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Abstract

Background

The human gut microbiome can modulate metabolic health and affect insulin resistance, and may play an important role in the etiology of gestational diabetes mellitus (GDM). Here, we compared the gut microbial composition of 43 GDM patients and 81 healthy pregnant women via whole-metagenome shotgun sequencing of their fecal samples collected at 21-29 weeks, to explore associations between GDM and the composition of microbial taxonomic units and functional genes.

Results

Metagenome-wide association study (MGWAS) identified 154,837 genes, which clustered into 129 metagenome linkage groups (MLGs) for species description, with significant relative abundance differences between the two cohorts. *Parabacteroides distasonis*, *Klebsiella variicola*, etc., were enriched in GDM patients, whereas *Methanobrevibacter smithii*, *Alistipes* spp., *Bifidobacterium* spp. and *Eubacterium* spp. were enriched in controls. The ratios of the gross abundances of GDM-enriched MLGs to control-enriched MLGs were positively correlated with blood glucose levels. Random Forest model shows fecal MLGs have excellent discriminatory power to predict GDM status.

Conclusions

Our study discovered novel relationships between gut microbiome and GDM status, and suggested that changes in microbial composition may potentially be used to identify individuals at risk for GDM.

Keywords: Gut microbiome, gestational diabetes mellitus, metagenome-wide association.

1 **Background**

2 The increasing prevalence of gestational diabetes mellitus (GDM), and its subsequent health
3 outcomes, are a significant public health concern and a major challenge for obstetric practice [1].
4 GDM represents a heterogeneous group of metabolic disorders [2] which affects 3-14% of
5 pregnancies, and 20-50% of these affected women are expected to develop type 2 diabetes (T2D)
6 within 5 years [3, 4]. Emerging evidence has revealed a link between the gut microbiome and human
7 metabolic health including T2D [5, 6], leading us to hypothesize that the gut microbiome may
8 impact gestational metabolism and development of GDM.

9 Microbial dysbiosis in the human gut may be an important environmental risk factor for abnormal
10 host metabolism, as recently exemplified in studies of obesity and T2D (reviewed by Karlsson, et.
11 al) [7]. A study using an experimental animal model revealed that reduced numbers of
12 *Bifidobacteria* led to enhanced endogenous lipopolysaccharide production, endotoxemia, and
13 associated obesity and insulin resistance [8]. In humans, excessive weight gain and obesity in
14 pregnancy resulted in deteriorated glucose tolerance and increased risk of GDM [9, 10]. *Prevotella*
15 *copri* and *Bacteroides vulgatus* have been identified as the main species driving the association
16 between biosynthesis of branched-chain amino acids, insulin resistance, and glucose intolerance
17 [11]. *Bacteroides* spp. and *Staphylococcus aureus* are significantly more abundant in overweight
18 women than in normal-weight women [12].

19 While the majority of previous studies have focused on associations between intestinal microbiota
20 and obese states or T2D [6, 13-15], some recent studies have sought to characterize microbiota
21 changes during pregnancy, with the goal of providing novel insights into the relationship between
22 microbiota changes during pregnancy and potential metabolic consequences [16]. Studies based on
23 sequencing of 16S ribosomal RNA have revealed novel relationships between gut microbiome
24 composition and the metabolic hormonal environment in overweight and obese pregnant women in
25 early gestation [17]. Koren et al. found that maternal gut microbiota changed from first to third
26 trimesters, with a decline in butyrate-producing bacteria and increased *Bifidobacteria*,
27 *Proteobacteria*, and lactic-acid producing bacteria [16]. Further, transplants of fecal material
28 obtained during different trimesters were sufficient to confer different phenotypes in mouse models,
29 with third-trimester fecal transplants leading to increased adiposity and inflammation [16]. These
30 studies suggest that pregnancy is associated with major shifts in the gut microbiome which may

1 play an important role in observed increases in gestational inflammation, thereby potentially
2 contributing to development of GDM. However, studies focusing on changes in the gut microbiome
3 during pregnancy and development of GDM have not been reported so far.

4 Metagenomic shotgun sequencing, in which the full complement of genes present in the
5 microbiome are sequenced, can furnish information about the relative abundance of genes in
6 functional pathways and at all taxonomical levels [18]. In this study, we used whole-metagenome
7 shotgun sequencing analyses of the gut microbiome during pregnancy to explore associations
8 between GDM and the composition and abundance of microbial taxonomic units and functional
9 genes. The objective was to obtain a comprehensive understanding of the connections between gut
10 microbiome and the development of GDM.

11 **Data description**

12 Whole-metagenome shotgun sequencing was used to test gut microbial composition in fecal
13 samples from 43 GDM patients and 81 healthy pregnant women based on the Illumina HiSeq2000
14 platform in BGI-Shenzhen, China. We constructed a paired-end library with insert size of 350 base
15 pairs (bp) for every sample, and sequenced with 100bp read length from each end. Sequencing reads
16 for fecal samples were independently processed for quality control and host sequences removal
17 based on an in-house pipeline (see Methods), and a total of 795 Gbp high quality metagenomic data
18 (average per sample, 6.4 Gbp) were generated for further analysis. We performed *de novo* assembly
19 and gene calling for data of each sample and constructed a non-redundant gene catalogue of all
20 pregnant women fecal samples containing 4,344,984 genes. This gene catalogue provided a suitable
21 reference for metagenomic gene quantification, microbial diversity analysis, and metagenome-wide
22 association study for the pregnant women fecal samples.

23 **Results**

24 **Comparison of the gut microbiota between GDM patients and healthy pregnant women**

25 First, we explored potential differences in the gut microbiome between 43 GDM patients and 81
26 healthy pregnant women. We obtained 795.3 Gb of high-quality data (6.4 ± 1.3 Gb per sample) via
27 metagenomic shotgun sequencing of their fecal samples to perform this analysis. When we
28 quantified the microbial (alpha) diversity within each subject, the GDM patients showed

1 significantly lower gene count and Shannon index compared with the healthy pregnant women (P
2 <0.05 for both indexes, Mann-Whitney U test). We then aligned the sequencing reads (43.8%)
3 against available microbial genomes from the National Center for Biotechnology Information and
4 generated taxonomic composition for all samples at the taxonomic levels of phylum, class, order,
5 family, genus and species. Multivariate analysis based on Bray–Curtis distances between microbial
6 genera revealed significant differences between GDM patients and healthy controls (Figure 1a). We
7 then performed the Mann–Whitney U test to identify phylogenetic differences between GDM
8 patients and healthy controls. Abundance at the phylum and class levels was similar between GDM
9 patients and healthy controls; however, the order *Clostridiales* and the family *Coriobacteriaceae*
10 were enriched in healthy controls. At the genus level, GDM patients had a significantly higher
11 abundance of *Parabacteroides*, *Megamonas* and *Phascolarctobacterium*, while healthy controls
12 were significantly enriched for *Ruminiclostridium*, *Roseburia*, *Eggerthella*, *Fusobacterium*,
13 *Haemophilus*, *Mitsukella*, and *Aggregatibacter* (Figure 1b). We also found a number of bacterial
14 species that differed significantly between GDM patients and healthy controls, consistent with the
15 genus level observations (Table S2). These findings suggest dysbiosis of the gut microbiota among
16 GDM patients.

