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URL: http://circheartfailure-submit.aha-journals.org

Title: Survival benefits of invasive vs. conservative strategies in heart failure patients with reduced ejection fraction and coronary artery disease

Manuscript number: CIRCHF/2016/003255R2

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#### 32 Abstract

Background: Heart failure with reduced ejection fraction caused by ischemic heart disease is associated with increased morbidity and mortality. It remains unclear whether revascularization by either coronary artery bypass grafting (CABG) or percutaneous coronary intervention (PCI) carries benefits or risks in this group of stable patients compared to medical treatment (MT).

Methods and Results: We performed a meta-analysis of available studies comparing different methods of
revascularization (PCI or CABG) against each other or MT in patients with coronary artery disease and left
ventricular ejection fraction ≤40%. The primary outcome was all-cause mortality; myocardial infarction,
revascularization, stroke were also analyzed. Twenty-one studies involving a total of 16,191 patients were
included. Compared to MT there was a significant mortality reduction with CABG (hazard ratio (HR) 0.67
[95% confidence interval (CI) 0.51 to 0.86]; P < 0.001) and PCI (HR 0.73, [CI 0.62 to 0.85]; P < 0.001).</li>
When compared to PCI, CABG still showed a survival benefit (HR 0.82 [CI 0.75 to 0.90]; P < 0.001).</li>

**Conclusions:** The present meta-analysis indicates that revascularization strategies are superior to MT in 45 improving survival in patients with ischemic heart disease and reduced ejection fraction. Between the two 46 revascularization strategies, CABG appears more favourable compared to PCI in this particular clinical 47 setting.

49 Keywords: CABG, PCI, heart failure, meta-analysis

#### 61 Commentary:

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Previous data have shown improved survival by revascularization with coronary artery bypass grafting (CABG) compared to medical treatment (MT) for patients with coronary artery disease (CAD) but single studies in the setting of CAD with heart failure and reduced ejection fraction (HFrEF) have been underpowered to draw definite conclusions, ultimately contributing to the uncertainty of current recommendations on the optimal strategy for patients with CAD and HFrEF. The present meta-analysis indicates that revascularization strategies are superior to MT in improving survival in patients with ischemic heart disease and reduced ejection fraction. Between the two revascularization strategies, CABG appears more favourable compared to PCI in this particular clinical setting. This large-scale article emphasizes in patients with HF and CAD the mortality benefits of revascularizations over medical therapy; these findings prompt an update of international guidelines with higher class and evidence of recommendations assigned to surgical revascularizations to these high-risk patients.

#### Introduction 66

Heart failure (HF) remains a major cause of morbidity and mortality worldwide [1-4]. With an incidence 67 expected to rise steadily in the coming years, it represents an increasing public health issue. 68

Systolic heart failure, also termed heart failure with reduced ejection fraction (HFrEF), accounts for about 69 50% of the overall HF burden [1,3,5]. HFrEF is commonly defined as a reduction in left ventricular ejection 70 fraction to  $\leq 40\%$ , with coronary artery disease (CAD) causing approximately two-thirds of cases [1,6]. 71 72 Recurrent or prolonged ischemic events lead to maladaptive remodeling of cardiomyocytes and expanding 73 extracellular matrix, culminating in cavity dilation and systolic dysfunction [7].

Previous studies have reported improved survival by revascularization with coronary artery bypass grafting 74 (CABG) compared to medical treatment (MT) [8-10] for patients with CAD and HFrEF, with CABG 75 becoming the recommended strategy; however, other potential therapeutic options currently include 76 percutaneous coronary interventions (PCI) and intensified, evidence-based MT: moreover, single studies in 77 the setting of HF have been underpowered to draw definite conclusions, ultimately contributing to the 78 79 uncertainty of current recommendations on the optimal strategy for patients with CAD and HFrEF [1,3]. We aimed to perform an analysis of the totality of evidence of both randomized and observational studies 80 evaluating the impact on mortality of available treatment options (CABG, PCI and MT) for patients with 81 Disclaimential, and 82 HFrEF and CAD.

83 84

#### 86 Materials and Methods

#### 87 Data sources and search strategy

The meta-analysis was performed according to established methods recommended by the Cochrane guidelines [11] and in compliance with the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) statement for conducting systematic reviews and meta-analyses in health care interventions [12].

A systematic literature search of articles until 5<sup>th</sup> July 2016 was performed, using the medical databases 92 MEDLINE, EMBASE, Google Scholar, Web of Science and the Cochrane Controlled Trials Register, as 93 well as congress proceedings from major cardiovascular societies (ACC, AHA Scientific Sessions, ESC 94 95 Congress). Search terms according to medical subjects headings included revascularization, impaired 96 ejection fraction, left ventricular ejection fraction, severe left ventricular dysfunction, reduced ejection fraction, heart failure, ischemic cardiomyopathy, percutaneous coronary intervention, coronary artery bypass 97 grafting, medical therapy. A bibliography search within landmark articles and guidelines of cardiac societies 98 99 on the subject was additionally performed and relevant articles were added. Relevant citations were screened at the title/abstract level and retrieved as full text reports, where possible. 100

#### 101 Study design, selection criteria and outcome measures

We designed the current meta-analysis to compare CABG, PCI and MT treatment strategies for patients with ejection fraction  $\leq$ 40%. All randomized or observational trials comparing at least two of the three treatment modalities against each other with a minimum follow-up of 12 months and reporting all-cause mortality were eligible for inclusion. No language or publication status restriction was imposed. Exclusion criteria were: 1) <12 months of follow-up; 2) mortality not reported; 3) single-arm study.

