

## 1                   **Connections between human gut microbiome and gestational diabetes mellitus**

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## Abstract

### Background

The human gut microbiome can modulate metabolic health and affect insulin resistance, and may play an important role in the etiology of gestational diabetes mellitus (GDM). Here, we compared the gut microbial composition of 43 GDM patients and 81 healthy pregnant women via whole-metagenome shotgun sequencing of their fecal samples collected at 21-29 weeks, to explore associations between GDM and the composition of microbial taxonomic units and functional genes.

### Results

Metagenome-wide association study (MGWAS) identified 154,837 genes, which clustered into 129 metagenome linkage groups (MLGs) for species description, with significant relative abundance differences between the two cohorts. *Parabacteroides distasonis*, *Klebsiella variicola*, etc., were enriched in GDM patients, whereas *Methanobrevibacter smithii*, *Alistipes* spp., *Bifidobacterium* spp. and *Eubacterium* spp. were enriched in controls. **The ratios of the gross abundances of GDM-enriched MLGs to control-enriched MLGs were positively correlated with blood glucose levels. Random Forest model shows fecal MLGs have excellent discriminatory power to predict GDM status.**

### Conclusions

Our study discovered novel relationships between gut microbiome and GDM status, and suggested that changes in microbial composition may potentially be used to identify individuals at risk for GDM.

1 **Background**

2 The increasing prevalence of gestational diabetes mellitus (GDM), and its subsequent health  
3 outcomes, are a significant public health concern and a major challenge for obstetric practice [1].  
4 GDM represents a heterogeneous group of metabolic disorders [2] which affects 3-14% of  
5 pregnancies, and 20-50% of these affected women are expected to develop type 2 diabetes (T2D)  
6 within 5 years [3, 4]. Emerging evidence has revealed a link between the gut microbiome and  
7 human metabolic health including T2D [5, 6], leading us to hypothesize that the gut microbiome  
8 may impact gestational metabolism and development of GDM.

9 Microbial dysbiosis in the human gut may be an important environmental risk factor for  
10 abnormal host metabolism, as recently exemplified in studies of obesity and T2D (reviewed by  
11 Karlsson, et. al) [7]. A study using an experimental animal model revealed that reduced numbers  
12 of *Bifidobacteria* led to enhanced endogenous lipopolysaccharide production, endotoxemia, and  
13 associated obesity and insulin resistance [8]. In humans, excessive weight gain and obesity in  
14 pregnancy resulted in deteriorated glucose tolerance and increased risk of GDM [9, 10]. *Prevotella*  
15 *copri* and *Bacteroides vulgatus* have been identified as the main species driving the association  
16 between biosynthesis of branched-chain amino acids, insulin resistance, and glucose intolerance  
17 [11]. *Bacteroides* spp. and *Staphylococcus aureus* are significantly more abundant in overweight  
18 women than in normal-weight women [12].

19 While the majority of previous studies have focused on associations between intestinal  
20 microbiota and obese states or T2D [6, 13-15], some recent studies have sought to characterize  
21 microbiota changes during pregnancy, with the goal of providing novel insights into the  
22 relationship between microbiota changes during pregnancy and potential metabolic consequences  
23 [16]. Studies based on sequencing of 16S ribosomal RNA have revealed novel relationships  
24 between gut microbiome composition and the metabolic hormonal environment in overweight and  
25 obese pregnant women in early gestation [17]. Koren et al. found that maternal gut microbiota  
26 changed from first to third trimesters, with a decline in butyrate-producing bacteria and increased  
27 *Bifidobacteria*, *Proteobacteria*, and lactic-acid producing bacteria [16]. Further, transplants of  
28 fecal material obtained during different trimesters were sufficient to confer different phenotypes in  
29 mouse models, with third-trimester fecal transplants leading to increased adiposity and  
30 inflammation [16]. These studies suggest that pregnancy is associated with major shifts in the gut

1 microbiome which may play an important role in observed increases in gestational inflammation,  
2 thereby potentially contributing to development of GDM. However, studies focusing on changes  
3 in the gut microbiome during pregnancy and development of GDM have not been reported so far.

4 Metagenomic shotgun sequencing, in which the full complement of genes present in the  
5 microbiome are sequenced, can furnish information about the relative abundance of genes in  
6 functional pathways and at all taxonomical levels [18]. In this study, we used whole-metagenome  
7 shotgun sequencing analyses of the gut microbiome during pregnancy to explore associations  
8 between GDM and the composition and abundance of microbial taxonomic units and functional  
9 genes. The objective was to obtain a comprehensive understanding of the connections between gut  
10 microbiome and the development of GDM.

## 11 **Data description**

12 **Whole-metagenome shotgun sequencing was used to test gut microbial composition in fecal**  
13 **samples from 43 GDM patients and 81 healthy pregnant women based on the Illumina HiSeq2000**  
14 **platform in BGI-Shenzhen, China.** We constructed a paired-end library with insert size of 350 base  
15 pairs (bp) for every sample, and sequenced with 100bp read length from each end. Sequencing  
16 reads for fecal samples were independently processed for quality control and host sequences  
17 removal based on an in-house pipeline (see Methods), and a total of 795 Gbp high quality  
18 metagenomic data (average per sample, 6.4 Gbp) were generated for further analysis. We  
19 performed *de novo* assembly and gene calling for data of each sample and constructed a  
20 non-redundant gene catalogue of all pregnant women fecal samples containing 4,344,984 genes.  
21 This gene catalogue provided a suitable reference for metagenomic gene quantification, microbial  
22 diversity analysis, and metagenome-wide association study for the pregnant women fecal samples.

## 23 **Results**

### 24 **Comparison of the gut microbiota between GDM patients and healthy pregnant women**

25 First, we explored potential differences in the gut microbiome between 43 GDM patients and 81  
26 healthy pregnant women. We obtained 795.3 Gb of high-quality data ( $6.4 \pm 1.3$  Gb per sample) via  
27 metagenomic shotgun sequencing of their fecal samples to perform this analysis. We aligned the  
28 sequencing reads (43.8%) against available microbial genomes from the National Center for  
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1 Biotechnology Information and generated taxonomic composition for all samples at the taxonomic  
2 levels of phylum, class, order, family, genus and species. Multivariate analysis based on  
3 Bray–Curtis distances between microbial genera revealed significant differences between GDM  
4 patients and healthy controls (Figure 1a). We then performed the Mann–Whitney U test to identify  
5 phylogenetic differences between GDM patients and healthy controls. Abundance at the phylum  
6 and class levels was similar between GDM patients and healthy controls; however, the order  
7 *Clostridiales* and the families *Enterobacteriaceae* and *Coriobacteriaceae* were enriched in healthy  
8 controls. At the genus level, GDM patients had a significantly higher abundance of  
9 *Parabacteroides*, *Megamonas* and *Phascolarctobacterium*, while healthy controls were  
10 significantly enriched for *Ruminiclostridium*, *Roseburia*, *Eggerthella*, *Fusobacterium*,  
11 *Haemophilus*, *Mitsukella*, and *Aggregatibacter* (Figure 1b). We also found a number of bacterial  
12 species that differed significantly between GDM patients and healthy controls, consistent with the  
13 genus level observations (Table S2). These findings suggest dysbiosis of the gut microbiota among  
14 GDM patients.