18 **Identification of GDM-associated markers from gut microbiome**

19 To explore detailed signatures of the gut microbiome in GDM patients and healthy controls, we
20 constructed a non-redundant gene catalogue consisting of 4.34 million genes, which allowed an
21 average reads mapping rate of 79.5% for sequenced samples. We identified 154,837 genes that
22 displayed significant abundance differences between the two groups (Mann-Whitney U test, $q < 0.05$)
23 (Figure S1 shows the P-value distribution between GDM patients and healthy pregnant women for
24 all genes tested). About 68% of these genes were clustered into 129 metagenomic linkage groups
25 (MLGs) (Table S3), which allowed species level description for the microbiome differences. The
26 71 MLGs enriched in GDM patients included *Parabacteroides distasonis*, *Klebsiella variicola*,
27 *Catenibacterium mitsuokai*, *Coproccoccus comes* and *Citrobacter spp.*, whereas the 58 MLGs
28 enriched in healthy pregnant women included *Methanobrevibacter smithii*, *Alistipes spp.* (*A. shahii*,
29 *A. senegalensis*), *Bifidobacterium spp.* (*B. animalis*, *B. pseudocatenulatum*) and *Eubacterium spp.*
30 (*E. siraeum*, *E. eligens*). The GDM-enriched and control enriched MLGs were highly positively

1 interconnected within each group; however, only few negative connections were found between the
2 two groups (Figure 2). Notably, GDM-enriched MLGs of *Enterobacteriaceae*, including *K.*
3 *variicola*, *E. coli*, *Enterobacter cloacae* and *Citrobacter spp.*, were closely linked (correlation
4 coefficients >0.40 between each other), representing a cooperative promoting function of
5 *Enterobacteriaceae* to GDM development. Of particular interest, we also observed that the relative
6 abundance of *Enterobacteriaceae* was positively associated with pre-pregnancy body mass index
7 (PBMI, Figure S2).

9 **Correlations between maternal blood glucose levels and gut microbiota**

10 In order to explore the potential clinical paths by which changes in the microbiome might lead to
11 GDM, we investigated whether the MLGs can affect blood glucose tolerance. The ratios of the gross
12 abundances of GDM-enriched MLGs to those of control-enriched MLGs were obviously positively
13 correlated with blood glucose levels during the second trimester of pregnancy (Figure 3), indicating
14 that dysbiosis of the microbiome has a significant relationship with GDM status. Several GDM-
15 enriched MLGs [e.g. GDM67, GDM64, *P. distasonis* (GDM1), *K. variicola* (GMD41) and *E. rectale*
16 (GDM34)] were positively correlated with blood glucose levels, while most control-enriched MLGs
17 were negatively correlated with blood glucose levels (Figure 4a). At the species level, *Eggerthella*
18 *spp.*, *Megamonas spp.*, *Allofustis seminis* and several species in *Lachnospiraceae* and
19 *Parabacteroides* were positively correlated with glucose tolerance, while several *Alistipes spp.* were
20 negatively correlated with glucose tolerance (Figure 4b).

22 **Functional characterization of gut microbiota in GDM**

23 Next, we utilized KEGG pathway comparisons to explore potential differences in the functional
24 composition of the microbiome of GDM patients vs. controls. Although the functional composition
25 of GDM patients and controls were highly similar (Figure 5a), the microbiome of GDM patients
26 showed a greater abundance in pathways of membrane transport and energy metabolism, while the
27 microbiome of controls had higher abundance in amino acid metabolic pathways. We also found
28 that the KEGG modules, including the phosphotransferase system (PTS) and lipopolysaccharide
29 (LPS) biosynthesis and export systems, were associated with glucose tolerance levels (Figure 5b).

1 Gut microbiota-based prediction of GDM

2 Finally, we utilized random forest models to assess the predictive ability of MLGs and species
3 abundance profiles for GDM status. We found that certain 20 MLGs provided the best
4 discriminatory power, as indicated by the area under the ROC curve (AUC) 0.91 (95% CI 0.87-
5 0.96), which was higher than that achieved using species profiles with this model (the best AUC
6 was 0.80; 95% CI 0.73-0.86) using 40 species (Figure 6a). The increased AUC for the MLG-based
7 model may be due to the fact that MLGs furnish taxonomic and functional information for unknown
8 or unanalyzable species. Bacterial species providing the highest discriminatory power were
9 primarily members of the *Bacteroides* or *Parabacteroides* genera (Figure 6b-c), consistent with our
10 observation that *Parabacteroides* is the predominant genus accounting for differences in the gut
11 microbiome between GDM patients and controls (Figure 1b). Although PBMI is a predictor of GDM,
12 it did not substantially improve the performance of MLGs. (Figure 6d and Figure S3).

16 Discussion

17 In the present metagenomics study, we observed associations between gut microbiome and GDM
18 status. Specifically, *Parabacteroides distasonis*, *Klebsiella variicola*, etc. were enriched in GDM
19 patients, whereas *Methanobrevibacter smithii*, *Alistipes* spp., *Bifidobacterium* spp. and *Eubacterium*
20 spp. were enriched in controls. The distribution of MLGs in GDM patients differed from that in the
21 control group. Functional analysis showed a greater abundance of membrane transport, energy
22 metabolism pathways, lipopolysaccharide and phosphotransferase systems in the microbiome of
23 GDM patients, while the microbiome of controls was enriched in the amino acid metabolic pathways
24 (Figure 7). To our knowledge, this is the first metagenomics study exploring roles of microbiota in
25 the development of GDM.

26 Previous studies have shown the GDM-enriched bacteria that observed in our study are involved
27 in gut flora dysbiosis. For example, GDM-enriched *Bacteroides* spp. and *Parabacteroides*
28 *distasonis* are considered to be opportunistic pathogens in infectious diseases, with potential for
29 developing antimicrobial drug resistance [19]. The family *Enterobacteriaceae* also occurred with a
30 higher relative abundance in GDM patients than in healthy controls, which indicates a status of gut

1 flora dysbiosis that may lead to a series of chronic diseases, such as colitis [20], Crohn's disease and
2 acute cholecystitis [21]. Previous studies have shown that *Enterobacteriaceae* instigate
3 inflammation to induce colitis [20], and the endotoxin-producing bacterium *Enterobacter*
4 contributed to the development of obesity in gnotobiotic mice [22].

5 The decreased microbes in GDM patients included *Bifidobacterium* spp. (including *B.*
6 *pseudocatenulatum*, *B. animalis* and one unclassified MLG), *Eubacterium* spp. (*E. siraeum*, *E.*
7 *eligens* and two unclassified *Eubacterium* MLGs) and *Roseburia* spp. (Tables S2 and S3). Similar
8 findings were reported in previous studies on a variety of chronic diseases, including T2D [23], liver
9 cirrhosis [24], Crohn's disease [25] and ulcerative colitis [26]. These bacteria can produce lactate or
10 butyrate, which could regulate gut permeability and induce the gut inflammatory response that
11 precedes the development of diabetes [27, 28].

