

TECHNICAL NOTE

GUIDock-VNC: Using a graphical desktop sharing system to provide a browser-based interface for containerized software

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Abstract

Background: Software container technology such as Docker can be used to package and distribute bioinformatics workflows consisting of multiple software implementations and dependencies. However, Docker is a command line based tool and many bioinformatics pipelines consist of components that require a graphical user interface.

Findings: We present a container tool called GUIDock-VNC that uses a graphical desktop sharing system to provide a browser-based interface for containerized software. GUIDock-VNC uses the Virtual Network Computing protocol to render the graphics within most commonly used browsers. We also present a minimal image builder that can add our proposed graphical desktop sharing system to any Docker packages, with the end result that any Docker packages can be run using a graphical desktop within a browser. In addition, GUIDock-VNC uses the OAuth2 authentication protocols when deployed on the cloud.

Conclusions: As a proof-of-concept, we demonstrated the utility of GUIDock-noVNC in gene network inference. We benchmarked our container implementation on various operating systems and showed that our solution creates minimal overhead.

Keywords: Research Reproducibility; Docker; Containers; Software; Graphical user interface; bioinformatics

1 Findings

2 Background

3 Modern workflows in computational fields such as bioinformatics consist of multiple
4 software implementations each with their own set of dependencies. Software con-
5 tainer technology such as Docker (<http://www.docker.com>), package the depen-
6 dencies along with the software and provide a method to reproduce these complex
7 pipelines on multiple hardware and cloud platforms. For example, BioShaddock [1],

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6 8 BioDocker and and Bioboxes [2] are two frameworks aimed at reproducibly deploy-
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8 9 ing bioinformatics workflows using Docker containers.
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11 Many bioinformatics pipelines have a component which requires a Graphical User
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13 Interface (GUI) that can potentially limit the portability of the Dockerized work-
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15 flows as different platforms use different methodologies to render the GUI. We have
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17 previously described and implemented GUIDock-X11 [3], an X11 based methodol-
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19 ogy for portably supporting GUI applications in containers on different platforms.
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21 While the X11 based display method can be conveniently deployed in the local envi-
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23 ronment by exposing a file socket from a container, deploying the image on a cloud
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25 and accessing it remotely is non-trivial. In addition, on systems such as Windows
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27 where there is no native X11 support, additional client software must be installed
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29 by the user to render the X11 graphics locally. Here we describe GUIDock-VNC
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31 which implements an improved browser-based solution which does not require the
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33 user to map ports, configure firewalls or install any additional specialized software.
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39 GUIDock-VNC uses the Virtual Network Computing (VNC) protocol [4] to ren-
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41 der the graphics. Instead of transferring commands and allowing a local client to
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43 render the graphics, VNC transfers a pre-rendered screen. Bandwidth requirements
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45 are minimized by only transferring the differences between the current screen and
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47 the last screen. This can actually be less chatty than the X11 methodology which
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49 is constantly sending display commands. noVNC is a browser-based VNC client
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51 implemented using HTML5 Canvas and WebSockets [5]. All modern browsers can
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53 use the HTML5 based noVNC client to display the screen locally. The browser
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55 transparently downloads the noVNC client from the container and becomes the
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57 terminal, thus eliminating the need for the user to configure and install separate
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59 software. This is a major advantage as the users of bioinformatics workflows are not
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61 necessarily technically trained in configuring computer systems.
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6 34 Most importantly, GUIDock-VNC also facilitates the deployment of Docker ap-
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8 35 plications on the cloud. With a browser based solution, we also have access to
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10 36 web-based authentication protocols such as Oauth2 [6] which allows for password-
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12 37 less authentication using an email account. The host service is accessed and au-
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14 38 thenticated through the HTTP/HTTPS port, greatly simplifying the configuration
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16 39 necessary to support cloud based platforms.

17 18 19 40 *Our contributions*

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21 41 We implemented GUIDock-VNC which adds and configures a software layer inside
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23 42 a Docker container to allow applications to export a GUI using the VNC protocol.
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25 43 When deployed on the cloud, authentication is provided using Oauth2. In addition,
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27 44 we provide a set of minimal base images to allow the users to add the host graph-
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29 45 ical desktop interface to any existing Dockerfiles. No client software installation is
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31 46 necessary for the users as GUIDock-VNC uses the HTML5 noVNC browser-based
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33 47 client to display the GUI. All our tools are publicly available on Github.

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36 48 We benchmarked the implementation on a real-world bioinformatics pipeline. Our
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38 49 results showed that noVNC creates minimal overhead and GUIDock-VNC is superior
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40 50 to our previous work GUIDock-X11 [3] and other virtual machine based deployment
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42 51 solutions.

43 44 45 52 Related work

46 47 53 *Software containers and Docker*

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49 54 A software container packages an application with everything it needs to run, in-
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51 55 cluding supporting libraries and system resources. Containers differ from traditional
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53 56 virtual machines (VMs) in that the resources of the operating system (OS) and not
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55 57 the hardware are virtualized. In addition, multiple containers share a single OS
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57 58 kernel, thus saving considerable resources over multiple VMs.

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59 59 Linux has supported OS level virtualization for several years. Docker (*https* :
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61 60 *://www.docker.com/*) is an open source project that provides tools to setup and
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6 61 deploy Linux software containers. While Docker can run natively on Linux hosts, a
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8 62 small Linux VM is necessary to provide the virtualization services on Mac OS and
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10 63 Windows systems. On non-Linux systems, a single Docker container consists of a
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12 64 mini-VM, the Docker software layer and the software container. However, multiple
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14 65 Docker containers can share the same mini-VM, saving considerable resources over
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16 66 using multiple individual VMs. Recently, support for OS level virtualization has
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18 67 been added to Windows and the Macintosh operating systems (Mac OS). Beta
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20 68 versions of Docker for both Windows and Mac OS are now available that allow
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22 69 Docker to run natively. Subsequently, these beta versions allow native Windows
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24 70 and Mac OS software to be containerized and deployed in a similar manner [7].
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26 71 Docker containers therefore provide a convenient and light method for deploying
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29 72 open-source workflows on multiple platforms.

