

1 PSSMHCpan: a novel PSSM based software for predicting class I 2 peptide-HLA binding affinity

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21 Abstract

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23 **Background:** Predicting peptides binding affinity with human leukocyte antigen (HLA) is a crucial
24 step in developing powerful antitumor vaccine for cancer immunotherapy. Currently available methods
25 work quite well in predicting peptide binding affinity with HLA alleles such as HLA-A*0201,
26 HLA-A*0101, and HLA-B*0702 in terms of sensitivity and specificity. However, quite a few types of
27 HLA alleles that are present in majority of human populations including HLA-A*0202, HLA-A*0203,
28 HLA-A*6802, HLA-B*5101, HLA-B*5301, HLA-B*5401 and HLA-B*5701 still cannot be predicted
29 with satisfactory accuracy using currently available methods. Further, currently most popularly used
30 methods for predicting peptides binding affinity are inefficient in identifying neoantigens from large
31 quantity of whole genome and transcriptome sequencing data

32 **Result:** Here we present a Position Specific Scoring Matrix (PSSM) based software called
33 PSSMHCpan to accurately and efficiently predict peptide binding affinity with a broad coverage of
34 HLA class I alleles. We evaluated the performance of PSSMHCpan by analyzing 10-fold
35 cross-validation on a training database containing 87 HLA alleles and obtained an average area under
36 receiver operating characteristic curve (AUC) of 0.94 and accuracy ACC of 0.85. In an independent
37 dataset (Peptide Database of Cancer Immunity) evaluation, PSSMHCpan is substantially better than
38 popularly used NetMHC-4.0, NetMHCpan-3.0, PickPocket, Nebula, and SMM with a sensitivity of
39 0.90, as compared to 0.74, 0.81, 0.77, 0.24 and 0.79. In addition, PSSMHCpan is more than 197 times
40 faster than NetMHC-4.0, NetMHCpan-3.0, PickPocket, sNebula and SMM when predicting
41 neoantigens from 661,263 peptides from a breast tumor sample. Finally, we built a neoantigen
42 prediction pipeline and identified 117,017 neoantigens from 467 cancer samples of various cancers
43 from TCGA.

44 **Conclusion:** PSSMHCpan is superior to currently available methods in predicting peptide binding
45 affinity with a broad coverage of HLA class I alleles.

46 **Key words:** Antitumor vaccine, peptide-HLA binding affinity, PSSMHCpan, neoantigen.

48 **Background**

49 Cancer immunotherapy has been proved to be a promising strategy that enhances the strengths of the
50 immune system of cancer patients to fight cancer in recent years. This strategy exploits the fact that

1 51 surface of cancer cells have a variety of tumor antigens (i.e. peptides of 8-13 residues in lengths)
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3 52 coming from various kinds of mutated proteins cleaved by the proteasomes intracellular. These
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6 53 peptides are bound to HLA class I allelic specific molecules, forming peptide-HLA complexes which
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9 54 are presented to T cell receptors (TCRs). If TCRs can recognize these peptide-HLA complexes on the
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12 55 surface of cancer cells, cytotoxic T lymphocytes (CTLs) will destroy cancer cells. Cancer cells are
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14 56 highly heterogeneous in terms of morphological, phenotypical and genetic profiles. Cancer cells of
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17 57 different tumors and within the same tumor could present hundreds of different types of peptides. The
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20 58 immune system of cancer patients could only recognize small populations of cancer cells. In order to
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23 59 enhance the power of the CTLs to recognize and eradicate as many cancer cells as possible, one
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26 60 strategy is to vaccinate cancer patients with complex antitumor peptides. The first step to develop
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29 61 powerful antitumor vaccines is to predict peptide binding affinity with HLA class I allele.
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31 62 In order to predict peptide binding affinity with HLA class I allele, four types of methods have been
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34 63 developed, including structure based methods, machine learning based methods, PSSM based methods
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37 64 [16] and combined methods. The structure based methods predict peptide binding affinity by
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40 65 calculating the minimum free energy of peptide-HLA complex [30], which allows us to understand the
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43 66 peptide-HLA binding affinity at the structure level. However, the predicting speed of this type of
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46 67 methods is extremely slow, and inaccurate due to limited number of available crystal structures [20].
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49 68 The machine learning based methods predict peptide binding affinity by learning a function that maps a
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52 69 given peptide to areas with binding affinity based on available known bound peptides (binders).
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55 70 Because machine learning based methods can accurately predict peptides with specific HLA alleles
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58 71 such as HLA-A*0201, HLA-A*0101, and HLA-B*0702 [25, 41], they are frequently used in many
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61 72 studies [8, 37, 40]. Thus far, many machine learning based methods have been developed, including

1 73 support vector machine (SVM) based method MHC2PRED [15], hidden markov model (HMM) based
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3 74 method S-HMM [26], artificial neural network (ANN) based method NetMHC [2, 17], and
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6 75 pan-specific method NetMHCpan [11, 23, 24]. Although currently available tools can predict a number
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9 76 of HLA class I allelic coverage with appreciable AUCs, they cannot predict quite a few types of HLA
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11 77 alleles that are present in majority of human populations with satisfactory accuracy. For example,
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14 78 NetMHC, ARB, Nebula, sNebula and SMM only achieved the average predicted AUC of no more than
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17 79 0.85 when they were used in predicting HLA-A*0202, HLA-A*0203, HLA-A*6802, HLA-B*5101,
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20 80 HLA-B*5301, HLA-B*5401 and HLA-B*5701 [19, 21, 27]. Further, these methods are inefficient in
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23 81 predicting large quantity of peptides generated from whole genome and transcriptome sequencing data
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26 82 because of their nonlinear computation complexity. In contrast, PSSM based methods predict peptide
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29 83 binding affinity by building a matrix from multiple peptides alignment that represent the motif
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32 84 information (i.e. the binding anchor). These methods can predict binding affinity fast because PSSM's
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35 85 linear computational complexity is much less complex than nonlinear computational complexity of
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38 86 structure-based and machine learning based methods. Based on the mechanism of PSSM, several
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41 87 software have been developed such as PickPocket [42], SVMHC [9] and nHLAPred [5]. However the
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44 88 predicting accuracy of these software is not as good as that of machine learning based methods [42].
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47 89 Recently, in order to predict peptide-HLA binding affinity more accurately, scientists from several
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50 90 groups combined different methods to develop new software including NetMHCcons [13] and IEDB
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53 91 (combination of machine learning and PSSM) [34], and HLaffy (combination of structure and PSSM)
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56 92 [22]. Although these combined methods indeed have shown a better predictive performance as
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59 93 compared to individual methods, their predictive accuracy are still not satisfactory, especially in
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62 94 clinical applications [4]. In order to develop more effective immunotherapy, it is necessary to develop

