

# 1 Population-wide Sampling of Retrotransposon Insertion

## 2 Polymorphisms Using Deep Sequencing and Efficient

### 3 Detection

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14 28 **Abstract**

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16 29 **Background:** Active retrotransposons play important roles during evolution and continue to  
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18 30 shape our genomes today, especially in genetic polymorphisms underlying a diverse set of  
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20 31 diseases. However, studies of human retrotransposon insertion polymorphisms (RIPs) based  
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23 32 on whole-genome deep sequencing at the population level have not been sufficiently  
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25 33 undertaken, despite the obvious need for a thorough characterization of RIPs in the general  
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27 34 population.

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29 35 **Findings:** Herein, we present a novel and efficient computational tool named Specific  
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31 36 Insertions Detector (SID) for the detection of non-reference RIPs. We demonstrate that SID is  
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33 37 suitable for high depth whole-genome sequencing (WGS) data using paired-end reads  
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35 38 obtained from simulated and real datasets. We construct a comprehensive RIP database  
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37 39 using a large population of 90 Han Chinese individuals with a mean 68x depth per individual.  
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39 40 In total, we identify 9342 recent RIPs, and 8433 of these RIPs are novel compared with dbRIP,  
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41 41 including 5826 Alu, 2169 long interspersed nuclear element 1 (L1), 383 SVA, and 55 long  
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43 42 terminal repeats (LTR). Among the 9342 RIPs, 4828 were located in gene regions and five  
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45 43 were located in protein-coding regions. We demonstrate that RIPs can, in principle, be an  
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47 44 informative resource to perform population evolution and phylogenetic analyses. Taking the  
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49 45 demographic effects into account, we identify a weak negative selection on SVA and L1 but  
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51 46 approximately neutral selection for Alu elements based on the frequency spectrum of RIPs.

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53 47 **Conclusions:** SID is a powerful open-source program for the detection of non-reference RIPs.  
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55 48 We built a non-reference RIP dataset that greatly enhanced the diversity of RIPs detected in  
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57 49 the general population and should be invaluable to researchers interested in many aspects of  
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human evolution, genetics, and disease. As a proof-of-concept, we demonstrate that the RIPs can be used as biomarkers in a similar way as single nucleotide polymorphisms (SNPs).

**Keywords:** Transposable element, retrotransposon insertion polymorphism, next-generation sequencing, whole-genome sequencing

## Findings

### Introduction

Transposable elements (TEs) are genomic sequences that can replicate within the genome either autonomously or in conjunction with other TEs, resulting in insertion polymorphisms. Over the evolutionary timescale, this process leads to drastic changes in genomic structure. Current estimates suggest that approximately half of the human genome is derived from TEs [1]. Retrotransposons, which constitute ~93% of TEs [2], can be subdivided into those sequences containing LTRs and those that do not (non-LTR). The majority of human TEs result from the activity of non-LTR retrotransposons, including the L1, Alu and SVA elements, which collectively account for approximately one-third of the human genome [1]. Although most retrotransposons are inactive remnants prevalent among the human population, younger retrotransposons account for much of the structural variation among individual genomes [3]. Only a small proportion of total L1s are highly active [4]. The current rate of retrotransposition in humans has been approximately estimated as 1 for every 20 births for Alu, 1 for every 200 births for L1 and 1 for every 900 births for SVA [5, 6].

Retrotransposon insertion is a disease-causing mechanism [7], and next-generation sequencing (NGS) technology has been widely used to explore the association between retrotransposon insertions and disease, such as cancer [8-10]. In this respect, a comprehensive RIP dataset of a healthy population is necessary to serve as a reference for the identification of disease-related RIPs. Based on the database of the 1000 Genomes Project (1000GP), researchers performed RIP detection on an unprecedented scale and detected thousands of novel RIPs [11-14]. This finding implies that an insertion allele present in multiple individuals would effectively receive high coverage across the pooled dataset,

79 leading to a detection bias toward common insertions. It was previously estimated that at least  
80 30x coverage of sequencing is needed to detect heterozygous RIPs with high sensitivity using  
81 WGS [15].

82 Here, we developed the software SID to detect RIPs, which fulfilled our needs regarding  
83 detection efficiency, accuracy and sensitivity. We also generated a non-reference TE insertion  
84 polymorphism database by employing SID to analyze the whole-genome sequences of 90 Han  
85 Chinese individuals (YH90) acquired at a mean depth of 68x.

## 86 **Materials and methods**

### 87 **Samples and whole genome sequencing**

88 We obtained B-lymphocyte cell lines from 90 Han Chinese individuals at the Coriell Institute  
89 (Camden, New Jersey, USA). These individuals were selected from Beijing, Hunan province  
90 and Fujian province, respectively. We broadly separated the samples into “Northern group” (45  
91 samples) and “Southern group” (45 samples). DNA was extracted from the B-lymphocyte cells  
92 of each individual, and libraries were then constructed following the manufacturer’s  
93 instructions. High-coverage paired-end 100 bp WGS libraries were sequenced on the Illumina  
94 HiSeq 2000 Platform. For more on this dataset see the Data Note describing its production  
95 published alongside this paper [16]. In addition, we also used a Chinese sample [17] for which  
96 the data were previously released in the European Nucleotide Archive (ENA) repository  
97 (Additional file 1: Table S1). The Institutional Review Board on Bioethics and Biosafety of BGI  
98 (BGI-IRB) approved the study.

### 99 **Processing of the WGS data**

100 Reads were aligned to the human genome reference (HG19, Build37) using *BWA* (BWA ,  
101 RRID:SCR\_010910)[18]. Duplications were removed using Picard tools, and the quality values  
102 of each reads were recalibrated using the Genome Analysis Toolkit (GATK)( GATK ,  
103 RRID:SCR\_001876)[19]. The resulting Binary Alignment/Map (BAM) files were used as input  
104 for SID (Additional file 2: Text S1).

### 105 **The specific insertion detector pipeline**



1 106 SID is compiled in Perl and includes the following two steps: discordant reads detection and  
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3 107 reads clustering. Generally, the first step collects informative reads and generates other  
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6 108 necessary files, whereas the second step discovers the specific insertion sites and exports the  
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9 109 final results into plain text.

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11 110 *Detection of discordant reads.* The “discordant reads” were extracted for the subsequent  
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14 111 clustering step. Paired-end reads were determined as “discordant reads” if they met one of the  
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17 112 following criteria: a. one read mapped to HG19 uniquely and the other read mapped to the  
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20 113 retrotransposon library (multi-mapped or unmapped to HG19); b. one read mapped to HG19  
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22 114 uniquely and the other soft-clipped read mapped to HG19, and the clipped sequence could be  
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25 115 mapped to the retrotransposon library; c. one soft-clipped read mapped to HG19, and the  
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28 116 clipped sequence could be mapped to the retrotransposon library. The other read mapped to  
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31 117 the retrotransposon library (multi-mapped or unmapped to HG19). The retrotransposon library  
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34 118 includes objective TE classes, such as L1, Alu, and SVA. In this study, the TE reference  
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37 119 database contains known TE sequences collected from RepBase version 17.07 [20], dbRIP  
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39 120 [21] and Hot L1s [4]. To reduce the long processing time due to large volumes of WGS data,  
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42 121 we implemented a parallel approach to process each bam files of samples simultaneously in  
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45 122 the discordant reads detection step.