30 31 32 33 34 73 *GUIDock-X11*

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37 74 Although Docker provides a container with the original software environment, the
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39 75 host system, where the container software is executed, is responsible for rendering
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41 76 graphics. Our previous work, GUIDock-X11 [3], is one of the solutions in bridging the
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43 77 graphical information from user and Docker containers by using the X11 common
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45 78 graphic interface. GUIDock-X11 passes the container X11 commands to a host X11
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47 79 client which renders the GUI. Security is handled by encrypting the commands
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49 80 through secure shell (ssh) tunneling. We demonstrated the use of GUIDock-X11 [3]
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51 81 for systems biology applications, including Bioconductor packages written in R,
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53 82 C++ and Fortran, and Cytoscape, a stand-alone java-based application with a
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55 83 graphical user interface. Neither Windows nor Mac OS use X11 natively to render
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57 84 their graphics. Additional software such as MobaXterm [8] or SoCat [9] are needed
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59 85 to emulate X11 and locally render the graphics commands exported by the Docker
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61 86 container. However, a major advantage of the X11 method is that the commands
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to render the graphics and not the graphics themselves are transmitted, potentially reducing the total bandwidth required.

Table 1 summarizes the differences between GUIDock-VNC and our previous work GUIDock-X11.

Table 1 Comparison between GUIDock-X11 and GUIDock-VNC

Feature	GUIDock-X11	GUIDock-VNC
Can be deployed on phones/tablets?	No	Yes
Security	ssh-tunnel	OAuth2
Bandwidth	Low	Low to Medium
Cloud integration difficulty	Medium	Simple
Dockerfile setup	Manual editing	Automatic conversion of base Docker images

Case study: Inference of gene networks

The inference of gene networks is a fundamental challenge in systems biology. We use gene network inference as a case study to demonstrate that GUIDock-X11 and GUIDock-VNC can be used to yield reproducible results from bioinformatics workflows. We have previously developed inference methods using a regression-based framework, in which we search for candidate regulators (i.e. parent nodes) for each target gene [10, 11, 12]. Our methods are implemented in R, C++, and Fortran, and the implementation is available as a Bioconductor package called *networkBMA* [13] ([http : //bioconductor.org/packages/release/bioc/html/networkBMA.html](http://bioconductor.org/packages/release/bioc/html/networkBMA.html)). In order to visualize the resulting gene networks, we previously developed a Cytoscape app called CyNetworkBMA [14], ([http : //apps.cytoscape.org/apps/cynetworkbma](http://apps.cytoscape.org/apps/cynetworkbma)). Cytoscape is a Java-based stand-alone application with a GUI to analyze and visualize graphs and networks [15, 16, 17]. Our app, CyNetworkBMA [14], integrates our *networkBMA* Bioconductor package into Cytoscape, allowing the user to directly visualize the resulting gene networks inferred from *networkBMA* using the Cytoscape utilities. The integration of multiple pieces of software, each with its own

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6 107 software dependencies, make CyNetworkBMA an ideal proof-of-concept application
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8 108 for the illustration of the utility of GUIDock-VNC.
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109 Implementation of GUIDock-VNC

110 Figure 1 shows an overview of GUIDock-VNC.

Figures/Architecture.pdf

Figure 1 Architecture overview of GUIDock-VNC. In the proposed architecture, each container is a self-contained web-server that can be accessed using a single port. When deployed on the cloud, the services can be accessed using the cloud provider's network address translation (NAT) mechanism. Each container is also capable of OAuth2 authentication that can be enabled while deploying the application. Once enabled, the user will be required to sign-in through an identity provider (such as Google in the current prototype). After the authentication, the user browser will be automatically redirected to the application.

111 *Virtual Network Computing (VNC)*

112 VNC is a framebuffer based protocol that was written to view and control remote
113 desktop over the internet [4]. VNC is essentially a server program that attaches to
114 a display server like X11 and creates a proxy between the client and the display
115 server. The proxy server takes in input from the client and relays it to the display
116 server while at the same time the display server sends pre-rendered display images
117 to the client. VNC is thus a network intensive protocol, although the amount of data
118 transferred back and forth can be reduced by using various compression technologies
119 on the transfer layer. In our implementation, we use Xvnc/Xvfb (X virtual frame
120 buffer) [18] to provide a lightweight VNC/X11 display server.

121 *noVNC*

122 noVNC is a browser based VNC client [5]. The name *noVNC* means that the tra-
123 ditional VNC client is not needed, and that a modern browser with HTML5 and
124 websockets support can be used to access and control a remote VNC server. This
125 noVNC technology is particularly interesting as almost all browsers, both desktop

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5 and mobile versions have HTML5 extensions built-in. An additional layer on the
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7 host is required for the VNC server to communicate through websockets. We use
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9 nginx (<https://www.nginx.com/>), a fast and light reverse-proxy web server for
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11 this purpose.
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14 *Authentication methods: Oauth2*

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16 For containers that are not deployed locally, i.e. on a network or cloud, security is
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18 a concern as the traffic between the viewer and server can be seen by anyone with
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20 access to the network. Oauth2 [6] is an authentication method that is commonly
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22 used by major corporations to validate third party applications. Specifically, Oauth
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24 allows users to log onto third party websites using their existing Google, Twitter or
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26 Facebook accounts, thus avoiding the creation of additional accounts for the third
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28 party websites. In the present era, where it is extremely difficult to host identity
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30 services and securing communication, public authentication services like Oauth2
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32 plays an important role. Providers like Google, Facebook, LinkedIn can be used to
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34 validate any user registered with an email at one of these websites. In our prototype
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36 we have created a prototype for Google identity server. Each container can be forced
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38 to login through one of these public providers.
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43 *Automatic conversion of base Docker images*

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45 To add the noVNC graphical desktop to a Docker image, the converted image
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47 requires a web-server, a headless display server running inside the container and
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49 a VNC server (see Figure 2). The webserver is required to serve javascript based
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51 noVNC client and the headless display server routes all drawing instructions to the
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53 VNC server which are then sent to the client running the browser based noVNC
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55 javascript client. Due to the three active components running inside the container,
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57 we generate a bash script to work as the entry point for the container. Therefore
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59 in order to assist users and to let them start application dependent services we
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61 have created a tool to bootstrap standard images with noVNC capability. The
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6 153 tool accepts a json script as input with defined parameters for files to be copied,
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8 154 additional software to be installed (using apt-get) and the location of the startup
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10 155 script which is then tied into the entrypoint.

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12 156 The tool is extensible and can be used to define all dockerfile parameters as
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14 157 standard run commands.