1 95 better software that can more accurately and efficiently predict peptide binding affinity with a broad
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3 96 coverage of HLA class I alleles.
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6 97 Here, we present a novel software called PSSMHCpan that can predict peptide binding affinity
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9 98 accurately and efficiently. We designed this software based on the PSSM mechanism and trained it with
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11 99 a larger database containing 63,099 peptide-HLA pairs which allow us to allele-specifically predict
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14 100 peptide binding affinity with HLA class I allele. In order to predict peptide binding affinity with a
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17 101 broad coverage of HLA class I alleles, we induce a simple but powerful pan-specific prediction
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20 102 approach based on the similarity of HLA protein sequences. We show that PSSMHCpan can accurately
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23 103 and efficiently predict peptide binding affinity with a broad HLA class I allelic coverage of at least 87
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26 104 types in 10-fold cross-validation, and it performed better than other 5 software when evaluated with
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28 105 Peptide Database of Cancer Immunity dataset. Finally, we built a prediction pipeline to identify
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31 106 neoantigens in 467 TCGA tumor samples across 10 types of cancers.
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35 36 108 **Methods**

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39 109 PSSM is represented as a motif of multiple sequence alignment result [39]. The basic principle of
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42 110 PSSMHCpan is that peptides that bind to a specific HLA allele possess the motif information that can
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45 111 be studied by PSSM. We propose the PSSMHCpan in two novel aspects. Firstly, we construct a
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48 112 comprehensive training database and build allele-specific PSSMs for predicting peptide binding
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51 113 affinity with characterized HLA class I allele (with binders in training database). Secondly, we use the
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54 114 similarity of HLA sequences to induce a simple but powerful pan-specific prediction approach based
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57 115 on our hypothesis below, and predict peptide binding affinity with uncharacterized HLA class I allele
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59 116 (without binders in training database).
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1 117 It is well known that peptides on the cell surface are bound to the floor of the peptide-binding groove
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3 118 that is in the central region of the $\alpha 1/\alpha 2$ heterodimer (a molecule composed of two non-identical
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6 119 subunits) of HLA protein sequences [33]. By analyzing the sequences of HLA proteins, we noticed that
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9 120 HLA protein sequences are highly similar among different HLA alleles (Figure 1), suggesting that
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11 121 peptides bound to similar HLA alleles have similar binding affinity according to predictive value of
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14 122 IC50. Thereby, we hypothesize that since different HLA protein sequences are similar, the peptide
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17 123 binding affinity with different HLA alleles should be similar too. Based on this hypothesis and the
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20 124 PSSM mechanism, we design the software PSSMHCPan as following three steps: PSSM construction,
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23 125 allele-specific prediction, and pan-specific prediction. The flowchart of PSSMHCPan is shown in
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25 126 Figure 2.

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30 128 **PSSM construction**

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34 129 We define PSSM as a matrix of M rows (Amino acid; M=20) and N columns (Length; N=8~25). Each
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36 130 element P_{ai} in the matrix is the likelihood of a given character (amino acid) at its position. We
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39 131 calculate the element P_{ai} through the following function,

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$$P_{ai} = \log \frac{F_{ai} + \omega}{BG_a}$$

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45 133 Where F_{ai} denotes the frequency of amino acid a at position i from the training database; BG_a
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47 134 denotes the background frequency of amino acid a from UniProt database [3]; and ω is a random
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50 135 value (ranging from 0 to 1) generated from Dirichlet distribution [1].

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54 55 137 **Allele-specific prediction**

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58 138 To qualitatively predict peptide binding affinity with characterized HLA allele, we define a

139 *binding_score* as the sum of the corresponding values of each amino acid of a given peptide at each
140 position in the corresponding allele-specific PSSM.

$$141 \quad \text{binding_score} = \frac{\sum_{i=1}^N P_{ai}}{N}$$

142 We consider a peptide with *binding_score* > 0 as a binder according to the signal prediction of
143 GeneID [10]. The higher *binding_score* that a peptide has, the higher binding affinity this peptide
144 would have.

145 We convert a *binding_score* into an IC50 value as follows:

$$146 \quad \text{IC50} = 50000^{\frac{\text{Max} - \text{binding_score}}{\text{Max} - \text{Min}}}$$

147 Where Max and Min denote the maximum and the minimum values of *binding_score*, respectively.

148 In this study, we assigned Max as 0.8 and Min as -0.8 based on our experience. According to the
149 recommendation of IEDB [43], we consider a peptide with *IC50* < 500nM as a binder and a peptide
150 with *IC50* < 50nM as a strong binder.

151

152 **Pan-specific prediction**

153 Firstly, we construct a library of HLA similar weight (Button panel in Figure 2) that contains pairs of
154 characterized and uncharacterized HLA alleles, and each pair has a weight value. We determine a pair
155 of characterized and uncharacterized HLA alleles by using BLOSUM62 [32] based BLAST alignment
156 of HLA protein sequences, and assign the alignment score as the weight value. We also extracted the
157 nearest distance of HLA alleles from NetMHCpan-3.0 [23] as a pair of characterized and
158 uncharacterized HLA alleles and assigned a constant as the weight value.

159 Secondly, we qualitatively predict the binding affinity of a given peptide with uncharacterized HLA
160 allele with an *IC50_{min}* value which is calculated as below:

$$IC50_{un} = \frac{\sum_{i=1}^S w_i * IC50_i}{\sum_{i=1}^S w_i}$$

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162 Where S denotes the sum of characterized HLA alleles that pair up the specific uncharacterized
163 HLA allele according to the library of HLA similar weight. w_i and $IC50_i$ denote the weight value
164 and the allele-specific prediction result of peptide binding affinity with HLA allele i . We also consider a
165 peptide with $IC50_{un} < 500nM$ as a binder, and a peptide with $IC50_{un} < 50nM$ as a strong binder.

167 **10-fold cross-validation**

168 We apply 10-fold cross-validation [4] to evaluate the performance of peptide-HLA binding prediction
169 as follows. Firstly, we randomly partitioned our collected data (See Data Description) into 10 subsets of
170 nearly equal size, of which each consists of equal number of binders and non-binders. All the binders
171 are experimentally verified, while the non-binders include experimentally verified ones from IEDB
172 benchmark [14] and computer randomly constructed ones predicted as non-binders by any of the
173 following four methods (PSSMHCpan, NetMHC-4.0, NetMHCpan-3.0 and PickPocket). We use
174 computer constructed non-binders because currently available experimentally verified non-binders that
175 meet our requirement only cover 50 class I HLA alleles. Subsequently, we performed 10 iterations of
176 training and validation. In each iteration we use a different subset of data for validation, while the
177 remaining 9 subsets for training.

