

BMJ Open

The evolving landscape of stroke prevention in atrial fibrillation within the United Kingdom between 2012 and 2016

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2016-015363
Article Type:	Research
Date Submitted by the Author:	01-Dec-2016
Complete List of Authors:	Lacoin, Laure; Bristol-Myers Squibb Lumley, Matthew; Pfizer Ridha, Essra; Bristol-Myers Squibb Pereira, Marta; Evidera McDonald, Laura; Evidera, Ramagopalan, Sreeram; Evidera Lefèvre, Cinira; Bristol-Myers Squibb France, Worldwide Health Economics & Outcomes Research Evans, David; Bristol-Myers Squibb France, Worldwide Health Economics & Outcomes Research Halcox, Julian; Swansea University College of Medicine, Institute of Life Sciences; Cardiff University School of Medicine, Institute of Molecular and Experimental Medicine
Primary Subject Heading:	Cardiovascular medicine
Secondary Subject Heading:	Epidemiology
Keywords:	Atrial fibrillation, Drug therapy, Electronic health records, Great Britain, Stroke < NEUROLOGY

SCHOLARONE™
Manuscripts

1
2
3 **The evolving landscape of stroke prevention in atrial fibrillation within the United Kingdom**
4 **between 2012 and 2016**

5 L. Lacoïn,*¹ M. Lumley,† E. Ridha,* M. Pereira,‡¹ L. McDonald,‡ S. Ramagopalan,‡ C. Lefevre,§ D.
6 Evans,¹ J. Halcox**

7
8 * UK Medical Department, Bristol-Myers Squibb, Uxbridge, UK; † Medical Department, Pfizer,
9 Tadworth, UK; ‡ Real-World Evidence, Evidera, London, UK; § Center of Observational Research and
10 Data Sciences, Business Insights and Analytics, Bristol-Myers Squibb, Rueil Malmaison, France;
11 WWHEOR Market, Bristol-Myers Squibb, Rueil Malmaison, France; ** Department of Cardiology,
12 Swansea University, Cardiff UK

13
14
15 ¹ Employee at time of the study

16
17 **Correspondence to:** Professor Julian Halcox, Swansea University, Singleton Park Campus, Sketty,
18 Swansea SA2 8PP, UK; tel 44 (0)1792 602938; j.p.j.halcox@swansea.ac.uk

19
20 **Short title:** Evolving stroke prevention for NVAf in the UK

21
22 **Word count:** 4000

23
24 *"The Corresponding Author has the right to grant on behalf of all authors and does grant on behalf of*
25 *all authors, [a worldwide licence](#) to the Publishers and its licensees in perpetuity, in all forms, formats*
26 *and media (whether known now or created in the future), to i) publish, reproduce, distribute, display*
27 *and store the Contribution, ii) translate the Contribution into other languages, create adaptations,*
28 *reprints, include within collections and create summaries, extracts and/or, abstracts of the*
29 *Contribution, iii) create any other derivative work(s) based on the Contribution, iv) to exploit all*
30 *subsidiary rights in the Contribution, v) the inclusion of electronic links from the Contribution to third*
31 *party material where-ever it may be located; and, vi) licence any third party to do any or all of the*
32 *above."*

ABSTRACT

Objective: To describe the changes in prescribing of oral anticoagulant (AC) and antiplatelet (AP) agents in patients with non-valvular atrial fibrillation (NVAF) in the UK and to identify the characteristics associated with deviation from guideline-based recommendations.

Design: Five cross-sectional analyses in a large retrospective population-based cohort study.

Setting: General practices contributing data to the UK Clinical Practice Research Datalink.

Participants: The study included patients with a diagnosis of non-valvular atrial fibrillation (NVAF) and eligible for anticoagulation (CHA2DS2-VASc score ≥ 2) on 1st April of 2012, 2013, 2014, 2015 and 1st January 2016.

Results: The proportion of patients being treated with AC increased at each index date, showing an absolute rise of 16.7% over the study period. At the same time, the proportion of patients treated with an AP alone was reduced by half, showing an absolute decrease of 16.8%. The proportion of patients not receiving any antithrombotic (AT) treatment remained the same across the study period. A number of predictors were identified for AP alone or no treatment compared with AC treatment.

Conclusion: Major improvements in the AT management of patients with NVAF for stroke prevention in the UK were observed between April 2012 and January 2016. Despite this, nearly 20% of at-risk patients still received AP alone and over 15% were on no AT agents in January 2016.

STRENGTHS AND LIMITATIONS

- A large representative population of patients with all forms of AF (paroxysmal, chronic) studied in the 'real-world' using data obtained from GP records in Clinical Research Practice Datalink (CPRD)
- Real-world data are more likely to reflect wider contemporary treatment practices than information obtained from registries
- Although CPRD is regularly and extensively audited to ensure data quality, the study is limited by the accuracy of GP records
- The completeness of the GP record is difficult to ascertain, and we may have not detected some individuals receiving AC prescriptions is secondary care

Key words: Atrial Fibrillation, Drug Therapy, Electronic Health Records, Great Britain, Stroke

INTRODUCTION

Atrial fibrillation (AF) is the most common cardiac arrhythmia,[1] estimated to affect up to 1.4 million people in England,[2] and is an independent risk factor for stroke, increasing the risk five-fold.[3]

Approximately 20% of stroke cases in the United Kingdom (UK) are thought to have AF as a contributing factor and AF-related strokes are more likely to be fatal or cause severe disability than non-AF-related strokes.[4, 5] However, AF-related strokes can be prevented and their impact minimised by effective management strategies including increased detection of AF, adherence to stroke prevention guidelines and anticoagulant (AC) use in at-risk patients.

Although anticoagulation is effective in preventing strokes due to AF, evidence suggests anticoagulation therapy remains underused.[6-12] In 2010, Holt et al. showed that only 50.7% of patients with non-valvular AF (NVAf) at high risk of stroke in the UK were treated with oral AC.[8] Opportunities to impact significantly on an important cause of cardiovascular morbidity and mortality are thereby frequently missed.

In 2012, a focused update of the 2010 European Society of Cardiology (ESC) guidelines for the management of AF was issued.[13] This update included three major changes based on new or strengthened evidence. Firstly, the CHA2DS2-VASc score replaced the CHADS2 score for the assessment of stroke risk. This is based on the accumulated evidence that CHA2DS2-VASc score, which is inclusive of the most common risk factors for stroke[14] and has been validated in multiple cohorts,[15] is better at identifying patients at “truly low risk” of AF-related stroke.[16-19] Secondly, the use of aspirin therapy for stroke prevention in AF was restricted to those patients who refuse oral anticoagulation. Thirdly, the use of non-vitamin K antagonist oral anticoagulants [(NOACs), such as dabigatran, apixaban, and rivaroxaban]) was recommended in preference to vitamin K antagonists (VKAs) in most patients with a CHA2DS2-VASc score ≥ 1 . [13]

1
2
3 Despite these guidelines and the weight of evidence, national audit data from the UK showed that
4
5 among patients with known AF admitted to hospital for stroke between January and March 2013,
6
7 38% were taking antiplatelet (AP) drugs alone.[20]
8
9

10 In 2014, when the National Institute for Health and Care Excellence (NICE) updated its AF clinical
11
12 guidelines (CG180),[21] it recommended that NOACs should be considered as equal first-line options
13
14 alongside warfarin for NVAF; furthermore in a significant change to established practice stated that
15
16 aspirin should not be used as monotherapy to prevent AF-related stroke. The Royal Colleges
17
18 published a Consensus Statement reiterating this advice and emphasising the importance of
19
20 ensuring patients are supported to make an informed choice of AC.[22]
21
22

23
24 It is not yet known whether the update of the ESC and NICE guidelines effectively impacted
25
26 treatment practices in the UK. Therefore, this study aims to describe the changes in primary care
27
28 prescribing of oral AC and AP agents in patients with NVAF eligible for anticoagulation during the
29
30 years 2012–2016, and to identify clinical characteristics associated with deviation from guideline-
31
32 based recommendations.
33
34

35 **METHODS**

36 **Data Source**

37
38 Data were obtained from the UK Clinical Practice Research Datalink (CPRD).[23] The CPRD is an
39
40 anonymised primary care database established in 1987 to collect longitudinal medical records data
41
42 from general practitioner (GP) practices. As of April 2013, the CPRD covered 674 GP practices with
43
44 4.4 million active patients (i.e., patients that are alive and registered), reflecting approximately 6.8%
45
46 of the UK population. This active sample is representative of the UK population in terms of age, sex,
47
48 and ethnicity. The CPRD contains patient registration information as well as events that the GP
49
50 records during routine clinical practice, including medical diagnoses, prescriptions issued,
51
52
53
54
55
56
57
58
59
60

1
2
3 anthropometric measurements, diagnostic tests, lifestyle information (e.g., smoking status, alcohol
4 intake) and referrals to secondary care.
5
6

7
8 The CPRD has broad National Research Ethics Service Committee (NRES) ethics approval for purely
9 observational research. This study protocol was approved by the MHRA Independent Scientific
10 Advisory Committee (protocol 14_245R).
11
12
13

14 **Study Population**

15
16 All patients with a diagnosis of NVAf and eligible for anticoagulation according to ESC 2012[13] and
17 NICE 2014[21] guidance at index date (CHA2DS2-VASc score ≥ 2) were included in five cross-sectional
18 analyses: on 1st April of 2012, 2013, 2014, and 2015 and 1st January of 2016 (index date for each
19 year). Patients were further required to be at least 18 years old at the index date, have had at least
20 one consultation with their GP in the last 12 months, have ongoing CPRD registration, and have at
21 least 12 months of computerised medical data prior to the index date. Patients were excluded from
22 the study if they had a valvular condition (e.g., rheumatic mitral or aortic valve disease, or prosthetic
23 valve; codes used to identify patients are in the data supplement), or if their gender was unknown.
24
25 Figure 1 summarises the patient selection process.
26
27
28
29
30
31
32
33
34
35
36
37

38 **Study Variables**

39
40 Exposure to AC was defined by the last anticoagulation prescription identified in the 90-day period
41 preceding the index date. Three type of regimens were defined: AC, AP alone, or no antithrombotic
42 (AT) treatment. AC included vitamin K antagonists, apixaban, rivaroxaban, dabigatran, and
43 parenteral AC. International normalised ratio (INR) measurements were treated as an indicator of
44 VKA exposure and was therefore used to extend VKA exposure time. Exposure to AP alone was
45 defined by an absence of AC prescription and the presence of at least one AP prescription in the 90-
46 day period preceding the index date. No AT was defined by the absence of AC or AP prescription in
47 the 90-day period preceding the index data.
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 Demographic characteristics included age, gender, and country of residence. Clinical characteristics
4
5 were body mass index (BMI), smoking status, time since NVAF diagnosis, stroke risk factors (previous
6
7 stroke, transient ischaemic attack [TIA] or other arterial thromboembolism, congestive heart failure
8
9 (CHF), coronary artery disease, peripheral artery disease, hypertension, or diabetes mellitus), other
10
11 bleeding risk factors (previous bleeds, peptic ulcer, renal disease, liver disease, concomitant
12
13 treatment with antiplatelet or nonsteroidal anti-inflammatory drugs, or high alcohol intake), falls,
14
15 active cancer (at least one diagnosis related to cancer in the last 12 months) and number of
16
17 concomitant treatments (prescribed in the last 90 days). CHA₂DS₂-VASc score and a modified HAS-
18
19 BLED score (excluding INR component as not consistently reported in CPRD, score range: 0-8) were
20
21 calculated for all patients. All clinical diagnoses were identified using READ codes (codes lists
22
23 provided in the data supplement). Diabetes and hypertension were also identified using the
24
25 prescription of antidiabetic or antihypertensive treatments.
26
27

28 29 30 **Statistical Analyses**

31
32 The proportion of patients treated with each regimen (AC, AP alone, or no AT) and their 95%
33
34 confidence interval were calculated at each index date. As the CPRD does not provide sample survey
35
36 weights, it is only possible to estimate proportions as if the CPRD data is a simple random sample of
37
38 approximately 8% of UK GPs/patients, so a finite population correction factor of 0.96 was applied to
39
40 the standard errors of proportion estimates (FPFC = $\sqrt{1-0.08}$ ~0.96).
41
42

43
44 An interrupted time series analysis [24] was conducted to estimate the impact of the updated ESC
45
46 guidance (published in August 2012) and NICE 2014 guidance (published in June 2014) on the
47
48 evolution of the proportion of patients treated with each regimen, controlling for baseline level and
49
50 trend. For this analysis, data from April 2011 to April 2015 were used and month-by-month
51
52 estimates were extracted to obtain 50 time-points (using the same inclusion criteria than for the five
53
54 main cross-sectional analyses). The time series model was divided into three time periods: (1) pre-
55
56 ESC guidelines, (2) post-ESC guidelines, and (3) post-NICE guidelines. The statistical significance of
57
58
59
60

1
2
3 the change in level (i.e., the rapid drop in rates immediately after the intervention) and trend (i.e.,
4 the gradual decline in rates over the remainder of the follow-up period) were tested for each time
5 period. The slope in each time period was calculated by summing the change in trend observed in
6 the time period and the previous slope (in first period (pre-ESC), the baseline trend was equal to the
7 slope).

8
9
10
11
12
13
14 These analyses of the evolution of the anticoagulation management over time were also run
15 separately in newly diagnosed patients with NVAF (<12 months) and in patients with NVAF with a
16 diagnosis for ≥ 12 months, as well as in each country of residence separately (England, Scotland,
17 Wales, and Northern Ireland; results by country provided in the data supplement).

18
19
20
21
22
23
24 Generalised estimating equations (GEE) were used to identify demographic and clinical
25 characteristics associated with antiplatelet treatment, and with the absence of AT treatment (versus
26 receiving anticoagulation therapy) in April 2015 (date of the last planned cross-sectional analysis).
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
The final models were obtained using a backward elimination until all variables were significantly
associated with the outcome ($P < 0.05$). Models were adjusted for clustering within individuals and
within GP practices, and results are given as odds ratios and 95% confidence intervals. All analyses
were conducted using SAS software version 9.4.

RESULTS

Patient Characteristics

The demographic and clinical characteristics of patients with NVAF eligible for anticoagulation according to ESC and NICE guidance ($\text{CHA}_2\text{DS}_2\text{-VASc} \geq 2$) from April 2012 to January 2016 are provided in Table 1. The characteristics of the population were consistent across the study period: patients' mean age was 78 years, 52.4%–54.3% were male, and more than 50% were either overweight or obese. Almost 20% had a history of stroke or TIA, around 30% had a history of

1
2
3 coronary artery disease and most had hypertension (>97%). Approximately 12% had been diagnosed
4
5 with NVAf within the preceding 12 months (newly diagnosed).
6
7

8 **Treatment Patterns Over Time**

9
10 The proportion of patients being treated with AC increased each year, showing an absolute rise of
11
12 16.7% over the study period (from 50.2% in April 2012 to 66.9% in January 2016) (Table 2). At the
13
14 same time, the proportion of patients treated with an AP alone was reduced by half, showing an
15
16 absolute decrease of 16.8% (from 34.2% in April 2012 to 17.4% January 2016). The proportion of
17
18 patients not receiving any AT treatment remained the same across the study period, at around 15%
19
20 of all patients with NVAf.
21
22

23
24 Stratifying the population by time since diagnosis identified the reduction in the proportion of
25
26 patients treated with AP alone was greater in newly diagnosed patients, relative to those who had
27
28 been diagnosed for ≥ 12 months (26.8% vs. 15.4%). In January 2016, only 11.3% of the newly
29
30 diagnosed patients were treated with AP alone (vs 18.3% of those diagnosed ≥ 12 months). Similarly,
31
32 the increase in the proportion of patients being prescribed AC was greater in those patients who
33
34 were newly diagnosed compared to those diagnosed with NVAf for ≥ 12 months (25.3% vs. 15.5%).
35
36 In January 2016, 72.5% of the newly diagnosed patients were treated with AC (vs. 66.1% of those
37
38 diagnosed for ≥ 12 months).
39
40

41
42 In newly diagnosed patients, major changes in the type of oral AC prescribed were observed
43
44 between April 2014 and January 2016. The proportion of patients initiated with VKA fell from 50.8%
45
46 to 31.8% of all patients with NVAf, while the NOAC prescriptions rose from 9.8% to 40.6% (including
47
48 16.6% apixaban, 2.4% dabigatran, 21.5% rivaroxaban). No major change was observed in the NVAf
49
50 population with a diagnosis for ≥ 12 months; VKA prescribed in 50.9% of the population in January
51
52 2016 and NOACs in 15% (including 4.5% apixaban, 2.2% dabigatran, 8.3% rivaroxaban).
53
54

55 **Impact of ESC and NICE Guidelines Publications on UK Practice**

1
2
3 The time series analysis stratified by time since NVAf diagnosis (< 12 months or ≥ 12 months)
4
5 showed that there was a significant trend for increasing anticoagulation treatment in both patient
6
7 groups since April 2011 (Figure 3). However, a significant acceleration of this trend (increase of the
8
9 slope) was observed after the updated ESC guidance publication (change in trend: $\beta=+0.26$ in newly
10
11 diagnosed, $\beta=+0.18$ in patients diagnosed ≥ 12 months) and also after NICE guidance publication
12
13 (change in trend: $\beta=+0.12$ in newly diagnosed, $\beta=+0.15$ in patients diagnosed ≥ 12 months).
14
15

16
17 Equally, a significant trend for decreasing antiplatelet use was observed since April 2011. A
18
19 significant acceleration of this trend was observed after both ESC and NICE guidance publications.
20
21 This change in trend was more marked after ESC for the newly diagnosed patients (post ESC: $\beta=-$
22
23 0.26, post-NICE: $\beta=-0.10$) and after NICE for patients diagnosed ≥ 12 months (post-ESC: $\beta=-0.15$,
24
25 post-NICE: $\beta=-0.21$).
26
27

28 **Characteristics Associated with the Absence of Anticoagulation Therapy in April 2015**

29
30
31 Tables 3 and 4 present the results of the GEE models comparing demographic and clinical
32
33 characteristics in patients receiving either an AP alone or no AT, versus those receiving AC treatment
34
35 in April 2015. Even after adjusting on CHA2DS2-VASc score, females, patients aged <65 and ≥85 (vs.
36
37 patients 65-74 years) were more likely to be prescribed an AP alone or no AT treatment, whereas
38
39 patients with a history of stroke/TIA, CHF or hypertension were less likely to remain untreated. The
40
41 likelihood of being treated with AP alone increased with time since diagnosis. Patients with coronary
42
43 and peripheral artery disease were also more likely to be treated with an AP alone than AC.
44
45 Importantly, CHA2DS2-VASc was associated with the absence of AT treatment, patients with a score
46
47 ≥3 were less likely to remain untreated than patients with a CHA2DS2-VASc score=2. To less extent,
48
49 the same association was observed in patients treated with AP alone (vs. AC). Patients who had a
50
51 previous intracranial bleed were more likely to be treated with AP and even more likely to remain
52
53 untreated. The absence of any AT was more frequent in patients with less than five
54
55
56
57
58
59
60

1
2
3 comedications. Geographic variations were observed, with a higher proportion receiving AP alone or
4
5 no AT in England and Scotland compared to Wales and Northern Ireland.
6
7

8 **DISCUSSION**

9
10 A pronounced shift in anticoagulation management of patients with NVAF was observed in the UK
11
12 between April 2012 and January 2016, coinciding with the update of ESC[13] and NICE[21] guidelines
13
14 and with the availability of the NOACs as an alternative to VKAs. A substantial increase in the
15
16 proportion of patients with NVAF at risk of stroke treated with AC was observed during this time
17
18 (from 50.2% to 66.9%), as well as an important decrease of AP use (34.2% to 17.4%).
19
20
21

22
23 Whereas important increases in the proportion of patients with NVAF treated with AC were
24
25 previously described in the UK between 1994-2003,[6, 7] no significant changes were observed in
26
27 the years 2007–2010 in patients with CHA₂DS₂-VASc ≥ 2 , with AC use remaining low (around 50%)
28
29 and AP alone widely used (36%). The high use of AP until March 2012 may have also partly reflected
30
31 the recommendations of the Quality and Outcomes Framework (QOF) of the NHS (National Health
32
33 Service), which provided equal emphasis on AC and AP in stroke prevention in primary care at that
34
35 time.[12]
36
37

38
39 The observed shift in treatment patterns in this study suggests a positive impact of both the ESC[13]
40
41 and NICE[21] guidelines in driving changes in thrombopropylaxis strategy for NVAF patients in the
42
43 UK, most notably the move away from AP use. The release of the ESC guidance appeared to impact
44
45 more significantly the management of recently diagnosed patients. This may reflect an earlier
46
47 change in the practice of cardiologists who, in the UK, are typically more involved in the diagnosis
48
49 and initial management of NVAF. Indeed, the publication of the NICE guidance had a greater impact
50
51 on the decline in AP use among patients with a pre-existing diagnosis, which might reflect the higher
52
53 impact of local guidance on GP's who are more involved in the long-term management of patients.
54
55
56
57
58
59
60

1
2
3 Overall, the most marked improvement in stroke prevention in AF occurred in newly diagnosed
4 patients, in whom AC prescriptions rose from 47.2% to 72.5% and the use of AP alone dropped to
5 11.3% in January 2016. At the time of diagnosis, patients are likely to be particularly engaged with
6 their condition, more likely to be booked for further clinical assessment and physicians are obligated
7 to make a decision regarding AC. Conversely, patients with a longstanding diagnosis may be more
8 resistant to changes in their treatment regimen, and thromboembolism prophylaxis may not be the
9 focus of clinical appointments. As newly diagnosed patients represent only 20% of the NVAF
10 population, this emphasises the potential impact on stroke prevention in the UK that could be
11 achieved by effectively addressing thromboembolism prophylaxis strategy in patients with an
12 established NVAF diagnosis. Ongoing educational activity and the use of specialist nurses and
13 pharmacist led anticoagulation clinics will play an important role in reaching this group of patients.
14
15

16
17
18
19
20
21
22
23
24
25
26
27 Importantly, the trend for increasing use of AC between 2012 and 2016 was associated with the
28 growing use of NOAC's (apixaban, rivaroxaban, dabigatran). This growth of NOAC use was mainly
29 observed in newly diagnosed patients between 2014 and 2016, associated with a decrease of VKA
30 initiation, and coincided with the release of NICE guidance[21] and the Consensus Statement
31 reiterating that NICE-approved treatments have to be made available for prescribing. This highlights
32 the vital role of the NOACs as alternatives to VKA through addressing some of the limitations of VKA
33 therapy and responding to individual patient needs. However, no major changes in the type of AC
34 received by patients with NVAF with a diagnosis ≥ 12 months was observed.
35
36
37
38
39
40
41
42
43
44

45
46 Although these data show that anticoagulation treatment patterns in NVAF have improved
47 substantially over the last five years, rates of anticoagulation appear to lag behind those observed in
48 contemporary European cohorts. For example, at the two-year follow up of the EORP-AF registry in
49 2015, 79.2% of AF patients were identified as receiving at least one oral AC (compared to 62.9% in
50 2015 in this study, Table 2).[25] Rates of NOAC use however appear more comparable, with 13.7% of
51 patients in EORP-AF receiving at least one NOAC (compared to 10.9% in this study in 2015). Baseline
52
53
54
55
56
57
58
59
60

1
2
3 data from the European population of the GLORIA-AF registry, which includes only newly diagnosed
4
5 AF patients, showed the majority (52.4%) were treated with NOACs, while 5.7 % received AP
6
7 therapy, and only 4.1% remained untreated.[26] These data are comparable to the 9.8% receiving
8
9 NOACs, 23.8% AP therapy, and 15.2% untreated among patients diagnosed between 2013 and 2014
10
11 in our study (Figure 2). Similarly, in the global GARFIELD-AF registry of patients with very recently
12
13 diagnosed NVAF (<6 weeks), over the period from 2010/11 to 2014/15 the proportion of patients
14
15 treated with AC increased from 57.4% to 71.1% including a significant increase in the proportion
16
17 receiving NOACs (4.2%–37.0%), whilst AP monotherapy declined from 30.2% to 16.6%.[27] It is
18
19 encouraging that our data up to January 2016 continue to show anticoagulation use is increasing in
20
21 the UK. Differences with European-based cohorts may, therefore, reflect a time lag associated with
22
23 the later release of NICE guidance in 2014[21] relative to the ESC guidance in 2012.[13] Other factors
24
25 may also be involved.
26
27

28
29 Whereas the striking decrease of AP use observed in this study is encouraging, the absence of any
30
31 changes in the proportion of patients remaining untreated raises some concerns. These current data
32
33 identify patient characteristics associated with remaining untreated. Younger patients (<65 years),
34
35 patients taking fewer prescription medications (<5), and those with a CHA2DS2-VASc score of 2 were
36
37 all more likely to remain untreated. We hypothesise that this could be secondary to a misperception
38
39 of stroke risk by clinicians but it may also be secondary to patient attitude. Furthermore for those
40
41 patients <65 years of age, the monitoring requirements of VKAs may be regarded as incompatible
42
43 with a working life; a barrier that could be overcome with the NOACs.
44
45

46
47 At the other end of the spectrum, elderly patients (>85 years) were found to be less likely to be
48
49 prescribed AC therapy and more likely to be treated with AP alone. This observation is well
50
51 documented[7, 11, 12, 28-32] and may be secondary to an overestimation of bleeding risk despite
52
53 unequivocal evidence of the benefits of AC in the elderly.[33-36]
54
55
56
57
58
59
60

1
2
3 Our findings clearly illustrate the risk-treatment paradox previously reported in AF management [11]
4 that patients at higher risk of stroke who more likely to benefit from AC therapy [35] are not
5 receiving appropriate treatment, perhaps because of a perceived increased risk of bleeding. In fact,
6 several bleeding risk factors such as falls, peptic ulcer disease, anaemia, and previous risk of
7 intracranial or gastrointestinal bleeds were found to also be associated with an increasing likelihood
8 of remaining untreated. A survey of UK general practices from 2000 to 2009 showed that this
9 underuse of AC therapy in the elderly is not adequately explained by either an increase in
10 comorbidities or bleeding risk.[37]

11
12 In addition to age, female patients were found less likely to be treated with AC. This sex difference in
13 prescribing has been previously observed in a UK study in AF.[7] Given that women with AF appear
14 to lose their protection against sudden death including stroke,[38] and may even have a higher
15 mortality than men,[39] these lower AC rates are a cause for concern.

16
17 AP alone was found to be prescribed more frequently in patients with coronary artery disease. This
18 may highlight the lack of a definitive evidence base and clear guidance on the AT management of
19 these patients, particularly in the initial period following an acute coronary syndrome.

20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 **STRENGTHS AND LIMITATIONS**

39
40 This is a large study of a representative population of NVAf patients managed in the UK. It includes
41 patients with all forms of AF, including paroxysmal and chronic. The study may have not detected
42 some individuals receiving AC prescriptions in secondary care. The National Patient Safety Agency
43 has emphasised the importance of good communication between different bodies sharing
44 responsibility for prescribing potentially interacting medication, and this has increased the use of
45 codes in primary care to maintain awareness of AC therapy prescribed elsewhere.[8, 40] A sensitivity
46 analysis using a time period of 180 days prior to index date was used to evaluate the proportion of
47 patients that could have been misclassified as untreated. This analysis provided the same results,
48 with only 2% difference in the proportion of untreated patients observed.

1
2
3 This study is based on a general practice database, and is limited by the accuracy of GP records.
4
5 Validation of the CPRD has shown high positive predictive value of some diagnoses and, where
6
7 evaluated, comparisons of incidence with other UK data sources are also broadly similar.[41]
8
9 However, the completeness of the record is more difficult to ascertain. We acknowledge that the
10
11 results reported in this study may under-represent comorbidities and, hence, overall stroke risk.
12
13

14
15 It is important to note that due to the falling number of GP practices involved in the CRPD, the
16
17 number of eligible patients with NVAF also fell during the study period. However, a sensitivity
18
19 analysis was conducted on the NVAF population who were registered to a GP practice included in
20
21 the CPRD throughout the study period, and the results were unchanged. Therefore, the observed
22
23 change in AT management cannot be attributed to the reduction in available GP data.
24
25

26
27 It is important to reflect on the differences in the nature of data collection and analysis between
28
29 registry and real world healthcare records, whereby participation in a registry may influence
30
31 treatment selection but allow more complete and accurate data collection, whereas real world
32
33 datasets allow analysis of much larger cohorts that are more likely to reflect wider contemporary
34
35 practice, albeit with less complete and well-validated data.
36
37

38 **CONCLUSION**

39
40 Major improvements in the AC management of patients with NVAF for stroke prevention in the UK
41
42 were observed between April 2012 and January 2016. Despite this, 20% of the at-risk population
43
44 were still treated with AP alone and more than 15% of patients were on no AT agents in January
45
46 2016. However, if the trend of rapid reduction of AP use observed during the study period continues,
47
48 then the use of AP alone for stroke prevention could essentially disappear in the next few years in
49
50 the UK. The consistency observed over time in the proportion of patients not treated with any AT
51
52 therapy represents the area of greatest concern. The clinical inertia seen in this group may be due to
53
54 an underestimate of the risk of stroke in these patients, who were found to be younger with less
55
56 comorbidities and the overestimation of bleeding risk in the elderly (>85 years). There remains a
57
58
59
60

1
2
3 huge potential for reducing the stroke risk of the AF population by improving the thromboembolic
4
5 risk assessment in NVAf in primary care and the identification of patients requiring anticoagulation.
6
7

8 **CONTRIBUTORSHIP STATEMENT**

9
10 LL, CL, DE and JH conceived and designed the study. LM, MP and SR undertook the analysis. LL, ML,
11
12 ER, MP, LM, SR, CL, DE, and JH contributed to the interpretation of the data. LM and LL wrote the
13
14 first draft and ML, ER, MP, SR, CL, DE, and JH critically reviewed the draft and approved the final
15
16 manuscript as submitted.
17
18
19

20 **ACKNOWLEDGMENTS**

21
22 The authors would like to gratefully acknowledge the contribution of Sharon McLachlan, Robert
23
24 Donaldson and Jack Ishak who all provided expert opinion during the data analysis.
25
26
27

28 **COMPETING INTERESTS**

29
30 MP, LM, and SR were employees of Evidera who were paid consultants to Bristol-Myers Squibb
31
32 (BMS) in connection with conducting this study and with the development of this manuscript. JH
33
34 received consultancy fees from BMS for the conduct of this study. DE, ER, and LL were BMS
35
36 employees at the time of the research. ML is a fulltime employee of Pfizer.
37
38
39

40 **FUNDING**

41
42 Bristol Myers Squibb funded this study and all costs associated with the development and
43
44 publishing of the present manuscript.
45
46

47 **DATA SHARING**

48
49 No additional data are available.
50
51
52
53
54
55
56
57
58
59
60

REFERENCES

1. Go AS, Hylek EM, Phillips KA, Chang Y, Henault LE, Selby JV, Singer DE. Prevalence of diagnosed atrial fibrillation in adults: national implications for rhythm management and stroke prevention: the AnTicoagulation and Risk Factors in Atrial Fibrillation (ATRIA) Study. *JAMA* 2001; 285: 2370-2375.
2. Public Health England. Atrial fibrillation prevalence estimates in England: application of recent population estimates of AF in Sweden 2015 [December 2015]. Available from: <http://www.yhpho.org.uk//resource/view.aspx?RID=207902>
3. Kirchhof P, Auricchio A, Bax J, Crijns H, Camm J, Diener HC, Goette A, Hindricks G, Hohnloser S, Kappenberger L, Kuck KH, Lip GY, Olsson B, Meinertz T, Priori S, Ravens U, Steinbeck G, Svernhage E, Tijssen J, Vincent A, et al. Outcome parameters for trials in atrial fibrillation: executive summary. *Eur Heart J* 2007; 28: 2803-2817.
4. Royal College of Physicians. Sentinel Stroke National Audit Programme (SSNAP). Clinical audit April - June 2015 report prepared by Royal College of Physicians, Clinical Effectiveness and Evaluation Unit on behalf of the Intercollegiate Stroke Working Party. 2015.
5. Lin HJ, Wolf PA, Kelly-Hayes M, Beiser AS, Kase CS, Benjamin EJ, D'Agostino RB. Stroke severity in atrial fibrillation. The Framingham Study. *Stroke* 1996; 27: 1760-1764.
6. Majeed A, Moser K, Carroll K. Trends in the prevalence and management of atrial fibrillation in general practice in England and Wales, 1994-1998: analysis of data from the general practice research database. *Heart* 2001; 86: 284-288.
7. DeWilde S, Carey IM, Emmas C, Richards N, Cook DG. Trends in the prevalence of diagnosed atrial fibrillation, its treatment with anticoagulation and predictors of such treatment in UK primary care. *Heart* 2006; 92: 1064-1070.
8. Holt TA, Hunter TD, Gunnarsson C, Khan N, Cload P, Lip GY. Risk of stroke and oral anticoagulant use in atrial fibrillation: a cross-sectional survey. *Br J Gen Pract* 2012; 62: e710-717.
9. Ogilvie IM, Newton N, Welner SA, Cowell W, Lip GY. Underuse of oral anticoagulants in atrial fibrillation: a systematic review. *Am J Med* 2010; 123: 638-645 e634.
10. Nieuwlaat R, Capucci A, Lip GY, Olsson SB, Prins MH, Nieman FH, Lopez-Sendon J, Vardas PE, Aliot E, Santini M, Crijns HJ, Euro Heart Survey I. Antithrombotic treatment in real-life atrial fibrillation patients: a report from the Euro Heart Survey on Atrial Fibrillation. *Eur Heart J* 2006; 27: 3018-3026.
11. Sandhu RK, Bakal JA, Ezekowitz JA, McAlister FA. Risk stratification schemes, anticoagulation use and outcomes: the risk--treatment paradox in patients with newly diagnosed non-valvular atrial fibrillation. *Heart* 2011; 97: 2046-2050.
12. Cowan C, Healicon R, Robson I, Long WR, Barrett J, Fay M, Tyndall K, Gale CP. The use of anticoagulants in the management of atrial fibrillation among general practices in England. *Heart* 2013; 99: 1166-1172.
13. Camm AJ, Lip GY, De Caterina R, Savelieva I, Atar D, Hohnloser SH, Hindricks G, Kirchhof P, ESC Committee for Practice Guidelines-CPG. 2012 focused update of the ESC Guidelines for the management of atrial fibrillation: an update of the 2010 ESC Guidelines for the management of atrial fibrillation--developed with the special contribution of the European Heart Rhythm Association. *Europace* 2012; 14: 1385-1413.

