

Supplemental Data File. Sweave documentation for microarray data analysis, Related to Figure 2

Introduction

This supplemental file includes R documentation for microarray analysis. We selected the significant gene list (FDR 0.1) from cell lines by fitting linear regression models on resistant cell line series (H1299 and H1355) using log transformed IC50 values. For xenograft microarray data, we performed student's t test for differential gene analysis with FDR 0.1. 35 genes (14 up regulated and 21 down regulated) were selected from the intersected genes for both cell lines and xenografts. We further tested the 35 gene preclinical signature on 65 patients who had received neoadjuvant chemotherapy. Unsupervised clustering using 35 genes separated the 65 patients into two groups. K-M curve for recurrence-free survival analysis showed that group 2 has significantly worse prognosis. Multivariate cox regression model for the 35 genes showed that the up-regulated gene **KDM3B** has the largest hazard ratio for poor cancer recurrence-free survival.

Microarray data were pre-processed by R package mbcn for background correction, then log-transformed and quantile-normalized with the R package preprocessCore. We summarized the gene-level expression by averaging the normalized probes intensity value if multiple probes mapped to the same gene.

Before running the code, put the data in the same folder with the code.

Statistics Analysis

H1299 and H1355 linear regression model

1. set the working environment and call the library package
setwd("~/Documents/YY_Project/maithili/SWEAVE/")

```
library(ClassComparison)

## Loading required package: splines
## Loading required package: Biobase
## Loading required package: BiocGenerics
## Loading required package: parallel
##
## Attaching package: 'BiocGenerics'
## The following objects are masked from 'package:parallel':
##
```

```

##      clusterApply, clusterApplyLB, clusterCall, clusterEvalQ,
##      clusterExport, clusterMap, parApply, parCapply, parLapply,
##      parLapplyLB, parRapply, parSapply, parSapplyLB

## The following objects are masked from 'package:stats':
##      IQR, mad, xtabs

## The following objects are masked from 'package:base':
##      anyDuplicated, append, as.data.frame, as.vector, cbind,
##      colnames, do.call, duplicated, eval, evalq, Filter, Find, get,
##      grep, grepl, intersect, is.unsorted, lapply, lengths, Map,
##      mapply, match, mget, order, paste, pmax, pmax.int, pmin,
##      pmin.int, Position, rank, rbind, Reduce, rownames, sapply,
##      setdiff, sort, table, tapply, union, unique, unlist, unsplit

## Welcome to Bioconductor
##
##      Vignettes contain introductory material; view with
##      'browseVignettes()'. To cite Bioconductor, see
##      'citation("Biobase")', and for packages 'citation("pkgname")'.

## Loading required package: oompaBase

library(survival)
library(VennDiagram)

## Loading required package: grid

## Loading required package: futile.logger

library(siggenes)

## Loading required package: multtest

library(gplots)

##
## Attaching package: 'gplots'

## The following object is masked from 'package:multtest':
##      wapply

## The following object is masked from 'package:oompaBase':
##      redgreen

## The following object is masked from 'package:stats':
##      lowess

```

2. Load normalized gene level expression data (preprocess procedure described in the method)

```
load("cell_line.RData")
```

3. run core function/analysis for H1299 Linear regression model for microarray at different time series with log transformed drug responses data IC 50.

```
# IC 50
ic50=c(rep(9.2, 5), rep(53, 2), rep(190, 2), rep(490, 2), rep(943, 3))
ic50=log(ic50) # Log transform, otherwise est is too big.

# Linear function
lm.ic=function(x){
  x=as.numeric(x)
  lm.x=lm(x~ic50)
  lm.co=summary(lm.x)$coefficients
  return(t(c(lm.co[2, 1], lm.co[2, 4])))
}

dim(df.1299)
## [1] 20549     19

head(df.1299[,1:5])

##   gene.id n gene.symbol H1299.Parenatal.1 H1299.Parenatal.2
## 1      1  2      A1BG        3.538114    3.530899
## 2      2  1      A2M         2.623364    3.244314
## 3      9  3      NAT1        3.791201    3.896611
## 4     10  1      NAT2        2.965261    3.086720
## 5     12  1  SERPINA3       2.933633    3.332563
## 6     13  1      AADAC       2.845070    3.086720

colnames(df.1299)

##  [1] "gene.id"          "n"              "gene.symbol"
##  [4] "H1299.Parenatal.1" "H1299.Parenatal.2" "H1299.Parenatal.3"
##  [7] "H1299.Untr.1"      "H1299.Untr.2"      "H1299.T5.1"
## [10] "H1299.T5.2"        "H1299.T10.1"       "H1299.T10.2"
## [13] "H1299.T15.1"       "H1299.T15.2"       "H1299.T18.1"
## [16] "H1299.T18.2"       "H1299.T18.3"       "est"
## [19] "p.value"

apply(df.1299[1:5, cell.1299], 1, lm.ic)

##           1           2           3           4           5
## [1,] -0.005878157 -0.04970737 -0.166829025 0.008405362 0.79070808
## [2,]  0.884844954  0.20710959  0.003930657 0.826502466 0.00106438

est=apply(df.1299[, cell.1299], 1, lm.ic)
est=t(est)
```

```

est=data.frame(est)
head(est)

##           X1          X2
## 1 -0.005878157 0.884844954
## 2 -0.049707375 0.207109593
## 3 -0.166829025 0.003930657
## 4  0.008405362 0.826502466
## 5  0.790708076 0.001064380
## 6  0.057528835 0.283359856

names(est)=c("est", "p.value")
head(est)

##           est      p.value
## 1 -0.005878157 0.884844954
## 2 -0.049707375 0.207109593
## 3 -0.166829025 0.003930657
## 4  0.008405362 0.826502466
## 5  0.790708076 0.001064380
## 6  0.057528835 0.283359856

df.1299=cbind(df.1299, est)

fdr=0.1
p.1299=cutoffSignificant(Bum(df.1299$p.value), fdr)
p.1299

## [1] 0.02927903

table(df.1299$p.value < p.1299)

##
## FALSE  TRUE
## 16797 3752

table(df.1299$p.value < p.1299 & df.1299$est < 0)

##
## FALSE  TRUE
## 18673 1876

table(df.1299$p.value < p.1299 & df.1299$est > 0)

##
## FALSE  TRUE
## 18673 1876

id.up.1299=df.1299$gene.id[df.1299$p.value < p.1299 & df.1299$est > 0]
id.down.1299=df.1299$gene.id[df.1299$p.value < p.1299 & df.1299$est < 0]
# volcano plot: p valu only
par(mar=c(5, 5, 2, 1))
plot(df.1299$est, -log10(df.1299$p.value), xlab="Est of coefficients",

```

```

cex=0.5,
    ylab="P value (-log10)", cex.lab=2, cex.axis=1.5, bty="n", col="blue",
pch=20,
    yaxt="n")
axis(2, at=c(0, 2, 4, 6, 8), labels=c(1, 0.01, 0.0001, 0.000001, 0.00000001),
cex.axis=1.5)
points(df.1299$est[df.1299$p.value < p.1299 & df.1299$est > 0],
    -log10(df.1299$p.value[df.1299$p.value < p.1299 & df.1299$est > 0]),
col="red",
    pch=20)
points(df.1299$est[df.1299$p.value < p.1299 & df.1299$est < 0],
    -log10(df.1299$p.value[df.1299$p.value < p.1299 & df.1299$est < 0]),
col="green",
    pch=20)
table(df.1299$p.value < p.1299 & df.1299$est > 0)

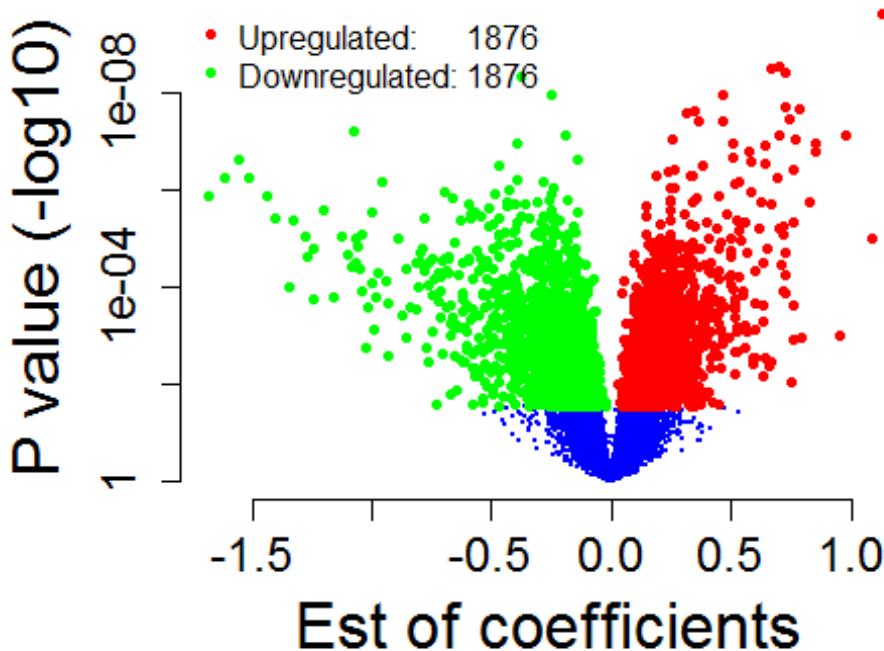
##
## FALSE TRUE
## 18673 1876

table(df.1299$p.value < p.1299 & df.1299$est < 0)

##
## FALSE TRUE
## 18673 1876

legend("topleft", c("Upregulated: 1876", "Downregulated: 1876"),
    col=c("red", "green"), pch=20, bty="n")