#### 15 16 **Identification of GDM-associated markers from gut microbiome**

17 To explore detailed signatures of the gut microbiome in GDM patients and healthy controls, we  
18 constructed a non-redundant gene catalogue consisting of 4.34 million genes, which allowed an  
19 average reads mapping rate of 79.5% for sequenced samples. We identified 154,837 genes that  
20 displayed significant abundance differences between the two groups (Mann-Whitney U test,  
21  $q < 0.05$ ) (Figure S1 shows the P-value distribution between GDM patients and healthy pregnant  
22 women for all genes tested). About 68% of these genes were clustered into 129 metagenomic  
23 linkage groups (MLGs) (Table S3), which allowed species level description for the microbiome  
24 differences. The 71 MLGs enriched in GDM patients included *Parabacteroides distasonis*,  
25 *Klebsiella variicola*, *Catenibacterium mitsuokai*, *Coprococcus comes* and *Citrobacter spp.*,  
26 whereas the 58 MLGs enriched in healthy pregnant women included *Methanobrevibacter smithii*,  
27 *Alistipes spp.* (*A. shahii*, *A. senegalensis*), *Bifidobacterium spp.* (*B. animalis*, *B.*  
28 *pseudocatenulatum*) and *Eubacterium spp.* (*E. siraeum*, *E. eligens*). The GDM-enriched and  
29 control enriched MLGs were highly positively interconnected within each group; however, only  
30 few negative connections were found between the two groups (Figure 2). Notably, GDM-enriched

1 MLGs of *Enterobacteriaceae*, including *K. variicola*, *E. coli*, *Enterobacter cloacae* and  
2 *Citrobacter spp.*, were closely linked (correlation coefficients >0.40 between each other),  
3 representing a cooperative promoting function of *Enterobacteriaceae* to GDM development. Of  
4 particular interest, we also observed that the relative abundance of *Enterobacteriaceae* was  
5 positively associated with **pre-pregnancy** body mass index (PBMI, Figure S2).

### 7 **Correlations between maternal blood glucose levels and gut microbiota**

8 In order to explore the potential clinical paths by which changes in the microbiome might lead to  
9 GDM, we investigated whether the MLGs can affect blood glucose tolerance. **The ratios of the**  
10 **gross abundances of GDM-enriched MLGs to those of control-enriched MLGs were obviously**  
11 **positively correlated with blood glucose levels during the second trimester of pregnancy (Figure**  
12 **3), indicating that dysbiosis of the microbiome has a significant relationship with GDM status.**  
13 **Several GDM-enriched MLGs [e.g. GDM67, GDM64, *P. distasonis* (GDM1), *K. variicola***  
14 **(GMD41) and *E. rectale* (GDM34)] were positively correlated with blood glucose levels, while**  
15 **most control-enriched MLGs were negatively correlated with blood glucose levels (Figure 4a). At**  
16 **the species level, *Eggerthella spp.*, *Megamonas spp.*, *Allofustis seminis* and several species in**  
17 ***Lachnospiraceae* and *Parabacteroides* were positively correlated with glucose tolerance, while**  
18 **several *Alistipes spp.* were negatively correlated with glucose tolerance (Figure 4b).**

### 20 **Functional characterization of gut microbiota in GDM**

21 Next, we utilized KEGG pathway comparisons to explore potential differences in the functional  
22 composition of the microbiome of GDM patients vs. controls. Although the functional  
23 composition of GDM patients and **controls** were highly similar (Figure 5a), the microbiome of  
24 GDM patients showed a greater abundance in pathways of membrane transport and energy  
25 metabolism, while the microbiome of **controls had higher** abundance in amino acid metabolic  
26 pathways. We also found that the KEGG modules, including the phosphotransferase system (PTS)  
27 and lipopolysaccharide (LPS) biosynthesis and export systems, were associated with glucose  
28 tolerance levels (Figure 5b).

### 30 **Gut microbiota-based prediction of GDM**

1 Finally, we utilized random forest models to assess the predictive ability of MLGs and species  
2 abundance profiles for GDM status. We found that certain 20 MLGs provided the best  
3 discriminatory power, as indicated by the area under the ROC curve (AUC) 0.91 (95% CI  
4 0.87-0.96), which was higher than that achieved using species profiles with this model (the best  
5 AUC was 0.80; 95% CI 0.73-0.86) using 40 species (Figure 6a). The increased AUC for the  
6 MLG-based model may be due to the fact that MLGs furnish taxonomic and functional  
7 information for unknown or unanalyzable species. Bacterial species providing the highest  
8 discriminatory power were primarily members of the *Bacteroides* or *Parabacteroides* genera  
9 (Figure 6b-c), consistent with our observation that *Parabacteroides* is the predominant genus  
10 accounting for differences in the gut microbiome between GDM patients and controls (Figure 1b).  
11 Although PBMI is a predictor of GDM, it did not substantially improve the performance of MLGs.  
12 (Figure 6d and Figure S3).

## 13 14 **Discussion**

15 In the present metagenomics study, we observed associations between gut microbiome and  
16 GDM status. Specifically, *Parabacteroides distasonis*, *Klebsiella variicola*, etc. were enriched in  
17 GDM patients, whereas *Methanobrevibacter smithii*, *Alistipes* spp., *Bifidobacterium* spp. and  
18 *Eubacterium* spp. were enriched in controls. The distribution of MLGs in GDM patients differed  
19 from that in the control group. Functional analysis showed a greater abundance of membrane  
20 transport, energy metabolism pathways, lipopolysaccharide and phosphotransferase systems in the  
21 microbiome of GDM patients, while the microbiome of controls was enriched in the amino acid  
22 metabolic pathways (Figure 7). To our knowledge, this is the first metagenomics study exploring  
23 roles of microbiota in the development of GDM.

24 Previous studies have shown the GDM-enriched bacteria that observed in our study are  
25 involved in gut flora dysbiosis. For example, GDM-enriched *Bacteroides* spp. and  
26 *Parabacteroides distasonis* are considered to be opportunistic pathogens in infectious diseases,  
27 with potential for developing antimicrobial drug resistance [19]. The family *Enterobacteriaceae*  
28 also occurred with a higher relative abundance in GDM patients than in healthy controls, which  
29 indicates a status of gut flora dysbiosis that may lead to a series of chronic diseases, such as colitis  
30 [20], Crohn's disease and acute cholecystitis [21]. Previous studies have shown that

1 *Enterobacteriaceae* instigate inflammation to induce colitis [20], and the endotoxin-producing  
2 bacterium *Enterobacter* contributed to the development of obesity in gnotobiotic mice [22].

3 The decreased microbes in GDM patients included *Bifidobacterium* spp. (including *B.*  
4 *pseudocatenulatum*, *B. animalis* and one unclassified MLG), *Eubacterium* spp. (*E. siraeum*, *E.*  
5 *elogens* and two unclassified *Eubacterium* MLGs) and *Roseburia* spp. (Tables S2 and S3). Similar  
6 findings were reported in previous studies on a variety of chronic diseases, including type 2  
7 diabetes [23], liver cirrhosis [24], Crohn's disease [25] and ulcerative colitis [26]. These bacteria  
8 can produce lactate or butyrate, which could regulate gut permeability and induce the gut  
9 inflammatory response that precedes the development of diabetes [27, 28].