14. Lip GY, Nieuwlaat R, Pisters R, Lane DA, Crijns HJ. Refining clinical risk stratification for predicting stroke and thromboembolism in atrial fibrillation using a novel risk factor-based approach: the euro heart survey on atrial fibrillation. *Chest* 2010; 137: 263-272.
15. Lip GY. Stroke in atrial fibrillation: epidemiology and thromboprophylaxis. *J Thromb Haemost* 2011; 9 Suppl 1: 344-351.
16. Potpara TS, Polovina MM, Licina MM, Marinkovic JM, Prostran MS, Lip GY. Reliable identification of "truly low" thromboembolic risk in patients initially diagnosed with "lone" atrial fibrillation: the Belgrade atrial fibrillation study. *Circ Arrhythm Electrophysiol* 2012; 5: 319-326.
17. Olesen JB, Torp-Pedersen C, Hansen ML, Lip GY. The value of the CHA2DS2-VASc score for refining stroke risk stratification in patients with atrial fibrillation with a CHADS2 score 0-1: a nationwide cohort study. *Thromb Haemost* 2012; 107: 1172-1179.
18. Van Staa TP, Setakis E, Di Tanna GL, Lane DA, Lip GY. A comparison of risk stratification schemes for stroke in 79,884 atrial fibrillation patients in general practice. *J Thromb Haemost* 2011; 9: 39-48.
19. Abu-Assi E, Otero-Ravina F, Allut Vidal G, Coutado Mendez A, Vaamonde Mosquera L, Sanchez Loureiro M, Caneda Villar MC, Fernandez Villaverde JM, Maestro Saavedra FJ, Gonzalez-Juanatey JR, Grupo Barbanza researchers. Comparison of the reliability and validity of four contemporary risk stratification schemes to predict thromboembolism in non-anticoagulated patients with atrial fibrillation. *Int J Cardiol* 2013; 166: 205-209.
20. Royal College of Physicians. Sentinel Stroke National Audit Programme (SSNAP). Clinical audit first pilot public report; August 2013.
21. National Clinical Guideline Centre. Atrial fibrillation: the management of atrial fibrillation. Clinical guideline: methods, evidence and recommendations [NICE CG180]. 2014.
22. NICE Implementation Collaborative. Consensus supporting local implementation of NICE guidance on use of the novel (non-Vitamin K antagonist) oral anticoagulants in non-valvular atrial fibrillation. 2014.
23. Herrett E, Gallagher AM, Bhaskaran K, Forbes H, Mathur R, van Staa T, Smeeth L. Data Resource Profile: Clinical Practice Research Datalink (CPRD). *Int J Epidemiol* 2015; 44: 827-836.
24. Penfold RB, Zhang F. Use of interrupted time series analysis in evaluating health care quality improvements. *Acad Pediatr* 2013; 13: S38-44.
25. Bassand JP, Accetta G, Camm AJ, Cools F, Fitzmaurice DA, Fox KA, Goldhaber SZ, Goto S, Haas S, Hacke W, Kayani G, Mantovani LG, Misselwitz F, Ten Cate H, Turpie AG, Verheugt FW, Kakkur AK, Garfield-Af Investigators. Two-year outcomes of patients with newly diagnosed atrial fibrillation: results from GARFIELD-AF. *Eur Heart J* 2016.
26. Huisman MV, Rothman KJ, Paquette M, Teutsch C, Diener HC, Dubner SJ, Halperin JL, Ma C, Zint K, Elsaesser A, Bartels DB, Lip GY, Gloria-Af Investigators. Antithrombotic Treatment Patterns in Patients with Newly Diagnosed Nonvalvular Atrial Fibrillation: The GLORIA-AF Registry, Phase II. *Am J Med* 2015; 128: 1306-1313 e1301.
27. Camm AJ, Accetta G, Ambrosio G, Atar D, Bassand JP, Berge E, Cools F, Fitzmaurice DA, Goldhaber SZ, Goto S, Haas S, Kayani G, Koretsune Y, Mantovani LG, Misselwitz F, Oh S, Turpie AG, Verheugt FW, Kakkur AK, Garfield-Af Investigators. Evolving antithrombotic treatment patterns for patients with newly diagnosed atrial fibrillation. *Heart* 2016.

- 1
 - 2
 - 3
 - 4
 - 5
 - 6
 - 7
 - 8
 - 9
 - 10
 - 11
 - 12
 - 13
 - 14
 - 15
 - 16
 - 17
 - 18
 - 19
 - 20
 - 21
 - 22
 - 23
 - 24
 - 25
 - 26
 - 27
 - 28
 - 29
 - 30
 - 31
 - 32
 - 33
 - 34
 - 35
 - 36
 - 37
 - 38
 - 39
 - 40
 - 41
 - 42
 - 43
 - 44
 - 45
 - 46
 - 47
 - 48
 - 49
 - 50
 - 51
 - 52
 - 53
 - 54
 - 55
 - 56
 - 57
 - 58
 - 59
 - 60
28. Friberg L, Hammar N, Ringh M, Pettersson H, Rosenqvist M. Stroke prophylaxis in atrial fibrillation: who gets it and who does not? Report from the Stockholm Cohort-study on Atrial Fibrillation (SCAF-study). *Eur Heart J* 2006; 27: 1954-1964.
29. Waldo AL, Becker RC, Tapson VF, Colgan KJ, Committee NS. Hospitalized patients with atrial fibrillation and a high risk of stroke are not being provided with adequate anticoagulation. *J Am Coll Cardiol* 2005; 46: 1729-1736.
30. Gallagher AM, Rietbrock S, Plumb J, van Staa TP. Initiation and persistence of warfarin or aspirin in patients with chronic atrial fibrillation in general practice: do the appropriate patients receive stroke prophylaxis? *J Thromb Haemost* 2008; 6: 1500-1506.
31. Falstaf Study Group, Leizorovicz A, Cohen A, Guenoun M, Mismetti P, Weisslinger N. Influence of age on the prescription of vitamin K antagonists in outpatients with permanent atrial fibrillation in France. *Pharmacoepidemiol Drug Saf* 2007; 16: 32-38.
32. Simpson CR, Wilson C, Hannaford PC, Williams D. Evidence for age and sex differences in the secondary prevention of stroke in Scottish primary care. *Stroke* 2005; 36: 1771-1775.
33. Mant J, Hobbs FD, Fletcher K, Roalfe A, Fitzmaurice D, Lip GY, Murray E, Bafta investigators, Midland Research Practices Network. Warfarin versus aspirin for stroke prevention in an elderly community population with atrial fibrillation (the Birmingham Atrial Fibrillation Treatment of the Aged Study, BAFTA): a randomised controlled trial. *Lancet* 2007; 370: 493-503.
34. Rash A, Downes T, Portner R, Yeo WW, Morgan N, Channer KS. A randomised controlled trial of warfarin versus aspirin for stroke prevention in octogenarians with atrial fibrillation (WASPO). *Age Ageing* 2007; 36: 151-156.
35. van Walraven C, Hart RG, Connolly S, Austin PC, Mant J, Hobbs FD, Koudstaal PJ, Petersen P, Perez-Gomez F, Knottnerus JA, Boode B, Ezekowitz MD, Singer DE. Effect of age on stroke prevention therapy in patients with atrial fibrillation: the atrial fibrillation investigators. *Stroke* 2009; 40: 1410-1416.
36. Garwood CL, Corbett TL. Use of anticoagulation in elderly patients with atrial fibrillation who are at risk for falls. *Ann Pharmacother* 2008; 42: 523-532.
37. Scowcroft AC, Lee S, Mant J. Thromboprophylaxis of elderly patients with AF in the UK: an analysis using the General Practice Research Database (GPRD) 2000-2009. *Heart* 2013; 99: 127-132.
38. Benjamin EJ, Wolf PA, D'Agostino RB, Silbershatz H, Kannel WB, Levy D. Impact of atrial fibrillation on the risk of death: the Framingham Heart Study. *Circulation* 1998; 98: 946-952.
39. Stewart S, Hart CL, Hole DJ, McMurray JJ. A population-based study of the long-term risks associated with atrial fibrillation: 20-year follow-up of the Renfrew/Paisley study. *Am J Med* 2002; 113: 359-364.
40. Baglin TP, Cousins D, Keeling DM, Perry DJ, Watson HG. Safety indicators for inpatient and outpatient oral anticoagulant care: [corrected] Recommendations from the British Committee for Standards in Haematology and National Patient Safety Agency. *Br J Haematol* 2007; 136: 26-29.
41. Herrett E, Thomas SL, Schoonen WM, Smeeth L, Hall AJ. Validation and validity of diagnoses in the General Practice Research Database: a systematic review. *Br J Clin Pharmacol* 2010; 69: 4-14.

1
2
3 **FIGURE LEGENDS**
4

5
6 **Figure 1. Flowchart describing the sample used in each year**
7

8
9 **Figure 2. Evolution of the proportion of patients treated with each anticoagulant, with antiplatelet**
10 **therapy alone or no antithrombotic therapy among patients with NVAF with CHA2DS2-VASc ≥ 2**
11 **separately in newly diagnosed patients (A) and patients diagnosed since 12 months or more (B)**
12
13

14
15
16 A) Newly diagnosed patients with NVAF
17

18
19 B) Patients with NVAF diagnosis since 12 months or more
20

21
22 **Figure 3. Time series analysis describing the trends in the evolution of the proportion of patients**
23 **with NVAF treated with anticoagulants, aspirin, or other antiplatelet therapy alone or without any**
24 **antithrombotic treatment from April 2012 to April 2015 in the UK, by time since NVAF diagnosis**
25
26
27

28
29 A. Anticoagulation treatment
30

31
32

	Pre-ESC ¹			Post-ESC ²			Post-NICE ²		
	β	SE	p-value	β	SE	p-value	β	SE	p-value
Diagnosed < 12 months									
Level	43.09	0.26	<.0001	0.28	0.35	0.418	0.10	0.49	0.846
Trend	0.34	0.02	<.0001	0.26	0.03	<.0001	0.12	0.09	0.171
Diagnosed ≥ 12 months									
Level	49.01	0.07	<.0001	0.00	0.10	0.981	-0.16	0.14	0.251
Trend	0.13	0.01	<.0001	0.18	0.01	<.0001	0.15	0.02	<.0001

33
34
35
36
37
38
39
40
41
42
43
44
45
46
47

48 ¹For Pre-ESC data are base level, base trend. ²Post-ESC and Post-NICE data are change in level, change in trend
49
50
51
52
53
54
55
56
57
58
59
60

B. Aspirin (ASA) or other AP only

	Pre-ESC ¹			Post-ESC ²			Post-NICE ²		
	β	SE	p-value	β	SE	p-value	β	SE	p-value
Diagnosed <12months									
Level	41.94	0.24	<.0001	-0.20	0.31	0.518	-0.63	0.44	0.159
Trend	-0.36	0.02	<.0001	-0.26	0.03	<.0001	-0.10	0.08	0.226
Diagnosed \geq12 months									
Level	35.05	0.06	<.0001	0.03	0.08	0.737	-0.33	0.12	0.008
Trend	-0.12	0.01	<.0001	-0.15	0.01	<.0001	-0.21	0.02	<.0001

¹For Pre-ESC data are base level, base trend. ²Post-ESC and Post-NICE data are change in level, change in trend

C. Without any antithrombotic treatment

	Pre-ESC ¹			Post-ESC ²			Post-NICE ²		
	β	SE	p-value	β	SE	p-value	β	SE	p-value
Diagnosed <12months									
Level	14.97	0.15	<.0001	-0.08	0.19	0.6835	0.54	0.27	0.057
Trend	0.02	0.01	0.211	0.00	0.02	0.9262	-0.03	0.05	0.612
Diagnosed \geq12 months									
Level	15.94	0.07	<.0001	-0.03	0.10	0.789	0.49	0.14	0.001
Trend	-0.01	0.01	0.174	-0.03	0.01	0.000	0.06	0.02	0.015

¹For Pre-ESC data are base level, base trend. ²Post-ESC and Post-NICE data are change in level, change in trend

Table 1. Demographic and clinical characteristics of patients with NVAf on each index date (data given as n, % unless stated otherwise)

	April 2012		April 2013		April 2014		April 2015		Jan 2016	
	(n=67327)		(n=66364)		(n=62840)		(n=53150)		(n=45105)	
	n	%	n	%	n	%	n	%	n	%
Age (years) mean (SD)	78 (10)		78 (10)		78 (10)		78 (9.9)		78 (9.9)	
Gender: Male	35277	52.4	35096	52.9	33477	53.3	28756	54.1	24495	54.3
Country										
England	51055	75.8	49721	74.9	45422	72.3	36910	69.4	28226	62.6
Wales	7193	10.7	7168	10.8	7658	12.2	6791	12.8	7088	15.7
Scotland	6826	10.1	7132	10.7	7331	11.7	6850	12.9	7060	15.7
Northern Ireland	2253	3.3	2343	3.5	2429	3.9	2599	4.9	2731	6.1
Smoking status										
Current smoker	4685	7.0	4500	6.8	4087	6.5	3305	6.2	2877	6.4
Past smoker	34297	50.9	34019	51.3	32258	51.3	27389	51.5	23119	51.3
Body mass index (kg/m²)										
Missing data	5283	7.8	4588	6.9	3990	6.3	3209	6.0	2667	5.9
Median (Q1-Q3)	27.2 (24.1-31.1)		27.2 (24.1-31.2)		27.3 (24.2-31.2)		27.4 (24.3-31.4)		27.6 (24.3-31.5)	
GP consultation in the last										
year Median (Q1-Q3)	13 (8-22)		13 (8-22)		14 (8-23)		14 (8-23)		14 (8-22)	
Newly diagnosed NVAf	8197	12.2	8104	12.2	7421	11.8	6255	11.8	5564	12.3
Stroke risk factors										
Previous stroke/TIA	13136	19.5	12966	19.5	12312	19.6	10393	19.6	8986	19.9
Other arterial thromboembolism	281	0.4	267	0.4	243	0.4	207	0.4	182	0.4
Congestive heart failure	11970	17.8	11536	17.4	10780	17.2	9296	17.5	8272	18.3
Coronary artery disease	21158	31.4	20213	30.5	18691	29.7	15383	28.9	12892	28.6
Peripheral arterial disease	4136	6.1	3978	6	3671	5.8	2958	5.6	2491	5.5
Hypertension	65349	97.1	64557	97.3	61255	97.5	51872	97.6	44039	97.6
Diabetes mellitus	13949	20.7	13974	21.1	13564	21.6	11779	22.2	10222	22.7

	April 2012		April 2013		April 2014		April 2015		Jan 2016	
	(n=67327)		(n=66364)		(n=62840)		(n=53150)		(n=45105)	
	n	%	n	%	n	%	n	%	n	%
CHA2DS2-VASc score										
Median (Q1-Q3)	4	(3-5)	4	(3-5)	4	(3-5)	4	(3-5)	4	(3-5)
Modified HAS-BLED score*										
Median (Q1-Q3)	4	(3-4)	4	(3-4)	4	(3-4)	4	(3-4)	4	(3-4)
Previous bleedings	22136	32.9	22260	33.5	21770	34.6	18669	35.1	15889	35.2
Intracranial	1166	1.7	1223	1.8	1238	2.0	1039	2.0	897	2.0
Gastrointestinal	7755	11.5	7700	11.6	7575	12.1	6536	12.3	5677	12.6
Renal disease	23367	34.7	23003	34.7	21391	34	17796	33.5	15061	33.4
Liver disease	454	0.7	478	0.7	475	0.8	425	0.8	393	0.9
Number of concomitant treatments										
Median (Q1-Q3)	8	(5-11)	8	(5-11)	8	(5-11)	8	(5-11)	7	(5-11)

Abbreviations: GP, general practitioner; NVAf, non-valvular atrial fibrillation; SD, standard deviation; TIA, transient ischaemic attack

* Excluding INR component as not consistently reported in CPRD, score range: 0–8.

Table 2. Evolution of the proportion of patients treated with anticoagulants, with antiplatelet therapy alone or no antithrombotic therapy among patients with NVAf with CHA2DS2-VASc \geq 2

	April 2012	April 2013	April 2014	April 2015	January 2016
	% (95% CI)	% (95% CI)	% (95% CI)	% (95% CI)	% (95% CI)
Anticoagulation	50.2 (49.8-55.5)	53.2 (52.8-53.5)	57.5 (57.1-57.9)	62.9 (62.5-63.3)	66.9 (66.5-67.3)
Antiplatelet therapy alone	34.2 (33.9-34.5)	31.2 (30.8-31.5)	27.7 (27.3-28.0)	21.8 (21.5-22.2)	17.4 (17.1-17.8)
No antithrombotic therapy	15.6 (15.4-15.9)	15.7 (15.4-15.9)	14.8 (14.6-15.1)	15.3 (15.0-15.6)	15.7 (15.4-16.0)

Abbreviations: CI, confidence interval

For peer review only - Highly Confidential

Table 3. Factors associated with the prescription of aspirin or other antiplatelet for TE prevention in NVAF in April 2015 (vs. anticoagulation): results of the GEE model

	Antiplatelet therapy		Treated with		OR (95% CI)	P-value
	alone		anticoagulant			
	N=11,609		N= 33,413			
	n	%	N	%		
Patient age (years)						< .0001
< 65	890	7.7	2016	6.0	1.22 (1.10-1.35)	
65 to 74	2769	23.9	8780	26.3	Reference	
75 to 84	3842	33.1	14363	43.0	0.94 (0.87-1.02)	
≥ 85	4108	35.4	8254	24.7	1.72 (1.58-1.87)	
Country						0.001
England	8065	69.5	22909	68.6	Reference.	
Wales	1383	11.9	4524	13.5	0.80 (0.70-0.91)	
Scotland	1590	13.7	4231	12.7	1.06 (0.96-1.19)	
N. Ireland	571	4.9	1749	5.2	0.83 (0.72-0.97)	
Gender: male (reference = female)	5364	46.2	14580	43.6	0.87 (0.81-0.93)	<.0001
Time since NVAF diagnosis						<.0001
< 6 months	497	4.3	2121	6.3	Reference	
6 to 12 months	442	3.8	2175	6.5	0.96 (0.83-1.11)	
12 to 24 months	979	8.4	3886	11.6	1.24 (1.10-1.41)	
2 to 5 years since	3095	26.7	8496	25.4	1.89 (1.68-2.12)	
≥ 5 years	6596	56.8	16735	50.1	2.17 (1.93-2.44)	
Previous oral AC treatment						<.0001
No previous treatment	8093	69.7	21189	63.4	Reference	
NOAC only	87	0.7	411	1.2	0.61 (0.46-0.81)	
VKA and NOAC	76	0.7	490	1.5	0.37 (0.29-0.47)	
VKA only	3353	28.9	11323	33.9	0.65 (0.59-0.72)	
Previous stroke/TIA/arterial TE	2291	19.7	7341	22.0	0.83 (0.75-0.92)	0.0008
Congestive heart failure	1722	14.8	6685	20.0	0.61 (0.56-0.66)	<.0001
Previous coronary artery disease	4535	39.1	9636	28.8	1.60 (1.50--1.71)	<.0001

	Antiplatelet therapy		Treated with		OR (95% CI)	P-value
	alone		anticoagulant			
	N=11,609		N= 33,413			
	n	%	N	%		
Peripheral arterial disease	833	7.2	1887	5.6	1.20 (1.10-1.31)	0.0001
Hypertension	11308	97.4	32869	98.4	0.59 (0.51-0.69)	<.0001
CHA2DS2-VASc score						<.0001
2	1425	12.3	3444	10.3	Reference	
3	2408	20.7	7482	22.4	0.69 (0.63-0.76)	
4	3206	27.6	9656	28.9	0.56 (0.50-0.64)	
5	2306	19.9	6544	19.6	0.54 (0.46-0.64)	
≥6	2264	19.5	6287	18.9	0.52 (0.42-0.65)	
Previous bleed						<.0001
No bleed	7522	64.8	21577	64.6	Reference	
Intracranial bleed	346	3.0	404	1.2	3.02 (2.56-3.56)	
Gastrointestinal bleed	1430	12.3	4001	11.8	0.96 (0.90-1.02)	
Other bleed	2311	19.9	7473	22.4	0.82 (0.77-0.87)	
History of fall	3474	29.9	8211	24.6	1.14 (1.08-1.20)	<.0001
Renal disease	4106	35.4	11542	34.5	0.94 (0.90-0.99)	0.0131
Liver disease	102	0.9	214	0.6	1.32 (1.03-1.70)	0.0414
Number of comedications						<.0001
< 5	1448	12.5	6879	20.6	Reference	
5 to 9	5040	43.4	14825	44.4	1.71 (1.60-1.82)	
10 to 14	3169	27.3	7782	23.3	2.08 (1.93-2.26)	
15 or more	1952	16.8	3927	11.8	2.66 (2.43-2.91)	

Abbreviations: CI, confidence interval; GEE, generalised estimating equations; VKA, vitamin K antagonist; OAC, oral anticoagulant; NVAF, non-valvular atrial fibrillation; TIA, transient ischaemic attack; TE, thromboembolism; OR, odds ratio; NOAC, novel oral anticoagulant.

Management of NVAF in Europe and the UK

Table 4. Factor associated with the absence of TE prevention (no antithrombotic therapy vs. anticoagulation) in NVAF in April 2015: results of the GEE model

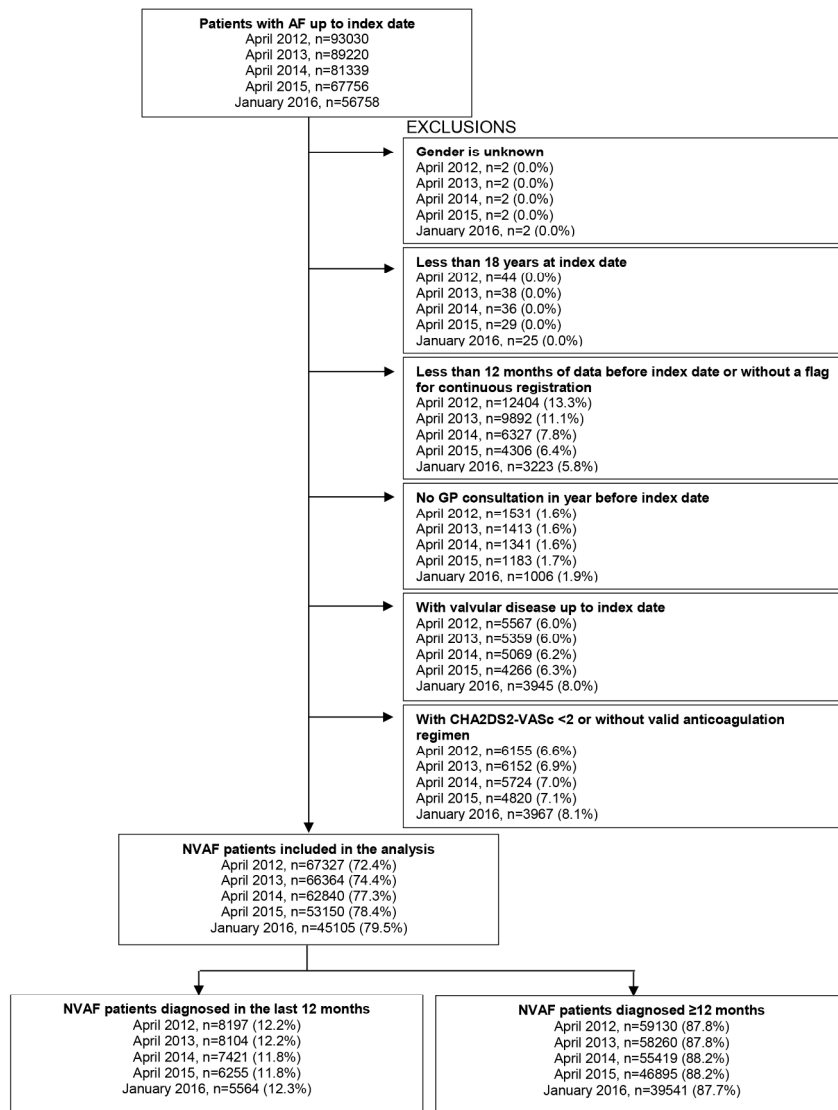
Parameter	No antithrombotic therapy		Treated with anticoagulant		OR (95% CI)	P-value
	N=8,128		N= 33,413			
	n	%	n	%		
Patient age (years)						<.0001
< 65	1299	16	2016	6.0	1.64 (1.47-1.83)	
65 to 74	2214	27.2	8780	26.3	Reference	
75 to 84	2367	29.1	14363	43.0	1.09 (0.99-1.20)	
≥ 85	2248	27.7	8254	24.7	1.86 (1.68-2.07)	
Gender: male (reference = female)	4450	54.7	14580	43.6	0.51 (0.46-0.56)	<.0001
Country						
England	5936	73.0	22909	68.6	Reference	<.0001
Wales	884	10.9	4524	13.5	0.80 (0.69-0.93)	
Scotland	1029	12.7	4231	12.7	1.09 (0.96-1.23)	
Northern Ireland	279	3.4	1749	5.2	0.69 (0.57-0.83)	
Time since NVAF diagnosis						<.0001
< 6 months	592	7.3	2121	6.3	Reference	
6 to 12 months	427	5.3	2175	6.5	0.71 (0.61-0.82)	
12 to 24 months	864	10.6	3886	11.6	0.82 (0.71-0.94)	
2 to 5 years	2086	25.7	8496	25.4	0.93 (0.82-1.06)	
≥ 5 years	4159	51.2	16735	50.1	1.07 (0.94-1.23)	
Previous OAC treatment						<.0001
No previous OAC	5137	63.2	21189	63.4	Reference	
NOAC only	189	2.3	411	1.2	1.82 (1.36-2.43)	
VKA and NOAC	159	2.0	490	1.5	1.50 (1.23-1.84)	
VKA only	2643	32.5	11323	33.9	0.97 (0.88-1.07)	
Previous stroke/TIA/ arterial TE	968	11.9	7341	22.0	0.55 (0.48-0.64)	<.0001
Congestive heart failure	889	10.9	6685	20.0	0.76 (0.69-0.84)	<.0001

Management of NVAF in Europe and the UK

Parameter	No antithrombotic therapy		Treated with anticoagulant		OR (95% CI)	P-value
	N=8,128		N= 33,413			
	n	%	n	%		
Previous coronary artery disease	1212	14.9	9636	28.8	0.76 (0.70-0.84)	<.0001
Hypertension	7695	94.7	32869	98.4	0.62 (0.53-0.72)	<.0001
CHA2DS2-VASc score						<.0001
2	2212	27.2	3444	10.3	Reference	
3	2034	25	7482	22.4	0.48 (0.43-0.54)	
4	2057	25.3	9656	28.9	0.33 (0.28-0.39)	
5	987	12.1	6544	19.6	0.28 (0.22-0.35)	
≥6	838	10.27	6287	18.9	0.27 (0.20-0.36)	
Previous bleed						<.0001
No bleed	5382	66.2	21577	64.6	Reference	
IC bleed	289	3.6	404	1.2	8.03 (6.43-10.02)	
GI bleed	1016	12.5	4001	11.8	1.24 (1.14-1.35)	
Other bleed	1441	17.7	7473	22.4	0.91 (0.85-0.97)	
History of peptic ulcer	465	5.7	1743	5.2	1.36 (1.21-1.52)	<.0001
History of anaemia	227	2.8	880	2.6	1.44 (1.24-1.67)	<.0001
History of fall	2097	25.8	8211	24.6	1.20 (1.13-1.28)	<.0001
Renal disease	2148	26.4	11542	34.5	0.90 (0.84-0.96)	0.0016
Liver disease	109	1.3	214	0.6	2.39 (1.87-3.05)	<.0001
Active cancer	455	3.9	1367	4.1	1.19 (1.06-1.35)	0.0053
Number of co-medications						<.0001
< 5	3384	41.6	6879	20.6	Reference	
5 to 9	2791	34.3	14825	44.4	0.49 (0.45-0.52)	
10 to 14	1271	15.6	7782	23.3	0.46 (0.43-0.50)	
≥15	682	8.4	3927	11.8	0.53 (0.48-0.59)	

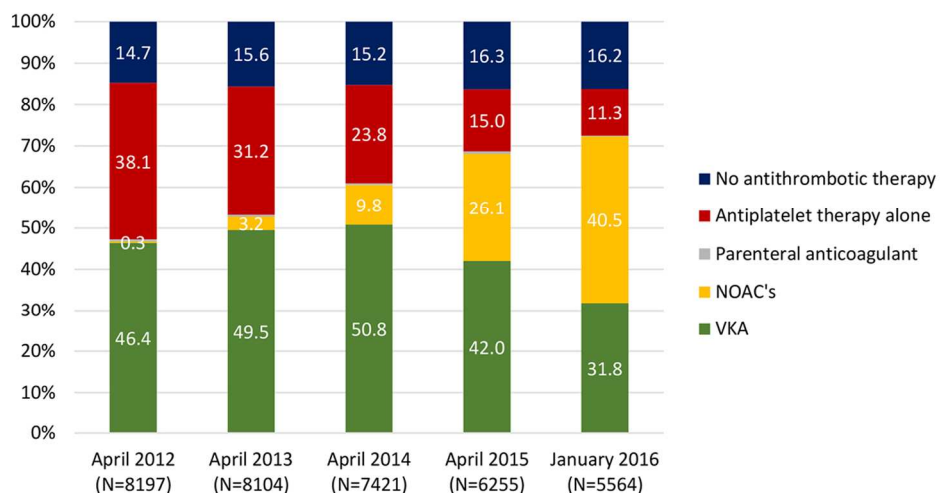
Abbreviations: CI, confidence interval; GEE, generalised estimating equations; VKA, vitamin K antagonist; OAC, oral anticoagulant; NVAF, non-valvular atrial fibrillation; TIA, transient ischaemic attack; TE, thromboembolism; OR, odds ratio; NOAC, novel oral anticoagulant