```



4. run core function/analysis for H1355

```
# IC 50
ic50=c(rep(2.2, 5), rep(15, 2), rep(25, 2), rep(245, 2), rep(315, 3))
ic50=log(ic50) # Log transform, otherwise est is too big.
# significant p values will enrich.
ic50

## [1] 0.7884574 0.7884574 0.7884574 0.7884574 0.7884574 2.7080502 2.7080502
## [8] 3.2188758 3.2188758 5.5012582 5.5012582 5.7525726 5.7525726 5.7525726

# Linear function
dim(df.1355)

## [1] 20549     19

head(df.1355[,1:5])

##   gene.id n gene.symbol H1355.Parental.1 H1355.Parental.2
## 1      1 2      A1BG        3.980461    3.570940
## 2      2 1      A2M         2.705274    2.888426
## 3      9 3      NAT1        4.303963    3.966284
## 4     10 1      NAT2        3.796795    3.593245
## 5     12 1  SERPINA3        3.229223    3.493975
## 6     13 1      AADAC        4.967962    5.713092

colnames(df.1355)

## [1] "gene.id"           "n"                 "gene.symbol"
## [4] "H1355.Parental.1" "H1355.Parental.2" "H1355.Parental.3"
## [7] "H1355.Untr.1"     "H1355.Untr.2"    "H1355.T4.1"
## [10] "H1355.T4.2"       "H1355.T8.1"     "H1355.T8.2"
## [13] "H1355.T13.1"      "H1355.T13.2"    "H1355.T16.1"
## [16] "H1355.T16.2"      "H1355.T16.3"    "est"
## [19] "p.value"

est=apply(df.1355[, cell.1355], 1, lm.ic)
est=t(est)
est=data.frame(est)
head(est)

##          X1          X2
## 1  0.02633836 0.4573952245
## 2 -0.03237285 0.1441376047
## 3  0.28051951 0.0004062189
## 4 -0.13380885 0.0753998578
## 5 -0.05697809 0.2763634625
## 6  0.14990967 0.2601679463

names(est)=c("est", "p.value")
head(est)
```

```

##           est      p.value
## 1  0.02633836 0.4573952245
## 2 -0.03237285 0.1441376047
## 3  0.28051951 0.0004062189
## 4 -0.13380885 0.0753998578
## 5 -0.05697809 0.2763634625
## 6  0.14990967 0.2601679463

df.1355=cbind(df.1355, est)

p.1355=cutoffSignificant(Bum(df.1355$p.value), fdr)
p.1355

## [1] 0.003536239

table(df.1355$p.value < p.1355)

##
## FALSE  TRUE
## 19954   595

table(df.1355$p.value < p.1355 & df.1355$est <0)

##
## FALSE  TRUE
## 20259   290

table(df.1355$p.value < p.1355 & df.1355$est > 0)

##
## FALSE  TRUE
## 20244   305

id.up.1355=df.1355$gene.id[df.1355$p.value < p.1355 & df.1355$est > 0]
id.down.1355=df.1355$gene.id[df.1355$p.value < p.1355 & df.1355$est < 0]
sum(id.up.1355 %in% id.up.1299)

## [1] 51

sum(id.down.1355 %in% id.down.1299)

## [1] 59

par(mar=c(5, 5, 2, 1))
plot(df.1355$est, -log10(df.1355$p.value), xlab="Est of coefficients",
cex=0.5,
ylab="P value (-log10)", cex.lab=2, cex.axis=1.5, bty="n", col="blue",
pch=20,
yaxt="n")
axis(2, at=c(0, 2, 4, 6, 8), labels=c(1, 0.01, 0.0001, 0.000001, 0.00000001),
cex.axis=1.5)
points(df.1355$est[df.1355$p.value < p.1355 & df.1355$est > 0],
-log10(df.1355$p.value[df.1355$p.value < p.1355 & df.1355$est > 0]),

```

```

col="red",
  pch=20)
points(df.1355$est[df.1355$p.value < p.1355 & df.1355$est < 0],
 -log10(df.1355$p.value[df.1355$p.value < p.1355 & df.1355$est < 0]),
col="green",
  pch=20)
table(df.1355$p.value < p.1355 & df.1355$est > 0)

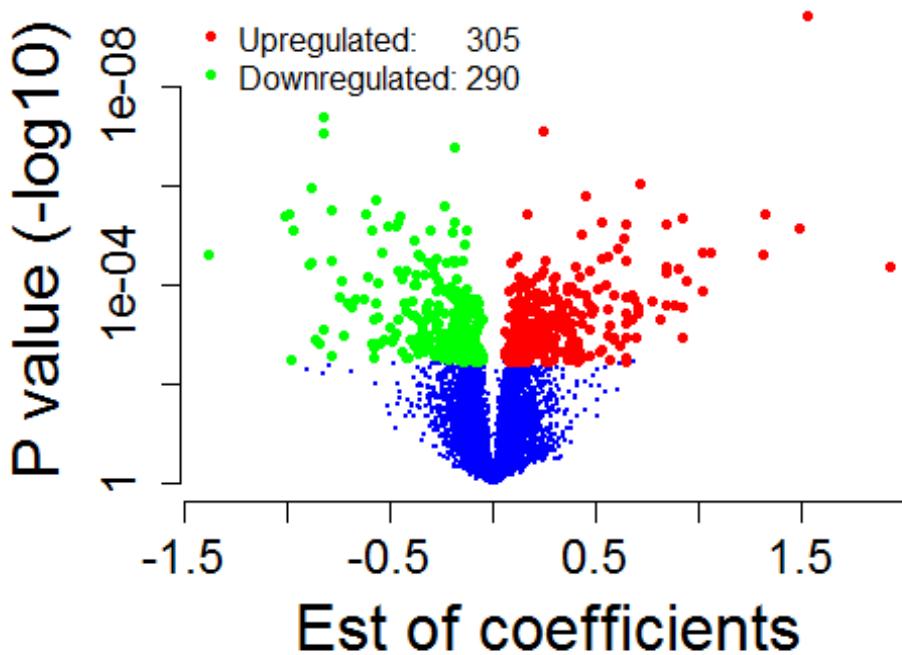
##
## FALSE  TRUE
## 20244   305

table(df.1355$p.value < p.1355 & df.1355$est < 0)

##
## FALSE  TRUE
## 20259   290

legend("topleft", c("Upregulated:      305", "Downregulated: 290"),
  col=c("red", "green"), pch=20, bty="n")

```



5. venn diagramm for H1299 and H1355 intersected up and down regulated genes.

```

# upregulated
sum(df.1299$p.value < p.1299 & df.1299$est > 0)

## [1] 1876

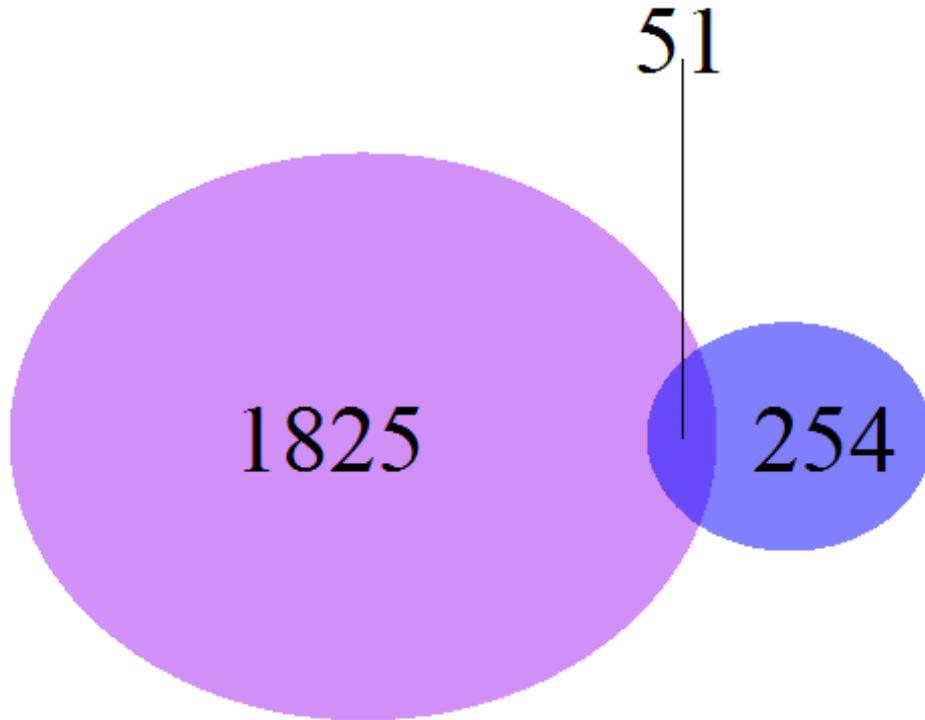
sum(df.1355$p.value < p.1355 & df.1355$est > 0)

```

```

## [1] 305
sum((df.1299$p.value < p.1299 & df.1299$est > 0) &
    (df.1355$p.value < p.1355 & df.1355$est > 0))
## [1] 51
plot.new()
draw.pairwise.venn(1876, 305, 51, cex=3,
                    fill=c("purple", "blue"), lty="blank")

```



```

## (polygon[GRID.polygon.1], polygon[GRID.polygon.2],
polygon[GRID.polygon.3], polygon[GRID.polygon.4], text[GRID.text.5],
text[GRID.text.6], text[GRID.text.7], lines[GRID.lines.8], text[GRID.text.9],
text[GRID.text.10])
## (polygon[GRID.polygon.1], polygon[GRID.polygon.2],
polygon[GRID.polygon.3], polygon[GRID.polygon.4], text[GRID.text.5],
text[GRID.text.6], text[GRID.text.7], lines[GRID.lines.8], text[GRID.text.9],
text[GRID.text.10])
# down regulated
sum(df.1299$p.value < p.1299 & df.1299$est < 0)
## [1] 1876
sum(df.1355$p.value < p.1355 & df.1355$est < 0)
## [1] 290

