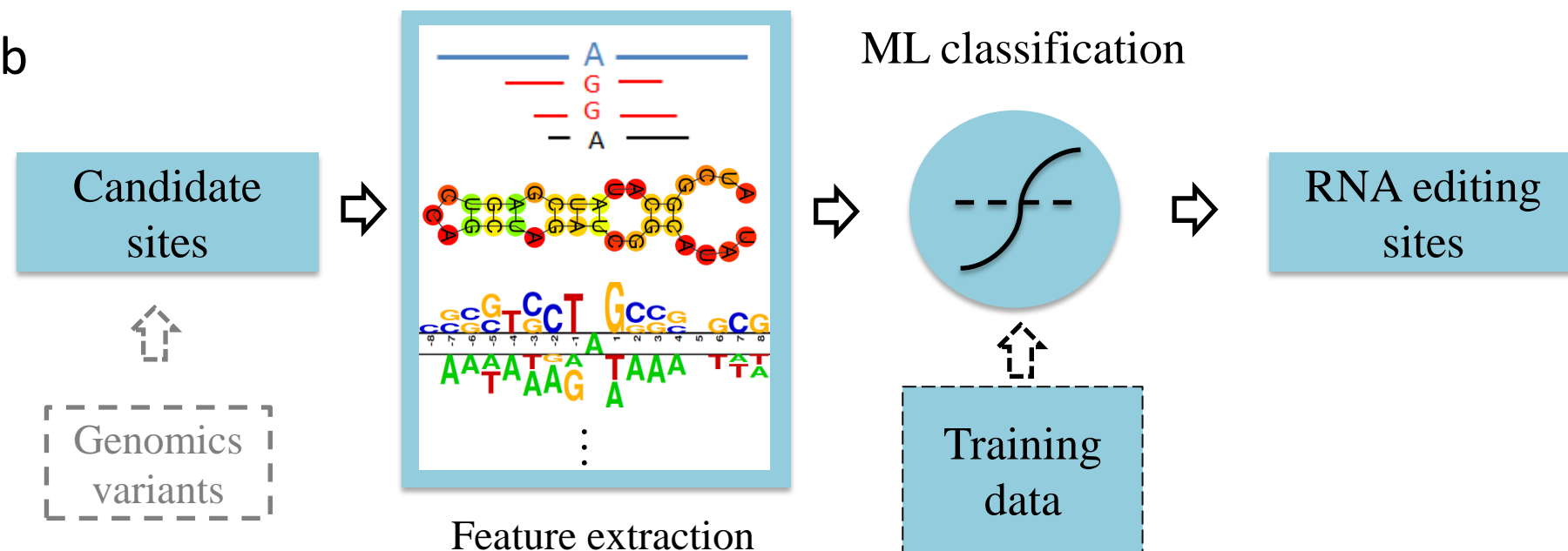
14 **Fig. 3** Evaluating RED-ML on two prostate tumor samples (CH24T and CH62T) and a
15 HeLa sample: (a) number of detected RNA editing sites and (b) Ion Proton validate rates
16 by RED-ML (using the default detection threshold of 0.5) and RES-scanner in the three
17 samples; (c) MS validation of some RNA editing sites detected by RED-ML and RES-
18 scanner in CH24T and CH62T; (d) the effect of varying the detection threshold in CH24T.
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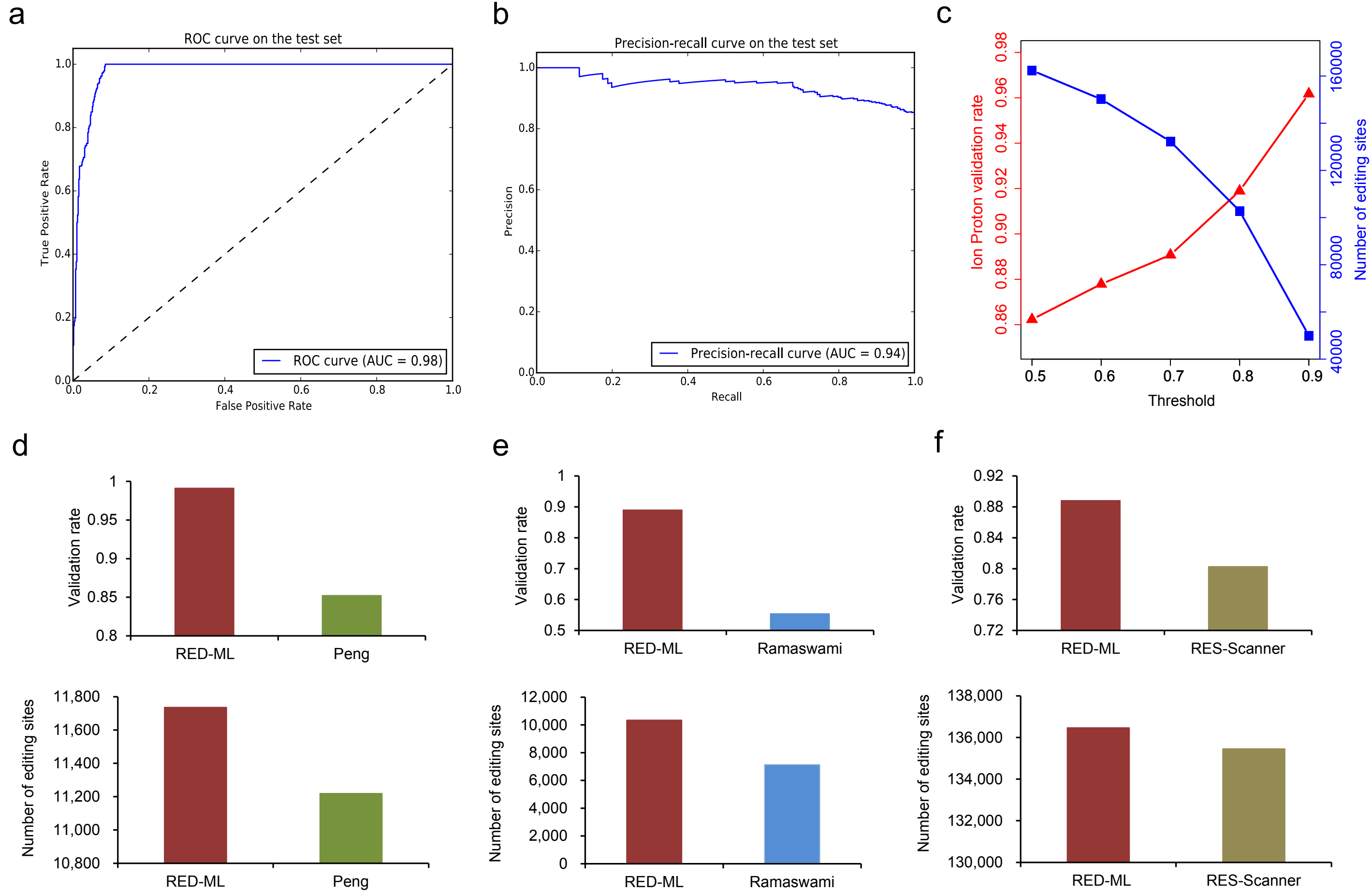
21 **Fig. 4** Further analysis of the RED-ML detected sites. (a)-(c): The overlap of detected
22 sites with two curated RNA editing databases (DARNED and RADAR) in CH24T,
23 CH62T and HeLa samples were shown as Venn diagrams. (d) Ion Proton validation rates
24 for different classes of sites (defined in the main text) in the three samples. The number
25 of validated sites in each class is also indicated on the top of each bar. (e) The percentage
26 of genomic variants in detected RNA editing sites as quantified by matching DNA
27 sequencing data.
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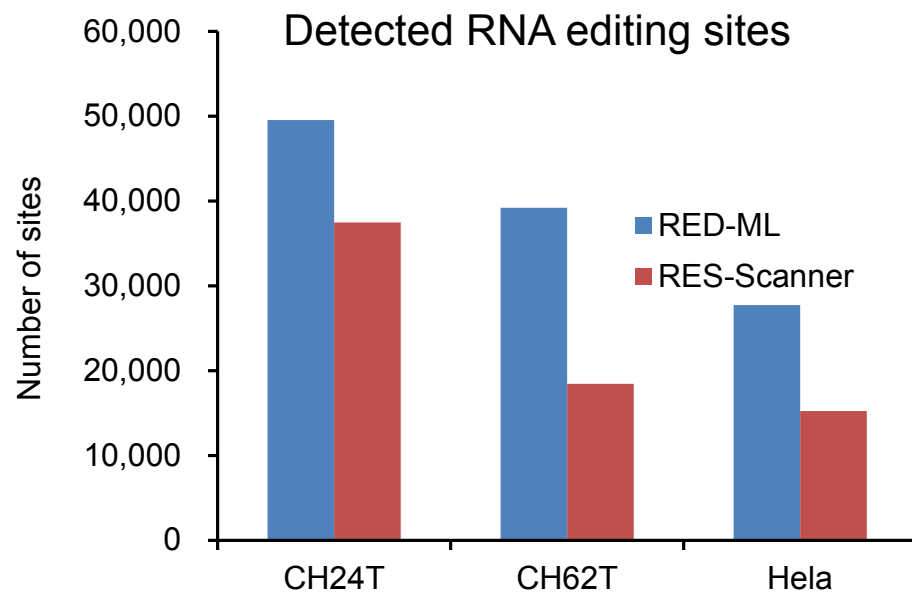