179 **Data Description**

180 We collected our training database of HLA class I binders from the following resources: the Immune
181 Epitope Database and Analysis Resource (IEDB) [36], IEDB benchmark [14], SYFPEITHI [31],
182 MHCBN [6], and in-house experimental epitopes. After filtering out duplications and peptides with

1 183 abnormal amino acids which do not or rarely exist naturally, such as B, J, O, U, X and Z, we obtained
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3 184 64,677 peptide-HLA pairs that cover 162 HLA alleles (Table 1). We only selected HLA alleles that
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6 185 consist of at least 10 binders with a fixed length. Finally, we built 241 PSSMs for allele-specific
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9 186 prediction of peptides with variable lengths (8~25 peptides) bound to 123 HLA class I alleles
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12 187 (Additional file 1: Table S1).

14 188 **Table 1** Summary of training database.

Database	IEDB	IEDB benchmark	SYFPEITHI	MHCBN	Combined	Training database
HLA alleles	166	95	109	103	162	123
Binders	54,272	40,930	3,329	4,070	64,677	63,099

25 189 We collected 64 uncharacterized HLA class I alleles that cannot be predicted with NetMHC-4.0 but
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28 190 can be predicted with NetMHCpan-3.0. We extracted 2064 binders that bind to the 64 uncharacterized
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31 191 HLA alleles from our training database and same number of experimentally verified non-binders as a
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34 192 Dataset for Pan-specific evaluation (DP).

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36 193 To construct a library of HLA weight similarity, we collected 690,497 pairs of characterized and
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39 194 uncharacterized HLA class I alleles from 13,957 HLA protein sequences in IMGT/HLA (Release
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42 195 3.23.0) [29], and 2800 pairs from the nearest distance of HLA alleles in NetMHCpan-3.0, respectively.
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45 196 After removing duplications, we retained 691,031 pairs for pan-specific prediction of peptide binding
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48 197 affinity with 4,896 HLA class I alleles (Additional file 1: Table S1).

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50 198 We also collected an independent dataset of binders from the Peptide Database of Cancer Immunity
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53 199 [35]. From this database, we selected 285 binders that cover 38 HLA alleles of HLA-A, HLA-B,
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56 200 HLA-C. After removing duplications, we retained 273 binders for validation.

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58
59 201 To detect pan-cancer neoantigens, we obtained somatic mutations from 467 TCGA tumor samples

202 across 10 cancer types (Table 2) from GDC data portal (<https://gdc-portal.nci.nih.gov/>), and the RSEM
 203 gene expression data in these tumors and in their paired normal tissues from FireBrowse
 204 (<http://firebrowse.org/>). In addition, we also obtained the RNASeq aligned bam files from these tumors
 205 from dbGAP.

206
 207 **Table 2 Summary of 467 cancer samples from TCGA cohort.**

Cancer type	Patient #	Cancer type	Patient #
BLCA	19	LIHC	47
BRCA	93	LUAD	57
COAD	16	PRAD	43
HNSC	39	STAD	28
KIRC	67	THCA	58

208
 209 **Analyses**

210 **Evaluation of peptide binding affinity prediction with a broad HLA class I allelic coverage**

211 In order to evaluate the allele-specific prediction accuracy of PSSMHCpan with a broad HLA class I
 212 allelic coverage, we performed 10-fold cross-validation on training data of 87 HLA class I alleles that
 213 contain at least 12 binders, and obtained an average AUC of 0.94 and prediction accuracy ACC (ACC =
 214 $\frac{TP+TN}{TP+FP+TN+FN}$, where TP, FP, TN and FN, represent true-positive, false-positive, true-negative and
 215 false-negative) of 0.85 under a cutoff of 500nM. We then used the same validation data to evaluate 6
 216 popularly used software, i.e. NetMHC-4.0, NetMHCpan-3.0, PickPocket, Nebula [18], sNebula [19],
 217 and SMM [28], respectively. It is worth noting that the training data of these 6 software are from IEDB,
 218 IEDB benchmark, MHCBN, SYFPEITHI and so on [2, 18, 19, 23, 28, 42], which are largely

219 overlapped (over 65%) with the validation data in our 10-fold cross-validation analysis. Despite this
 220 substantial overlap (which will biasedly increase the AUC values for these software), we found that the
 221 AUC values of our PSSMHCpan are nearly equal or slightly lower than those of NetMHC-4.0,
 222 NetMHCpan-3.0, PickPocket and SMM, but nearly equal or higher than those of Nebula and sNebula
 223 (Figure 3a; Additional file 1: Table S2). By comparing the ACC of each HLA allele with fixed peptide
 224 length among the 7 software, we found that the median ACC of PSSMHCpan is significantly larger
 225 than other software ($P < 0.05$, Paired T test; Figure 3b).

Table 3 Assessments (AUC values) of peptide binding affinity prediction with specific HLA alleles and
 peptide length by PSSMHpan, NetMHC, NetMHpan, PickPocket, Nebula, sNebula and SMM.

HLA	Length	PSSMHCpan	NetMHC*	NetMHCpan*	PickPocket*	Nebula*	sNebula*	SMM*
A*0101	9	0.96	0.98	0.98	0.94	0.82	0.97	0.97
A*0101	10	0.94	0.98	0.97	0.94	0.69	0.96	0.98
A*0201	9	0.93	0.94	0.94	0.94	0.88	0.93	0.94
A*0201	10	0.96	0.96	0.97	0.96	0.94	0.97	0.96
B*0702	9	0.95	0.97	0.97	0.96	0.81	0.95	0.97
B*0702	10	0.94	0.98	0.97	0.96	0.80	0.93	0.98
A*0202	9	0.96	0.99	0.99	0.97	0.53	0.89	0.98
A*0203	9	0.97	0.98	0.99	0.98	0.85	0.97	0.98
A*0203	10	0.95	0.98	0.98	0.95	0.53	0.96	0.97
A*6802	9	0.93	0.98	0.98	0.95	0.80	0.95	0.97
A*6802	10	0.91	0.96	0.96	0.92	0.78	0.97	0.97
B*5101	10	0.82	0.89	0.90	0.87	0.72	0.96	0.89
B*5301	9	0.93	0.98	0.98	0.96	0.55	0.88	0.98
B*5301	10	0.91	0.97	0.95	0.92	0.69	0.91	0.97
B*5401	9	0.91	0.98	0.97	0.95	0.51	0.89	0.98
B*5401	10	0.87	0.97	0.97	0.96	0.53	0.88	0.99
B*5701	9	0.98	0.99	0.99	0.98	0.94	0.99	0.99

*Training data are substantially overlapped with validation data.

229 Considering a one-time 10-fold cross-validation of randomly selection and non-binders construction
 230 might produce biased results, we repeated another five times of 10-fold cross-validation, and found that
 231 the standard deviations (SD) of AUCs are ≤ 0.0001 , indicating no bias in the 10-fold cross-validation
 232 (Table 4).