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47 123 *Reads clustering and detection of breakpoints.* First, the “discordant reads” were scanned and  
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50 124 clustered into blocks that supported potential RIPs based on the Maximal Valid Clusters  
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53 125 algorithm [22]. Second, we extracted all reads located within the cluster regions and  
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56 126 determined the breakpoints. Although high-depth, data-enabled RIP detection with high  
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59 127 sensitivity was possible given that more soft-clipped reads neighboring target site duplication

1 128 (TSD) could be detected, alignments neighboring the TSDs had apparently lower depth  
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3 129 compared with the mean sequencing depth of the whole genome due to occasional  
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6 130 sequencing and system errors. This feature made breakpoint detection difficult and increased  
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9 131 the false discovery rate (FDR). Thus, we added the recalibration process of clipped points to  
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11 132 determine breakpoints. Each read located within the cluster regions flanking potential  
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14 133 breakpoints was used to confirm the precise location of the breakpoints. Small deletions were  
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17 134 extracted to perform breakpoint recalibration, and the mismatched bases were removed from  
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20 135 the deletion sequences.

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22 136 The clipped sequences were realigned to local regions on HG19 to determine the actual  
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25 137 breakpoints. Breakpoints were assigned as “clips” if greater than half of the new clipped  
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28 138 sequences were discordant with the reference sequence and the length of gap within the new  
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31 139 clipped sequence was less than 30%. The point would not be a candidate unless it was a “clip”  
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34 140 and the mismatch was less than 5 bp or contained poly-A/T.

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36 141 Some terminals of reads containing mismatched bases may be the clipped parts because  
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39 142 these bases were treated as mismatches rather than clips. The breakpoints candidates were  
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42 143 re-estimated by SID if mismatches accounted for greater than half of the read terminals.

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44 144 Notably, we implemented the “Asynchronous Scanning” algorithm (Additional file 2: Text  
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47 145 S2). Using this algorithm, once the program clustered one possible insertion region by  
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50 146 scanning unique reads, the process of breakpoint detection in this region was immediately  
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53 147 performed, rendering it possible to detect TE insertions in one chromosome in only a few  
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56 148 minutes. The detailed algorithm for RIP candidate determination is provided in Additional file 2:  
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58  
59 149 Text S2.

150 **Annotation of TE insertions**

1  
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3 151 *Orientation annotation for the TE insertions.* We annotated the orientation of TE insertions  
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5 152 based on the BLAST results [23]. First, we extracted the discordant repeat anchored mate  
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8 153 (RAM) reads and clipped reads that supported the TE insertion and made the reads'  
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11 154 orientations the same as HG19. Then, we realigned the supporting reads against the  
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13 155 consensus sequences of known active retrotransposons to identify the mapped orientation in  
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16 156 known active retrotransposons. The orientations of TE insertions were judged by the reads'  
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19 157 orientation (for details see Additional file 2: Text S3). The accuracy of orientation annotation  
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22 158 was assessed by comparing 396 matched insertions from dbRIP and 21 fully sequenced  
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25 159 insertions from PCR validation experiments (Additional file 1: Table S2). In total, 326 insertions  
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28 160 were verified, and the FDR of orientation annotation was 21.82%.

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30 161 *Subfamily annotation for RIPs.* The subfamily annotation of RIPs was performed according to  
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33 162 known active retrotransposons. We first constructed a comprehensive retrotransposons  
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36 163 sequence library. Alu subfamily consensus sequences were acquired from RepBase 17.07  
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39 164 [20]. L1 subfamily consensus sequences were acquired from Eunjung Lee [10]. SVA and LTR  
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42 165 consensus sequences were acquired from Baillie [24]. Next, we performed multiple subfamily  
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45 166 sequence alignment for each type of retrotransposon and discovered the diagnostic nucleotide  
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48 167 for each subfamily (for details see Additional file 1: Table S3-5). Specially, we discovered the  
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51 168 diagnostic nucleotide of L1 from previous studies [25-28]. We then assembled the “discordant  
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54 169 reads” of each RIP into contigs using CAP3 [29] and realigned them against all of the  
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57 170 subfamily sequences using BLAST (NCBI BLAST , RRID:SCR\_004870)[30] (Additional file 2:  
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60 171 Text S3-4).

1 172 *Length annotation for RIPs.* During mapping the contigs to subfamily sequences, we identified  
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3 173 the first mapped site of the 5' and 3' ends of the subfamily sequence and accordingly counted  
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6 174 the lengths from the initial site ( $L_{min}$  and  $L_{max}$ ). The length of inserted retrotransposon ( $L_{retro}$ )  
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9 175 was calculated as the difference between the maximum and the minimum length of the aligned  
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12 176 sequence, as follows:

$$L_{retro} = L_{max} - L_{min} + 1.$$

### 178 **Simulation of RIP data**

179 In total, 761 TEs were randomly selected from our reference TE database (see Materials and  
180 methods: Annotation of TE insertions) and inserted into HG19 autosomes randomly to  
181 generate a new human genome (for details see Additional file 1: Table S6). The pIRS [31]  
182 software was used to generate approximately 60× paired-end 100 bp reads; then, we mapped  
183 these reads to the HG19 genome by BWA. Then, we used SID to detect these RIPs in the  
184 simulated genome. By repeating this process, we obtained results from simulated data with  
185 different depths to assess the sensitivity and specificity of RIP detection in sequence data with  
186 distinct depth using SID.

### 187 **Reference RIP detection**

188 The reference RIPs were detected as a subset of deletions of the samples relative to the HG19  
189 reference (Additional file 2: Figure S1). These deletions were selected from the results of  
190 structural variation (SV) detection of YH90 samples, and the RIPs were annotated based on  
191 matched deletion coordinates to HG19 annotation of RepeatMasker (greater than 90% of them  
192 overlap with each other) [32].

193 The reference RIPs should be absent in the chimpanzee genome. The alignments of

1 194 chimpanzee mapped to the human genome were downloaded from UCSC  
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3 195 (<http://hgdownload.cse.ucsc.edu>). One reference RIP candidate should correspond to a gap  
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6 196 with an overlap of greater than 90% to each other, and no gaps were present in the  
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9 197 chimpanzee genome at this locus. The RIP candidates were filtered if no polymorphisms were  
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12 198 present in the YH90 samples (i.e., the allele frequency was equal to 180).