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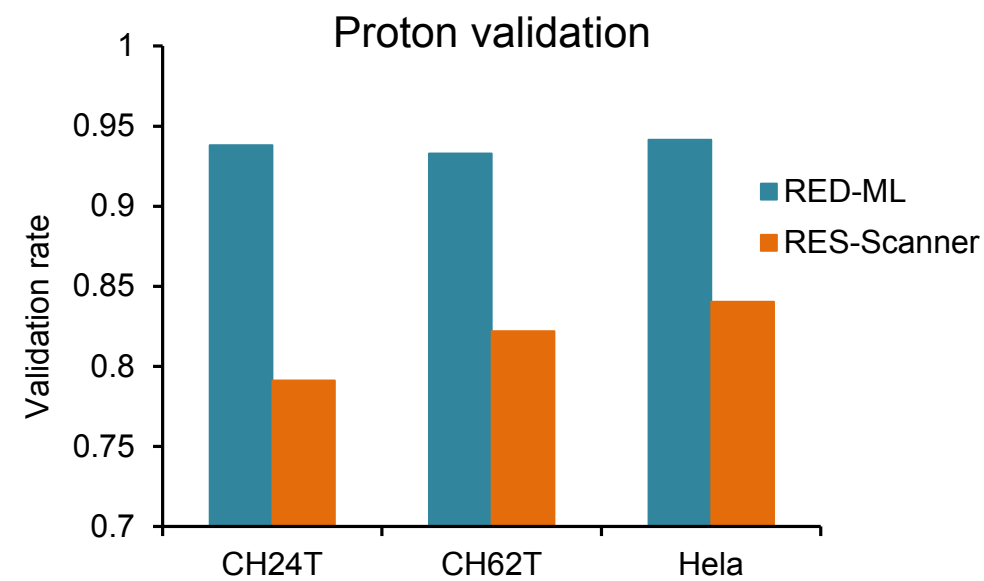