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



172x246mm (300 x 300 DPI)

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



113x60mm (300 x 300 DPI)

review only

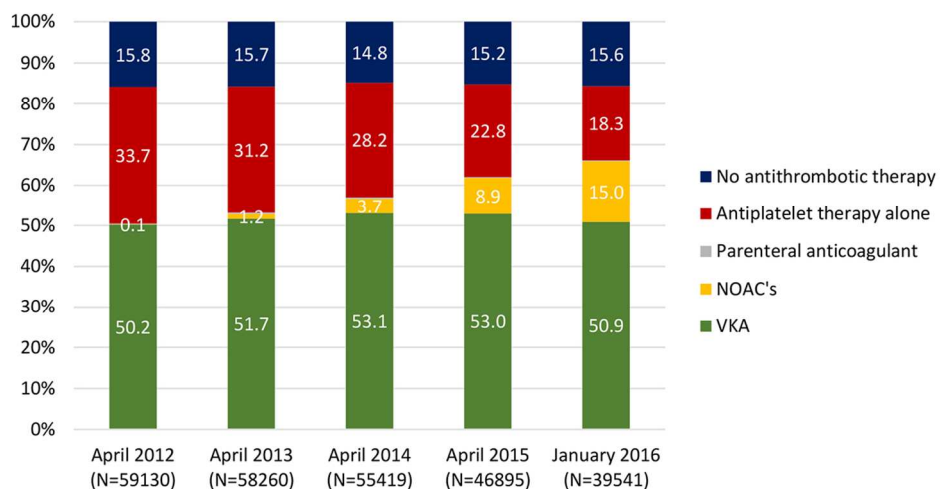


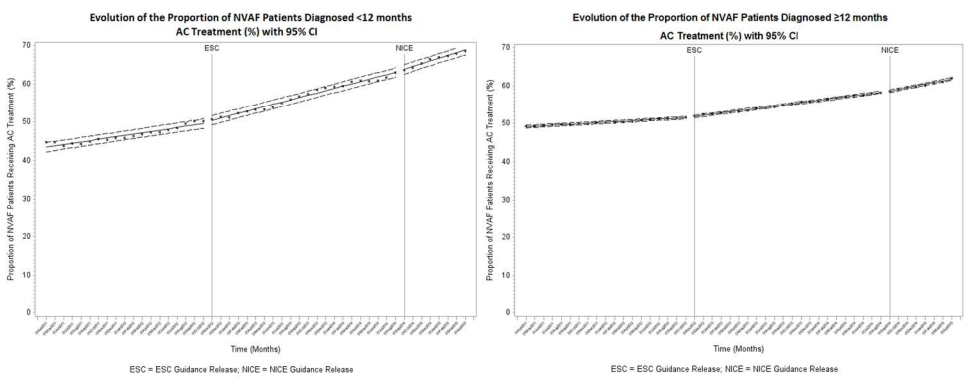
Figure 2

113x59mm (300 x 300 DPI)

review only

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

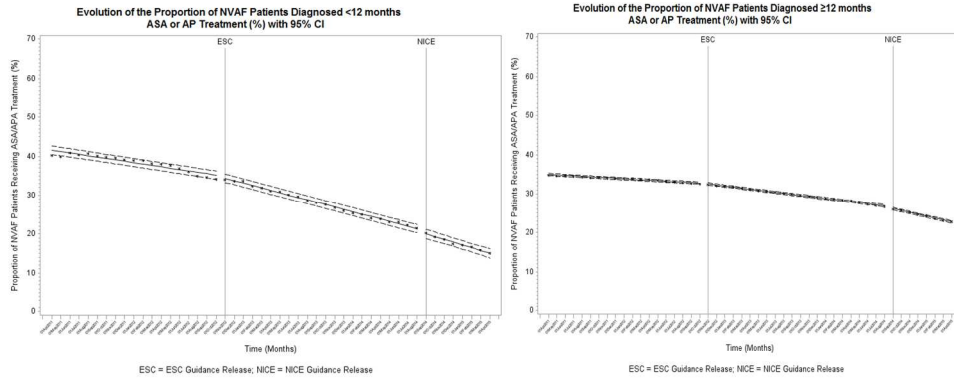
1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



172x68mm (300 x 300 DPI)

Peer review only

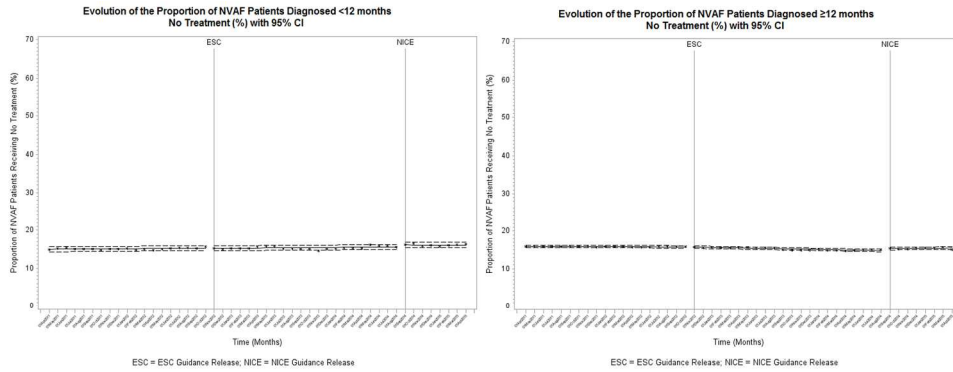
1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



172x70mm (300 x 300 DPI)

Peer review only

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



172x70mm (300 x 300 DPI)

Peer review only

1 Management of NVAf in Europe and the UK
 2
 3

4 **DATA SUPPLEMENT**
 5

6 **Table s1. READ code descriptions**
 7

8 G11..00	Mitral valve diseases
9 G540.15	Mitral valve prolapse
10 G110.00	Mitral stenosis
11 G540.00	Mitral valve incompetence
12 G541.00	Aortic valve disorders
13 G540000	Mitral incompetence, non-rheumatic
14 G130.00	Mitral and aortic stenosis
15 G13..00	Diseases of mitral and aortic valves
16 G541400	Aortic valve stenosis with insufficiency
17 G131.14	Mitral stenosis and aortic regurgitation
18 G544200	Combined disorders of mitral, aortic and tricuspid valves
19 G541z00	Aortic valve disorders NOS
20 G544100	Disorders of both mitral and tricuspid valves
21 G540z00	Mitral valve disorders NOS
22 G113.00	Nonrheumatic mitral valve stenosis
23 G13z.00	Mitral and aortic valve disease NOS
24 G11z.00	Mitral valve disease NOS
25 G133.00	Mitral and aortic incompetence
26 G132.12	Mitral incompetence and aortic stenosis
27 G540200	Mitral valve prolapse
28 G132.00	Mitral insufficiency and aortic stenosis
29 G132.13	Mitral regurgitation and aortic stenosis
30 G540100	Mitral incompetence, cause unspecified
31 G540300	Mitral valve leaf prolapse
32 G544.00	Multiple valve diseases
33 G540.12	Mitral valve insufficiency
34 G112.13	Mitral stenosis with regurgitation
35 G112.00	Mitral stenosis with insufficiency
36 Gyu5600	[X]Other aortic valve disorders
37 G131.00	Mitral stenosis and aortic insufficiency
38 G12z.00	Rheumatic aortic valve disease NOS
39 G112.12	Mitral stenosis with incompetence
40 Gyu1000	[X]Other mitral valve diseases
41 P65..00	Congenital mitral stenosis

Management of NVAF in Europe and the UK

1		
2		
3		
4	G544X00	Multiple valve disease, unspecified
5	G114.00	Ruptured mitral valve cusp
6		
7	G131.13	Mitral stenosis and aortic incompetence
8	P66..00	Congenital mitral insufficiency
9		
10	P652.00	Parachute deformity of the mitral valve
11	G13y.00	Multiple mitral and aortic valve involvement
12		
13	Gyu5A00	[X]Aortic valve disorders in diseases classified elsewhere
14	P650.00	Congenital mitral stenosis, unspecified
15	G133.11	Mitral and aortic insufficiency
16	Gyu5500	[X]Other nonrheumatic mitral valve disorders
17		
18	P65z.00	Congenital mitral stenosis NOS
19	Gyu5D00	[X]Multiple valve disorders/diseases CE
20		
21		
22	READ Codes for prosthetic valve description	
23	7911.12	Replacement of aortic valve
24	P641.00	Bicuspid aortic valve
25		
26	7910300	Replacement of mitral valve NEC
27		
28	7910.12	Replacement of mitral valve
29		
30	7910.12	Replacement of mitral valve
31	7914300	Replacement of valve of heart NEC
32		
33	7914200	Prosthetic replacement of valve of heart NEC
34	7910.00	Plastic repair of mitral valve
35		
36	7911.00	Plastic repair of aortic valve
37		
38	7911300	Replacement of aortic valve NEC
39	7915000	Revision of plastic repair of mitral valve
40	7916000	Open mitral valvotomy
41	7917000	Closed mitral valvotomy
42		
43	7910200	Prosthetic replacement of mitral valve
44		
45	7910200	Prosthetic replacement of mitral valve
46	7910400	Mitral valvuloplasty NEC
47		
48	ZV43300	[V]Has artificial heart valve
49	7914.11	Replacement of unspecified valve of heart
50	7910211	Bjork-Shiley prosthetic replacement of mitral valve
51		
52	7911200	Prosthetic replacement of aortic valve
53		
54	7910.11	Mitral valvuloplasty
55	7914212	Starr prosthetic replacement of valve of heart
56		
57	7911100	Xenograft replacement of aortic valve
58		
59		
60		

Management of NVAF in Europe and the UK

7911y00	Other specified plastic repair of aortic valve
ZV45H00	[V]Presence of prosthetic heart valve
7910z00	Plastic repair of mitral valve NOS
7911000	Allograft replacement of aortic valve
7915100	Revision of plastic repair of aortic valve
7914211	Edwards prosthetic replacement of valve of heart
TB01200	Implant of heart valve prosthesis + complication, no blame
7910213	Carpentier prosthetic replacement of mitral valve
7910100	Xenograft replacement of mitral valve
7919000	Percutaneous transluminal mitral valvotomy
7911z00	Plastic repair of aortic valve NOS
7910212	Bjork-Shiley prosthetic replacement of mitral valve
7910y00	Other specified plastic repair of mitral valve
SP00200	Mechanical complication of heart valve prosthesis
SyuK611	[X] Embolism from prosthetic heart valve
790D700	Replacement of valved cardiac conduit
7914100	Xenograft replacement of valve of heart NEC
7914000	Allograft replacement of valve of heart NEC
ZVu6e00	[X]Presence of other heart valve replacement
7910214	Edwards prosthetic replacement of mitral valve
7910411	Mitral valve repair NEC
7911411	Aortic valve repair NEC
7910000	Allograft replacement of mitral valve
7911600	Transluminal aortic valve implantation
7911500	Transapical aortic valve implantation
7914600	Replacement of truncal valve
7918000	Annuloplasty of mitral valve

Management of NVAf in Europe and the UK

Table s2. Proportion of patients with NVAf treated in April 2012, 2013, 2014, and 2015 in the UK, according to duration of diagnosis

	April 2012 % [95% CI]	April 2013 % [95% CI]	April 2014 % [95% CI]	April 2015 % [95% CI]	January 2016 % [95% CI]
<i>Diagnosed < 12 months</i>					
Anticoagulation treatment	47.2 [46.2-48.3]	53.2 [52.1-54.2]	61.0 [59.9-62.0]	68.7 [67.6-69.8]	72.5 [71.3-73.7]
VKA	46.4 [45.4-47.4]	49.5 [48.5-50.6]	50.8 [49.7-51.9]	42.0 [40.8-43.1]	31.8 [30.6-33.0]
Apixaban	-	-	1.7 [1.5-2.0]	8.8 [8.1-9.5]	16.6 [15.6-17.5]
Rivaroxaban	-	1.2 [1.0-1.5]	5.5 [5.0-6.0]	14.5 [13.7-15.3]	21.5 [20.4-22.6]
Dabigatran	0.3 [0.2-0.4]	2.0 [1.7-2.3]	2.6 [2.3-2.9]	2.8 [2.5-3.2]	2.4 [2.0-2.8]
Parenteral anticoagulant	0.5 [0.4-0.6]	0.5 [0.3-0.6]	0.4 [0.2-0.5]	0.6 [0.4-0.8]	0.2 [0.1-0.4]
Antiplatelet therapy alone	38.1 [37-39.1]	31.2 [30.2-32.1]	23.8 [22.9-24.8]	15 [14.2-15.9]	11.3 [10.5-12.1]
No antithrombotic therapy	14.7 [14-15.4]	15.6 [14.9-16.4]	15.2 [14.4-16]	16.3 [15.4-17.2]	16.2 [15.2-17.1]
<i>Diagnosed ≥ 12 months</i>					
Anticoagulation treatment	50.6 [50.2-51.0]	53.2 [52.8-53.5]	57.0 [56.6-57.4]	62.1 [61.7-62.5]	66.1 [65.6-66.6]
VKA	50.2 [49.8-50.6]	51.7 [51.3-52.1]	53.1 [52.7-53.5]	53.0 [52.5-53.4]	50.9 [50.4-51.4]
Apixaban	-	-	0.4 [0.3-0.4]	2.0 [1.9-2.1]	4.5 [4.3-4.7]
Rivaroxaban	-	0.5 [0.4-0.5]	1.9 [1.8-2.0]	4.9 [4.7-5.1]	8.3 [8.0-8.6]
Dabigatran	0.1 [0.1-0.1]	0.7 [0.7-0.8]	1.4 [1.3-1.5]	2.0 [1.9-2.1]	2.2 [2.1-2.4]
Parenteral anticoagulant	0.2 [0.2-0.3]	0.3 [0.2-0.3]	0.3 [0.2-0.3]	0.2 [0.2-0.3]	0.2 [0.2-0.3]
Antiplatelet therapy alone	33.7 [33.3-34.0]	31.2 [30.8-31.5]	28.2 [27.8-28.5]	22.8 [22.4-23.1]	18.3 [17.9-18.7]
No antithrombotic therapy	15.8 [15.5-16.0]	15.7 [15.4-16.0]	14.8 [14.5-15.1]	15.2 [14.8-15.5]	15.6 [15.3-16.0]

Abbreviations: CI, confidence interval; VKA, vitamin K antagonists

Management of NVAf in Europe and the UK

Table s3. Proportion of patients with NVAf treated in April 2012, 2013, 2014, and 2015 in the UK, according to country of residence

	April 2012 % [95% CI]	April 2013 % [95% CI]	April 2014 % [95% CI]	April 2015 % [95% CI]	January 2016 % [95% CI]
England					
Anticoagulation treatment	49.6 [49.2-50]	52.4 [52-52.9]	56.9 [56.5-57.4]	62.1 [61.6-62.5]	66.5 [66.0-67.1]
VKA	49.2 [48.8-49.6]	50.8 [50.4-51.2]	52.4 [51.9-52.8]	51.3 [50.8-51.8]	47.9 [47.4-48.5]
Apixaban	-	-	0.4 [0.4-0.5]	2.3 [2.2-2.5]	5.0 [4.8-5.3]
Rivaroxaban	-	0.5 [0.4-0.5]	2.1 [2.0-2.2]	5.7 [5.5-5.9]	10.7 [10.3-11.0]
Dabigatran	0.1 [0.1-0.1]	0.9 [0.8-1.0]	1.7 [1.6-1.8]	2.4 [2.3-2.6]	2.6 [2.4-2.8]
Parenteral anticoagulant	0.3 [0.2-0.3]	0.3 [0.2-0.3]	0.3 [0.3-0.4]	0.3 [0.3-0.4]	0.2 [0.2-0.3]
Antiplatelet therapy alone	33.9 [33.5-34.3]	30.9 [30.6-31.3]	27.5 [27.1-27.9]	21.9 [21.4-22.3]	17.0 [16.5-17.4]
No antithrombotic therapy	16.5 [16.2-16.8]	16.6 [16.3-16.9]	15.6 [15.3-15.9]	16.1 [15.7-16.4]	16.5 [16.1-17.0]
Scotland					
Anticoagulation treatment	48.8 [47.7-49.9]	51.9 [50.8-53]	55.5 [54.4-56.6]	61.8 [60.7-62.9]	64.3 [63.2-65.4]
VKA	48.2 [47.1-49.3]	49.2 [48.1-50.3]	49.1 [48.0-50.2]	48.4 [47.2-49.5]	45.2 [44-46.3]
Apixaban	-	-	0.5 [0.3-0.6]	2.7 [2.3-3.0]	6.6 [6.0-7.2]
Rivaroxaban	-	1.8 [1.5-2.0]	5.0 [4.5-5.4]	9.8 [9.1-10.5]	11.6 [10.8-12.3]
Dabigatran	0.4 [0.2-0.5]	0.5 [0.4-0.7]	0.7 [0.5-0.8]	0.7 [0.5-0.8]	0.8 [0.6-1.0]
Parenteral anticoagulant	0.2 [0.1-0.3]	0.4 [0.2-0.5]	0.3 [0.2-0.4]	0.2 [0.1-0.3]	0.1 [0.1-0.2]
Antiplatelet therapy alone	37 [35.9-38.1]	33.6 [32.6-34.7]	29.6 [28.6-30.6]	23.2 [22.3-24.2]	19.9 [19.0-20.8]
No antithrombotic therapy	14.2 [13.4-15.0]	14.5 [13.7-15.3]	14.9 [14.2-15.7]	15 [14.2-15.8]	15.8 [14.9-16.6]
Wales					
Anticoagulation treatment	55.1 [54.0-56.2]	58.7 [57.7-59.8]	61.7 [60.6-62.7]	66.6 [65.5-67.7]	69.1 [68.0-70.2]
VKA	54.8 [53.7-55.9]	57.6 [56.5-58.7]	59.1 [58.0-60.1]	59.3 [58.1-60.4]	57.6 [56.5-58.8]
Apixaban	-	0.0 [0.0-0.1]	0.3 [0.2-0.4]	2.2 [1.9-2.5]	3.9 [3.4-4.3]
Rivaroxaban	-	0.1 [0.0-0.2]	0.9 [0.7-1.1]	3.2 [2.8-3.6]	5.1 [4.6-5.6]
Dabigatran	0.0 [0.0-0.1]	0.9 [0.6-1.1]	1.3 [1.1-1.5]	1.9 [1.6-2.2]	2.3 [2.0-2.7]
Parenteral anticoagulant	0.2 [0.1-0.3]	0.2 [0.1-0.3]	0.1 [0.1-0.2]	0.1 [0.0-0.1]	0.2 [0.1-0.2]
Antiplatelet therapy alone	32.5 [31.5-33.6]	29.4 [28.4-30.4]	26.7 [25.8-27.7]	20.4 [19.4-21.3]	16.8 [15.9-17.7]
No antithrombotic therapy	12.4 [11.6-13.1]	11.9 [11.2-12.6]	11.6 [10.9-12.3]	13.0 [12.2-13.8]	14.1 [13.3-14.9]
Northern Ireland					
Anticoagulation treatment	51.5 [49.6-53.5]	55 [53.0-56.9]	60.8 [59.0-62.7]	67.3 [65.6-69.0]	71.9 [70.2-73.6]
VKA	50.7 [48.7-52.7]	52.4 [50.4-54.3]	51.5 [49.6-53.4]	46 [44.2-47.9]	39.7 [37.9-41.6]
Apixaban	-	0.1 [0.0-0.2]	3.1 [2.4-3.7]	11.1 [10.0-12.3]	19.1 [17.6-20.6]
Rivaroxaban	-	0.4 [0.1-0.6]	3.5 [2.8-4.2]	7.5 [6.5-8.4]	10.7 [9.5-11.8]
Dabigatran	0.5 [0.2-0.8]	1.6 [1.1-2.1]	2.5 [1.9-3.1]	2.3 [1.8-2.9]	2.1 [1.6-2.7]

Management of NVAF in Europe and the UK

	April 2012	April 2013	April 2014	April 2015	January 2016
	% [95% CI]	% [95% CI]	% [95% CI]	% [95% CI]	% [95% CI]
Parenteral anticoagulant	0.3 [0.1-0.5]	0.6 [0.3-0.8]	0.2 [0.1-0.4]	0.3 [0.1-0.6]	0.3 [0.1-0.5]
Antiplatelet therapy alone	37.9 [35.9-39.8]	34.1 [32.2-35.9]	28.2 [26.4-29.9]	22 [20.4-23.5]	17.2 [15.8-18.6]
No antithrombotic therapy	10.6 [9.4-11.8]	11 [9.8-12.2]	11.0 [9.8-12.2]	10.7 [9.6-11.9]	10.9 [9.8-12.1]

Abbreviations: CI, confidence interval; VKA, vitamin K antagonists

For peer review only - Highly Confidential

STROBE 2007 (v4) checklist of items to be included in reports of observational studies in epidemiology*
Checklist for cohort, case-control, and cross-sectional studies (combined)

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any pre-specified hypotheses	4
Methods			
Study design	4	Present key elements of study design early in the paper	4-5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4-5
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	5
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	n/a
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	5
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	4-5
Bias	9	Describe any efforts to address potential sources of bias	6
Study size	10	Explain how the study size was arrived at	5
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	5-6
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	6
		(b) Describe any methods used to examine subgroups and interactions	n/a
		(c) Explain how missing data were addressed	n/a
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed	

		<i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	
		(e) Describe any sensitivity analyses	10
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	20
		(b) Give reasons for non-participation at each stage	20
		(c) Consider use of a flow diagram	20
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	16
		(b) Indicate number of participants with missing data for each variable of interest	16
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	n/a
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	n/a
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	n/a
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	6, 16, 17-19
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	17
		(b) Report category boundaries when continuous variables were categorized	18-19
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	n/a
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	10
Discussion			
Key results	18	Summarise key results with reference to study objectives	8
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	10
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	9-10
Generalisability	21	Discuss the generalisability (external validity) of the study results	10
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	1

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

BMJ Open

The evolving landscape of stroke prevention in atrial fibrillation within the United Kingdom between 2012 and 2016

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2016-015363.R1
Article Type:	Research
Date Submitted by the Author:	07-Mar-2017
Complete List of Authors:	Lacoin, Laure; Bristol-Myers Squibb Lumley, Matthew; Pfizer Ridha, Essra; Bristol-Myers Squibb Pereira, Marta; Evidera McDonald, Laura; Evidera, Ramagopalan, Sreeram; Evidera Lefèvre, Cinira; Bristol-Myers Squibb France, Worldwide Health Economics & Outcomes Research Evans, David; Bristol-Myers Squibb France, Worldwide Health Economics & Outcomes Research Halcox, Julian; Swansea University College of Medicine, Institute of Life Sciences; Cardiff University School of Medicine, Institute of Molecular and Experimental Medicine
Primary Subject Heading:	Cardiovascular medicine
Secondary Subject Heading:	Epidemiology
Keywords:	Atrial fibrillation, Drug therapy, Electronic health records, Great Britain, Stroke < NEUROLOGY

SCHOLARONE™
Manuscripts

1
2
3 **The evolving landscape of stroke prevention in atrial fibrillation within the United Kingdom**
4 **between 2012 and 2016**

5 L. Lacoïn,*¹ M. Lumley,† E. Ridha,* M. Pereira,‡¹ L. McDonald,‡ S. Ramagopalan,‡ C. Lefevre,§ D.
6 Evans,¹ J. Halcox**

7
8 * UK Medical Department, Bristol-Myers Squibb, Uxbridge, UK; † Medical Department, Pfizer,
9 Tadworth, UK; ‡ Real-World Evidence, Evidera, London, UK; § Center of Observational Research and
10 Data Sciences, Business Insights and Analytics, Bristol-Myers Squibb, Rueil Malmaison, France;
11 WWHEOR Market, Bristol-Myers Squibb, Rueil Malmaison, France; ** Department of Cardiology,
12 Swansea University, Cardiff UK

13
14
15 ¹ Employee at time of the study

16
17 **Correspondence to:** Professor Julian Halcox, Swansea University, Singleton Park Campus, Sketty,
18 Swansea SA2 8PP, UK; tel 44 (0)1792 602938; j.p.j.halcox@swansea.ac.uk

19
20 **Short title:** Evolving stroke prevention for NVAf in the UK

21
22 **Word count:** 4000

23
24 *"The Corresponding Author has the right to grant on behalf of all authors and does grant on behalf of*
25 *all authors, [a worldwide licence](#) to the Publishers and its licensees in perpetuity, in all forms, formats*
26 *and media (whether known now or created in the future), to i) publish, reproduce, distribute, display*
27 *and store the Contribution, ii) translate the Contribution into other languages, create adaptations,*
28 *reprints, include within collections and create summaries, extracts and/or, abstracts of the*
29 *Contribution, iii) create any other derivative work(s) based on the Contribution, iv) to exploit all*
30 *subsidiary rights in the Contribution, v) the inclusion of electronic links from the Contribution to third*
31 *party material where-ever it may be located; and, vi) licence any third party to do any or all of the*
32 *above."*

33
34 **DISCLOSURE STATEMENTS**

35 All authors have completed the ICMJE uniform disclosure form. This study was funded by Bristol-
36 Myers Squibb and Pfizer. Marta Pereira and Sreeram Ramagopalan were employees of Evidera who
37 were paid consultants to Bristol-Myers Squibb and Pfizer in connection with conducting this study
38 and with the development of this manuscript. DE, ER, and LL were BMS employees at the time of the
39 research.
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

ABSTRACT

Objective: To describe the changes in prescribing of oral anticoagulant (AC) and antiplatelet (AP) agents in patients with non-valvular atrial fibrillation (NVAF) in the UK and to identify the characteristics associated with deviation from guideline-based recommendations.

Design: Five cross-sectional analyses in a large retrospective population-based cohort study.

Setting: General practices contributing data to the UK Clinical Practice Research Datalink.

Participants: The study included patients with a diagnosis of non-valvular atrial fibrillation (NVAF) and eligible for anticoagulation (CHA2DS2-VASc score ≥ 2) on 1st April of 2012, 2013, 2014, 2015 and 1st January 2016.

Results: The proportion of patients being treated with AC increased at each index date, showing an absolute rise of 16.7% over the study period. At the same time, the proportion of patients treated with an AP alone was reduced by half, showing an absolute decrease of 16.8%. The proportion of patients not receiving any antithrombotic (AT) treatment remained the same across the study period. A number of predictors were identified for AP alone or no treatment compared with AC treatment.

Conclusion: Major improvements in the AT management of patients with NVAF for stroke prevention in the UK were observed between April 2012 and January 2016. Despite this, nearly 20% of at-risk patients still received AP alone and over 15% were on no AT agents in January 2016.

STRENGTHS AND LIMITATIONS

- A large representative population of patients with all forms of AF (paroxysmal, chronic) studied in the 'real-world' using data obtained from GP records in Clinical Research Practice Datalink (CPRD)
- Real-world data are more likely to reflect wider contemporary treatment practices than information obtained from registries
- Although CPRD is regularly and extensively audited to ensure data quality, the study is limited by the accuracy of GP records
- The completeness of the GP record is difficult to ascertain, and we may have not detected some individuals receiving AC prescriptions is secondary care

Key words: Atrial Fibrillation, Drug Therapy, Electronic Health Records, Great Britain, Stroke

INTRODUCTION

Atrial fibrillation (AF) is the most common cardiac arrhythmia,[1] estimated to affect up to 35 million people worldwide,[2] with 1.4 million people affected in England alone.[3] AF is an independent risk factor for stroke, increasing the risk five-fold.[4]

Approximately 20% of stroke cases in the United Kingdom (UK) are thought to have AF as a contributing factor and AF-related strokes are more likely to be fatal or cause severe disability than non-AF-related strokes.[5, 6] However, AF-related strokes can be prevented and their impact minimised by effective management strategies including increased detection of AF, adherence to stroke prevention guidelines and anticoagulant (AC) use in at-risk patients.

Although anticoagulation is effective in preventing strokes due to AF, evidence suggests anticoagulation therapy remains underused.[7-13] In 2010, Holt et al. showed that only 50.7% of patients with non-valvular AF (NVAf) at high risk of stroke in the UK were treated with oral AC.[9] Opportunities to impact significantly on an important cause of cardiovascular morbidity and mortality are thereby frequently missed.