12 Our data demonstrated the ratio of gross abundances of the GDM-enriched to control-enriched
13 MLGs was positively correlated with blood glucose tolerance levels, suggesting that microbiome
14 dysbiosis might have a direct association with GDM pathophysiology. Functional analysis showed
15 that the LPS biosynthesis and export systems were involved in regulation of glucose levels. Previous
16 studies have shown that the higher systemic LPS levels were associated with low-grade chronic
17 inflammation in obesity, metabolic syndrome and T2D [8, 29, 30]. Based on current knowledge, the
18 possible pathways linking LPS levels to glucose metabolism may include the increases in intestinal
19 permeability, the changes in the relative amounts of gram negative vs. gram positive bacteria and a
20 low-grade chronic inflammatory state. LPS is a bacterial cell wall component in gram-negative
21 bacteria and can stimulate an inflammatory response [31, 32]. Gut microbiome dysbiosis can
22 facilitate LPS entry into the systemic circulation through increasing gut permeability, which leads
23 to inflammation and metabolic dysfunction [33]. Our results were concordant with a previous report
24 [23] which found that gut microbiota dysbiosis in T2D was characterized by a decrease in gram-
25 positive butyrate producing *Clostridium* species that lack LPS and an increase in gram-negative
26 opportunistic pathogens including some *Bacteroidetes* and *Proteobacteria* species that contain LPS.
27 The functional analysis in the present study found that membrane transport, energy metabolic and
28 PTS pathways were enriched in the GDM patients. PTS pathways are responsible for transporting
29 glucose through outer and inner membranes and catalyzing the uptake of carbohydrates. The
30 increased relative abundance of these pathways may indicate gut environment of a GDM status may

1 stimulate bacterial accelerated usage of glucose as energy.

2 There were several limitations in our study. First, the sample size is relatively small. Second, we
3 only analyzed one stool sample per participant, which was collected in the second trimester of
4 pregnancy. It is well known that immune and metabolic changes occur throughout pregnancy, and
5 that the gut microbiota shifts from first to third trimesters [16]. In the present study, we are unable
6 to clarify the causal relationship between the microbiome and the development of GDM due to the
7 cross-sectional design. Consequently, data at multiple time points are needed to provide further
8 insights into their dynamic relationship. Third, we did not have information on several factors such
9 as life style and diet may further affect both blood glucose levels and gut microbiota composition.
10 In order to more confirm the associations observed in the current study, a large prospective cohort
11 investigation, with analysis of other potentially significant variables, will be necessary. Besides, due
12 to the lack of serum samples, we could not measure LPS levels and describe the real endotoxemia
13 level of the patients.

14 In summary, this is the first study to demonstrate an association between the gut microbiota
15 dysbiosis, functional changes and GDM. Our findings contribute to the understanding of GDM
16 pathophysiology and may have important implications for identifying patients at risk for
17 development of GDM.

18 **Potential Implications**

19 The gut microbiome can be considered both as an endocrine and metabolic organ, the dysfunction
20 of which plays important roles in disease development. During gestation, profound hormonal,
21 immunological and metabolic changes take place [34-36]. Our findings suggest that gut microbiota
22 in pregnant women are sensitive to subtle changes in metabolism and increases in blood glucose
23 levels. When taken together with results from previous studies on T2D [23], our findings suggest
24 gut microbiota may be a potential predictor of T2D after pregnancy. Furthermore, data from our
25 cohort indicate that women diagnosed with GDM also suffered from moderate gut bacterial
26 dysbiosis and functional dysbiosis that was not restricted to certain microbial species. Although
27 causality has not been demonstrated, it raises the possibility that susceptibility of postpartum
28 metabolic (e.g. T2D) and immune dysfunction might be modified by reconditioning of gut
29 microbiota. Given that the gut microflora can be modified by diet, altering the composition of gut
30 microbiota in pregnant women may improve diabetes related outcomes. Future studies should

1 explore how gut bacterial dysbiosis could be improved and evaluate the efficacy of potential
2 interventions, such as probiotics and dietary manipulation among pregnant women.

3 **Methods**

4 **Study population and sampling**

5 As part of the Born in Guangzhou Cohort Study (BIGCS) [37], fecal samples were obtained
6 from 298 pregnant women during their second trimester in Guangzhou Women and Children's
7 Medical Center (GWCMC) between 1st August, 2012 and 31st Aug, 2013. The inclusion criteria of
8 current study were as follows: 1) without diseases which might affect glucose metabolism or
9 microbiome composition such as pre-pregnancy diabetes, hypertension, thyroid disorders, asthma,
10 lipid metabolic disorders, inflammatory bowel disease, irritable bowel syndrome and celiac disease;
11 2) had not received any antibiotic treatment 1 month before sample collection; 3) had not taken
12 probiotics 2 weeks before sample collection. Of the 287 eligible women, 43 had a diagnosis of GDM
13 and were included in the present study as the case group, and 81 women of non-GDM were
14 randomly selected as the control group. Basic characteristics of the 124 pregnant women included
15 in the study are summarized in Table S1. Compared to non GDM women, women with GDM were
16 more likely to be older and multiparous and have higher pre-pregnant weight, pre-pregnancy body
17 mass index (BMI) , gestational weight gain during pregnancy and premature delivery incidence.
18 Fecal samples were frozen at -20°C freezers immediately (within 30 minutes) and transferred to -
19 80 °C freezers within 24 hours after collected.

20 This study received approval from the Ethics Committee of GWCMC, and written informed
21 consent was obtained from all participating pregnant women. Participants underwent a standard 2h
22 75g oral glucose tolerance test (OGTT) between 21–29 weeks' gestation by collection of 2ml blood
23 samples fasting, 1h, and 2h after a 75g glucose load, using NaF/EDTA tubes. After centrifugation,
24 plasma glucose was measured by a hexokinase method using Beckman Coulter AU5800 automatic
25 analyzer (Beckman Coulter, California, US). The laboratory previously achieved ISO15189
26 certification by China National Accreditation Service for Conformity Assessment. GDM was
27 defined using the Chinese diagnostic criteria [38], which is in agreement with the one-step approach
28 endorsed by the American Diabetes Association [39]. Pregnant women were diagnosed as having
29 GDM if one or more of the following glucose levels were elevated: fasting ≥ 5.1 mmol/L, 1h ≥ 10.0

1 mmol/L, and 2h \geq 8.5 mmol/L [38]. None of these women was treated with insulin or glyburide.
2 Maternal age, pre-pregnancy weight and height were extracted from clinical records of the Hospital
3 Information Systems (HIS) used in GWCMC. Pre-pregnancy body mass index (PBMI) was
4 calculated from height and weight information.

6 **DNA extraction and metagenomic sequencing**

7 Total bacterial DNA was extracted from about 180-200 mg of feces using Qiagen QIAamp DNA
8 Stool Mini Kit (Qiagen) following the manufacturer's instructions [40]. Extracted DNA of each
9 sample was kept frozen at -20°C until used. Illumina HiSeq 2000 was used to sequence the samples.
10 We constructed a paired-end library with insert size of 350 base pairs (bp) for every sample, and
11 sequenced with 100bp read length from each end. Illumina sequencing reads for fecal samples from
12 pregnant women were independently processed for quality control using FASTAX Toolkit
13 (FASTAX Toolkit, RRID:SCR_015042) [41]. The following criteria were used for quality control:
14 (1) reads were removed if they contain more than 3 N bases or more than 50 bases with low quality
15 ($<Q20$); (2) reads were trimmed in the end with low quality ($<Q20$) or assigned as N. The remaining
16 reads were then mapped to the human genome using SOAPalinger2 (SOAPalinger/soap2,
17 RRID:SCR_005503) [42] to remove contaminating human DNA. After QC, an average of 1.9%
18 of low-quality or human genome reads were removed for the 124 samples.