Figures/Infrastructure.pdf

Figure 2 Services running inside container. Apart from user applications, there are two web services running inside the container and a reverse proxy (Nginx) to act as an interface for the container. The first web-service is the noVNC interface connected to the VNC server. The noVNC server is a python plus javascript application framework used for establishing web-sockets for VNC packet interchange. The second web-service is an optional broker service that helps in exchanging data through a datastore interface (Mongodb) and a message passing queue (RabbitMQ).

158 Applications

159 We illustrated the utility of GUIDock-VNC in a proof-of-concept case study of gene
160 network inference. Specifically, we applied GUIDock-VNC to a RNAseq dataset con-
161 sisting of 675 human cancer cell lines [19]. We downloaded the variance stabilized
162 version of the normalized RNAseq data, and extracted a subset of 84 genes that be-
163 long to 21 cancer-related pathways (see Supplementary Table 12 in Klijn *et al.* [19]).
164 We applied the ScanBMA [12] gene network inference algorithm as implemented in
165 the CyNetworkBMA app from within the GUIDock-VNC container.

166 We show that we get identical results after deploying the package across different
167 browsers on different operating systems. Figure 3 shows screenshots of using (a)
168 Internet Explorer on Windows 8.1, (b) Google Chrome on Ubuntu Linux, (c) Safari
169 on MacOS, and (d) Google Chrome on Android. To summarize, we demonstrate
170 reproducibility of analytical results when GUIDock-VNC is deployed on different
171 browsers and different operating systems.

Figures/Screenshots.pdf

Figure 3 CyNetworkBMA on various browsers and operating systems: (a) Internet Explorer on Windows 8.1, (b) Google Chrome on Ubuntu Linux, (c) Safari on MacOS, and (d) Google Chrome on Android. Given that the interaction to the container is made through a browser, any device with a browser supporting HTML5 with consistent user experience and results, even on mobile devices such as Android and iOS devices. In the case of mobile devices, the Docker container runs on remote machines (e.g. on a VM instance on cloud).

172 Benchmarking computational efficiency

173 Since we have added extra services to the container, it is essential to investigate
174 the performance overhead introduced by these additional services and the container.
175 We conducted an extensive empirical study on comparing performance over different
176 platforms with different hypervisors using GUIDock-X11 and GUI-VNC. As a base-
177 line, we compared the performance of running GUIDock-X11 and GUIDock-VNC
178 on Docker containers to running the CyNetworkBMA app natively. In addition, we
179 also compared our results to running the CyNetworkBMA via a virtual machine
180 (VM). We experimented the performance of each of these four options (native,
181 GUIDock-X11, GUIDock-VNC, VM) on the Linux, Macintosh and Windows oper-
182 ating systems.

183 In our benchmarking experiments, we used the time series data of the first net-
184 work from the DREAM 4 crowd sourcing challenge [20, 21]. This simulated dataset
185 consists of 100 genes across 21 time points. In order to account for variability in
186 our empirical experiments, we repeated each configuration, *i.e.* each (OS, option)
187 pair, four times. These replicated experiments are represented by "RUN1", "RUN2",
188 "RUN3", "RUN4" in Table 2. In addition, we added warm-up runs to ensure steady-
189 state execution time.

190 Table 2 shows a consistent minimal overhead of running the proposed container,
191 which is only marginally higher than running application natively. In particular, we
192 computed the ratio of the average execution time over the 4 runs to the "native"

193 baseline execution time. Figure 4 shows the ratio of the average execution time of
 194 each of GUIDock-X11, GUIDock-VNC and VM to the baseline "native" on the Linux,
 195 MacOS and Windows operating systems. On the Linux, MacOS and Windows oper-
 196 ating systems, we observed comparable execution time for both GUIDock-X11 and
 197 GUIDock-VNC.

Table 2 Execution time in empirical study across the Linux, Macintosh and Windows operating systems. "Native" means running the CyNetworkBMA app natively on the corresponding OS. "VM" means running the CyNetworkBMA app from a virtual machine on the corresponding OS. The column "average" is the average execution time over the 4 runs. The column "ratio" is the ratio of the average running time to the "native" baseline.

Platform	Environment	RUN 1	RUN 2	RUN 3	RUN 4	Average	Ratio
Linux	Native	104	100	97	102	100	1
	GUIDock-X11	130	131	131	130	130	1.29
	GUIDock-VNC	134	133	133	134	134	1.39
	VM	187	185	186	185	186	1.92
MacOS	Native	97	97	96	96	96	1
	GUIDock-X11	120	116	118	123	119	1.23
	GUIDock-VNC	123	121	120	124	122	1.26
	VM	148	143	151	150	148	1.54
Windows	Native	125	127	130	128	127	1
	GUIDock-X11	155	157	155	156	155	1.22
	GUIDock-VNC	157	160	162	161	160	1.25
	VM	179	179	182	184	181	1.42

Figures/execRatios2.png

Figure 4 The bar graph shows the ratio of the average execution time to the baseline of running CyNetworkBMA natively for each of Linux, MAC OS and Windows. The first value for each platform is the ratio of the native execution runtime to itself, and therefore is always equal to 1. The remaining three values correspond to the ratio of the average execution time for GUIDock-X11, GUIDock-VNC and VM respectively to the baseline "native".

198 Discussion

199 We present a container tool called GUIDock-VNC that uses a graphical desktop
 200 sharing system to provide a browser-based interface for containerized software. The
 201 merits of GUIDock-VNC are summarized as follows:

202 *No installation on client side.* Our proposed container GUIDock-VNC is a self
 203 contained display server with user application and a HTML5 based VNC client,

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6 204 which can be accessed using the web-browser. Therefore, any HTML5 capable
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8 205 browser is sufficient on the client side. Almost all modern popular browsers such as
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10 206 Mozilla Firefox, Webkit (Apple Safari and Google Chrome), Microsoft Edge support
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12 207 HTML5 extensions.

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14 208 *Mobile capable.* Since HTML5 extensions that are required to access noVNC are
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16 209 also supported on mobile versions on modern web-browsers, our GUIDock-VNC
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18 210 solution is also accessible through a mobile device such as phones and tablets. The
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20 211 container is a stateful solution, such that it preserves session information even after
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22 212 a disconnected user session. Therefore, researchers can access the container on the
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24 213 go and subsequently continue working on the workstation in the labs.

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26 214 *Cloud integration.* There is a self-contained webserver inside the GUIDock-VNC
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28 215 container which is required to access the applications. Our GUIDock-VNC container
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30 216 can be hosted on the cloud by using a reverse proxy or simple NAT (Network Ad-
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32 217 dress Translation) rule to pass on any incoming request to the container. We tested
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34 218 the solution with major cloud vendors such as Amazon AWS, Google Cloud and
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36 219 Microsoft Azure and the NAT forwarding works without any network interference.