The primary clinical endpoint was mortality; secondary endpoints were myocardial infarction (MI), repeat
 revascularization (RR), and stroke. Repeat revascularization was considered to be any revascularization,
 including target-vessel revascularization.

#### 111 Data abstraction and quality assessment

The most updated or inclusive data for each study were used for abstraction. Two independent investigators (DD and GW), who were not personally involved in any of the included trials, abstracted data from each report into pre-specified forms. Data were abstracted according to the intention-to-treat principle, where possible. Internal validity was independently appraised by two investigators (DD and GW); divergences were resolved by discussion with a third investigator (EPN). Bias assessment was performed based on the Cochrane Handbook recommendations [11]. Additional sensitivity analyses were conducted to account for different types of emerging bias.

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#### 120 Statistical analyses

Presented data are time-to-event outcomes. For meta-analyses of these outcomes, the most appropriate 121 statistic to use is the hazard ratio (HR), which takes into account both the number of events and the time to 122 these events. Hazard ratio (HR) and 95% confidence intervals (CI) were derived from survival parameters in 123 each study and used as summary statistics. Heterogeneity was assessed by the Cochran's O test, and 124 statistical heterogeneity was summarized by the I<sup>2</sup> statistic, which quantifies the percent of variation in study 125 126 results that is due to heterogeneity rather than to chance [13]. I<sup>2</sup> values >50% indicate substantial heterogeneity. Pooled HR for all outcomes were calculated using the more conservative DerSimonian and 127 128 Laird random-effects model [11,14].

To validate the overall analyses of the primary mortality outcome, three pre-specified sensitivity analyses were performed: namely, studies with matched patients only (either randomized or propensity-scorematched), studies comparing CABG against drug-eluting stent (DES)-PCI, and studies published in 2010 or later.

Statistical significance for the summary hazard ratios was assumed at a 2-tailed p-value <0.05.</li>
Comprehensive Meta-Analysis software, version 2 (Biostat, Englewood, New Jersey) was used for statistical
analyses.

### 137 <u>Results</u>

#### 138 Study selection and patient populations

Article screening and selection is described in a PRISMA flow chart (Figure 1). Of 1,108 articles retrieved
from the primary searches using pre-specified keywords, 879 were excluded for unmet inclusion criteria.

Twenty-one studies published between 1983 and July 2016 were finally included in the meta-analysis (Table 141 142 1), of which 16 available as full-text reports [10, 15-19, 21, 23-26, 29-35]. For the remaining 5 articles, data were abstracted from the study summaries [8,20,22,27-28]. Patient baseline characteristics are shown in 143 Table 2. Of a total of 16,191 patients (mean age 64 years, 79% male), 7,335 underwent CABG, 4,439 144 underwent PCI and 4,417 received MT. Three trials involving 1,779 patients had a randomized design, and 145 six observational studies involving 2,611 patients used propensity-score- or case-control-matching, 146 contributing to a total of 4,410 patients in randomized or matched groups. For papers reporting both crude 147 and propensity-score-matched populations (Yang et al. [15] and Velasquez et al. [16]), these groups were 148 149 included separately in the overall and sensitivity analyses. Median follow-up was 36 months. Only a minority of studies performed viability testing in over 50% of patients. 150

151 The risks of bias of the included randomized and observational studies are shown in Supplementary Table 1
152 and Supplementary Table 2 respectively. Overall, bias was low across RCTs, and moderate in observational
153 studies.

#### 154 Mortality with CABG, PCI or MT

Eight studies, of which two had a randomized design [18,21], involved 6,896 patients and reported mortality with CABG compared to contemporary MT (Figure 2A). A statistically significant reduction in mortality was observed with the use of CABG, 31.91% (791 of 2,479 patients), compared to MT, 38.31% (1,692 of 4,417 patients) (HR 0.67 [95% CI 0.51 to 0.86]; P < 0.001; heterogeneity P < 0.001;  $I^2 = 77\%$ ).

- 159 Two studies involved 931 patients and compared PCI vs. MT (Figure 2B). A statistically significant
- 160 mortality reduction was observed with PCI 34.70% (178 of 513 patients) compared to MT 46.41% (194 of 161 418 patients) (HR 0.73 [95% CI 0.62 to 0.85]; P < 0.001; heterogeneity P = 0.96;  $I^2 = 0$ %).
- Sixteen studies involving 8,782 patients and including two RCTs compared CABG vs. PCI (Figure 2C). There was a statistically significant reduction in mortality with CABG compared with PCI; the respective mortality rates were 18.95% (920 of 4,856 patients) and 24.45% (960 of 3,926 patients) (HR 0.82 [95% CI 0.75 to 0.90]; P < 0.001; heterogeneity P = 0.01;  $I^2 = 47\%$ ).
- A sensitivity analysis limited to the randomized or matched cohorts was performed (Supp. Fig. 1). The results of CABG vs. MT were confirmed by three studies [16,18,21] involving 1,779 patients (HR 0.75 [95% CI 0.60 to 0.93]; P= 0.01; Supp. Fig. 1A). Seven studies [15,18,23,28–30,32] involving 2,656 patients confirmed the results on CABG vs. PCI (HR 0.86 [95% CI 0.77 to 0.96]; P = 0.009; Supp. Fig. 1B). Only one small randomized trial was available for the PCI vs. MT comparison (Supp. Fig. 1C).
- To account for the procedural and pharmacological progress made over the last years, a sensitivity analysis including only studies published since 2010 was performed (Supp. Fig. 2). The survival benefit seen in the overall analysis for CABG vs. MT was confirmed by five studies [16,18–21] involving 3,366 patients (HR 0.67 [95% CI 0.51 to 0.86]; P = 0.002; Supp. Fig. 2A), and for CABG vs. PCI by ten studies [15,18,20,22,24,27–29,32] involving 5,279 patients (HR 0.79 [95% CI 0.71 to 0.88]; P < 0.001; Supp. Fig. 2B).
- 177 Secondary endpoints with CABG vs. PCI
- 178 Myocardial infarction
- Eight studies with a total of 5,122 patients reported data on first or recurrent MI (Figure 3A). Treatment with CABG resulted in a statistically significant reduction in MI compared with PCI; rates were 2.11% (62 of 2,938) and 4.26% (93 of 2,184) respectively (HR 0.50 [95% CI 0.36 to 0.68]; P < 0.001; heterogeneity P = 0.51;  $I^2 = 0\%$ ).