19 ***De novo* assembly, gene calling and gene catalogue construction**

20 To determine the best assembling method for the obtained high-quality Illumina sequencing reads,
21 we compared the performance of two assemblers, SOAPdenovo v2.04 (SOAPdenovo2 ,
22 RRID:SCR_014986) (as previously used in the MetaHIT and IGC projects) [43, 44] and IDBA-
23 UD v1.1.1 (a *de novo* assembler for metagenomic sequences) [45]. For the SOAPdenovo, we tested
24 the k-mer length ranging from 23bp to 123bp by 10bp step for each sample, and selected the
25 assembled contig set with longest N50 length. For the IDBA-UD, parameters "--mink 21 --maxk 81
26 --step 20 --pre_correction" were used. For most samples, IDBA-UD obtained a better assembled
27 contig set than SOAPdenovo. This could be attributable to the relative efficiency of IDBA-UD in
28 assembling bacterial genomes within regions of highly uneven depth in metagenomic samples. As
29 a result, we obtained an average 197.9 ± 50.3 Mbp (mean \pm SD) contig sets for each pregnant women
30 sample, with N50 length 8.8 ± 3.9 kbp. Unassembled reads from these samples were pooled and re-

1 assembled by using IDBA-UD for further analysis.

2 Genes were predicted by MetaGeneMark [46] based on parameter exploration by the MOCAT
3 pipeline (MOCAT, RRID:SCR_011943) [47]. A non-redundant gene catalogue of pregnant women
4 samples was constructed using CD-HIT (CD-HIT, RRID:SCR_007105) [48], through which, genes
5 with >90% overlap and >95% nucleic acid similarity (no gap allowed) were removed as
6 redundancies. A pregnant women gene catalogue containing 4,344,984 non-redundant genes was
7 generated for fecal samples collected from these 124 pregnant women. This gene catalogue was
8 further combined with the previous integrated gene catalogue (IGC) [44] by removing redundancies
9 (2,621,398 genes) in the same manner as above. In the end, 39.6% (1,723,586) of the genes in the
10 pregnant women gene catalogue were identified as novel.

11 **Quantification of metagenomic genes**

12 The abundance of genes in the combined non-redundant gene catalogue (combining the pregnant
13 women gene catalogue and IGC) was quantified as relative abundance of reads. First, high-quality
14 reads from each sample were aligned against the gene catalogue using SOAP2.21 [42], with
15 thresholds that allowed a maximum of two mismatches in the initial 32bp seed sequence and 90%
16 similarity over the whole reads. Only two types of alignments were accepted: (1) the entire paired-
17 end read can be mapped onto a gene with the correct insert-size; (2) one end of the paired-end read
18 can be mapped onto the end of a gene, only if the other end of read was mapped outside the genic
19 region. The relative abundance of a gene in a sample was estimated by dividing the number of reads
20 that uniquely mapped to that gene by the length of the gene region and by the total number of reads
21 from the sample that uniquely mapped to any gene in the catalogue. The resulting set of gene relative
22 abundances of a sample was its gene profile.

23 **Richness**

24 We used the gene count and Shannon index to represent the richness and evenness of the gut
25 microbiota for each sample. As defined previously [5], the gene counts of a metagenomic sample
26 were calculated based on their reads mapping number on the non-redundant gene catalogue. To
27 eliminate the influence of sequencing depth fluctuation, an equal number of 11 million reads for all
28 samples were randomly extracted for mapping, and then, the mean number of genes over 30 random
29
30

1 drawings was generated. The Shannon index (within sample diversity) was calculated as previously
2 described [23].
3

4 **Taxonomical and functional analyses**

5 **Taxonomical classification of genes.** Reference microbial genomes were downloaded from the
6 NCBI-genome database (version May-2015), which included 8,953 bacterial/archaea genomes (of
7 which, 2,785 genomes were complete and 6,168 were draft genomes), and 4,400 viral genomes.
8 Genes from the non-redundant gene catalogue were aligned to reference genomes using BLASTN
9 (BLASTN, RRID:SCR_001598) with parameters “-word_size 16 -evalue 1e-10 -max_target_seqs
10 5000”. At least 70% alignment coverage of each gene was needed. Based on the parameter
11 exploration of sequence similarity across phylogenetic ranks [49], we used 85% identity as the
12 threshold for genus assignment, and 65% for phylum assignment.

13 **Functional annotation of genes.** The Kyoto Encyclopedia of Genes and Genomes (KEGG
14 orthologous, version Apr-2015) (KEGG, RRID:SCR_012773) and evolutionary genealogy of genes:
15 Non-supervised Orthologous Groups (eggNOG, v4) databases (eggNOG, RRID:SCR_002456)
16 were used for functional annotation of genes. Translated amino acid sequences of genes were
17 searched against these databases using USEARCH v8.0.1616 [50] (evalue < 1e-5, query_cov > 0.70)
18 with a minimum similarity of 30%. Each protein was assigned a KEGG orthologue (KO) or
19 eggNOG orthologue group (OG) based on the best-hit gene in the database. Using this approach,
20 43.6% and 71.9% of the genes in the combined gene catalogue could be assigned a KO or OG,
21 respectively. As a final step, the abundance profiles of KEGG and eggNOG were calculated by
22 summing up the relative abundance of genes annotated to a feature.

24 **Metagenome-wide association study (MGWAS)**

25 We used the MGWAS methodology to identify gene markers that showed significant abundance
26 differences between the GDM and control individuals. The MGWAS was performed using
27 methodology developed by Qin et al [23]. Briefly, gene relative abundance profiles were initially
28 adjusted for population stratifications using the modified EIGENSTRAT method [51] that allows
29 the use of covariance matrices estimated from abundance levels instead of genotypes. Then, a two-
30 tailed Mann-Whitney U test was performed in the adjusted gene profiles, and the Benjamin-

1 Hochberg procedure [52] was subsequently used to correct the p-values to generate the false
2 discovery rate (FDR, known as “q-value”) for each gene.

3 4 **Metagenomic linkage group (MLG) analysis**

5 Co-abundance genes were clustered into MLGs based on the previously described methodology
6 [23]. Taxonomic assignment and abundance profiling of the MLGs were performed according to the
7 taxonomy and the relative abundance of their constituent genes as previously described [23]. Briefly,
8 assignment to species requires 90% of genes in an MLG to align with the species’ genome with 95%
9 identity and 70% overlap of query. Assigning an MLG to a genus requires 80% of its genes to align
10 with a genome with 85% identity in both DNA and protein sequences. MLGs were further
11 interconnected according to Spearman’s correlation coefficient ($\rho > 0.4$ or $\rho < -0.4$) between their
12 abundances in all GMD and control samples, and the co-occurrence network of MLGs was
13 visualized by Cytoscape 3.0.2 (Cytoscape, RRID:SCR_003032) [53]. The direction of enrichment
14 was determined by the Mann-Whitney U test ($p < 0.05$).

15 16 **Statistical analysis**

17 Statistical analysis was implemented using the R platform. Distance-based redundancy analysis
18 (dbRDA) was performed using the “vegan” package [54] based on the Bray-Curtis distances on
19 normalized taxa relative abundance matrices, then visualized using the “ggplot2” package.
20 Permutational multivariate analysis of variance (PERMANOVA) was performed using the “vegan”
21 package, and the permuted *p*-value was obtained by 10,000 permutations.