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39 220 *Security using OAuth2.* To ensure secure access to the container, we are using
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41 221 OAuth2 from Google (replaceable by any other identity provider such as LinkedIn,
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43 222 Facebook, Twitter etc.) to authenticate users by ensuring the identity registered
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45 223 with container is owned by the user requesting container access. This can be done
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47 224 by providing email id, provider user id and secret password as parameters to the
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49 225 container. If these parameters are provided while initiating the container, the broker
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51 226 will redirect the user to the identity provider to verify the email address. Once
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53 227 authenticated the identity provider redirects the user to the container.

54 55 56 57 228 **Availability and requirements**

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59 229 • Project name: GUIDock-VNC
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61 230 • Project home page: <https://github.com/biodepot>
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- 231 • Contents available for download: Docker Images, Dockerfiles, installation
232 scripts and execution scripts.
- 233 • Operating system(s): Linux, Mac OS X, Microsoft Windows. Specifically, we
234 tested GUIdock-VNC on
 - 235 – Linux: Fedora 22/23, Ubuntu 15.04
 - 236 – Mac OS X: 10.9, 10.10
 - 237 – Microsoft Windows: 7, 8.1, 10
 - 238 – Android, IOS
- 239 • Programming language(s): Python, HTML, JavaScript
- 240 • Browsers tested
 - 241 – Google Chrome
 - 242 – Firefox
 - 243 – Safari
 - 244 – Microsoft Edge on Windows 10 Pro (using Docker for Windows, and with
245 pop-up blocker off)
- 246 • License: MIT License

247 **Abbreviations**

248 GUI: graphical user interface NAT: network address translation VM: virtual ma-
249 chine VNC: Virtual Network Computing

250 **Declarations**

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258 Competing interests

259 The authors declare that they have no competing interests.

260 Author's contributions

261 VM is the primary developer for GUIDOCK-VNC. KYY coordinated the manuscript
262 preparation. VM, LHH and KYY drafted the manuscript. LHH designed the bench-
263 marking experiments. VM, LHH, DK, JK and SBL performed the benchmarking
264 experiments. DK and SBL contributed to the comparison of GUIDOCK-VNC and
265 GUIDOCK-X11. VM, JK and SBL contributed to the writing of the user manual.
266 VM and DK made the videos in additional data files. All authors tested GUIDOCK-
267 VNC, read and approved the final manuscript.

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322 **Additional Files**

323 Additional file 1 — User manual for GUIDock-VNC.

324 Additional file 2 — Video of GUIDock-VNC demo

325 Available on Youtube <https://youtu.be/iaVPnLhOLg0>.

326 Additional file 3 — Video of deploying GUIDock-VNC on the cloud

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327 **Figure Captions**

328 **Figure 1**

329 Architecture overview of GUIdock-VNC. In the proposed architecture, each con-
330 tainer is a self-contained web-server that can be accessed using a single port. When
331 deployed on the cloud, the services can be accessed using the cloud provider's
332 network address translation (NAT) mechanism. Each container is also capable of
333 OAuth2 authentication that can be enabled while deploying the application. Once
334 enabled, the user will be required to sign-in through an identity provider (such as
335 Google in the current prototype). After the authentication, the user browser will
336 be automatically redirected to the application.

337 **Figure 2**

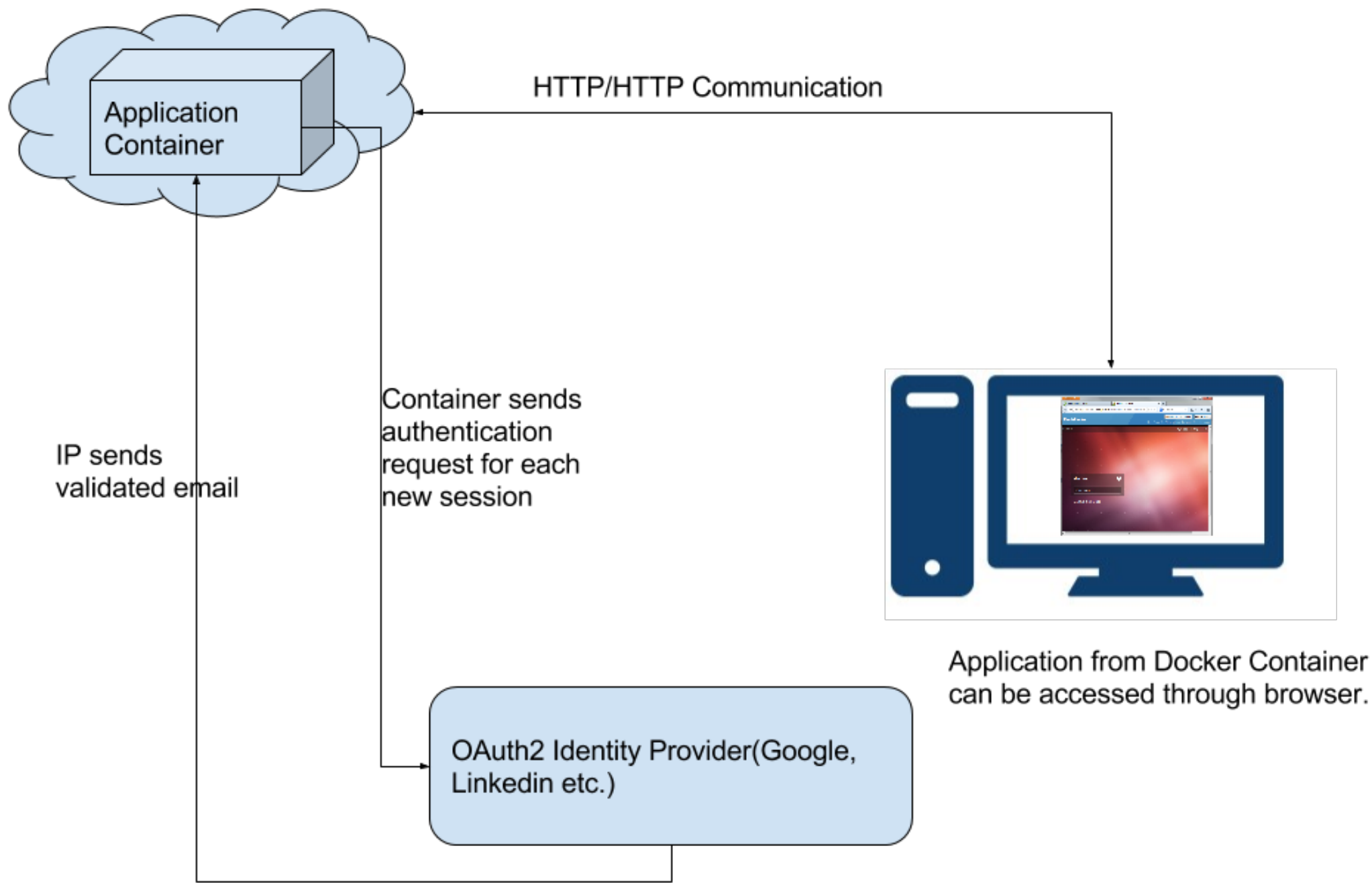
338 Services running inside container. Apart from user applications, there are two web
339 services running inside the container and a reverse proxy (Nginx) to act as an in-
340 terface for the container. The first web-service is the noVNC interface connected to
341 the VNC server. The noVNC server is a python plus javascript application frame-
342 work used for establishing web-sockets for VNC packet interchange. The second
343 web-service is an optional broker service that helps in exchanging data through a
344 datastore interface (Mongodb) and a message passing queue (RabbitMQ).