```

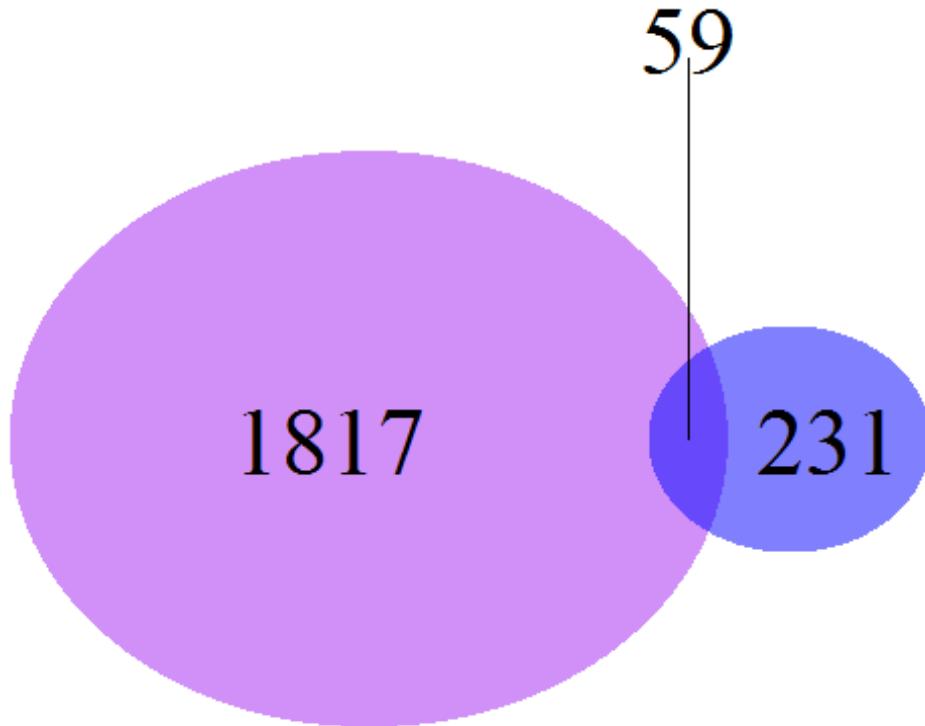
```

sum((df.1299$p.value < p.1299 & df.1299$est < 0) &
    (df.1355$p.value < p.1355 & df.1355$est < 0))

## [1] 59

plot.new()
draw.pairwise.venn(1876, 290, 59, cex=3,
                    fill=c("purple", "blue"), lty="blank")

```



```

## (polygon[GRID.polygon.11], polygon[GRID.polygon.12],
polygon[GRID.polygon.13], polygon[GRID.polygon.14], text[GRID.text.15],
text[GRID.text.16], text[GRID.text.17], lines[GRID.lines.18],
text[GRID.text.19], text[GRID.text.20])

## (polygon[GRID.polygon.11], polygon[GRID.polygon.12],
polygon[GRID.polygon.13], polygon[GRID.polygon.14], text[GRID.text.15],
text[GRID.text.16], text[GRID.text.17], lines[GRID.lines.18],
text[GRID.text.19], text[GRID.text.20])

```

Xenografts

1. load the xenograft data

```

load("xeno.RData")
head(df.xeno[,1:5])

##   gene.id n gene.symbol H1299.Parental.Cis+Doc.871
## 1      1 2       A1BG        3.633839
## 2      2 1       A2M         2.229475

```

```

## 3      9 3      NAT1          3.012080
## 4      10 1     NAT2          2.419627
## 5      12 1     SERPINA3      5.481228
## 6      13 1     AADAC         2.488485
##   H1299.PARENTAL.CIS+DOC.873
## 1                  3.136163
## 2                  1.674401
## 3                  3.092054
## 4                  2.585305
## 5                  7.112472
## 6                  2.548242

dim(df.xeno)

## [1] 20549      15

colnames(df.xeno)

## [1] "gene.id"                 "n"
## [3] "gene.symbol"              "H1299.PARENTAL.CIS+DOC.871"
## [5] "H1299.PARENTAL.CIS+DOC.873" "H1299.PARENTAL.CIS+DOC.878"
## [7] "H1299.PARENTAL.SALINE.872"  "H1299.PARENTAL.SALINE.877"
## [9] "H1299.PARENTAL.SALINE.891"  "H1299.T18.CIS+DOC.868"
## [11] "H1299.T18.CIS+DOC.882"    "H1299.T18.CIS+DOC.889"
## [13] "H1299.T18.SALINE.862"     "H1299.T18.SALINE.870"
## [15] "H1299.T18.SALINE.886"

xeno.line

## [1] "H1299.PARENTAL.CIS+DOC.871" "H1299.PARENTAL.CIS+DOC.873"
## [3] "H1299.PARENTAL.CIS+DOC.878" "H1299.PARENTAL.SALINE.872"
## [5] "H1299.PARENTAL.SALINE.877"  "H1299.PARENTAL.SALINE.891"
## [7] "H1299.T18.CIS+DOC.868"     "H1299.T18.CIS+DOC.882"
## [9] "H1299.T18.CIS+DOC.889"     "H1299.T18.SALINE.862"
## [11] "H1299.T18.SALINE.870"      "H1299.T18.SALINE.886"

id.up=df.1299$gene.id[df.1299$p.value < p.1299 & df.1299$est > 0 &
                      df.1355$p.value < p.1355 & df.1355$est > 0]
id.down=df.1299$gene.id[df.1299$p.value < p.1299 & df.1299$est < 0 &
                        df.1355$p.value < p.1355 & df.1355$est < 0]

```

2. significant differential expression gene analysis (FDR 0.1)

```

xeno.untr=xeno.line[c(4:6, 10:12)]
xeno.untr

## [1] "H1299.PARENTAL.SALINE.872" "H1299.PARENTAL.SALINE.877"
## [3] "H1299.PARENTAL.SALINE.891" "H1299.T18.SALINE.862"
## [5] "H1299.T18.SALINE.870"      "H1299.T18.SALINE.886"

head(df.xeno)

```

```

##   gene.id n gene.symbol H1299.Parenatal.Cis+Doc.871
## 1      1 2      A1BG      3.633839
## 2      2 1      A2M       2.229475
## 3      9 3      NAT1      3.012080
## 4     10 1      NAT2      2.419627
## 5     12 1    SERPINA3      5.481228
## 6     13 1     AADAC      2.488485
##   H1299.Parenatal.Cis+Doc.873 H1299.Parenatal.Cis+Doc.878
## 1            3.136163      2.745621
## 2            1.674401      1.610231
## 3            3.092054      2.863332
## 4            2.585305      2.481384
## 5            7.112472      5.059355
## 6            2.548242      3.361560
##   H1299.Parenatal.Saline.872 H1299.Parenatal.Saline.877
## 1            3.536566      2.910598
## 2            2.307369      1.766422
## 3            3.045042      2.973530
## 4            2.596068      3.350789
## 5            2.822787      6.649452
## 6            3.526960      2.935540
##   H1299.Parenatal.Saline.891 H1299.T18.Cis+Doc.868 H1299.T18.Cis+Doc.882
## 1            3.209863      3.693003      3.064912
## 2            1.827652      2.748023      2.080255
## 3            3.317923      2.552347      2.659500
## 4            2.897194      2.441063      2.553360
## 5            3.574117      10.439048     11.141053
## 6            2.858179      2.535265      2.235517
##   H1299.T18.Cis+Doc.889 H1299.T18.Saline.862 H1299.T18.Saline.870
## 1            3.453592      3.537467      3.438834
## 2            2.221931      1.940983      2.200645
## 3            2.819919      2.557727      2.305327
## 4            2.247488      2.308160      1.967581
## 5            11.685980     12.776207     12.126267
## 6            3.207945      2.885458      3.018145
##   H1299.T18.Saline.886
## 1            3.450917
## 2            1.727244
## 3            2.749388
## 4            2.017007
## 5            11.371214
## 6            2.583509

dat.validate=df.xeno[, c("gene.id", "n", "gene.symbol", xeno.untr)]
head(dat.validate)

##   gene.id n gene.symbol H1299.Parenatal.Saline.872
## 1      1 2      A1BG      3.536566
## 2      2 1      A2M       2.307369
## 3      9 3      NAT1      3.045042

```

```

## 4      10 1      NAT2          2.596068
## 5      12 1    SERPINA3        2.822787
## 6      13 1     AADAC         3.526960
##   H1299.Parental.Saline.877 H1299.Parental.Saline.891 H1299.T18.Saline.862
## 1              2.910598          3.209863          3.537467
## 2              1.766422          1.827652          1.940983
## 3              2.973530          3.317923          2.557727
## 4              3.350789          2.897194          2.308160
## 5              6.649452          3.574117          12.776207
## 6              2.935540          2.858179          2.885458
##   H1299.T18.Saline.870 H1299.T18.Saline.886
## 1              3.438834          3.450917
## 2              2.200645          1.727244
## 3              2.305327          2.749388
## 4              1.967581          2.017007
## 5              12.126267         11.371214
## 6              3.018145          2.583509

dat.validate$fold.change=apply(dat.validate[,7:9], 1, mean)-
apply(dat.validate[, 4:6], 1, mean)

xeno.p=function(x){
  x=as.numeric(x)
  return(t.test(x[1:3], x[4:6], alternative="two.sided")$p.value)
}
xeno.p(dat.validate[1, 4:9])

## [1] 0.2900682

dat.validate$p.value=apply(dat.validate[, 4:9], 1, xeno.p)

