

Appendix

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APPENDIX A: BOUNDS ON THE LAPLACE SPECTRUM

Li and Yau in [1] proved that the Laplace spectrum has the following universal lower bound

$$\lambda_n \geq \frac{d}{d+2} 4\pi^2 \left(\frac{n}{B_d V} \right)^{2/d} \quad \forall n > 0. \quad (1)$$

We notice that this lower bound does not depend on the shape.

1) *Upper bounds:* Several authors have investigated the upper bounds and the relative growth rate of the eigenvalues of the Dirichlet spectrum [2]. In [3], Yang provides an upper bound for the growth rate of the components for $n \geq 1$ as

$$\lambda_{n+1} < \left[1 + \frac{4}{d} \right] \frac{1}{n} \sum_{m=1}^n \lambda_m. \quad (2)$$

This equation can be transformed into a sequence of upper bounds by only knowing the first eigenvalue λ_1 . Although sharp for the first few eigenvalues, the upper bound is too relaxed for the remaining modes. Cheng and Yang in [4] provides a much sharper upper bound for larger values of n and is valid for $n \geq 2$.

$$\lambda_{n+1} \leq C_0(d, n) n^{\frac{2}{d}} \lambda_1, \quad (3)$$

where

$$C_0(d, n) = 1 + \frac{a(\min(d, n-1))}{d}$$

$$a(1) \leq 2.64 \quad a(2) \leq 2.27$$

$$\text{and } a(p) = 2.2 - 4 \log\left(1 + \frac{p-3}{50}\right) \text{ for } p \geq 3,$$

where the bound only depends on the first eigenvalue and furthermore it is consistent with Weyl's asymptotic growth law.

APPENDIX B: PROOFS OF LEMMAS AND COROLLARIES

Before presenting the proofs for the corollaries let us provide a lemma that will be useful throughout this section.

Lemma 2. For any $a, b \in \mathbb{R}$ such that $a > b > 0$ the function $f(a, b) = \frac{a-b}{ab}$ increases monotonously with increasing a and decreases monotonously with increasing b .

Proof: Since f is differentiable it suffices to look at its partial derivatives $\frac{\partial f}{\partial a} = \frac{1}{a^2}$ and $\frac{\partial f}{\partial b} = -\frac{1}{b^2}$. ■

Corollary 1. Let $\Omega_\lambda \subset \mathbb{R}^d$ and $\Omega_\xi \subset \mathbb{R}^d$ be any two closed domains with piecewise smooth boundaries and $\{\lambda\}_{n=1}^\infty$ and $\{\xi\}_{n=1}^\infty$ be their Laplace spectrum. Then the weighted spectral distance

$$\rho(\Omega_\lambda, \Omega_\xi) = \left[\sum_{n=1}^\infty \left(\frac{|\lambda_n - \xi_n|}{\lambda_n \xi_n} \right)^p \right]^{1/p}$$

converges for $p > \frac{d}{2}$. Furthermore,

$$\rho(\Omega_\lambda, \Omega_\xi) < \left\{ C + K \cdot \left[\zeta \left(\frac{2p}{d} \right) - 1 - \left(\frac{1}{2} \right)^{\frac{2p}{d}} \right] \right\}^{\frac{1}{p}}, \quad (4)$$

where $\zeta(\cdot)$ is the Riemann zeta function and the coefficients C and K are given as

$$C \triangleq \sum_{i=1,2} \left[\frac{d+2}{d \cdot 4\pi^2} \cdot \left(\frac{B_d \hat{V}}{i} \right)^{\frac{2}{d}} - \frac{1}{\mu} \cdot \left(\frac{d}{d+4} \right)^{i-1} \right]^p$$

$$K \triangleq \left[\frac{d+2}{d \cdot 4\pi^2} \cdot (B_d \hat{V})^{\frac{2}{d}} - \frac{1}{\mu} \cdot \frac{d}{d+2.64} \right]^p$$

$$\hat{V} \triangleq \max(V(\Omega_\lambda), V(\Omega_\xi)), \quad \mu \triangleq \max(\lambda_1, \xi_1),$$

where $V(\cdot)$ denotes the volume (or area in 2D) of an object.