Table 4 The AUC and SD values in 5 times 10-fold cross-validation.

Time	1	2	3	4	5	SD
PSSMHCpan	0.9405	0.9405	0.9408	0.9405	0.9406	0.0001

234 To evaluate pan-specific prediction of PSSMHCpan, we removed peptides in DP dataset (See Date
235 Description) from our training data and retrained PSSMHCpan. We then predicted those peptides with
236 PSSMHCpan, and obtained an AUC of 0.92 and an ACC of 0.86. We also predicted those peptides with
237 NetMHCpan-3.0 and PickPocket, which gave AUC values of 0.95 and ACC values of 0.75 and 0.73,
238 respectively. It is worth noting that the peptides that we predicted with PSSMHCpan, NetMHCpan-3.0
239 and PickPocket are removed from our training data, but included in the training data of
240 NetMHCpan-3.0 and PickPocket.

241 In order to evaluate the pan-specificity of PSSMHCpan, we compared the allele-specific prediction
242 with pan-specific prediction of 3,408 correctly predicted peptides. We observed a high correlation
243 between allele-specific and pan-specific prediction (Pearson' rho=0.89, $P<0.01$; Figure 3d), suggesting
244 that our PSSMHCpan can quantitatively predict peptide-HLA binding affinity with profound accuracy.

245 Mukherjee et al (2016) recently published a peptide binding affinity prediction software HLaffy that
246 was evaluated with peptides from MHCBN and correctly detected 1179 out of 1323 binders (Table 5).
247 To compare the performance of our PSSMHCpan with that of HLaffy, we removed the peptides in
248 MHCBN from our training database and retrained our PSSMHCpan with the remaining peptides.
249 Because non-binders are much less than binders in MHCBN, we only used the binders in MHCBN to
250 evaluate and calculated the prediction accuracy by sensitivity ($\text{Sen} = \frac{TP}{TP+FP}$). We found that our
251 PSSMHCpan correctly identified 1309 out of 1323 binders (Table 5).

252 **Table 5** Comparison of PSSMHCpan with HLaffy. The prediction of HLaffy was performed on
253 webserver (<http://proline.biochem.iisc.ernet.in/HLaffy/>).

Allele	PSSMHCpan	HLaffy
HLA-A*0201	1.00	0.92
HLA-A*0203	1.00	0.93
HLA-A*0206	1.00	0.93
HLA-A*0301	1.00	0.84
HLA-A*1101	1.00	0.96
HLA-A*2402	1.00	0.77
HLA-A*3301	1.00	0.83
HLA-A*6801	1.00	0.94
HLA-A*6802	0.95	0.73
HLA-B*0702	1.00	0.88

HLA-B*3501	0.99	0.89
HLA-B*5301	1.00	0.92
HLA-B*5401	1.00	0.88
All	0.99	0.90

254

255 **Evaluation of peptide binding affinity prediction with an independent dataset**

256 Considering cross-validation might overestimate prediction accuracy, we reevaluated PSSMHCpan,
 257 NetMHC-4.0, NetMHCpan-3.0, PickPocket, Nebula, sNebula and SMM with an independent dataset
 258 that contains 273 non-duplicated experimentally verified binders from the Peptide Database of Cancer
 259 Immunity. We firstly removed 238 out of 273 binders as they are included in our training data, and then
 260 retrained the PSSMHCpan with the remaining training data. Together, we identified 268 of 273 (0.98)
 261 binders with 7 software. Of the 268 binders identified, PSSMHCpan and sNebula identified (245 and
 262 253) substantially more binders than other 5 software did (Figure 4; Additional file 1: Table S4).

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264 **Evaluation of the peptide binding affinity prediction efficiency**

265 As whole genome sequencing (WGS) and whole exome sequencing (WES) of cancer genome data are
 266 rapidly increasing, there is an urgent need to develop software that can quickly identify neoantigens
 267 from cancer genome data. To compare the efficiency of PSSMHCpan, NetMHC-4.0, NetMHCpan-3.0,
 268 PickPocket, Nebula, sNebula and SMM, we first calculated the predicting speed of 10-fold
 269 cross-validation on training database with 87 HLA class I alleles and found that PSSMHCpan is much
 270 faster than other six (ranging from 1.7 to 291.9 times faster; Table 6). We then used each software to
 271 independently predict binding affinity of 661,263 peptides generated from a breast tumor sample that
 272 contains 3062 somatic mutations with 6 HLA class I alleles. We found that PSSMHCpan completed the
 273 analysis in about 6 seconds. In contrast, NetMHC-4.0, took 3.61 hours, NetMHCpan-3.0 took 28.63

274 hours, PickPocket took 1.34 hours, sNebula took 0.35 hours and SMM took 1.49 hours to complete the
 275 analysis. Apparently, PSSMHCpan is far more efficient than other methods in detecting neoantigens
 276 from large quantity of sequencing data.

277 **Table 6** The predicting speed (CPU time) of the seven software. The fastest ones were marked in bold.

Methods	10-fold cross-validation	Breast tumour neoantigens prediction
PSSMHCpan	18.40s	6.34s
NetMHC-4.0	1056.83s	13001.57s
NetMHCpan-3.0	5371.16s	103060.24s
PickPocket	282.83s	4839.63s
Nebula	146.70s	Not done
sNebula	31.04s	1245.88s
SMM	222.45s	5369.36s

278 CPU time was measured by second (s).

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280 **Pan-cancer neoantigens**

281 To identify neoantigens that can be used as candidate markers to develop antitumor vaccine, we
 282 develop a neoantigen prediction pipeline to determine what types of mutated peptides in cancer cells
 283 could be brought to the cell surface by HLAs based on somatic small mutations (SSMs). In order to
 284 maximize prediction accuracy, we include PSSMHCpan, NetMHC-4.0, NetMHCpan-3.0 and
 285 PickPocket into our pipeline to detect neoantigens in TCGA tumor samples as following (Figure 5a).
 286 We first annotate missense SSMs including single nucleotide variants (SNVs), insertions and deletions
 287 (InDels) with ANNOVAR [38] to create a list of tumor-specific peptides (8-13) with an in-house script.

1 288 After HLA alleles are predicted with Seq2HLA [7], we predict neoantigens with PSSMHCpan,
2
3 289 NetMHC-4.0, NetMHCpan-3.0 and PickPocket, respectively. Finally, we select a list of candidate
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6 290 neoantigens that meet the following conditions: 1) Predicting as binders ($IC_{50} < 500nM$) by at least 2
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9 291 software and taking the median value of IC_{50} as final result; 2) The IC_{50} value of a given SNV-derived
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12 292 neoantigen must be smaller than that of its corresponding wild type (WT) peptide [12]. Using this
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15 293 pipeline, we analyzed the neoantigens across 10 cancer types from TCGA cohort.

