Asymmetric Synthesis of All-Carbon Quaternary Spirocycles via a Catalytic Enantioselective Allylic Alkylation Strategy

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Materials and Methods

Unless otherwise stated, reactions were performed in flame-dried glassware under an argon or nitrogen atmosphere using dry, deoxygenated solvents. Solvents were dried by passage through an activated alumina column under argon. Commercially obtained reagents were used as received. Chemicals were purchased from Sigma Aldrich/Strem/Alfa Aesar/Oakwood Chemicals and used as received. Reaction temperatures were controlled by an IKAmag temperature modulator. Glove box manipulations were performed under a nitrogen atmosphere. Thin-layer chromatography (TLC) and preparatory TLC was performed using E. Merck silica gel 60 F254 precoated plates (0.25 mm) and visualized by UV fluorescence quenching, KMnO₄, or *p*-anisaldehyde staining. Silia*Flash* P60 Academic Silica gel (particle size 0.040–0.063 mm) was used for flash chromatography. Analytical chiral HPLC was performed with an Agilent 1100 Series HPLC utilizing a Chiralpak IC column (4.6 mm x 25 cm) or Chiralpak AD-H column (4.6 mm x 25 cm),

both obtained from Daicel Chemical Industries, Ltd. with visualization at 210 nm. Analytical SFC was performed with a Mettler SFC supercritical CO₂ analytical chromatography system utilizing a Chiralpak OJ-H column (4.6 mm x 25 cm) obtained from Daicel Chemical Industries, Ltd. with visualization at 210 nm. ¹H NMR spectra were recorded on a Bruker Avance HD 400 MHz spectrometer and are reported relative to residual CHCl₃ (§ 7.26 ppm). ¹³C NMR spectra were recorded on a Bruker Avance HD 400 MHz spectrometer and are reported relative to residual CDCl₃ (δ 77.16 ppm). Data for ¹H NMR are reported as follows: s = singlet, d = doublet, t = triplet, q = quartet, p = pentet, sept = septuplet, m = multiplet, br s = broad singlet. Data for ${}^{13}C$ NMR are reported in terms of chemical shifts (δ ppm). Some reported spectra include minor solvent impurities of benzene (δ 7.36 ppm), water (δ 1.56 ppm), ethyl acetate (δ 4.12, 2.05, 1.26 ppm), methylene chloride (δ 5.30 ppm), grease (δ 1.26, 0.86 ppm), and/or silicon grease (δ 0.07 ppm), which do not impact product assignments. IR spectra were obtained using a Perkin Elmer Paragon 1000 spectrometer using thin films deposited on NaCl plates and reported in frequency of absorption (cm⁻¹). High resolution mass spectra (HRMS) were obtained from the Caltech Mass Spectral Facility using a JEOL JMS-600H High Resolution Mass Spectrometer in fast atom bombardment (FAB+) or electron ionization (EI+) mode, or an Agilent 6200 Series TOF with an Agilent G1978A Multimode source in electrospray ionization (ESI+), atmospheric pressure chemical ionization (APCI+), or mixed ionization mode (MM: ESI-APCI+). Optical rotations were measured with a Jasco P-2000 polarimeter operating on the sodium D-line (589 nm), using a 100 mm pathlength cell and are reported as: $\left[\alpha\right]_{D}^{T}$ (concentration in g/100 mL, solvent).

List of Abbreviations: ee – enantiomeric excess, HPLC – high-performance liquid chromatography, SFC – supercritical fluid chromatography, TLC – thin-layer chromatography, EtOAc – ethyl acetate, THF – tetrahydrofuran, IPA – isopropanol, DMF – dimethylformamide

Preparation of Known Compounds: Previously reported methods were used to prepare ligand (*S*,)- $L1^1$ and 6-(bromomethyl)-4*H*-1,3-dioxin².