184 *Repeat revascularization* 

- 185 Seven studies, involving 3,886 patients, provided data on repeat revascularization [15,24,25,27,28,30–32]
- 186 (Figure 3B). There was a statistically significant reduction in repeat revascularization with CABG compared
- 187 with PCI treatment; the respective rates were 5.82% (116 of 1,991 patients) and 20.74% (371 of 1,788

188 patients) (HR 0.34 [95% CI 0.24 to 0.47], P < 0.001; heterogeneity P = 0.03;  $I^2 = 57\%$ ).

189 Stroke

- Four studies, comprising 2,113 patients, were included in the analysis of stroke (Figure 3C). The rates did not differ significantly between the two groups: 5.21% (58 of 1,112 patients) who underwent CABG and 4.13% (37 of 894 patients) who underwent PCI (HR 0.79 [95% CI 0.52 to 1.18], P = 0.24; heterogeneity P =0.76;  $I^2 = 0\%$ ).
- 194

#### 195 CABG vs. PCI in patients stratified by disease or treatment characteristics

- We investigated whether CABG or PCI favored special patient populations, or patients preferably treatedwith drug-eluting stents (DES) in the PCI group.
- Four studies, with a total of 987 patients, reported a prevalence of left main/proximal left anterior descending (LAD) disease >50% in both groups (Figure 4A). Mortality was still significantly reduced with CABG vs. PCI, with respective rates of 17.08% (103 of 603 patients) and 25.0% (96 of 384 patients) (HR 0.76 [CI 0.59 to 0.98], P = 0.03; heterogeneity P = 0.96;  $I^2 = 0\%$ ).

Seven studies involving 2,695 patients reported a prevalence of three-vessel-disease >50% in both groups [18,23–25,28,31] (Figure 4B). The overall incidence of all-cause mortality did not differ significantly between the two revascularization strategies: 27.85% (379 of 1,361) among patients undergoing CABG and 30.51% (407 of 1,334) among patients who underwent PCI (HR 0.92 [CI 0.82 to 1.03], P = 0.16; heterogeneity P = 0.66;  $I^2 = 0\%$ ). Six studies comprising 4,827 patients used only DES in the PCI group, allowing a comparison of CABG against contemporary PCI (Figure 4C). Revascularization by CABG still resulted in a statistically significant reduction of all-cause mortality compared with revascularization by PCI, with respective rates of 13.73% (380 of 2,767) and 16.70% (344 of 2,060) (HR 0.82 [CI 0.69 to 0.96], P = 0.01; heterogeneity P = 0.79;  $I^2 =$ 0%).

#### 212 **Discussion**

The present article, to the best of our knowledge, represents the largest evidence base comparing mortality 213 214 outcome after surgical, percutaneous or conservative treatment of heart failure patients with reduced ejection fraction and coronary artery disease. The main findings of this analysis are that: a) revascularization with 215 either CABG or PCI carried a significant improvement in long-term survival over MT; b) CABG showed a 216 217 significantly improved survival compared to PCI, that persisted among patients with left main/proximal 218 LAD disease and in studies conducted after the advent of DES; c) CABG compared to PCI was associated with a significant reduction in the risk of myocardial infarction or need for repeat revascularization, albeit 219 with a numerically higher rate of stroke. 220

There are potential anatomical and functional reasons for the described different mortality rates among the 221 222 investigated patients cohorts: 1) a complete revascularization can be more frequently reached with CABG 223 than with PCI[36]; completeness of revascularization by removing the ischemic burden might be a pivotal 224 driver of improved prognosis, in particular in high-risk patients with HF caused by ischemic coronary artery 225 disease (CAD). Although in the last years advances have been made in MT, the results on clinical outcomes offered by a complete revascularization could not be equalized by the sole MT in the high-risk subset with 226 HF and reduced ejection fraction. 2) In HF patients CAD tends to be more complex and diffuse, leading to 227 higher need for repeated revascularisations and myocardial infarction rates after coronary stenting than with 228 CABG[37-38]. 3) CABG revascularizing prolonged epicardial segments vs. PCI only specific stenotic lesion 229 can yield a better vessel patency with a graft vs. a stented native vessel often extensively diseased in 230 231 ischemic HF; 4) in HF patients with low cardiac reserve, it is conceivable that in-stent restenosis would be more negatively impacting in this group than in others without severe dysfunction. 5) Improved survival 232