Proof: The following inequality results from combining the bounds specified in Section with Lemma -1

$$\frac{|\lambda_n - \xi_n|}{\lambda_n \xi_n} < \frac{d+2}{d \cdot 4\pi^2} \cdot \left(\frac{B_d \hat{V}}{n} \right)^{\frac{2}{d}} - \frac{1}{\mu} \cdot \left(\frac{d}{d+4} \right)^{n-1}$$

for $n = 1, 2$ and for $n \geq 3$

$$\frac{|\lambda_n - \xi_n|}{\lambda_n \xi_n} < \frac{d+2}{d \cdot 4\pi^2} \cdot \left(\frac{B_d \hat{V}}{n} \right)^{\frac{2}{d}} - \frac{1}{\mu} \cdot \frac{1}{C_0(d, n) n^{\frac{2}{d}}}$$

$$\leq \frac{d+2}{d \cdot 4\pi^2} \cdot \left(\frac{B_d \hat{V}}{n} \right)^{\frac{2}{d}} - \frac{1}{\mu} \cdot \frac{d}{(d+2.64)n^{\frac{2}{d}}},$$

Based on this component-wise bound we can write the infinite sum without the first two terms as

$$\sum_{n=3}^\infty \left(\frac{|\lambda_n - \xi_n|}{\lambda_n \xi_n} \right)^p < K \sum_{n=3}^\infty \left(\frac{1}{n} \right)^{\frac{2p}{d}},$$

which for $p > \frac{d}{2}$ converges to

$$K \sum_{n=3}^\infty \left(\frac{1}{n} \right)^{\frac{2p}{d}} = \zeta \left(\frac{2p}{d} \right) - 1 - \left(\frac{1}{2} \right)^{\frac{2p}{d}}$$

and diverges for $p \leq \frac{d}{2}$. Consequently, $\rho(\Omega_\lambda, \Omega_\xi)$ converges for $p > \frac{d}{2}$. Furthermore, extending the sum with the upper bounds for $n = 1, 2$ the following upper bound for the distance between Ω_λ and Ω_ξ holds

$$\rho(\Omega_\lambda, \Omega_\xi) < \left\{ C + K \cdot \left[\zeta \left(\frac{2p}{d} \right) - 1 - \left(\frac{1}{2} \right)^{\frac{2p}{d}} \right] \right\}^{\frac{1}{p}}. \quad \blacksquare$$

Corollary 2. $\rho(\Omega_\lambda, \Omega_\xi)$ is a pseudometric for $d \geq 2$.

To ease notation we define

$$\varrho_n(\Omega_\lambda, \Omega_\xi) \triangleq \frac{|\lambda_n - \xi_n|}{\lambda_n \xi_n}.$$

This leads to

$$\rho(\Omega_\lambda, \Omega_\xi) = \left[\sum_{n=1}^{\infty} \varrho_n^p(\Omega_\lambda, \Omega_\xi) \right]^{\frac{1}{p}}.$$

Proof: $\forall \Omega_\lambda \subset \mathbb{R}^d, \Omega_\xi \subset \mathbb{R}^d$ The first three points for this proof are trivial:

- $\rho(\Omega_\lambda, \Omega_\xi) > 0$ since $\varrho_n(\Omega_\lambda, \Omega_\xi) > 0 \forall n$.
- $\rho(\Omega_\lambda, \Omega_\lambda) = 0$ since $|\lambda_n - \lambda_n| = 0 \forall n$.
- $\rho(\Omega_\lambda, \Omega_\xi) = \rho(\Omega_\xi, \Omega_\lambda)$ since $|\lambda_n - \xi_n| = |\xi_n - \lambda_n| \forall n$

In order to prove the triangle inequality let us proceed with the case $\lambda_n \geq \xi_n$. The inverse case follows exactly the same way. Now, let $\Omega_\eta \subset \mathbb{R}^d$ be an arbitrary closed domain with piecewise smooth boundaries whose spectrum is given as $\{\eta_n\}_{n=1}^{\infty}$. Investigating

$$\frac{|\lambda_n - \eta_n|}{\lambda_n \eta_n} + \frac{|\eta_n - \xi_n|}{\eta_n \xi_n}$$

we notice that for each n there are only three possible cases:

- 1) $\lambda_n \geq \eta_n \geq \xi_n$, for which

$$\varrho_n(\Omega_\lambda, \Omega_\eta) + \varrho_n(\Omega_\eta, \Omega_\xi) = \varrho_n(\Omega_\lambda, \Omega_\xi),$$

- 2) $\lambda_n \geq \xi_n \geq \eta_n$, for which $\varrho_n(\Omega_\lambda, \Omega_\eta) \geq \varrho_n(\Omega_\lambda, \Omega_\xi)$ as a result of the Lemma -1. Due $\varrho_n(\Omega_\xi, \Omega_\eta) \geq 0$:

$$\varrho_n(\Omega_\lambda, \Omega_\eta) + \varrho_n(\Omega_\eta, \Omega_\xi) \geq \varrho_n(\Omega_\lambda, \Omega_\xi)$$

- 3) $\eta_n \geq \lambda_n \geq \xi_n$, for which $\varrho_n(\Omega_\eta, \Omega_\xi) \geq \varrho_n(\Omega_\lambda, \Omega_\xi)$ as a result of the Lemma -1 once again. And as in the previous case, due to $\varrho_n(\Omega_\eta, \Omega_\lambda) \geq 0$ we have

$$\varrho_n(\Omega_\lambda, \Omega_\eta) + \varrho_n(\Omega_\eta, \Omega_\xi) \geq \varrho_n(\Omega_\lambda, \Omega_\xi).$$

Thus $\forall n \varrho_n(\Omega_\lambda, \Omega_\eta) + \varrho_n(\Omega_\eta, \Omega_\xi) \geq \varrho_n(\Omega_\lambda, \Omega_\xi)$. Since $p > 1$ as $p > \frac{d}{2}$ for $d \geq 2$ the Minkowski Inequality states

$$\begin{aligned} \rho(\Omega_\lambda, \Omega_\eta) + \rho(\Omega_\eta, \Omega_\xi) &= \left[\sum_{n=1}^{\infty} \varrho_n^p(\Omega_\lambda, \Omega_\eta) \right]^{\frac{1}{p}} + \left[\sum_{n=1}^{\infty} \varrho_n^p(\Omega_\eta, \Omega_\xi) \right]^{\frac{1}{p}} \\ &\geq \left[\sum_{n=1}^{\infty} (\varrho_n(\Omega_\lambda, \Omega_\eta) + \varrho_n(\Omega_\eta, \Omega_\xi))^p \right]^{\frac{1}{p}}. \end{aligned}$$

When combined with the previous results, the outcome is the triangle inequality:

$$\rho(\Omega_\lambda, \Omega_\eta) + \rho(\Omega_\eta, \Omega_\xi) \geq \rho(\Omega_\lambda, \Omega_\xi)$$

■

Lemma 1. Let $\Omega_\lambda \mathbb{R}^d$ represent an object with piecewise smooth boundary and $\mathcal{D}(l, t) \triangleq \frac{e^{-\lambda_l t}}{\mathcal{Z}(t)}$ be the corresponding influence ratio of mode l at t . Then for any two spectral indices $m > n > 0$

$$\mathcal{D}(n, t) > \mathcal{D}(m, t), \quad \forall t > 0$$

and particularly for two t values such that $t_1 > t_2$

$$\frac{\mathcal{D}(m, t_1)}{\mathcal{D}(n, t_1)} < \frac{\mathcal{D}(m, t_2)}{\mathcal{D}(n, t_2)}.$$

Proof: The proof follows the properties of the exponential function and the properties of the spectrum of the Laplace operator. For $n < m$ we know that $\lambda_n < \lambda_m$ which leads to $e^{-\lambda_n t} > e^{-\lambda_m t} \forall t > 0$. Since the denominators are the same for both $\mathcal{D}(n, t)$ and $\mathcal{D}(m, t)$ then

$$\mathcal{D}(n, t) > \mathcal{D}(m, t) \quad \forall t > 0.$$

For the second part of the lemma, we first compute the ratio

$$\frac{\mathcal{D}(m, t)}{\mathcal{D}(n, t)} = e^{-(\lambda_m - \lambda_n)t}.$$

Now based on $\lambda_m > \lambda_n$ and $e^{-(\lambda_m - \lambda_n)t}$ is monotonously decreasing with increasing t , it follows for $t_1 > t_2$ that

$$\frac{\mathcal{D}(m, t_1)}{\mathcal{D}(n, t_1)} = e^{-(\lambda_m - \lambda_n)t_1} < e^{-(\lambda_m - \lambda_n)t_2} = \frac{\mathcal{D}(m, t_2)}{\mathcal{D}(n, t_2)}.$$