Experimental Procedures and Spectroscopic Data for the Synthesis of Allylic Alkylation Substrates



Allyl 1-((4*H*-1,3-dioxin-6-yl)methyl)-2-oxocyclohexane-1-carboxylate (1a). Allyl 2oxocyclohexane-1-carboxylate³ (0.20 g, 1.1 mmol, 1 equiv), K_2CO_3 (0.76 g, 5.5 mmol, 5 equiv), and 6-(bromomethyl)-4*H*-1,3-dioxin² (0.30 g, 1.7 mmol, 1.5 equiv) were dissolved in acetone (5 mL). The resulting reaction mixture was heated under reflux for 18 h, whereupon the reaction was cooled to ambient temperature, filtered through celite with acetone (10 mL), and concentrated under reduced pressure. The crude product was purified by silica gel flash column chromatography (15% EtOAc/hexanes) to give ester **1a** as a colorless oil (0.26 g, 84% yield): ¹H NMR (400 MHz, CDCl₃) δ 5.83 (ddt, J = 17.2, 10.4, 5.9 Hz, 1H), 5.31 – 5.12 (m, 2H), 4.89 – 4.80 (m, 2H), 4.66 (t, J = 2.6 Hz, 1H), 4.57 – 4.48 (m, 2H), 4.14 – 4.09 (m, 2H), 2.76 (dt, J = 15.0, 1.6 Hz, 1H), 2.56 (dt, J = 13.9, 3.2 Hz, 1H), 2.43 – 2.25 (m, 2H), 2.24 – 2.16 (m, 1H), 2.01 – 1.89 (m, 1H), 1.82 – 1.63 (m, 2H), 1.56 (dddd, J = 17.0, 12.4, 8.4, 4.4 Hz, 1H), 1.36 (ddd, J = 13.9, 12.2, 4.7 Hz, 1H); ¹³C NMR (101 MHz, CDCl₃) δ 206.4, 170.4, 150.3, 131.6, 118.8, 100.8, 90.3, 65.9, 63.7, 59.8, 40.9, 38.8, 35.7, 27.5, 22.3; IR (Neat Film, NaCl) 3083, 2944, 2867, 2796, 1715, 1682. 1648, 1451, 1372, 1314, 1175, 1092, 990, 927, 847, 761 cm⁻¹; HRMS (FAB+) *m/z* calc'd for C₁₅H₁₉O₅ [M+H]–H₂: 279.1232, found 279.1224.



2-((4H-1,3-dioxin-6-yl)methyl)-1-oxo-1,2,3,4-tetrahydronaphthalene-2-Allyl carboxylate (1b). Allyl 1-oxo-1,2,3,4-tetrahydronaphthalene-2-carboxylate³ (0.60 g, 2.8 mmol, 1 equiv), Cs₂CO₃ (1.6 g, 5.0 mmol, 1.8 equiv), and 6-(bromomethyl)-4H-1,3dioxin² (0.55 g, 3.1 mmol, 1.1 equiv) were dissolved in DMF (19 mL). The resulting reaction mixture was heated to 70 °C for 18 h, whereupon the reaction was cooled to ambient temperature, poured into H_2O (40 mL), and the aqueous layer was extracted with EtOAc (3 x 25 mL). The combined organics were washed with brine (25 mL), dried over Na₂SO₄, and concentrated under reduced pressure. The crude product was purified by silica gel flash column chromatography (10% EtOAc/hexanes) to give ester 1b as a pale vellow oil (0.65 g, 71% yield): ¹H NMR (400 MHz, CDCl₃) δ 8.04 (dd, J = 7.9, 1.4 Hz, 1H), 7.47 (td, J = 7.5, 1.5 Hz, 1H), 7.34 - 7.27 (m, 1H), 7.21 (dd, J = 7.6, 1.2 Hz, 1H), 5.80 (ddt, J = 7.6, 1H),17.2, 10.5, 5.5 Hz, 1H), 5.27 - 5.06 (m, 2H), 4.93 (s, 2H), 4.83 (t, J = 2.6 Hz, 1H), 4.56(dq, J = 5.6, 1.6 Hz, 2H), 4.20 (dt, J = 2.6, 1.3 Hz, 2H), 3.22 - 3.07 (m, 1H), 3.01 - 2.73(m, 3H), 2.64 (dt, J = 13.9, 4.6 Hz, 1H), 2.23 – 2.11 (m, 1H); ¹³C NMR (101 MHz, CDCl₃) δ 194.2, 170.8, 150.5, 143.3, 133.6, 132.0, 131.6, 128.9, 128.3, 126.8, 118.3, 101.4, 90.5, 66.0, 64.0, 56.8, 38.4, 30.5, 26.1; IR (Neat Film, NaCl) 2929, 2852, 1730, 1688, 1600, 1453, 1371, 1313, 1294, 1232, 1172, 1093, 987, 950, 846, 744 cm⁻¹; HRMS (FAB+) *m/z* calc'd for C₁₉H₁₉O₅ [M+H]–H₂: 327.1232, found 3267.1223.