In 2012, a focused update of the 2010 European Society of Cardiology (ESC) guidelines for the management of AF was issued.[14] This update included three major changes based on new or strengthened evidence. Firstly, the CHA2DS2-VASc score replaced the CHADS2 score for the assessment of stroke risk. This is based on the accumulated evidence that CHA2DS2-VASc score, which is inclusive of the most common risk factors for stroke[15] and has been validated in multiple cohorts,[16] is better at identifying patients at “truly low risk” of AF-related stroke.[17-20] Secondly, the use of aspirin therapy for stroke prevention in AF was restricted to those patients who refuse oral anticoagulation. Thirdly, the use of non-vitamin K antagonist oral anticoagulants [(NOACs), such as dabigatran, apixaban, and rivaroxaban]) was recommended in preference to vitamin K antagonists (VKAs) in most patients with a CHA2DS2-VASc score ≥ 1 . [14]

1
2
3 Despite these guidelines and the weight of evidence, national audit data from the UK showed that
4
5 among patients with known AF admitted to hospital for stroke between January and March 2013,
6
7 38% were taking antiplatelet (AP) drugs alone.[21]
8
9

10 In 2014, when the National Institute for Health and Care Excellence (NICE) updated its AF clinical
11
12 guidelines (CG180),[22] it recommended that NOACs should be considered as equal first-line options
13
14 alongside warfarin for NVAF; furthermore in a significant change to established practice stated that
15
16 aspirin should not be used as monotherapy to prevent AF-related stroke. The Royal Colleges
17
18 published a Consensus Statement reiterating this advice and emphasising the importance of
19
20 ensuring patients are supported to make an informed choice of AC.[23]
21
22

23
24 It is not yet known whether the update of the ESC and NICE guidelines effectively impacted
25
26 treatment practices in the UK. Therefore, this study aims to describe the changes in primary care
27
28 prescribing of oral AC and AP agents in patients with NVAF eligible for anticoagulation during the
29
30 years 2012–2016, and to identify clinical characteristics associated with deviation from guideline-
31
32 based recommendations.
33
34

35 **METHODS**

36 **Data Source**

37
38 Data were obtained from the UK Clinical Practice Research Datalink (CPRD).[24] The CPRD is an
39
40 anonymised primary care database established in 1987 to collect longitudinal medical records data
41
42 from general practitioner (GP) practices. As of April 2013, the CPRD covered 674 GP practices with
43
44 4.4 million active patients (i.e., patients that are alive and registered), reflecting approximately 6.8%
45
46 of the UK population. This active sample is representative of the UK population in terms of age, sex,
47
48 and ethnicity. The CPRD contains patient registration information as well as events that the GP
49
50 records during routine clinical practice, including medical diagnoses, prescriptions issued,
51
52
53
54
55
56
57
58
59
60

1
2
3 anthropometric measurements, diagnostic tests, lifestyle information (e.g., smoking status, alcohol
4 intake) and referrals to secondary care.
5
6

7
8 The CPRD has broad National Research Ethics Service Committee (NRES) ethics approval for purely
9 observational research. This study protocol was approved by the MHRA Independent Scientific
10 Advisory Committee (protocol 14_245R).
11
12
13

14 **Study Population**

15
16 All patients with a diagnosis of NVAf and eligible for anticoagulation according to ESC 2012[14] and
17 NICE 2014[22] guidance at index date (CHA2DS2-VASc score ≥ 2) were included in five cross-sectional
18 analyses: on 1st April of 2012, 2013, 2014, and 2015 and 1st January of 2016 (index date for each
19 year). Patients were further required to be at least 18 years old at the index date, have had at least
20 one consultation with their GP in the last 12 months, have ongoing CPRD registration, and have at
21 least 12 months of computerised medical data prior to the index date. Patients were excluded from
22 the study if they had a valvular condition (e.g., rheumatic mitral or aortic valve disease, or prosthetic
23 valve; codes used to identify patients are in the data supplement), or if their gender was unknown.
24
25 Figure 1 summarises the patient selection process.
26
27
28
29
30
31
32
33
34
35
36
37

38 **Study Variables**

39
40 Exposure to AC was defined by the last anticoagulation prescription identified in the 90-day period
41 preceding the index date. Three type of regimens were defined: AC, AP alone, or no antithrombotic
42 (AT) treatment. AC included vitamin K antagonists, apixaban, rivaroxaban, dabigatran, and
43 parenteral AC. International normalised ratio (INR) measurements were treated as an indicator of
44 VKA exposure and was therefore used to extend VKA exposure time. Exposure to AP alone was
45 defined by an absence of AC prescription and the presence of at least one AP prescription in the 90-
46 day period preceding the index date. No AT was defined by the absence of AC or AP prescription in
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 the 90-day period preceding the index data. A 90-day period has been used in previous studies to identify
4 recent treatment exposure.[25]
5
6

7
8 Demographic characteristics included age, gender, and country of residence. Clinical characteristics
9
10 were body mass index (BMI), smoking status, time since NVAf diagnosis, stroke risk factors (previous
11 stroke, transient ischaemic attack [TIA] or other arterial thromboembolism, congestive heart failure
12 (CHF), coronary artery disease, peripheral artery disease, hypertension, or diabetes mellitus), other
13 bleeding risk factors (previous bleeds, peptic ulcer, renal disease, liver disease, concomitant
14 treatment with antiplatelet or nonsteroidal anti-inflammatory drugs, or high alcohol intake), falls,
15 active cancer (at least one diagnosis related to cancer in the last 12 months) and number of
16 concomitant treatments (prescribed in the last 90 days). CHA₂DS₂-VASc score and a modified HAS-
17 BLED score (excluding INR component as not consistently reported in CPRD, score range: 0-8) were
18 calculated for all patients. All clinical diagnoses were identified using READ codes (codes lists
19 provided in the data supplement). Diabetes and hypertension were also identified using the
20 prescription of antidiabetic or antihypertensive treatments.
21
22
23
24
25
26
27
28
29
30
31
32

33 34 **Statistical Analyses**

35
36
37 The proportion of patients treated with each regimen (AC, AP alone, or no AT) and their 95%
38 confidence interval were calculated at each index date. As the CPRD does not provide sample survey
39 weights, it is only possible to estimate proportions as if the CPRD data is a simple random sample of
40 approximately 8% of UK GPs/patients, so a finite population correction factor of 0.96 was applied to
41 the standard errors of proportion estimates (FPFC = $\sqrt{1-0.08}$ ~0.96).
42
43
44
45
46
47

48
49 An interrupted time series analysis [26] was conducted to estimate the impact of the updated ESC
50 guidance (published in August 2012) and NICE 2014 guidance (published in June 2014) on the
51 evolution of the proportion of patients treated with each regimen, controlling for baseline level and
52 trend. For this analysis, data from April 2011 to April 2015 were used and month-by-month
53 estimates were extracted to obtain 50 time-points (using the same inclusion criteria than for the five
54
55
56
57
58
59
60

1
2
3 main cross-sectional analyses). The time series model was divided into three time periods: (1) pre-
4 ESC guidelines, (2) post-ESC guidelines, and (3) post-NICE guidelines. The statistical significance of
5 the change in level (i.e., the rapid drop in rates immediately after the intervention) and trend (i.e.,
6 the gradual decline in rates over the remainder of the follow-up period) were tested for each time
7 period. The slope in each time period was calculated by summing the change in trend observed in
8 the time period and the previous slope (in first period (pre-ESC), the baseline trend was equal to the
9 slope).

10
11
12
13
14
15
16
17
18
19 These analyses of the evolution of the anticoagulation management over time were also run
20 separately in newly diagnosed patients with NVAf (<12 months) and in patients with NVAf with a
21 diagnosis for ≥ 12 months, as well as in each country of residence separately (England, Scotland,
22 Wales, and Northern Ireland; results by country provided in the data supplement).

23
24
25
26
27
28 Generalised estimating equations (GEE) were used to identify demographic and clinical
29 characteristics associated with antiplatelet treatment, and with the absence of AT treatment (versus
30 receiving anticoagulation therapy) in April 2015 (date of the last planned cross-sectional analysis).
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
The final models were obtained using a backward elimination until all variables were significantly
associated with the outcome ($P < 0.05$). Models were adjusted for clustering within individuals and
within GP practices, and results are given as odds ratios and 95% confidence intervals.

Sensitivity Analyses

To evaluate the proportion of patients that could have been misclassified as untreated, a sensitivity
analysis was conducted, extending the exposure window used to classify patients to 180 days prior
to index date. To assess the possible impact of GP sample modification, a second sensitivity analysis
limiting the study sample to only those patients who were registered to a GP practice included in the
CPRD throughout the study period.

]All analyses were conducted using SAS software version 9.4.

RESULTS

Patient Characteristics

The demographic and clinical characteristics of patients with NVAf eligible for anticoagulation according to ESC and NICE guidance (CHA₂DS₂-VASc ≥ 2) from April 2012 to January 2016 are provided in Table 1. The characteristics of the population were consistent across the study period: patients' mean age was 78 years, 52.4%–54.3% were male, and more than 50% were either overweight or obese. Almost 20% had a history of stroke or TIA, around 30% had a history of coronary artery disease and most had hypertension (>97%). Approximately 12% had been diagnosed with NVAf within the preceding 12 months (newly diagnosed).

Treatment Patterns Over Time

The proportion of patients being treated with AC increased each year, showing an absolute rise of 16.7% over the study period (from 50.2% in April 2012 to 66.9% in January 2016) (Table 2). At the same time, the proportion of patients treated with an AP alone was reduced by half, showing an absolute decrease of 16.8% (from 34.2% in April 2012 to 17.4% January 2016). The proportion of patients not receiving any AT treatment remained the same across the study period, at around 15% of all patients with NVAf.

Stratifying the population by time since diagnosis identified the reduction in the proportion of patients treated with AP alone was greater in newly diagnosed patients, relative to those who had been diagnosed for ≥ 12 months (26.8% vs. 15.4%). In January 2016, only 11.3% of the newly diagnosed patients were treated with AP alone (vs 18.3% of those diagnosed ≥ 12 months). Similarly, the increase in the proportion of patients being prescribed AC was greater in those patients who were newly diagnosed compared to those diagnosed with NVAf for ≥ 12 months (25.3% vs. 15.5%). In January 2016, 72.5% of the newly diagnosed patients were treated with AC (vs. 66.1% of those diagnosed for ≥ 12 months).

1
2
3 In newly diagnosed patients, major changes in the type of oral AC prescribed were observed
4
5 between April 2014 and January 2016. The proportion of patients initiated with VKA fell from 50.8%
6
7 to 31.8% of all patients with NVAf, while the NOAC prescriptions rose from 9.8% to 40.6% (including
8
9 16.6% apixaban, 2.4% dabigatran, 21.5% rivaroxaban). No major change was observed in the NVAf
10
11 population with a diagnosis for ≥ 12 months; VKA prescribed in 50.9% of the population in January
12
13 2016 and NOACs in 15% (including 4.5% apixaban, 2.2% dabigatran, 8.3% rivaroxaban).

14
15
16
17 A sensitivity analysis using a time period of 180 days prior to index date was used to evaluate the
18
19 proportion of patients that could have been misclassified as untreated. This analysis provided the
20
21 same results, with only 2% difference in the proportion of untreated patients observed. Results
22
23 were also unchanged when restricting only to those patients who were registered to a GP included
24
25 in the CPRD throughout the study period.
26
27

28 **Impact of ESC and NICE Guidelines Publications on UK Practice**

29
30
31 The time series analysis stratified by time since NVAf diagnosis (< 12 months or ≥ 12 months)
32
33 showed that there was a significant trend for increasing anticoagulation treatment in both patient
34
35 groups since April 2011 (Figure 3). However, a significant acceleration of this trend (increase of the
36
37 slope) was observed after the updated ESC guidance publication (change in trend: $\beta=+0.26$ in newly
38
39 diagnosed, $\beta=+0.18$ in patients diagnosed ≥ 12 months) and also after NICE guidance publication
40
41 (change in trend: $\beta=+0.12$ in newly diagnosed, $\beta=+0.15$ in patients diagnosed ≥ 12 months).
42
43
44

45 Equally, a significant trend for decreasing antiplatelet use was observed since April 2011. A
46
47 significant acceleration of this trend was observed after both ESC and NICE guidance publications.
48
49 This change in trend was more marked after ESC for the newly diagnosed patients (post ESC: $\beta=-$
50
51 0.26, post-NICE: $\beta=-0.10$) and after NICE for patients diagnosed ≥ 12 months (post-ESC: $\beta=-0.15$,
52
53 post-NICE: $\beta=-0.21$).
54
55

56 **Characteristics Associated with the Absence of Anticoagulation Therapy in April 2015**

1
2
3 Tables 3 and 4 present the results of the GEE models comparing demographic and clinical
4 characteristics in patients receiving either an AP alone or no AT, versus those receiving AC treatment
5 in April 2015. Even after adjusting on CHA2DS2-VASc score, females, patients aged <65 and ≥85 (vs.
6 patients 65-74 years) were more likely to be prescribed an AP alone or no AT treatment, whereas
7 patients with a history of stroke/TIA, CHF or hypertension were less likely to remain untreated. The
8 likelihood of being treated with AP alone increased with time since diagnosis. Patients with coronary
9 and peripheral artery disease were also more likely to be treated with an AP alone than AC.
10 Importantly, CHA2DS2-VASc was associated with the absence of AT treatment, patients with a score
11 ≥3 were less likely to remain untreated than patients with a CHA2DS2-VASc score=2. To less extent,
12 the same association was observed in patients treated with AP alone (vs. AC). Patients who had a
13 previous intracranial bleed were more likely to be treated with AP and even more likely to remain
14 untreated. The absence of any AT was more frequent in patients with less than five
15 comedications. Geographic variations were observed, with a higher proportion receiving AP alone or
16 no AT in England and Scotland compared to Wales and Northern Ireland.
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32

33 **DISCUSSION**

34
35
36
37 A pronounced shift in anticoagulation management of patients with NVAf was observed in the UK
38 between April 2012 and January 2016, coinciding with the update of ESC[14] and NICE[22] guidelines
39 and with the availability of the NOACs as an alternative to VKAs. A substantial increase in the
40 proportion of patients with NVAf at risk of stroke treated with AC was observed during this time
41 (from 50.2% to 66.9%), as well as an important decrease of AP use (34.2% to 17.4%).
42
43
44
45
46
47

48 Whereas important increases in the proportion of patients with NVAf treated with AC were
49 previously described in the UK between 1994-2003,[7, 8] no significant changes were observed in
50 the years 2007–2010 in patients with CHA2DS2-VASc ≥2, with AC use remaining low (around 50%)
51 and AP alone widely used (36%). The high use of AP until March 2012 may have also partly reflected
52 the recommendations of the Quality and Outcomes Framework (QOF) of the NHS (National Health
53
54
55
56
57
58
59
60

1
2
3 Service), which provided equal emphasis on AC and AP in stroke prevention in primary care at that
4
5 time.[13]
6
7

8 The observed shift in treatment patterns in this study suggests a positive impact of both the ESC[14]
9
10 and NICE[22] guidelines in driving changes in thrombopropylaxis strategy for NVAF patients in the
11
12 UK, most notably the move away from AP use. The release of the ESC guidance appeared to impact
13
14 more significantly the management of recently diagnosed patients. This may reflect an earlier
15
16 change in the practice of cardiologists who, in the UK, are typically more involved in the diagnosis
17
18 and initial management of NVAF. Indeed, the publication of the NICE guidance had a greater impact
19
20 on the decline in AP use among patients with a pre-existing diagnosis, which might reflect the higher
21
22 impact of local guidance on GP's who are more involved in the long-term management of patients.
23
24

25
26 Overall, the most marked improvement in stroke prevention in AF occurred in newly diagnosed
27
28 patients, in whom AC prescriptions rose from 47.2% to 72.5% and the use of AP alone dropped to
29
30 11.3% in January 2016. At the time of diagnosis, patients are likely to be particularly engaged with
31
32 their condition, more likely to be booked for further clinical assessment and physicians are obligated
33
34 to make a decision regarding AC. Conversely, patients with a longstanding diagnosis may be more
35
36 resistant to changes in their treatment regimen, and thromboembolism prophylaxis may not be the
37
38 focus of clinical appointments. As newly diagnosed patients represent only 20% of the NVAF
39
40 population, this emphasises the potential impact on stroke prevention in the UK that could be
41
42 achieved by effectively addressing thromboembolism prophylaxis strategy in patients with an
43
44 established NVAF diagnosis. Ongoing educational activity and the use of specialist nurses and
45
46 pharmacist led anticoagulation clinics will play an important role in reaching this group of patients.
47
48
49

50 Importantly, the trend for increasing use of AC between 2012 and 2016 was associated with the
51
52 growing use of NOAC's (apixaban, rivaroxaban, dabigatran). This growth of NOAC use was mainly
53
54 observed in newly diagnosed patients between 2014 and 2016, associated with a decrease of VKA
55
56 initiation, and coincided with the release of NICE guidance[22] and the Consensus Statement
57
58
59
60

1
2
3 reiterating that NICE-approved treatments have to be made available for prescribing. This highlights
4
5 the vital role of the NOACs as alternatives to VKA through addressing some of the limitations of VKA
6
7 therapy and responding to individual patient needs. However, in patients who had been diagnosed
8
9 for ≥ 12 months, no major changes in the proportion treated with VKA were observed, indicating
10
11 significant VKA inertia in this group.
12

13
14 Although these data show that anticoagulation treatment patterns in NVAF have improved
15
16 substantially over the last five years, rates of anticoagulation appear to lag behind those observed in
17
18 contemporary European cohorts. For example, at the two-year follow up of the EORP-AF registry in
19
20 2015, 79.2% of AF patients were identified as receiving at least one oral AC (compared to 62.9% in
21
22 2015 in this study, Table 2).[27] Rates of NOAC use however appear more comparable, with 13.7% of
23
24 patients in EORP-AF receiving at least one NOAC (compared to 10.9% in this study in 2015). Baseline
25
26 data from the European population of the GLORIA-AF registry, which includes only newly diagnosed
27
28 AF patients, showed the majority (52.4%) were treated with NOACs, while 5.7 % received AP
29
30 therapy, and only 4.1% remained untreated.[28] These data are comparable to the 9.8% receiving
31
32 NOACs, 23.8% AP therapy, and 15.2% untreated among patients diagnosed between 2013 and 2014
33
34 in our study (Figure 2). Similarly, in the global GARFIELD-AF registry of patients with very recently
35
36 diagnosed NVAF (<6 weeks), over the period from 2010/11 to 2014/15 the proportion of patients
37
38 treated with AC increased from 57.4% to 71.1% including a significant increase in the proportion
39
40 receiving NOACs (4.2%–37.0%), whilst AP monotherapy declined from 30.2% to 16.6%.[29] It is
41
42 encouraging that our data up to January 2016 continue to show anticoagulation use is increasing in
43
44 the UK. Differences with European-based cohorts may, therefore, reflect a time lag associated with
45
46 the later release of NICE guidance in 2014[22] relative to the ESC guidance in 2012.[14] Other factors
47
48 may also be involved. The time lag between guideline recommendation and routine clinical practice
49
50 should be considered with the release of newer ESC guidance in 2016.[30]
51
52
53
54
55
56
57
58
59
60

1
2
3 Whereas the striking decrease of AP use observed in this study is encouraging, the absence of any
4 changes in the proportion of patients remaining untreated raises some concerns. These current data
5 identify patient characteristics associated with remaining untreated. Younger patients (<65 years),
6 patients taking fewer prescription medications (<5), and those with a CHA2DS2-VASc score of 2 were
7 all more likely to remain untreated. We hypothesise that this could be secondary to a misperception
8 of stroke risk by clinicians but it may also be secondary to patient attitude. Furthermore for those
9 patients <65 years of age, the monitoring requirements of VKAs may be regarded as incompatible
10 with a working life; a barrier that could be overcome with the NOACs.

11
12 At the other end of the spectrum, elderly patients (>85 years) were found to be less likely to be
13 prescribed AC therapy and more likely to be treated with AP alone. This observation is well
14 documented[8, 12, 13, 31-35] and may be secondary to an overestimation of bleeding risk despite
15 unequivocal evidence of the benefits of AC in the elderly.[36-39]

16
17 Our findings clearly illustrate the risk-treatment paradox previously reported in AF management [12]
18 that patients at higher risk of stroke who more likely to benefit from AC therapy [38] are not
19 receiving appropriate treatment, perhaps because of a perceived increased risk of bleeding. In fact,
20 several bleeding risk factors such as falls, peptic ulcer disease, anaemia, and previous risk of
21 intracranial or gastrointestinal bleeds were found to also be associated with an increasing likelihood
22 of remaining untreated. A survey of UK general practices from 2000 to 2009 showed that this
23 underuse of AC therapy in the elderly is not adequately explained by either an increase in
24 comorbidities or bleeding risk.[40]

25
26 In addition to age, female patients were found less likely to be treated with AC. This sex difference in
27 prescribing has been previously observed in a UK study in AF.[8] Given that women with AF appear
28 to lose their protection against sudden death including stroke,[41] and may even have a higher
29 mortality than men,[42] these lower AC rates are a cause for concern. AP alone was found to be
30 prescribed more frequently in patients with coronary artery disease. This may highlight the lack of a

1
2
3 definitive evidence base and clear guidance on the AT management of these patients, particularly in
4
5 the initial period following an acute coronary syndrome.
6
7

8 Collectively, the results indicate a strong mandate to change current clinical practice to improve
9
10 prescribing patterns among treating clinicians. This is further emphasised by the 2016 ESC
11
12 guidelines, which state that aspirin monotherapy should not be used for stroke prevention in AF
13
14 patients regardless of stroke risk, and may in fact cause harm [30] Although the present study was
15
16 conducted in the UK, the finding that a considerable number of AF patients continue to be
17
18 undertreated has wider implications for stroke prevention in AF, which remains a global issue.
19
20

21 **STRENGTHS AND LIMITATIONS**

22
23
24 This is a large study of a representative population of NVAf patients managed in the UK. It includes
25
26 patients with all forms of AF, including paroxysmal and chronic. The study may have not detected
27
28 some individuals receiving AC prescriptions in secondary care. The National Patient Safety Agency
29
30 has emphasised the importance of good communication between different bodies sharing
31
32 responsibility for prescribing potentially interacting medication, and this has increased the use of
33
34 codes in primary care to maintain awareness of AC therapy prescribed elsewhere.[9, 43].
35
36

37
38 This study is based on a general practice database, and is limited by the accuracy of GP records.
39
40 Validation of the CPRD has shown high positive predictive value of some diagnoses and, where
41
42 evaluated, comparisons of incidence with other UK data sources are also broadly similar.[44]
43
44 However, the completeness of the record is more difficult to ascertain. We acknowledge that the
45
46 results reported in this study may under-represent comorbidities and, hence, overall stroke risk.
47
48

49
50 It is important to note that due to the falling number of GP practices involved in the CPRD, the
51
52 number of eligible patients with NVAf also fell during the study period. However, a sensitivity
53
54 analysis was conducted on the NVAf population who were registered to a GP practice included in
55
56
57
58
59
60

1
2
3 the CPRD throughout the study period, and the results were unchanged. Therefore, the observed
4
5 change in AT management cannot be attributed to the reduction in available GP data.
6
7

8 It is important to reflect on the differences in the nature of data collection and analysis between
9
10 registry and real world healthcare records, whereby participation in a registry may influence
11
12 treatment selection but allow more complete and accurate data collection, whereas real world
13
14 datasets allow analysis of much larger cohorts that are more likely to reflect wider contemporary
15
16 practice, albeit with less complete and well-validated data.
17
18

19 **CONCLUSION**

20
21 Major improvements in the AC management of patients with NVAF for stroke prevention in the UK
22
23 were observed between April 2012 and January 2016. Despite this, 20% of the at-risk population
24
25 were still treated with AP alone and more than 15% of patients were on no AT agents in January
26
27 2016. However, if the trend of rapid reduction of AP use observed during the study period continues,
28
29 then the use of AP alone for stroke prevention could essentially disappear in the next few years in
30
31 the UK. The consistency observed over time in the proportion of patients not treated with any AT
32
33 therapy represents the area of greatest concern. The clinical inertia seen in this group may be due to
34
35 an underestimate of the risk of stroke in these patients, who were found to be younger with less
36
37 comorbidities and the overestimation of bleeding risk in the elderly (>85 years). There remains a
38
39 huge potential for reducing the stroke risk of the AF population by improving the thromboembolic
40
41 risk assessment in NVAF in primary care and the identification of patients requiring anticoagulation.
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

AUTHORSHIP DETAILS

L. Lacoïn, C. Lefevre, D. Evans and J. Halcox conceived and designed the study. L. McDonald, M. Pereira and S. Ramagopalan undertook the analysis. All authors contributed to the analysis and interpretation of the data. L. McDonald wrote the first draft and all authors contributed to subsequent drafts and the final paper.

ACKNOWLEDGMENTS

The authors would like to gratefully acknowledge the contribution of Sharon McLachlan, Robert Donaldson and Jack Ishakwho all provided expert opinion during the data analysis.

Highly Confidential
For peer review only

REFERENCES

1. Go AS, Hylek EM, Phillips KA, Chang Y, Henault LE, Selby JV, Singer DE. Prevalence of diagnosed atrial fibrillation in adults: national implications for rhythm management and stroke prevention: the AnTicoagulation and Risk Factors in Atrial Fibrillation (ATRIA) Study. *JAMA* 2001; 285: 2370-2375.
2. Chugh SS, Havmoeller R, Narayanan K, Singh D, Rienstra M, Benjamin EJ, Gillum RF, Kim YH, McAnulty JH, Jr., Zheng ZJ, Forouzanfar MH, Naghavi M, Mensah GA, Ezzati M, Murray CJ. Worldwide epidemiology of atrial fibrillation: a Global Burden of Disease 2010 Study. *Circulation* 2014; 129: 837-847.
3. Public Health England. Atrial fibrillation prevalence estimates in England: application of recent population estimates of AF in Sweden 2015 [December 2015]. Available from: <http://www.yhpho.org.uk//resource/view.aspx?RID=207902>
4. Kirchhof P, Auricchio A, Bax J, Crijns H, Camm J, Diener HC, Goette A, Hindricks G, Hohnloser S, Kappenberger L, Kuck KH, Lip GY, Olsson B, Meinertz T, Priori S, Ravens U, Steinbeck G, Svernhage E, Tijssen J, Vincent A, et al. Outcome parameters for trials in atrial fibrillation: executive summary. *Eur Heart J* 2007; 28: 2803-2817.
5. Royal College of Physicians. Sentinel Stroke National Audit Programme (SSNAP). Clinical audit April - June 2015 report prepared by Royal College of Physicians, Clinical Effectiveness and Evaluation Unit on behalf of the Intercollegiate Stroke Working Party. 2015.
6. Lin HJ, Wolf PA, Kelly-Hayes M, Beiser AS, Kase CS, Benjamin EJ, D'Agostino RB. Stroke severity in atrial fibrillation. The Framingham Study. *Stroke* 1996; 27: 1760-1764.
7. Majeed A, Moser K, Carroll K. Trends in the prevalence and management of atrial fibrillation in general practice in England and Wales, 1994-1998: analysis of data from the general practice research database. *Heart* 2001; 86: 284-288.

- 1
2
3 8. DeWilde S, Carey IM, Emmas C, Richards N, Cook DG. Trends in the prevalence of diagnosed
4 atrial fibrillation, its treatment with anticoagulation and predictors of such treatment in UK
5 primary care. *Heart* 2006; 92: 1064-1070.
6
7
- 8
9
10 9. Holt TA, Hunter TD, Gunnarsson C, Khan N, Cload P, Lip GY. Risk of stroke and oral
11 anticoagulant use in atrial fibrillation: a cross-sectional survey. *Br J Gen Pract* 2012; 62: e710-
12 717.
13
- 14
15
16 10. Ogilvie IM, Newton N, Welner SA, Cowell W, Lip GY. Underuse of oral anticoagulants in atrial
17 fibrillation: a systematic review. *Am J Med* 2010; 123: 638-645 e634.
18
- 19
20
21 11. Nieuwlaat R, Capucci A, Lip GY, Olsson SB, Prins MH, Nieman FH, Lopez-Sendon J, Vardas PE,
22 Aliot E, Santini M, Crijns HJ, Euro Heart Survey I. Antithrombotic treatment in real-life atrial
23 fibrillation patients: a report from the Euro Heart Survey on Atrial Fibrillation. *Eur Heart J*
24 2006; 27: 3018-3026.
25
26
- 27
28
29 12. Sandhu RK, Bakal JA, Ezekowitz JA, McAlister FA. Risk stratification schemes, anticoagulation
30 use and outcomes: the risk--treatment paradox in patients with newly diagnosed non-valvular
31 atrial fibrillation. *Heart* 2011; 97: 2046-2050.
32
33
- 34
35
36 13. Cowan C, Healicon R, Robson I, Long WR, Barrett J, Fay M, Tyndall K, Gale CP. The use of
37 anticoagulants in the management of atrial fibrillation among general practices in England.
38 *Heart* 2013; 99: 1166-1172.
39
40
- 41
42
43 14. Camm AJ, Lip GY, De Caterina R, Savelieva I, Atar D, Hohnloser SH, Hindricks G, Kirchhof P, ESC
44 Committee for Practice Guidelines-CPG. 2012 focused update of the ESC Guidelines for the
45 management of atrial fibrillation: an update of the 2010 ESC Guidelines for the management
46 of atrial fibrillation--developed with the special contribution of the European Heart Rhythm
47 Association. *Europace* 2012; 14: 1385-1413.
48
49
50
51
52
53
54
55
56
57
58
59
60

- 1
2
3 15. Lip GY, Nieuwlaat R, Pisters R, Lane DA, Crijns HJ. Refining clinical risk stratification for
4 predicting stroke and thromboembolism in atrial fibrillation using a novel risk factor-based
5 approach: the euro heart survey on atrial fibrillation. *Chest* 2010; 137: 263-272.
6
7
8
- 9
10 16. Lip GY. Stroke in atrial fibrillation: epidemiology and thromboprophylaxis. *J Thromb Haemost*
11 2011; 9 Suppl 1: 344-351.
12
13
- 14 17. Potpara TS, Polovina MM, Licina MM, Marinkovic JM, Prostran MS, Lip GY. Reliable
15 identification of "truly low" thromboembolic risk in patients initially diagnosed with "lone"
16 atrial fibrillation: the Belgrade atrial fibrillation study. *Circ Arrhythm Electrophysiol* 2012; 5:
17 319-326.
18
19
20
21
22
- 23 18. Olesen JB, Torp-Pedersen C, Hansen ML, Lip GY. The value of the CHA2DS2-VASc score for
24 refining stroke risk stratification in patients with atrial fibrillation with a CHADS2 score 0-1: a
25 nationwide cohort study. *Thromb Haemost* 2012; 107: 1172-1179.
26
27
28
29
- 30 19. Van Staa TP, Setakis E, Di Tanna GL, Lane DA, Lip GY. A comparison of risk stratification
31 schemes for stroke in 79,884 atrial fibrillation patients in general practice. *J Thromb Haemost*
32 2011; 9: 39-48.
33
34
35
- 36 20. Abu-Assi E, Otero-Ravina F, Allut Vidal G, Coutado Mendez A, Vaamonde Mosquera L, Sanchez
37 Loureiro M, Caneda Villar MC, Fernandez Villaverde JM, Maestro Saavedra FJ, Gonzalez-
38 Juanatey JR, Grupo Barbanza researchers. Comparison of the reliability and validity of four
39 contemporary risk stratification schemes to predict thromboembolism in non-anticoagulated
40 patients with atrial fibrillation. *Int J Cardiol* 2013; 166: 205-209.
41
42
43
44
45
46
- 47 21. Royal College of Physicians. Sentinel Stroke National Audit Programme (SSNAP). Clinical audit
48 first pilot public report; August 2013.
49
50
51
- 52 22. National Clinical Guideline Centre. Atrial fibrillation: the management of atrial fibrillation.
53 Clinical guideline: methods, evidence and recommendations [NICE CG180]. 2014.
54
55
56
57
58
59
60

- 1
2
3 23. NICE Implementation Collaborative. Consensus supporting local implementation of NICE
4 guidance on use of the novel (non-Vitamin K antagonist) oral anticoagulants in non-valvular
5 atrial fibrillation. 2014.
6
7
8
9
10 24. Herrett E, Gallagher AM, Bhaskaran K, Forbes H, Mathur R, van Staa T, Smeeth L. Data
11 Resource Profile: Clinical Practice Research Datalink (CPRD). *Int J Epidemiol* 2015; 44: 827-836.
12
13
14 25. Gallagher AM, van Staa TP, Murray-Thomas T, Schoof N, Clemens A, Ackermann D, Bartels DB.
15 Population-based cohort study of warfarin-treated patients with atrial fibrillation: incidence of
16 cardiovascular and bleeding outcomes. *BMJ Open* 2014; 4: e003839.
17
18
19
20
21 26. Penfold RB, Zhang F. Use of interrupted time series analysis in evaluating health care quality
22 improvements. *Acad Pediatr* 2013; 13: S38-44.
23
24
25
26 27. Bassand JP, Accetta G, Camm AJ, Cools F, Fitzmaurice DA, Fox KA, Goldhaber SZ, Goto S, Haas
27 S, Hacke W, Kayani G, Mantovani LG, Misselwitz F, Ten Cate H, Turpie AG, Verheugt FW,
28 Kakkar AK, Garfield-Af Investigators. Two-year outcomes of patients with newly diagnosed
29 atrial fibrillation: results from GARFIELD-AF. *Eur Heart J* 2016.
30
31
32
33
34 28. Huisman MV, Rothman KJ, Paquette M, Teutsch C, Diener HC, Dubner SJ, Halperin JL, Ma C,
35 Zint K, Elsaesser A, Bartels DB, Lip GY, Gloria-Af Investigators. Antithrombotic Treatment
36 Patterns in Patients with Newly Diagnosed Nonvalvular Atrial Fibrillation: The GLORIA-AF
37 Registry, Phase II. *Am J Med* 2015; 128: 1306-1313 e1301.
38
39
40
41
42
43 29. Camm AJ, Accetta G, Ambrosio G, Atar D, Bassand JP, Berge E, Cools F, Fitzmaurice DA,
44 Goldhaber SZ, Goto S, Haas S, Kayani G, Koretsune Y, Mantovani LG, Misselwitz F, Oh S, Turpie
45 AG, Verheugt FW, Kakkar AK, Garfield-Af Investigators. Evolving antithrombotic treatment
46 patterns for patients with newly diagnosed atrial fibrillation. *Heart* 2016.
47
48
49
50
51
52 30. Kirchhof P, Benussi S, Kotecha D, Ahlsson A, Atar D, Casadei B, Castella M, Diener HC,
53 Heidbuchel H, Hendriks J, Hindricks G, Manolis AS, Oldgren J, Popescu BA, Schotten U, Van
54 Putte B, Vardas P, Agewall S, Camm J, Baron Esquivias G, et al. 2016 ESC Guidelines for the
55
56
57
58
59
60

