Supplementary Material for:

Introgression and repeated co-option facilitated the recurrent emergence of C4 photosynthesis among close relatives

Authors: Luke T. Dunning, Marjorie R. Lundgren, Jose J Moreno-Villena, Mary Namaganda, Erika J. Edwards, Patrik Nosil, Colin P. Osborne, Pascal-Antoine Christin

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Supplementary Methods

1.1 Plant growth conditions

Alloteropsis semialata, A. angusta, and *Panicum pygmaeum* plants were grown from seeds or propagated vegetatively from cuttings collected in the field. All individuals were maintained in controlled environment chambers (Conviron BDR16; Manitoba, Canada) set to 60% relative humidity, 500 µmol m⁻² s⁻¹ photosynthetic photon flux density (PPFD), and 25/20 $^{\circ}$ C day/night temperatures with 14h of light at the University of Sheffield. Plants were grown in John Innes No. 2 potting compost (John Innes Manufacturers Association, Reading, England), maintained under wellwatered conditions, and fertilised every two weeks (Scotts Evergreen Lawn Food; The Scotts Company, Surrey, England). After a minimum of 30 days in the above conditions, samples were taken for RNA-Seq and leaf anatomy. For RNA-Seq, certain individuals were then were resampled after 30 days under a 10-hour photoperiod (Table S3). Leaf samples from *A. cimicina* were collected from two individual plants grown under ambient glasshouse conditions at Brown University.

1.2 RNA-Seq protocol

Total RNA was extracted from *A. semialata*, *A. angusta,* and *P. pygmaeum* samples using the RNeasy Plant Mini Kit (Qiagen, Hilden, Germany), following the manufacturer's protocol. An oncolumn DNA digestion step was performed using the RNase-Free Dnase Set (Qiagen, Hilden, Germany). Total RNA was eluted in RNAse-free water with 20 U/μL of SUPERase-IN RNase Inhibitor (Life Technologies, Carlsbad, CA). RNA quality and concentration were determined using the RNA 6000 Nano kit with an Agilent Bioanalyzer 2100 (Agilent Technologies, Palo Alto, California). Extractions used for library preparation contained at least 0.5 μg of total RNA, with an RNA integrity number (RIN) greater than 6.5. Each sample was prepared individually using the TruSeq RNA Library Preparation Kit v2 (Illumina, San Diego, CA), following the manufacturer's protocol with an eight-minute fragmentation step. Indexed libraries were paired-end sequenced by

the Sheffield Diagnostic Genetics Service on an Illumina HiSeq 2500 platform for 100 cycles in rapid mode, with 24 libraries pooled per lane of the flow cell. The two *A. cimicina* leaf samples were sequenced as described in Christin et al. (2015).

The RNA-seq data were filtered and assembled using the Agalma pipeline v.0.5.0 with default parameters (Dunn et al. 2013). In brief, this pipeline removes the reads that are low quality (Q <30), adaptor contaminated, or correspond to rRNA, prior to constructing *de novo* assemblies using Trinity (version trinityrnaseq_r20140413p1; Grabherr et al. 2011). One assembly was generated per genotype, using all reads available for each accession (Table S3). All raw RNA-Seq data have been deposited in the NCBI Sequence Read Archive (project identifier SRP072730, Table S3), and transcriptome assemblies are deposited in the NCBI Transcriptome Shotgun Assembly repository (Bioproject PRJNA310121). To generate transcript abundances, the paired-end reads from each library were mapped back onto their respective reference transcriptome assembly using bowtie2 v.2.0.5 (Langmead and Salzberg 2012).

In total, 38 individually sequenced RNA-Seq libraries from 14 different accessions/species generated over 300 million 100 bp paired-end reads. This represents 66.44 Gb of data, with a mean of 1.75 Gb per library (SD = 1.56 Gb; Table S3). Over 80% of the data were kept after removing low-quality reads and ribosomal RNA sequences (Table S3). One transcriptome was assembled per genotype, pooling all the reads obtained for each genotype (mean per genotype $= 3.87$ Gb, SD $=$ 1.76 Gb). The 14 assembled transcriptomes were all of comparable quality, with a mean of 44,578 trinity 'unigenes' (i.e. putative loci in the transcriptome assembly; SD = 6,905), 65,725 contigs (SD $= 12,282$), and a 1,543 bp N50 (SD = 167 bp).