## 14 199 **Results**

### 16 200 **Establishment of SID**

19 201 To detect non-reference RIPs from WGS data accurately and in a time-efficient manner, we  
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22 202 developed SID, which can detect non-reference RIPs easily and quickly through discordant  
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25 203 reads detection and reads clustering. In the first step, three types of informative discordant  
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28 204 reads were selected for further analysis (Fig. 1a). Then, the reads that had mismatched bases  
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31 205 at the terminals (Fig. 1b, 1c) were used for judging heterozygosity. The clipped reads were  
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34 206 used to confirm the sequence of TSD and the precise insertion site of certain TEs.

### 36 207 **Non-reference retrotransposon insertion calling**

38 208 To investigate the influence of sequencing depth on RIP detection sensitivity and accuracy, we  
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41 209 simulated sequence data at different depths. Detection sensitivity dramatically increased with  
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44 210 increasing sequencing depth and achieved 95% (730/761) when the sequencing depth was  
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47 211 greater than 30x. By contrast, detection accuracy slightly changed with increasing sequencing  
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50 212 depth (Fig. 2a).

52 213 We next estimated the RIP detection sensitivity using two real sequencing datasets. One  
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55 214 dataset was the CEU trio data, which was deep-sequenced (> 75x) Illumina HiSeq data  
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58 215 generated by the Broad Institute (father NA12891, mother NA12892 and the female offspring

1 216 NA12878) from the 1000GP. We first used SID to detect the RIPs of each individual in the CEU  
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3 217 dataset and evaluated the sensitivity by comparing the detection results with the  
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6 218 PCR-validated datasets from Stewart et al. [12]. For Alu, the mean sensitivity reached 96.3%  
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9 219 among individuals. We also obtained a mean sensitivity of 80.3% and 83.3% for L1 and SVA,  
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11 220 respectively (Additional file 1: Table S7).

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14 221 The other dataset, including NA18571, NA18572 and NA18537, was also recruited in  
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17 222 1000GP. The RIP datasets of these three individuals detected by SID were larger and covered  
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20 223 70.08% of the same sample's results in 1000GP on average (Additional file 2: Figure S2). We  
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22 224 estimated RIP detection accuracy using the sequencing data from a lymphocytic cell line  
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25 225 (YH\_CL, ~52x) obtained from an Asian individual. These data represent the first Asian diploid  
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28 226 genome dataset, and we performed PCR validation. We randomly selected 103 detected RIPs,  
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31 227 and 93/96 (7 loci were removed because of the poor primer specificity) loci were successfully  
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34 228 validated, indicating that SID had an accuracy of 90.29% - 96.88% (Additional file 1: Table S8  
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37 229 and Additional file 2: Figure S3 and Text S5). We also used the PCR validation result to assess  
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40 230 the accuracy of genotyping, which was approximately 93.55% (87/93, Fig. 2b, Additional file 2:  
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42 231 Text S6).

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45 232 We next compared the RIP detection efficiency of different methods (SID, RetroSeq [11]  
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48 233 and TEA [10]) using YH\_CL and three samples (NA18571, NA18572 and NA18537) from  
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51 234 YH90 (Additional file 2: Text S7). The run time of SID was approximately 3-fold reduced  
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54 235 compared with the other two methods, suggesting that SID was the most time-saving method  
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57 236 among the three methods (Additional file 2: Table S9). SID and TEA had comparable  
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60 237 sensitivities that were increased compared with RetroSeq (Additional file 2: Figure S4). We

1 238 also validated the uniquely detected RIPs by PCR (Additional file 1: Table S10) with an  
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3 239 accuracy of 75.86% (22/29) and 77.78% (7/9) for Alu and L1, respectively, revealing a higher  
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6 240 RIP detection accuracy (Alu: 42.10% (8/19) and 82.61% (19/23) and L1: 66.67% (2/3) and  
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9 241 66.67% (2/3) for RetroSeq and TEA, respectively).

## 10 11 242 **A comprehensive RIP landscape of the Han Chinese population**

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14 243 We then performed RIP detection on a much larger scale. We sequenced 90 Han Chinese  
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17 244 individuals and generated Illumina paired-end sequence data at an average depth of 68x for  
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20 245 each sample (Additional file 1: Table S1). Using SID, the high depth of the dataset (much more  
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23 246 than 30x) allowed us to build a comprehensive non-reference RIP landscape with high  
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26 247 confidence[16].

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28 248 In total, we identified 9342 non-reference RIPs in autosome regions, including 6483 Alu  
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31 249 elements, 2398 L1s, 61 LTRs and 400 SVAs (Fig. 3a; for details, see Additional file 1: Table  
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34 250 S11 and Additional file 2: Text S8). Of this dataset, 8433 RIPs, including 5826 Alu elements,  
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37 251 2169 L1s, 383 SVAs, and 55 LTRs, were novel compared with dbRIP (Fig. 3b). The average  
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40 252 number of non-reference RIPs per individual was 1394 (ranging from 1304 to 1493, Fig. 3c),  
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43 253 including 1110.80 Alu elements, 231.34 L1s, 43.14 SVAs and 9.01 LTRs, and each type of RIP  
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46 254 had a similar proportion ( $P = 0.6364$ ,  $P = 0.2711$ ,  $P = 0.2128$ ,  $P = 0.5582$ , respectively,  
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49 255 Wilcoxon signed-rank test). We compared pair-wise individuals of all 90 samples, and the  
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52 256 average specific loci number was 672.79, which is approximately half (48.25%) of  
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55 257 non-reference RIPs of one individual.

56 258 We next compared our results with the 1000GP SV dataset. In total, 34.94% (3264/9342)  
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59 259 of RIPs in YH90 were also found in the 1000GP dataset. The Pearson correlation coefficient

1 260 was 0.7998 ( $P < 2.2 \times 10^{-16}$ ) between YH90 and all the 26 populations in 1000GP SV dataset.

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3 261 The Pearson correlation coefficient was 0.8856 between YH90 and the East Asian (EAS)

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6 262 population in 1000GP, which was higher than other populations ( $r = 0.7662$ ,  $r = 0.5741$ ,  $r =$

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9 263  $0.7025$  and  $r = 0.7627$  for American (AMR), African (AFR), European (EUR) and South Asian

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11 264 (SAS) populations, respectively. Additional file 2: Text S9)[14].

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14 265 Specific insert location information enabled us to investigate genome-wide sequence

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17 266 patterns of these non-reference RIPs. We observed that the non-reference RIPs varied among

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20 267 chromosomes (Fig. 3d, e). Notably, we found that the two different subpopulations (from

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22 268 southern and northern China) had similar patterns of RIP distribution ( $r = 0.782$ , Fig. 3e and for

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25 269 details see Additional file 2: Figure S5). However, the distribution of non-reference RIPs was

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28 270 not obviously correlated with GC content, fixed RIPs, or SNPs of the same sample within 10M

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31 271 non-N bins (Additional file 2: Figure S6).