after CABG could be related to fewer lethal ventricular arrhythmias or to reverse remodeling. The risk of 233 contrast induced acute nephropathy (CI-AKI) after PCI is also increased in more complex patients with HF 234 and reduced ejection fraction, potentially contributing to higher mortality rates as compared to CABG [39]. 235 Findings from early randomized trials comparing medical therapy to CABG for the treatment of stable 236 angina cannot be automatically extrapolated to the care of coronary artery disease patients with heart failure, 237 238 since this is a specific population that was largely excluded from the early stable angina trials [21]. The only randomized trial specifically addressing heart failure patients is STICH, with its recently published 10-year 239 extended follow-up (STICHES) [33]. For similar reasons, the randomized trials comparing PCI to CABG in 240 CAD patients have failed to provide definite answers regarding patients with comorbid heart failure. Indeed, 241 242 only approximately 2% of patients enrolled in the SYNTAX (Synergy Between Percutaneous Coronary Intervention With Taxus and Cardiac Surgery) trial had LVEF <30% [40]. More recently, the NHLBI-243 sponsored FREEDOM (Future Revascularization Evaluation in Patients With Diabetes Mellitus: Optimal 244 Management of Multivessel Disease) trial reported similar outcomes with PCI using drug-eluting stents and 245 CABG in patients with LVEF <40%, but only 32 patients (2.5%) were in this pre-specified subgroup [41]. 246 Thus, the available randomized data comparing PCI and CABG in patients with severe left ventricular 247 dysfunction are insufficient. 248

Current guidelines from the American and European cardiac societies are not uniform with respect to the 249 class and level of treatment recommendations for CAD patients with HFrEF. The European Society of 250 Cardiology (ESC) guidelines recommend CABG over PCI for patients with HFrEF and significant CAD in 251 the presence of angina or viable myocardium [42]. PCI receives IIb C strength of recommendations for 252 253 patients unsuitable for surgery, who have viable myocardium or significant left main stenosis or two/three vessel disease. ACCF/AHA guidelines take a more liberal approach, suggesting CABG or PCI in patients 254 with left-main or multivessel disease in the case of angina and suitable coronary anatomy [3]. However, for 255 256 patients with severely impaired left ventricular function and significant CAD in the absence of angina, only 257 CABG is recommended as an alternative to MT, even in absence of myocardial viability (Class IIb, Level of Evidence B) [3]. The discrepancy among international recommendations, stemming from the lack of 258 evidence from adequately powered randomized trials, challenges physicians in choosing the optimal strategy 259

[1,3,42,43]. Our findings derived from a large scale analysis agree with those from STICH, unequivocally 260 supporting the revascularization option in this high-risk group of patients. Our data for the first time add 261 comprehensive information on the efficacy of percutaneous revascularization vs. medical therapy, providing 262 evidence that revascularization, irrespective of modality, in this specific population has the potential to 263 improve the patients' outcomes. Moreover, they further expand current evidence by investigating the 264 265 comparison between surgical and percutaneous revascularization, with a consistent long-term survival benefit provided by the surgical revascularization strategy. According to our meta-analysis and to the results 266 of the STICH trial, surgical revascularization should be regarded as the preferred revascularization modality 267 268 in these high-risk patients, followed by percutaneous interventions. These results suggest that current 269 international guidelines should upgrade CABG to receive a higher class of recommendation and a higher level of evidence over PCI or MT. 270

An interesting finding of our study is that a significant mortality reduction is observed not only for patients with a classical indication for surgical revascularization (left main disease or three-vessel-disease), but possibly for all patients with significant CAD and impaired left ventricular function.

The comparison of CABG and PCI in patients with HFrEF shows a significant survival benefit for CABG in the present analysis. The low heterogeneity and the narrow 95% confidence intervals suggest consistency of the findings that remained statistically significant in the subanalyses of CABG vs. PCI using DES, and of the randomized/matched cohorts. The reduction in mortality, however, was numerically smaller for CABG vs. PCI than for CABG vs. MT, in line with the findings of the present article on the benefits of PCI over MT. In a subanalysis of secondary endpoints, we found significantly reduced risk for myocardial infarction and repeat revascularization in patients treated with CABG vs. PCI.

Although left main and three-vessel disease have long been a domain of CABG rather than PCI for revascularization, due to an established prognostic benefit of CABG, three recent randomized trials, LE MANS [44], SYNTAX [45] and PRECOMBAT [46], and subsequent meta-analyses [47] have suggested that intermediate-term mortality after interventional revascularization using modern stent systems is comparable to CABG, with a reduced risk of stroke but a higher need for repeat revascularization. Revascularization guidelines [42,43] have thus expanded PCI indications in stable coronary heart disease, leaving the sole CABG recommendation to complex coronary anatomy with high SYNTAX scores and/or diabetes mellitus [48,49]. Our findings indicate that surgical revascularization in patients with heart failure and reduced ejection fraction should be regarded as the preferred strategy, with significant survival benefits in patients with left main/proximal LAD disease and a numerical but non-significant mortality reduction in patients with three vessel disease.