10 Our data demonstrated the ratio of gross abundances of the GDM-enriched to control-enriched  
11 MLGs was positively correlated with blood glucose tolerance levels, suggesting that microbiome  
12 dysbiosis might have a direct association with GDM pathophysiology. Functional analysis showed  
13 that the LPS biosynthesis and export systems were involved in regulation of glucose levels.  
14 Previous studies have shown that the higher systemic LPS levels were associated with low-grade  
15 chronic inflammation in obesity, metabolic syndrome and type 2 diabetes [8, 29, 30]. Based on  
16 current knowledge, the possible pathways linking LPS levels to glucose metabolism may include  
17 the increases in intestinal permeability, the changes in the relative amounts of gram negative vs.  
18 gram positive bacteria and a low-grade chronic inflammatory state. LPS is a bacterial cell wall  
19 component in gram-negative bacteria and can stimulate an inflammatory response [31, 32]. Gut  
20 microbiome dysbiosis can facilitate LPS entry into the systemic circulation through increasing gut  
21 permeability, which leads to inflammation and metabolic dysfunction [33]. Our results were  
22 concordant with a previous report [23] which found that gut microbiota dysbiosis in type 2  
23 diabetes was characterized by a decrease in gram-positive butyrate producing *Clostridium* species  
24 that lack LPS and an increase in gram-negative opportunistic pathogens including some  
25 *Bacteroidetes* and *Proteobacteria* species that contain LPS. The functional analysis in the present  
26 study found that membrane transport, energy metabolic and PTS pathways were enriched in the  
27 GDM patients. PTS pathways are responsible for transporting glucose through outer and inner  
28 membranes and catalyzing the uptake of carbohydrates. The increased relative abundance of these  
29 pathways may indicate gut environment of a GDM status may stimulate bacterial accelerated  
30 usage of glucose as energy.



1 There were several limitations in our study. First, the sample size is relatively small. Second, we  
2 only analyzed one stool sample per participant, which was collected in the second trimester of  
3 pregnancy. It is well known that immune and metabolic changes occur throughout pregnancy, and  
4 that the gut microbiota shifts from first to third trimesters [16]. In the present study, we are unable  
5 to clarify the causal relationship between the microbiome and the development of GDM due to the  
6 cross-sectional design. Consequently, data at multiple time points are needed to provide further  
7 insights into their dynamic relationship. Third, we did not have information on several factors  
8 such as life style and diet may further affect both blood glucose levels and gut microbiota  
9 composition. In order to more confirm the associations observed in the current study, a large  
10 prospective cohort investigation, with analysis of other potentially significant variables, will be  
11 necessary.

12 In summary, this is the first study to demonstrate an association between the gut microbiota  
13 dysbiosis, functional changes and GDM. Our findings contribute to the understanding of GDM  
14 pathophysiology and may have important implications for identifying patients at risk for  
15 development of GDM.

## 17 **Methods**

### 18 **Study population and sampling**

19 As part of the Born in Guangzhou Cohort Study (BIGCS) [34], fecal samples were obtained from  
20 298 pregnant women during their second trimester in Guangzhou Women and Children's Medical  
21 Center (GWCMC) between 1st August, 2012 and 31st Aug, 2013. The inclusion criteria of current  
22 study was as follows: 1) without diseases which might affect glucose metabolism or microbiome  
23 composition such as pre-pregnancy diabetes, hypertension, thyroid disorders, asthma, lipid  
24 metabolic disorders, inflammatory bowel disease, irritable bowel syndrome and celiac disease; 2)  
25 had not received any antibiotic treatment 1 month before sample collection; 3) had not taken  
26 probiotics 2 weeks before sample collection. Of the 287 eligible women, 43 had a diagnosis of  
27 GDM and were included in the present study as the case group, and 81 women of non-GDM were  
28 randomly selected as the control group. Basic characteristics of the 124 pregnant women included  
29 in the study are summarized in Table S1. Fecal samples were frozen at -20°C freezers immediately  
30 (within 30 minutes) and transferred to -80 °C freezers within 24 hours after collected.

1 This study received approval from the Ethics Committee of GWCMC, and written informed  
2 consent was obtained from all participating pregnant women. Participants underwent a standard 2h  
3 75g oral glucose tolerance test (OGTT) between 21–29 weeks' gestation by collection of 2ml  
4 blood samples fasting, 1h, and 2h after a 75g glucose load, using NaF/EDTA tubes. After  
5 centrifugation, plasma glucose was measured by a hexokinase method using Beckman Coulter  
6 AU5800 automatic analyzer (Beckman Coulter, California, US). The laboratory previously  
7 achieved ISO15189 certification by China National Accreditation Service for Conformity  
8 Assessment. GDM was defined using the Chinese diagnostic criteria [35], which is in agreement  
9 with the one-step approach endorsed by the American Diabetes Association [36]. Pregnant women  
10 were diagnosed as having GDM if one or more of the following glucose levels were elevated:  
11 fasting  $\geq 5.1$  mmol/L, 1h  $\geq 10.0$  mmol/L, and 2h  $\geq 8.5$  mmol/L [35]. None of these women was  
12 treated with insulin or glyburide. Maternal age, pre-pregnancy weight and height were extracted  
13 from clinical records of the Hospital Information Systems (HIS) used in GWCMC. Pre-pregnancy  
14 body mass index (PBMI) was calculated from height and weight information.

#### 15 16 **DNA extraction and metagenomic sequencing**

17 Total bacterial DNA was extracted from about 180-200 mg of feces using Qiagen QIAamp DNA  
18 Stool Mini Kit (Qiagen) following the manufacturer's instructions [37]. Extracted DNA of each  
19 sample was kept frozen at  $-20^{\circ}\text{C}$  until used. Illumina HiSeq 2000 was used to sequence the  
20 samples. We constructed a paired-end library with insert size of 350 base pairs (bp) for every  
21 sample, and sequenced with 100bp read length from each end. Illumina sequencing reads for fecal  
22 samples from pregnant women were independently processed for quality control using FASTAX  
23 Toolkit ([http://hannonlab.cshl.edu/fastx\\_toolkit/](http://hannonlab.cshl.edu/fastx_toolkit/)). The following criteria were used for quality  
24 control: (1) reads were removed if they contain more than 3 N bases or more than 50 bases with  
25 low quality ( $<Q20$ ); (2) reads were trimmed in the end with low quality ( $<Q20$ ) or assigned as N.  
26 The remaining reads were then mapped to the human genome using SOAPalinger2 [38] to remove  
27 contaminating human DNA. After QC, an average of 1.9% of low-quality or human genome reads  
28 were removed for the 124 samples.

#### 29 ***De novo* assembly, gene calling and gene catalogue construction**

30 To determine the best assembling method for the obtained high-quality Illumina sequencing reads,

1 we compared the performance of two assemblers, SOAPdenovo v2.04 (as previously used in the  
2 MetaHIT and IGC projects) [39, 40] and IDBA-UD v1.1.1 (a *de novo* assembler for metagenomic  
3 sequences) [41]. For the SOAPdenovo, we tested the k-mer length ranging from 23bp to 123bp by  
4 10bp step for each sample, and selected the assembled contig set with longest N50 length. For the  
5 IDBA-UD, parameters “--mink 21 --maxk 81 --step 20 --pre\_correction” were used. For most  
6 samples, IDBA-UD obtained a better assembled contig set than SOAPdenovo. This could be  
7 attributable to the relative efficiency of IDBA-UD in assembling bacterial genomes within regions  
8 of highly uneven depth in metagenomic samples. As a result, we obtained an average  $197.9 \pm 50.3$   
9 Mbp (mean  $\pm$  SD) contig sets for each pregnant women sample, with N50 length  $8.8 \pm 3.9$  kbp.  
10 Unassembled reads from these samples were pooled and re-assembled by using IDBA-UD for  
11 further analysis.