Allyl 3-((4*H*-1,3-dioxin-6-yl)methyl)-1-benzoyl-2-oxopiperidine-3-carboxylate (1c). Allyl 1-benzoyl-2-oxopiperidine-3-carboxylate⁴ (0.20 g, 0.70 mmol, 1 equiv), Cs₂CO₃ (0.41 g, 1.3 mmol, 1.8 equiv), and 6-(bromomethyl)-4H-1,3-dioxin² (0.14 g, 0.77 mmol, 1.1 equiv) were dissolved in DMF (5 mL). The resulting reaction mixture was heated to 70 °C for 18 h, whereupon the reaction was cooled to ambient temperature, poured into H₂O (40 mL), and the aqueous layer was extracted with EtOAc (3 x 10 mL). The combined organics were washed with brine (10 mL), dried over Na₂SO₄, and concentrated under reduced pressure. The crude product was purified by silica gel flash column chromatography (25% EtOAc/hexanes) to give ester 1c as a pale vellow oil (0.13 g, 49%) yield): ¹H NMR (400 MHz, CDCl₃) δ 7.73 – 7.66 (m, 2H), 7.53 – 7.42 (m, 1H), 7.42 – 7.33 (m, 2H), 5.99 (ddt, J = 17.2, 10.4, 6.0 Hz, 1H), 5.49 – 5.28 (m, 2H), 5.05 – 4.93 (m, 2H), 4.78 (dt, J = 3.0, 1.5 Hz, 1H), 4.72 (ddt, J = 6.0, 1.7, 0.8 Hz, 2H), 4.20 (dq, J = 2.7, 1.2 Hz, 2H), 3.94 - 3.66 (m, 2H), 2.98 - 2.87 (m, 1H), 2.74 - 2.62 (m, 1H), 2.50 - 2.33 (m, 1H), 2.14 - 1.92 (m, 3H); ¹³C NMR (101 MHz, CDCl₃) δ 175.1, 171.4, 171.3, 150.0, 135.9, 131.9. 131.5. 128.3. 128.1. 119.8. 101.7. 90.7. 66.9. 64.0. 55.3. 46.5. 39.3. 30.3. 20.6; IR (Neat Film, NaCl) 2934, 2856, 1732, 1678, 1448, 1371, 1272, 1231, 1170, 1145, 1090, 985, 939, 845, 721, 694, 647 cm⁻¹; HRMS (FAB+) m/z calc'd for C₂₁H₂₄O₆N [M+H]⁺: 386.1604, found 386.1619.