```

Gene signatures

1. Venn diagram up and down regulated genes for both cell lines and xenografts

```

#venn diagram
p.xeno=cutoffSignificant(Bum(dat.validate$p.value), 0.1)
p.xeno

## [1] 0.01136181

table(dat.validate$p.value < p.xeno)

##
## FALSE  TRUE
## 19178 1371

id.up.xeno=dat.validate$gene.id[dat.validate$p.value < p.xeno &
                           dat.validate$fold.change > 0]
length(id.up)

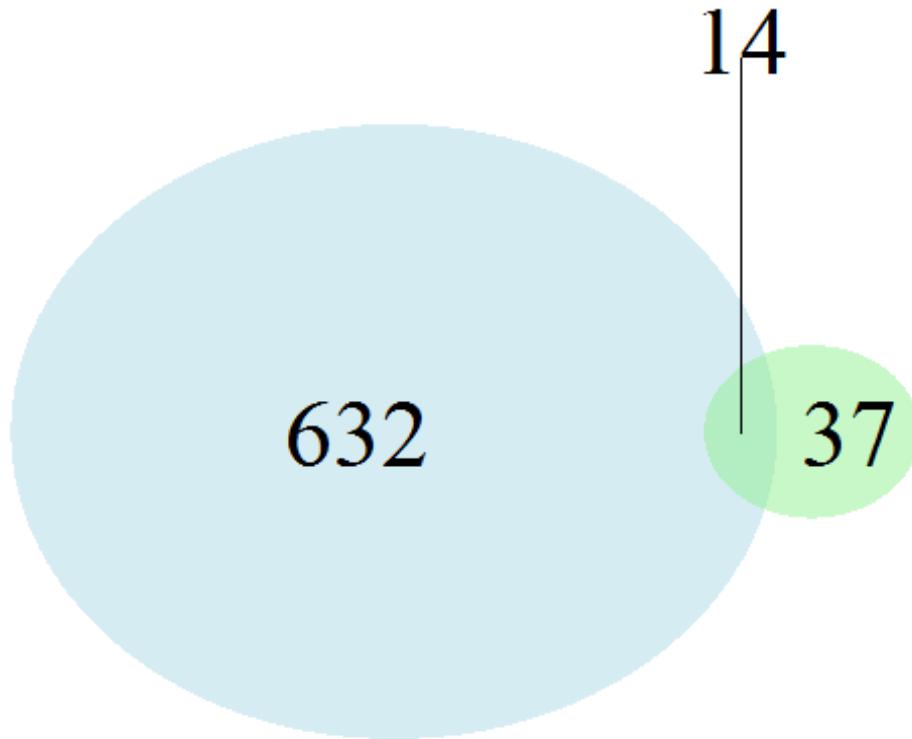
## [1] 51

```

```

length(id.up.xeno)
## [1] 646
sum(id.up %in% id.up.xeno)
## [1] 14
plot.new()
draw.pairwise.venn(646, 51, 14, cex=3,
                    fill=c("light blue", "light green"), lty="blank")

```



```

## (polygon[GRID.polygon.21], polygon[GRID.polygon.22],
polygon[GRID.polygon.23], polygon[GRID.polygon.24], text[GRID.text.25],
text[GRID.text.26], text[GRID.text.27], lines[GRID.lines.28],
text[GRID.text.29], text[GRID.text.30])

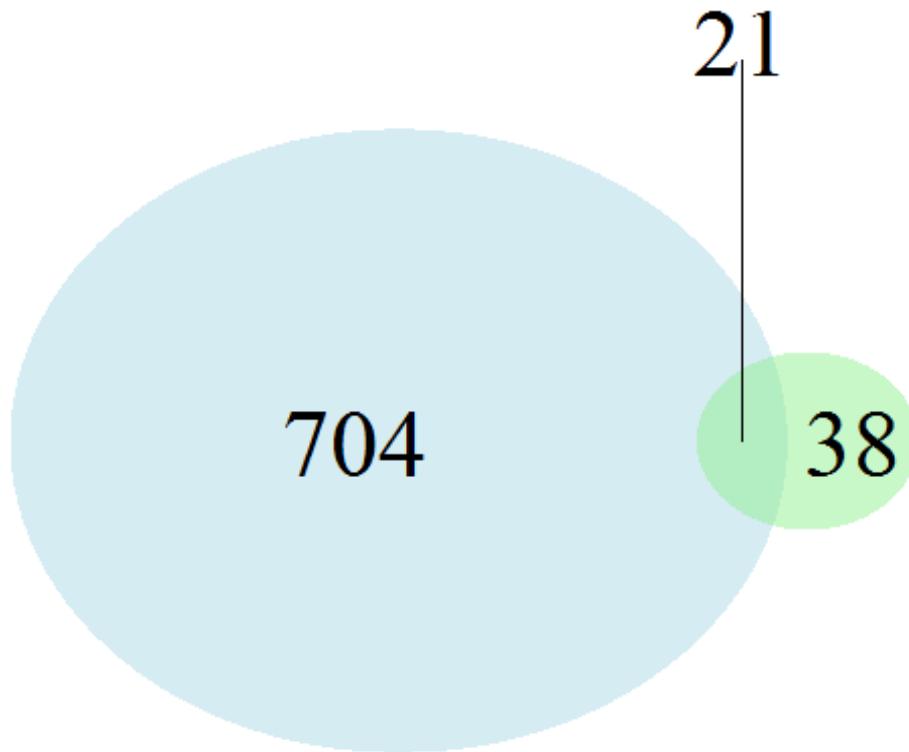
## (polygon[GRID.polygon.21], polygon[GRID.polygon.22],
polygon[GRID.polygon.23], polygon[GRID.polygon.24], text[GRID.text.25],
text[GRID.text.26], text[GRID.text.27], lines[GRID.lines.28],
text[GRID.text.29], text[GRID.text.30])
id.down.xeno=dat.validate$gene.id[dat.validate$p.value < p.xeno &
                                dat.validate$fold.change < 0]
length(id.down)
## [1] 59
length(id.down.xeno)

```

```

## [1] 725
sum(id.down %in% id.down.xeno)
## [1] 21
plot.new()
draw.pairwise.venn(725, 59, 21, cex=3,
                    fill=c("light blue", "light green"), lty="blank")

```



```

## (polygon[GRID.polygon.31], polygon[GRID.polygon.32],
polygon[GRID.polygon.33], polygon[GRID.polygon.34], text[GRID.text.35],
text[GRID.text.36], text[GRID.text.37], lines[GRID.lines.38],
text[GRID.text.39], text[GRID.text.40])

## (polygon[GRID.polygon.31], polygon[GRID.polygon.32],
polygon[GRID.polygon.33], polygon[GRID.polygon.34], text[GRID.text.35],
text[GRID.text.36], text[GRID.text.37], lines[GRID.lines.38],
text[GRID.text.39], text[GRID.text.40])
id.up.regulate=id.up[id.up %in% id.up.xeno]
id.down.regulate=id.down[id.down %in% id.down.xeno]
sig.gene=dat.validate[dat.validate$gene.id %in%
c(id.up.regulate,id.down.regulate),c("gene.id", "gene.symbol")]
gene35=as.character(sig.gene$gene.symbol)
up=dat.validate[dat.validate$gene.id %in% id.up.regulate,c("gene.id",
"gene.symbol")]

```

2.xenografts vocano plot

```

par(mar=c(5, 5, 2, 1))
plot(dat.validate$fold.change, -log10(dat.validate$p.value), xlab="Fold
Change", cex=0.5,
      ylab="P value (-log10)", cex.lab=2, cex.axis=1.5, bty="n", col="blue",
      pch=20,
      yaxt="n")
axis(2, at=c(0, 2, 4, 6, 8), labels=c(1, 0.01, 0.0001, 0.000001, 0.00000001),
      cex.axis=1.5)
points(dat.validate$fold.change[dat.validate$p.value < p.xeno &
dat.validate$fold.change > 0],
       -log10(dat.validate$p.value[dat.validate$p.value < p.xeno &
dat.validate$fold.change > 0]), col="red",
       pch=20)
points(dat.validate$fold.change[dat.validate$p.value < p.xeno &
dat.validate$fold.change < 0],
       -log10(dat.validate$p.value[dat.validate$p.value < p.xeno &
dat.validate$fold.change < 0]), col="green",
       pch=20)
table(dat.validate$p.value < p.xeno & dat.validate$fold.change > 0)

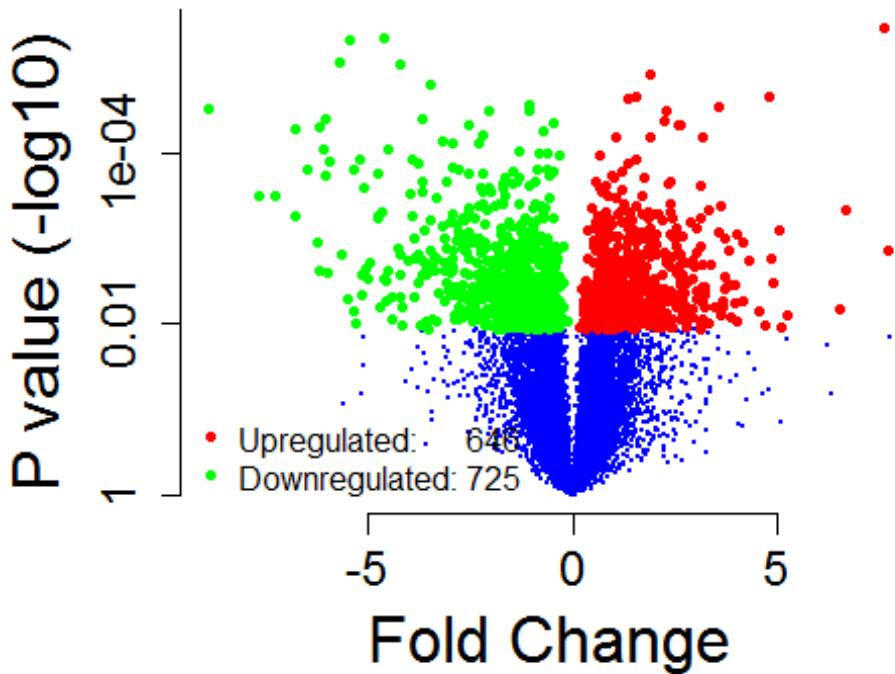
##
## FALSE TRUE
## 19903 646

table(dat.validate$p.value < p.xeno & dat.validate$fold.change < 0)

##
## FALSE TRUE
## 19824 725

legend("bottomleft", c("Upregulated: 646", "Downregulated: 725"),
      col=c("red", "green"), pch=20, bty="n")