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34 272 To further investigate the distribution of non-reference RIPs in the functional region, we

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36 273 annotated all the inserted loci (Fig. 3f). Greater than half of RIPs (4828/9342) were located in

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39 274 gene regions, and the majority of these were located in introns. Only 5/9342 RIPs were located

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42 275 in protein-coding regions, including three genes, C1orf66 (Alu-inserted), SNX31 (Alu-inserted)

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45 276 and APH1B (SVA-inserted), with low frequency (1/90) and two genes, ADORA3 (Alu-inserted)

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48 277 and Slco1b3 (L1-inserted), with higher frequency (44/90 and 12/90, respectively). In addition to

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51 278 gene regions, we also found that on average 9.78% and 4.93% RIPs were located in enhancer

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53 279 regions and promoter regions per sample, respectively (Fig. 3f).

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55 280 Furthermore, we annotated the subfamily, orientation and sequence length of all detected

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58 281 inserted retrotransposons based on regional sequence assembly and remapping to the



1 282 retrotransposon library. The AluY sub-family constituted essentially all non-reference Alu  
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3 283 insertions, in which AluYa5 and AluYb8 were mostly active (Additional file 1: Table S11),  
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6 284 supporting conclusions from previous studies [26, 33, 34].  
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9 285 The orientation of one RIP is determined from the mapping orientation of contigs to a  
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11 286 retrotransposon reference and the existence of poly-A or poly-T tails of the inserted sequence  
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13 287 (Additional file 1: Table S11). Previous studies have reported that the gene-inserted RIP had a  
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16 288 greater influence on gene expression if it was inserted on the same orientation as the target  
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19 289 gene [2, 35]. However, we detected a comparable number of direct and reverse events (0.475  
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22 290 and 0.525, respectively), arguing against an obvious natural selection on the RIPs with  
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25 291 consistent orientation with the inserted gene.  
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27  
28 292 Along with subfamily and orientation annotation, we also calculated the length of each  
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31 293 insertion sequence. We found that different types of TE insertions had different length  
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34 294 distributions (Additional file 2: Figure S7). Greater than half of Alu elements (~70%) were  
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36  
37 295 full-length, whereas the length of the L1 was distributed more discretely. Most L1s (> 80%)  
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39 296 were fractured during the process of retrotransposon, which is consistent with a previous study  
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42 297 [13].  
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#### 44 298 **RIPs of a healthy population**

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47  
48 299 The pure and comprehensive RIP dataset can be used as a baseline of healthy people for  
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51 300 other disease-related research, especially single-gene diseases. The candidate  
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54 301 disease-related retrotransposon insertions found in this dataset were filtered. We explicitly  
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56  
57 302 measured the overlap between our dataset and the disease-related retrotransposon insertion  
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59 303 data in dbRIP (<http://dbrip.org>) [36]. None of the insertion sites existed in our dataset,  
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1 304 indicating the accuracy of the database. We also tested some cancer research data. We  
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3 305 tested the dataset of candidate cancer-related somatic retrotransposon insertions that was  
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6 306 strictly generated from data of The Cancer Genome Atlas (TCGA) Pan-Cancer Project for 11  
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9 307 tumor types. No overlapping RIPs were detected, whereas 43.36% germline retrotransposons  
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12 308 were detected. According to the comparison of colon cancer-specific data [9], we identified two  
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14 309 L1 insertions consistent with our dataset with frequency of 51/90 and 50/90. These two L1  
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17 310 insertions were germline retrotransposon insertions that were further validated by PCR  
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20 311 validation in Solyom's research. We also tested the candidate hepatocellular  
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23 312 carcinoma-specific insertions [8] and identified one L1 insertion that was also present in our  
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25 313 dataset with a frequency of 9/90. This site was finally validated as a germline insertion by PCR  
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28 314 in that research. In conclusion, our data provide a reference panel to exclude false positive  
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31 315 insertions related to cancer.

### 32 33 34 316 **Population evolution analysis**

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36 317 To perform the population evolution analysis of RIPs, we first merged the non-reference RIP  
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39 318 dataset with the "reference" retrotransposon insertions that were polymorphic in YH90  
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42 319 samples (Additional file 2: Figure S1) to obtain all RIPs from our samples. The retrotransposon  
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45 320 insertions with a frequency equal to 1 were removed from our non-reference RIPs. The  
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48 321 "reference" RIPs were defined as the reference genome-specific retrotransposon insertions  
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51 322 compared with each individual of the YH90 group. These reference RIPs were selected from  
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53 323 the dataset of YH90 deletions, and only the RIPs absent in chimpanzee were retained.

54  
55  
56 324 Allele frequency spectrum (AFS) was not only influenced by the natural selection but also  
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58  
59 325 by demographic history. For example, a low-frequency bias for the majority of mutations can

1 326 also be obtained if the population recently experienced a bottleneck [37].

2  
3 327 To perform the neutral test more accurately, we took the demographic history into  
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6 328 consideration (Additional file 2: Text S10). We simulated the following two different  
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9 329 demographic scenarios: a two-epoch population with a recent contraction and a three-epoch  
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11 330 bottleneck-shaped history containing a reduction of effective population size in the past  
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14 331 followed by a recent phase of size recovery (Fig. 4a). We tested the different assumptions with  
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16  
17 332 the SNP dataset (Fig. 4b and Additional file 2: Table S12), which supported that the  
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20 333 three-epoch model was the best model.

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22 334 Next, we explored the possibility of using RIP information to perform population evolution  
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24  
25 335 analysis. Based on the genotyping result of the merged RIP dataset, we described the RIP  
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28 336 AFS (Fig. 4c and Additional file 2: Text S11). The neutral model expectation can be calculated  
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31 337 using the formula  $\theta/i$ , where  $\theta$  is the insertion diversity parameter and  $i$  (180) is the allele  
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34 338 count in a fixed number of samples  $n$  (90) [37]. The spectrum was skewed toward low-allele  
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37 339 frequency compared with the distribution of the expected neutral model, indicating possible  
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39 340 negative selection pressure on retrotransposon insertions.

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42 341 To investigate the influence of the demographic history on RIP AFS, we performed  
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45 342 demographic correction and re-analyzed the RIP AFS under different selection models (Fig. 4d  
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48 343 and Additional file 2: Figure S8-9). The classification of neutral with negative and positive  
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51 344 selection indicates that a proportion of RIPs was neutral, and a proportion of RIPs was under  
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53 345 negative selection. In addition, other RIPs were under positive selection (m1), neutral with  
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56 346 negative selection (m2), neutral with positive selection (m3), negative selection (m4), positive  
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59 347 selection (m5), and neutral selection (m6). We further calculated the selection coefficient ( $S'$ )

1 348 under each best-fit model with the determination of an approximately neutral selection effect  
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3 349 threshold ( $S' < 0.01\%$ ) [38]. Models m1 and m2 were the most fitted models with the observed  
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6 350 RIP AFS (Additional file 2: Table S13). The best-fit result of model m1 demonstrated that  
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9 351 approximately 75% RIPs were under negative selection with  $s = 0.0290\%$ , which indicates that  
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12 352 these RIPs are weakly deleterious. In addition, 10% were under positive selection, whereas 15%  
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15 353 were neutral. Under model m2, the best-fit result demonstrated that 70% of RIPs were under  
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18 354 negative selection with  $s = 0.0396\%$ . In addition, 30% of RIPs were neutral. The selection  
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21 355 coefficient was  $0.0079\%$  under the all negative selection model, indicating an approximately  
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23 356 neutral selection effect.