General Procedure for the Asymmetric Palladium-Catalyzed Allylic Alkylation



(S)-2-((4H-1,3-Dioxin-6-vl)methyl)-2-allylcyclohexan-1-one (2a). In a nitrogen-filled glove box, to a 1 dram vial equipped with a stir bar was added Pd₂(pmdba)₃ (11 mg, 0.01 mmol, 5 mol %), ligand (S)-L1 (13 mg, 0.025 mmol, 12.5 mol %), and THF (2 mL). The resulting mixture was stirred at 25 °C for 30 min, whereupon substrate 1a (56 mg, 0.20 mmol, 1 equiv) was added. The vial was sealed, removed from the glove box, and stirred at 25 °C. After 18 h, the reaction mixture was concentrated under reduced pressure and the crude product was purified by preparatory TLC (10% EtOAc/hexanes) to give allyl 2a as a colorless oil (43 mg, 91% yield): 83% ee; $[\alpha]_D^{25}$ -13.1 (c 1.9, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 5.66 (ddt, J = 16.5, 10.6, 7.3 Hz, 1H), 5.09 – 4.99 (m, 2H), 4.95 (d, J = 5.4 Hz, 1H), 4.88 (d, J = 5.4 Hz, 1H), 4.68 (t, J = 2.6 Hz, 1H), 4.26 - 4.11 (m, 2H), 2.58 - 2.45(m, 2H), 2.39 - 2.28 (m, 3H), 2.18 (d, J = 14.5 Hz, 1H), 1.84 (tdd, J = 6.7, 3.4, 1.6 Hz, 1H), 1.79 – 1.69 (m, 5H); ¹³C NMR (101 MHz, CDCl₃) δ 213.9, 151.5, 134.0, 118.4, 100.7, 90.4, 63.9, 51.0, 39.5, 39.4, 39.0, 36.8, 27.3, 21.0; IR (Neat Film, NaCl) 3391, 3074, 2924, 2854, 2795, 1705, 1681, 1638, 1445, 1372, 1311, 1196, 1172, 1124, 1093, 1021, 990, 911, 847, 755, 640 cm⁻¹; HRMS (FAB+) m/z calc'd for C₁₄H₁₉O₃ [M+H]–H₂: 235.1334, found 235.1341; HPLC conditions: 3% IPA, 1.0 mL/min, Chiralpak AD–H column, $\lambda = 210$ nm, $t_{\rm R}$ (min): major = 7.066, minor = 7.722.

Spectroscopic Data for the Asymmetric Palladium-Catalyzed Allylic Alkylation Products

<u>*Please note*</u> that the absolute configurations of 2a-c were determined by analogy.^{4,5} For respective HPLC conditions, please refer to Table S1.



(*S*)-2-((4*H*-1,3-Dioxin-6-yl)methyl)-2-allyl-3,4-dihydronaphthalen-1(2*H*)-one (2b). Product 2b was prepared according to the general procedure to give a colorless oil (52 mg, 91% yield): 82% ee; $[\alpha]_D^{25}$ +0.254 (*c* 2.0, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 8.05 (dd, *J* = 7.8, 1.4 Hz, 1H), 7.47 (td, *J* = 7.5, 1.5 Hz, 1H), 7.35 – 7.29 (m, 1H), 7.27 – 7.19 (m, 1H), 5.79 (dddd, *J* = 17.0, 10.3, 7.8, 7.0 Hz, 1H), 5.17 – 5.00 (m, 2H), 5.00 – 4.88 (m, 2H), 4.74 (t, *J* = 2.6 Hz, 1H), 4.21 (dt, *J* = 2.5, 1.2 Hz, 2H), 3.02 (dd, *J* = 7.2, 5.5 Hz, 2H), 2.69 (dq, *J* = 14.3, 1.3 Hz, 1H), 2.55 (ddt, *J* = 14.1, 7.0, 1.3 Hz, 1H), 2.34 – 2.27 (m, 1H), 2.27 – 2.21 (m, 1H), 2.11 (ddt, *J* = 6.0, 4.8, 3.2 Hz, 2H); ¹³C NMR (101 MHz, CDCl₃) δ 200.5, 151.5, 143.2, 133.9, 133.2, 132.0, 128.8, 128.2, 126.7, 118.7, 100.8, 90.4, 64.0, 47.8, 39.6, 38.8, 30.4, 25.3; IR (Neat Film, NaCl) 3072, 3004, 2929, 2859, 2794, 1677, 1600, 1454, 1432, 1371, 1289, 1225, 1193, 1172, 1093, 1023, 989, 918, 847, 742 cm⁻¹; HRMS (FAB+) *m/z* calc'd for C₁₈H₁₉O₃ [M+H]–H₂: 283.1334, found 283.1338; HPLC conditions: 4% IPA, 1.0 mL/min, Chiralpak IC column, λ = 210 nm, t_R (min): major = 29.702, minor = 23.387.