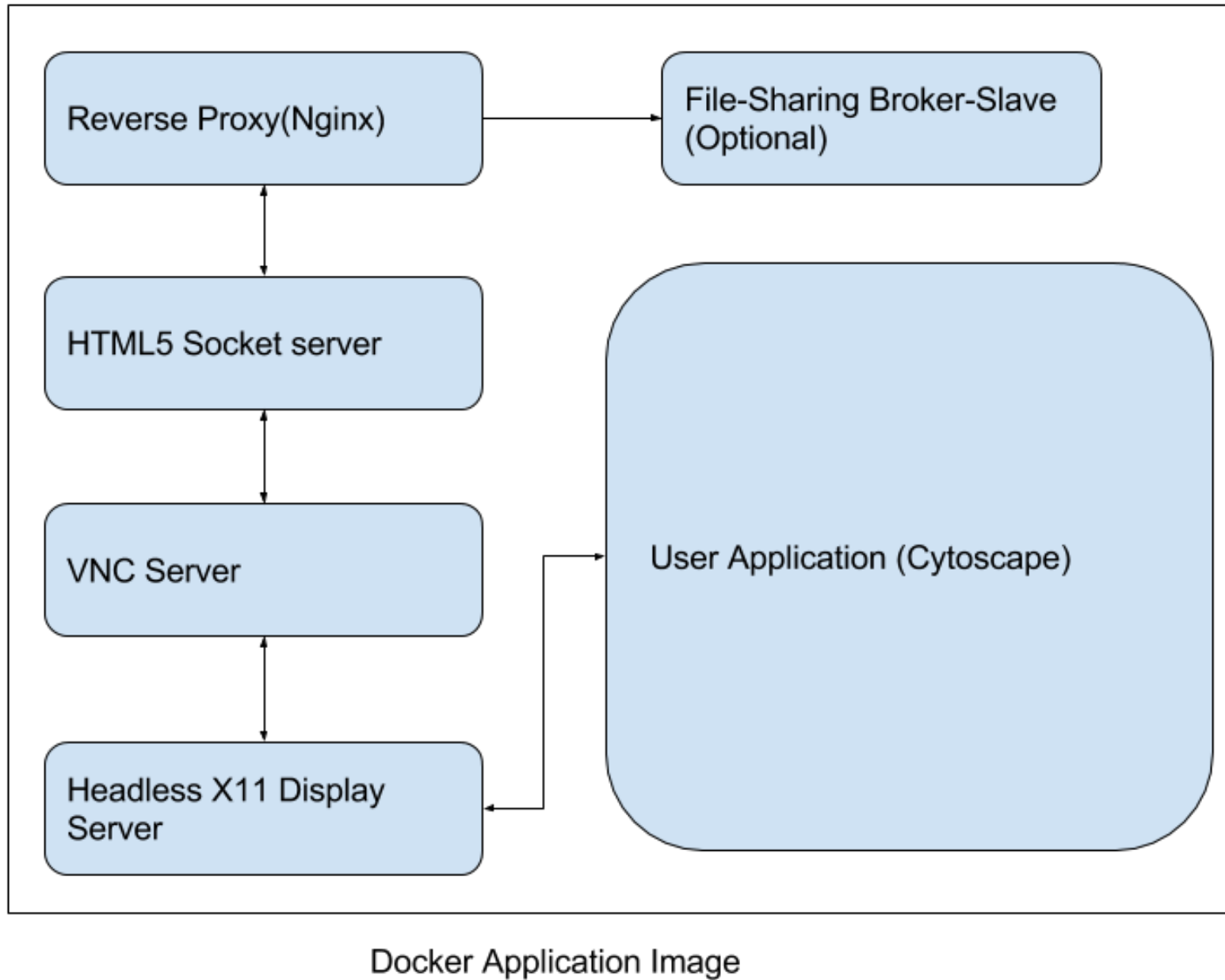
345 **Figure 3**

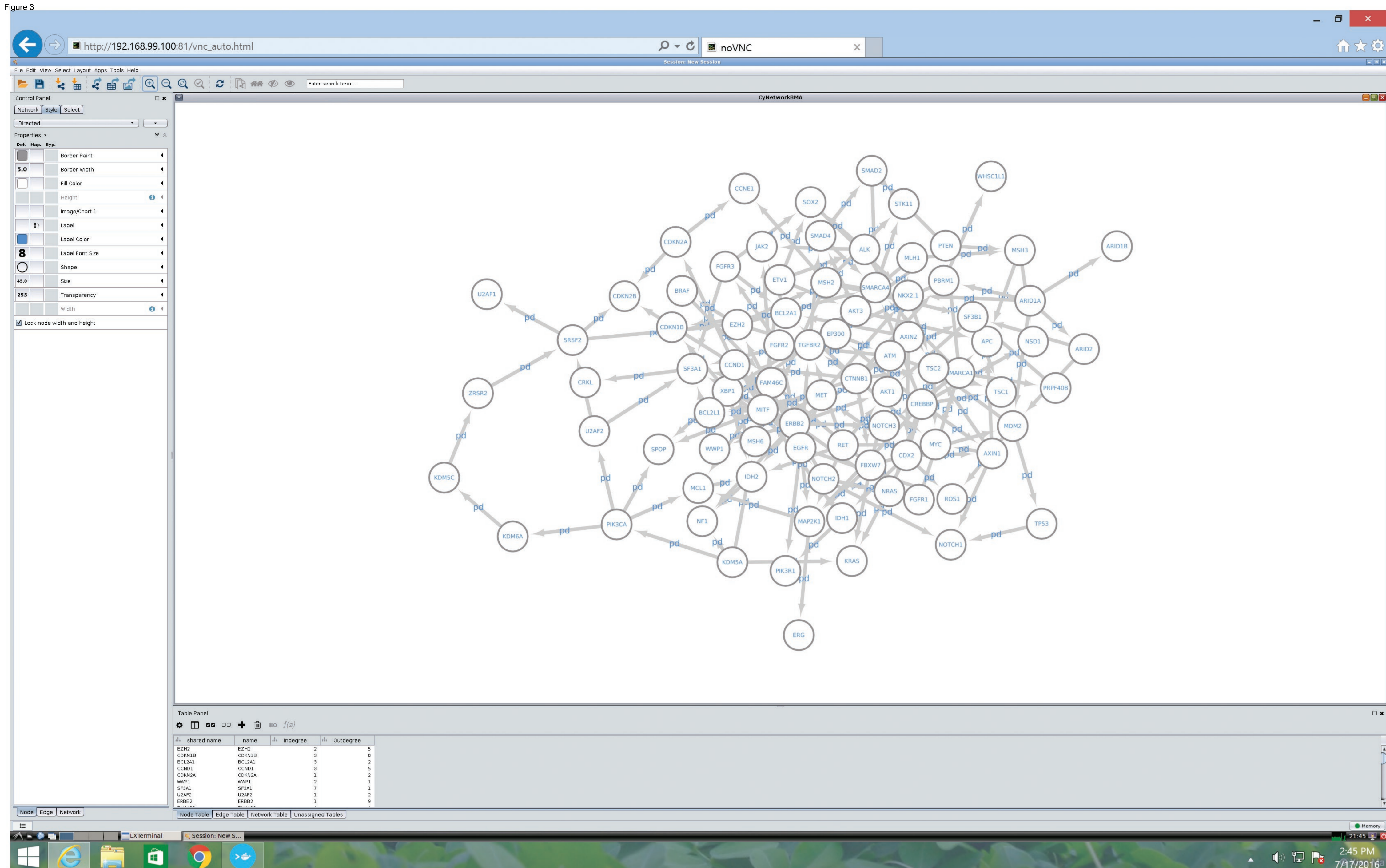
346 CyNetworkBMA on various browsers and operating systems: (a) Internet Explorer
347 on Windows 8.1, (b) Google Chrome on Ubuntu Linux, (c) Safari on MacOS, and
348 (d) Google Chrome on Android. Given that the interaction to the container is made
349 through a browser, any device with a browser supporting HTML5 with consistent
350 user experience and results, even on mobile devices such as Android and iOS devices.
351 In the case of mobile devices, Docker run on other machines (e.g. on a VM instance
352 on cloud).