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25 357 The distribution of fitness effects of retrotransposon subfamilies (L1, SVA and Alu) was  
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28 358 also estimated under the same demographic model. Assuming that all RIPs of different  
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31 359 subfamilies were under negative selection (model m1), the selection coefficient models were  
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34 360 various among three subfamilies of RIPs ( $S' = -0.0143\%$ ,  $S' = -0.0172\%$ ,  $S' = -0.0068\%$  for L1,  
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37 361 SVA and Alu, respectively), suggesting that there is more natural selection pressure on L1 and  
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40 362 SVA (weakly negative selection) compared with Alu (nearly neutral selection).

### 41 363 **Phylogenetic analysis**

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44 364 To investigate whether RIP information can be used to separate the Northern and Southern  
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47 365 Chinese groups, we performed principal component analysis (PCA) using the RIPs detected  
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50 366 from the YH90 dataset, which provided well-resolved Northern and Southern Chinese groups  
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53 367 (Fig. 5a and Additional file 2: Text S12). Compared with the PCA result derived from the SNPs  
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56 368 detected from the same dataset (Fig. 5b), there seemed to be more overlapping observations,  
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59 369 indicating SNPs might be more informative in resolving the two distinctive populations. Next,

1 370 we determined whether it is possible to perform phylogenetic analysis using RIP information  
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3 371 detected from the YH90 dataset. Two phylogenetic trees were constructed using RIPs and  
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6 372 SNPs, separately (Fig. 5c and 5d; for details, see Additional file 2: Text S13). Similar to the  
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9 373 PCA result, increased mixing between Northern and Southern Chinese individuals was  
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11 374 observed for the phylogenetic tree derived from the RIP information. Interestingly, HG00534,  
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14 375 an isolated Southern Chinese individual located in a northern cluster in the phylogenetic tree  
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17 376 established using the SNP information, clustered largely with Southern Chinese individuals in  
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20 377 the phylogenetic tree derived from the RIP information. Future studies are warranted to  
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23 378 explore whether combining SNPs with RIP results in the construction of a more accurate  
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26 379 phylogenetic tree.

## 27 28 29 380 **Conclusions**

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33 381 In this paper, we developed the computer program SID to detect the non-reference RIPs of 90  
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36 382 healthy Han Chinese individuals using high-depth WGS. We described the landscape of RIP  
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39 383 distribution on population genomes and annotated the subfamily, orientation, and length of  
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42 384 RIPs. We demonstrated that the RIPs could be used as a normal baseline for  
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45 385 retrotransposon-related disease research.

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47 386 To our knowledge, this is the largest Han Chinese genomics dataset to date. Compared  
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50 387 with 1000GP results from the same samples, approximately half (mean 48.05%; Additional file  
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53 388 2: Figure S2) of RIPs in our dataset were previously observed, suggesting that our  
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56 389 deep-sequenced data exhibited increased detection sensitivity compared with low coverage  
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59 390 data. For example, serum ACE levels were determined by the Alu insertion/deletion (I/D)

1 391 polymorphism in the following order: DD > ID > II [39]. The D allele of the ACE gene was  
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3 392 associated with essential hypertension in different populations [40-43]. We found that the ACE  
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6 393 gene harbored an Alu insertion in the 15<sup>th</sup> intron with a frequency of 81/90 in our 90 Chinese  
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9 394 genomes compared with a considerably reduced frequency (7/63) in CEPH individuals [12],  
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12 395 which was supported by a previous study [44]. To our surprise, no RIP ACEs were present in  
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14 396 Han Chinese samples from the 1000GP dataset, which is a high-frequency inserted gene in  
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16  
17 397 our RIP data. ACE-specific PCR validation (Additional file 2: Figure S10) and a previous ACE  
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20 398 study [45] indicated that our results were consistent with the real values. This finding suggests  
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23 399 that adequate sequencing depth is important to investigate RIP frequency and that our data  
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26 400 present a result that is consistent with the actual situation. The highly sensitive and accurate  
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29 401 RIP dataset provided a perfect opportunity to perform RIP fitness analysis. This study  
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32 402 evaluates the natural selection effect on retrotransposon insertions at the population level. As  
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34 403 a type of long fragment insertion, RIPs are under approximately neutral selection. This finding  
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37 404 is consistent with our result that retrotransposon insertions are mostly relatively  
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40 405 inconsequential because the harbored genes are always relatively unimportant. Regarding  
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42 406 different types of RIPs in addition to Alu, the longer insertion elements L1 and SVA exhibit  
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45 407 weakly positive selection pressure.

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47 408 This dataset can be compared with others to provide guidance in research of the  
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50 409 disease-causing mechanisms in certain populations and to successfully determine the  
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53 410 insertion time of a specific locus. This dataset can also be used as a standard for other RIP  
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56 411 research and can serve as a baseline to filter irrelevant RIPs in disease-causing  
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59 412 retrotransposon research. Genome-wide association studies (GWAS) have proven their utility

1 413 in identifying genomic variants associated with the risk for numerous diseases. Unlike SNPs  
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3 414 and copy number variations (CNVs) that are widely used in GWAS, RIPs have generally been  
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6 415 overlooked as a major contributor to human variation. Significantly, this dataset provides a  
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9 416 valuable resource to perform GWAS and identify more markers related to complex diseases.

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11 417 The high cost of WGS at high depth is still a major limitation, preventing it from being  
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14 418 widely used in TE research. Furthermore, the large amount of data yielded by high-depth WGS  
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17 419 makes it difficult to undertake bioinformatic analysis. With the development of biotechnology  
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20 420 and IT, this situation should improve soon.

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22 421 The next step is to research RIPs at the transcriptome level. The impact of RIPs on gene  
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25 422 expression remains unclear. Combining the genome and transcriptome would provide a  
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28 423 comprehensive picture about the regulation of RIPs. Thus, we can further expound the  
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31 424 position of the retrotransposon in the course of human evolution.