12 Genes were predicted by MetaGeneMark [42] based on parameter exploration by the MOCAT  
13 pipeline [43]. A non-redundant gene catalogue of pregnant women samples was constructed using  
14 CD-HIT [44], through which, genes with >90% overlap and >95% nucleic acid similarity (no gap  
15 allowed) were removed as redundancies. A pregnant women gene catalogue containing 4,344,984  
16 non-redundant genes was generated for fecal samples collected from these 124 pregnant women.  
17 This gene catalogue was further combined with the previous integrated gene catalogue (IGC) [40]  
18 by removing redundancies (2,621,398 genes) in the same manner as above. In the end, 39.6%  
19 (1,723,586) of the genes in the pregnant women gene catalogue were identified as novel.

## 20 21 **Quantification of metagenomic genes**

22 The abundance of genes in the combined non-redundant gene catalogue (combining the pregnant  
23 women gene catalogue and IGC) was quantified as relative abundance of reads. First, high-quality  
24 reads from each sample were aligned against the gene catalogue using SOAP2.21 [38], with  
25 thresholds that allowed a maximum of two mismatches in the initial 32bp seed sequence and 90%  
26 similarity over the whole reads. Only two types of alignments were accepted: (1) the entire  
27 paired-end read can be mapped onto a gene with the correct insert-size; (2) one end of the  
28 paired-end read can be mapped onto the end of a gene, only if the other end of read was mapped  
29 outside the genic region. The relative abundance of a gene in a sample was estimated by dividing  
30 the number of reads that uniquely mapped to that gene by the length of the gene region and by the

1 total number of reads from the sample that uniquely mapped to any gene in the catalogue. The  
2 resulting set of gene relative abundances of a sample was its gene profile.

#### 3 4 **Richness**

5 We used the gene count and Shannon index to represent the richness and evenness of the gut  
6 microbiota for each sample. As defined previously [5], the gene counts of a metagenomic sample  
7 were calculated based on their reads mapping number on the non-redundant gene catalogue. To  
8 eliminate the influence of sequencing depth fluctuation, an equal number of 11 million reads for  
9 all samples were randomly extracted for mapping, and then, the mean number of genes over 30  
10 random drawings was generated. The Shannon index (within sample diversity) was calculated as  
11 previously described [23].

#### 12 13 **Taxonomical and functional analyses**

14 **Taxonomical classification of genes.** Reference microbial genomes were downloaded from the  
15 NCBI-genome database (version May-2015), which included 8,953 bacterial/archaea genomes (of  
16 which, 2,785 genomes were complete and 6,168 were draft genomes), and 4,400 viral genomes.  
17 Genes from the non-redundant gene catalogue were aligned to reference genomes using BLASTN  
18 with parameters “-word\_size 16 -evalue 1e-10 -max\_target\_seqs 5000”. At least 70% alignment  
19 coverage of each gene was needed. Based on the parameter exploration of sequence similarity  
20 across phylogenetic ranks [45], we used 85% identity as the threshold for genus assignment, and  
21 65% for phylum assignment.

22 **Functional annotation of genes.** The Kyoto Encyclopedia of Genes and Genomes (KEGG  
23 orthologous, version Apr-2015) and evolutionary genealogy of genes: Non-supervised  
24 Orthologous Groups (eggNOG, v4) databases were used for functional annotation of genes.  
25 Translated amino acid sequences of genes were searched against these databases using USEARCH  
26 v8.0.1616 [46] (evalue < 1e-5, query\_cov > 0.70) with a minimum similarity of 30%. Each protein  
27 was assigned a KEGG orthologue (KO) or eggNOG orthologue group (OG) based on the best-hit  
28 gene in the database. Using this approach, 43.6% and 71.9% of the genes in the combined gene  
29 catalogue could be assigned a KO or OG, respectively. As a final step, the abundance profiles of  
30 KEGG and eggNOG were calculated by summing up the relative abundance of genes annotated to

1 a feature.

2

### 3 **Metagenome-wide association study (MGWAS)**

4 We used the MGWAS methodology to identify gene markers that showed significant abundance  
5 differences between the GDM and control individuals. The MGWAS was performed using  
6 methodology developed by Qin et al [23]. Briefly, gene relative abundance profiles were initially  
7 adjusted for population stratifications using the modified EIGENSTRAT method [47] that allows  
8 the use of covariance matrices estimated from abundance levels instead of genotypes. Then, a  
9 two-tailed Mann-Whitney U test was performed in the adjusted gene profiles, and the  
10 Benjamin-Hochberg procedure [48] was subsequently used to correct the p-values to generate the  
11 false discovery rate (FDR, known as “q-value”) for each gene.

12

### 13 **Metagenomic linkage group (MLG) analysis**

14 Co-abundance genes were clustered into MLGs based on the previously described methodology  
15 [23]. Taxonomic assignment and abundance profiling of the MLGs were performed according to  
16 the taxonomy and the relative abundance of their constituent genes as previously described [23].  
17 Briefly, assignment to species requires 90% of genes in an MLG to align with the species’ genome  
18 with 95% identity and 70% overlap of query. Assigning an MLG to a genus requires 80% of its  
19 genes to align with a genome with 85% identity in both DNA and protein sequences. MLGs were  
20 further interconnected according to Spearman’s correlation coefficient ( $\rho > 0.4$  or  $\rho < -0.4$ ) between  
21 their abundances in all GMD and control samples, and the co-occurrence network of MLGs was  
22 visualized by Cytoscape 3.0.2 [49]. The direction of enrichment was determined by the  
23 Mann-Whitney U test ( $p < 0.05$ ).

24

### 25 **Statistical analysis**

26 Statistical analysis was implemented using the R platform. Distance-based redundancy analysis  
27 (dbRDA) was performed using the “vegan” package [50] based on the Bray-Curtis distances on  
28 normalized taxa relative abundance matrices, then visualized using the “ggplot2” package.  
29 Permutational multivariate analysis of variance (PERMANOVA) was performed using the  
30 “vegan” package, and the permuted p-value was obtained by 10,000 permutations.

1 The Random Forest model has been shown [6] to be a suitable model for exploiting  
2 metagenomic data. Random Forest models were trained using the “randomForest” package  
3 (default parameters and 10,000 trees) to identify GDM status in a subset of GDM patients and  
4 **control group** by using the abundance profiles of species and MLGs. Performance of the  
5 predictive model was evaluated with cross-validation error. Variable importance by mean decrease  
6 in accuracy was calculated for the Random Forest models using the full set of species or MLGs.  
7 Based on the rank of variables by importance, concise models were constructed that contained  
8 only the most important variables.