- 1
2
3 management of atrial fibrillation developed in collaboration with EACTS. *Eur Heart J* 2016; 37:
4
5 2893-2962.
6
7
8 31. Friberg L, Hammar N, Ringh M, Pettersson H, Rosenqvist M. Stroke prophylaxis in atrial
9
10 fibrillation: who gets it and who does not? Report from the Stockholm Cohort-study on Atrial
11
12 Fibrillation (SCAF-study). *Eur Heart J* 2006; 27: 1954-1964.
13
14 32. Waldo AL, Becker RC, Tapson VF, Colgan KJ, Committee NS. Hospitalized patients with atrial
15
16 fibrillation and a high risk of stroke are not being provided with adequate anticoagulation. *J*
17
18 *Am Coll Cardiol* 2005; 46: 1729-1736.
19
20
21 33. Gallagher AM, Rietbrock S, Plumb J, van Staa TP. Initiation and persistence of warfarin or
22
23 aspirin in patients with chronic atrial fibrillation in general practice: do the appropriate
24
25 patients receive stroke prophylaxis? *J Thromb Haemost* 2008; 6: 1500-1506.
26
27
28 34. Falstaff Study Group, Leizorovicz A, Cohen A, Guenoun M, Mismetti P, Weisslinger N. Influence
29
30 of age on the prescription of vitamin K antagonists in outpatients with permanent atrial
31
32 fibrillation in France. *Pharmacoepidemiol Drug Saf* 2007; 16: 32-38.
33
34
35 35. Simpson CR, Wilson C, Hannaford PC, Williams D. Evidence for age and sex differences in the
36
37 secondary prevention of stroke in Scottish primary care. *Stroke* 2005; 36: 1771-1775.
38
39
40 36. Mant J, Hobbs FD, Fletcher K, Roalfe A, Fitzmaurice D, Lip GY, Murray E, Bafta investigators,
41
42 Midland Research Practices Network. Warfarin versus aspirin for stroke prevention in an
43
44 elderly community population with atrial fibrillation (the Birmingham Atrial Fibrillation
45
46 Treatment of the Aged Study, BAFTA): a randomised controlled trial. *Lancet* 2007; 370: 493-
47
48 503.
49
50 37. Rash A, Downes T, Portner R, Yeo WW, Morgan N, Channer KS. A randomised controlled trial
51
52 of warfarin versus aspirin for stroke prevention in octogenarians with atrial fibrillation
53
54 (WASPO). *Age Ageing* 2007; 36: 151-156.
55
56
57
58
59
60

- 1
2
3 38. van Walraven C, Hart RG, Connolly S, Austin PC, Mant J, Hobbs FD, Koudstaal PJ, Petersen P,
4
5 Perez-Gomez F, Knottnerus JA, Boode B, Ezekowitz MD, Singer DE. Effect of age on stroke
6
7 prevention therapy in patients with atrial fibrillation: the atrial fibrillation investigators. *Stroke*
8
9 2009; 40: 1410-1416.
10
11
12 39. Garwood CL, Corbett TL. Use of anticoagulation in elderly patients with atrial fibrillation who
13
14 are at risk for falls. *Ann Pharmacother* 2008; 42: 523-532.
15
16
17 40. Scowcroft AC, Lee S, Mant J. Thromboprophylaxis of elderly patients with AF in the UK: an
18
19 analysis using the General Practice Research Database (GPRD) 2000-2009. *Heart* 2013; 99:
20
21 127-132.
22
23
24 41. Benjamin EJ, Wolf PA, D'Agostino RB, Silbershatz H, Kannel WB, Levy D. Impact of atrial
25
26 fibrillation on the risk of death: the Framingham Heart Study. *Circulation* 1998; 98: 946-952.
27
28
29 42. Stewart S, Hart CL, Hole DJ, McMurray JJ. A population-based study of the long-term risks
30
31 associated with atrial fibrillation: 20-year follow-up of the Renfrew/Paisley study. *Am J Med*
32
33 2002; 113: 359-364.
34
35
36 43. Baglin TP, Cousins D, Keeling DM, Perry DJ, Watson HG. Safety indicators for inpatient and
37
38 outpatient oral anticoagulant care: [corrected] Recommendations from the British Committee
39
40 for Standards in Haematology and National Patient Safety Agency. *Br J Haematol* 2007; 136:
41
42 26-29.
43
44 44. Herrett E, Thomas SL, Schoonen WM, Smeeth L, Hall AJ. Validation and validity of diagnoses in
45
46 the General Practice Research Database: a systematic review. *Br J Clin Pharmacol* 2010; 69: 4-
47
48 14.
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 **FIGURE LEGENDS**
4

5
6 **Figure 1. Flowchart describing the sample used in each year**
7

8
9 **Figure 2. Evolution of the proportion of patients treated with each anticoagulant, with antiplatelet**
10 **therapy alone or no antithrombotic therapy among patients with NVAF with CHA2DS2-VASc ≥ 2**
11 **separately in newly diagnosed patients (A) and patients diagnosed since 12 months or more (B)**
12
13

14
15
16 A) Newly diagnosed patients with NVAF
17

18
19 B) Patients with NVAF diagnosis since 12 months or more
20

21
22 **Figure 3. Time series analysis describing the trends in the evolution of the proportion of patients**
23 **with NVAF treated with anticoagulants, aspirin, or other antiplatelet therapy alone or without any**
24 **antithrombotic treatment from April 2012 to April 2015 in the UK, by time since NVAF diagnosis**
25
26
27

28
29 A. Anticoagulation treatment
30

31
32

	Pre-ESC ¹			Post-ESC ²			Post-NICE ²		
	β	SE	p-value	β	SE	p-value	β	SE	p-value
Diagnosed < 12 months									
Level	43.09	0.26	<.0001	0.28	0.35	0.418	0.10	0.49	0.846
Trend	0.34	0.02	<.0001	0.26	0.03	<.0001	0.12	0.09	0.171
Diagnosed ≥ 12 months									
Level	49.01	0.07	<.0001	0.00	0.10	0.981	-0.16	0.14	0.251
Trend	0.13	0.01	<.0001	0.18	0.01	<.0001	0.15	0.02	<.0001

33
34
35
36
37
38
39
40
41
42
43
44
45
46
47

48 ¹For Pre-ESC data are base level, base trend. ²Post-ESC and Post-NICE data are change in level, change in trend
49
50
51
52
53
54
55
56
57
58
59
60

B. Aspirin (ASA) or other AP only

	Pre-ESC ¹			Post-ESC ²			Post-NICE ²		
	β	SE	p-value	β	SE	p-value	β	SE	p-value
Diagnosed <12months									
Level	41.94	0.24	<.0001	-0.20	0.31	0.518	-0.63	0.44	0.159
Trend	-0.36	0.02	<.0001	-0.26	0.03	<.0001	-0.10	0.08	0.226
Diagnosed \geq12 months									
Level	35.05	0.06	<.0001	0.03	0.08	0.737	-0.33	0.12	0.008
Trend	-0.12	0.01	<.0001	-0.15	0.01	<.0001	-0.21	0.02	<.0001

¹For Pre-ESC data are base level, base trend. ²Post-ESC and Post-NICE data are change in level, change in trend

C. Without any antithrombotic treatment

	Pre-ESC ¹			Post-ESC ²			Post-NICE ²		
	β	SE	p-value	β	SE	p-value	β	SE	p-value
Diagnosed <12months									
Level	14.97	0.15	<.0001	-0.08	0.19	0.6835	0.54	0.27	0.057
Trend	0.02	0.01	0.211	0.00	0.02	0.9262	-0.03	0.05	0.612
Diagnosed \geq12 months									
Level	15.94	0.07	<.0001	-0.03	0.10	0.789	0.49	0.14	0.001
Trend	-0.01	0.01	0.174	-0.03	0.01	0.000	0.06	0.02	0.015

¹For Pre-ESC data are base level, base trend. ²Post-ESC and Post-NICE data are change in level, change in trend

Table 1. Demographic and clinical characteristics of patients with NVAf on each index date (data given as n, % unless stated otherwise)

	April 2012		April 2013		April 2014		April 2015		Jan 2016	
	(n=67327)		(n=66364)		(n=62840)		(n=53150)		(n=45105)	
	n	%	n	%	n	%	n	%	n	%
Age (years) mean (SD)	78 (10)		78 (10)		78 (10)		78 (9.9)		78 (9.9)	
Gender: Male	35277	52.4	35096	52.9	33477	53.3	28756	54.1	24495	54.3
Country										
England	51055	75.8	49721	74.9	45422	72.3	36910	69.4	28226	62.6
Wales	7193	10.7	7168	10.8	7658	12.2	6791	12.8	7088	15.7
Scotland	6826	10.1	7132	10.7	7331	11.7	6850	12.9	7060	15.7
Northern Ireland	2253	3.3	2343	3.5	2429	3.9	2599	4.9	2731	6.1
Smoking status										
Current smoker	4685	7.0	4500	6.8	4087	6.5	3305	6.2	2877	6.4
Past smoker	34297	50.9	34019	51.3	32258	51.3	27389	51.5	23119	51.3
Body mass index (kg/m²)										
Missing data	5283	7.8	4588	6.9	3990	6.3	3209	6.0	2667	5.9
Median (Q1-Q3)	27.2 (24.1-31.1)		27.2 (24.1-31.2)		27.3 (24.2-31.2)		27.4 (24.3-31.4)		27.6 (24.3-31.5)	
GP consultation in the last										
year Median (Q1-Q3)	13 (8-22)		13 (8-22)		14 (8-23)		14 (8-23)		14 (8-22)	
Newly diagnosed NVAf	8197	12.2	8104	12.2	7421	11.8	6255	11.8	5564	12.3
Stroke risk factors										
Previous stroke/TIA	13136	19.5	12966	19.5	12312	19.6	10393	19.6	8986	19.9
Other arterial thromboembolism	281	0.4	267	0.4	243	0.4	207	0.4	182	0.4
Congestive heart failure	11970	17.8	11536	17.4	10780	17.2	9296	17.5	8272	18.3
Coronary artery disease	21158	31.4	20213	30.5	18691	29.7	15383	28.9	12892	28.6
Peripheral arterial disease	4136	6.1	3978	6	3671	5.8	2958	5.6	2491	5.5
Hypertension	65349	97.1	64557	97.3	61255	97.5	51872	97.6	44039	97.6
Diabetes mellitus	13949	20.7	13974	21.1	13564	21.6	11779	22.2	10222	22.7

	April 2012		April 2013		April 2014		April 2015		Jan 2016	
	(n=67327)		(n=66364)		(n=62840)		(n=53150)		(n=45105)	
	n	%	n	%	n	%	n	%	n	%
CHA2DS2-VASc score										
Median (Q1-Q3)	4	(3-5)	4	(3-5)	4	(3-5)	4	(3-5)	4	(3-5)
Modified HAS-BLED score*										
Median (Q1-Q3)	4	(3-4)	4	(3-4)	4	(3-4)	4	(3-4)	4	(3-4)
Previous bleedings**	22136	32.9	22260	33.5	21770	34.6	18669	35.1	15889	35.2
Intracranial	1166	1.7	1223	1.8	1238	2.0	1039	2.0	897	2.0
Gastrointestinal	7755	11.5	7700	11.6	7575	12.1	6536	12.3	5677	12.6
Renal disease***	23367	34.7	23003	34.7	21391	34	17796	33.5	15061	33.4
Liver disease	454	0.7	478	0.7	475	0.8	425	0.8	393	0.9
Number of concomitant treatments										
Median (Q1-Q3)	8	(5-11)	8	(5-11)	8	(5-11)	8	(5-11)	7	(5-11)

Abbreviations: GP, general practitioner; NVAf, non-valvular atrial fibrillation; SD, standard deviation; TIA, transient ischaemic attack

* Excluding INR component as not consistently reported in CPRD, score range: 0–8.

** Including intracranial, gastrointestinal, intraocular, pericardial, urinary, intra-articular, lung, or other bleed. Gynecological bleeds excluded.

*** Including any renal disease, chronic kidney disease stage 1-5

Table 2. Evolution of the proportion of patients treated with anticoagulants, with antiplatelet therapy alone or no antithrombotic therapy among patients with NVAf with CHA2DS2-VASc \geq 2

	April 2012	April 2013	April 2014	April 2015	January 2016
	% (95% CI)	% (95% CI)	% (95% CI)	% (95% CI)	% (95% CI)
Anticoagulation	50.2 (49.8-50.5)	53.2 (52.8-53.5)	57.5 (57.1-57.9)	62.9 (62.5-63.3)	66.9 (66.5-67.3)
Antiplatelet therapy alone	34.2 (33.9-34.5)	31.2 (30.8-31.5)	27.7 (27.3-28.0)	21.8 (21.5-22.2)	17.4 (17.1-17.8)
No antithrombotic therapy	15.6 (15.4-15.9)	15.7 (15.4-15.9)	14.8 (14.6-15.1)	15.3 (15.0-15.6)	15.7 (15.4-16.0)

Abbreviations: CI, confidence interval

For peer review only - Highly Confidential

Table 3. Factors associated with the prescription of aspirin or other antiplatelet for TE prevention in NVAF in April 2015 (vs. anticoagulation): results of the GEE model

	Antiplatelet therapy		Treated with		OR (95% CI)	P-value
	alone		anticoagulant			
	N=11,609		N= 33,413			
	n	%	N	%		
Patient age (years)						< .0001
< 65	890	7.7	2016	6.0	1.22 (1.10-1.35)	
65 to 74	2769	23.9	8780	26.3	Reference	
75 to 84	3842	33.1	14363	43.0	0.94 (0.87-1.02)	
≥ 85	4108	35.4	8254	24.7	1.72 (1.58-1.87)	
Country						0.001
England	8065	69.5	22909	68.6	Reference.	
Wales	1383	11.9	4524	13.5	0.80 (0.70-0.91)	
Scotland	1590	13.7	4231	12.7	1.06 (0.96-1.19)	
N. Ireland	571	4.9	1749	5.2	0.83 (0.72-0.97)	
Gender: male (reference = female)	5364	46.2	14580	43.6	0.87 (0.81-0.93)	<.0001
Time since NVAF diagnosis						<.0001
< 6 months	497	4.3	2121	6.3	Reference	
6 to 12 months	442	3.8	2175	6.5	0.96 (0.83-1.11)	
12 to 24 months	979	8.4	3886	11.6	1.24 (1.10-1.41)	
2 to 5 years since	3095	26.7	8496	25.4	1.89 (1.68-2.12)	
≥ 5 years	6596	56.8	16735	50.1	2.17 (1.93-2.44)	
Previous oral AC treatment						<.0001
No previous treatment	8093	69.7	21189	63.4	Reference	
NOAC only	87	0.7	411	1.2	0.61 (0.46-0.81)	
VKA and NOAC	76	0.7	490	1.5	0.37 (0.29-0.47)	
VKA only	3353	28.9	11323	33.9	0.65 (0.59-0.72)	
Previous stroke/TIA/arterial TE	2291	19.7	7341	22.0	0.83 (0.75-0.92)	0.0008
Congestive heart failure	1722	14.8	6685	20.0	0.61 (0.56-0.66)	<.0001
Previous coronary artery disease	4535	39.1	9636	28.8	1.60 (1.50--1.71)	<.0001

	Antiplatelet therapy		Treated with		OR (95% CI)	P-value
	alone		anticoagulant			
	N=11,609		N= 33,413			
	n	%	N	%		
Peripheral arterial disease	833	7.2	1887	5.6	1.20 (1.10-1.31)	0.0001
Hypertension	11308	97.4	32869	98.4	0.59 (0.51-0.69)	<.0001
CHA2DS2-VASc score						<.0001
2	1425	12.3	3444	10.3	Reference	
3	2408	20.7	7482	22.4	0.69 (0.63-0.76)	
4	3206	27.6	9656	28.9	0.56 (0.50-0.64)	
5	2306	19.9	6544	19.6	0.54 (0.46-0.64)	
≥6	2264	19.5	6287	18.9	0.52 (0.42-0.65)	
Previous bleed						<.0001
No bleed	7522	64.8	21577	64.6	Reference	
Intracranial bleed	346	3.0	404	1.2	3.02 (2.56-3.56)	
Gastrointestinal bleed	1430	12.3	4001	11.8	0.96 (0.90-1.02)	
Other bleed	2311	19.9	7473	22.4	0.82 (0.77-0.87)	
History of fall	3474	29.9	8211	24.6	1.14 (1.08-1.20)	<.0001
Renal disease	4106	35.4	11542	34.5	0.94 (0.90-0.99)	0.0131
Liver disease	102	0.9	214	0.6	1.32 (1.03-1.70)	0.0414
Number of comedications						<.0001
< 5	1448	12.5	6879	20.6	Reference	
5 to 9	5040	43.4	14825	44.4	1.71 (1.60-1.82)	
10 to 14	3169	27.3	7782	23.3	2.08 (1.93-2.26)	
15 or more	1952	16.8	3927	11.8	2.66 (2.43-2.91)	

Abbreviations: CI, confidence interval; GEE, generalised estimating equations; VKA, vitamin K antagonist; OAC, oral anticoagulant; NVAF, non-valvular atrial fibrillation; TIA, transient ischaemic attack; TE, thromboembolism; OR, odds ratio; NOAC, novel oral anticoagulant.

Management of NVAF in Europe and the UK

Table 4. Factor associated with the absence of TE prevention (no antithrombotic therapy vs. anticoagulation) in NVAF in April 2015: results of the GEE model

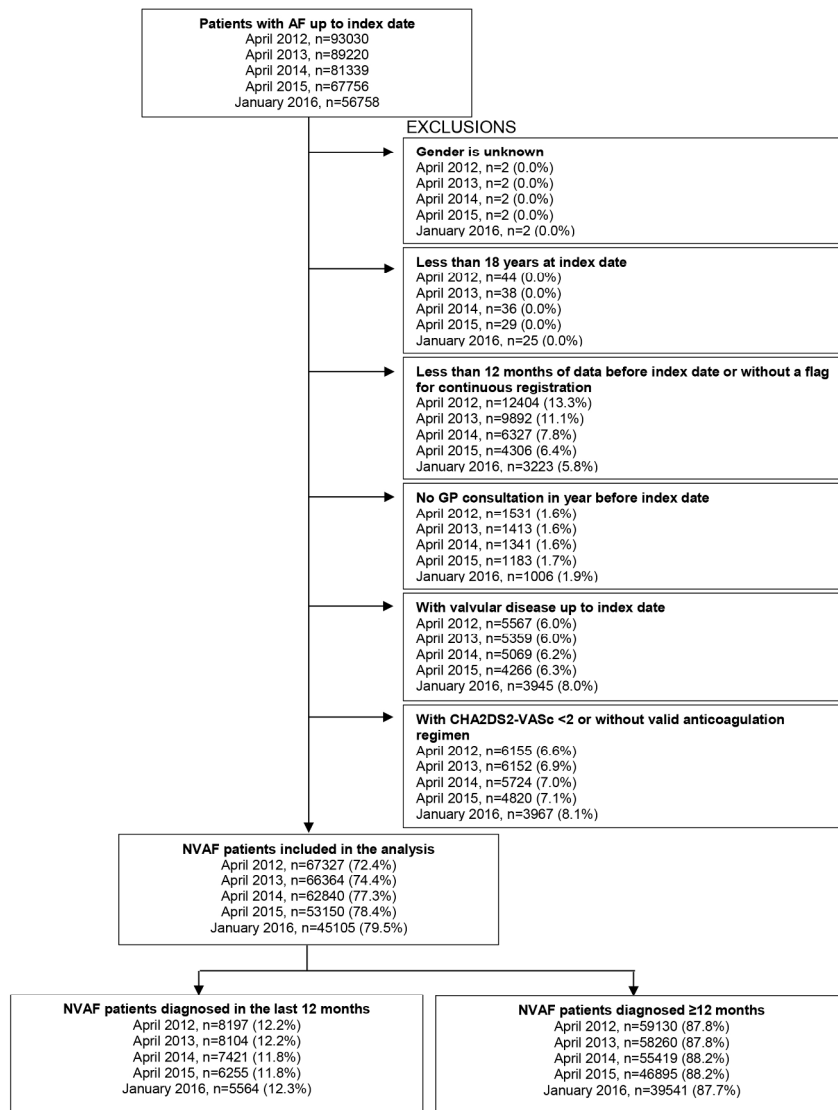
Parameter	No antithrombotic therapy		Treated with anticoagulant		OR (95% CI)	P-value
	N=8,128		N= 33,413			
	n	%	n	%		
Patient age (years)						<.0001
< 65	1299	16	2016	6.0	1.64 (1.47-1.83)	
65 to 74	2214	27.2	8780	26.3	Reference	
75 to 84	2367	29.1	14363	43.0	1.09 (0.99-1.20)	
≥ 85	2248	27.7	8254	24.7	1.86 (1.68-2.07)	
Gender: male (reference = female)	4450	54.7	14580	43.6	0.51 (0.46-0.56)	<.0001
Country						
England	5936	73.0	22909	68.6	Reference	<.0001
Wales	884	10.9	4524	13.5	0.80 (0.69-0.93)	
Scotland	1029	12.7	4231	12.7	1.09 (0.96-1.23)	
Northern Ireland	279	3.4	1749	5.2	0.69 (0.57-0.83)	
Time since NVAF diagnosis						<.0001
< 6 months	592	7.3	2121	6.3	Reference	
6 to 12 months	427	5.3	2175	6.5	0.71 (0.61-0.82)	
12 to 24 months	864	10.6	3886	11.6	0.82 (0.71-0.94)	
2 to 5 years	2086	25.7	8496	25.4	0.93 (0.82-1.06)	
≥ 5 years	4159	51.2	16735	50.1	1.07 (0.94-1.23)	
Previous OAC treatment						<.0001
No previous OAC	5137	63.2	21189	63.4	Reference	
NOAC only	189	2.3	411	1.2	1.82 (1.36-2.43)	
VKA and NOAC	159	2.0	490	1.5	1.50 (1.23-1.84)	
VKA only	2643	32.5	11323	33.9	0.97 (0.88-1.07)	
Previous stroke/TIA/ arterial TE	968	11.9	7341	22.0	0.55 (0.48-0.64)	<.0001
Congestive heart failure	889	10.9	6685	20.0	0.76 (0.69-0.84)	<.0001

Management of NVAF in Europe and the UK

Parameter	No antithrombotic therapy		Treated with anticoagulant		OR (95% CI)	P-value
	N=8,128		N= 33,413			
	n	%	n	%		
Previous coronary artery disease	1212	14.9	9636	28.8	0.76 (0.70-0.84)	<.0001
Hypertension	7695	94.7	32869	98.4	0.62 (0.53-0.72)	<.0001
CHA2DS2-VASc score						<.0001
2	2212	27.2	3444	10.3	Reference	
3	2034	25	7482	22.4	0.48 (0.43-0.54)	
4	2057	25.3	9656	28.9	0.33 (0.28-0.39)	
5	987	12.1	6544	19.6	0.28 (0.22-0.35)	
≥6	838	10.27	6287	18.9	0.27 (0.20-0.36)	
Previous bleed						<.0001
No bleed	5382	66.2	21577	64.6	Reference	
IC bleed	289	3.6	404	1.2	8.03 (6.43-10.02)	
GI bleed	1016	12.5	4001	11.8	1.24 (1.14-1.35)	
Other bleed	1441	17.7	7473	22.4	0.91 (0.85-0.97)	
History of peptic ulcer	465	5.7	1743	5.2	1.36 (1.21-1.52)	<.0001
History of anaemia	227	2.8	880	2.6	1.44 (1.24-1.67)	<.0001
History of fall	2097	25.8	8211	24.6	1.20 (1.13-1.28)	<.0001
Renal disease	2148	26.4	11542	34.5	0.90 (0.84-0.96)	0.0016
Liver disease	109	1.3	214	0.6	2.39 (1.87-3.05)	<.0001
Active cancer	455	3.9	1367	4.1	1.19 (1.06-1.35)	0.0053
Number of co-medications						<.0001
< 5	3384	41.6	6879	20.6	Reference	
5 to 9	2791	34.3	14825	44.4	0.49 (0.45-0.52)	
10 to 14	1271	15.6	7782	23.3	0.46 (0.43-0.50)	
≥15	682	8.4	3927	11.8	0.53 (0.48-0.59)	

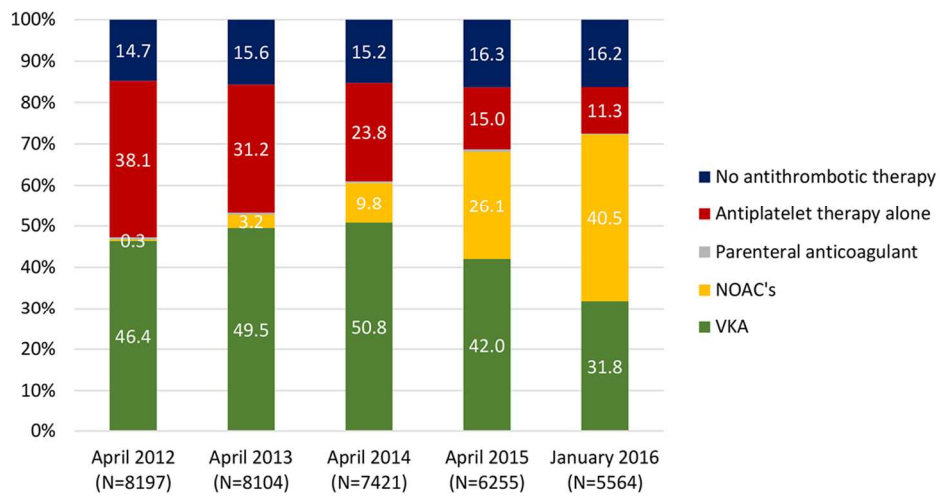
Abbreviations: CI, confidence interval; GEE, generalised estimating equations; VKA, vitamin K antagonist; OAC, oral anticoagulant; NVAF, non-valvular atrial fibrillation; TIA, transient ischaemic attack; TE, thromboembolism; OR, odds ratio; NOAC, novel oral anticoagulant

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



172x246mm (300 x 300 DPI)

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



113x60mm (300 x 300 DPI)

review only

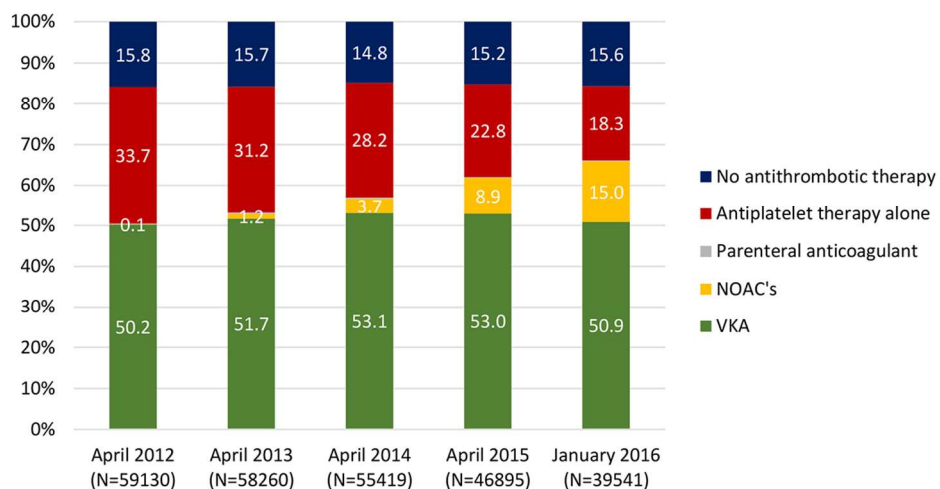


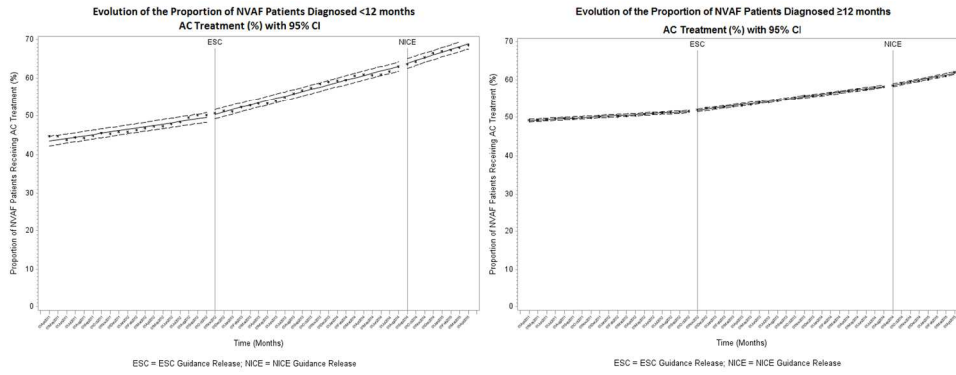
Figure 2

113x59mm (300 x 300 DPI)

review only

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

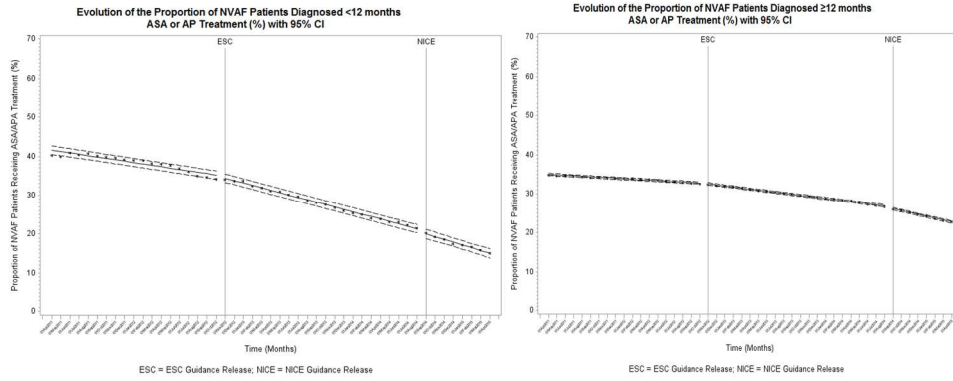
1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



172x68mm (300 x 300 DPI)

Peer review only

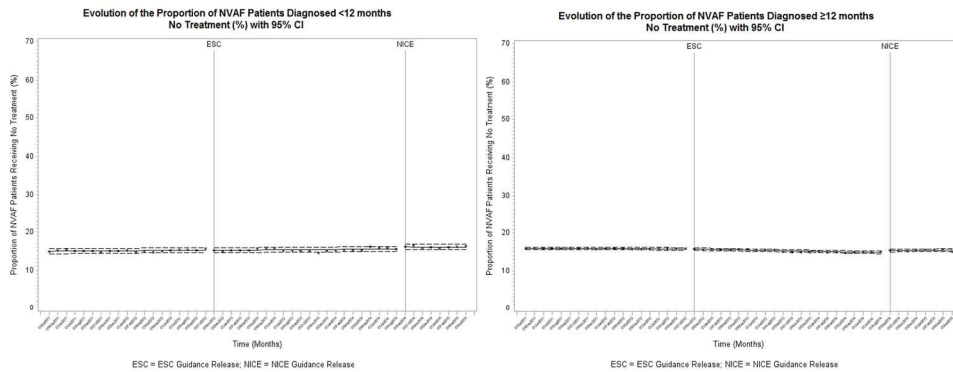
1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



172x70mm (300 x 300 DPI)

Peer review only

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



172x70mm (300 x 300 DPI)

Peer review only

1 Management of NVAf in Europe and the UK
 2
 3

4 DATA SUPPLEMENT
 5

6 Table s1. READ code descriptions
 7

8 G11..00	Mitral valve diseases
9 G540.15	Mitral valve prolapse
10 G110.00	Mitral stenosis
11 G540.00	Mitral valve incompetence
12 G541.00	Aortic valve disorders
13 G540000	Mitral incompetence, non-rheumatic
14 G130.00	Mitral and aortic stenosis
15 G13..00	Diseases of mitral and aortic valves
16 G541400	Aortic valve stenosis with insufficiency
17 G131.14	Mitral stenosis and aortic regurgitation
18 G544200	Combined disorders of mitral, aortic and tricuspid valves
19 G541z00	Aortic valve disorders NOS
20 G544100	Disorders of both mitral and tricuspid valves
21 G540z00	Mitral valve disorders NOS
22 G113.00	Nonrheumatic mitral valve stenosis
23 G13z.00	Mitral and aortic valve disease NOS
24 G11z.00	Mitral valve disease NOS
25 G133.00	Mitral and aortic incompetence
26 G132.12	Mitral incompetence and aortic stenosis
27 G540200	Mitral valve prolapse
28 G132.00	Mitral insufficiency and aortic stenosis
29 G132.13	Mitral regurgitation and aortic stenosis
30 G540100	Mitral incompetence, cause unspecified
31 G540300	Mitral valve leaf prolapse
32 G544.00	Multiple valve diseases
33 G540.12	Mitral valve insufficiency
34 G112.13	Mitral stenosis with regurgitation
35 G112.00	Mitral stenosis with insufficiency
36 Gyu5600	[X]Other aortic valve disorders
37 G131.00	Mitral stenosis and aortic insufficiency
38 G12z.00	Rheumatic aortic valve disease NOS
39 G112.12	Mitral stenosis with incompetence
40 Gyu1000	[X]Other mitral valve diseases
41 P65..00	Congenital mitral stenosis