Another important finding of the present report is that the significant survival improvement with CABG 292 over MT or PCI occurred in patients largely without previous viability testing. The indication for 293 294 revascularization in patients with heart failure with reduced ejection fraction is most often based on clinical symptoms, e.g. angina or decompensation, in the presence of significant CAD. The relevance of myocardial 295 viability testing to determine the benefit/risk ratio of revascularization remains uncertain, with only a 296 minority of studies providing signal for possible benefit [50] and guidelines generally recommending it as a 297 reasonable procedure [1,3]. European guidelines clearly advise against revascularization with either CABG 298 or PCI in patients who have neither angina nor viable myocardium [1.40]. American guidelines instead take 299 a differing approach, giving a IIB-recommendation to CABG, independent of viable myocardium [3]. The 300 301 present meta-analysis of all available studies on the topic shows a clear survival benefit for revascularization techniques (CABG as well as PCI) compared to MT, largely independent of viability testing. Our results are 302 303 thus in line with current recommendations from American guidelines with respect to this point, and suggest a minor role of viability testing in CAD patients with heart failure and associated reduced ejection fraction. 304

In conclusion, this large-scale article emphasizes in patients with HF and CAD the mortality benefits of revascularizations over medical therapy; these findings prompt an update of international guidelines, with higher class and evidence of recommendations assigned to surgical revascularizations to these high-risk patients.

#### 309 **Limitations**

The availability of individual patient data would have improved the results of our meta-analysis, especially of potential subgroup analyses. Only few RCTs were available, with the majority of studies being observational. The observational design has the advantage of adhering to the real world, more appropriately reflecting current practice of unselected higher risk patients vs. those derived from randomized trials. Nonetheless, a number of sensitivity analyses were performed, including those limited to patients randomized or well matched, and were consistent with the main findings, suggesting that the overall effect is justified.

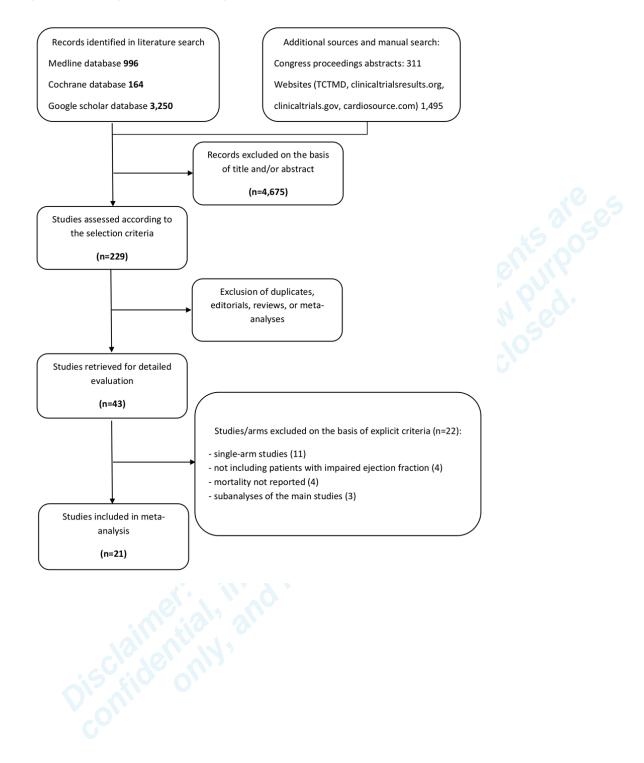
Data on single treatments in the medical therapy group were available only in a minority of studies, 317 therefore precise description of the adherence to standard guidelines for medical therapy in this patient 318 cohort is not possible. On the other hand, a sensitivity analysis done with the more contemporary studies 319 only confirmed the overall results. Moreover, additional sensitivity analyses in studies using randomization 320 or patient matching have been conducted, generating highly matched patients in terms of allocated medical 321 treatments; the findings are directionally convergent and have consistent magnitude with the main findings. 322 Caution should be prompted when interpreting subgroup analyses that should be regarded as exploratory, 323 given the degree of variability in patient background characteristics. 324

### 325 **Conclusions**

This meta-analysis provides evidence that revascularization, irrespective of modality, compared with medical therapy, significantly improves survival and other outcomes in patients with ejection fraction  $\leq$ 40% and significant CAD. CABG seems to be the most favorable option in this setting, although PCI may have its advantages in special patients and situations. Careful assessment of procedural risk and discussion of the optimal treatment strategy within a heart team is mandatory and recommended by current guidelines. Additional randomized trials will be necessary to further define the most beneficial treatment for these highrisk patients.