9 Receiver operator characteristic (ROC) analysis was performed using the “pROC” package, we  
10 then computed the 95% confidence interval (CI) of the area under the ROC curve (AUC) with  
11 10,000 bootstrap replicates to assess the variability of the measure. Rarefaction analysis was  
12 performed to assess the gene richness of metagenomic samples, implemented by in-house Perl  
13 scripts.

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## Author contributions

XQ and HX designed the birth cohort on which this study was based. XQ and HX designed the study and directed its implementation. YK, MY, JH, JL\*, NC, WX, SS, LQ, YW, CH, QC, WL and YW were involved in study design and sample collection. YK, JL\* and SL analyzed the data and drafted the manuscript. XQ, HD, JL and CP revised the manuscript. All authors critically revised the manuscript, and approved the final version.

## Figure legends

### Figure 1 | Difference in microbial composition between GDM and healthy pregnant women.

(a) Distance-based redundancy analysis (dbRDA) based on Bray–Curtis distances between microbial genera, revealing a GDM dysbiosis which overlaps only in part with taxonomic composition in GDM patients and healthy controls. The first two principal components (PCs) and the ratio of variance contributed by them is shown. Lines connect samples in the same group, and colored circles cover the samples near the center of gravity for each group. Genus (blue square), as the main contributors, are plotted by their loading in the PCs. (b) Boxplot shows genera that differ significantly between GDM patients and healthy controls. Genera with  $q < 0.05$  (Mann-Whitney U test corrected by the Benjamini-Hochberg method) are shown. Red and green boxes represent GDM patients and healthy controls, respectively. Only the genera with average relative

1 abundances greater than 0.05% in all the samples are shown for clarity. The boxes represent the  
2 interquartile range (IQR) between first and third quartiles and the line inside represents the median.  
3 The whiskers denote the lowest and highest values within 1.5 times IQR from the first and third  
4 quartiles, respectively. The circles represent outliers beyond the whiskers.

6 **Figure 2 | Interconnection of GDM-associated MLGs.** A co-occurrence network deduced from  
7 GDM-enriched and control-enriched MLGs is shown. Nodes depict MLG's with their taxonomic  
8 assignment or ID shown. The size of each node indicates the number of genes within the MLG.  
9 Connecting lines represent Spearman correlation coefficient  $\rho > 0.40$  (gray line) or  $< -0.40$  (red  
10 line). Classified MLGs are colored (red: GDM-enriched; green: control-enriched) and grouped  
11 according to their taxonomic information. Only MLGs with  $> 100$  genes are shown for clarity of  
12 presentation and visualization, and the detailed information of all 129 MLGs are given in Table  
13 S2.

15 **Figure 3 | Association of gross abundance of GDM-enriched and control-enriched MLGs**  
16 **with blood glucose levels 0, 60, and 120 minutes after an oral glucose tolerance test.** Scatter  
17 plots of all samples (including GDM patients and healthy controls) are shown with lines indicating  
18 linear fit.

20 **Figure 4 | Correlation of blood glucose levels 0, 60, and 120 minutes after an oral glucose**  
21 **tolerance test (only OGTT results are shown, I do not see the results for HbA1C in this**  
22 **figure) with MLGs (a) and species (b).** Spearman's rank correlation coefficients and P-values for  
23 the correlations are shown. '+' denotes  $P < 0.05$ ; '++' denotes  $P < 0.01$ . Only MLGs or species with  
24 average relative abundances greater than 0.001% and correlated ( $P < 0.05$ ) with at least one index  
25 are shown for clarity.

27 **Figure 5 | Association of microbial genetic functional pathway composition in GDM patients**  
28 **and healthy pregnant women.** (a) Distributions of relative abundances of KEGG pathway  
29 categories in GDM patients and healthy controls. '\*' denotes  $q < 0.05$  (Mann-Whitney U test  
30 corrected by the Benjamini-Hochberg method) (b) Correlation of blood glucose levels 0, 60, and

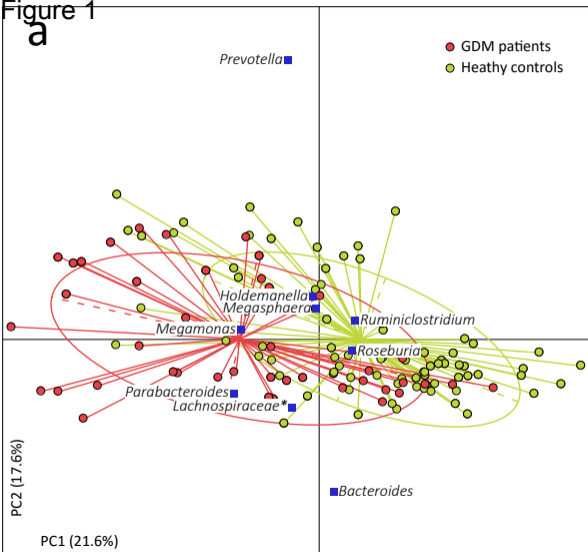
1 120 minutes after an oral glucose tolerance test, with PTS system and LPS biosynthesis and  
2 transport system. Spearman's rank correlation coefficients and P-values for the correlations are  
3 shown. '+' denotes  $P < 0.05$ ; '++' denotes  $P < 0.01$ .

4  
5 **Figure 6 | Classification of GDM status by the relative abundance of MLGs and species.** (a)  
6 Classification performance of a random forest model using MLG or species abundance assessed  
7 by AUC. The performance was explored for different numbers of explanatory variables, ordered in  
8 importance. (b-c) The 30 most discriminant MLGs (b) and species (c) in the models classifying  
9 GDM and controls. The bar lengths in b and c indicate the importance of the variable, and colors  
10 represent enrichment in GDM (red shades) or controls (blue shades). (d) ROC analysis for  
11 classification of GDM status by MLGs and PBMI.

12  
13 **Figure 7 | A schematic diagram showing the main bacteria and functions of the gut microbes**  
14 **that had a predicted GDM association.** Red and orange columns and text denotes enriched  
15 bacteria and their putative functions in GDM patients; green columns and text denotes depleted  
16 bacteria and their putative functions in GDM patients.