■

Corollary 3. Let Ω_λ and Ω_ξ be two objects with piecewise smooth boundaries. Then for any two scalars with $p > d/2$, $q > d/2$, $p \geq q$ and for all n with $|\lambda_n - \xi_n| > 0$ there exists a $M > n$ so that $\forall m \geq M$

$$\frac{\left(\frac{|\lambda_m - \xi_m|}{\lambda_m \xi_m} \right)^p}{\left(\frac{|\lambda_n - \xi_n|}{\lambda_n \xi_n} \right)^p} \leq \frac{\left(\frac{|\lambda_m - \xi_m|}{\lambda_m \xi_m} \right)^q}{\left(\frac{|\lambda_n - \xi_n|}{\lambda_n \xi_n} \right)^q}$$

Proof: From Corollary 1 we know that the series

$$\sum_{m=1}^{\infty} \left(\frac{|\lambda_m - \xi_m|}{\lambda_m \xi_m} \right)^q$$

converges. Then based on Cauchy's convergence criterion for series

$$\lim_{n \rightarrow \infty} \left(\frac{|\lambda_m - \xi_m|}{\lambda_m \xi_m} \right)^q = 0.$$

In other words, $\forall \epsilon > 0$ there exists a M such that

$$\left(\frac{|\lambda_m - \xi_m|}{\lambda_m \xi_m} \right)^q < \epsilon, \quad \forall m > M.$$

Let n be an arbitrary index such that $|\lambda_n - \xi_n| > 0$. Consequently, also for $|\lambda_n - \xi_n|$, there exists a M such that $\forall m > M$

$$\frac{\left(\frac{|\lambda_m - \xi_m|}{\lambda_m \xi_m} \right)^q}{\left(\frac{|\lambda_n - \xi_n|}{\lambda_n \xi_n} \right)^q} < 1.$$

Since $p \geq q$ we can find a $k \geq 1$ such that $p = kq$. Then based on the above inequality $\forall m > M$

$$\begin{aligned} \frac{\left(\frac{|\lambda_m - \xi_m|}{\lambda_m \xi_m} \right)^p}{\left(\frac{|\lambda_n - \xi_n|}{\lambda_n \xi_n} \right)^p} &= \left[\frac{\left(\frac{|\lambda_m - \xi_m|}{\lambda_m \xi_m} \right)^q}{\left(\frac{|\lambda_n - \xi_n|}{\lambda_n \xi_n} \right)^q} \right]^k \\ &\leq \frac{\left(\frac{|\lambda_m - \xi_m|}{\lambda_m \xi_m} \right)^q}{\left(\frac{|\lambda_n - \xi_n|}{\lambda_n \xi_n} \right)^q} \end{aligned}$$

■ result of Corollary 1 as

Corollary 4. Let $\rho^N(\Omega_\lambda, \Omega_\xi)$ be the truncated approximation of $\rho(\Omega_\lambda, \Omega_\xi)$ based on the first N modes and $\bar{\rho}^N(\Omega_\lambda, \Omega_\xi)$ of $\bar{\rho}(\Omega_\lambda, \Omega_\xi)$. Then $\forall p > d/2$

$$\lim_{N \rightarrow \infty} |\rho - \rho^N| = 0$$

and

$$\lim_{N \rightarrow \infty} |\bar{\rho} - \bar{\rho}^N| = 0.$$

Furthermore, for a given $N \geq 3$ the truncation errors $|\rho - \rho^N|$ and $|\bar{\rho} - \bar{\rho}^N|$ can be bounded by

$$\begin{aligned} |\rho - \rho^N| &< \left\{ C + K \cdot \left[\zeta \left(\frac{2p}{d} \right) - 1 - \left(\frac{1}{2} \right)^{\frac{2p}{d}} \right] \right\}^{\frac{1}{p}} \\ &\quad - \left\{ C + K \cdot \left[\sum_{n=3}^N \left(\frac{1}{n} \right)^{\frac{2p}{d}} \right] \right\}^{\frac{1}{p}} \\ |\bar{\rho} - \bar{\rho}^N| &< 1 - \left\{ \frac{C + K \cdot \left[\sum_{n=3}^N \left(\frac{1}{n} \right)^{\frac{2p}{d}} \right]}{C + K \cdot \left[\zeta \left(\frac{2p}{d} \right) - 1 - \left(\frac{1}{2} \right)^{\frac{2p}{d}} \right]} \right\}^{\frac{1}{p}} \end{aligned} \quad (5)$$