1 Management of NVAf in Europe and the UK
 2
 3

4	G544X00	Multiple valve disease, unspecified
5	G114.00	Ruptured mitral valve cusp
6	G131.13	Mitral stenosis and aortic incompetence
7	P66..00	Congenital mitral insufficiency
8	P652.00	Parachute deformity of the mitral valve
9	G13y.00	Multiple mitral and aortic valve involvement
10	Gyu5A00	[X]Aortic valve disorders in diseases classified elsewhere
11	P650.00	Congenital mitral stenosis, unspecified
12	G133.11	Mitral and aortic insufficiency
13	Gyu5500	[X]Other nonrheumatic mitral valve disorders
14	P65z.00	Congenital mitral stenosis NOS
15	Gyu5D00	[X]Multiple valve disorders/diseases CE
16	READ Codes for prosthetic valve description	
17	7911.12	Replacement of aortic valve
18	P641.00	Bicuspid aortic valve
19	7910300	Replacement of mitral valve NEC
20	7910.12	Replacement of mitral valve
21	7910.12	Replacement of mitral valve
22	7914300	Replacement of valve of heart NEC
23	7914200	Prosthetic replacement of valve of heart NEC
24	7910.00	Plastic repair of mitral valve
25	7911.00	Plastic repair of aortic valve
26	7911300	Replacement of aortic valve NEC
27	7915000	Revision of plastic repair of mitral valve
28	7916000	Open mitral valvotomy
29	7917000	Closed mitral valvotomy
30	7910200	Prosthetic replacement of mitral valve
31	7910200	Prosthetic replacement of mitral valve
32	7910400	Mitral valvuloplasty NEC
33	ZV43300	[V]Has artificial heart valve
34	7914.11	Replacement of unspecified valve of heart
35	7910211	Bjork-Shiley prosthetic replacement of mitral valve
36	7911200	Prosthetic replacement of aortic valve
37	7910.11	Mitral valvuloplasty
38	7914212	Starr prosthetic replacement of valve of heart
39	7911100	Xenograft replacement of aortic valve

1 Management of NVAf in Europe and the UK
 2
 3

4	7911y00	Other specified plastic repair of aortic valve
5	ZV45H00	[V]Presence of prosthetic heart valve
6		
7	7910z00	Plastic repair of mitral valve NOS
8		
9	7911000	Allograft replacement of aortic valve
10		
11	7915100	Revision of plastic repair of aortic valve
12	7914211	Edwards prosthetic replacement of valve of heart
13	TB01200	Implant of heart valve prosthesis + complication, no blame
14		
15	7910213	Carpentier prosthetic replacement of mitral valve
16	7910100	Xenograft replacement of mitral valve
17		
18	7919000	Percutaneous transluminal mitral valvotomy
19		
20	7911z00	Plastic repair of aortic valve NOS
21	7910212	Bjork-Shiley prosthetic replacement of mitral valve
22	7910y00	Other specified plastic repair of mitral valve
23		
24	SP00200	Mechanical complication of heart valve prosthesis
25	SyuK611	[X] Embolism from prosthetic heart valve
26		
27	790D700	Replacement of valved cardiac conduit
28		
29	7914100	Xenograft replacement of valve of heart NEC
30	7914000	Allograft replacement of valve of heart NEC
31	ZVu6e00	[X]Presence of other heart valve replacement
32		
33	7910214	Edwards prosthetic replacement of mitral valve
34	7910411	Mitral valve repair NEC
35		
36	7911411	Aortic valve repair NEC
37		
38	7910000	Allograft replacement of mitral valve
39	7911600	Transluminal aortic valve implantation
40	7911500	Transapical aortic valve implantation
41		
42	7914600	Replacement of truncal valve
43		
44	7918000	Annuloplasty of mitral valve
45		
46		
47		
48		
49		
50		
51		
52		
53		
54		
55		
56		
57		
58		
59		
60		

Management of NVAF in Europe and the UK

Table s2. Proportion of patients with NVAF treated in April 2012, 2013, 2014, and 2015 in the UK, according to duration of diagnosis

	April 2012 % [95% CI]	April 2013 % [95% CI]	April 2014 % [95% CI]	April 2015 % [95% CI]	January 2016 % [95% CI]
<i>Diagnosed < 12 months</i>					
Anticoagulation treatment	47.2 [46.2-48.3]	53.2 [52.1-54.2]	61.0 [59.9-62.0]	68.7 [67.6-69.8]	72.5 [71.3-73.7]
VKA	46.4 [45.4-47.4]	49.5 [48.5-50.6]	50.8 [49.7-51.9]	42.0 [40.8-43.1]	31.8 [30.6-33.0]
Apixaban	-	-	1.7 [1.5-2.0]	8.8 [8.1-9.5]	16.6 [15.6-17.5]
Rivaroxaban	-	1.2 [1.0-1.5]	5.5 [5.0-6.0]	14.5 [13.7-15.3]	21.5 [20.4-22.6]
Dabigatran	0.3 [0.2-0.4]	2.0 [1.7-2.3]	2.6 [2.3-2.9]	2.8 [2.5-3.2]	2.4 [2.0-2.8]
Parenteral anticoagulant	0.5 [0.4-0.6]	0.5 [0.3-0.6]	0.4 [0.2-0.5]	0.6 [0.4-0.8]	0.2 [0.1-0.4]
Antiplatelet therapy alone	38.1 [37-39.1]	31.2 [30.2-32.1]	23.8 [22.9-24.8]	15 [14.2-15.9]	11.3 [10.5-12.1]
No antithrombotic therapy	14.7 [14-15.4]	15.6 [14.9-16.4]	15.2 [14.4-16]	16.3 [15.4-17.2]	16.2 [15.2-17.1]
<i>Diagnosed ≥ 12 months</i>					
Anticoagulation treatment	50.6 [50.2-51.0]	53.2 [52.8-53.5]	57.0 [56.6-57.4]	62.1 [61.7-62.5]	66.1 [65.6-66.6]
VKA	50.2 [49.8-50.6]	51.7 [51.3-52.1]	53.1 [52.7-53.5]	53.0 [52.5-53.4]	50.9 [50.4-51.4]
Apixaban	-	-	0.4 [0.3-0.4]	2.0 [1.9-2.1]	4.5 [4.3-4.7]
Rivaroxaban	-	0.5 [0.4-0.5]	1.9 [1.8-2.0]	4.9 [4.7-5.1]	8.3 [8.0-8.6]
Dabigatran	0.1 [0.1-0.1]	0.7 [0.7-0.8]	1.4 [1.3-1.5]	2.0 [1.9-2.1]	2.2 [2.1-2.4]
Parenteral anticoagulant	0.2 [0.2-0.3]	0.3 [0.2-0.3]	0.3 [0.2-0.3]	0.2 [0.2-0.3]	0.2 [0.2-0.3]
Antiplatelet therapy alone	33.7 [33.3-34.0]	31.2 [30.8-31.5]	28.2 [27.8-28.5]	22.8 [22.4-23.1]	18.3 [17.9-18.7]
No antithrombotic therapy	15.8 [15.5-16.0]	15.7 [15.4-16.0]	14.8 [14.5-15.1]	15.2 [14.8-15.5]	15.6 [15.3-16.0]

Abbreviations: CI, confidence interval; VKA, vitamin K antagonists

Management of NVAF in Europe and the UK

Table s3. Proportion of patients with NVAF treated in April 2012, 2013, 2014, and 2015 in the UK, according to country of residence

	April 2012 % [95% CI]	April 2013 % [95% CI]	April 2014 % [95% CI]	April 2015 % [95% CI]	January 2016 % [95% CI]
<i>England</i>					
Anticoagulation treatment	49.6 [49.2-50]	52.4 [52-52.9]	56.9 [56.5-57.4]	62.1 [61.6-62.5]	66.5 [66.0-67.1]
VKA	49.2 [48.8-49.6]	50.8 [50.4-51.2]	52.4 [51.9-52.8]	51.3 [50.8-51.8]	47.9 [47.4-48.5]
Apixaban	-	-	0.4 [0.4-0.5]	2.3 [2.2-2.5]	5.0 [4.8-5.3]
Rivaroxaban	-	0.5 [0.4-0.5]	2.1 [2.0-2.2]	5.7 [5.5-5.9]	10.7 [10.3-11.0]
Dabigatran	0.1 [0.1-0.1]	0.9 [0.8-1.0]	1.7 [1.6-1.8]	2.4 [2.3-2.6]	2.6 [2.4-2.8]
Parenteral anticoagulant	0.3 [0.2-0.3]	0.3 [0.2-0.3]	0.3 [0.3-0.4]	0.3 [0.3-0.4]	0.2 [0.2-0.3]
Antiplatelet therapy alone	33.9 [33.5-34.3]	30.9 [30.6-31.3]	27.5 [27.1-27.9]	21.9 [21.4-22.3]	17.0 [16.5-17.4]
No antithrombotic therapy	16.5 [16.2-16.8]	16.6 [16.3-16.9]	15.6 [15.3-15.9]	16.1 [15.7-16.4]	16.5 [16.1-17.0]
<i>Scotland</i>					
Anticoagulation treatment	48.8 [47.7-49.9]	51.9 [50.8-53]	55.5 [54.4-56.6]	61.8 [60.7-62.9]	64.3 [63.2-65.4]
VKA	48.2 [47.1-49.3]	49.2 [48.1-50.3]	49.1 [48.0-50.2]	48.4 [47.2-49.5]	45.2 [44-46.3]
Apixaban	-	-	0.5 [0.3-0.6]	2.7 [2.3-3.0]	6.6 [6.0-7.2]
Rivaroxaban	-	1.8 [1.5-2.0]	5.0 [4.5-5.4]	9.8 [9.1-10.5]	11.6 [10.8-12.3]
Dabigatran	0.4 [0.2-0.5]	0.5 [0.4-0.7]	0.7 [0.5-0.8]	0.7 [0.5-0.8]	0.8 [0.6-1.0]
Parenteral anticoagulant	0.2 [0.1-0.3]	0.4 [0.2-0.5]	0.3 [0.2-0.4]	0.2 [0.1-0.3]	0.1 [0.1-0.2]
Antiplatelet therapy alone	37 [35.9-38.1]	33.6 [32.6-34.7]	29.6 [28.6-30.6]	23.2 [22.3-24.2]	19.9 [19.0-20.8]
No antithrombotic therapy	14.2 [13.4-15.0]	14.5 [13.7-15.3]	14.9 [14.2-15.7]	15 [14.2-15.8]	15.8 [14.9-16.6]
<i>Wales</i>					
Anticoagulation treatment	55.1 [54.0-56.2]	58.7 [57.7-59.8]	61.7 [60.6-62.7]	66.6 [65.5-67.7]	69.1 [68.0-70.2]
VKA	54.8 [53.7-55.9]	57.6 [56.5-58.7]	59.1 [58.0-60.1]	59.3 [58.1-60.4]	57.6 [56.5-58.8]
Apixaban	-	0.0 [0.0-0.1]	0.3 [0.2-0.4]	2.2 [1.9-2.5]	3.9 [3.4-4.3]
Rivaroxaban	-	0.1 [0.0-0.2]	0.9 [0.7-1.1]	3.2 [2.8-3.6]	5.1 [4.6-5.6]
Dabigatran	0.0 [0.0-0.1]	0.9 [0.6-1.1]	1.3 [1.1-1.5]	1.9 [1.6-2.2]	2.3 [2.0-2.7]
Parenteral anticoagulant	0.2 [0.1-0.3]	0.2 [0.1-0.3]	0.1 [0.1-0.2]	0.1 [0.0-0.1]	0.2 [0.1-0.2]
Antiplatelet therapy alone	32.5 [31.5-33.6]	29.4 [28.4-30.4]	26.7 [25.8-27.7]	20.4 [19.4-21.3]	16.8 [15.9-17.7]
No antithrombotic therapy	12.4 [11.6-13.1]	11.9 [11.2-12.6]	11.6 [10.9-12.3]	13.0 [12.2-13.8]	14.1 [13.3-14.9]
<i>Northern Ireland</i>					
Anticoagulation treatment	51.5 [49.6-53.5]	55 [53.0-56.9]	60.8 [59.0-62.7]	67.3 [65.6-69.0]	71.9 [70.2-73.6]
VKA	50.7 [48.7-52.7]	52.4 [50.4-54.3]	51.5 [49.6-53.4]	46 [44.2-47.9]	39.7 [37.9-41.6]
Apixaban	-	0.1 [0.0-0.2]	3.1 [2.4-3.7]	11.1 [10.0-12.3]	19.1 [17.6-20.6]
Rivaroxaban	-	0.4 [0.1-0.6]	3.5 [2.8-4.2]	7.5 [6.5-8.4]	10.7 [9.5-11.8]
Dabigatran	0.5 [0.2-0.8]	1.6 [1.1-2.1]	2.5 [1.9-3.1]	2.3 [1.8-2.9]	2.1 [1.6-2.7]

Management of NVAF in Europe and the UK

	April 2012	April 2013	April 2014	April 2015	January 2016
	% [95% CI]	% [95% CI]	% [95% CI]	% [95% CI]	% [95% CI]
Parenteral anticoagulant	0.3 [0.1-0.5]	0.6 [0.3-0.8]	0.2 [0.1-0.4]	0.3 [0.1-0.6]	0.3 [0.1-0.5]
Antiplatelet therapy alone	37.9 [35.9-39.8]	34.1 [32.2-35.9]	28.2 [26.4-29.9]	22 [20.4-23.5]	17.2 [15.8-18.6]
No antithrombotic therapy	10.6 [9.4-11.8]	11 [9.8-12.2]	11.0 [9.8-12.2]	10.7 [9.6-11.9]	10.9 [9.8-12.1]

Abbreviations: CI, confidence interval; VKA, vitamin K antagonists

Highly Confidential
For peer review only

STROBE 2007 (v4) checklist of items to be included in reports of observational studies in epidemiology*
Checklist for cohort, case-control, and cross-sectional studies (combined)

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any pre-specified hypotheses	4
Methods			
Study design	4	Present key elements of study design early in the paper	4-5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4-5
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	5
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	n/a
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	5
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	4-5
Bias	9	Describe any efforts to address potential sources of bias	6
Study size	10	Explain how the study size was arrived at	5
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	5-6
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	6
		(b) Describe any methods used to examine subgroups and interactions	n/a
		(c) Explain how missing data were addressed	n/a
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed	

		<i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	
		(e) Describe any sensitivity analyses	10
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	20
		(b) Give reasons for non-participation at each stage	20
		(c) Consider use of a flow diagram	20
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	16
		(b) Indicate number of participants with missing data for each variable of interest	16
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	n/a
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	n/a
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	n/a
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	6, 16, 17-19
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	17
		(b) Report category boundaries when continuous variables were categorized	18-19
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	n/a
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	10
Discussion			
Key results	18	Summarise key results with reference to study objectives	8
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	10
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	9-10
Generalisability	21	Discuss the generalisability (external validity) of the study results	10
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	1

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

BMJ Open

The evolving landscape of stroke prevention in atrial fibrillation within the United Kingdom between 2012 and 2016 - a cross sectional analysis study using CPRD

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2016-015363.R2
Article Type:	Research
Date Submitted by the Author:	24-May-2017
Complete List of Authors:	Lacoin, Laure; Bristol-Myers Squibb Lumley, Matthew; Pfizer Ridha, Essra; Bristol-Myers Squibb Pereira, Marta; Evidera McDonald, Laura; Evidera, Ramagopalan, Sreeram; Evidera Lefèvre, Cinira; Bristol-Myers Squibb France, Worldwide Health Economics & Outcomes Research Evans, David; Bristol-Myers Squibb France, Worldwide Health Economics & Outcomes Research Halcox, Julian; Swansea University College of Medicine, Institute of Life Sciences; Cardiff University School of Medicine, Institute of Molecular and Experimental Medicine
Primary Subject Heading:	Cardiovascular medicine
Secondary Subject Heading:	Epidemiology
Keywords:	Atrial fibrillation, Drug therapy, Electronic health records, Great Britain, Stroke < NEUROLOGY

SCHOLARONE™
Manuscripts

1
2
3 **The evolving landscape of stroke prevention in atrial fibrillation within the United Kingdom**
4 **between 2012 and 2016 – a cross sectional analysis study using CPRD**

5 L. Lacoïn,*¹ M. Lumley,† E. Ridha,* M. Pereira,‡¹ L. McDonald,‡ S. Ramagopalan,‡ C. Lefevre,§ D.
6 Evans,¹ J. Halcox**

7
8 * UK Medical Department, Bristol-Myers Squibb, Uxbridge, UK; † Medical Department, Pfizer,
9 Tadworth, UK; ‡ Real-World Evidence, Evidera, London, UK; § Center of Observational Research and
10 Data Sciences, Business Insights and Analytics, Bristol-Myers Squibb, Rueil Malmaison, France;
11 WWHEOR Market, Bristol-Myers Squibb, Rueil Malmaison, France; ** Department of Cardiology,
12 Swansea University, Cardiff UK

13
14
15 ¹ Employee at time of the study

16
17 **Correspondence to:** Professor Julian Halcox, Swansea University, Singleton Park Campus, Sketty,
18 Swansea SA2 8PP, UK; tel 44 (0)1792 602938; j.p.j.halcox@swansea.ac.uk

19
20 **Short title:** Evolving stroke prevention for NVAf in the UK

21
22 **Word count:** 4000

23
24 *"The Corresponding Author has the right to grant on behalf of all authors and does grant on behalf of*
25 *all authors, [a worldwide licence](#) to the Publishers and its licensees in perpetuity, in all forms, formats*
26 *and media (whether known now or created in the future), to i) publish, reproduce, distribute, display*
27 *and store the Contribution, ii) translate the Contribution into other languages, create adaptations,*
28 *reprints, include within collections and create summaries, extracts and/or, abstracts of the*
29 *Contribution, iii) create any other derivative work(s) based on the Contribution, iv) to exploit all*
30 *subsidiary rights in the Contribution, v) the inclusion of electronic links from the Contribution to third*
31 *party material where-ever it may be located; and, vi) licence any third party to do any or all of the*
32 *above."*

33
34 **DISCLOSURE STATEMENTS**

35 All authors have completed the ICMJE uniform disclosure form. This study was funded by Bristol-
36 Myers Squibb and Pfizer. Marta Pereira and Sreeram Ramagopalan were employees of Evidera who
37 were paid consultants to Bristol-Myers Squibb and Pfizer in connection with conducting this study
38 and with the development of this manuscript. DE, ER, and LL were BMS employees at the time of the
39 research.
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

ABSTRACT

Objective: To describe the changes in prescribing of oral anticoagulant (AC) and antiplatelet (AP) agents in patients with non-valvular atrial fibrillation (NVAf) in the UK and to identify the characteristics associated with deviation from guideline-based recommendations.

Design: Five cross-sectional analyses in a large retrospective population-based cohort study.

Setting: General practices contributing data to the UK Clinical Practice Research Datalink.

Participants: The study included patients with a diagnosis of non-valvular atrial fibrillation (NVAf) and eligible for anticoagulation (CHA2DS2-VASc score ≥ 2) on 1st April of 2012, 2013, 2014, 2015 and 1st January 2016.

Results: The proportion of patients being treated with AC increased at each index date, showing an absolute rise of 16.7% over the study period. At the same time, the proportion of patients treated with an AP alone was reduced by half, showing an absolute decrease of 16.8%. The proportion of patients not receiving any antithrombotic (AT) treatment remained the same across the study period. A number of predictors were identified for AP alone or no treatment compared with AC treatment.

Conclusion: Major improvements in the AT management of patients with NVAf for stroke prevention in the UK were observed between April 2012 and January 2016. Despite this, nearly 20% of at-risk patients still received AP alone and over 15% were on no AT agents in January 2016.

STRENGTHS AND LIMITATIONS

- A large representative population of patients with all forms of AF (paroxysmal, chronic) studied in the 'real-world' using data obtained from GP records in Clinical Research Practice Datalink (CPRD)
- Real-world data are more likely to reflect wider contemporary treatment practices than information obtained from registries
- Although CPRD is regularly and extensively audited to ensure data quality, the study is limited by the accuracy of GP records
- The completeness of the GP record is difficult to ascertain, and we may have not detected some individuals receiving AC prescriptions is secondary care

Key words: Atrial Fibrillation, Drug Therapy, Electronic Health Records, Great Britain, Stroke

INTRODUCTION

Atrial fibrillation (AF) is the most common cardiac arrhythmia,[1] estimated to affect up to 35 million people worldwide,[2] with 1.4 million people affected in England alone.[3] AF is an independent risk factor for stroke, increasing the risk five-fold.[4]

Approximately 20% of stroke cases in the United Kingdom (UK) are thought to have AF as a contributing factor and AF-related strokes are more likely to be fatal or cause severe disability than non-AF-related strokes.[5, 6] However, AF-related strokes can be prevented and their impact minimised by effective management strategies including increased detection of AF, adherence to stroke prevention guidelines and anticoagulant (AC) use in at-risk patients.

Although anticoagulation is effective in preventing strokes due to AF, evidence suggests anticoagulation therapy remains underused.[7-13] In 2010, Holt et al. showed that only 50.7% of patients with non-valvular AF (NVAf) at high risk of stroke in the UK were treated with oral AC.[9] Opportunities to impact significantly on an important cause of cardiovascular morbidity and mortality are thereby frequently missed.

In 2012, a focused update of the 2010 European Society of Cardiology (ESC) guidelines for the management of AF was issued.[14] This update included three major changes based on new or strengthened evidence. Firstly, the CHA2DS2-VASc score replaced the CHADS2 score for the assessment of stroke risk. This is based on the accumulated evidence that CHA2DS2-VASc score, which is inclusive of the most common risk factors for stroke[15] and has been validated in multiple cohorts,[16] is better at identifying patients at “truly low risk” of AF-related stroke.[17-20] Secondly, the use of aspirin therapy for stroke prevention in AF was restricted to those patients who refuse oral anticoagulation. Thirdly, the use of non-vitamin K antagonist oral anticoagulants [(NOACs), such as dabigatran, apixaban, and rivaroxaban]) was recommended in preference to vitamin K antagonists (VKAs) in most patients with a CHA2DS2-VASc score ≥ 1 . [14]

1
2
3 Despite these guidelines and the weight of evidence, national audit data from the UK showed that
4
5 among patients with known AF admitted to hospital for stroke between January and March 2013,
6
7 38% were taking antiplatelet (AP) drugs alone.[21]
8
9

10 In 2014, when the National Institute for Health and Care Excellence (NICE) updated its AF clinical
11
12 guidelines (CG180),[22] it recommended that NOACs should be considered as equal first-line options
13
14 alongside warfarin for NVAF; furthermore in a significant change to established practice stated that
15
16 aspirin should not be used as monotherapy to prevent AF-related stroke. The Royal Colleges
17
18 published a Consensus Statement reiterating this advice and emphasising the importance of
19
20 ensuring patients are supported to make an informed choice of AC.[23]
21
22

23
24 It is not yet known whether the update of the ESC and NICE guidelines effectively impacted
25
26 treatment practices in the UK. Therefore, this study aims to describe the changes in primary care
27
28 prescribing of oral AC and AP agents in patients with NVAF eligible for anticoagulation during the
29
30 years 2012–2016, and to identify clinical characteristics associated with deviation from guideline-
31
32 based recommendations.
33
34

35 **METHODS**

36 **Data Source**

37
38 Data were obtained from the UK Clinical Practice Research Datalink (CPRD).[24] The CPRD is an
39
40 anonymised primary care database established in 1987 to collect longitudinal medical records data
41
42 from general practitioner (GP) practices. As of April 2013, the CPRD covered 674 GP practices with
43
44 4.4 million active patients (i.e., patients that are alive and registered), reflecting approximately 6.8%
45
46 of the UK population. This active sample is representative of the UK population in terms of age, sex,
47
48 and ethnicity. The CPRD contains patient registration information as well as events that the GP
49
50 records during routine clinical practice, including medical diagnoses, prescriptions issued,
51
52
53
54
55
56
57
58
59
60

1
2
3 anthropometric measurements, diagnostic tests, lifestyle information (e.g., smoking status, alcohol
4 intake) and referrals to secondary care.
5
6

7
8 The CPRD has broad National Research Ethics Service Committee (NRES) ethics approval for purely
9 observational research. This study protocol was approved by the MHRA Independent Scientific
10 Advisory Committee (protocol 14_245R).
11
12
13

14 **Study Population**

15
16 All patients with a diagnosis of NVAf and eligible for anticoagulation according to ESC 2012[14] and
17 NICE 2014[22] guidance at index date (CHA2DS2-VASc score ≥ 2) were included in five cross-sectional
18 analyses: on 1st April of 2012, 2013, 2014, and 2015 and 1st January of 2016 (index date for each
19 year). Patients were further required to be at least 18 years old at the index date, have had at least
20 one consultation with their GP in the last 12 months, have ongoing CPRD registration, and have at
21 least 12 months of computerised medical data prior to the index date. Patients were excluded from
22 the study if they had a valvular condition (e.g., rheumatic mitral or aortic valve disease, or prosthetic
23 valve; codes used to identify patients are in the data supplement), or if their gender was unknown.
24
25 Figure 1 summarises the patient selection process.
26
27
28
29
30
31
32
33
34
35
36
37

38 **Study Variables**

39
40 Exposure to AC was defined by the last anticoagulation prescription identified in the 90-day period
41 preceding the index date. Three type of regimens were defined: AC, AP alone, or no antithrombotic
42 (AT) treatment. AC included vitamin K antagonists, apixaban, rivaroxaban, dabigatran, and
43 parenteral AC. International normalised ratio (INR) measurements were treated as an indicator of
44 VKA exposure and was therefore used to extend VKA exposure time. Exposure to AP alone was
45 defined by an absence of AC prescription and the presence of at least one AP prescription in the 90-
46 day period preceding the index date. No AT was defined by the absence of AC or AP prescription in
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 the 90-day period preceding the index data. A 90-day period has been used in previous studies to identify
4 recent treatment exposure.[25]
5
6

7
8 Demographic characteristics included age, gender, and country of residence. Clinical characteristics
9
10 were body mass index (BMI), smoking status, time since NVAf diagnosis, stroke risk factors (previous
11 stroke, transient ischaemic attack [TIA] or other arterial thromboembolism, congestive heart failure
12 (CHF), coronary artery disease, peripheral artery disease, hypertension, or diabetes mellitus), other
13 bleeding risk factors (previous bleeds, peptic ulcer, renal disease, liver disease, concomitant
14 treatment with antiplatelet or nonsteroidal anti-inflammatory drugs, or high alcohol intake), falls,
15 active cancer (at least one diagnosis related to cancer in the last 12 months) and number of
16 concomitant treatments (prescribed in the last 90 days). CHA₂DS₂-VASc score and a modified HAS-
17 BLED score (excluding INR component as not consistently reported in CPRD, score range: 0-8) were
18 calculated for all patients. All clinical diagnoses were identified using READ codes (codes lists
19 provided in the data supplement). Diabetes and hypertension were also identified using the
20 prescription of antidiabetic or antihypertensive treatments.
21
22
23
24
25
26
27
28
29
30
31
32

33 34 **Statistical Analyses**

35
36
37 The proportion of patients treated with each regimen (AC, AP alone, or no AT) and their 95%
38 confidence interval were calculated at each index date. As the CPRD does not provide sample survey
39 weights, it is only possible to estimate proportions as if the CPRD data is a simple random sample of
40 approximately 8% of UK GPs/patients, so a finite population correction factor of 0.96 was applied to
41 the standard errors of proportion estimates (FPFC = $\sqrt{1-0.08}$ ~0.96).
42
43
44
45
46
47

48
49 An interrupted time series analysis [26] was conducted to estimate the impact of the updated ESC
50 guidance (published in August 2012) and NICE 2014 guidance (published in June 2014) on the
51 evolution of the proportion of patients treated with each regimen, controlling for baseline level and
52 trend. For this analysis, data from April 2011 to April 2015 were used and month-by-month
53 estimates were extracted to obtain 50 time-points (using the same inclusion criteria than for the five
54
55
56
57
58
59
60

1
2
3 main cross-sectional analyses). The time series model was divided into three time periods: (1) pre-
4 ESC guidelines, (2) post-ESC guidelines, and (3) post-NICE guidelines. The statistical significance of
5 the change in level (i.e., the rapid drop in rates immediately after the intervention) and trend (i.e.,
6 the gradual decline in rates over the remainder of the follow-up period) were tested for each time
7 period. The slope in each time period was calculated by summing the change in trend observed in
8 the time period and the previous slope (in first period (pre-ESC), the baseline trend was equal to the
9 slope).

10
11
12
13
14
15
16
17
18
19 These analyses of the evolution of the anticoagulation management over time were also run
20 separately in newly diagnosed patients with NVAf (<12 months) and in patients with NVAf with a
21 diagnosis for ≥ 12 months, as well as in each country of residence separately (England, Scotland,
22 Wales, and Northern Ireland; results by country provided in the data supplement).

23
24
25
26
27
28 Generalised estimating equations (GEE) were used to identify demographic and clinical
29 characteristics associated with antiplatelet treatment, and with the absence of AT treatment (versus
30 receiving anticoagulation therapy) in April 2015 (date of the last planned cross-sectional analysis).
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
The final models were obtained using a backward elimination until all variables were significantly
associated with the outcome ($P < 0.05$). Models were adjusted for clustering within individuals and
within GP practices, and results are given as odds ratios and 95% confidence intervals.

Sensitivity Analyses

To evaluate the proportion of patients that could have been misclassified as untreated, a sensitivity
analysis was conducted, extending the exposure window used to classify patients to 180 days prior
to index date. To assess the possible impact of GP sample modification, a second sensitivity analysis
limiting the study sample to only those patients who were registered to a GP practice included in the
CPRD throughout the study period.

]All analyses were conducted using SAS software version 9.4.

RESULTS

Patient Characteristics

The demographic and clinical characteristics of patients with NVAf eligible for anticoagulation according to ESC and NICE guidance (CHA₂DS₂-VASc ≥ 2) from April 2012 to January 2016 are provided in Table 1. The characteristics of the population were consistent across the study period: patients' mean age was 78 years, 52.4%–54.3% were male, and more than 50% were either overweight or obese. Almost 20% had a history of stroke or TIA, around 30% had a history of coronary artery disease and most had hypertension (>97%). Approximately 12% had been diagnosed with NVAf within the preceding 12 months (newly diagnosed).

Treatment Patterns Over Time

The proportion of patients being treated with AC increased each year, showing an absolute rise of 16.7% over the study period (from 50.2% in April 2012 to 66.9% in January 2016) (Table 2). At the same time, the proportion of patients treated with an AP alone was reduced by half, showing an absolute decrease of 16.8% (from 34.2% in April 2012 to 17.4% January 2016). The proportion of patients not receiving any AT treatment remained the same across the study period, at around 15% of all patients with NVAf.

Stratifying the population by time since diagnosis identified the reduction in the proportion of patients treated with AP alone was greater in newly diagnosed patients, relative to those who had been diagnosed for ≥ 12 months (26.8% vs. 15.4%). In January 2016, only 11.3% of the newly diagnosed patients were treated with AP alone (vs 18.3% of those diagnosed ≥ 12 months). Similarly, the increase in the proportion of patients being prescribed AC was greater in those patients who were newly diagnosed compared to those diagnosed with NVAf for ≥ 12 months (25.3% vs. 15.5%). In January 2016, 72.5% of the newly diagnosed patients were treated with AC (vs. 66.1% of those diagnosed for ≥ 12 months).