Figure 1

a



b

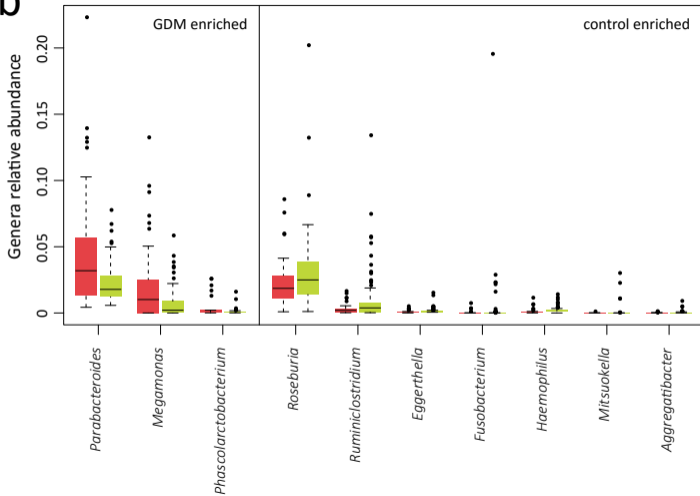
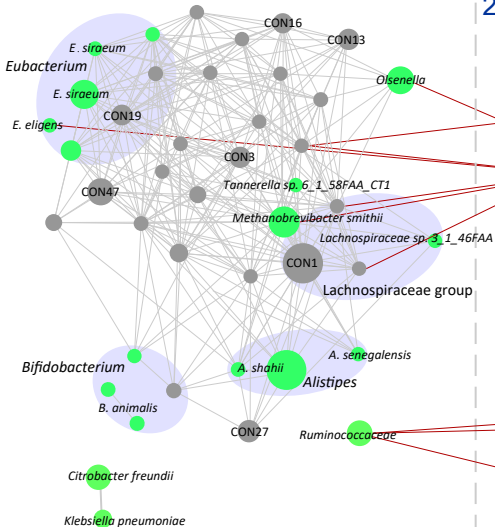
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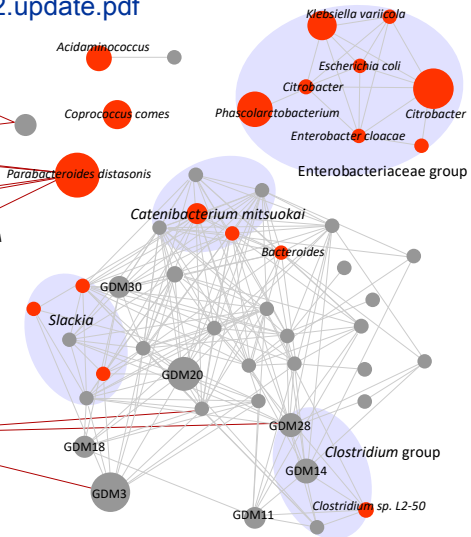
Figure 2

Control-enriched MLGs



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GDM-enriched MLGs



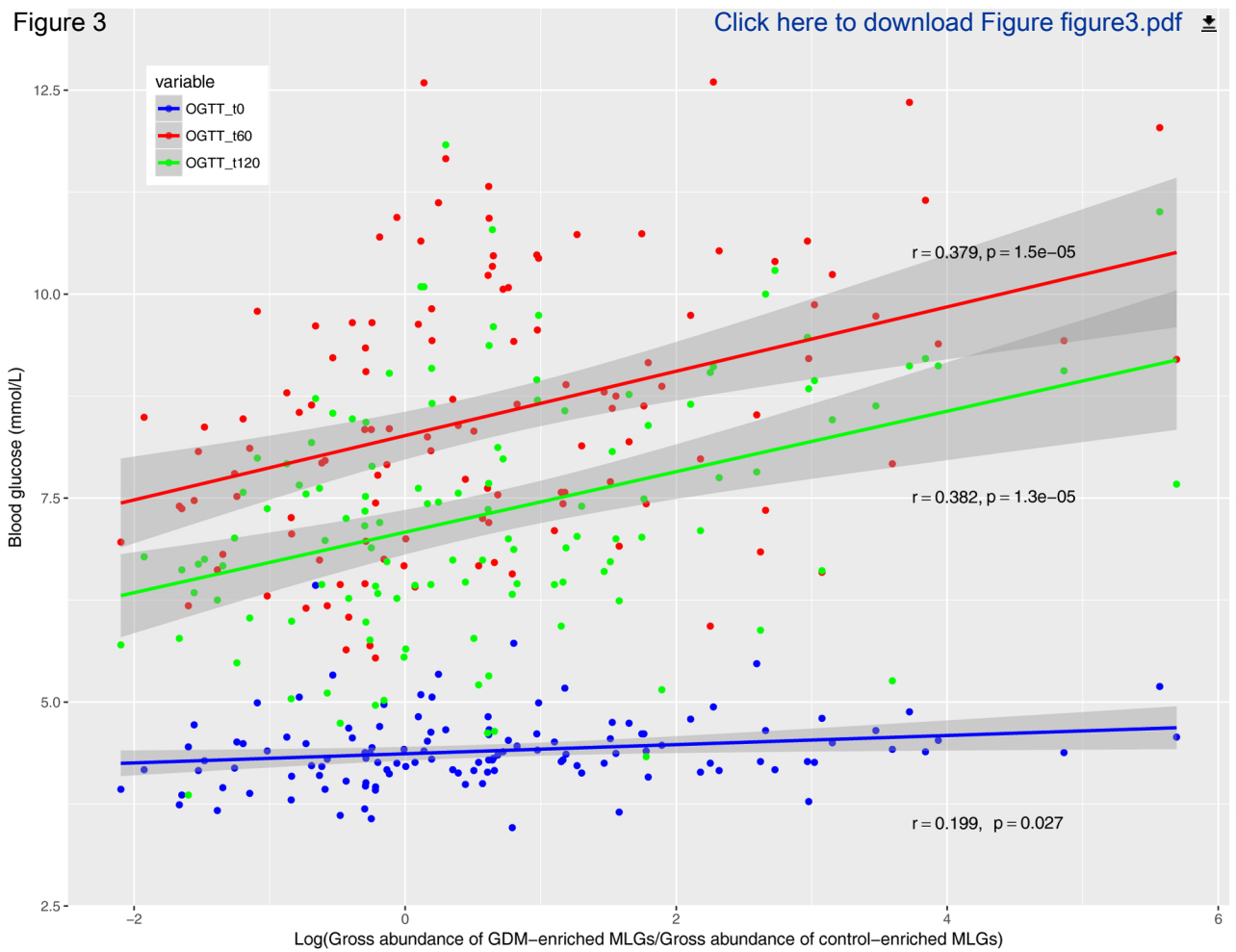
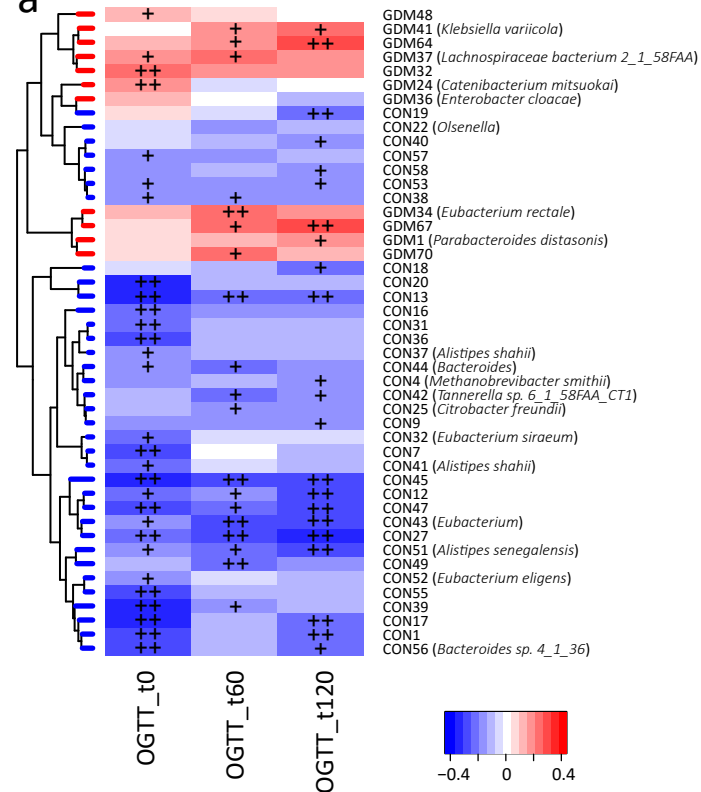