(*S*)-3-((4*H*-1,3-Dioxin-6-yl)methyl)-3-allyl-1-benzoylpiperidin-2-one (2c). Product 2c was prepared according to the general procedure (toluene used in place of THF, reaction mixture heated to 40 °C) to give a pale yellow oil (66 mg, 95% yield): 99% ee; $[\alpha]_D^{25}$ +11.4 (*c* 3.3, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 7.61 – 7.31 (m, 5H), 5.76 (dddd, *J* = 17.1, 10.3, 7.7, 6.9 Hz, 1H), 5.21 – 5.09 (m, 2H), 5.06 – 4.98 (m, 2H), 4.74 (td, *J* = 2.7, 0.8 Hz, 1H), 4.23 (ddd, *J* = 2.6, 1.7, 0.8 Hz, 2H), 3.91 – 3.67 (m, 2H), 2.76 (dtd, *J* = 14.3, 1.7, 0.9 Hz, 1H), 2.59 (ddt, *J* = 13.8, 6.9, 1.3 Hz, 1H), 2.31 (ddt, *J* = 13.8, 7.7, 1.1 Hz, 1H), 2.15 – 2.09 (m, 1H), 2.04 – 1.95 (m, 3H), 1.93 – 1.83 (m, 1H); ¹³C NMR (101 MHz, CDCl₃) δ 177.1, 175.6, 151.2, 136.7, 133.3, 131.5, 128.2, 127.8, 119.6, 101.2, 90.6, 64.1, 47.0 (2C), 43.5, 41.7, 30.0, 20.0; IR (Neat Film, NaCl) 3346, 3072, 2945, 2868, 2795, 1678, 1600, 1477, 1449, 1386, 1372, 1286, 1150, 1172, 1092, 1023, 990, 919, 846, 792, 725, 696 cm⁻¹; HRMS (FAB+) *m/z* calc'd for C₂₀H₂₄NO₄ [M+H]⁺: 342.1705, found 342.1714; SFC

conditions: 5% IPA, 2.5 mL/min, Chiralpak OJ-H column, $\lambda = 210$ nm, t_R (min): major = 11.165, minor = 10.427.

Determination of Enantiomeric Excess

<u>Please note</u> racemic products were synthesized using $Pd(PPh_3)_4$ in place of $Pd_2(pmdba)_3$ and (S)-L1.

Entry	Product	Assay Conditions	Retention time of major isomer (min)	Retention time of minor isomer (min)	%ee
1		HPLC Chiralpak AD-H 3% IPA isocratic, 1 mL/min	7.066	7.722	83
2		HPLC Chiralpak IC 4% IPA isocratic, 1 mL/min	29.702	23.387	82
3	BzN 0 0	SFC Chiralpak OJ-H 5% IPA isocratic, 2.5 mL/min	11.165	10.427	99

Table S1: Determination of Enantiomeric Excess

General Procedure for Spirocyclic Formation

(S)-Spiro[5.5]undec-9-ene-1,8-dione (3a). To a sealed Biotage microwave vial, allyl 2a (24 mg, 0.10 mmol, 1 equiv) and toluene (0.7 mL) were added. The reaction mixture was heated to 180 °C for 1 h, whereupon the reaction was cooled to 60 °C and a solution of Hoveyda-Grubbs 2^{nd} generation catalyst (6.3 mg, 0.010 mmol, 0.10 equiv) in toluene (0.3 mL) was added. The reaction mixture was then stirred an additional 18 h at 60 °C, cooled to ambient temperature, and directly purified by preparatory TLC (15% EtOAc/hexanes)

to give spirocycle **3a** as a colorless oil (17 mg, 93% yield): $[\alpha]_D^{25}$ –1.4 (*c* 1.1, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 6.81 (dt, *J* = 10.1, 4.1 Hz, 1H), 5.99 (dt, *J* = 10.0, 2.1 Hz, 1H), 2.84 (ddt, *J* = 18.8, 4.4, 1.4 Hz, 1H), 2.80 – 2.71 (m, 1H), 2.47 – 2.32 (m, 4H), 1.95 – 1.73 (m, 6H); ¹³C NMR (101 MHz, CDCl₃) δ 212.3, 197.4, 146.0, 129.5, 51.5, 45.7, 38.2, 38.1, 33.7, 27.7, 20.8; IR (Neat Film, NaCl) 3037, 2936, 2865, 1705, 1678, 1446, 1424, 1387, 1252, 1135, 736 cm⁻¹; HRMS (FAB+) *m/z* calc'd for C₁₁H₁₅O₂ [M+H]⁺: 179.1072, found 179.1078.