#### 333 **Disclosures**

334 None.



## Figure 2

## A) Mortality in studies comparing CABG versus MT

Study name		Statisti	cs for ea	ch stu	dy		Haza	ard ratio	and 95%	CI				
	Hazard ratio	Lower limit		Value	p-Value									
Cleland et al., Eur J Heart Fail 2011		0,293			0,175	1	I			I I	1			
Kwon et al., Circulation 2012	0,79		,	-2,144	0,032									
aBarbera et al., TCT/JACC 2012	0,49		0,592		0,000									
STICH, NEJM 2016	0,84	0,729	0,968	-2,404	0,016									
/elazquez et al., AnnThoracSurg 2012				-2,700	0,007			-						
DVERALL	0,67	0,519	0,864	-3,077	0,002	1	1			I				
						0,01	0,1	1	1	0	100			
							Favours	CABG	Favou	ırs MT				
) Mortality in studies co	mparii	ng PC	l vers	us N	<u>1T</u>									
Study		Ctatia	4: <b>6</b>						zard ratio					
Study name	lazard	Lower	tics for e Uppe		uay			Па	izard ratio	5 and 9:	<u>5% C</u> I			
	ratio	limit	limit	Z-	Value	p-Value								
Cleland et al., Eur J Heart Fail 2011	0.71	0.290	1.744		0.745	0.456		-	<u> </u>	<u>+</u>				
LaBarbera et al., TCT/JACC 2012	0.73	0.619	0.855		3.858	0.000								
OVERALL	0.73	0.620	0.852	: -:	3.929	0.000	I	Ι				I	I	
							0.1	0.2	0.5	1 2		5	10	
								Favou	rs PCI	F	avours	МТ		
) Mortality in studies co	mpari	ng CA	BG ve	ersus	S PCI									
			r each st	udy		Ha	azard ratio	and 95%	<u>6</u> CI					
Study name														
	Hazard Lo			ıep-Val	ue									
Ahn et al., JACC Abstract 2011	Hazard Lo ratio I 0,85 0,	werUpp imit lin 462 1,56	nit Z-Valu 65 -0,522	2 0,60	2		_	┢	I	Ι				
Ahn et al., JACC Abstract 2011 ASAN-MAIN, TCT/JACC 2015	Hazard Lo ratio I 0,85 0, 0,84 0,	wer Upp imit lin 462 1,56 412 1,7	nit <b>Z-Val</b> 65 -0,52 12 -0,48	2 0,60 0 0,63	2									
Ahn et al., JACC Abstract 2011 ASAN-MAIN, TCT/JACC 2015 AWESOME-RCT, Am J Cardiol 2004 AWESOME-Registry, Am J Cardiol 2004	Hazard Lo ratio 1 0,85 0, 0,84 0, 0,88 0, 1,08 0,	wer Upp imit lim 462 1,56 412 1,7 471 1,62 804 1,45	hit Z-Val 55 -0,522 12 -0,480 26 -0,422 51 0,510	2 0,60 0 0,63 2 0,67 0 0,61	12 31 30			+ + + •						
Ahn et al., JACC Abstract 2011 ASAN-MAIN, TCT/JACC 2015 AWESOME-RCT, Am J Cardiol 2004 AWESOME-Registry, Am J Cardiol 2004 Bangalore et al., NEJM 2015	Hazard Lo ratio I 0,85 0, 0,84 0, 0,88 0, 1,08 0, 0,90 0,	wer Upp imit lin 462 1,56 412 1,7 471 1,62 804 1,4 599 1,3	hit Z-Val 55 -0,52 12 -0,48 26 -0,42 51 0,51 53 -0,50	2 0,60 0 0,63 2 0,67 0 0,61 7 0,61	2 1 3 0 2									
Ahn et al., JACC Abstract 2011 ASAN-MAIN, TCT/JACC 2015 AWESOME-RCT, Am J Cardiol 2004 AWESOME-Registry, Am J Cardiol 2004 Bangalore et al., NEJM 2015 Cleland et al., Eur J Heart Fail 2011	Hazard Lo ratio I 0,85 0, 0,84 0, 0,88 0, 1,08 0, 0,90 0, 1,11 0,	wer         Upp imit           462         1,56           412         1,7'           471         1,62           804         1,45           599         1,35           421         2,93	hit Z-Valu 55 -0,522 12 -0,480 26 -0,422 51 0,510 53 -0,507 35 0,212 35 0,212	2 0,60 0 0,63 2 0,67 0 0,61 7 0,61 3 0,83	2 3 3 0 2 2		+   1 . 1   1							
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Figure 2: Individual and summary hazard ratios for mortality of studies stratified by treatment comparison: A) CABG vs. MT; B) PCI vs. MT; C) CABG vs. PCI.

#### Figure 3

#### A) Myocardial infarction in studies comparing CABG versus PCI

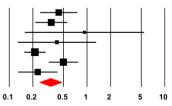
Study name		Statis	tics for eac	h study		Hazard ratio and 95% CI
	Hazard ratio	Lower limit	Upper limit	Z-Value	p-Value	
Bangalore et al., NEJM 2015	0.36	0.165	0.800	-2.513	0.012	│ <del>│ ■│ </del> │ │ │ │
CREDO-Kyoto, Am J Cardiol 2014	0.14	0.024	0.836	-2.159	0.031	
Gioia et al., Cath Cardiovasc Int 20	071.00	0.153	6.523	0.000	1.000	
Hannan et al., NEJM 2008	0.50	0.318	0.785	-3.010	0.003	
IRIS-MAIN, TCT/JACC 2015	0.60	0.039	9.343	-0.365	0.715	
REHEAT, Am J Cardiol 2007	2.00	0.205	19.541	0.596	0.551	
Toda et al., Ann Thorac Surg 2002	2 1.50	0.282	7.978	0.476	0.634	
Yang et al., Am J Cardiol 2013	0.50	0.235	1.064	-1.798	0.072	
OVERALL	0.50	0.361	0.689	-4.219	0.000	
						0.1 0.2 0.5 1 2 5 10

#### Favours CABG Favours PCI

#### B) Repeat revascularization in studies comparing CABG versus PCI

Study name		Statist	tics for eac	ch study		Hazard rati
	Hazard ratio	Lower limit	Upper limit	Z-Value	p-Value	
Bangalore et al., NEJM 2015	0.43	0.249	0.751	-2.975	0.003	│ │ — ■ —
CREDO-Kyoto, Am J Cardiol 2014	0.35	0.216	0.556	-4.384	0.000	
Gioia et al., Cath Cardiovasc Int 2007	0.93	0.158	5.440	-0.083	0.934	
IRIS-MAIN, TCT/JACC 2015	0.41	0.128	1.316	-1.498	0.134	
Nagendran et al., Ann Thorac Surg 2013	0.21	0.155	0.294	-9.429	0.000	-₩ -
Toda et al., Ann Thorac Surg 2002	0.50	0.320	0.772	-3.116	0.002	—≢—
Yang et al., Am J Cardiol 2013	0.23	0.129	0.418	-4.872	0.000	∎
OVERALL	0.34	0.243	0.471	-6.426	0.000	