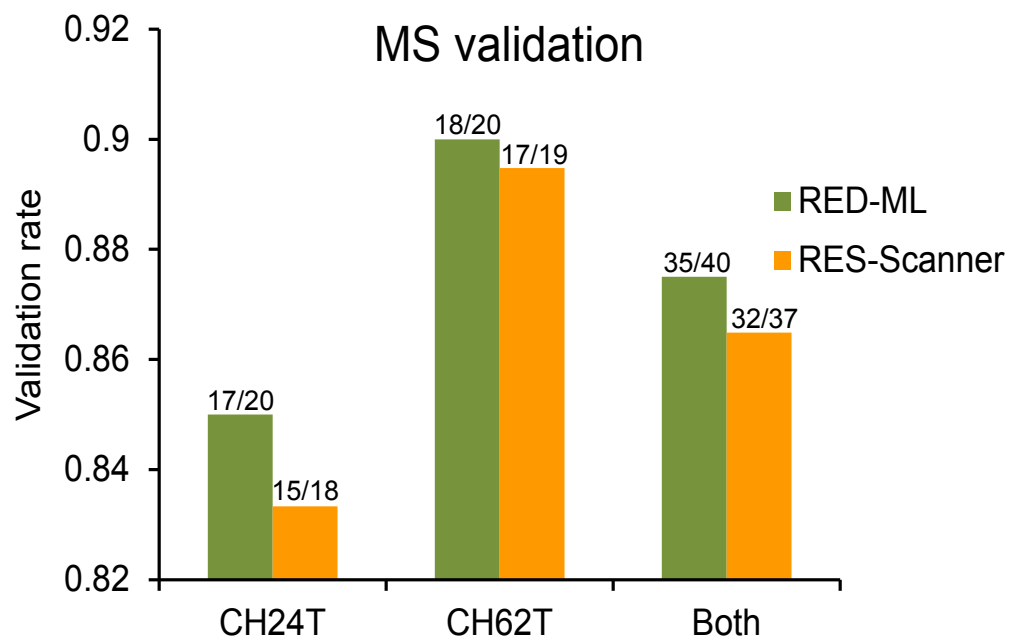
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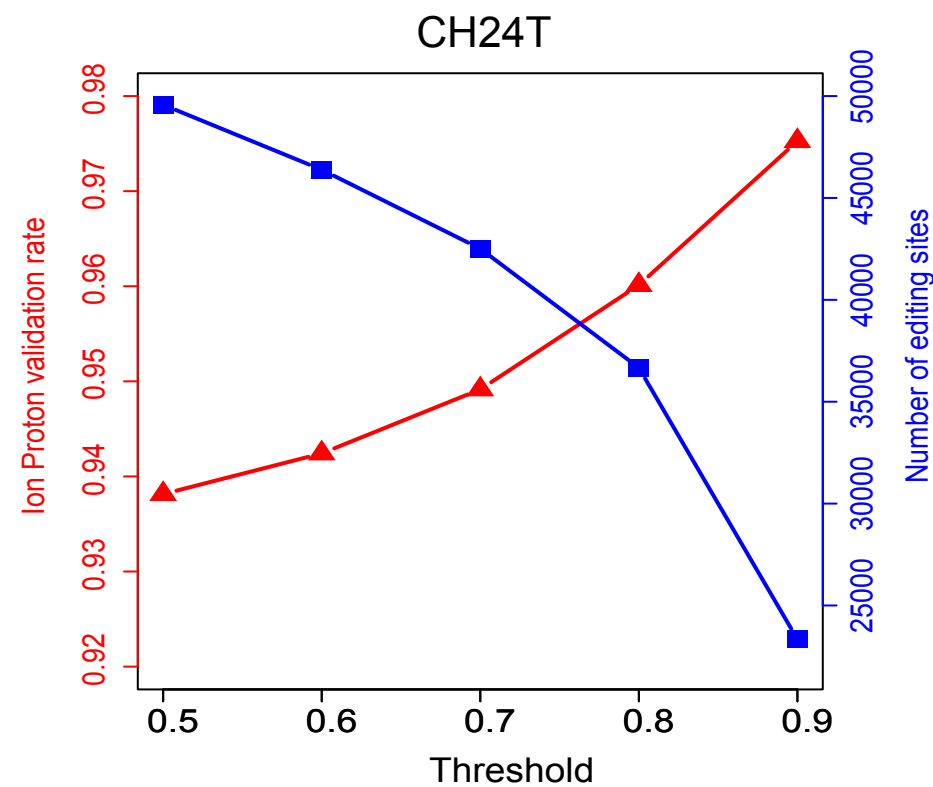
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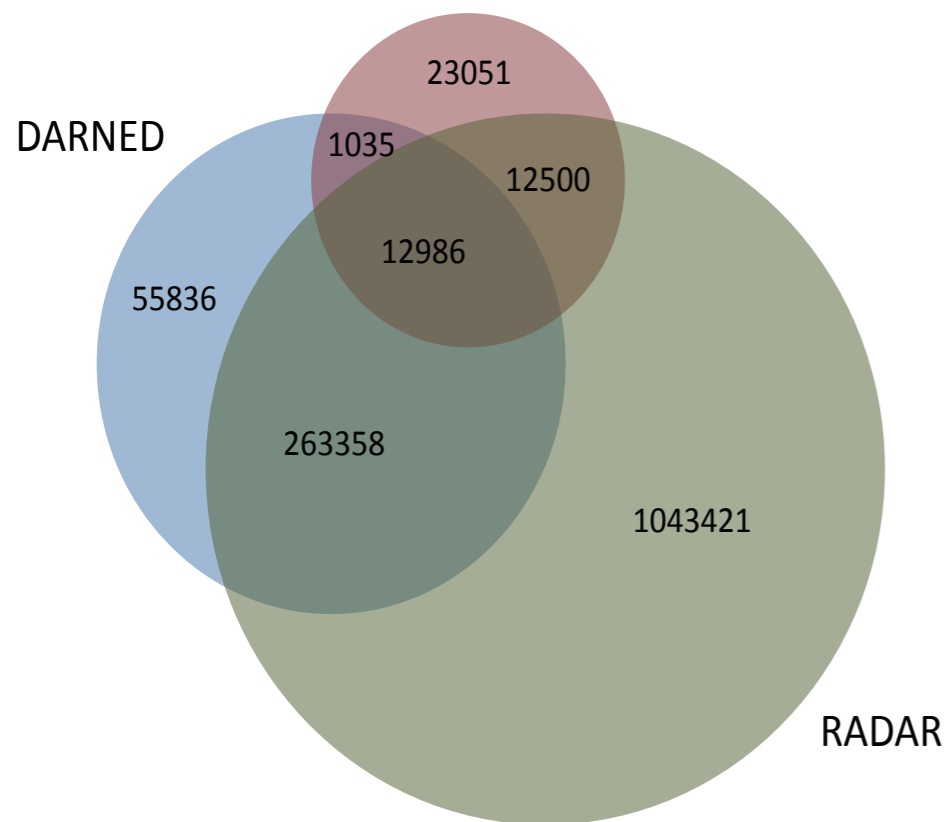