```



3. heatmaps for 35 significance gene signature in Cell lines H1355,H1299 and Xenografts

```
# Load 35 genes signature

xeno <- read.table("xenografts.txt",sep="\t",head=TRUE)
CL <- read.table("cellLines.txt",sep="\t",head=TRUE)

# In xenografts, select probes with largest absolute Fold change to represent
# the genes expression
xeno35 <- xeno[xeno$Symbol %in% gene35,]
dat <- NULL

for (id in unique(xeno35$Symbol)){
  tmp <- xeno35[xeno35$Symbol %in% id,]
  if (nrow(tmp)>1){

    mm =
    tmp[tmp$H1299.T18.vs.H1299.P==max(abs(tmp$H1299.T18.vs.H1299.P))|tmp$H1299.T1
    8.vs.H1299.P==-(max(abs(tmp$H1299.T18.vs.H1299.P))),]
    dat <- rbind(dat,mm)

  } else {
    dat=rbind(dat,tmp)
  }
}
```

```

}

xe <- dat[,10:21]
rownames(xe) <- dat$Symbol
xe=as.matrix(xe)
xe=xe[,c(1,2,3,7,8,9)]


#####
# In cell lines, select probes with largest absolute Fold change to represent
the genes expression

# cell lines
CL35 <- CL[CL$Symbol %in% gene35,]
CL35.H1355 <-
data.frame(Symbol=CL35$Symbol,ProbeID=CL35$Probe.ID,CL35[,grep("H1355",colnames(CL35))])
CL35.H1299 <-
data.frame(Symbol=CL35$Symbol,ProbeID=CL35$Probe.ID,CL35[,grep("H1299",colnames(CL35))])
H1355.dat <- NULL

for (id in unique(CL35.H1355$Symbol)){
  tmp <- CL35.H1355[CL35.H1355$Symbol %in% id,]
  if (nrow(tmp)>1){

    mm =
    tmp[tmp$H1355.T16.vs.H1355.P==max(abs(tmp$H1355.T16.vs.H1355.P))|tmp$H1355.T16.vs.H1355.P== -max(abs(tmp$H1355.T16.vs.H1355.P)) ,]
    H1355.dat <- rbind(H1355.dat,mm)

  } else {
    H1355.dat=rbind(H1355.dat,tmp)
  }
}

H1355.cb <- as.matrix(H1355.dat[,4:17])
rownames(H1355.cb) <- as.character(H1355.dat$Symbol)

H1299.dat <- NULL

for (id in unique(CL35.H1299$Symbol)){
  tmp <- CL35.H1299[CL35.H1299$Symbol %in% id,]
  if (nrow(tmp)>1){

    mm =
    tmp[tmp$H1299.T18.vs.H1299.P==max(abs(tmp$H1299.T18.vs.H1299.P))|tmp$H1299.T18.vs.H1299.P== -max(abs(tmp$H1299.T18.vs.H1299.P)) ,]

```

```

H1299.dat <- rbind(H1299.dat,mm)

} else {
  H1299.dat=rbind(H1299.dat,tmp)
}

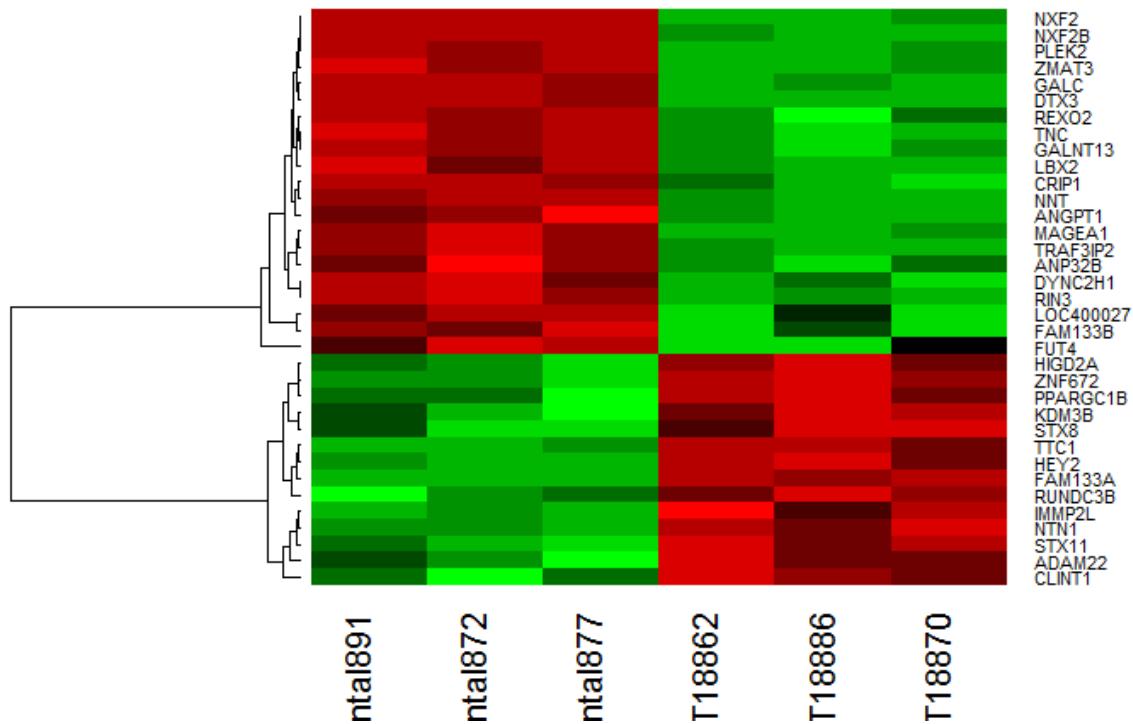
}
H1299.cb <- as.matrix(H1299.dat[,4:17])
rownames(H1299.cb) <- H1299.dat$Symbol

# heatmaps

mm=heatmap.2(xe, col=greenred, scale="row",distfun=function(x) {as.dist(1-
cor(t(x)))},tracecol=NULL,,Colv=FALSE,key=FALSE)

## Warning in heatmap.2(xe, col = greenred, scale = "row", distfun =
## function(x) {: Discrepancy: Colv is FALSE, while dendrogram is `row'.
## Omitting column dendrogram.

```



```

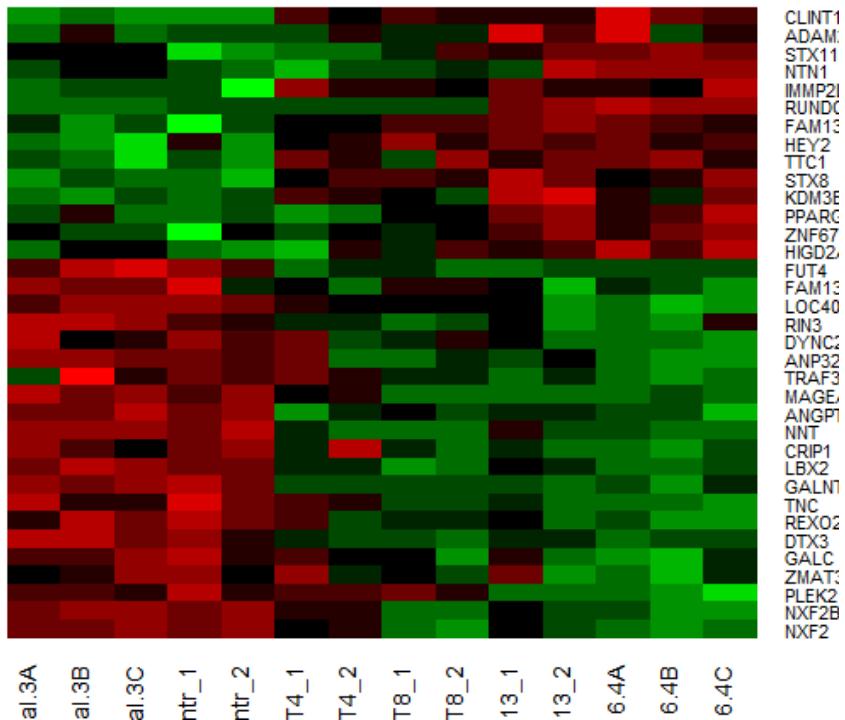
## Warning in heatmap.2(xe, col = greenred, scale = "row", distfun =
## function(x) {:
##   Discrepancy: Colv is FALSE, while dendrogram is `row'.
##   Omitting column dendrogram.

go=rownames(xe)[mm$rowInd] # keep the gene rows in the same order as
# xenografts

heatmap.2(H1355.cb[go,], col=greenred,
margin=c(3,3),scale="row",Rowv=FALSE,Colv=FALSE,tracecol=NULL,key=FALSE)

## Warning in heatmap.2(H1355.cb[go, ], col = greenred, margin = c(3, 3),
## scale = "row", : Discrepancy: Rowv is FALSE, while dendrogram is `none'.
## Omitting row dendrogram.