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17 294 Totally we identified candidate 117,017 neoantigens from 467 TCGA cancer samples. We calculated
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20 295 the number of candidate neoantigens per SSM in different types of cancer and observed that STAD,
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23 296 PRAD and BRCA had the highest neoantigens with 2.54, 1.52 and 1.43 per SNV, respectively (Figure
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26 297 5b), whereas the highest neoantigens per InDel were 2.76, 2.59 and 2.34 in PRAD, STAD and KIRC,
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29 298 respectively (Figure 5c). We also compared the neoantigen loads (number of candidate neoantigens per
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32 299 sample) across 10 cancer types and found that STAD, COAD and BLCA tumors had the highest
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35 300 neoantigen loads with median values of 302, 182 and 163, while the THCA tumors had a lowest
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38 301 median neoantigen load of 30 (Figure 5d).

39 302 On average we identified 251 candidate neoantigens in each tumor. We then investigated whether the
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42 303 expression level of HLA class I would be increased in cancer cells to bind neoantigens. Indeed, by
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45 304 looking at the mRNA expression in 467 TCGA tumor samples and their paired normal tissues, we
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48 305 found that the expression of HLA class I was markedly elevated in most tumors (Figure 5e). Since the
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51 306 amount of candidate neoantigens differs substantially among different tumors, we examined whether
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54 307 the number of candidate neoantigens was correlated with HLA class I expression level in each tumor.
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57 308 However, we found no correlation between the number of candidate neoantigens and the HLA class I
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60 309 expression levels in tumors (Pearson' $\rho = -0.05$, $P = 0.33$).

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311 **Discussion**

312 Designing antitumor vaccine requires predicting peptide-HLA binding affinity with high accuracy. In
313 this article, we have presented a novel software PSSMHCpan that allows us to predict peptide binding
314 affinity with a broad coverage of HLA class I alleles. By comparing our PSSMHCpan with
315 NetMHC-4.0, NetMHCpan-3.0, PickPocket, Nebula, sNebula and SMM, we demonstrate that overall
316 our PSSMHCpan is at least as good as the other six in predicting peptide-HLA binding affinity in terms
317 of accuracy, and PSSMHCpan is far more efficient in detecting neoantigens from large quantity of
318 sequencing data.

319 In recent years, PSSM based methods to predict peptide-HLA binding affinity were gradually
320 replaced by machine learning based methods that are believed to have reliable accuracy and larger data
321 prediction capability [20]. However, by comparing our PSSMHCpan with machine learning based
322 methods NetMHC-4.0 and NetMHCpan-3.0, we show that our PSSMHCpan exhibits a higher
323 predicting accuracy than NetMHC-4.0 and NetMHCpan-3.0 as evidenced by the independent dataset
324 evaluation. In terms of data prediction capability, PSSMHCpan can allele-specifically and
325 pan-specifically predict peptides that bind to 241 and 4778 HLA class I alleles, respectively. While
326 NetMHC-4.0 and NetMHCpan-3.0 can only predict 89 and 2924 HLA class I alleles, respectively.
327 Furthermore, the PSSMHCpan displays more than 2050 and 16255 times higher prediction efficiency
328 as compared to NetMHC-4.0 and NetMHCpan-3.0 (Table 6).

329 Practically, we noticed that the size of training database appeared to directly affect the prediction
330 accuracy. We believe that a larger training database could have improved the prediction accuracy of
331 PSSMHCpan. For instance, the PSSMHCpan prediction accuracy ACC in predicting 9mer peptides

1 332 bind to HLA-A*0101 and HLA-B*5703 are 0.96 and 0.70. Not surprisingly, there are 813 binders for
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3 333 HLA-A*0101 and only 25 binders for HLA-B*5703, respectively in our training data.
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6 334 It is worth noting that PSSMs with less training binders may contain more zero elements (i.e. amino
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9 335 acid "X" was never observed at position "Y"), which is represent as random omega in the formula of
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11 336 "PSSM construction" that could affect the prediction accuracy. We investigated what training binder
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14 337 sizes have less random omega in PSSMs, and how training binder sizes could affect prediction
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17 338 accuracy. There are 6,784 9mer peptides bound to HLA-A*0201 in our training database. We randomly
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20 339 selected 678 (10%) binders from the 6,784 9mer peptides for predicting. We then repeatedly predicted
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23 340 peptide binding affinity of the same 678 binders with PSSMHCPan respectively trained with increasing
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26 341 sizes of binders with an increment step of 10, randomly selected from the remaining 6,104 binders. We
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29 342 found that the prediction accuracy was increased as the training sizes increased, and the prediction
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32 343 accuracy reaches a plateau when the sizes of training binders are over 100 (Additional file 1: Table S5).
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34 344 This suggests that PSSMHCPan trained with over 100 binders would contain fewer random omegas
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37 345 and have stable prediction accuracy. There are less 100 training binders in 145 out of 241 PSSMs in our
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40 346 PSSMHCPan. In our 10-fold cross-validation, PSSMs with less than 100 training binders could have
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43 347 increased or decreased AUCs, with a mean value of 0.88 (ranging from 0.5 to 1). In the case of the
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46 348 independent dataset evaluation, 3 out of 273 binders are incorrectly predicted due to PSSMs with less
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49 349 than 100 training binders.

50 350 Based on the evaluation results (Figure 4), we recognized that none of the available software is
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53 351 perfect and that in order to maximize the peptide binding affinity prediction accuracy, it is necessary to
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56 352 use multiple software. We believe that in order to provide actionable neoantigens that can be used in
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59 353 cancer immunotherapy, it requires more efforts to validate the function and immunogenicity of the
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1 354 predicted neoantigens experimentally.

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3 355 In conclusion, our PSSMHCpan can predict peptide binding affinity with a broad coverage of HLA
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6 356 class I alleles accurately and far more efficiently compared with currently most popular peptide binding
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9 357 affinity prediction software. Our PSSMHCpan can not only help develop personalized antitumor
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12 358 vaccines, but also has great potentials in other aspects of cancer immunotherapy including designing
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14 359 dendritic cell (DC) vaccines, inducing DC-CTL, TCR-T, and assessing the PD-1/CTLA4 prognosis.
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20 361 **Availability and requirements**

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22 362 ● Project name: PSSMHCpan
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25 363 ● Project home page: <https://github.com/BGI2016/PSSMHCpan>
- 26
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28 364 ● Operating system: Platform independent
- 29
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31 365 ● Programming language: Perl
- 32
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34 366 ● Other requirements: ActivePerl 5.8
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37 367 ● License: OSI

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42 369 **Availability of supporting data and materials**

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45 370 The supporting data from this study will be hosted in the additional files and PSSMHCpan home page.