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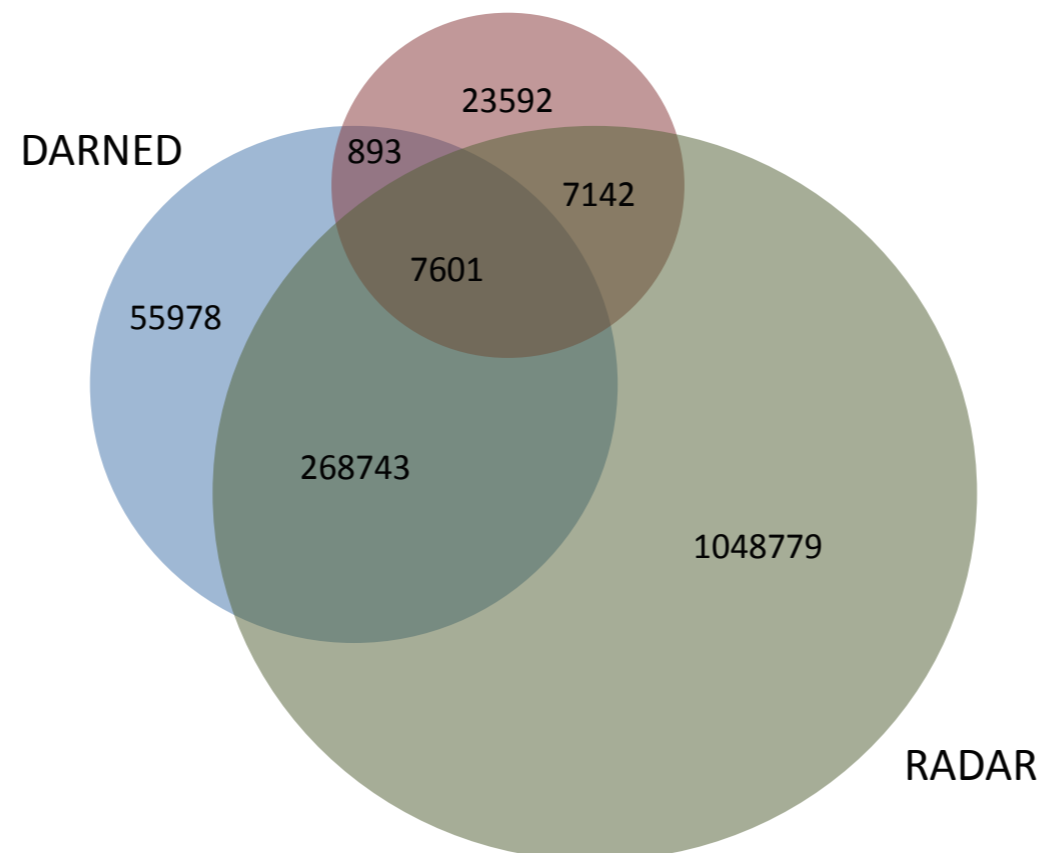
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CH24T