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6 353 **Figure 4**

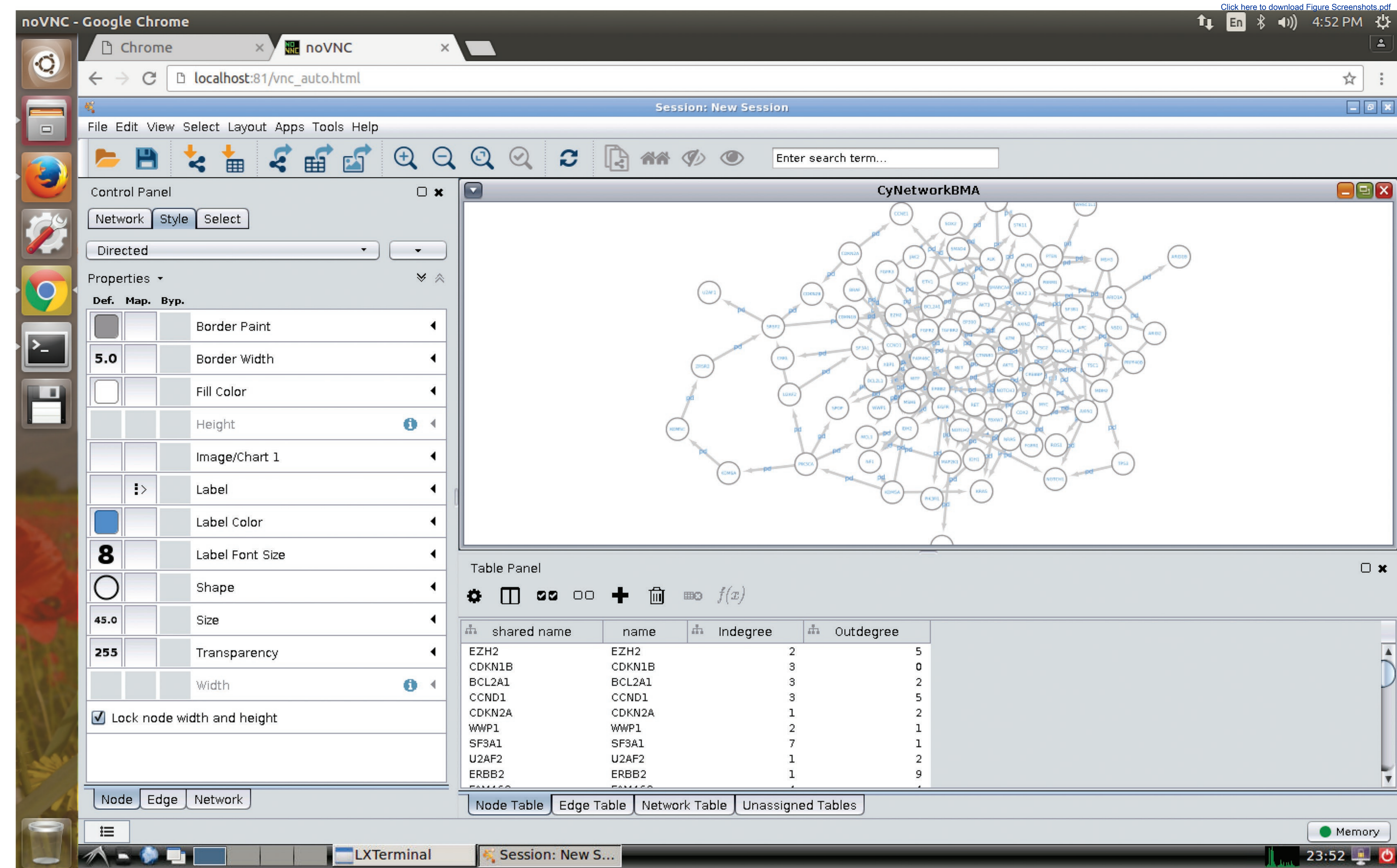
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8 354 The bar graph shows the ratio of the average execution time to the baseline of
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10 355 running CyNetworkBMA natively for each of Linux, MAC OS and Windows. The
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12 356 first value for each platform is the ratio of the native execution runtime to itself, and