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50 372 **Additional file**

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53 373 Additional file 1: Supplementary tables for supporting the analysis part

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55
56 374 Table S1 is the list of HLA class I alleles and corresponding peptide length for allele-specific and
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58 375 pan-specific prediction. Table S2 is 10-fold cross-validation results of alleles-specific prediction of

1 376 PSSMHCpan, and the same validation on NetMHC, NetMHCpan, PickPocket, Nebula, sNebula and
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3 377 SMM. Table S3 is the pan-specific prediction results. Table S4 is prediction results the independent
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6 378 dataset. Table S5 is the Validation results of 9mer peptides bound to HLA-A*0201. The first column of
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9 379 "size of training database" represents the number of binder in training PSSMs.

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13 381 **Competing interests**

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15 382 The authors declare no competing financial interests.

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21 384 **Authors' contributions**

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23 385 G. L., D. L., B. L., Y. H., J. W. and H. Y. conceived of study and designed the project. G. L. and D. L.
24
25 386 performed software development, computational analyses and prepared figures. S. Q., W. L. performed
26
27 387 pan-cancer neoantigen analysis. G. L., B. L. and K. M. wrote the manuscript. All authors read and
28
29 388 approved the final manuscript

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48
49 398 genomic technology based early diagnosis and treatment of Qujing Xuanwei lung cancer (2016RA037,
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51 399 Yunan province, P.R. China)

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498
 499 **FIGURE LEGENDS**

500 **Figure 1** Heat map of HLA protein sequence similarity. The larger the Z-Score, the more similar of the
 501 pair HLA protein sequences. It showed high similarity between different types of HLA alleles within
 502 the same gene locus.

503 **Figure 2** Method of PSSMHCpan. The three mainly steps are shown in grey background.

504 **Figure 3** Evaluation on broad HLA allelic coverage. (a) The allele-specific prediction evaluation
 505 results showed AUC and ACC value of PSSMHCpan, and also compare to NetMHC-4.0,
 506 NetMHCpan-3.0, PickPocket, Nebula, sNebula and SMM. (b) The boxplot of individual ACC of
 507 particular HLA allele with fixed peptide length. Comparison between PSSMHCpan and other six
 508 methods were performed by using paired T test. “*” denotes $P < 0.05$ and “***” denotes $P < 0.01$. (c) The
 509 evaluation results showed by ROC curve of PSSMHCpan in pan-specific prediction, NetMHCpan-3.0
 510 and PickPocket. The ACC, sensitivity and specificity at cutoff of 500nM were also shown. (d)
 511 Correlation analysis of peptide-HLA binding affinity result of IC50 value in log2 between
 512 allele-specific prediction and pan-specific prediction.

513 **Figure 4** The evaluation result of the independent dataset. We denoted $IC_{50} < 500nM$ as binder in
 514 PSSMHCpan, NetMHC, NetMHCpan, PickPocket and SMM. In Nebula prediction, $value \geq 1.5$ as
 515 binder. In sNebula prediction, $value \geq 0$ as binder.

516 **Figure 5** Pan-cancer neoantigens. (a) The flow-chart of neoantigen prediction pipeline. Software with

1 517 parameters using in the pipeline are shown in dashed procedure. (b) The distribution of neoantigens
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3 518 generated from each SNV across diverse cancers. (c) The distribution of neoantigens generated from
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6 519 each InDel across diverse cancers. (d) The distribution of neoantigen loads across 10 cancer types. The
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9 520 cancer types are sorted by median value of neoantigen loads. (e) The expression of HLA class I in
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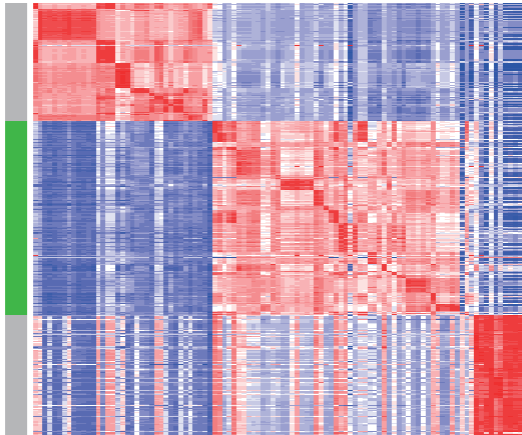
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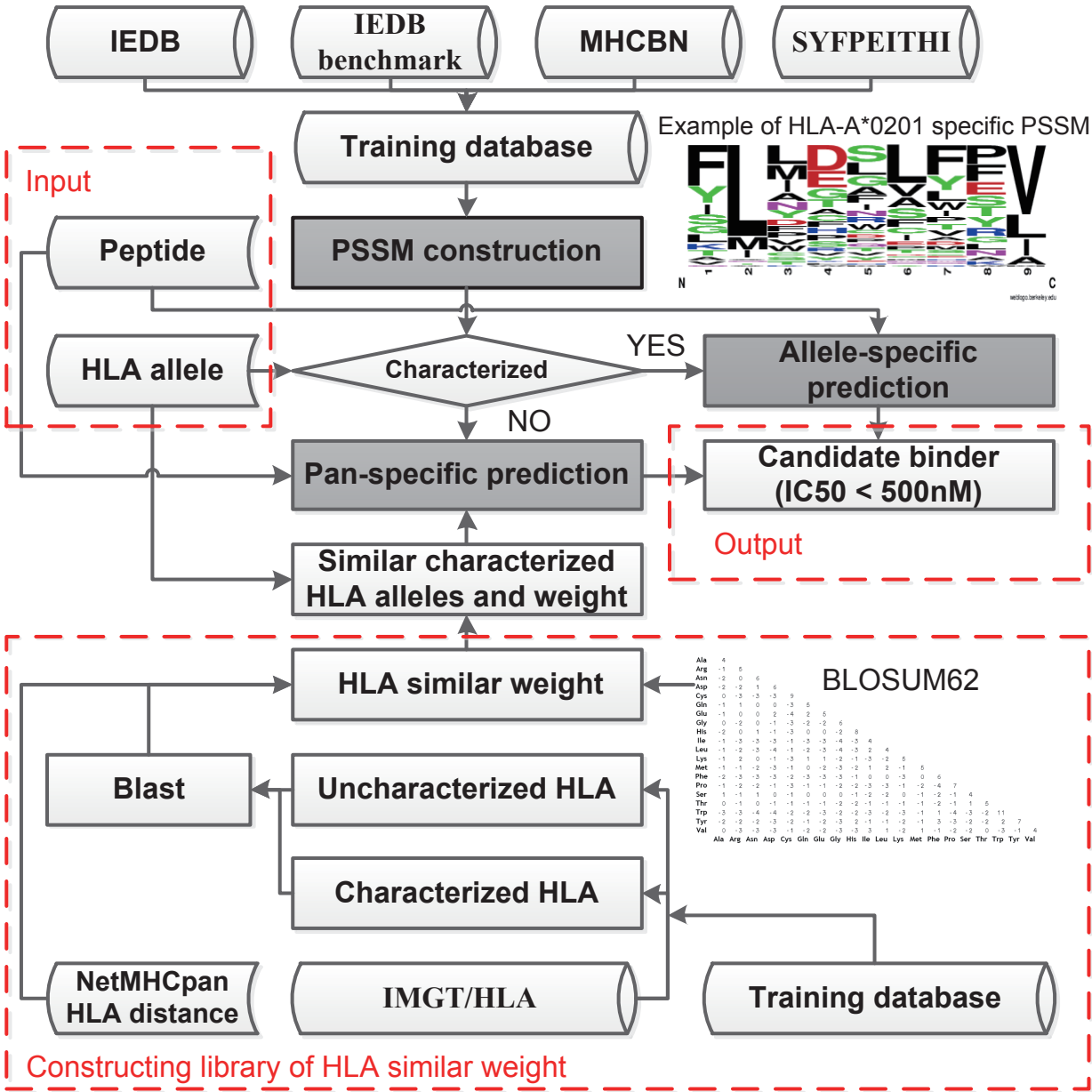
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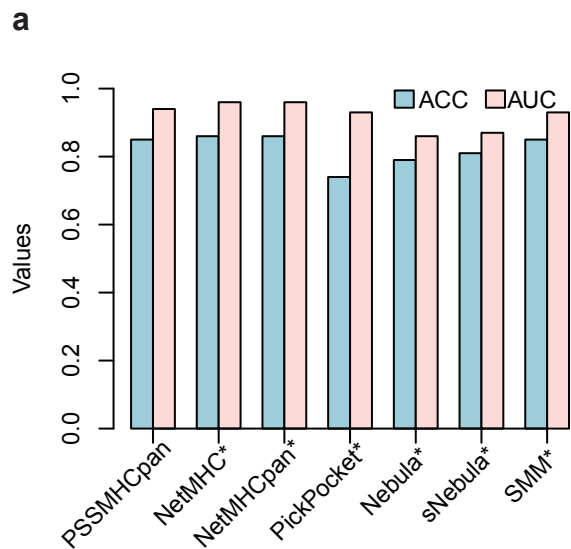
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HLA-C