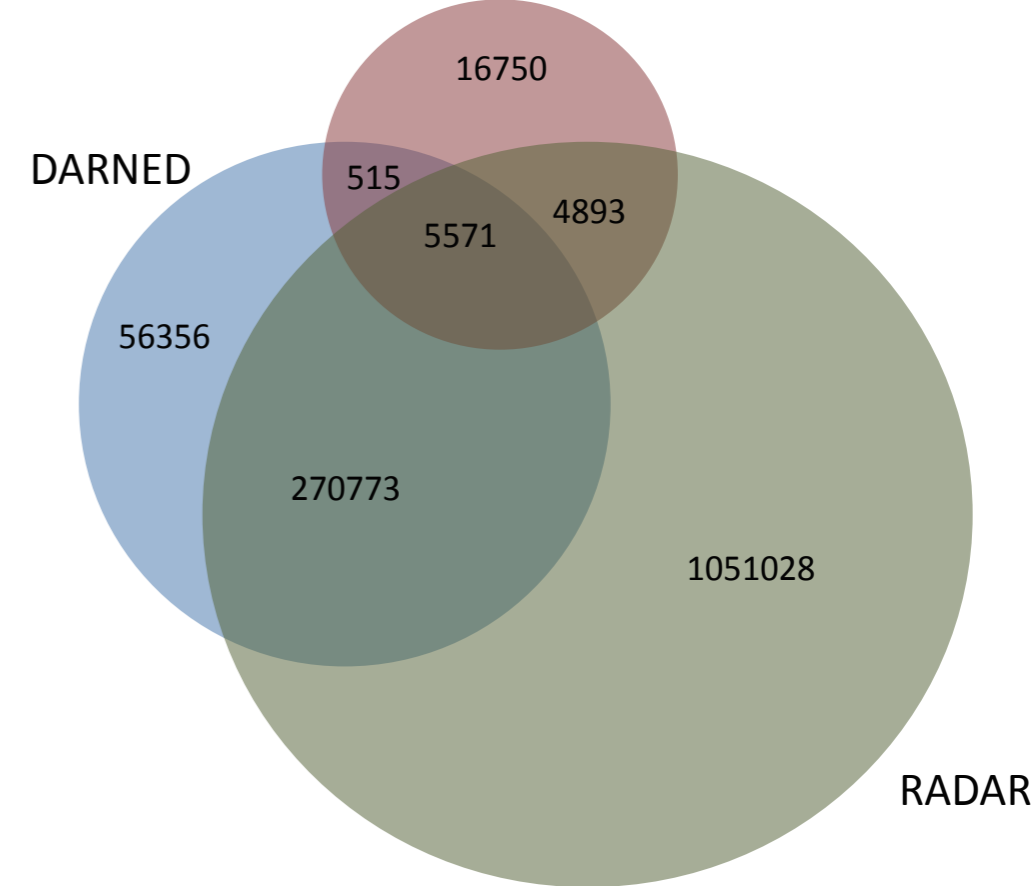
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CH62T



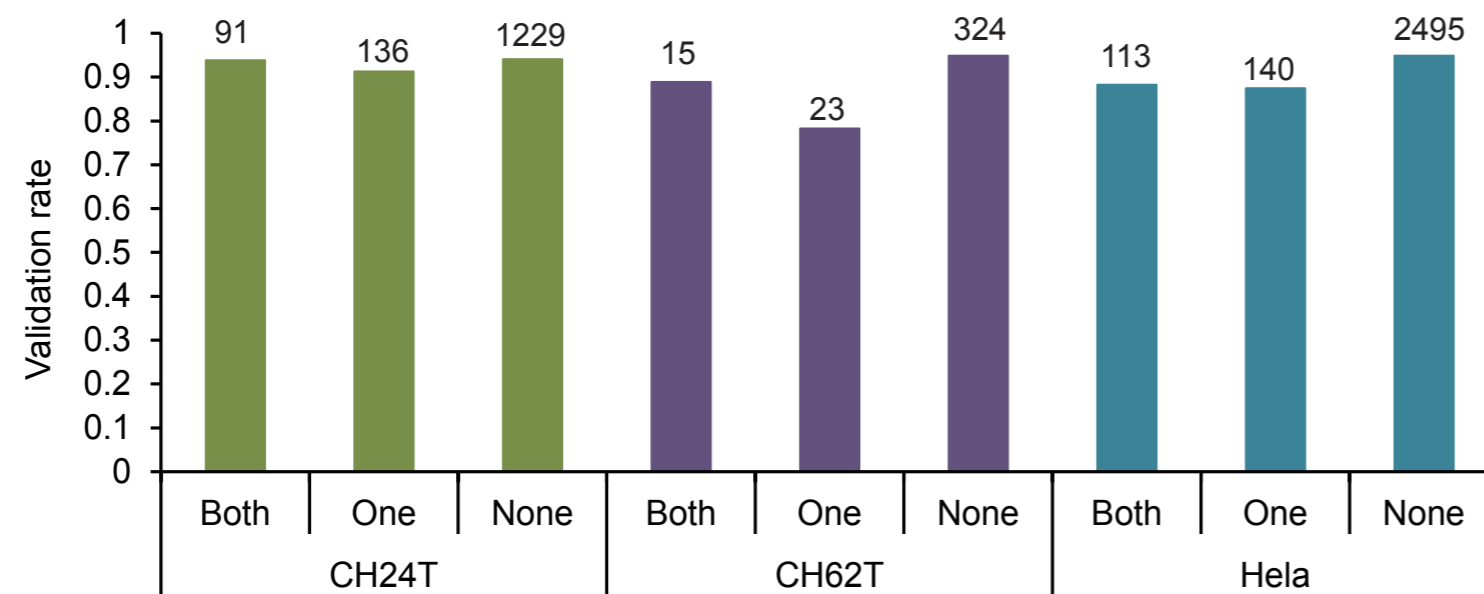
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Hela