Favours CABG Favours PCI

#### C) Stroke in studies comparing CABG versus PCI

Statis           ard         Lower           io         limit           44         0.139           94         0.430           83         0.160	tics for ead Upper limit 1.419 2.076 4.292	<b>Z-Value</b> -1.369 -0.141	<b>p-Value</b> 0.171 0.888		+	lazard ra	atio an	<u>id 95% (</u>	; 	I
io         limit           44         0.139           94         0.430	limit 1.419 2.076	-1.369 -0.141	0.171 0.888		+			-	I	I
94 0.430	2.076	-0.141	0.888		+			-		
83 0 160	4 202					_	-			
0.100	4.292	-0.224	0.823		+		-	+	— I	
81 0.473	1.402	-0.743	0.458				▇┤─	-		
79 0.525	1.180	-1.157	0.247							
				0.1	0.2	0.5	1	2	5	10
					<b>F</b>			<b>F</b>		
					0.1			0.1 0.2 0.5 1 Favours CABG		

<u>Figure 3</u>: Individual and summary hazard ratios for secondary endpoints of studies comparing CABG versus PCI: A) Myocardial infarction; B) Repeat revascularization; C) Stroke.

#### Figure 4

#### A) Mortality in studies comparing CABG versus PCI in patients with left main/proximal LAD disease

Favours CABG

Favours PCI

Study name		Statis	stics for	each stud	ly		Haza	rd ratio and			
	Hazard ratio	Lower limit	Upper limit	Z-Value	p-Value						
ASAN-MAIN, TCT/JACC 2015	0,86	0,423	1,748	-0,418	0,676				1	1	
CREDO-Kyoto, Am J Cardiol 2014	0,73	0,506	1,044	-1,725	0,085						
IRIS-MAIN, TCT/JACC 2015	0,74	0,411	1,325	-1,018	0,309						
Toda et al., Ann Thorac Surg 2002	2 0,83	0,475	1,437	-0,676	0,499						
OVERALL	0,76	0,594	0,983	-2,092	0,036			•			
						0,01	0,1	1	10	100	

# B) Mortality in studies comparing CABG versus PCI in patients with three-vessel-disease

Study name	Statist	cs for e	each stu	dy		Hazaro	l ratio ar	nd 95% Cl	
ı I	Hazard Lowe ratio limi			p-Value					
Ahn et al., JACC Abstract 2011	0,85 0,46	2 1,565	-0,522	0,602			-+-	1	
AWESOME-Registry, Am J Cardiol 2004	1,08 0,80	9 1,445	0,527	0,598			-		
Cleland et al., Eur J Heart Fail 2011	1,13 0,41	3 3,063	0,230	0,818				-	
CREDO-Kyoto, Am J Cardiol 2014	0,73 0,50	6 1,044	-1,725	0,085					
Gioia et al., Cath Cardiovasc Int 2007	1,39 0,60	4 3,205	0,776	0,438			-+-	-	
Nagendran et al., Ann Thorac Surg 2013	0,92 0,79	2 1,060	-1,173	0,241					
Toda et al., Ann Thorac Surg 2002	0,83 0,47	5 1,437	-0,676	0,499			-+-		
OVERALL	0,92 0,82	1 1,035	-1,376	0,169			•		
					0,01	0,1	1	10	100
						Favours C	ABG	Favours F	CI

#### C) Mortality in studies comparing CABG versus PCI, with drug-eluting stents in the PCI group

Study name	S	tatistics	for each	study	_		Hazard rat	io and 9	5% CI	
	Hazard ratio	Lower limit	Upper limit	Z-Value	p-Value					
Ahn et al., JACC Abstract 2011	0,85	0,462	1,565	-0,522	0,602	1	1		- I	
Bangalore et al., NEJM 2015	0,90	0,599	1,353	-0,507	0,612			_ <b>∔</b>		
CREDO-Kyoto, Am J Cardiol 2014	0,64	0,390	1,050	-1,766	0,077					
Gioia et al., Cath Cardiovasc Int 2007	1,38	0,602	3,142	0,755	0,450			_ <b>+</b> •	-	
Hannan et al., NEJM 2008	0,77	0,591	1,002	-1,942	0,052					
Yang et al., Am J Cardiol 2013	0,86	0,621	1,191	-0,907	0,365			-		
OVERALL	0,82	0,696	0,963	-2,415	0,016					
						0,01	0,1	1	10	100
							Favours CA	BG	Favours PC	

<u>Figure 4</u>: Individual and summary hazard ratios for mortality in studies comparing CABG versus PCI stratified by patient characteristics or treatment: A) in patients with left main/proximal LAD disease; B) in patients with three-vessel-disease; C) in studies using drug-eluting stents in the PCI group.