1
2
3 In newly diagnosed patients, major changes in the type of oral AC prescribed were observed
4
5 between April 2014 and January 2016. The proportion of patients initiated with VKA fell from 50.8%
6
7 to 31.8% of all patients with NVAf, while the NOAC prescriptions rose from 9.8% to 40.6% (including
8
9 16.6% apixaban, 2.4% dabigatran, 21.5% rivaroxaban). No major change was observed in the NVAf
10
11 population with a diagnosis for ≥ 12 months; VKA prescribed in 50.9% of the population in January
12
13 2016 and NOACs in 15% (including 4.5% apixaban, 2.2% dabigatran, 8.3% rivaroxaban).

14
15
16
17 A sensitivity analysis using a time period of 180 days prior to index date was used to evaluate the
18
19 proportion of patients that could have been misclassified as untreated. This analysis provided the
20
21 same results, with only 2% difference in the proportion of untreated patients observed. Results
22
23 were also unchanged when restricting only to those patients who were registered to a GP included
24
25 in the CPRD throughout the study period.
26
27

28 **Impact of ESC and NICE Guidelines Publications on UK Practice**

29
30
31 The time series analysis stratified by time since NVAf diagnosis (< 12 months or ≥ 12 months)
32
33 showed that there was a significant trend for increasing anticoagulation treatment in both patient
34
35 groups since April 2011 (Figure 3). However, a significant acceleration of this trend (increase of the
36
37 slope) was observed after the updated ESC guidance publication (change in trend: $\beta=+0.26$ in newly
38
39 diagnosed, $\beta=+0.18$ in patients diagnosed ≥ 12 months) and also after NICE guidance publication
40
41 (change in trend: $\beta=+0.12$ in newly diagnosed, $\beta=+0.15$ in patients diagnosed ≥ 12 months).
42
43

44
45 Equally, a significant trend for decreasing antiplatelet use was observed since April 2011. A
46
47 significant acceleration of this trend was observed after both ESC and NICE guidance publications.
48
49 This change in trend was more marked after ESC for the newly diagnosed patients (post ESC: $\beta=-$
50
51 0.26, post-NICE: $\beta=-0.10$) and after NICE for patients diagnosed ≥ 12 months (post-ESC: $\beta=-0.15$,
52
53 post-NICE: $\beta=-0.21$).
54
55

56 **Characteristics Associated with the Absence of Anticoagulation Therapy in April 2015**

1
2
3 Tables 3 and 4 present the results of the GEE models comparing demographic and clinical
4 characteristics in patients receiving either an AP alone or no AT, versus those receiving AC treatment
5 in April 2015. Even after adjusting on CHA2DS2-VASc score, females, patients aged <65 and ≥85 (vs.
6 patients 65-74 years) were more likely to be prescribed an AP alone or no AT treatment, whereas
7 patients with a history of stroke/TIA, CHF or hypertension were less likely to remain untreated. The
8 likelihood of being treated with AP alone increased with time since diagnosis. Patients with coronary
9 and peripheral artery disease were also more likely to be treated with an AP alone than AC.
10 Importantly, CHA2DS2-VASc was associated with the absence of AT treatment, patients with a score
11 ≥3 were less likely to remain untreated than patients with a CHA2DS2-VASc score=2. To less extent,
12 the same association was observed in patients treated with AP alone (vs. AC). Patients who had a
13 previous intracranial bleed were more likely to be treated with AP and even more likely to remain
14 untreated. The absence of any AT was more frequent in patients with less than five
15 comedications. Geographic variations were observed, with a higher proportion receiving AP alone or
16 no AT in England and Scotland compared to Wales and Northern Ireland.
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32

33 **DISCUSSION**

34
35
36
37 A pronounced shift in anticoagulation management of patients with NVAF was observed in the UK
38 between April 2012 and January 2016, coinciding with the update of ESC[14] and NICE[22] guidelines
39 and with the availability of the NOACs as an alternative to VKAs. A substantial increase in the
40 proportion of patients with NVAF at risk of stroke treated with AC was observed during this time
41 (from 50.2% to 66.9%), as well as an important decrease of AP use (34.2% to 17.4%).
42
43
44
45
46
47

48 Whereas important increases in the proportion of patients with NVAF treated with AC were
49 previously described in the UK between 1994-2003,[7, 8] no significant changes were observed in
50 the years 2007–2010 in patients with CHA2DS2-VASc ≥2, with AC use remaining low (around 50%)
51 and AP alone widely used (36%). The high use of AP until March 2012 may have also partly reflected
52 the recommendations of the Quality and Outcomes Framework (QOF) of the NHS (National Health
53
54
55
56
57
58
59
60

1
2
3 Service), which provided equal emphasis on AC and AP in stroke prevention in primary care at that
4
5 time.[13]
6
7

8 The observed shift in treatment patterns in this study suggests a positive impact of both the ESC [14]
9
10 and NICE[22] guidelines in driving changes in thrombopropylaxis strategy for NVAF patients in the
11
12 UK, most notably the move away from AP use. The release of the ESC guidance appeared to impact
13
14 more significantly the management of recently diagnosed patients. This may reflect an earlier
15
16 change in the practice of cardiologists who, in the UK, are typically more involved in the diagnosis
17
18 and initial management of NVAF. Indeed, the publication of the NICE guidance had a greater impact
19
20 on the decline in AP use among patients with a pre-existing diagnosis, which might reflect the higher
21
22 impact of local guidance on GP's who are more involved in the long-term management of patients.
23
24

25
26 Overall, the most marked improvement in stroke prevention in AF occurred in newly diagnosed
27
28 patients, in whom AC prescriptions rose from 47.2% to 72.5% and the use of AP alone dropped to
29
30 11.3% in January 2016. At the time of diagnosis, patients are likely to be particularly engaged with
31
32 their condition, more likely to be booked for further clinical assessment and physicians are obligated
33
34 to make a decision regarding AC. Conversely, patients with a longstanding diagnosis may be more
35
36 resistant to changes in their treatment regimen, and thromboembolism prophylaxis may not be the
37
38 focus of clinical appointments. As newly diagnosed patients represent only 20% of the NVAF
39
40 population, this emphasises the potential impact on stroke prevention in the UK that could be
41
42 achieved by effectively addressing thromboembolism prophylaxis strategy in patients with an
43
44 established NVAF diagnosis. Ongoing educational activity and the use of specialist nurses and
45
46 pharmacist led anticoagulation clinics will play an important role in reaching this group of patients.
47
48
49

50 Importantly, the trend for increasing use of AC between 2012 and 2016 was associated with the
51
52 growing use of NOAC's (apixaban, rivaroxaban, dabigatran). This growth of NOAC use was mainly
53
54 observed in newly diagnosed patients between 2014 and 2016, associated with a decrease of VKA
55
56 initiation, and coincided with the release of NICE guidance[22] and the Consensus Statement
57
58
59
60

1
2
3 reiterating that NICE-approved treatments have to be made available for prescribing. This highlights
4
5 the vital role of the NOACs as alternatives to VKA through addressing some of the limitations of VKA
6
7 therapy and responding to individual patient needs. However, in patients who had been diagnosed
8
9 for ≥ 12 months, no major changes in the proportion treated with VKA were observed, indicating
10
11 significant VKA inertia in this group.
12

13
14 This growing trend in the use of NOAC's in newly diagnosed AF patients can be linked to a growing
15
16 awareness raised through NICE guidance about the benefits of NOAC treatment but also to an
17
18 increased attentiveness to AF detection. Currently, only opportunistic screening for AF is
19
20 implemented: - NICE recommends an ECG to diagnose AF in patients who present with irregular
21
22 pulse[22], An expansion in AF screening, would potentially result in the earlier detection of AF in
23
24 asymptomatic patients, and thus the early provision of prophylactic OAC treatment.
25
26

27
28 Although these data show that anticoagulation treatment patterns in NVAf have improved
29
30 substantially over the last five years, rates of anticoagulation appear to lag behind those observed in
31
32 contemporary European cohorts. For example, at the two-year follow up of the EORP-AF registry in
33
34 2015, 79.2% of AF patients were identified as receiving at least one oral AC (compared to 62.9% in
35
36 2015 in this study, Table 2).[27] Rates of NOAC use however appear more comparable, with 13.7% of
37
38 patients in EORP-AF receiving at least one NOAC (compared to 10.9% in this study in 2015). Baseline
39
40 data from the European population of the GLORIA-AF registry, which includes only newly diagnosed
41
42 AF patients, showed the majority (52.4%) were treated with NOACs, while 5.7 % received AP
43
44 therapy, and only 4.1% remained untreated.[28] These data are comparable to the 9.8% receiving
45
46 NOACs, 23.8% AP therapy, and 15.2% untreated among patients diagnosed between 2013 and 2014
47
48 in our study (Figure 2). Similarly, in the global GARFIELD-AF registry of patients with very recently
49
50 diagnosed NVAf (<6 weeks), over the period from 2010/11 to 2014/15 the proportion of patients
51
52 treated with AC increased from 57.4% to 71.1% including a significant increase in the proportion
53
54 receiving NOACs (4.2%–37.0%), whilst AP monotherapy declined from 30.2% to 16.6%.[29] It is
55
56
57
58
59
60

1
2
3 encouraging that our data up to January 2016 continue to show anticoagulation use is increasing in
4
5 the UK. Differences with European-based cohorts may, therefore, reflect a time lag associated with
6
7 the later release of NICE guidance in 2014[22] relative to the ESC guidance in 2012.[14] Other factors
8
9 may also be involved. The time lag between guideline recommendation and routine clinical practice
10
11 should be considered with the release of newer ESC guidance in 2016.[30]
12

13
14 Whereas the striking decrease of AP use observed in this study is encouraging, the absence of any
15
16 changes in the proportion of patients remaining untreated raises some concerns. These current data
17
18 identify patient characteristics associated with remaining untreated. Younger patients (<65 years),
19
20 patients taking fewer prescription medications (<5), and those with a CHA2DS2-VASc score of 2 were
21
22 all more likely to remain untreated. We hypothesise that this could be secondary to a misperception
23
24 of stroke risk by clinicians but it may also be secondary to patient attitude. Furthermore for those
25
26 patients <65 years of age, the monitoring requirements of VKAs may be regarded as incompatible
27
28 with a working life; a barrier that could be overcome with the NOACs.
29

30
31
32 At the other end of the spectrum, elderly patients (>85 years) were found to be less likely to be
33
34 prescribed AC therapy and more likely to be treated with AP alone. This observation is well
35
36 documented[8, 12, 13, 31-35] and may be secondary to an overestimation of bleeding risk despite
37
38 unequivocal evidence of the benefits of AC in the elderly.[36-39]
39

40
41
42 Our findings clearly illustrate the risk-treatment paradox previously reported in AF management [12]
43
44 that patients at higher risk of stroke who more likely to benefit from AC therapy [38] are not
45
46 receiving appropriate treatment, perhaps because of a perceived increased risk of bleeding. In fact,
47
48 several bleeding risk factors such as falls, peptic ulcer disease, anaemia, and previous risk of
49
50 intracranial or gastrointestinal bleeds were found to also be associated with an increasing likelihood
51
52 of remaining untreated. A survey of UK general practices from 2000 to 2009 showed that this
53
54 underuse of AC therapy in the elderly is not adequately explained by either an increase in
55
56 comorbidities or bleeding risk.[40]
57
58
59
60

1
2
3 In addition to age, female patients were found less likely to be treated with AC. This sex difference in
4 prescribing has been previously observed in a UK study in AF.[8] Given that women with AF appear
5 to lose their protection against sudden death including stroke,[41] and may even have a higher
6 mortality than men,[42] these lower AC rates are a cause for concern. AP alone was found to be
7 prescribed more frequently in patients with coronary artery disease. This may highlight the lack of a
8 definitive evidence base and clear guidance on the AT management of these patients, particularly in
9 the initial period following an acute coronary syndrome.
10
11
12
13
14
15
16
17

18 Collectively, the results indicate a strong mandate to change current clinical practice to improve
19 prescribing patterns among treating clinicians. This is further emphasised by the 2016 ESC
20 guidelines, which state that aspirin monotherapy should not be used for stroke prevention in AF
21 patients regardless of stroke risk, and may in fact cause harm [30] Although the present study was
22 conducted in the UK, the finding that a considerable number of AF patients continue to be
23 undertreated has wider implications for stroke prevention in AF, which remains a global issue.
24
25
26
27
28
29
30
31

32 **STRENGTHS AND LIMITATIONS**

33
34
35 This is a large study of a representative population of NVAf patients managed in the UK. It includes
36 patients with all forms of AF, including paroxysmal and chronic. The study may have not detected
37 some individuals receiving AC prescriptions in secondary care. The National Patient Safety Agency
38 has emphasised the importance of good communication between different bodies sharing
39 responsibility for prescribing potentially interacting medication, and this has increased the use of
40 codes in primary care to maintain awareness of AC therapy prescribed elsewhere.[9, 43].
41
42
43
44
45
46
47
48

49 This study is based on a general practice database, and is limited by the accuracy of GP records.
50 Validation of the CPRD has shown high positive predictive value of some diagnoses and, where
51 evaluated, comparisons of incidence with other UK data sources are also broadly similar.[44]
52
53 However, the completeness of the record is more difficult to ascertain. We acknowledge that the
54 results reported in this study may under-represent comorbidities and, hence, overall stroke risk.
55
56
57
58
59
60

1
2
3 It is important to note that due to the falling number of GP practices involved in the CRPD, the
4 number of eligible patients with NVAF also fell during the study period. However, a sensitivity
5 analysis was conducted on the NVAF population who were registered to a GP practice included in
6 the CPRD throughout the study period, and the results were unchanged. Therefore, the observed
7 change in AT management cannot be attributed to the reduction in available GP data.
8
9
10
11
12

13
14 It is important to reflect on the differences in the nature of data collection and analysis between
15 registry and real world healthcare records, whereby participation in a registry may influence
16 treatment selection but allow more complete and accurate data collection, whereas real world
17 datasets allow analysis of much larger cohorts that are more likely to reflect wider contemporary
18 practice, albeit with less complete and well-validated data.
19
20
21
22
23
24

25 26 **CONCLUSION**

27
28 Major improvements in the AC management of patients with NVAF for stroke prevention in the UK
29 were observed between April 2012 and January 2016. Despite this, 20% of the at-risk population
30 were still treated with AP alone and more than 15% of patients were on no AT agents in January
31 2016. However, if the trend of rapid reduction of AP use observed during the study period continues,
32 then the use of AP alone for stroke prevention could essentially disappear in the next few years in
33 the UK. The consistency observed over time in the proportion of patients not treated with any AT
34 therapy represents the area of greatest concern. The clinical inertia seen in this group may be due to
35 an underestimate of the risk of stroke in these patients, who were found to be younger with less
36 comorbidities and the overestimation of bleeding risk in the elderly (>85 years). There remains a
37 huge potential for reducing the stroke risk of the AF population by improving the thromboembolic
38 risk assessment in NVAF in primary care and the identification of patients requiring anticoagulation.
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

AUTHORSHIP DETAILS

L. Lacoïn, C. Lefevre, D. Evans and J. Halcox conceived and designed the study. L. McDonald, M. Pereira and S. Ramagopalan undertook the analysis. All authors (LL, ML, ER, MP, LM, SR, CL, DE and JH) contributed to the analysis and interpretation of the data. L. McDonald and L Lacoïn wrote the first draft and all authors contributed to subsequent drafts and the final paper.

ACKNOWLEDGMENTS

The authors would like to gratefully acknowledge the contribution of Sharon McLachlan, Robert Donaldson and Jack Ishak who all provided expert opinion during the data analysis.

COMPETING INTERESTS

All authors have completed the ICMJE uniform disclosure form. MP, LM and SR were employees of Evidera who were paid consultants to Bristol-Myers Squibb (BMS) in connection with conducting this study and with the development of this manuscript. JH received consultancy fees from BMS for the conduct of this study. DE, ER, CL and LL were BMS employees at the time of the research. ML is a fulltime employee of Pfizer.

FUNDING

This manuscript was fully funded by Bristol-Myers Squibb.

DATA SHARING STATEMENT

No additional data is available for sharing.

REFERENCES

1. Go AS, Hylek EM, Phillips KA, Chang Y, Henault LE, Selby JV, Singer DE. Prevalence of diagnosed atrial fibrillation in adults: national implications for rhythm management and stroke prevention: the AnTicoagulation and Risk Factors in Atrial Fibrillation (ATRIA) Study. *JAMA* 2001; 285: 2370-2375.
2. Chugh SS, Havmoeller R, Narayanan K, Singh D, Rienstra M, Benjamin EJ, Gillum RF, Kim YH, McAnulty JH, Jr., Zheng ZJ, Forouzanfar MH, Naghavi M, Mensah GA, Ezzati M, Murray CJ. Worldwide epidemiology of atrial fibrillation: a Global Burden of Disease 2010 Study. *Circulation* 2014; 129: 837-847.
3. Public Health England. Atrial fibrillation prevalence estimates in England: application of recent population estimates of AF in Sweden 2015 [December 2015]. Available from: <http://www.yhpho.org.uk//resource/view.aspx?RID=207902>
4. Kirchhof P, Auricchio A, Bax J, Crijns H, Camm J, Diener HC, Goette A, Hindricks G, Hohnloser S, Kappenberger L, Kuck KH, Lip GY, Olsson B, Meinertz T, Priori S, Ravens U, Steinbeck G, Svernhage E, Tijssen J, Vincent A, et al. Outcome parameters for trials in atrial fibrillation: executive summary. *Eur Heart J* 2007; 28: 2803-2817.
5. Royal College of Physicians. Sentinel Stroke National Audit Programme (SSNAP). Clinical audit April - June 2015 report prepared by Royal College of Physicians, Clinical Effectiveness and Evaluation Unit on behalf of the Intercollegiate Stroke Working Party. 2015.
6. Lin HJ, Wolf PA, Kelly-Hayes M, Beiser AS, Kase CS, Benjamin EJ, D'Agostino RB. Stroke severity in atrial fibrillation. The Framingham Study. *Stroke* 1996; 27: 1760-1764.
7. Majeed A, Moser K, Carroll K. Trends in the prevalence and management of atrial fibrillation in general practice in England and Wales, 1994-1998: analysis of data from the general practice research database. *Heart* 2001; 86: 284-288.

- 1
2
3 8. DeWilde S, Carey IM, Emmas C, Richards N, Cook DG. Trends in the prevalence of diagnosed
4 atrial fibrillation, its treatment with anticoagulation and predictors of such treatment in UK
5 primary care. *Heart* 2006; 92: 1064-1070.
6
7
- 8
9
10 9. Holt TA, Hunter TD, Gunnarsson C, Khan N, Cload P, Lip GY. Risk of stroke and oral
11 anticoagulant use in atrial fibrillation: a cross-sectional survey. *Br J Gen Pract* 2012; 62: e710-
12 717.
13
- 14
15
16 10. Ogilvie IM, Newton N, Welner SA, Cowell W, Lip GY. Underuse of oral anticoagulants in atrial
17 fibrillation: a systematic review. *Am J Med* 2010; 123: 638-645 e634.
18
- 19
20
21 11. Nieuwlaat R, Capucci A, Lip GY, Olsson SB, Prins MH, Nieman FH, Lopez-Sendon J, Vardas PE,
22 Aliot E, Santini M, Crijns HJ, Euro Heart Survey I. Antithrombotic treatment in real-life atrial
23 fibrillation patients: a report from the Euro Heart Survey on Atrial Fibrillation. *Eur Heart J*
24 2006; 27: 3018-3026.
25
26
- 27
28
29 12. Sandhu RK, Bakal JA, Ezekowitz JA, McAlister FA. Risk stratification schemes, anticoagulation
30 use and outcomes: the risk--treatment paradox in patients with newly diagnosed non-valvular
31 atrial fibrillation. *Heart* 2011; 97: 2046-2050.
32
33
- 34
35
36 13. Cowan C, Healicon R, Robson I, Long WR, Barrett J, Fay M, Tyndall K, Gale CP. The use of
37 anticoagulants in the management of atrial fibrillation among general practices in England.
38 *Heart* 2013; 99: 1166-1172.
39
40
- 41
42
43 14. Camm AJ, Lip GY, De Caterina R, Savelieva I, Atar D, Hohnloser SH, Hindricks G, Kirchhof P, ESC
44 Committee for Practice Guidelines-CPG. 2012 focused update of the ESC Guidelines for the
45 management of atrial fibrillation: an update of the 2010 ESC Guidelines for the management
46 of atrial fibrillation--developed with the special contribution of the European Heart Rhythm
47 Association. *Europace* 2012; 14: 1385-1413.
48
49
50
51
52
53
54
55
56
57
58
59
60

- 1
2
3 15. Lip GY, Nieuwlaat R, Pisters R, Lane DA, Crijns HJ. Refining clinical risk stratification for
4 predicting stroke and thromboembolism in atrial fibrillation using a novel risk factor-based
5 approach: the euro heart survey on atrial fibrillation. *Chest* 2010; 137: 263-272.
6
7
8
- 9
10 16. Lip GY. Stroke in atrial fibrillation: epidemiology and thromboprophylaxis. *J Thromb Haemost*
11 2011; 9 Suppl 1: 344-351.
12
13
- 14 17. Potpara TS, Polovina MM, Licina MM, Marinkovic JM, Prostran MS, Lip GY. Reliable
15 identification of "truly low" thromboembolic risk in patients initially diagnosed with "lone"
16 atrial fibrillation: the Belgrade atrial fibrillation study. *Circ Arrhythm Electrophysiol* 2012; 5:
17 319-326.
18
19
20
21
22
- 23 18. Olesen JB, Torp-Pedersen C, Hansen ML, Lip GY. The value of the CHA2DS2-VASc score for
24 refining stroke risk stratification in patients with atrial fibrillation with a CHADS2 score 0-1: a
25 nationwide cohort study. *Thromb Haemost* 2012; 107: 1172-1179.
26
27
28
29
- 30 19. Van Staa TP, Setakis E, Di Tanna GL, Lane DA, Lip GY. A comparison of risk stratification
31 schemes for stroke in 79,884 atrial fibrillation patients in general practice. *J Thromb Haemost*
32 2011; 9: 39-48.
33
34
35
- 36 20. Abu-Assi E, Otero-Ravina F, Allut Vidal G, Coutado Mendez A, Vaamonde Mosquera L, Sanchez
37 Loureiro M, Caneda Villar MC, Fernandez Villaverde JM, Maestro Saavedra FJ, Gonzalez-
38 Juanatey JR, Grupo Barbanza researchers. Comparison of the reliability and validity of four
39 contemporary risk stratification schemes to predict thromboembolism in non-anticoagulated
40 patients with atrial fibrillation. *Int J Cardiol* 2013; 166: 205-209.
41
42
43
44
45
46
- 47 21. Royal College of Physicians. Sentinel Stroke National Audit Programme (SSNAP). Clinical audit
48 first pilot public report; August 2013.
49
50
51
- 52 22. National Clinical Guideline Centre. Atrial fibrillation: the management of atrial fibrillation.
53 Clinical guideline: methods, evidence and recommendations [NICE CG180]. 2014.
54
55
56
57
58
59
60

- 1
2
3 23. NICE Implementation Collaborative. Consensus supporting local implementation of NICE
4 guidance on use of the novel (non-Vitamin K antagonist) oral anticoagulants in non-valvular
5 atrial fibrillation. 2014.
6
7
8
9
10 24. Herrett E, Gallagher AM, Bhaskaran K, Forbes H, Mathur R, van Staa T, Smeeth L. Data
11 Resource Profile: Clinical Practice Research Datalink (CPRD). *Int J Epidemiol* 2015; 44: 827-836.
12
13
14 25. Gallagher AM, van Staa TP, Murray-Thomas T, Schoof N, Clemens A, Ackermann D, Bartels DB.
15 Population-based cohort study of warfarin-treated patients with atrial fibrillation: incidence of
16 cardiovascular and bleeding outcomes. *BMJ Open* 2014; 4: e003839.
17
18
19
20
21 26. Penfold RB, Zhang F. Use of interrupted time series analysis in evaluating health care quality
22 improvements. *Acad Pediatr* 2013; 13: S38-44.
23
24
25
26 27. Bassand JP, Accetta G, Camm AJ, Cools F, Fitzmaurice DA, Fox KA, Goldhaber SZ, Goto S, Haas
27 S, Hacke W, Kayani G, Mantovani LG, Misselwitz F, Ten Cate H, Turpie AG, Verheugt FW,
28 Kakkar AK, Garfield-Af Investigators. Two-year outcomes of patients with newly diagnosed
29 atrial fibrillation: results from GARFIELD-AF. *Eur Heart J* 2016.
30
31
32
33
34 28. Huisman MV, Rothman KJ, Paquette M, Teutsch C, Diener HC, Dubner SJ, Halperin JL, Ma C,
35 Zint K, Elsaesser A, Bartels DB, Lip GY, Gloria-Af Investigators. Antithrombotic Treatment
36 Patterns in Patients with Newly Diagnosed Nonvalvular Atrial Fibrillation: The GLORIA-AF
37 Registry, Phase II. *Am J Med* 2015; 128: 1306-1313 e1301.
38
39
40
41
42
43 29. Camm AJ, Accetta G, Ambrosio G, Atar D, Bassand JP, Berge E, Cools F, Fitzmaurice DA,
44 Goldhaber SZ, Goto S, Haas S, Kayani G, Koretsune Y, Mantovani LG, Misselwitz F, Oh S, Turpie
45 AG, Verheugt FW, Kakkar AK, Garfield-Af Investigators. Evolving antithrombotic treatment
46 patterns for patients with newly diagnosed atrial fibrillation. *Heart* 2016.
47
48
49
50
51
52 30. Kirchhof P, Benussi S, Kotecha D, Ahlsson A, Atar D, Casadei B, Castella M, Diener HC,
53 Heidbuchel H, Hendriks J, Hindricks G, Manolis AS, Oldgren J, Popescu BA, Schotten U, Van
54 Putte B, Vardas P, Agewall S, Camm J, Baron Esquivias G, et al. 2016 ESC Guidelines for the
55
56
57
58
59
60

- 1
2
3 management of atrial fibrillation developed in collaboration with EACTS. *Eur Heart J* 2016; 37:
4
5 2893-2962.
6
7
8 31. Friberg L, Hammar N, Ringh M, Pettersson H, Rosenqvist M. Stroke prophylaxis in atrial
9
10 fibrillation: who gets it and who does not? Report from the Stockholm Cohort-study on Atrial
11
12 Fibrillation (SCAF-study). *Eur Heart J* 2006; 27: 1954-1964.
13
14 32. Waldo AL, Becker RC, Tapson VF, Colgan KJ, Committee NS. Hospitalized patients with atrial
15
16 fibrillation and a high risk of stroke are not being provided with adequate anticoagulation. *J*
17
18 *Am Coll Cardiol* 2005; 46: 1729-1736.
19
20
21 33. Gallagher AM, Rietbrock S, Plumb J, van Staa TP. Initiation and persistence of warfarin or
22
23 aspirin in patients with chronic atrial fibrillation in general practice: do the appropriate
24
25 patients receive stroke prophylaxis? *J Thromb Haemost* 2008; 6: 1500-1506.
26
27
28 34. Falstaff Study Group, Leizorovicz A, Cohen A, Guenoun M, Mismetti P, Weisslinger N. Influence
29
30 of age on the prescription of vitamin K antagonists in outpatients with permanent atrial
31
32 fibrillation in France. *Pharmacoepidemiol Drug Saf* 2007; 16: 32-38.
33
34
35 35. Simpson CR, Wilson C, Hannaford PC, Williams D. Evidence for age and sex differences in the
36
37 secondary prevention of stroke in Scottish primary care. *Stroke* 2005; 36: 1771-1775.
38
39
40 36. Mant J, Hobbs FD, Fletcher K, Roalfe A, Fitzmaurice D, Lip GY, Murray E, Bafta investigators,
41
42 Midland Research Practices Network. Warfarin versus aspirin for stroke prevention in an
43
44 elderly community population with atrial fibrillation (the Birmingham Atrial Fibrillation
45
46 Treatment of the Aged Study, BAFTA): a randomised controlled trial. *Lancet* 2007; 370: 493-
47
48 503.
49
50 37. Rash A, Downes T, Portner R, Yeo WW, Morgan N, Channer KS. A randomised controlled trial
51
52 of warfarin versus aspirin for stroke prevention in octogenarians with atrial fibrillation
53
54 (WASPO). *Age Ageing* 2007; 36: 151-156.
55
56
57
58
59
60

- 1
2
3 38. van Walraven C, Hart RG, Connolly S, Austin PC, Mant J, Hobbs FD, Koudstaal PJ, Petersen P,
4 Perez-Gomez F, Knottnerus JA, Boode B, Ezekowitz MD, Singer DE. Effect of age on stroke
5 prevention therapy in patients with atrial fibrillation: the atrial fibrillation investigators. *Stroke*
6 2009; 40: 1410-1416.
7
8
9
10
11 39. Garwood CL, Corbett TL. Use of anticoagulation in elderly patients with atrial fibrillation who
12 are at risk for falls. *Ann Pharmacother* 2008; 42: 523-532.
13
14
15 40. Scowcroft AC, Lee S, Mant J. Thromboprophylaxis of elderly patients with AF in the UK: an
16 analysis using the General Practice Research Database (GPRD) 2000-2009. *Heart* 2013; 99:
17 127-132.
18
19
20
21
22 41. Benjamin EJ, Wolf PA, D'Agostino RB, Silbershatz H, Kannel WB, Levy D. Impact of atrial
23 fibrillation on the risk of death: the Framingham Heart Study. *Circulation* 1998; 98: 946-952.
24
25
26
27 42. Stewart S, Hart CL, Hole DJ, McMurray JJ. A population-based study of the long-term risks
28 associated with atrial fibrillation: 20-year follow-up of the Renfrew/Paisley study. *Am J Med*
29 2002; 113: 359-364.
30
31
32
33 43. Baglin TP, Cousins D, Keeling DM, Perry DJ, Watson HG. Safety indicators for inpatient and
34 outpatient oral anticoagulant care: [corrected] Recommendations from the British Committee
35 for Standards in Haematology and National Patient Safety Agency. *Br J Haematol* 2007; 136:
36 26-29.
37
38
39
40
41
42
43 44. Herrett E, Thomas SL, Schoonen WM, Smeeth L, Hall AJ. Validation and validity of diagnoses in
44 the General Practice Research Database: a systematic review. *Br J Clin Pharmacol* 2010; 69: 4-
45 14.
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3 **FIGURE LEGENDS**
4

5
6 **Figure 1. Flowchart describing the sample used in each year**
7

8
9 **Figure 2. Evolution of the proportion of patients treated with each anticoagulant, with antiplatelet**
10 **therapy alone or no antithrombotic therapy among patients with NVAF with CHA2DS2-VASc ≥ 2**
11 **separately in newly diagnosed patients (A) and patients diagnosed since 12 months or more (B)**
12
13

14
15
16 A) Newly diagnosed patients with NVAF
17

18
19 B) Patients with NVAF diagnosis since 12 months or more
20

21
22 **Figure 3. Time series analysis describing the trends in the evolution of the proportion of patients**
23 **with NVAF treated with anticoagulants, aspirin, or other antiplatelet therapy alone or without any**
24 **antithrombotic treatment from April 2012 to April 2015 in the UK, by time since NVAF diagnosis**
25
26
27

28
29 A. Anticoagulation treatment
30

31
32

	Pre-ESC ¹			Post-ESC ²			Post-NICE ²		
	β	SE	p-value	β	SE	p-value	β	SE	p-value
Diagnosed < 12 months									
Level	43.09	0.26	<.0001	0.28	0.35	0.418	0.10	0.49	0.846
Trend	0.34	0.02	<.0001	0.26	0.03	<.0001	0.12	0.09	0.171
Diagnosed ≥ 12 months									
Level	49.01	0.07	<.0001	0.00	0.10	0.981	-0.16	0.14	0.251
Trend	0.13	0.01	<.0001	0.18	0.01	<.0001	0.15	0.02	<.0001

33
34
35
36
37
38
39
40
41
42
43
44
45
46
47

48 ¹For Pre-ESC data are base level, base trend. ²Post-ESC and Post-NICE data are change in level, change in trend
49
50
51
52
53
54
55
56
57
58
59
60