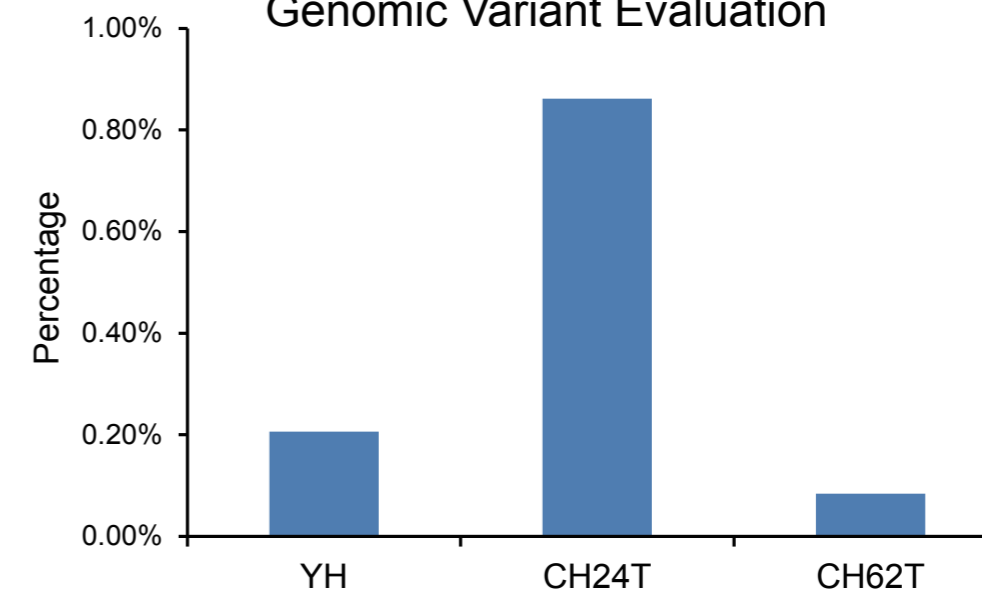
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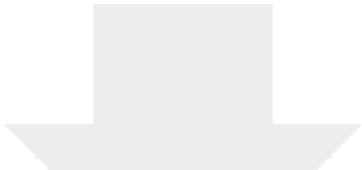
Ion Proton Validation



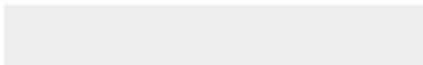
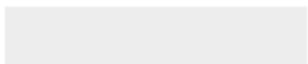
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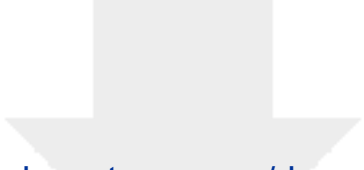
Genomic Variant Evaluation







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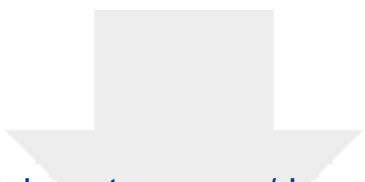




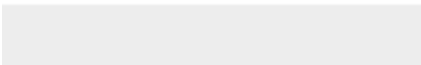

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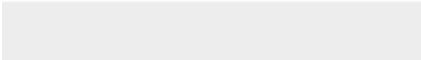



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GIGA-D-16-00054

RED-ML: a novel, universal RNA editing detection method based on machine learning
GigaScience

Dear Dr. Zauner,

Thank you for giving us the opportunity to resubmit our manuscript! Based on the reviewers' comments and your suggestions, and also in light of our recently published RES-scanner paper (Wang et al, *GigaScience* 2016), we have made substantial changes to our original submission. This has resulted in a much improved manuscript and we believe that we have fully addressed all concerns from the reviewers. The major changes are summarized below:

1. Since our previous in-house hard filter is an adapted and optimized version of RES-scanner on the YH dataset, we now simply refer to it as RES-scanner when describing our model (with detailed differences provided in the SM). We feel that this has added transparency and consistency to our Method section.
2. Based on the experience gained from trying different alignment strategies in RES-scanner, we now recommend BWA as the default alignment tool. We have updated all figures based on this improved alignment strategy and it has resulted in considerably better performance than before. RED-ML can detect more RNA editing sites with similarly high validation rates in all samples comparing to the previous submission. Moreover, the performance advantage of RED-ML with respect to other hard filtering based methods, including RES-scanner, also becomes more evident. RED-ML can still work well with TopHat2 and we have put the previous results in SM. We have also added more thorough discussion on different alignment strategies in the Discussion section.
3. In terms of comparing with other methods, we have made full comparisons with RES-scanner in all cases and shown that RED-ML has much improved accuracy and speed. Since it has already been demonstrated that RES-scanner is superior to other existing RED methods, we believe this fully addressed the request of adding more comparisons from the reviewers.
4. The second reviewer suggested that we could use simulation to stress test our model and see when it would fail. Although we have carried out various simulations when building our model, we didn't include them in the manuscript since they didn't help much in evaluating the real world performance of RED-ML in our opinion (details in the response to reviewers below). However, we do agree with the reviewer that it would be helpful to stress test our method and show its limitation. In order to do so, we have added the analyses to run RED-ML with very different alignment strategies and on the ant RNA-seq data, a species very different from human, and included these results in the Discussion section.
5. We have also made various improvements on the RED-ML software and it is fully open source now. Details are in SM and the GitHub page.

There are also many minor changes to improve the quality of the manuscript, such as precise reference to test data, better explanations of our ML philosophy and validation procedure, detailed in our point-to-point response to the reviewers below and in the revised manuscript. In summary, we are highly confident that the revised manuscript meets the requirement of being published in GigaScience. We are looking forward to a speedy response from you as last time. Thank you very much!

Yours sincerely,

Leo

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Below is a point-to-point response to the reviewers' comments.

Reviewer reports:

Reviewer #1, Anton Feenstra: The authors present a manuscript on a machine learning approach to detecting rna editing events in (NGS) sequencing data. The manuscript is concise, generally clear, and the results appear convincing.