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14 357 therefore is always equal to 1. The remaining three values correspond to the ratio of
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16 358 the average execution time for GUIDock-X11, GUIDock-VNC and VM respectively
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18 359 to the baseline "native".
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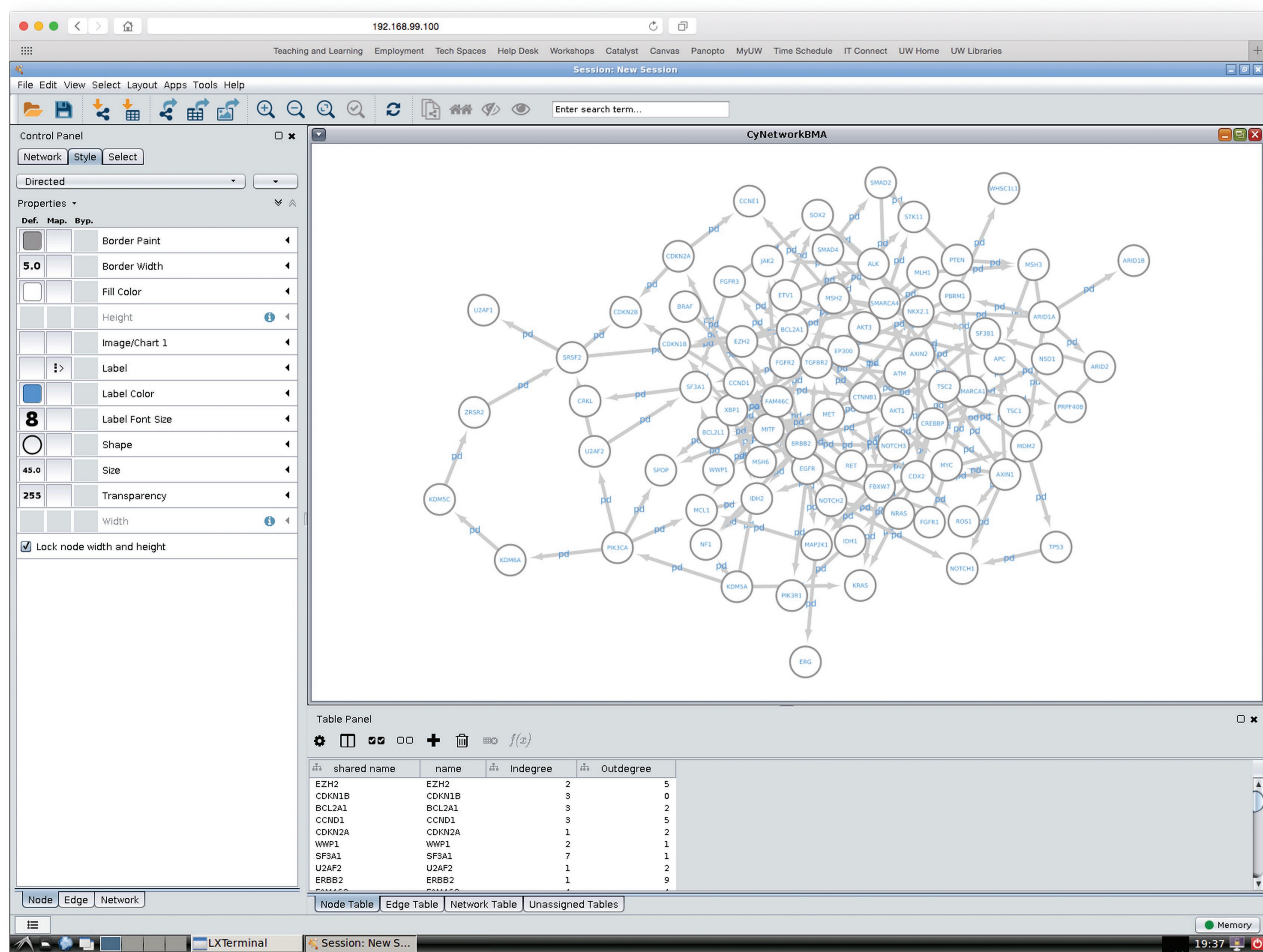