22 The Random Forest model has been shown [6] to be a suitable model for exploiting metagenomic
23 data. Random Forest models were trained using the “randomForest” package (default parameters
24 and 10,000 trees) to identify GDM status in a subset of GDM patients and control group by using
25 the abundance profiles of species and MLGs. Performance of the predictive model was evaluated
26 with cross-validation error. Variable importance by mean decrease in accuracy was calculated for
27 the Random Forest models using the full set of species or MLGs. Based on the rank of variables by
28 importance, concise models were constructed that contained only the most important variables.

29 Receiver operator characteristic (ROC) analysis was performed using the “pROC” package, we
30 then computed the 95% confidence interval (CI) of the area under the ROC curve (AUC) with

1 10,000 bootstrap replicates to assess the variability of the measure. Rarefaction analysis was
2 performed to assess the gene richness of metagenomic samples, implemented by in-house Perl
3 scripts.

5 **Availability of supporting data and materials**

6 All raw sequencing data has been deposited in the EBI Sequence Read Archive (SRA) under
7 accession number ERP020710. Further supporting data is available in the GigaScience repository,
8 GigaDB [55]

10 **Abbreviations**

11 MLGs, metagenome linkage groups; GDM, gestational diabetes mellitus;
12 MGWAS, Metagenome-wide association study;
13 PTS, phosphotransferase system; LPS, lipopolysaccharide; T2D, type 2 diabetes.

15 **Consent for Publication – Human data**

16 This study was approved by both the institutional review board at GWCMC ethics committee. All
17 protocols were conducted in compliance with the Declaration of Helsinki and explicit informed
18 consent was obtained from all participants.

20 **Competing interests**

21 The authors declare that they have no competing interests.

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28 management, analysis, and interpretation of the data; or in preparation, review, or approval of the
29 manuscript; or the decision to submit the manuscript for publication.

31 **Authors' contributions**

1 XQ and HX conceived and supervised the project. YK, MY, JH, JL*, NC, WX, SS, LQ, YW, CH,
2 QC, WL and YW oversaw sample collection and provided phenotypic information. YK, JL*and SL
3 analyzed the data and drafted the manuscript. XQ, HD, JL and CP performed substantial revision of
4 the manuscript. All authors critically revised the manuscript, and approved the final version. JL*
5 represents Jin-Hua Lu.

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36
37

1 **Figure legends**

2 **Figure 1 | Difference in microbial composition between GDM and healthy pregnant women.**

3 (a) Distance-based redundancy analysis (dbRDA) based on Bray–Curtis distances between
4 microbial genera, revealing a GDM dysbiosis which overlaps only in part with taxonomic
5 composition in GDM patients and healthy controls. The first two principal components (PCs) and
6 the ratio of variance contributed by them is shown. Lines connect samples in the same group, and
7 colored circles cover the samples near the center of gravity for each group. Genus (blue square), as
8 the main contributors, are plotted by their loading in the PCs. (b) Boxplot shows genera that differ
9 significantly between GDM patients and healthy controls. Genera with $q < 0.05$ (Mann-Whitney U
10 test corrected by the Benjamini-Hochberg method) are shown. Red and green boxes represent GDM
11 patients and healthy controls, respectively. Only the genera with average relative abundances greater
12 than 0.05% in all the samples are shown for clarity. The boxes represent the interquartile range (IQR)
13 between first and third quartiles and the line inside represents the median. The whiskers denote the
14 lowest and highest values within 1.5 times IQR from the first and third quartiles, respectively. The
15 circles represent outliers beyond the whiskers.

16
17 **Figure 2 | Interconnection of GDM-associated MLGs.** A co-occurrence network deduced from

18 GDM-enriched and control-enriched MLGs is shown. Nodes depict MLG's with their taxonomic
19 assignment or ID shown. The size of each node indicates the number of genes within the MLG.
20 Connecting lines represent Spearman correlation coefficient $\rho > 0.40$ (gray line) or $\rho < -0.40$ (red line).
21 Classified MLGs are colored (red: GDM-enriched; green: control-enriched) and grouped according
22 to their taxonomic information. Only MLGs with > 100 genes are shown for clarity of presentation
23 and visualization, and the detailed information of all 129 MLGs are given in Table S2.

24
25 **Figure 3 | Association of gross abundance of GDM-enriched and control-enriched MLGs with**

26 **blood glucose levels 0, 60, and 120 minutes after an oral glucose tolerance test.** Scatter plots of
27 all samples (including GDM patients and healthy controls) are shown with lines indicating linear
28 fit.

29
30 **Figure 4 | Correlation of blood glucose levels 0, 60, and 120 minutes after an oral glucose**

1 **tolerance test (only OGTT results are shown, I do not see the results for HbA1C in this figure)**
2 **with MLGs (a) and species (b).** Spearman's rank correlation coefficients and P-values for the
3 correlations are shown. '+' denotes $P < 0.05$; '++' denotes $P < 0.01$. Only MLGs or species with
4 average relative abundances greater than 0.001% and correlated ($P < 0.05$) with at least one index are
5 shown for clarity.

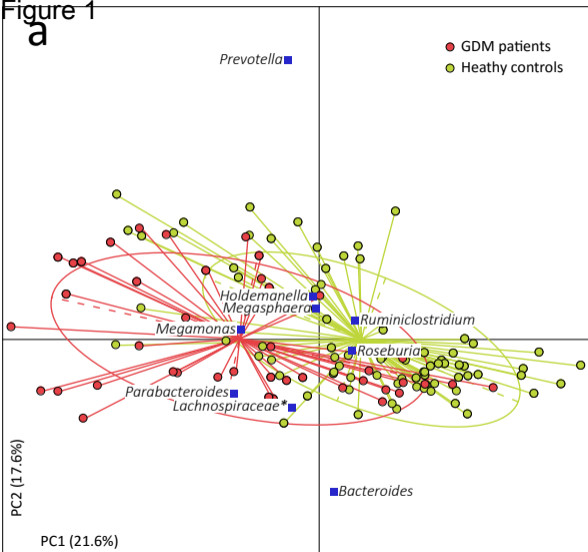
6
7 **Figure 5 | Association of microbial genetic functional pathway composition in GDM patients**
8 **and healthy pregnant women.** (a) Distributions of relative abundances of KEGG pathway
9 categories in GDM patients and healthy controls. '*' denotes $q < 0.05$ (Mann-Whitney U test
10 corrected by the Benjamini-Hochberg method) (b) Correlation of blood glucose levels 0, 60, and
11 120 minutes after an oral glucose tolerance test, with PTS system and LPS biosynthesis and
12 transport system. Spearman's rank correlation coefficients and P-values for the correlations are
13 shown. '+' denotes $P < 0.05$; '++' denotes $P < 0.01$.

14
15 **Figure 6 | Classification of GDM status by the relative abundance of MLGs and species.** (a)
16 Classification performance of a random forest model using MLG or species abundance assessed by
17 AUC. The performance was explored for different numbers of explanatory variables, ordered in
18 importance. (b-c) The 30 most discriminant MLGs (b) and species (c) in the models classifying
19 GDM and controls. The bar lengths in b and c indicate the importance of the variable, and colors
20 represent enrichment in GDM (red shades) or controls (blue shades). (d) ROC analysis for
21 classification of GDM status by MLGs and PBMI.