We thank the positive comments from this reviewer!

I have, however, a few concerns.

My main concern is the very high accuracy the authors report for their method. An AUC of around 95% in ROC or P/R plot is exceptional; achieving this high accuracy suggests the problem is actually fairly simple. It is therefore surprising that this result was not reached earlier in the roughly 15 years since the start of studying rna editing events in (NGS) sequencing data. This point warrants a deeper discussion than is currently presented in the manuscript.

We thank the reviewer for pointing this out! Indeed, the very high accuracy shown by the ROC and P-R plots does not represent the true performance of RED-ML. It only shows the test error when building our LR classifier on the 4,400 data points, and confirms the adequacy of adopting a relative simple machine learning technique for this task. We have clarified this in our revised manuscript. That's also why we carried out independent RNA-seq experiments with Ion Proton sequencing validation to evaluate the real world performance of RED-ML on CH24T, CH62T and Hela and show that it can generalize well beyond the specific dataset used to build the model.

In addition, to support this discussion, ROC and P-R plots and corresponding AUC for the other methods (Peng, Ramaswami, and their 'in-house hard filtering') should also be presented in the manuscript.

One main advantage of RED-ML is that it also produces a confidence score when detecting RNA editing sites, which can be varied to obtain ROC and P-R plots. Hard filtering based methods don't have such a desirable property. Therefore, there are no easy ways to obtain full ROC and P-R plots for them.

Similarly, validation results as presented in Fig 3 for the new method, should also be presented for the other three methods.

We have added full comparison to our recently published hard filtering based method RES-scanner to show the advantage of RED-ML. Since RES-scanner has already been

demonstrated to be superior to other hard filtering based RED methods (Wang et al, GigaScience 2016), we believe there is no need to compare with them again.

Lesser concerns, approximately in decreasing order of importance:

- in Results, 'unseen test data' is mentioned in relation to Fig 2. It should be specified which test set this refers to specifically.
- *The unseen test data is 20% of the 4,400 data points that was randomly sampled and not used in training. We have clarified this in our revised manuscript.*
- the latent variable used for producing the ROC and P-R plots (e.g. in Fig 2) is not defined in methods or results, nor in the captions.
- *We are sorry about this oversight! The latent variable is the confidence score, and this has been added to the figure caption.*
- in Discussion, the new method is described as 'user-friendly and easy to use'. I believe this statement is insufficiently substantiated in the results presented.
- *We thank the reviewer for the critical view! What we meant was that comparing to most previous RED methods, we provided an all-in-one software package that could be conveniently invoked as a single command from the command line. We have made this clear and also tuned down this statement a bit in the revised manuscript.*
- the first paragraph of Methods is somewhat opaque:
In the revised manuscript, we have omitted the exact details of BAM file processing since it is not the focus of RED-ML, and the tool can also accept any sorted BAM files provided by a user. Exact details of our recommended steps are provided in SM, and there are further discussions about different read alignment strategies at the end of the revised manuscript.
- what exactly should be done to obtain 'a post-processed and sorted BAM file' (a suitable literature reference might suffice)?
As stated previously, this has been removed from the revised manuscript and details of the recommended steps to process BAM files are provided in SM. Briefly, for Tophat2, Picard was used to sort BAM files and to remove PCR duplicate reads, then base quality score recalibration was carried out by GATK. For BWA, SAMtools was used to sort BAM files and to remove PCR duplicate reads.
- the RASER tool is highlighted as having special properties relating to SNP and RNA editing detection. It should be explained what this tool excels at, and how that is achieved.

- *We have removed this sentence in our revised manuscript to avoid confusion. This is a claim made by the author of RASER, not our own. Some discussions on different alignment tools are provided in the Discussion section.*
- the reference to 'best practices' is unclear, and is not helped by stating it 'includes' some steps, with a literature reference. Are (all) other steps of 'best practices' included in this reference? This should be made clear.
- *This has been removed in our revised manuscript while exact details are briefly mentioned above and also provided in SM.*
- in the second paragraph of Methods, the relevance of Alu regions in the context of RED should be explained. Also, it should be explained why 'hard decisions' cannot be made on the 'third class' of features (which include the Alu regions).
- *We wish to incorporate the knowledge that RNA editing happens preferably in Alu regions, so we include this feature in RED-ML. However, such a feature alone (and others in the “third class”) cannot be used to directly filter out non RNA editing sites, which is what we meant by “it is inappropriate to make hard decisions based on them”. Although it is possible to design different hard filters based on whether a potential RNA editing site resides in Alu repeat regions or not, as done by Ramaswami et al, this is clearly a very inefficient approach. The number of hard filter classes would grow exponentially as the number of third class features grows. Therefore, making use of them under the ML framework is a much more principled approach.*
- in the 'Building a ML classifier' section, the construction of the training set is not very clear. In particular, it should be explained why the additional 141 positives (by Peng & Ramaswami, but not their method, and validated) are needed, particularly since it only increases the positive set by 10%. It should also be explained why sites that do not pass the hard filtering criteria are a good component of the negative set; these are probably the most obvious ones that would not need to be predicted anyway.
- *Besides the overview provided in the main text, full details of constructing the training set are provided in SM. Our ML based method is designed to be an improvement of our previous hard filtering based method. It needs to first learn what the hard filtering method can already achieve, which is why some samples of data points not passing the hard filtering criteria should be included in the negative set. Moreover, the additional 141 data points are the true editing sites that the hard filtering method was not able to detect, and it is important to include them (although the size is relatively small) so that RED-ML can learn to perform better than the hard filtering method. Likewise, it is also important to include the 375 data points that has been misclassified by our hard filter in the negative set.*
- the 'YH' label for the dataset from ref 17 is not introduced, which is somewhat confusing. Was this dataset labeled such by the authors of 17?
- *We are sorry about the oversight! The YH dataset is the same as the male Han Chinese individual RNA-seq and DNA-seq data in the reference (it has been*

traditionally called the YH dataset within BGI). We have made this clear in the revised manuscript.