Spectroscopic Data for Spirocyclic Compounds



(*S*)-3',4'-dihydro-1'*H*-spiro[cyclohexane-1,2'-naphthalen]-3-ene-1',5-dione (3b). Product 3b was prepared according to the general procedure to give a colorless oil (18 mg, 77% yield) after preparatory TLC (15% EtOAc/hexanes): $[\alpha]_D^{2^5}$ -1.5 (*c* 1.2, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 8.01 (dd, *J* = 7.8, 1.4 Hz, 1H), 7.50 (td, *J* = 7.5, 1.5 Hz, 1H), 7.40 – 7.30 (m, 1H), 7.26 – 7.20 (m, 1H), 6.91 – 6.77 (m, 1H), 6.10 (dt, *J* = 10.3, 2.1 Hz, 1H), 3.09 – 2.89 (m, 3H), 2.82 (d, *J* = 16.2 Hz, 1H), 2.50 – 2.38 (m, 2H), 2.27 – 2.02 (m, 2H); ¹³C NMR (101 MHz, CDCl₃) δ 199.2, 197.4, 145.6, 142.7, 133.9, 130.8, 129.5, 128.9, 128.5, 127.2, 47.7, 44.6, 32.5, 32.3, 25.0; IR (Neat Film, NaCl) 3034, 2926, 2858, 1683, 1601, 1455, 1388, 1250, 1224, 1157, 946, 750 cm⁻¹; HRMS (FAB+) *m/z* calc'd for C₁₅H₁₅O₂ [M+H]⁺: 227.1072, found 227.1074.



(*S*)-2-benzoyl-2-azaspiro[5.5]undec-9-ene-1,8-dione (3c). Product 3c was prepared according to the general procedure to give a colorless oil (15 mg, 53% yield) after preparatory TLC (40% EtOAc/hexanes): $[\alpha]_D^{25}$ +29.7 (*c* 0.9, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 7.54 – 7.32 (m, 5H), 6.87 (ddd, *J* = 10.2, 5.0, 3.3 Hz, 1H), 6.06 (dt, *J* = 10.1, 2.1 Hz, 1H), 3.91 – 3.74 (m, 2H), 3.09 (dt, *J* = 18.9, 3.0 Hz, 1H), 2.96 (d, *J* = 16.1 Hz, 1H), 2.59 (dd, *J* = 16.2, 1.3 Hz, 1H), 2.49 (ddt, *J* = 18.9, 5.0, 1.6 Hz, 1H), 2.09 – 1.95 (m, 4H); ¹³C NMR (101 MHz, CDCl₃) δ 196.4, 177.0, 175.1, 145.8, 135.8, 131.9, 129.2, 128.5, 127.6, 47.1, 47.0, 46.4, 34.8, 32.5, 19.3; IR (Neat Film, NaCl) 2949, 1679, 1449, 1388, 1281, 1151, 919, 729, 694, 665 cm⁻¹; HRMS (FAB+) *m/z* calc'd for C₁₇H₁₈O₃N [M+H]⁺: 284.1287, found 284.1277.

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S10







Infrared spectrum (Thin Film, NaCl) of compound 1b.



¹³C NMR (101 MHz, CDCl₃) of compound **1b**.







Infrared spectrum (Thin Film, NaCl) of compound 1c.



 ^{13}C NMR (101 MHz, CDCl₃) of compound 1c.









 $^1\mathrm{H}$ NMR (400 MHz, CDCl₃) of compound 2b.





Infrared spectrum (Thin Film, NaCl) of compound 2b.



¹³C NMR (101 MHz, CDCl₃) of compound **2b**.







Infrared spectrum (Thin Film, NaCl) of compound 2c.



 ^{13}C NMR (101 MHz, CDCl₃) of compound 2c.







Infrared spectrum (Thin Film, NaCl) of compound 3a.











Infrared spectrum (Thin Film, NaCl) of compound **3b**.



¹³C NMR (101 MHz, CDCl₃) of compound **3b**.





¹H NMR (400 MHz, CDCl₃) of compound 3c.



Infrared spectrum (Thin Film, NaCl) of compound 3c.



 ^{13}C NMR (101 MHz, CDCl₃) of compound 3c.