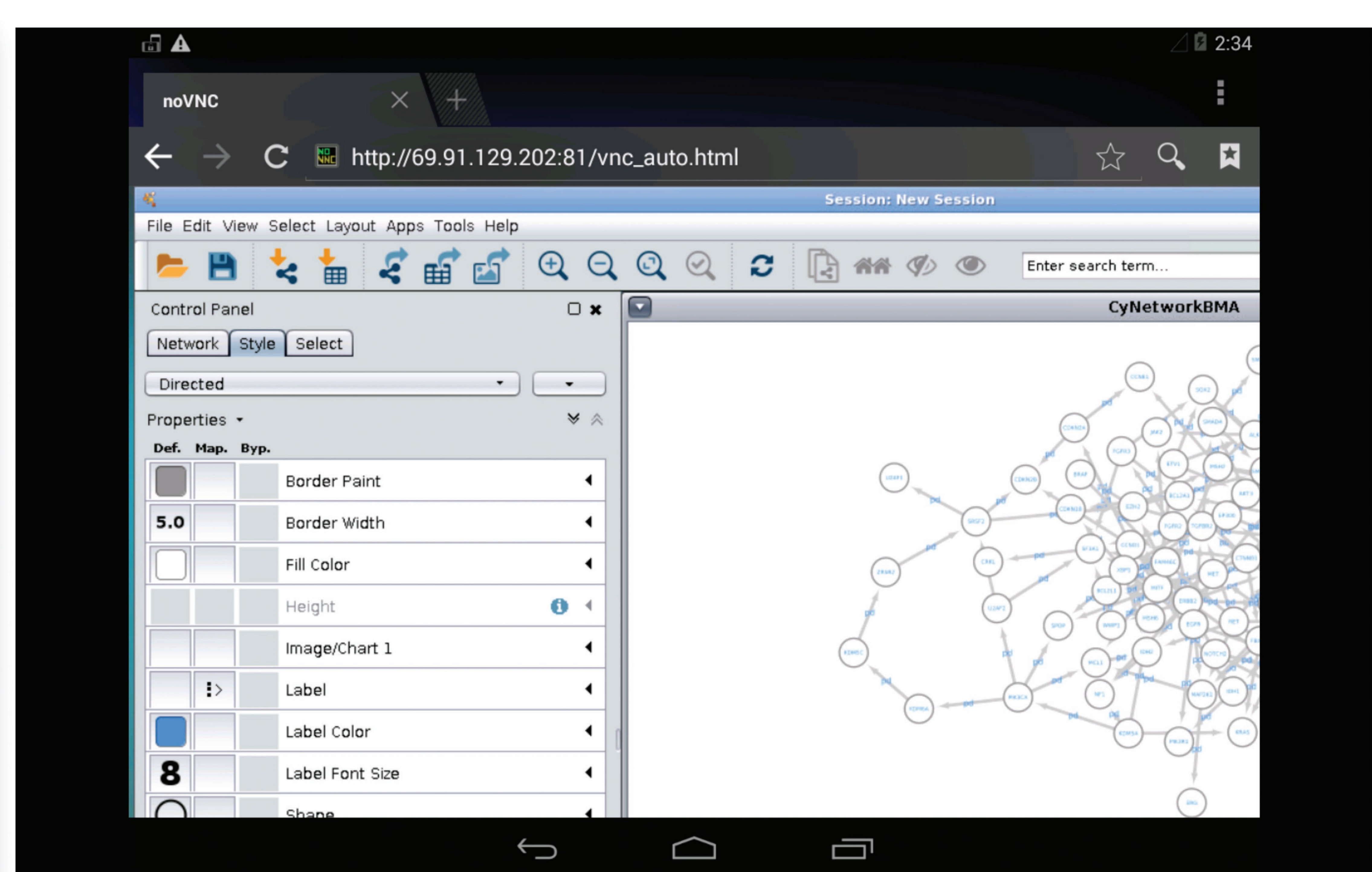
(a)



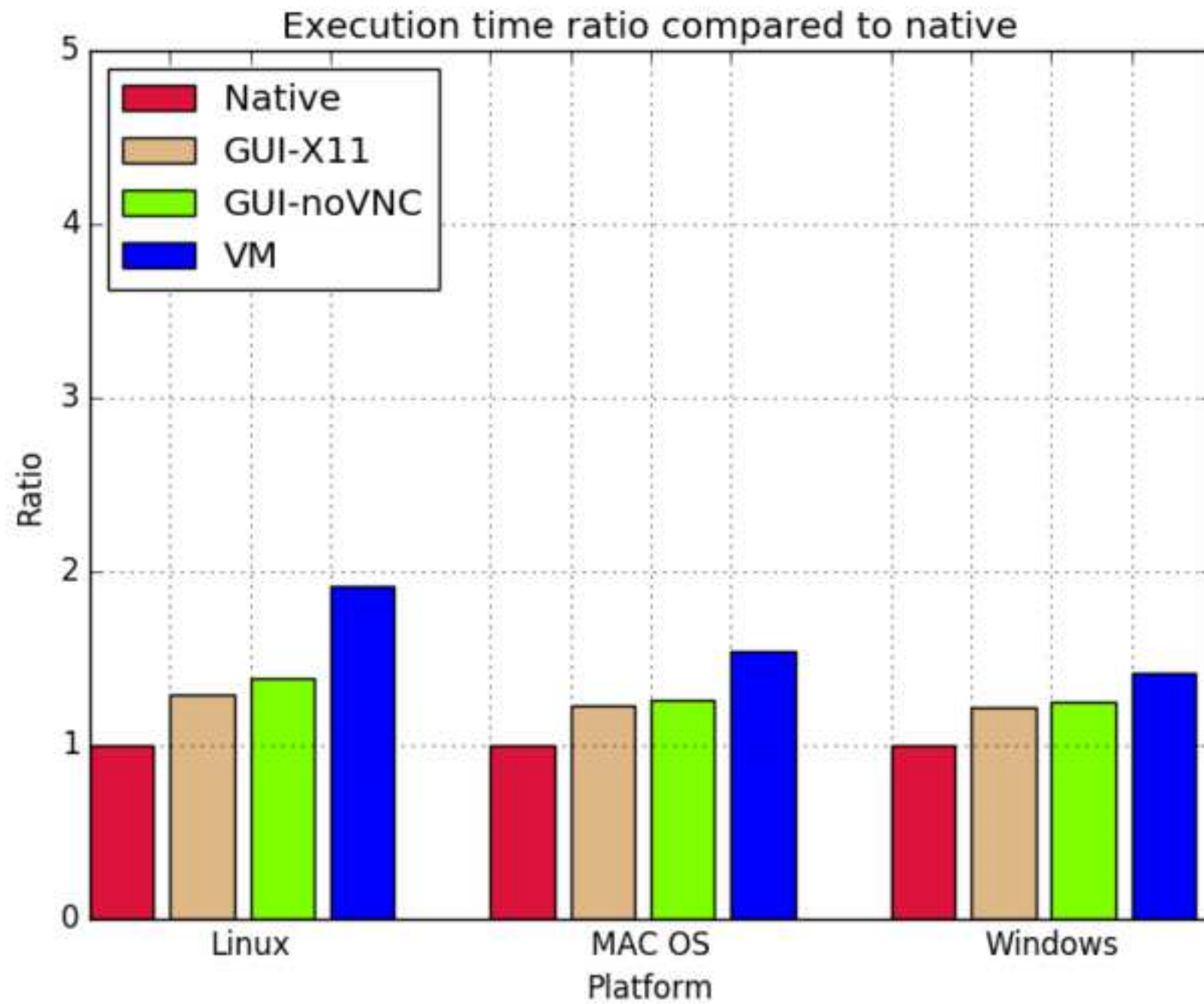
(b)



(c)



(d)





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