1.3 Positive selection analysis

For each gene lineage, additional sequences were retrieved from complete published genomes for Panicoideae, the NCBI non-redundant nucleotide database, and other published transcriptomes (Bräutigam et al. 2014). The $pck-1P1$ gene is expressed at extremely low levels in the $C_4 A$.

semialata and *A. angusta* (see Results), and was therefore not assembled as part of the transcriptomes. As an alternative, we used coding sequences previously generated by Sanger sequencing when available (Christin et al. 2012), or manually assembled PCK coding regions from low coverage genome sequencing data (Olofsson et al. 2016). Each set of genes was aligned as codons using ClustalW (Thompson et al. 2002), and the resulting alignments were manually refined, including truncating the 5' or 3' ends to remove poorly aligned segments. A phylogenetic tree was inferred on 3rd positions of codons, using PhyML, with the GTR+G+I model and 100 bootstrap pseudoreplicates. The gene tree topologies were used for subsequent selection analyses, after removing sequences belonging to C4 species other than *Alloteropsis* to avoid an influence of positive selection in these taxa affecting our conclusion. C3 species outside *Alloteropsis* were however kept for positive selection analyses.

For genes not involved in the C4 cycle of *A. cimicina,* we repeated the positive selection analyses to distinguish between a single (common ancestor of *A. angusta* and *A. semialata*) and two episodes of adaptive evolution (*A. angusta* and C_4 *A. semialata* separately) within the *A. angusta*/*A.semialata* clade. This was also preformed with the hypothesis of positive selection acting only in *A. angusta*. In addition, we also performed these tests on the genes for which selection was detected on the branch leading to *A. cimicina*, after excluding *A. cimicina* sequences, to evaluate the possibility that selection operated on different sites in the different lineages.

1.4 Alignment and filtering

Stringent alignment and filtering methods were used to ensure reliable alignments of each gene family for phylogenetic inference. First, sequences within each gene family were translated and aligned as proteins with four different assemblers (maaft, muscle, kalign, t-coffee) using m-coffee (Wallace et al*.* 2006) as part of the t-coffee package v.11.0 (Notredame et al. 2000). Consensus alignments from the four different methods were then trimmed so that only amino acids aligned in

the same position by all of the assemblers were retained. Alignments were further parsed using the tcs residue filter (Chang et al. 2014), only retaining the highest confidence residues. The trimmed protein alignments were reverse-translated into nucleotide alignments using the original sequences, and further filtered using gblocks v.0.91 (parameters: -t=c -b2=b1 -b5=h; Castresana 2000). Finally, sequences shorter than 100 bp were removed, and maximum likelihood trees were inferred with PhyML. Putative groups of Panicoideae co-orthologs were identified as monophyletic groups that contained only sequences from Panicoideae species. The alignment process was repeated for each of these groups of putative co-orthologs, starting again from the initial untrimmed sequences, producing high quality alignments for each individual group. Subsequent analyses were restricted to groups containing at least one sequence of each *Alloteropsis* species and *Sorghum* (used as the outgroup), and phylogenetic trees were again inferred with PhyML. Datasets where at least one of the six Panicoideae species (*Sorghum*, *Setaria*, *P. pygmaeum*, *A. cimicina*, *A. angusta*, and *A. semialata*), the *Alloteropsis* genus, or the *semialata*/*angusta* clade was not monophyletic in the maximum likelihood tree were discarded to remove genes that were duplicated after the divergence from the outgroup or poorly informative datasets. Of the 4,969 datasets originally screened, 1,042 were discarded because at least one of *Sorghum*, *Setaria*, *P. pygmaeum*, or the *Alloteropsis* genus was not monophyletic. These include potential cases of paralogy problems, sequencing or assembly errors, and poor phylogenetic resolution in the deep nodes of the trees. A further 1,130 datasets were discarded because one of the *Alloteropsis* species or the *A. semialata*/*A. angusta* clade was not monophyletic. This category includes potential *Alloteropsis*-specific duplicates and datasets lacking resolution among these closely-related taxa. While it cannot be excluded that some of these incongruences reflect true biological phenomena, the remaining 2,797 datasets (56% of the original ones) represent reliable markers for dating analyses. Finally, we removed species-specific duplicates, or transcript variants, by only retaining the longest sequence for each accession when several sequences from that accession formed a monophyletic clade.

Captions for Supplementary Figures

Figure S1: Comparisons of leaf anatomy in *Alloteropsis* **and relatives.**

Leaf cross-sections are shown for each group. The red bar at the bottom represents 0.5 mm. Black arrows indicate mesophyll cells (M), red arrows inner sheath (IS) cells and orange arrows outer sheath (OS) cells. The species and photosynthetic type are indicated on the right.