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36 426 **Availability and requirements**

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39 427 ● Project name: Specific Insertions Detector (SID)  
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42 428 ● Project home page: <https://github.com/Jonathanyu2014/SID>  
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44  
45 429 ● Operating system(s): Linux  
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48 430 ● Programming language: Perl  
49  
50  
51 431 ● Other requirements: Perl 5.14 or later, BLAST v2.2.25 or later, Samtools v1.0 or later  
52  
53  
54 432 ● License: Apache License 2.0  
55  
56 433 ● Any restrictions to use by non-academics: None  
57  
58

59 434 **Additional files**

1 435 Additional file 1: Supplementary tables. Data description and the results of RIPs calling. (XLSX  
2  
3 436 1991 kb)

4  
5  
6 437 Additional file 2: Supplementary texts, figures and tables. (PDF 956 kb)

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9  
10 438 **Abbreviations**

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13 439 CNV, copy number variation; ENA, European Nucleotide Archive; GWAS, genome-wide  
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16 440 association study; LTR, long terminal repeat; L1, long interspersed nuclear element 1; NGS,  
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19 441 next-generation sequencing; PCA, principal component analysis; RIP, retrotransposon  
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21  
22 442 insertion polymorphism; SID, specific insertions detector; SNP, single nucleotide  
23  
24 443 polymorphism; TCGA, The Cancer Genome Atlas; TE, transposable element; TSD, target site  
25  
26  
27 444 duplication; WGS, whole-genome sequencing.

28  
29  
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33  
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35  
36  
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38  
39  
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41  
42  
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44  
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46  
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48 451 [KQCX20150330171652450].

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52 452 **Availability of data and materials**

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55 453 The source code of SID is available from the GitHub and Zenodo repositories[46]. The human  
56  
57  
58 454 (Homo sapiens) reference genome sequence (HG19) and its annotation files were



1 455 downloaded from UCSC Genome Bioinformatics (<http://genome.ucsc.edu/>). The raw  
2  
3 456 sequence data of YH\_CL is available from the ENA repository (accession number ERA000005)  
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6 457 [47]. All the YH90 raw sequences have been released to the ENA repository (bioproject  
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9 458 number: PRJEB11005) and the processed data is also available from the *GigaScience*  
10  
11  
12 459 GigaDB repository [48]. Snapshots of the code, alignments, and results files are also hosted in  
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14 460 GigaDB[49]. Protocols used for simulating reads for SNP Indel calling and detection of  
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17 461 transportable element insertions are also hosted in the protocols.io repository[50, 51].  
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## 21 462 **Authors' contributions**

22  
23  
24 463 BL, SL and YH initiated this project and reviewed the manuscript. QY, XZ, YZ and XH drafted  
25  
26  
27 464 the manuscript. XH and JL edited the manuscript. QY, WZ, XZ and YW performed the data  
28  
29  
30 465 analysis and drew the pictures. YZ and YW designed and developed the SID program. NL, XZ  
31  
32  
33 466 and GL conducted the experiment for sequencing. LX designed the primers and performed  
34  
35  
36 467 PCR validation. YH, BL, SL, XZ, XG and XH provided fruitful discussions.  
37  
38

## 39 468 **Competing interests**

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42  
43 469 The authors declare that they have no competing interests.  
44  
45

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9  
10 476 **Ethics, consent and permissions**

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12  
13 477 This study was approved by BGI-IRB (NO. 16101).  
14  
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16  
17 478 **Consent to publish**

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19  
20 479 Both BGI-IRB and participants involved consented to publish this research.  
21  
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23 480  
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27 481 **References**

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35 616 **Figure legends**

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39 617 **Fig. 1** The principle of retrotransposon insertion detection. (a) Schematic diagram of using SID  
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41 618 for RIP detection in the genome. TSD: target site duplication. SID: Specific Insertions Detector.

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44 619 (b) An example of reads mapping for predicted homozygous insertions. (c) An example of  
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47 620 reads mapping for predicted heterozygous insertions. In (b) and (c), the red bases indicate the  
48  
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50 621 mismatches, and the sequences with an orange background represent the clipped part of the  
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53 622 reads. The clipped reads are derived from one allele with inserted retrotransposons, and the  
54  
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56 623 normal reads are derived from the other allele with the same reference. The three reads with  
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58 624 asterisks indicate no clipped part but the presence of terminal mismatches, which can also



1 625 support the breakpoint and exhibit consistency with the clipped reads.

2  
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4 626 **Fig. 2** Assessing the SID results. (a) Detecting accuracy and sensitivity estimation along  
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7 627 cumulating sequencing depth of simulated data. (b) RIP genotyping of YH\_CL. PCR validation  
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10 628 results are marked. HEE: estimated heterozygous site. HOE: estimated homozygous site.  
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12 629 HEV: validated heterozygous site. HOV: validated homozygous site. The dash line indicates  
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15 630 the estimated boundary between heterozygous and heterozygous sites. Note that some of the  
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18 631 validated RIPs are present in the same locus in the plot figure.

19  
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21 632 **Fig. 3** Comprehensive landscape of non-reference RIPs of YH90. (a) Proportions of novel  
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23  
24 633 insertions identified for each type of retrotransposon. (b) Comparison of YH90 non-reference  
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27 634 RIP results with dbRIP. Adjacent 100-bp regions of RIPs were taken into consideration. (c) TE  
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30 635 distribution of each YH90 sample. (d) Box plots of non-reference RIP distribution among  
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33 636 autosomes. (e) TE frequency distribution among YH90 samples. Rings from outer to inner  
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36 637 indicate Alu insertions frequency, L1 insertion frequency, SVA insertion frequency, LTR  
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39 638 insertion frequency and cytoband structure. The inside frequency of the rings indicates the  
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42 639 insertion frequency for the Northern Chinese group, and the outside frequency represents that  
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45 640 of the Southern Chinese group. (f) RIP distribution in different functional regions of the  
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48 641 genome.

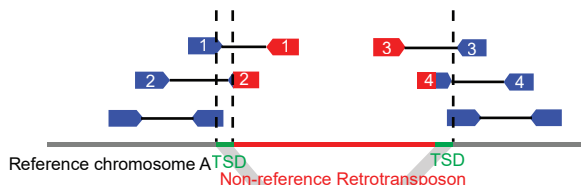
49  
50 642 **Fig. 4** Population genetics analysis based on YH90. (a) A two-epoch population with a recent  
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53 643 contraction; a three-epoch bottleneck-shaped history, which contained a reduction of the  
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56 644 effective population size in the past followed by a recent phase of size recovery. Details of the  
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59 645 parameters for all models are provided in Additional file 2: Table S12. (b) The observed SNP

1 646 frequency spectra and expected neutral SNP frequency spectra under different demographic  
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3 647 models. (c) Observed and expected RIP site frequency spectra before demographic correction  
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6 648 of each subfamily. (d) Assessing the evolutionary impact of RIPs in the human genome. The  
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9 649 allele frequency distribution of RIPs was compared among observed, neutral models and  
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12 650 negative models after demographic correction.