Proof: As before, to ease notation, let us again define

$$\varrho_n \triangleq \frac{|\lambda_n - \xi_n|}{\lambda_n \xi_n}. \quad (7)$$

Then based on Corollary 1 we know that the sum $\sum_{n=1}^{\infty} \varrho_n^p$ exists and thus also the partial sums converge

$$\lim_{N \rightarrow \infty} \left| \sum_{n=1}^{\infty} \varrho_n^p - \sum_{n=1}^N \varrho_n^p \right| = \lim_{N \rightarrow \infty} \sum_{n=1}^{\infty} \varrho_n^p - \sum_{n=1}^N \varrho_n^p = 0.$$

Based on

$$\forall a, b, d \in \mathbb{R} \text{ with } a, b, d \geq 0 \text{ and } a^d - b^d \rightarrow 0 \Rightarrow a - b \rightarrow 0$$

and

$$\varrho_n \succeq 0$$

we reach

$$\lim_{N \rightarrow \infty} |\rho - \rho^N| = \lim_{N \rightarrow \infty} \left[\sum_{n=1}^{\infty} \varrho_n^p \right]^{\frac{1}{p}} - \left[\sum_{n=1}^N \varrho_n^p \right]^{\frac{1}{p}} = 0$$

As the denominators for both $\bar{\rho}^N$ and $\bar{\rho}$ are the same, the above limit also yields $\lim_{N \rightarrow \infty} |\bar{\rho} - \bar{\rho}^N| = 0$.

The upper bounds for the truncation errors now is a direct

$$\begin{aligned} |\rho - \rho^N| &= \left[\sum_{n=1}^{\infty} \varrho_n^p \right]^{\frac{1}{p}} - \left[\sum_{n=1}^N \varrho_n^p \right]^{\frac{1}{p}} \\ &< \left\{ C + K \cdot \left[\zeta \left(\frac{2p}{d} \right) - 1 - \left(\frac{1}{2} \right)^{\frac{2p}{d}} \right] \right\}^{\frac{1}{p}} \\ &\quad - \left\{ C + K \cdot \left[\sum_{n=3}^N \left(\frac{1}{n} \right)^{\frac{2p}{d}} \right] \right\}^{\frac{1}{p}} \\ |\bar{\rho} - \bar{\rho}^N| &= \frac{[\sum_{n=1}^{\infty} \varrho_n^p]^{1/p} - [\sum_{n=1}^N \varrho_n^p]^{1/p}}{\{C + K (\zeta(2p/d) - 1 - 1/2^{2p/d})\}^{1/p}} \\ &< 1 - \left\{ \frac{C + K \cdot \left[\sum_{n=3}^N \left(\frac{1}{n} \right)^{\frac{2p}{d}} \right]}{C + K \cdot \left[\zeta \left(\frac{2p}{d} \right) - 1 - \left(\frac{1}{2} \right)^{\frac{2p}{d}} \right]} \right\}^{\frac{1}{p}} \end{aligned}$$

■ **Proposition 1.** Let $x, y \in \mathbb{R}$ be positive real values such that $y > x$. Then $\forall A, B \in \mathbb{R}, A, B > 0$

$$\frac{A + Bx}{A + By} > \frac{x}{y}.$$

Proof: Since $A, x, y > 0$, we can find two positive real values $k_1 > 0$ and $k_2 > 0$ such that $A = k_1x$ and $A = k_2y$. Furthermore, $y > x$ simply implies $k_1 > k_2$. Using k_1 and k_2 now we can write

$$\frac{A + Bx}{A + By} = \frac{k_1x + Bx}{k_2y + By} > \frac{k_2x + Bx}{k_2y + By} = \frac{x}{y}.$$

■

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