Figure 4



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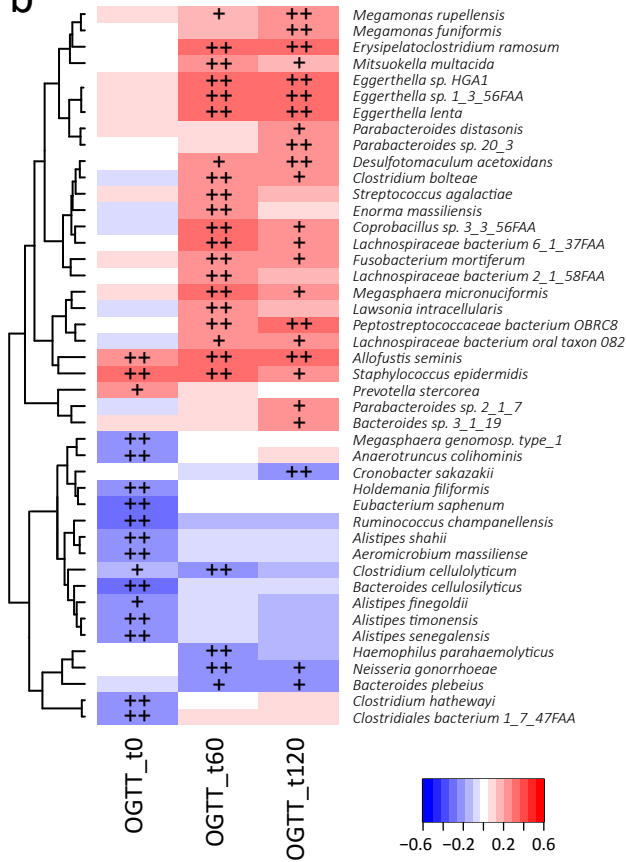
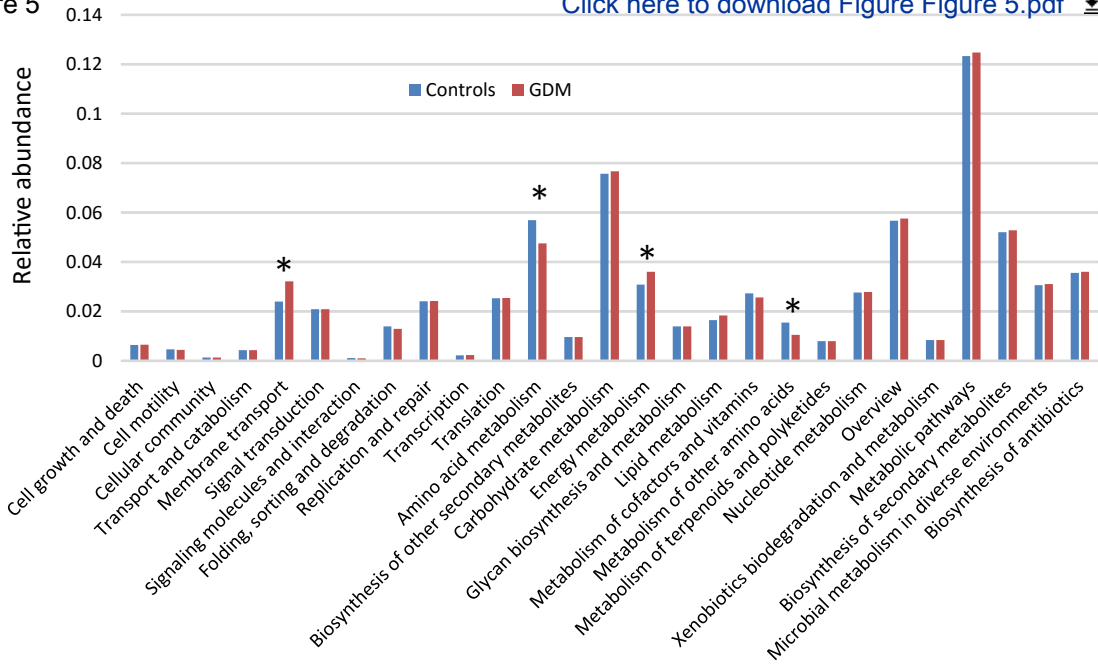


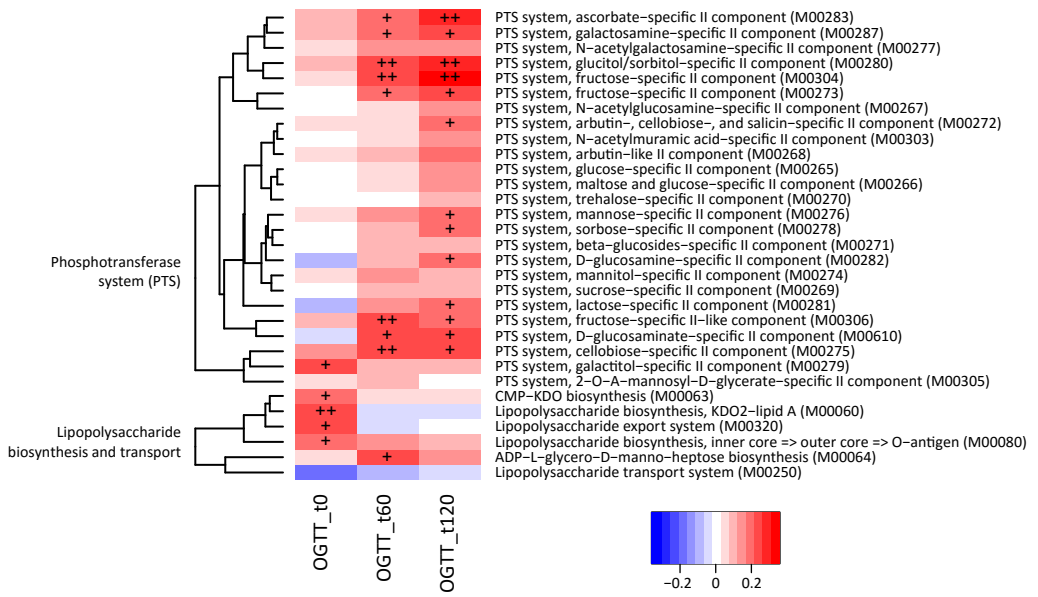


Figure 5

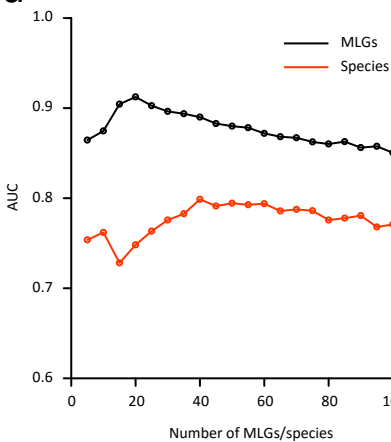
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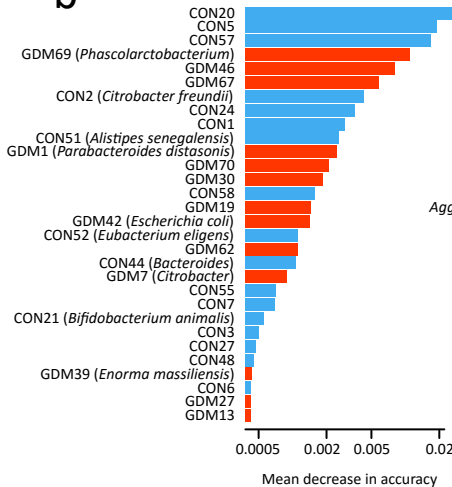
**b**