```



```

## Warning in heatmap.2(H1355.cb[go, ], col = greenred, margin = c(3, 3),
## scale = "row", : Discrepancy: Rowv is FALSE, while dendrogram is `none'.
## Omitting row dendrogram.

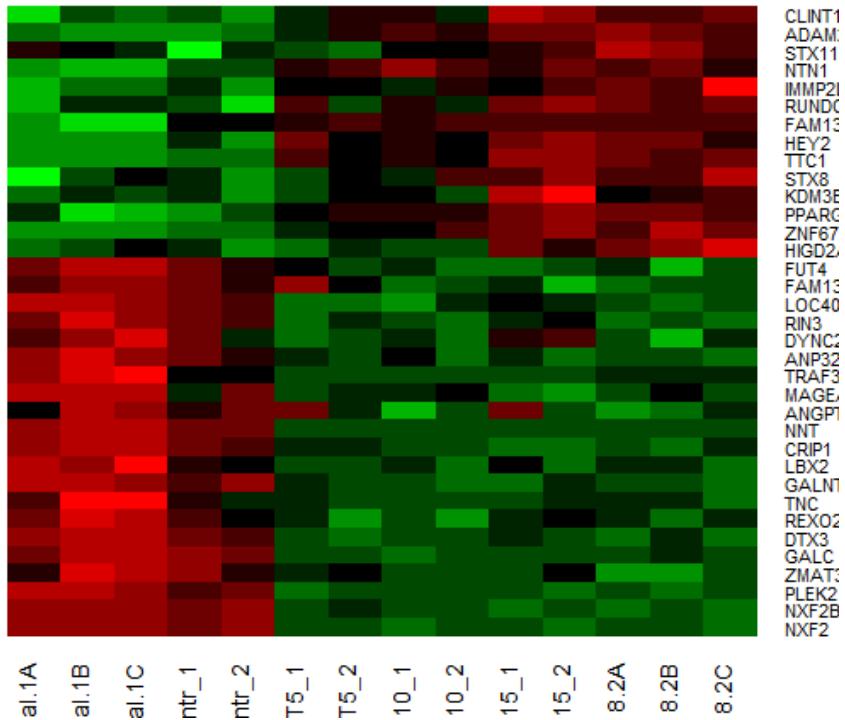
heatmap.2(H1299.cb[go,], col=greenred,
margin=c(3,3),scale="row",Rowv=FALSE,Colv=FALSE,tracecol=NULL,key=FALSE)

```

```

## Warning in heatmap.2(H1299.cb[go, ], col = greenred, margin = c(3, 3),
## scale = "row", : Discrepancy: Rowv is FALSE, while dendrogram is `none'.
## Omitting row dendogram.

```



```

## Warning in heatmap.2(H1299.cb[go, ], col = greenred, margin = c(3, 3),
## scale = "row", : Discrepancy: Rowv is FALSE, while dendrogram is `none'.
## Omitting row dendogram.

```

```

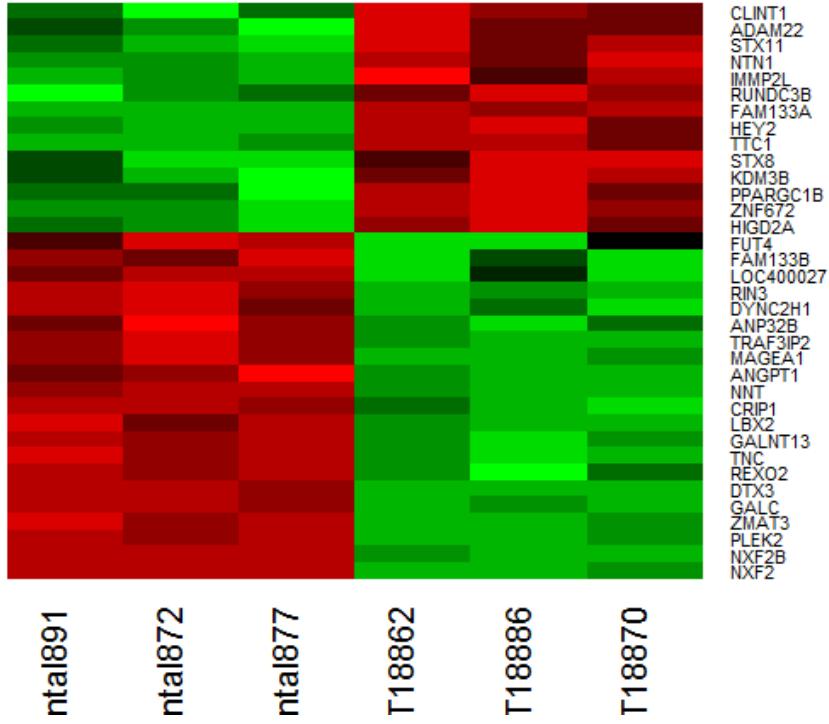
heatmap.2(xe[go,], col=greenred, scale="row",distfun=function(x) {as.dist(1-
cor(t(x)))},tracecol=NULL,Colv=FALSE,Rowv=FALSE,key=FALSE)

```

```

## Warning in heatmap.2(xe[go, ], col = greenred, scale = "row", distfun =
## function(x) {: Discrepancy: Rowv is FALSE, while dendrogram is `none'.
## Omitting row dendogram.

```



```
## Warning in heatmap.2(xe[go, ], col = greenred, scale = "row", distfun =
## function(x) {:
##   Discrepancy: Rowv is FALSE, while dendrogram is `none'.
##   Omitting row dendrogram.
```

Gene signatures in patient data

Unsupervised learning for 35 gene signatures on 65 patients data who got the neoadjuvant therapy. 1. load the data

```
load("patient.expr.RData")

names(dat.pati)[4:278] <- sub("\\.", "-", names(dat.pati[4:278]))

# Load clinical data
dat.clin <- read.csv("03-28-2014_Dalvi_M_65_Neoadjuvant_patients_with_UPDATED_annotation.csv", as.is=T)
dat.clin$id %in% names(dat.pati)

## [1] TRUE TRUE
## [15] TRUE TRUE
## [29] TRUE TRUE
```

```

## [43] TRUE TRUE
## [57] TRUE TRUE TRUE TRUE TRUE TRUE TRUE TRUE

dat.neoa <- dat.pati[, c(names(dat.pati[1:3]), dat.clin$id)]
id <- c(id.up.regulate, id.down.regulate)

dat.neoa <- dat.neoa[dat.neoa$gene.id %in% id, ]
row.names(dat.neoa) <- dat.neoa$gene.id
row.names(dat.neoa)

## [1] "284"    "1396"   "2526"   "2581"   "3371"   "4100"   "7265"
## [8] "8676"   "9423"   "9482"   "9685"   "10541"  "10758"  "23493"
## [15] "23530"  "25996"  "26499"  "51780"  "53616"  "56001"  "64393"
## [22] "79659"  "79890"  "79894"  "83943"  "85474"  "114805" "133522"
## [29] "154661" "192286" "196403" "257415" "286499" "400027" "728343"

dat.neoa <- dat.neoa[, 4:68]

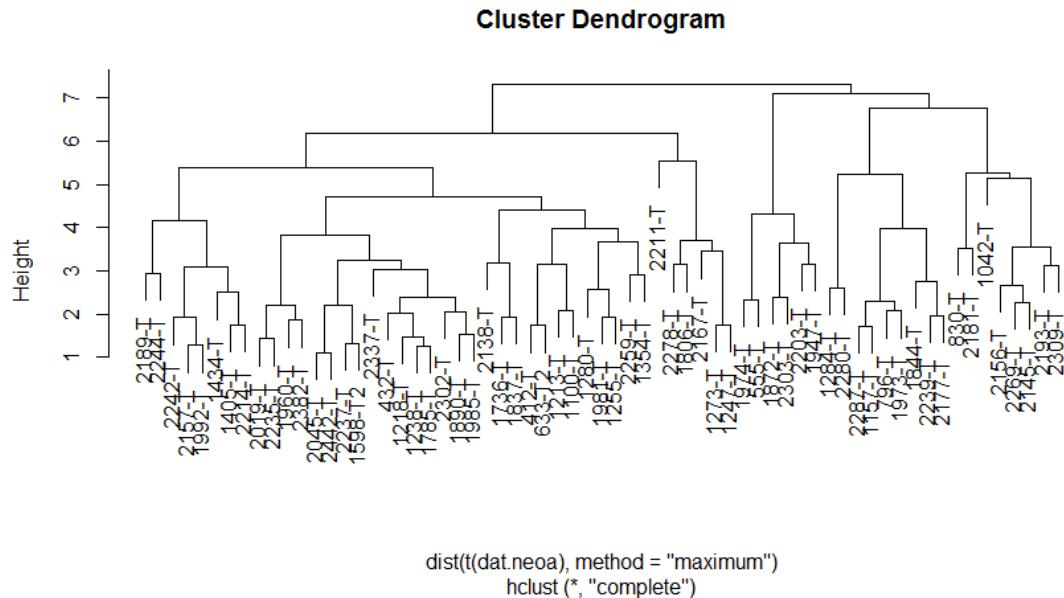
```

2. cluster plot and K-M curve recurrence free survival analysis

```

# cluster plot
hc.cell=hclust(dist(t(dat.neoa), method="maximum"))
plot(hc.cell)

```



```

hc.cell$order

## [1] 30 52 32 3 50 12 15 29 37 38 10 22 4 19 5 8 53 18 21 2 45 1 7
## [24] 44 14 11 17 16 20 43 46 39 34 36 6 9 49 13 33 47 48 51 57 65 28 54
## [47] 42 55 27 61 25 58 40 63 56 59 64 31 41 62 60 26 35 23 24

dat.surv <- data.frame(id=hc.cell$labels[hc.cell$order], group=c(rep(1, 42),
rep(2, 23)))
dat.surv <- merge(dat.surv, dat.clin, by="id")