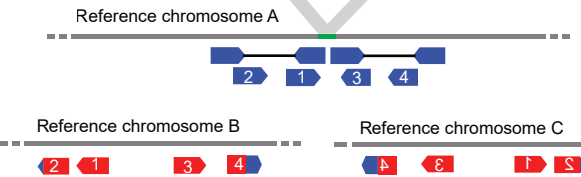
13  
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15 651 **Fig. 5** Phylogenetic analysis using RIPs and SNPs. (a) The detected RIPs were used for PCA.  
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18 652 Each dot represents a sample from YH90 and is plotted as scatterplot using PC1 and PC2.  
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21 653 Red indicates samples from individuals from northern China, and blue indicates individuals  
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24 654 from southern China. (b) The detected SNPs were used for PCA. The plot layout and legend  
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27 655 are the same as those presented in (a). (c) Phylogenetic tree constructed using the detected  
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30 656 RIPs. HG19 (green) is used as a control. Red indicates samples from individuals from northern  
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33 657 China, and blue indicates samples from individuals from southern China. (d) Phylogenetic tree  
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36 658 constructed using the detected SNPs. HG19 (green) is used as a control. Plot layout and  
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39 659 legend are same as that presented in (c).

Figure 1

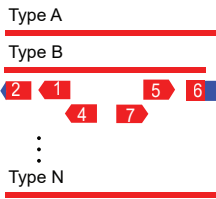
## Sample genome



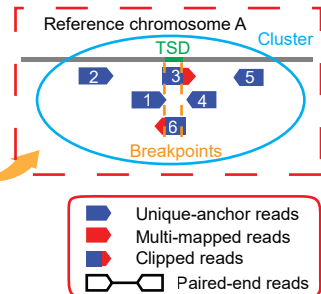
## Hg19 genome



## Retrotransposon library



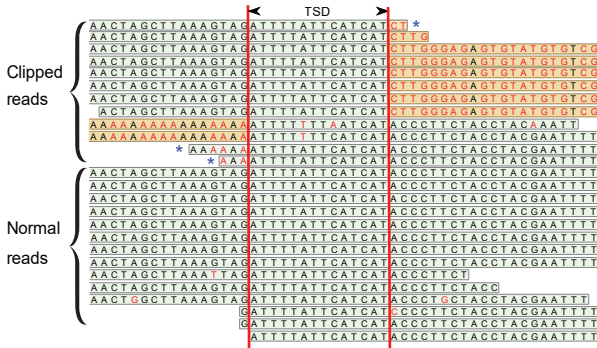
## Detection result



## B

[Click here to download Figure Fig. 1.pdf](#)

Reference AACTAGCTTAAAGTAGATTTTATT CAT CATA CCCTTCTACCTACGAAATTT



## C

Reference GGTTTACCAATTAGTCTCCCTTAAAGGGCACTGTTTATGATCATCACCACAAATGGATGCA

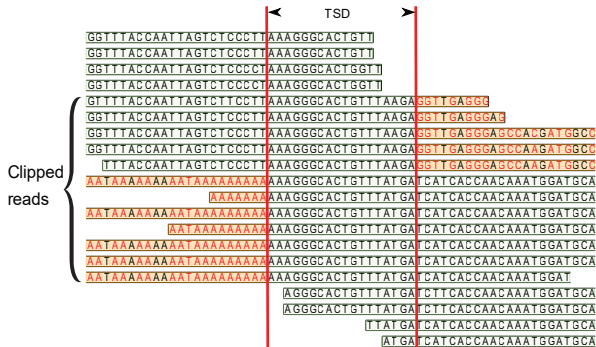
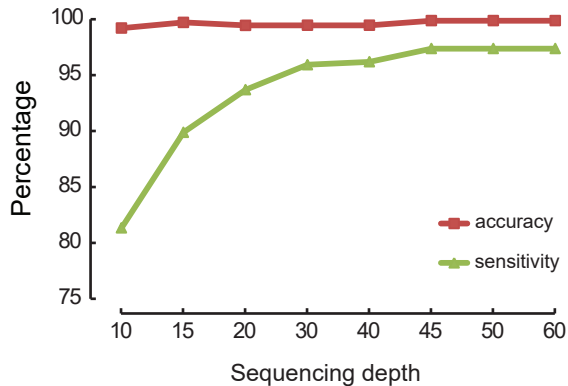


Figure 2

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A



B

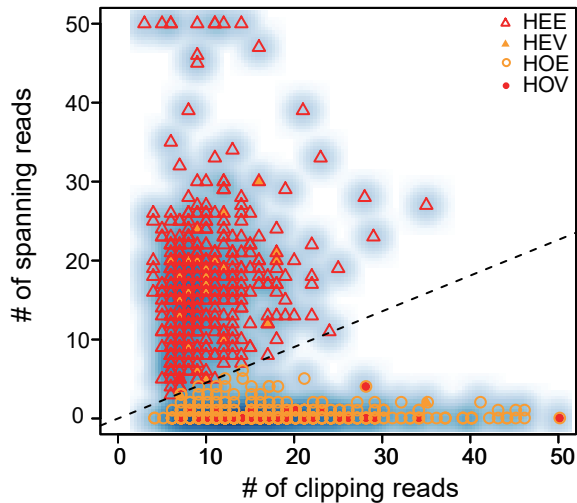
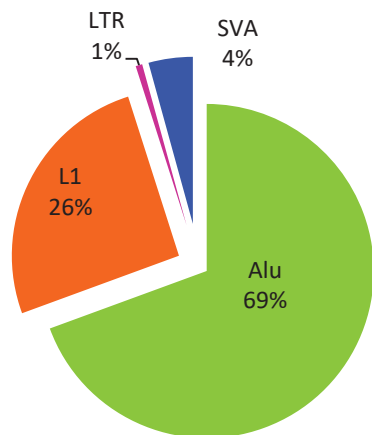


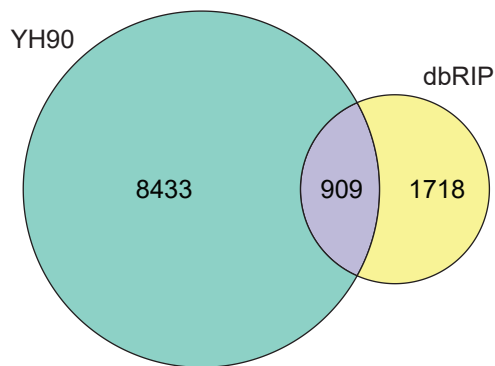
Figure 3

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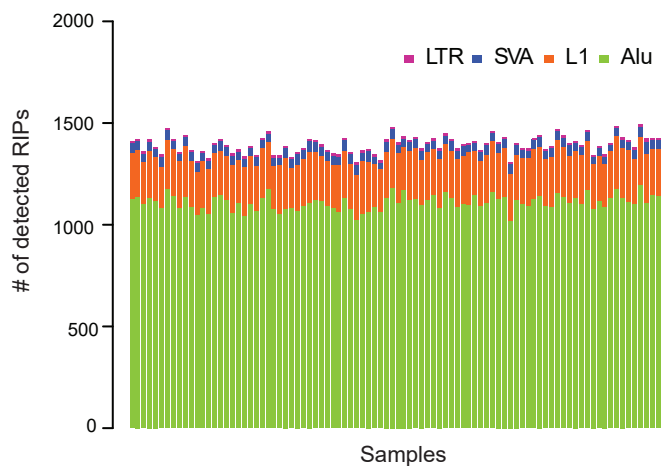
A