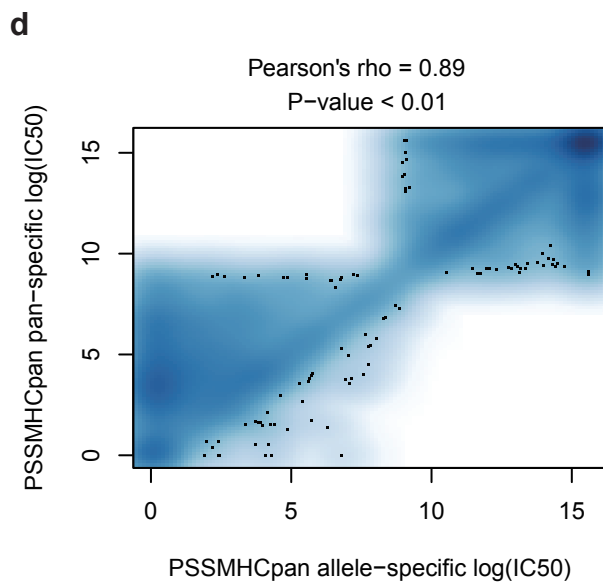
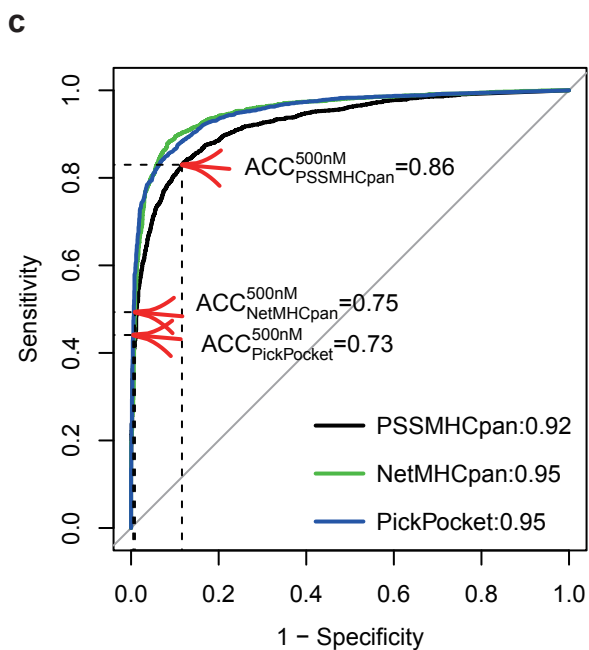
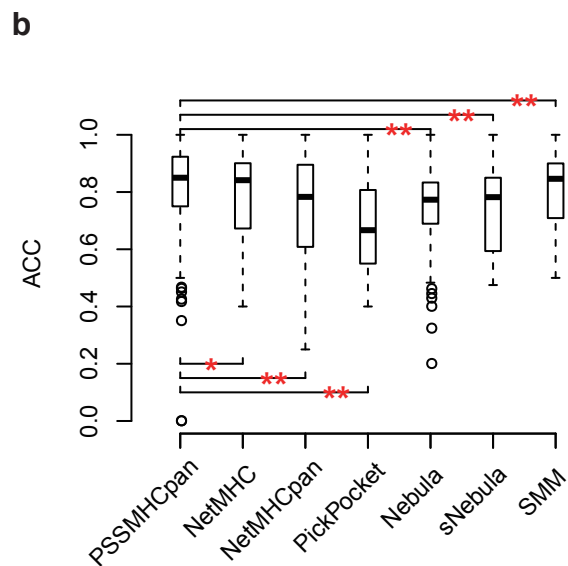
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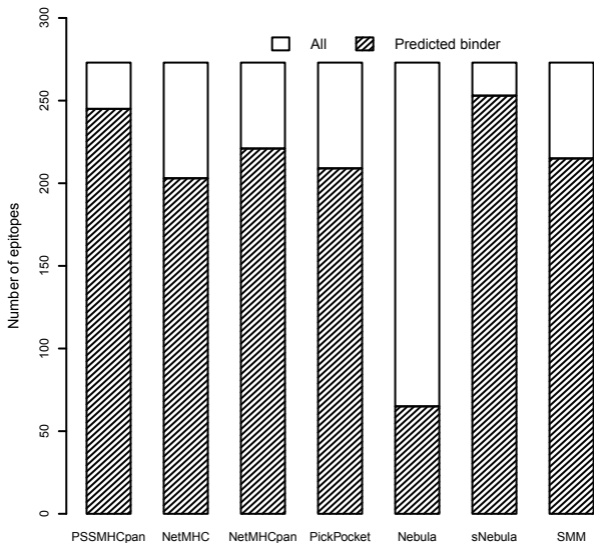


*Training data for prediction tool software are known to substantially overlap with testing data.