Figure S2: Phylogenetic trees for genes encoding three C4-related enzymes.

These maximum likelihood trees show the relationships among genes used to circumscribe grass co-orthologs for the phylogenetic annotation of contigs. The trees are shown for three families changed compared to Christin et al. (2013, 2015); **A)** NAD-malate dehydrogenase (*nadmdh-4*), **B)** phosphoenolpyruvate-phosphate translocator (*ppt*)/triosephosphate-phosphate translocator (*tpt*)/glucose-6-phosphate/phosphate translocator (*gpt*), **C)** Sodium bile acid symporter (*sbas*). For each tree, grass co-orthologs are delimited on the right, with names following the approach of Christin et al. (2015). Bootstrap values are indicated near branches

Figure S3: Phylogeny of *pck-1P1* **genes in Panicoideae.**

This phylogenetic tree was inferred on 3rd positions of codons. Bootstrap values are indicated near branches. Branches leading to genes that have been co-opted for C_4 photosynthesis are in green, following Christin et al. (2012). Tribes are delimited on the right. The laterally acquired *pck-1P1-C* gene is indicated.

Figure S4: Evolution of *ppc-1P3* **genes in** *Alloteropsis* **and other Panicoideae.**

This phylogenetic tree was inferred on 3rd positions of codons of *ppc-1P3* genes of Panicoideae. Bootstrap values are indicated near branches. Names of C_4 accessions are in bold. Gray branches were pruned before selection tests. Positive selection was detected on the thick branch. Groups of *Alloteropsis* genes are delimited on the right.

Figure S5: Evolution of *ppdk-1P2* **genes in** *Alloteropsis* **and other Panicoideae.**

This phylogenetic tree was inferred on 3rd positions of codons of *ppdk-1P2* genes of Panicoideae. Bootstrap values are indicated near branches. Names of C_4 accessions are in bold. Gray branches were pruned before selection tests. Positive selection was detected on the thick branch. Amino acid positions with a posterior probability >0.90 of being under positive selection are indicated on the right, asterisks indicate positions with a posterior probability >0.95 , with those associated with C_4 accessions in gray. Positions are indicated on the top, based on *Sorghum* gene Sb09g019930.1.

Figure S6: Evolution of *aspat-3P4* **genes in** *Alloteropsis* **and other Panicoideae.**

This phylogenetic tree was inferred on 3rd positions of codons of *aspat-3P4* genes of Panicoideae. Bootstrap values are indicated near branches. Names of C_4 accessions are in bold. Gray branches were pruned before selection tests. Positive selection was detected on thick branches. Amino acid positions with a posterior probability >0.90 of being under positive selection are indicated on the right, asterisks indicate positions with a posterior probability >0.95 , with those associated with C_4 accessions in gray. Positions are indicated on the top, based on *Sorghum* gene Sb03g035220.1. Asterisks indicate positions with a posterior probability >0.9.

	Sample					
ID	name	Country	Latitude	Longitude	Type	$\delta^{13}C$
RSA ₅	KWT3	South Africa	-32.70	27.53 C_3		-26.3
TAN ₂	L ₀₁	Tanzania	-5.63		32.69 C_3+C_4	-26.3
TAN ₁	L04	Tanzania	-8.51		35.17 C_3+C_4	-23.1
TAN4	L ₀₂	Tanzania	-9.04	32.48 C ₄		-11.4
BUR ₁	BF3	Burkina Faso	10.85	-4.83 C ₄		-11.3
MAD1	Maj	Madagascar	-15.67	46.37 C_4		-11.8
RSA3	MDB8	South Africa	-25.76	29.47 C_4		-12.7
RSA4	SFD3	South Africa	-28.39	29.04 C ₄		-12.7
AUS ₁	Aus ₂	Australia	-19.62	146.96 C ₄		-12.1
TPF.1	TW10	Taiwan	24.47	120.72 C_4^2		-11.8

Table S1: *Alloteropsis semialata* **accessions used in this study¹ .**

 1 ¹ Collection localities and photosynthetic type with the diagnostic physiology data come from Lundgren et al. (2016). Stable isotope data from Lundgren et al. (2015). ²Inferred from stable isotope data, adjusted for anthropogenic $CO₂$ sources per Lundgren et al. (2016).