```

```

# K-M plot
kmplot <- function(survival,groups, title.lab="",xlab="",ylab="",
                     survivallimit=c(60, 120), display=TRUE, cex.axis=1.5,
                     cex.lab=1.4, cex.main=1.5,
                     mar=c(5.1 , 5.3, 4.1, 1.1), sig=NULL, ...)
{
  require(survival)
  survival<-survival[!is.na(groups),]
  groups<-groups[!is.na(groups)]
  if(length(levels(factor(groups)))<2)
  { cat("error in kmplot\n"); return() }
  logrank<-survdiff(survival ~ groups, ...)
  pv <- pchisq(logrank$chisq,1, lower.tail=F)

  summary_coxph <- summary(coxph(survival ~ groups, ...))
  ci <-summary_coxph$conf.int

  col=c("black", "red")
  if (display) {
    sfit= survfit(survival ~ groups, ...)

    plot(sfit, col=col, lty=1:2, main=title.lab, xlab=xlab, ylab=ylab,
mark.time=TRUE,mark=19,
          cex.axis=cex.axis, cex.lab=cex.lab, cex.main=cex.main, mar=mar, ...)

    ### add two vertical line represent 5 year and 10 year
#    sapply(survivallimit, function(x) abline(v=x, col="grey"))

    ### add results on plot
    stat=paste("n = ",length(groups),", ",pv.expr(pv) , "\n
HR=",format(ci[1],digits=3),
               " (95%CI ,format(ci[3],digits=3),"-",
               format(ci[4],digits=3),")",sep="")
    x=min(survival[,1])+0.5*(max(survival[,1])-min(survival[,1]))
    text(x, 0.15 ,stat , cex=cex.lab)
  }
  return(list(group_table=table(groups),logrank.p=pv,
             hr=ci[1],hr.5=ci[3],hr.95=ci[4], n=length(groups),
             ebeta=summary_coxph$coef[1],
             z=summary_coxph$coef[4],pr.z=summary_coxph$coef[5],
             groups=groups))
}

##Function to format pvalues in K-M plot
pv.expr <- function(x, digits = 2) {
  if (!x) return(0)
  exponent <- floor(log10(x))
  base <- round(x / 10^exponent, digits)
}

```

```

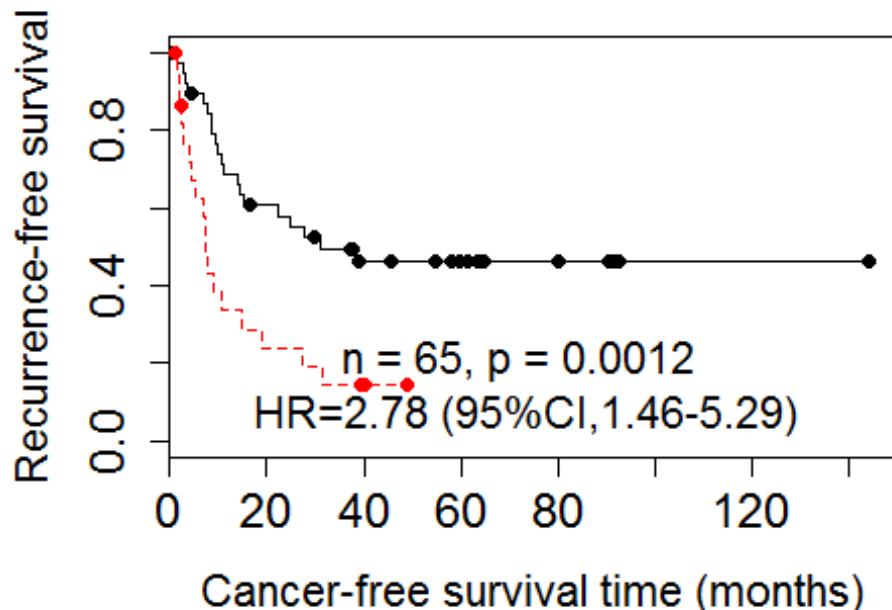
ifelse(x > 0.0001,
      paste("p = ", base*(10^exponent), sep=""),
      paste("p = ", base, "E", exponent, sep=""))
}

survival <-
Surv(time=as.numeric(as.character(dat.surv$cancer.free.survival.month)),
     event=dat.surv$recurrence == 'Y')
survdiff(survival ~ dat.surv$group)

## Call:
## survdiff(formula = survival ~ dat.surv$group)
##
##          N Observed Expected (O-E)^2/E (O-E)^2/V
## dat.surv$group=1 42      20    28.56     2.56    10.5
## dat.surv$group=2 23      18    9.44      7.75    10.5
##
##  Chisq= 10.5  on 1 degrees of freedom, p= 0.0012

kmplot(survival, dat.surv$group,xlab="Cancer-free survival time
(months)",ylab="Recurrence-free survival")

```



```

## $group_table
## groups
## 1 2
## 42 23

```

3. Multivariate cox regression model

```

# data for 65 neoajuvant patients
load("dat65.RData")
head(dat65[,1:5])

##   gene.id n gene.symbol      2302-T    1238-T
## 1       1  2        A1BG  3.638638 2.605372
## 2       2  1        A2M 10.282485 11.270556
## 3       9  3        NAT1  3.201879 3.562783
## 4      10  1        NAT2  2.183924 2.484070
## 5      12  1 SERPINA3 10.435610 9.637462
## 6      13  1       AADAC  8.201044 3.566616

dim(dat65)
## [1] 19579     68

cli65=dat.clin
sig.id <- c(id.up.regulate, id.down.regulate)
sur65 <- dat65[dat65$gene.id %in% sig.id,]
row.names(sur65) <- sur65$gene.symbol
sur65 <- sur65[, -c(1:3)]

```

```

sur65 <- data.frame(t(sur65))
head(sur65)

##          ANGPT1      CRIP1      FUT4      GALC      TNC      MAGEA1      TTC1
## 2302-T 8.235965 10.73370 5.422461 7.486111 8.190325 2.634824 8.216957
## 1238-T 7.010597 11.35512 5.125421 7.166048 8.693179 2.708898 7.949370
## 2157-T 5.381803 10.67481 4.150018 6.272858 7.075845 2.896832 8.054993
## 2045-T 7.963517 11.84460 4.616963 6.794380 7.304523 2.662581 7.866941
## 2237-T 7.489364 12.19631 5.336181 7.265428 7.057829 2.768827 8.055649
## 2259-T 6.587808 12.08331 4.885976 6.528196 8.851127 3.828562 8.342618
##          STX11      NTN1      STX8      CLINT1     ANP32B     TRAF3IP2      HEY2
## 2302-T 8.806033 3.866315 7.807953 9.964402 11.97116 5.748529 4.538813
## 1238-T 8.990390 3.934511 8.164496 9.348762 11.80851 5.224289 6.463278
## 2157-T 7.266282 4.691052 7.932429 8.789924 12.60085 5.632375 4.672881
## 2045-T 9.024002 3.782765 7.853039 9.588817 11.81897 4.804227 5.344224
## 2237-T 8.642615 3.650787 7.420597 9.801010 11.90997 5.340369 6.014473
## 2259-T 6.644821 3.998293 7.765663 9.865428 11.74342 5.591911 8.132118
##          NNT      REX02      PLEK2      KDM3B     ADAM22      NXF2      ZMAT3
## 2302-T 4.551442 10.626628 6.297819 7.387870 2.473813 2.654559 9.680279
## 1238-T 4.751584 10.565241 6.575559 7.741217 2.452605 2.820190 9.842212
## 2157-T 5.521030 10.975039 4.895468 7.082318 2.813311 2.837278 9.086257
## 2045-T 5.020791 9.837021 3.924777 7.125601 2.421299 2.879944 10.220259
## 2237-T 4.159578 10.301812 4.765241 7.175600 2.352307 2.790665 9.797409
## 2259-T 4.410768 10.430360 5.056644 8.019820 2.826604 2.525510 9.548210
##          DYNC2H1      RIN3      ZNF672     IMMP2L      LBX2      GALNT13     PPARGC1B
## 2302-T 4.191597 4.099950 8.520529 6.935128 3.066943 2.871684 3.334735
## 1238-T 5.409916 5.833676 8.051448 6.965085 3.123558 2.592927 4.696052
## 2157-T 4.979832 4.487548 8.851127 7.713463 3.093184 3.093184 4.472508
## 2045-T 5.705767 5.067450 7.956891 6.827816 2.898155 2.682759 5.601410
## 2237-T 5.783142 5.163301 8.259766 6.386431 2.781739 2.855904 5.743148
## 2259-T 7.879810 3.347565 8.959878 6.676347 3.190928 3.695353 4.047072
##          RUNDC3B      HIGD2A      DTX3      FAM133B     FAM133A    LOC400027      NXF2B
## 2302-T 4.391510 9.798051 5.078655 6.594635 2.419880 8.763619 2.653797
## 1238-T 3.793786 10.840024 6.443461 7.581591 3.507960 8.564467 2.406528
## 2157-T 2.499195 10.096499 5.335023 7.793973 2.466545 9.510188 2.593304
## 2045-T 5.482069 11.104236 5.138590 7.859911 2.350893 8.217731 2.534494
## 2237-T 3.759741 10.754040 6.324054 6.933605 3.132086 8.316771 2.501597
## 2259-T 6.139675 10.715144 6.606734 7.584588 2.957714 8.965241 2.644243

sur65$id <- row.names(sur65)
sur65 <- merge(sur65, cli65, by="id")
sur65$event <- ifelse(sur65$recurrence == "Y", 1, 0)
paste(names(sur65)[2:36], collapse = " + ")

## [1] "ANGPT1 + CRIP1 + FUT4 + GALC + TNC + MAGEA1 + TTC1 + STX11 + NTN1 +
## STX8 + CLINT1 + ANP32B + TRAF3IP2 + HEY2 + NNT + REX02 + PLEK2 + KDM3B +
## ADAM22 + NXF2 + ZMAT3 + DYNC2H1 + RIN3 + ZNF672 + IMMP2L + LBX2 + GALNT13 +
## PPARGC1B + RUNDC3B + HIGD2A + DTX3 + FAM133B + FAM133A + LOC400027 + NXF2B"

# multivariate cox regression model
fit65 <- coxph(Surv(cancer.free.survival.month, event)~ ANGPT1 + CRIP1 + FUT4