#### Table 1. Characteristics of included studies

Study	Year	Туре	Patients (total)	Comparison	FU (months)	Reported outcomes
Ahn et al. [34]	2011	Registry	327	CABG vs PCI	36	Mortality, major adverse cardiac or cerebrovascular event
Appoo et al. [17]	2004	Registry	2169	CABG vs MT	12	Mortality
ASAN-MAIN [22]	2015	Registry	213	CABG vs PCI	24	Mortality
AWESOME [23,35]	2004	RCT/Registry	386	CABG vs PCI	36	Mortality, survival free of angina, RR
Bangalore et al. [32]	2015	Registry	396	CABG vs PCI	35	Mortality, MI, stroke, RR
Bounous et al.[8]	1988	Registry	710	CABG vs MT	36	Mortality
CASS [10]	1983	Registry	651	CABG vs MT	36	Mortality, functional limitation
Cleland et al. [18]	2011	RCT	109	CABG vs PCI vs MT	59	Mortality
CREDO-Kyoto [24]	2014	Registry	293	CABG vs PCI	60	Mortality, cardiac mortality, sudden death, readmission fo HF, stroke, MI, RR
Gioia et al. [25]	2007	Registry	220	CABG vs PCI	15	Mortality, cardiac mortality, MI, TVR, NYHA
Hannan et al. [26]	2008	Registry	2673	CABG vs PCI	18	Mortality, MI
IRIS-MAIN [27]	2015	Registry	364	CABG vs PCI	12	Mortality, cardiac mortality, MI, RR, TVR, stroke
Kwon et al. [19]	2012	Registry	450	CABG vs MT	70	Mortality
LaBarbera et al. [20]	2012	Registry	1345	CABG vs PCI vs MT	60	Mortality
Nagendran et al. [28]	2013	Registry	1436	CABG vs PCI	180	Mortality, RR
REAL [29]	2013	Registry	296	CABG vs PCI	60	Mortality
	10					

2007	Case-control-study	107	CABG vs PCI	12	Mortality, MI, arrhythmia, angina, RR, stroke
2011/2016	RCT	1212	CABG vs MT	118	Mortality, cardiovascular mortality
2002	Registry	117	CABG vs PCI	36	Mortality, MI, TVR, heart failure
2012	Registry	763	CABG vs MT	120	Mortality
2013	Registry	953	CABG vs PCI	28	Mortality, MI, stroke, RR, TVR
	2011/2016 2002 2012	2011/2016         RCT           2002         Registry           2012         Registry	2011/2016         RCT         1212           2002         Registry         117           2012         Registry         763	2011/2016RCT1212CABG vs MT2002Registry117CABG vs PCI2012Registry763CABG vs MT	2011/2016         RCT         1212         CABG vs MT         118           2002         Registry         117         CABG vs PCI         36           2012         Registry         763         CABG vs MT         120

Table 1: Characteristics of included studies. MT=medical therapy, PCI=percutaneous coronary intervention, RCT=randomized controlled trial, FU=follow-up, MI=myocardial infarction, RR=repeat revascularization, TVR=target vessel revascularization, CABG=coronary artery bypass graft.

#### Table 2. Baseline patient characteristics

Study	Age	Male	HTN	DM	HLP	CKD	Prior CABG	Prior PCI	Prior MI	DES use	Left main/prox. LAD	3-vessel disease	Viability tes
	(y)	(%)	(%)	(%)	(%)	(%)	(%)	(%)	(%)	>50%	>50%	>50%	>50%
Ahn et al. [34]	-	-	-	-	-	-	-	-	-	yes	no	yes	no
Appoo et al. [17]	64	85	50	26	39	4	12	8	61	no	no	no	no
ASAN-MAIN [22]	-	-	-	-	-	-	-	-	-	no	yes	no	no
AWESOME [23,35]	65	-	66	33	-	-	29	23	-	no	no	yes	no
Bangalore et al. [32]	65	72	-	40		5	0	-	41	yes	no	no	no
Bounous et al.[8]	-	-	-	-	-	-	-	-	, it	no	no	no	no
CASS [10]	55	89	-	-	-	-	-	-	10-0	no	no	yes	no
Cleland et al. [18]	67	93	50	36	59	-	8	8	73	no	no	yes	yes
CREDO-Kyoto [24]	69	77	87	57	-	11	2	1.16	44	yes	yes	yes	no
Gioia et al. [25]	68	81	69	43	68	-	17	20	56	yes	no	yes	no
Hannan et al. [26]	66	70	-	-	36	4	10-10	-	42	yes	no	no	no
IRIS-MAIN [27]	66	79	-	-	-	C	10 <sup>2</sup>	-	-	no	yes	no	no
Kwon et al. [19]	63	74	51	37	49	0	20	-	-	no	no	no	yes
LaBarbera et al. [20]	-	-	-	1	6	<b>)</b> -	-	-	-	no	no	no	no
Nagendran et al.[28]	65	81	63	34	62	5	6	8	66	no	no	yes	no

REAL [29]	-	78	78	26	-	5	-	-	29	no	no	no	no
REHEAT [30]	61	77	62	25	66	-	-	-	62	no	no	no	yes
STICH/STICHES [21,33]	60	88	60	40	60	8	3	-	77	no	yes	yes	no
Toda et al. [31]	64	74	-	42	-	3	20	26	23	no	yes	yes	no
Velazquez et al. [16]	64	75	-	34	-	4	30	21	39	no	no	no	no
Yang et al. [15]	66	76	60	50	27	13	5	25	29	yes	no	no	no

<u>Table 2</u>: Baseline patient characteristics of all included studies. HTN=hypertension, DM=diabetes mellitus, HLP=hyperlipoproteinemia, CKD=chronic kidney disease, CABG=coronary artery bypass graft, PCI=percutaneous coronary intervention, MI=myocardial infarction, DES=drug-eluting stent, LAD=left anterior descending artery.

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