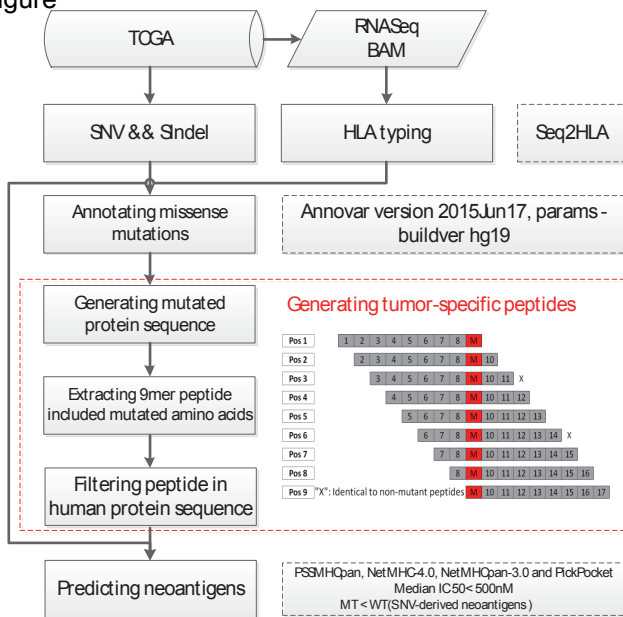


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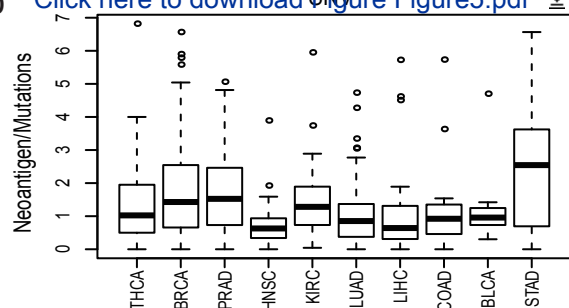
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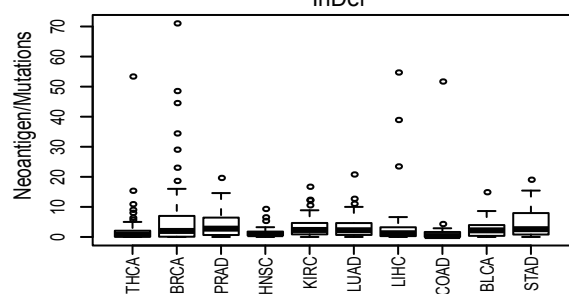
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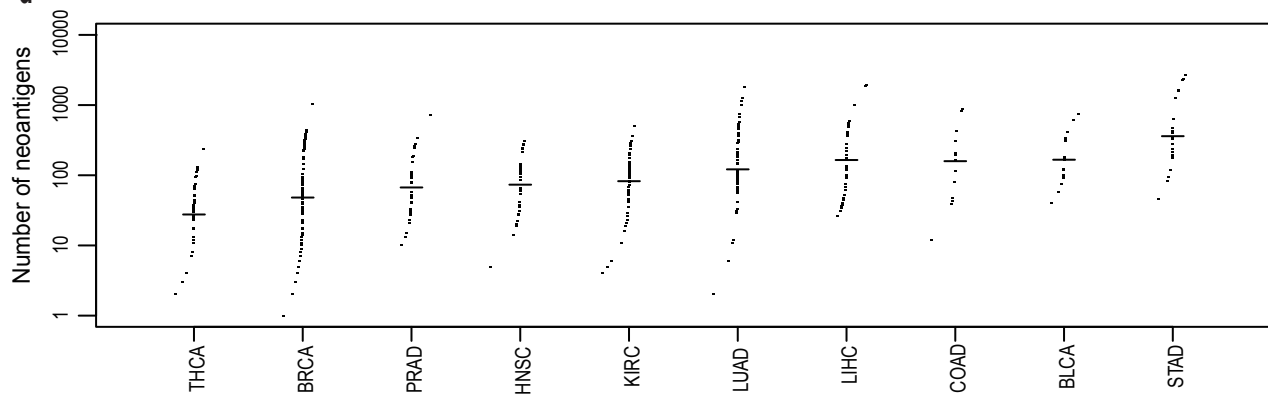
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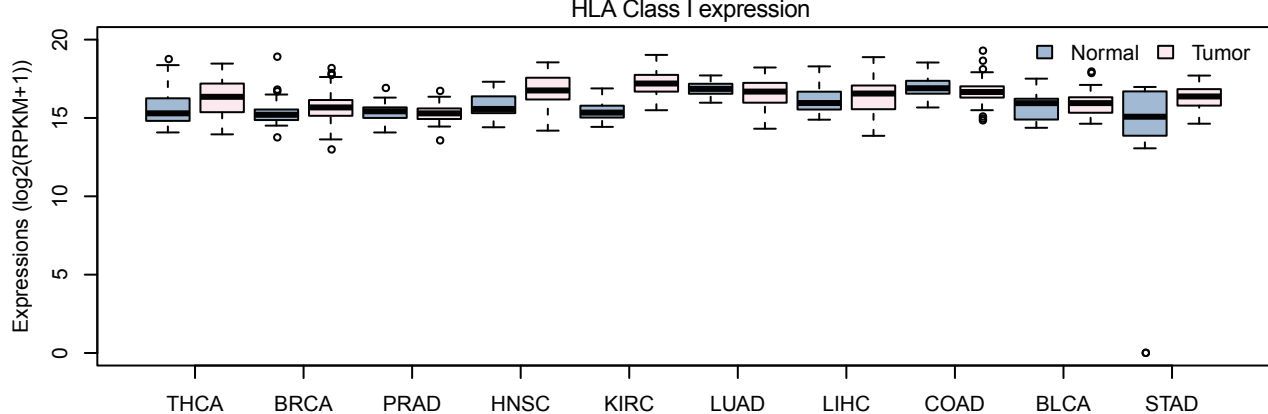
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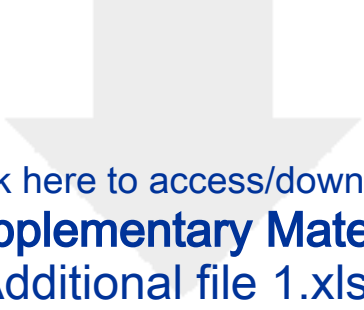


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Supplementary Material
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