22
23 **Figure 7 | A schematic diagram showing the main bacteria and functions of the gut microbes**
24 **that had a predicted GDM association.** Red and orange columns and text denotes enriched
25 bacteria and their putative functions in GDM patients; green columns and text denotes depleted
26 bacteria and their putative functions in GDM patients.

Figure 1

a



b

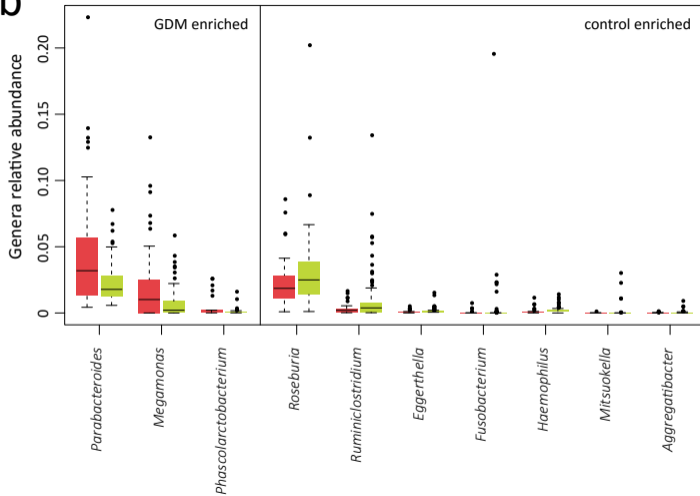
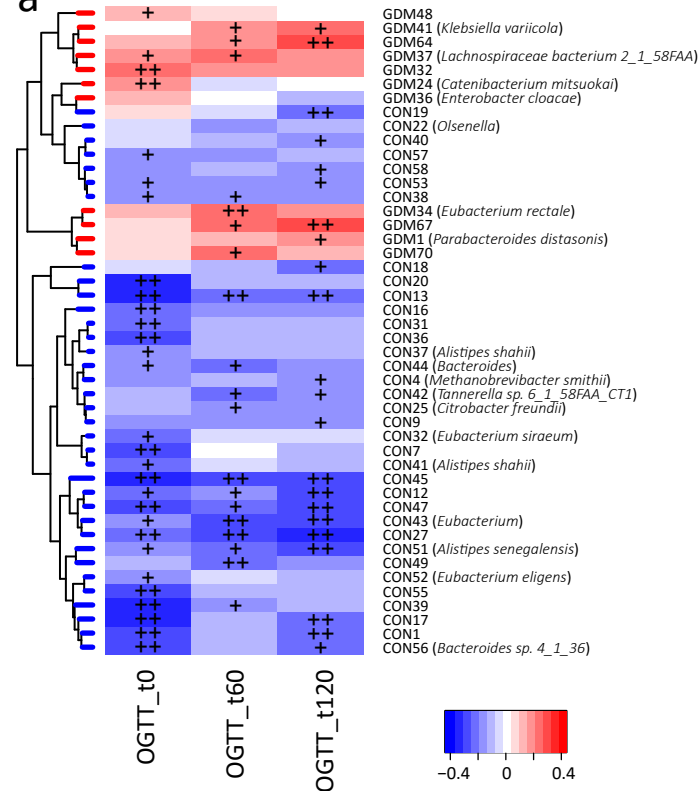
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Figure 4



b Click here to download Figure Figure 4.pdf

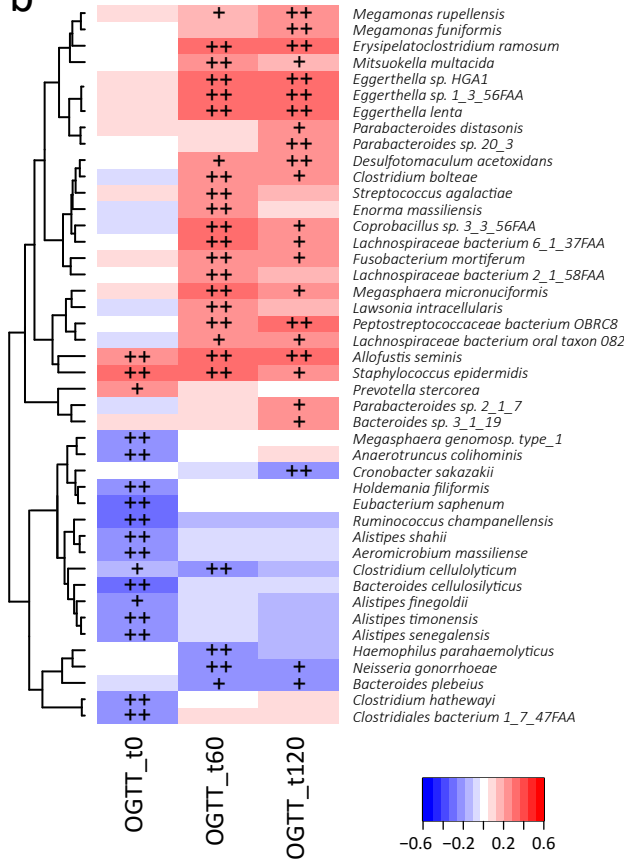
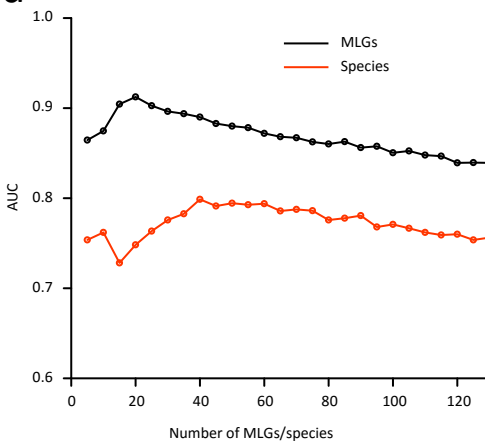
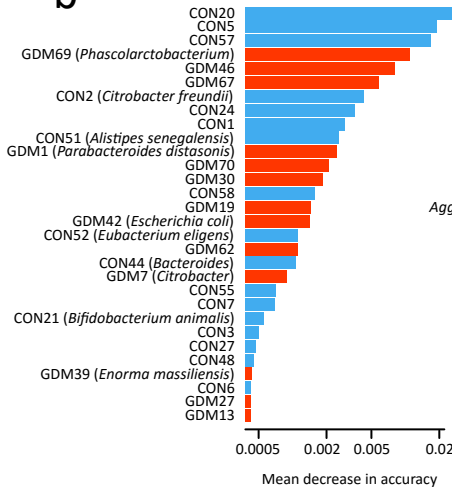


Figure 6

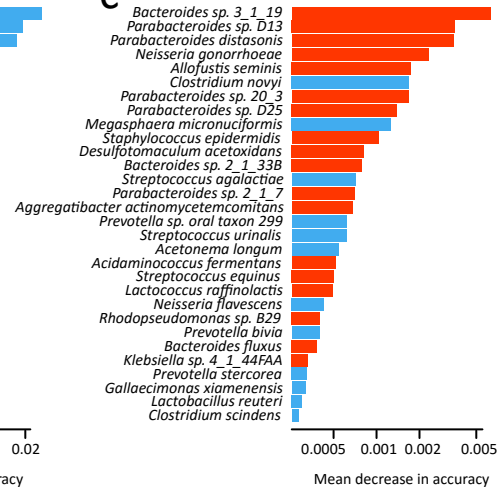


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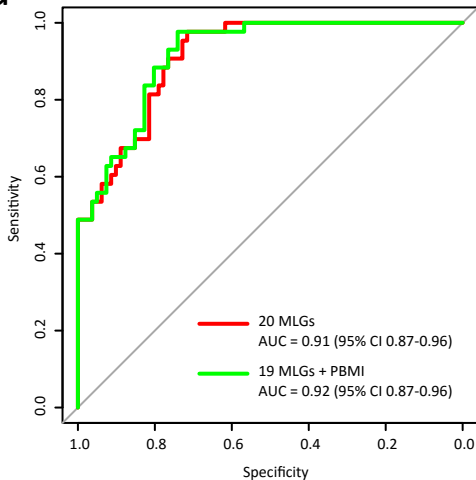