		C ₄	minor	IVD		OS.width IS.width		
Species/Accession	Pathway sheath		veins ²	(μm)	nb.M	(μm)	(μm)	OS:IS
Entolasia marginata ³	C_3	na	absent	255.5	7.5	24.9	4.7	5.3
Panicum pygmaeum ³	C_3	na	absent	219.9	7.0	27.9	6.2	4.5
Alloteropsis semialata, RSA5	C_3	na	absent	186.2	7.4	12.2	6.8	1.8
Alloteropsis semialata, TAN2	$C_3 + C_4$	inner	absent	167.4	4.4	12.4	10.1	1.2
Alloteropsis semialata, TAN1	$C_3 + C_4$	inner	absent	159.8	5.4	11.8	9.4	1.3
Alloteropsis semialata, TAN4	C_4	inner	present	127.8	2.7	9.7	14.0	0.7
Alloteropsis semialata, AUS1	C ₄	inner	present	79.1	1.8	10.4	12.0	0.9
Alloteropsis semialata, BUR1	C ₄	inner	present	77.9	1.3	11.3	10.6	1.1
Alloteropsis semialata, MAD1	C_4	inner	present	92.9	1.8	9.7	13.3	0.7
Alloteropsis semialata, RSA3	C_4	inner	present	86.0	1.8	9.6	11.7	0.8
Alloteropsis semialata, RSA4	C ₄	inner	present	97.2	1.8	8.4	13.3	0.6
Alloteropsis semialata, TPE1	C ₄	inner	present	84.5	1.2	8.7	7.2	1.2
Alloteropsis angusta	C_4	inner	present	83.4	$1.0\,$	9.5	9.8	1
Alloteropsis cimicina 3	C ₄	outer	absent	292.3	3.4	46.7	6.0	7.8
Alloteropsis paniculata 3	C ₄	outer	absent	198.0	2.7	43.9	5.6	7.8

Table S2: Leaf anatomical data for the study species and accessions¹ .

¹ Column headings and abbreviations: C_4 sheath, bundle sheath used for CO_2 reduction; IVD, interveinal distance; nb.M, number of mediolateral mesophyll cells separating vein units; OS.width, the width of the outer bundle sheath cells; IS.width, the width of the inner bundle sheath cells; OS:IS is the ratio of outer to inner bundle sheath cell size. ²Minor veins are considered 4th and 5th order veins here, while the midrib, secondary and tertiary vein orders are excluded from this category. 3 Data taken from Christin et al. 2013.

Genotype	Species	SRA accession	Tissue	Photoperiod	Raw PE Reads	Clean PE Reads	No. Trinity contigs
ACIM	A. cimicina	SRR3994072	Leaf	Glasshouse	36087907	27351333	
		SRR3994073	Leaf	Glasshouse	28714973	4854902	51195
ANG33	A. angusta	SRR3994075	Leaf	14 _{hr}	7334658	6612013	72468
ANG48	A. angusta	SRR3994077	Leaf	14hr	7498597	6826154	71835
AUS1	A. semialata	SRR3321311	Leaf	10 ^{hr}	5308967	4675722	
		SRR3322358	Leaf	14 _{hr}	11184717	9624467	54197
		SRR3322714	Root	14hr	3950267	3613034	
BUR1	A. semialata	SRR3322990	Leaf	10 ^{hr}	11153698	9575675	
		SRR3322973	Leaf	14hr	16859344	14948845	75444
		SRR3323003	Root	14hr	2223260	3042111	
RSA ₅	A. semialata	SRR3323066	Leaf	10 ^{hr}	5500526	2402701	
		SRR3323049	Leaf	14hr	13458893	12135442	63273
		SRR3323067	Root	14hr	3107385	2915544	
TAN ₂	A. semialata	SRR3323068	Leaf	10 _{hr}	17997033	16131010	
		SRR3323088	Leaf	14hr	5423680	4804035	74639
		SRR3323114	Root	14hr	4282037	4018356	
TAN4	A. semialata	SRR3323124	Leaf	14hr	3218981	3218981	
		SRR3323125	Root	14hr	4689624	4689624	58125
TAN1	A. semialata	SRR3323127	Leaf	10 ^{hr}	25015574	22153077	
		SRR3323128	Root	10 _{hr}	11154350	9983220	74400
		SRR3323129	Root	14hr	3137368	2928130	
MAD1	A. semialata	SRR3323131	Leaf	10 ^{hr}	1338699	1146407	
		SRR3323132	Leaf	14hr	2546427	2190484	75444
		SRR3323133	Root	14hr	10980770	9826198	
		SRR3323134	Root	10hr	3460229	3201082	
RSA ₃	A. semialata	SRR3323186	Leaf	10hr	5001282	2021239	
		SRR3323137	Leaf	14 ^{hr}	11922494	10671710	74023
		SRR3323187	Root	14hr	3950750	4185063	
PPYG		P. pygmaeum SRR3330791	Leaf	14hr	4093890	3793221	
		SRR3323220	Leaf	14hr	8925603	8087404	72117
		SRR3330803	Root	14hr	4106624	3482683	
		SRR3330803	Root	14 ^{hr}	2026469	1752009	
RSA4	A. semialata	SRR3323240	Leaf	10 ^{hr}	4467544	3470414	
		SRR3323220	Leaf	14 _{hr}	16357704	14849292	87362
		SRR3323241	Root	14hr	3248828	4614268	
TPE1	A. semialata	SRR3323242	Leaf	10 ^{hr}	12422286	6546514	
		SRR3323243	Leaf	14hr	7457117	10995742	57350
		SRR3323244	Root	14hr	2604885	3699333	