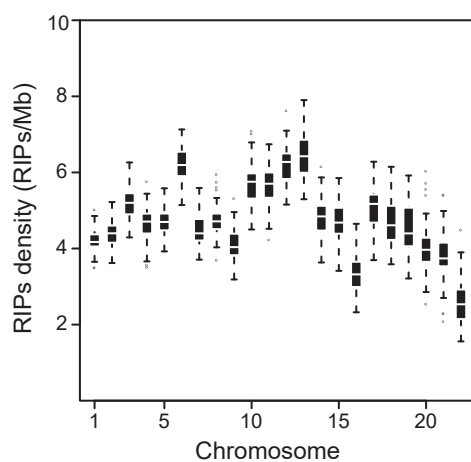
B



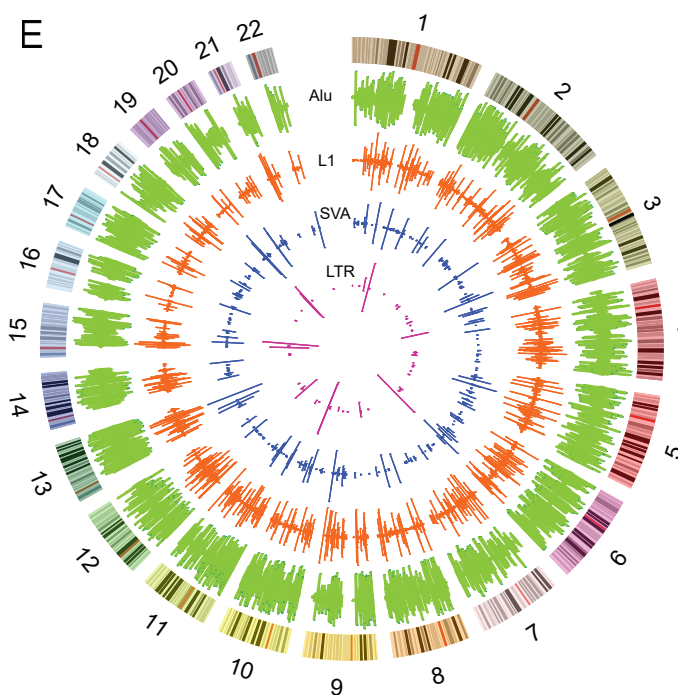
C



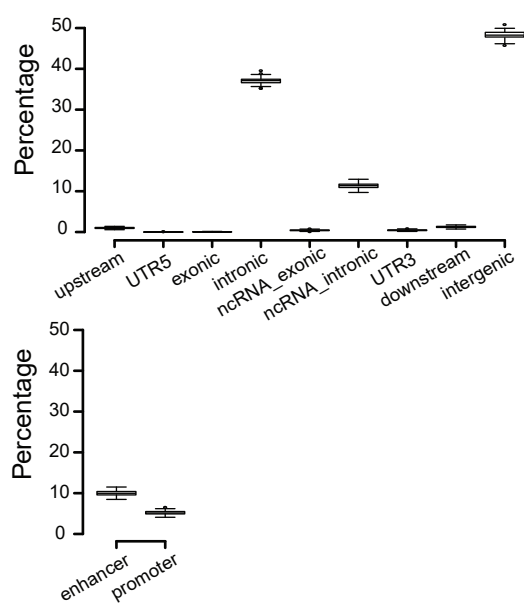
D



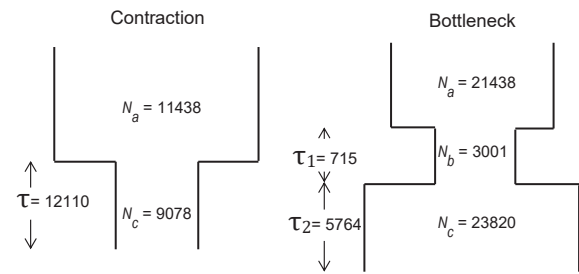
E



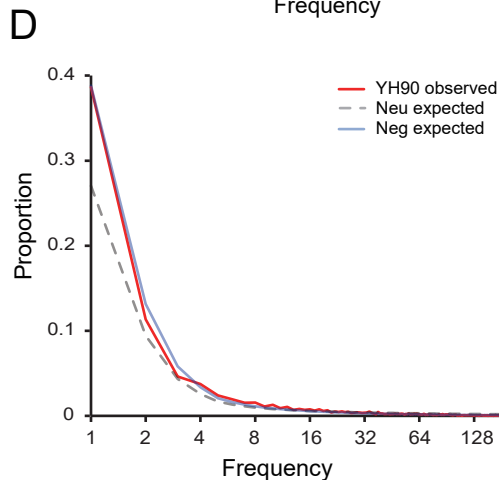
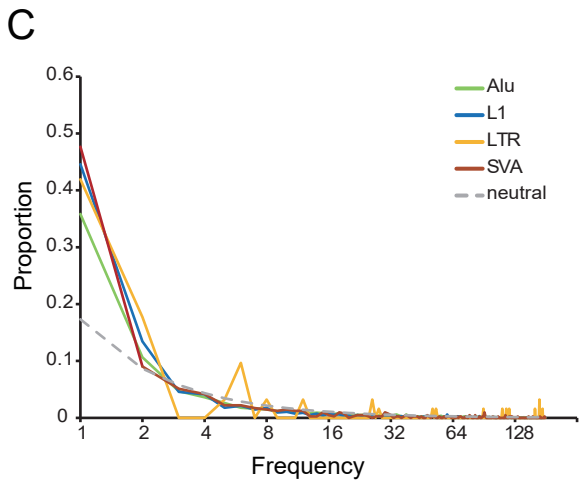
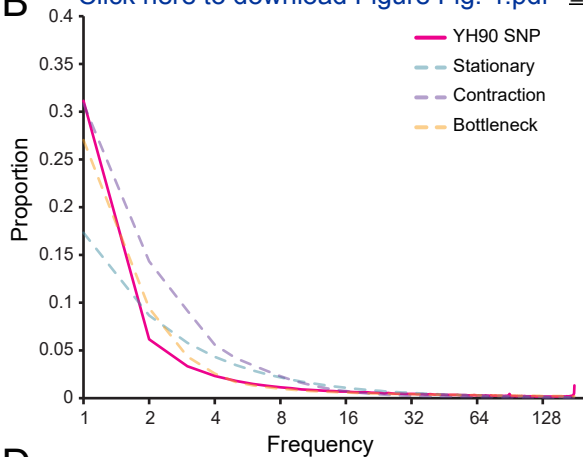
F




**Figure 4**



**B** [Click here to download Figure 4.pdf](#)

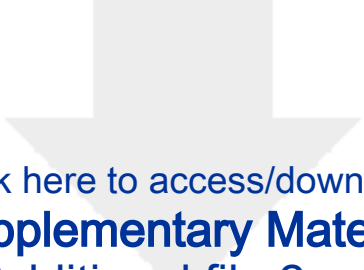






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