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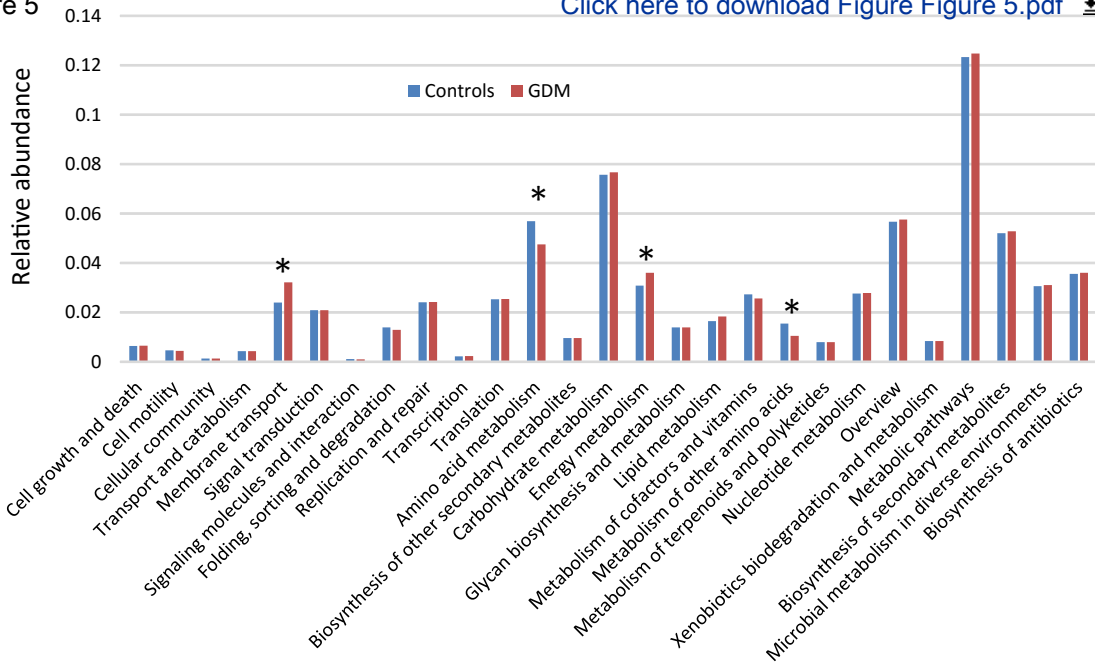
c



d



a



b

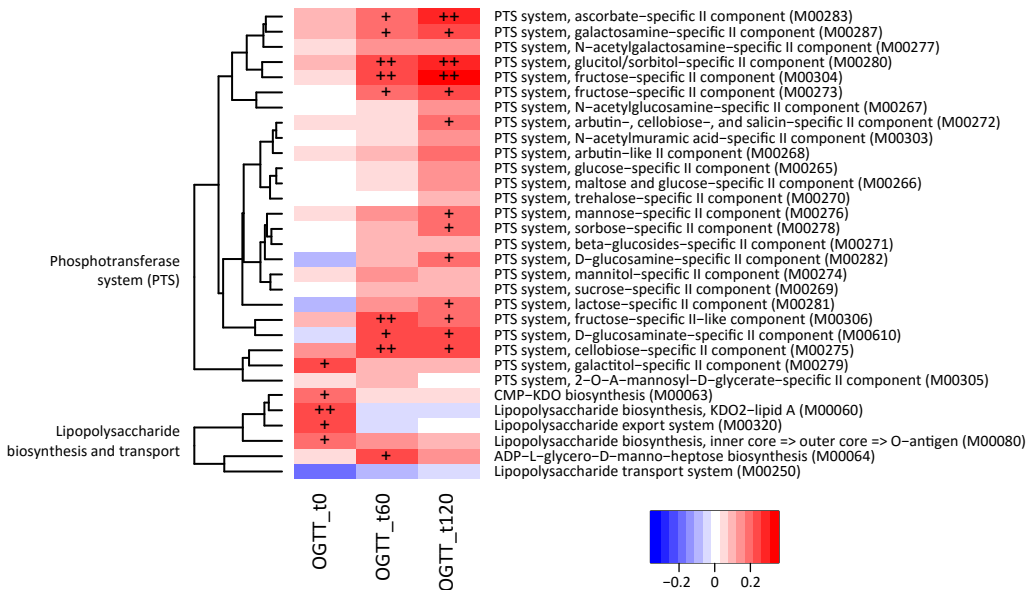
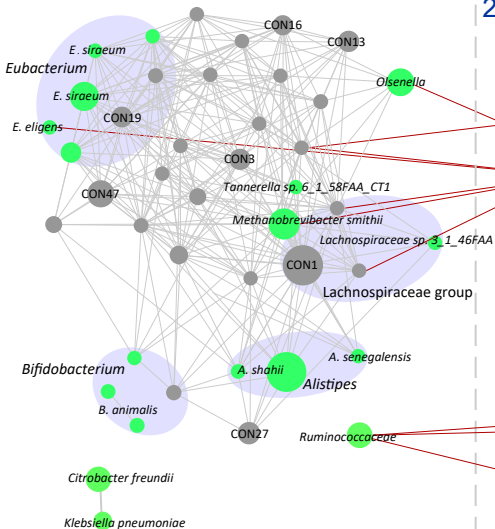