```

```

+ GALC + TNC + MAGEA1 + TTC1 + STX11 + NTN1 + STX8 + CLINT1 + ANP32B +
TRAF3IP2 + HEY2 + NNT + REX02 + PLEK2 + KDM3B + ADAM22 + NXF2 + ZMAT3 +
DYN2H1 + RIN3 + ZNF672 + IMMP2L + LBX2 + GALNT13 + PPARGC1B + RUNDC3B +
HIGD2A + DTX3 + FAM133B + FAM133A + LOC400027 + NXF2B, data=sur65)
sum65 <- summary(fit65)
coe65=summary(fit65)$coefficients
coe65

##          coef    exp(coef)   se(coef)      z     Pr(>|z|)
## ANGPT1    0.2091745 1.23266002 0.3522183  0.5938772 0.5525942229
## CRIP1     0.4827728 1.62056161 0.4083533  1.1822427 0.2371093988
## FUT4      0.6953699 2.00445029 0.8489477  0.8190962 0.4127315352
## GALC      0.1018298 1.10719499 0.6486271  0.1569928 0.8752505211
## TNC       0.4542830 1.57504372 0.2696067  1.6849841 0.0919916316
## MAGEA1    0.3877544 1.47366785 0.2178626  1.7798117 0.0751067903
## TTC1      -0.7462477 0.47414233 0.8774816  -0.8504426 0.3950790600
## STX11     -0.0624412 0.93946831 0.4083143  -0.1529243 0.8784579357
## NTN1      0.4174976 1.51815770 0.4800938  0.8696166 0.3845099402
## STX8      -2.1243707 0.11950815 0.8603591  -2.4691674 0.0135427840
## CLINT1    -2.9858164 0.05049826 1.3702253  -2.1790697 0.0293264880
## ANP32B    -0.8004983 0.44910512 0.7571715  -1.0572219 0.2904103310
## TRAF3IP2  1.3593645 3.89371789 0.8955198  1.5179613 0.1290241587
## HEY2      -0.1132994 0.89288327 0.2281820  -0.4965310 0.6195198115
## NNT       3.0160368 20.41024063 0.8867630  3.4011757 0.0006709668
## REX02     0.8232530 2.27789773 1.0772530  0.7642150 0.4447391043
## PLEK2     -0.0854132 0.91813283 0.2689104  -0.3176270 0.7507679273
## KDM3B     2.3300661 10.27862073 1.0422492  2.2356132 0.0253771209
## ADAM22    1.8088556 6.10345840 1.2200918  1.4825569 0.1381921728
## NXF2      -1.9577895 0.14117013 1.3088808  -1.4957738 0.1347126236
## ZMAT3     -0.2423971 0.78474446 0.8924421  -0.2716111 0.7859210966
## DYN2H1    -0.7245371 0.48454882 0.4513348  -1.6053205 0.1084232849
## RIN3      0.1752646 1.19156148 0.4063743  0.4312886 0.6662585233
## ZNF672    -1.7224168 0.17863391 1.0290374  -1.6738135 0.0941672569
## IMMP2L    0.6351963 1.88739254 0.7552601  0.8410299 0.4003311966
## LBX2      0.6945857 2.00287903 0.3475644  1.9984375 0.0456692455
## GALNT13   0.5186821 1.67981236 0.3777974  1.3729106 0.1697801307
## PPARGC1B  -0.3403402 0.71152822 0.3936462  -0.8645839 0.3872672093
## RUNDC3B   -0.1737039 0.84054572 0.3701324  -0.4693021 0.6388537108
## HIGD2A    -0.1515406 0.85938303 1.1451527  -0.1323322 0.8947215570
## DTX3      0.8800273 2.41096560 0.3218440  2.7343286 0.0062507638
## FAM133B   -1.6762593 0.18707245 1.3213149  -1.2686297 0.2045731611
## FAM133A   0.1851519 1.20340119 0.2420717  0.7648639 0.4443526181
## LOC400027 -0.3161092 0.72897986 0.5113653  -0.6181670 0.5364652793
## NXF2B     2.7053611 14.95971778 1.6524065  1.6372249 0.1015834781

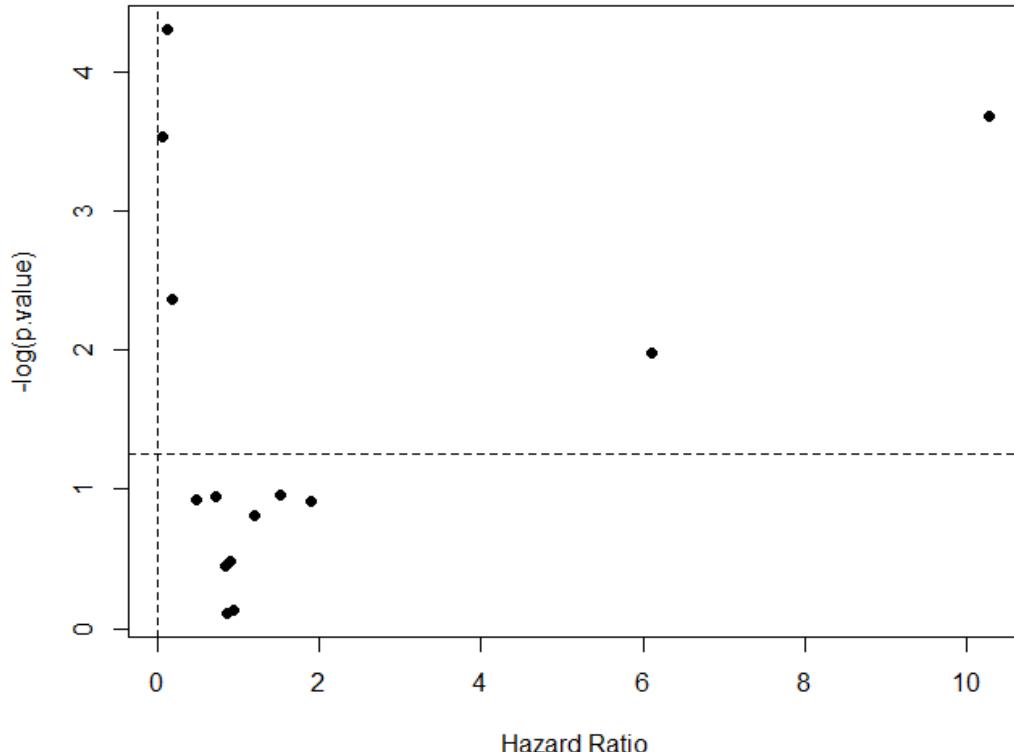
dm=coe65[,as.character(up$gene.symbol),]
dim(dm)

## [1] 14 5

```

14 up regulated genes

```
plot(dm[,2], -log(dm[,5]), type="p", pch=19, xlab="Hazard Ratio", ylab="-log(p.value)")
abline(h=1.25, v=0, lty=2)
```



```
dat.surv$neoadj <- ifelse(dat.surv$Neoadjuvant.Drugs %in% c("Cisplatin
Docetaxel", "Carboplatin Paclitaxel", "Carboplatin Docetaxel", "Cisplatin"),
1, 0)

table(dat.surv$neoadj)

##
##  0   1
## 10 55

dat.surv$path[grep("IA", dat.surv$pathology)] <- "I"
dat.surv$path[grep("IB", dat.surv$pathology)] <- "I"
dat.surv$path[grep("IIA", dat.surv$pathology)] <- "II"
dat.surv$path[grep("IIB", dat.surv$pathology)] <- "II"
dat.surv$path[grep("IIIA", dat.surv$pathology)] <- "III"
dat.surv$path[grep("IIIB", dat.surv$pathology)] <- "III"
dat.surv$path[grep("IV", dat.surv$pathology)] <- "IV"
```

```

coxph(Surv(cancer.free.survival.month, recurrence == 'Y') ~ group,
data=dat.surv)

## Call:
## coxph(formula = Surv(cancer.free.survival.month, recurrence ==
##       "Y") ~ group, data = dat.surv)
##
##
##      coef exp(coef) se(coef)     z      p
## group 1.021    2.777    0.328 3.11 0.0019
##
## Likelihood ratio test=9.15  on 1 df, p=0.00249
## n= 65, number of events= 38

cox.fit <- coxph(Surv(cancer.free.survival.month, recurrence == 'Y') ~ group +
+ histology + age + smoke + Gender + Race + Adjuvant.Therapy + neoadj + path,
data=dat.surv)

cox.fit

## Call:
## coxph(formula = Surv(cancer.free.survival.month, recurrence ==
##       "Y") ~ group + histology + age + smoke + Gender + Race +
##       Adjuvant.Therapy + neoadj + path, data = dat.surv)
##
##
##      coef exp(coef) se(coef)     z      p
## group          1.6292  5.0997  0.4858 3.35 0.0008
## histologyOther  0.3710  1.4492  0.4745 0.78 0.4343
## histologySquamous -0.2292  0.7952  0.4969 -0.46 0.6446
## age            0.0222  1.0224  0.0251 0.88 0.3765
## smokeY         -0.9275  0.3955  0.6676 -1.39 0.1647
## GenderM        -0.2108  0.8099  0.4312 -0.49 0.6249
## RaceAsian or Pacific Islander -0.2788  0.7567  1.5221 -0.18 0.8547
## RaceCaucasian   -0.8713  0.4184  0.8005 -1.09 0.2764
## RaceHispanic    -0.1321  0.8762  1.2877 -0.10 0.9183
## Adjuvant.TherapyY -1.0292  0.3573  0.4962 -2.07 0.0380
## neoadj          0.5252  1.6909  0.5182 1.01 0.3107
## pathII          -0.2370  0.7890  0.5893 -0.40 0.6875
## pathIII         1.0031  2.7268  0.4973 2.02 0.0437
## pathIV          0.9530  2.5935  0.6674 1.43 0.1533
##
## Likelihood ratio test=23.2  on 14 df, p=0.0566
## n= 65, number of events= 38

```