**a** Figure 6

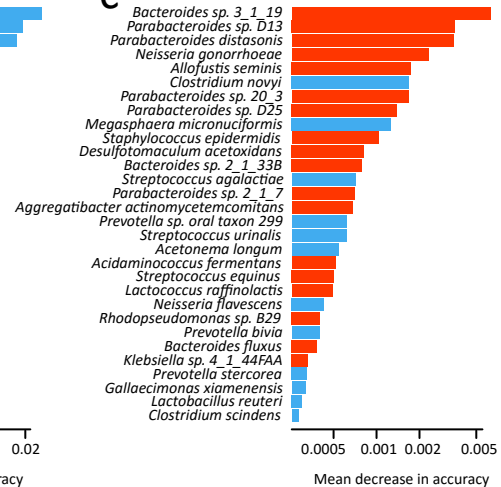


**b**



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**c**



**d**

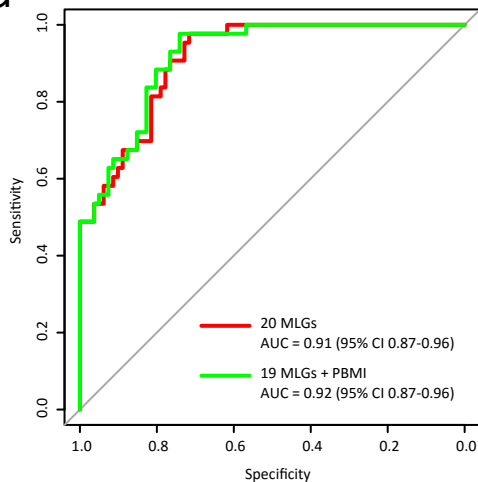
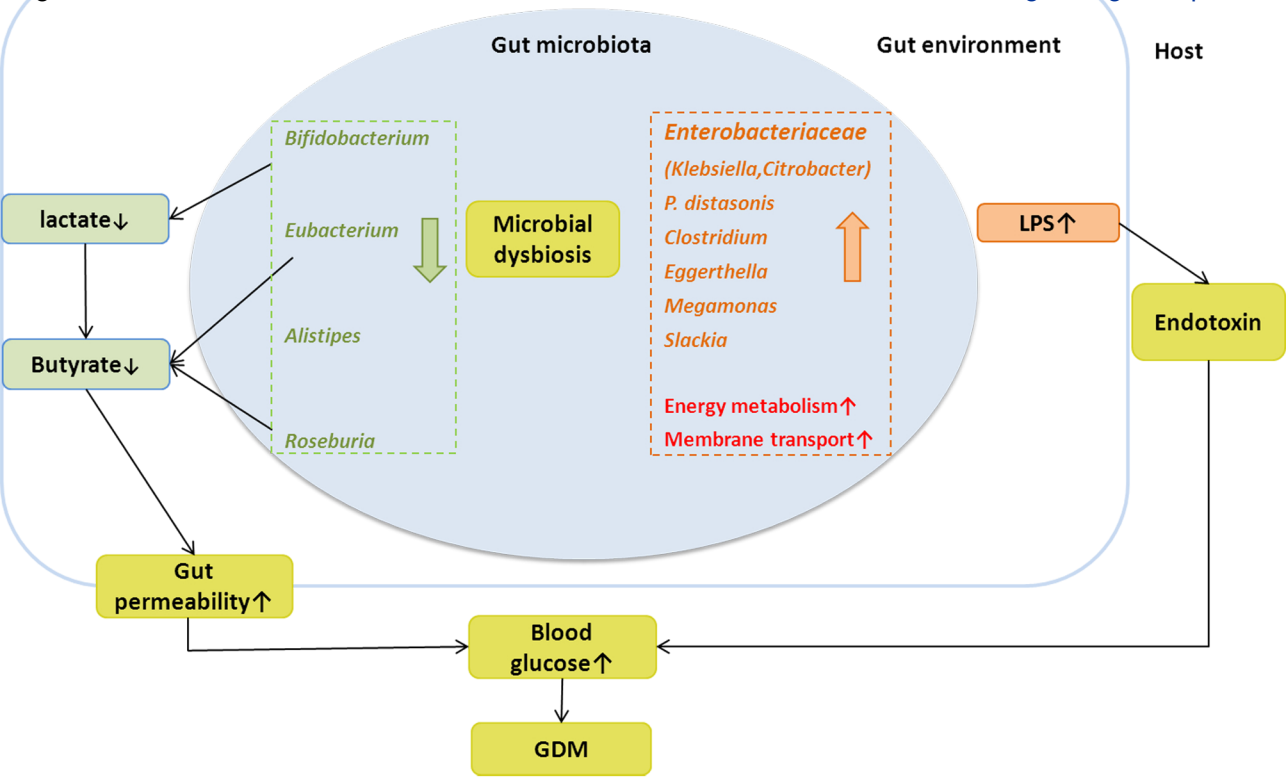



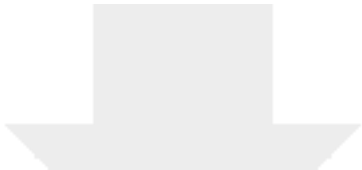
Figure 7



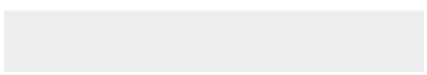
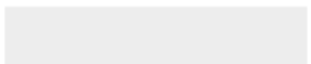


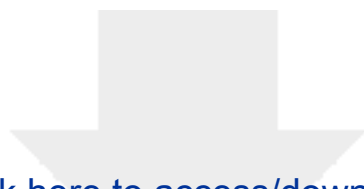
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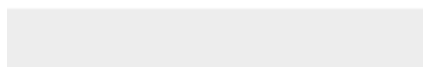
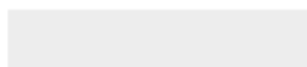




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**Supplementary Material**

GIGA-Replies to comments-20170426-final.doc





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Dear Dr. Goodman,

Thank you for inviting us to submit a revised manuscript. We are grateful for the reviewers' comments.

We have addressed each of the points raised by the reviewer, and outlined how we have dealt with these in the attached revised manuscript. Since tables and figures could not display in the response box, for the complete version of responses to reviewers' comments please refer to supplementary material.

In this revision, the result and discussion sections have substantially changed, and the authors' contributions have also changed hence. YK and JL\* reanalyzed the data, rewritten the discussion section and revised the manuscript critically. Meanwhile, the author (Yong Guo) listed in the original manuscript requested removing his name from the authorship. We respect his decision and also appreciate his work in the original manuscript. Based on the new contributions and Yong's own free will, we changed the order of the authorship. A letter of consent from Yong Guo has been uploaded as supplementary material.

We look forward to hearing from you regarding the suitability of this manuscript for publication in your journal.

Sincerely,

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