Figure 2

Control-enriched MLGs



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GDM-enriched MLGs

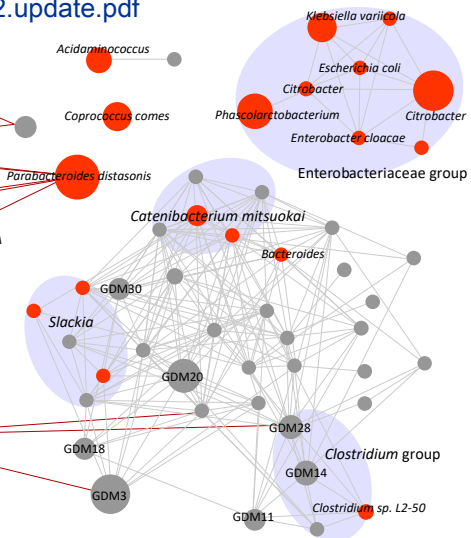
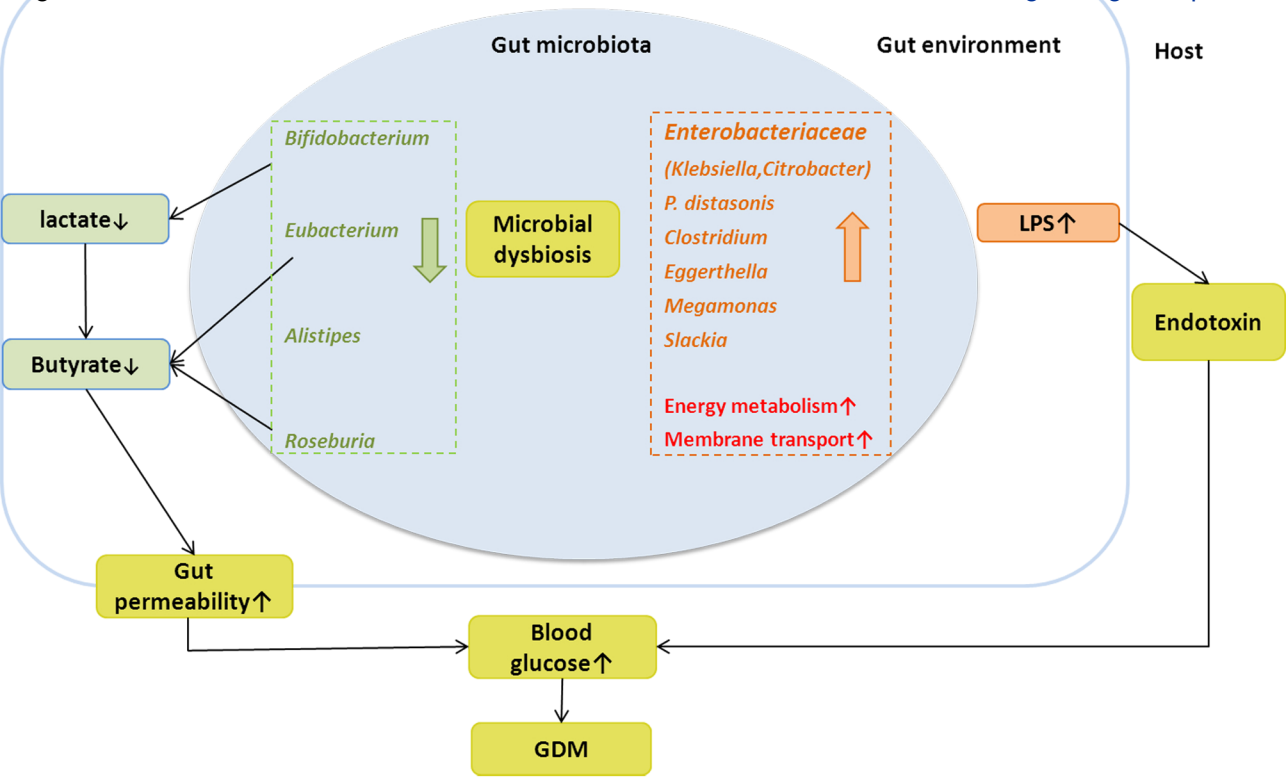
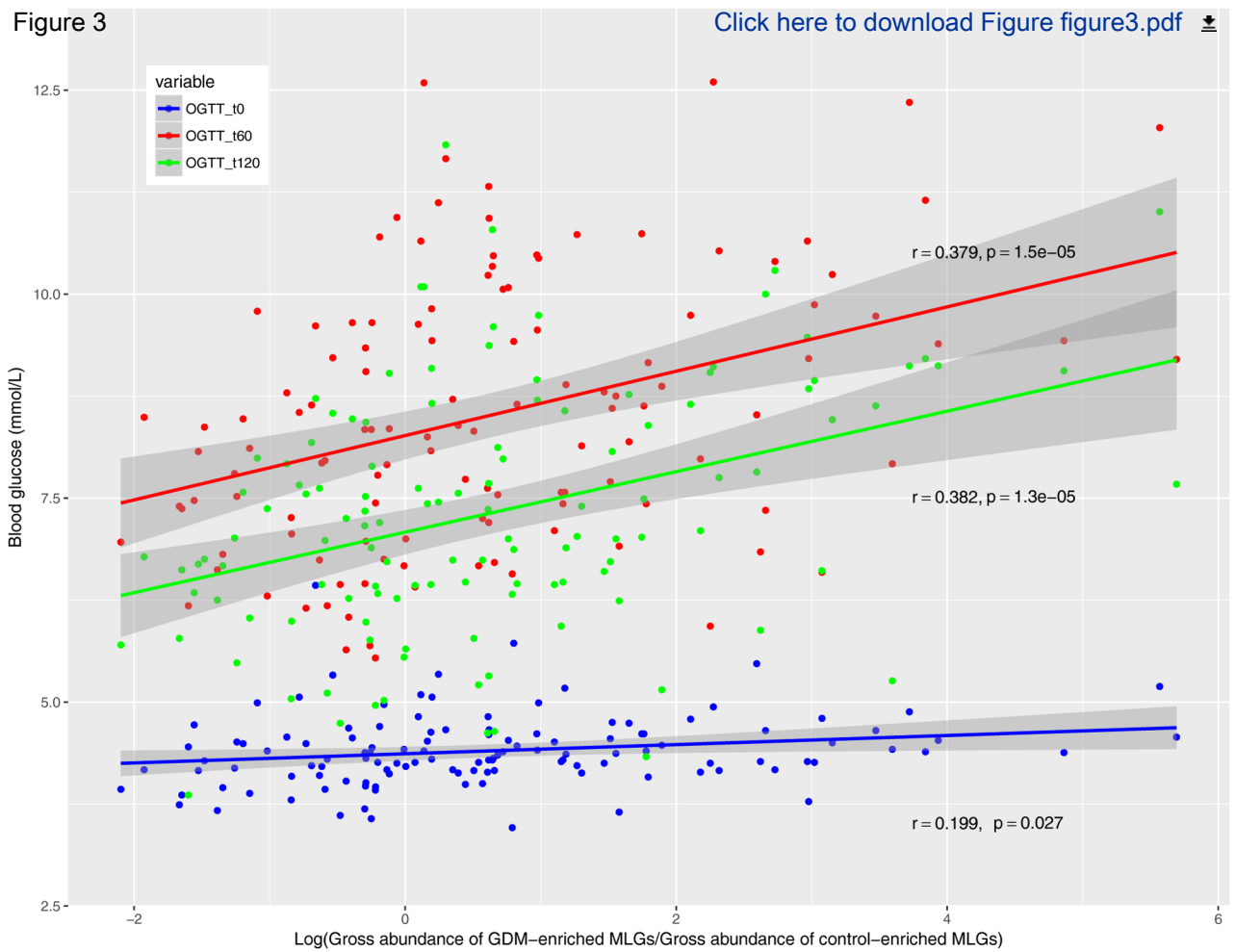
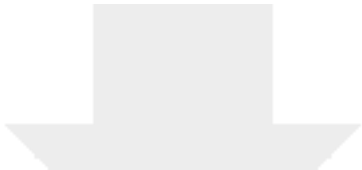


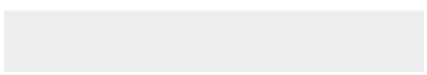
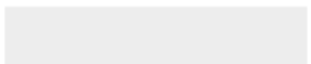
Figure 7

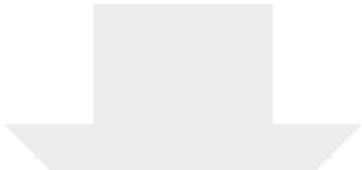







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