Table S3: RNA-Seq data, NCBI SRA accession numbers, and growth conditions.

Table S5: Results of positive selection analyses inferring the episodes of enzymatic adaptation in *Alloteropsis***¹using only codons with fixed nucleotides for each photosynthetic type within** *A. semialata* **and** *A. angusta.*

	Number of	Number codons	Site model		One origin		Two origins		Three origins		Only A. cimicina
Gene	sequences	removed	M1a						BSA BSA1 BSA BSA1 BSA BSA1		BSA BSA1
aspat-2P3		23	$0.00*$	4.05	4.05	4.05	4.05	3.54	3.54	4.05	4.05
nadpme-1P4	8	29	25.14	6.13	4.43	6.13	4.43	11.68	9.83	3.77	$0.00*$
$ppdk-1P2$	8	48	26.34	11.49	8.91	11.49	8.91	9.67	4.73	4.27	$0.00*$
algat-1P5		33	$0.00*$	4.03	4.03	4.04	4.04	3.61	3.61	4.04	4.04

¹ The Δ AICc values compared to the best fit model for that gene are shown. The most appropriate model is indicated with an asterisk, with the null model (M1a) only rejected if the ΔAICc was at least 5.22 (equivalent to a p-value of 0.01 with a likelihood ratio test with df = 2). Two branch-site models were used to test for a relaxation of purifying selection (BSA), and potential positive selection (BSA1).

Table S6: Results of positive selection analyses inferring the episodes of enzymatic adaptation in the *A. angusta/A. semialata* **clade¹ using only codons with fixed nucleotides for each photosynthetic type within** *A. semialata* **and** *A. angusta.*

¹ The Δ AICc values compared to the best fit model for that gene are shown. The most appropriate model is indicated with an asterisk, with the null model (M1a) only rejected if the ΔAICc 5.22 (equivalent to a p-value of 0.01 with a likelihood ratio test with df = 2). Two branch-site models were used to test for a relaxation of purifying selection (BSA), and potential positive selection (BSA1).

Table S7: Effect of gene tree topology on the conclusions of the positive selection analyses in *Alloteropsis***¹ .**

 1 The number of topologies favouring each modelled, out of 100 bootstrap pseudoreplicates, is indicated.

Table S8: Assessing the effect of gene tree topology on the conclusions of the positive selection analyses within *A. semialata* **and** *A. angusta***¹ .**

	Site model M1a		One origin		Two origins		Only A. cimicina	
Gene			BSA BSA1 BSA		BSA1	BSA	BSA1	
aspat-3P4	0	Ω	θ	θ	100		$\bf{0}$	
nadpme-1P4	2	Ω	θ	0	98		0	
$ppc-1P3$	Ω	Ω	Ω	θ	θ		100	
ppdk-1P2	100	θ	θ	θ	0		0	

 1 The number of topologies favouring each modelled, out of 100 bootstrap pseudoreplicates, is indicated.

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A. semialata, C₃

A. semialata, C₃+C₄

A. semialata, C₄

A. angusta, C₄

A. cimicina, C₄

P. pygmaeum, C₃

Fig. S2 A

Fig. S2 C

 $\begin{array}{c} \n\bigcup_{0.02} \n\end{array}$

Branch key:

Branch key:

 $\overline{0.02}$

Pruned before selection tests

Positive selection