- in the caption of Fig 2 the phrase 'on a test fold' does not make sense to me.
- *This is the same as the 20% of 4,400 data points used as the test data. We have clarified this in the main text as well as the figure caption in the revised manuscript.*

Typos:

- "Since sites predicted by Peng et al [17] tends..." --> please correct to "... tend ..."
(remove plural 's')
- *We thank the careful reviewer for pointing this out and we have corrected it.*

Reviewer #2, Fabrizio Costa: The authors present a tool for RNA editing events detection. The tool is based on a logistic regression classifier over 28 features and trained over 1300 positives and 3000 negative examples.

The work would benefit from a clearer (if not formal) definition of the task at hand and a better introduction to defend the importance of the problem.

The task we are trying to accomplish is genome-wide RNA editing detection from RNA-seq. We have added a sentence to clarify this in the last paragraph of Introduction. The importance of this task can be summarized as: 1) The biological importance of RNA editing has been well established in the literature; 2) RNA-seq is the first, and so far the only, experimental technique to profile RNA editing on a genome-wide scale; 3) Detecting RNA editing sites from RNA-seq is a very challenging computational problem (e.g., as thoroughly discussed in Bass et al, Nature Biotechnology 2012) and good tools are seriously lacking. We feel that we have adequately supported the above three statements in our Introduction. If the reviewer has specific concerns, we will be glad to address them further.

The definition of the validation procedure that uses proton sequencing and the agreement with a competitive tool needs to be better detailed and justified.

We thank the reviewer for pointing this out. As Ion Proton sequencing may not be familiar to many readers, in the revised manuscript we have added the following sentences to briefly introduce it and justify our validation method, besides adding an appropriate reference.

“Although both Ion Proton sequencing and Illumina HiSeq are referred to as second generation sequencing platforms, they differ in many key aspects, including the underlying chemistry, base calling method as well as read alignment strategies. We took advantage of these differences to perform independent, high-throughput validation of the RNA-editing sites detected by HiSeq.”

We have also provided more details in SM for further clarification. As to competitive tools, we guess the reviewer meant competitive, experimental validation methods. Since

previous validation methods in the literature are all of low throughput, such as Sanger sequencing and mass spectrometry, we don't have a competitive method to properly compare against. In fact, the design of such a high throughput validation procedure is an important novel contribution of this work.

The work would benefit from having a section devoted to studying an artificial case where the modeling hypothesis could be manipulated (e.g. the frequency of the editing events) to show under which circumstances the method would start failing or not being reliable any more.

We thank the reviewer for the suggestion! We have indeed carried out various simulations when building our model, such as varying the editing frequency and read coverage. However, we decided not to include them in the manuscript since these simulations are not able to faithfully mimic the effect of RNA editing on RNA-seq. In fact, there are no well accepted methods to simulate RNA editing due to the lack of understanding of this process, unlike gene expression analysis for example, and that is why no RNA editing detection methods have been tested on meaningful simulations before. As a result, our own simulations didn't contribute much to evaluating the real world performance of RED-ML either. They are most useful as debugging tools instead. But we do agree with the reviewer that it is helpful to see when the method starts to fail, and we have carried out two experiments to stress test our method. First we tried a very different alignment strategy based on STAR, which has not been used when building the training set. On the CH24T dataset, a total of 246,879 RNA editing sites were detected, but the validation rate is very low (0.34), so there are probably many false positives. Second although our method was built to do RED for human, we tested it on RNA-seq data from a very different species — ant (data from Li et al, Nature Communications 2014). A total of 15,354 RNA editing sites were identified, but the proportion of A-to-I editing was only 0.605. These experiments demonstrate that RED-ML don't work very well in situations that are vastly different from the training set. These results are also further discussed in the Discussion section of the revised manuscript.

The definition of the test set is unclear and hence it is hard to assess how representative the performance estimate really is.

We thank the reviewer for this critical comment and we have made efforts to clarify the test data used in our revised manuscript. Roughly speaking, there are two types of test data used in our work. The first is the test data defined under the classical ML framework. In our revised manuscript, we have made it clear that it is 880 (20% of 4,400) randomly sampled data points that are not used in training. The very good results shown in Fig. 1a&1b are mostly aimed to justify the use of a relatively simple LR classifier after careful feature engineering. The second and more important type of test data comes from independent RNA-seq and validation experiments on CH24, CH64 and Hela. We believe these data sets can give realistic estimates on the performance of RED-ML.

The work would benefit from better detailing the features used. Moreover it would be informative for the readers to have an assessment of the features quality (i.e. via a feature selection procedure).

We have provided details of all features in Table S4. To give readers more insights into our feature set, we added the feature importance analysis as shown in Fig. S3 and Table S4. This is achieved by first normalizing the magnitude of each feature to be no more than 1 in the training set and then comparing the absolute values of the weights for each feature. Feature selection is not so relevant here since we only have 28 manually designed features in total and overfitting has been carefully controlled for.

The software although available on GitHub is not open as it includes some executables without their respective source code.

Thanks for the suggestion! We have now made our software completely open source on GitHub.