B. Aspirin (ASA) or other AP only

	Pre-ESC ¹			Post-ESC ²			Post-NICE ²		
	β	SE	p-value	β	SE	p-value	β	SE	p-value
Diagnosed <12months									
Level	41.94	0.24	<.0001	-0.20	0.31	0.518	-0.63	0.44	0.159
Trend	-0.36	0.02	<.0001	-0.26	0.03	<.0001	-0.10	0.08	0.226
Diagnosed \geq12 months									
Level	35.05	0.06	<.0001	0.03	0.08	0.737	-0.33	0.12	0.008
Trend	-0.12	0.01	<.0001	-0.15	0.01	<.0001	-0.21	0.02	<.0001

¹For Pre-ESC data are base level, base trend. ²Post-ESC and Post-NICE data are change in level, change in trend

C. Without any antithrombotic treatment

	Pre-ESC ¹			Post-ESC ²			Post-NICE ²		
	β	SE	p-value	β	SE	p-value	β	SE	p-value
Diagnosed <12months									
Level	14.97	0.15	<.0001	-0.08	0.19	0.6835	0.54	0.27	0.057
Trend	0.02	0.01	0.211	0.00	0.02	0.9262	-0.03	0.05	0.612
Diagnosed \geq12 months									
Level	15.94	0.07	<.0001	-0.03	0.10	0.789	0.49	0.14	0.001
Trend	-0.01	0.01	0.174	-0.03	0.01	0.000	0.06	0.02	0.015

¹For Pre-ESC data are base level, base trend. ²Post-ESC and Post-NICE data are change in level, change in trend

Table 1. Demographic and clinical characteristics of patients with NVAf on each index date (data given as n, % unless stated otherwise)

	April 2012		April 2013		April 2014		April 2015		Jan 2016	
	(n=67327)		(n=66364)		(n=62840)		(n=53150)		(n=45105)	
	n	%	n	%	n	%	n	%	n	%
Age (years) mean (SD)	78 (10)		78 (10)		78 (10)		78 (9.9)		78 (9.9)	
Gender: Male	35277	52.4	35096	52.9	33477	53.3	28756	54.1	24495	54.3
Country										
England	51055	75.8	49721	74.9	45422	72.3	36910	69.4	28226	62.6
Wales	7193	10.7	7168	10.8	7658	12.2	6791	12.8	7088	15.7
Scotland	6826	10.1	7132	10.7	7331	11.7	6850	12.9	7060	15.7
Northern Ireland	2253	3.3	2343	3.5	2429	3.9	2599	4.9	2731	6.1
Smoking status										
Current smoker	4685	7.0	4500	6.8	4087	6.5	3305	6.2	2877	6.4
Past smoker	34297	50.9	34019	51.3	32258	51.3	27389	51.5	23119	51.3
Body mass index (kg/m²)										
Missing data	5283	7.8	4588	6.9	3990	6.3	3209	6.0	2667	5.9
Median (Q1-Q3)	27.2 (24.1-31.1)		27.2 (24.1-31.2)		27.3 (24.2-31.2)		27.4 (24.3-31.4)		27.6 (24.3-31.5)	
GP consultation in the last										
year Median (Q1-Q3)	13 (8-22)		13 (8-22)		14 (8-23)		14 (8-23)		14 (8-22)	
Newly diagnosed NVAf	8197	12.2	8104	12.2	7421	11.8	6255	11.8	5564	12.3
Stroke risk factors										
Previous stroke/TIA	13136	19.5	12966	19.5	12312	19.6	10393	19.6	8986	19.9
Other arterial thromboembolism	281	0.4	267	0.4	243	0.4	207	0.4	182	0.4
Congestive heart failure	11970	17.8	11536	17.4	10780	17.2	9296	17.5	8272	18.3
Coronary artery disease	21158	31.4	20213	30.5	18691	29.7	15383	28.9	12892	28.6
Peripheral arterial disease	4136	6.1	3978	6	3671	5.8	2958	5.6	2491	5.5
Hypertension	65349	97.1	64557	97.3	61255	97.5	51872	97.6	44039	97.6
Diabetes mellitus	13949	20.7	13974	21.1	13564	21.6	11779	22.2	10222	22.7

	April 2012		April 2013		April 2014		April 2015		Jan 2016	
	(n=67327)		(n=66364)		(n=62840)		(n=53150)		(n=45105)	
	n	%	n	%	n	%	n	%	n	%
CHA2DS2-VASc score										
Median (Q1-Q3)	4 (3-5)		4 (3-5)		4 (3-5)		4 (3-5)		4 (3-5)	
Modified HAS-BLED score*										
Median (Q1-Q3)	4 (3-4)		4 (3-4)		4 (3-4)		4 (3-4)		4 (3-4)	
Previous bleedings**	22136	32.9	22260	33.5	21770	34.6	18669	35.1	15889	35.2
Intracranial	1166	1.7	1223	1.8	1238	2.0	1039	2.0	897	2.0
Gastrointestinal	7755	11.5	7700	11.6	7575	12.1	6536	12.3	5677	12.6
Renal disease***	23367	34.7	23003	34.7	21391	34	17796	33.5	15061	33.4
Liver disease	454	0.7	478	0.7	475	0.8	425	0.8	393	0.9
Number of concomitant treatments										
Median (Q1-Q3)	8 (5-11)		8 (5-11)		8 (5-11)		8 (5-11)		7 (5-11)	

Abbreviations: GP, general practitioner; NVAf, non-valvular atrial fibrillation; SD, standard deviation; TIA, transient ischaemic attack

* Excluding INR component as not consistently reported in CPRD, score range: 0–8.

** Including intracranial, gastrointestinal, intraocular, pericardial, urinary, intra-articular, lung, or other bleed. Gynecological bleeds excluded.

*** Including any renal disease, chronic kidney disease stage 1-5

Table 2. Evolution of the proportion of patients treated with anticoagulants, with antiplatelet therapy alone or no antithrombotic therapy among patients with NVAf with CHA2DS2-VASc \geq 2

	April 2012	April 2013	April 2014	April 2015	January 2016
	% (95% CI)	% (95% CI)	% (95% CI)	% (95% CI)	% (95% CI)
Anticoagulation	50.2 (49.8-50.5)	53.2 (52.8-53.5)	57.5 (57.1-57.9)	62.9 (62.5-63.3)	66.9 (66.5-67.3)
Antiplatelet therapy alone	34.2 (33.9-34.5)	31.2 (30.8-31.5)	27.7 (27.3-28.0)	21.8 (21.5-22.2)	17.4 (17.1-17.8)
No antithrombotic therapy	15.6 (15.4-15.9)	15.7 (15.4-15.9)	14.8 (14.6-15.1)	15.3 (15.0-15.6)	15.7 (15.4-16.0)

Abbreviations: CI, confidence interval

For peer review only
Highly Confidential

Table 3. Factors associated with the prescription of aspirin or other antiplatelet for TE prevention in NVAf in April 2015 (vs. anticoagulation): results of the GEE model

	Antiplatelet therapy		Treated with		OR (95% CI)	P-value
	alone		anticoagulant			
	N=11,609		N= 33,413			
	n	%	N	%		
Patient age (years)						< .0001
< 65	890	7.7	2016	6.0	1.22 (1.10-1.35)	
65 to 74	2769	23.9	8780	26.3	Reference	
75 to 84	3842	33.1	14363	43.0	0.94 (0.87-1.02)	
≥ 85	4108	35.4	8254	24.7	1.72 (1.58-1.87)	
Country						0.001
England	8065	69.5	22909	68.6	Reference.	
Wales	1383	11.9	4524	13.5	0.80 (0.70-0.91)	
Scotland	1590	13.7	4231	12.7	1.06 (0.96-1.19)	
N. Ireland	571	4.9	1749	5.2	0.83 (0.72-0.97)	
Gender: male (reference = female)	5364	46.2	14580	43.6	0.87 (0.81-0.93)	<.0001
Time since NVAf diagnosis						<.0001
< 6 months	497	4.3	2121	6.3	Reference	
6 to 12 months	442	3.8	2175	6.5	0.96 (0.83-1.11)	
12 to 24 months	979	8.4	3886	11.6	1.24 (1.10-1.41)	
2 to 5 years since	3095	26.7	8496	25.4	1.89 (1.68-2.12)	
≥ 5 years	6596	56.8	16735	50.1	2.17 (1.93-2.44)	
Previous oral AC treatment						<.0001
No previous treatment	8093	69.7	21189	63.4	Reference	
NOAC only	87	0.7	411	1.2	0.61 (0.46-0.81)	
VKA and NOAC	76	0.7	490	1.5	0.37 (0.29-0.47)	
VKA only	3353	28.9	11323	33.9	0.65 (0.59-0.72)	
Previous stroke/TIA/arterial TE	2291	19.7	7341	22.0	0.83 (0.75-0.92)	0.0008
Congestive heart failure	1722	14.8	6685	20.0	0.61 (0.56-0.66)	<.0001
Previous coronary artery disease	4535	39.1	9636	28.8	1.60 (1.50--1.71)	<.0001

	Antiplatelet therapy		Treated with		OR (95% CI)	P-value
	alone		anticoagulant			
	N=11,609		N= 33,413			
	n	%	N	%		
Peripheral arterial disease	833	7.2	1887	5.6	1.20 (1.10-1.31)	0.0001
Hypertension	11308	97.4	32869	98.4	0.59 (0.51-0.69)	<.0001
CHA2DS2-VASc score						<.0001
2	1425	12.3	3444	10.3	Reference	
3	2408	20.7	7482	22.4	0.69 (0.63-0.76)	
4	3206	27.6	9656	28.9	0.56 (0.50-0.64)	
5	2306	19.9	6544	19.6	0.54 (0.46-0.64)	
≥6	2264	19.5	6287	18.9	0.52 (0.42-0.65)	
Previous bleed						<.0001
No bleed	7522	64.8	21577	64.6	Reference	
Intracranial bleed	346	3.0	404	1.2	3.02 (2.56-3.56)	
Gastrointestinal bleed	1430	12.3	4001	11.8	0.96 (0.90-1.02)	
Other bleed	2311	19.9	7473	22.4	0.82 (0.77-0.87)	
History of fall	3474	29.9	8211	24.6	1.14 (1.08-1.20)	<.0001
Renal disease	4106	35.4	11542	34.5	0.94 (0.90-0.99)	0.0131
Liver disease	102	0.9	214	0.6	1.32 (1.03-1.70)	0.0414
Number of comedications						<.0001
< 5	1448	12.5	6879	20.6	Reference	
5 to 9	5040	43.4	14825	44.4	1.71 (1.60-1.82)	
10 to 14	3169	27.3	7782	23.3	2.08 (1.93-2.26)	
15 or more	1952	16.8	3927	11.8	2.66 (2.43-2.91)	

Abbreviations: CI, confidence interval; GEE, generalised estimating equations; VKA, vitamin K antagonist; OAC, oral anticoagulant; NVAF, non-valvular atrial fibrillation; TIA, transient ischaemic attack; TE, thromboembolism; OR, odds ratio; NOAC, novel oral anticoagulant.

Management of NVAF in Europe and the UK

Table 4. Factor associated with the absence of TE prevention (no antithrombotic therapy vs. anticoagulation) in NVAF in April 2015: results of the GEE model

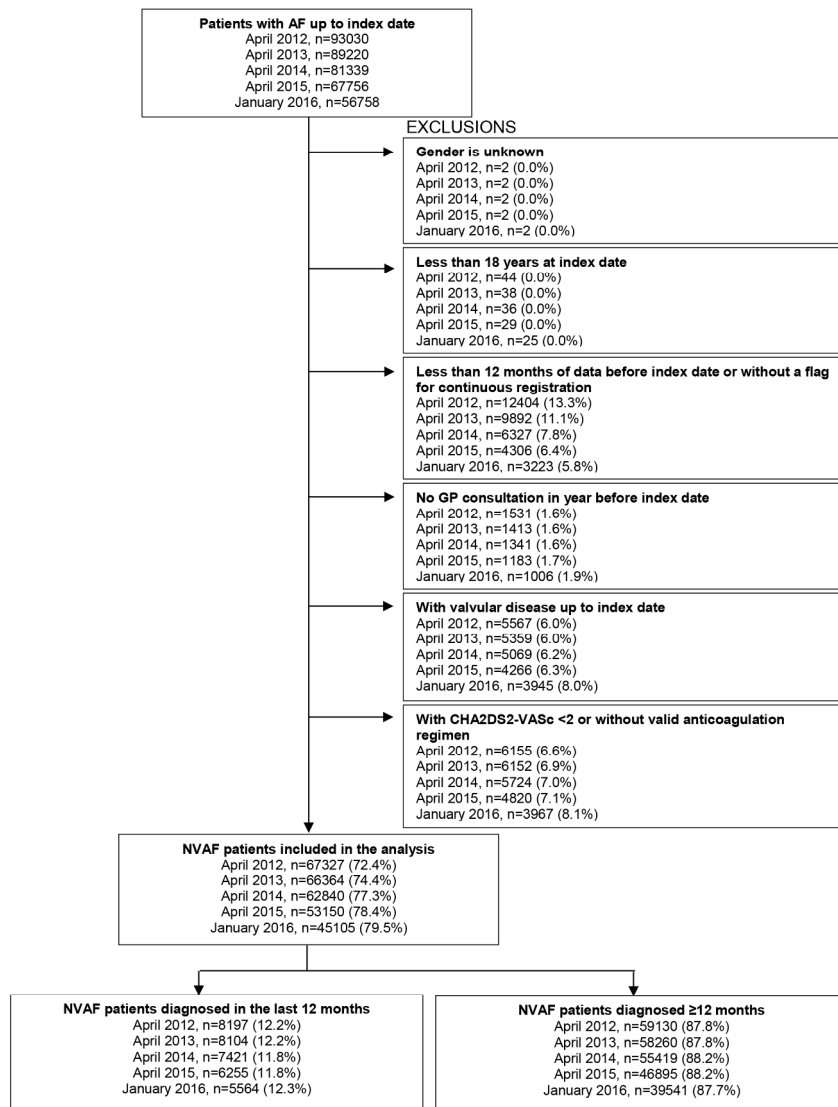
Parameter	No antithrombotic therapy		Treated with anticoagulant		OR (95% CI)	P-value
	N=8,128		N= 33,413			
	n	%	n	%		
Patient age (years)						<.0001
< 65	1299	16	2016	6.0	1.64 (1.47-1.83)	
65 to 74	2214	27.2	8780	26.3	Reference	
75 to 84	2367	29.1	14363	43.0	1.09 (0.99-1.20)	
≥ 85	2248	27.7	8254	24.7	1.86 (1.68-2.07)	
Gender: male (reference = female)	4450	54.7	14580	43.6	0.51 (0.46-0.56)	<.0001
Country						
England	5936	73.0	22909	68.6	Reference	<.0001
Wales	884	10.9	4524	13.5	0.80 (0.69-0.93)	
Scotland	1029	12.7	4231	12.7	1.09 (0.96-1.23)	
Northern Ireland	279	3.4	1749	5.2	0.69 (0.57-0.83)	
Time since NVAF diagnosis						<.0001
< 6 months	592	7.3	2121	6.3	Reference	
6 to 12 months	427	5.3	2175	6.5	0.71 (0.61-0.82)	
12 to 24 months	864	10.6	3886	11.6	0.82 (0.71-0.94)	
2 to 5 years	2086	25.7	8496	25.4	0.93 (0.82-1.06)	
≥ 5 years	4159	51.2	16735	50.1	1.07 (0.94-1.23)	
Previous OAC treatment						<.0001
No previous OAC	5137	63.2	21189	63.4	Reference	
NOAC only	189	2.3	411	1.2	1.82 (1.36-2.43)	
VKA and NOAC	159	2.0	490	1.5	1.50 (1.23-1.84)	
VKA only	2643	32.5	11323	33.9	0.97 (0.88-1.07)	
Previous stroke/TIA/ arterial TE	968	11.9	7341	22.0	0.55 (0.48-0.64)	<.0001
Congestive heart failure	889	10.9	6685	20.0	0.76 (0.69-0.84)	<.0001

Management of NVAF in Europe and the UK

Parameter	No antithrombotic therapy		Treated with anticoagulant		OR (95% CI)	P-value
	N=8,128		N= 33,413			
	n	%	n	%		
Previous coronary artery disease	1212	14.9	9636	28.8	0.76 (0.70-0.84)	<.0001
Hypertension	7695	94.7	32869	98.4	0.62 (0.53-0.72)	<.0001
CHA2DS2-VASc score						<.0001
2	2212	27.2	3444	10.3	Reference	
3	2034	25	7482	22.4	0.48 (0.43-0.54)	
4	2057	25.3	9656	28.9	0.33 (0.28-0.39)	
5	987	12.1	6544	19.6	0.28 (0.22-0.35)	
≥6	838	10.27	6287	18.9	0.27 (0.20-0.36)	
Previous bleed						<.0001
No bleed	5382	66.2	21577	64.6	Reference	
IC bleed	289	3.6	404	1.2	8.03 (6.43-10.02)	
GI bleed	1016	12.5	4001	11.8	1.24 (1.14-1.35)	
Other bleed	1441	17.7	7473	22.4	0.91 (0.85-0.97)	
History of peptic ulcer	465	5.7	1743	5.2	1.36 (1.21-1.52)	<.0001
History of anaemia	227	2.8	880	2.6	1.44 (1.24-1.67)	<.0001
History of fall	2097	25.8	8211	24.6	1.20 (1.13-1.28)	<.0001
Renal disease	2148	26.4	11542	34.5	0.90 (0.84-0.96)	0.0016
Liver disease	109	1.3	214	0.6	2.39 (1.87-3.05)	<.0001
Active cancer	455	3.9	1367	4.1	1.19 (1.06-1.35)	0.0053
Number of co-medications						<.0001
< 5	3384	41.6	6879	20.6	Reference	
5 to 9	2791	34.3	14825	44.4	0.49 (0.45-0.52)	
10 to 14	1271	15.6	7782	23.3	0.46 (0.43-0.50)	
≥15	682	8.4	3927	11.8	0.53 (0.48-0.59)	

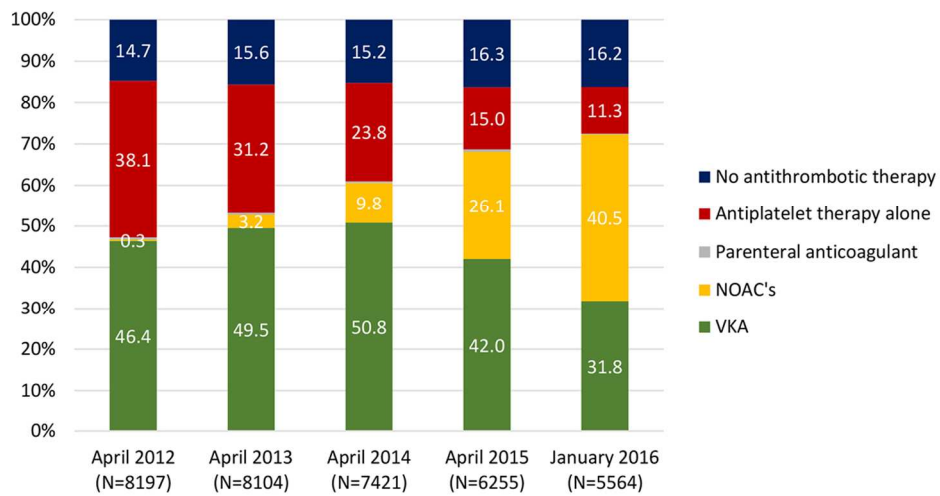
Abbreviations: CI, confidence interval; GEE, generalised estimating equations; VKA, vitamin K antagonist; OAC, oral anticoagulant; NVAF, non-valvular atrial fibrillation; TIA, transient ischaemic attack; TE, thromboembolism; OR, odds ratio; NOAC, novel oral anticoagulant

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



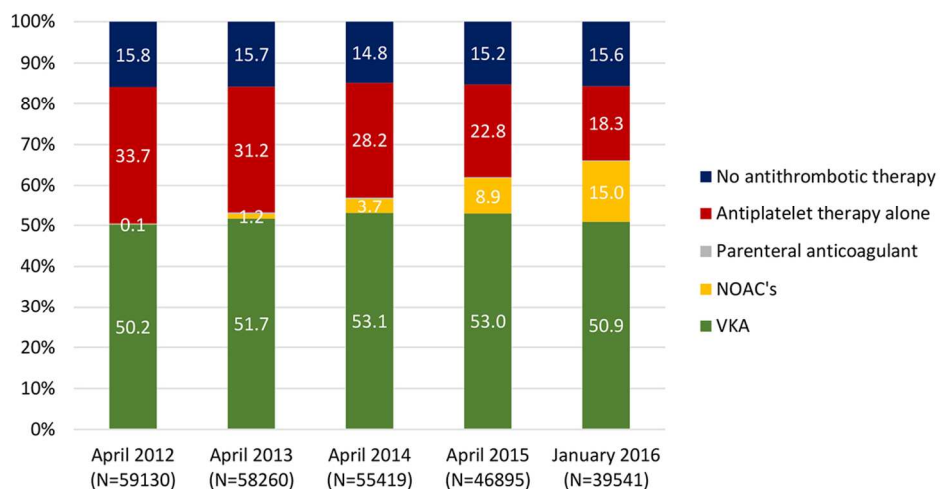
172x246mm (300 x 300 DPI)

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



113x60mm (300 x 300 DPI)

review only

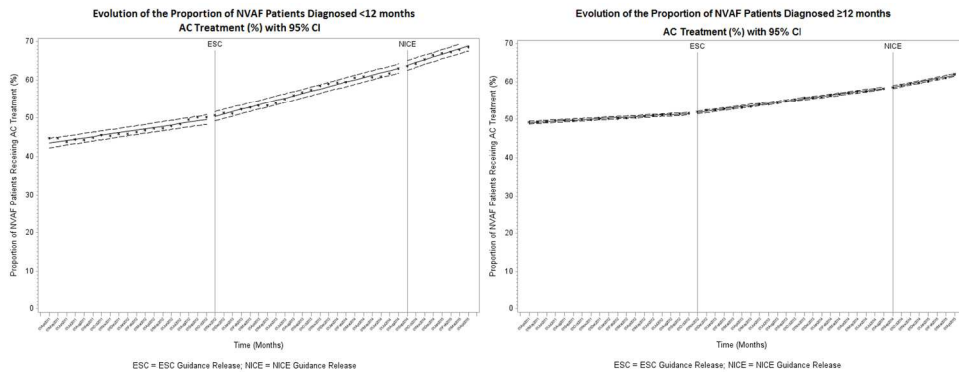


113x59mm (300 x 300 DPI)

review only

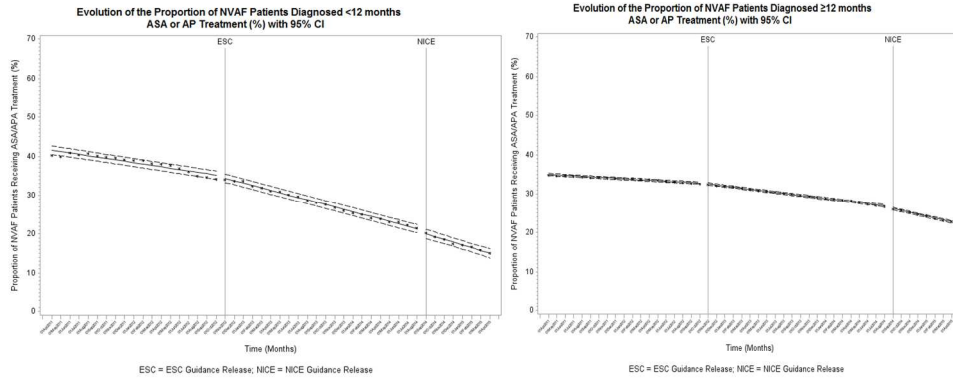
1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



172x68mm (300 x 300 DPI)

Peer review only

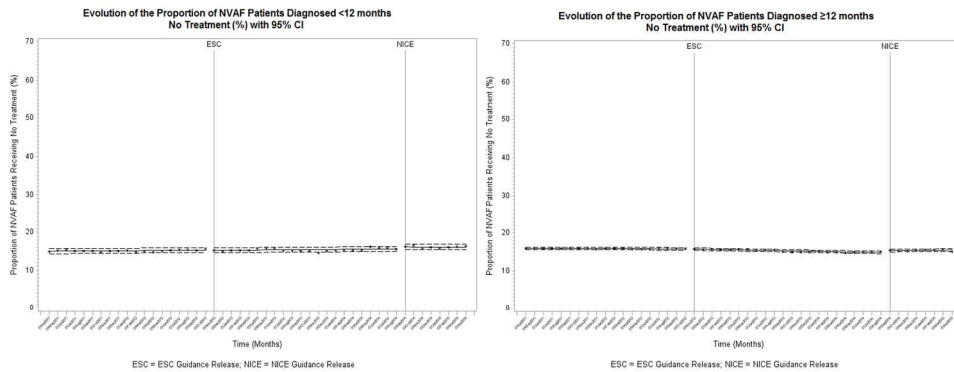


172x70mm (300 x 300 DPI)

Peer review only

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60



172x70mm (300 x 300 DPI)

Peer review only

1 Management of NVAf in Europe and the UK
 2
 3

4 **DATA SUPPLEMENT**
 5

6 **Table s1. READ code descriptions**
 7

8 G11..00	Mitral valve diseases
9 G540.15	Mitral valve prolapse
10 G110.00	Mitral stenosis
11 G540.00	Mitral valve incompetence
12 G541.00	Aortic valve disorders
13 G540000	Mitral incompetence, non-rheumatic
14 G130.00	Mitral and aortic stenosis
15 G13..00	Diseases of mitral and aortic valves
16 G541400	Aortic valve stenosis with insufficiency
17 G131.14	Mitral stenosis and aortic regurgitation
18 G544200	Combined disorders of mitral, aortic and tricuspid valves
19 G541z00	Aortic valve disorders NOS
20 G544100	Disorders of both mitral and tricuspid valves
21 G540z00	Mitral valve disorders NOS
22 G113.00	Nonrheumatic mitral valve stenosis
23 G13z.00	Mitral and aortic valve disease NOS
24 G11z.00	Mitral valve disease NOS
25 G133.00	Mitral and aortic incompetence
26 G132.12	Mitral incompetence and aortic stenosis
27 G540200	Mitral valve prolapse
28 G132.00	Mitral insufficiency and aortic stenosis
29 G132.13	Mitral regurgitation and aortic stenosis
30 G540100	Mitral incompetence, cause unspecified
31 G540300	Mitral valve leaf prolapse
32 G544.00	Multiple valve diseases
33 G540.12	Mitral valve insufficiency
34 G112.13	Mitral stenosis with regurgitation
35 G112.00	Mitral stenosis with insufficiency
36 Gyu5600	[X]Other aortic valve disorders
37 G131.00	Mitral stenosis and aortic insufficiency
38 G12z.00	Rheumatic aortic valve disease NOS
39 G112.12	Mitral stenosis with incompetence
40 Gyu1000	[X]Other mitral valve diseases
41 P65..00	Congenital mitral stenosis

Management of NVAf in Europe and the UK

G544X00	Multiple valve disease, unspecified
G114.00	Ruptured mitral valve cusp
G131.13	Mitral stenosis and aortic incompetence
P66..00	Congenital mitral insufficiency
P652.00	Parachute deformity of the mitral valve
G13y.00	Multiple mitral and aortic valve involvement
Gyu5A00	[X]Aortic valve disorders in diseases classified elsewhere
P650.00	Congenital mitral stenosis, unspecified
G133.11	Mitral and aortic insufficiency
Gyu5500	[X]Other nonrheumatic mitral valve disorders
P65z.00	Congenital mitral stenosis NOS
Gyu5D00	[X]Multiple valve disorders/diseases CE
READ Codes for prosthetic valve description	
7911.12	Replacement of aortic valve
P641.00	Bicuspid aortic valve
7910300	Replacement of mitral valve NEC
7910.12	Replacement of mitral valve
7910.12	Replacement of mitral valve
7914300	Replacement of valve of heart NEC
7914200	Prosthetic replacement of valve of heart NEC
7910.00	Plastic repair of mitral valve
7911.00	Plastic repair of aortic valve
7911300	Replacement of aortic valve NEC
7915000	Revision of plastic repair of mitral valve
7916000	Open mitral valvotomy
7917000	Closed mitral valvotomy
7910200	Prosthetic replacement of mitral valve
7910200	Prosthetic replacement of mitral valve
7910400	Mitral valvuloplasty NEC
ZV43300	[V]Has artificial heart valve
7914.11	Replacement of unspecified valve of heart
7910211	Bjork-Shiley prosthetic replacement of mitral valve
7911200	Prosthetic replacement of aortic valve
7910.11	Mitral valvuloplasty
7914212	Starr prosthetic replacement of valve of heart
7911100	Xenograft replacement of aortic valve

Management of NVAF in Europe and the UK

7911y00	Other specified plastic repair of aortic valve
ZV45H00	[V]Presence of prosthetic heart valve
7910z00	Plastic repair of mitral valve NOS
7911000	Allograft replacement of aortic valve
7915100	Revision of plastic repair of aortic valve
7914211	Edwards prosthetic replacement of valve of heart
TB01200	Implant of heart valve prosthesis + complication, no blame
7910213	Carpentier prosthetic replacement of mitral valve
7910100	Xenograft replacement of mitral valve
7919000	Percutaneous transluminal mitral valvotomy
7911z00	Plastic repair of aortic valve NOS
7910212	Bjork-Shiley prosthetic replacement of mitral valve
7910y00	Other specified plastic repair of mitral valve
SP00200	Mechanical complication of heart valve prosthesis
SyuK611	[X] Embolism from prosthetic heart valve
790D700	Replacement of valved cardiac conduit
7914100	Xenograft replacement of valve of heart NEC
7914000	Allograft replacement of valve of heart NEC
ZVu6e00	[X]Presence of other heart valve replacement
7910214	Edwards prosthetic replacement of mitral valve
7910411	Mitral valve repair NEC
7911411	Aortic valve repair NEC
7910000	Allograft replacement of mitral valve
7911600	Transluminal aortic valve implantation
7911500	Transapical aortic valve implantation
7914600	Replacement of truncal valve
7918000	Annuloplasty of mitral valve

Management of NVAf in Europe and the UK

Table s2. Proportion of patients with NVAf treated in April 2012, 2013, 2014, and 2015 in the UK, according to duration of diagnosis

	April 2012 % [95% CI]	April 2013 % [95% CI]	April 2014 % [95% CI]	April 2015 % [95% CI]	January 2016 % [95% CI]
<i>Diagnosed < 12 months</i>					
Anticoagulation treatment	47.2 [46.2-48.3]	53.2 [52.1-54.2]	61.0 [59.9-62.0]	68.7 [67.6-69.8]	72.5 [71.3-73.7]
VKA	46.4 [45.4-47.4]	49.5 [48.5-50.6]	50.8 [49.7-51.9]	42.0 [40.8-43.1]	31.8 [30.6-33.0]
Apixaban	-	-	1.7 [1.5-2.0]	8.8 [8.1-9.5]	16.6 [15.6-17.5]
Rivaroxaban	-	1.2 [1.0-1.5]	5.5 [5.0-6.0]	14.5 [13.7-15.3]	21.5 [20.4-22.6]
Dabigatran	0.3 [0.2-0.4]	2.0 [1.7-2.3]	2.6 [2.3-2.9]	2.8 [2.5-3.2]	2.4 [2.0-2.8]
Parenteral anticoagulant	0.5 [0.4-0.6]	0.5 [0.3-0.6]	0.4 [0.2-0.5]	0.6 [0.4-0.8]	0.2 [0.1-0.4]
Antiplatelet therapy alone	38.1 [37-39.1]	31.2 [30.2-32.1]	23.8 [22.9-24.8]	15 [14.2-15.9]	11.3 [10.5-12.1]
No antithrombotic therapy	14.7 [14-15.4]	15.6 [14.9-16.4]	15.2 [14.4-16]	16.3 [15.4-17.2]	16.2 [15.2-17.1]
<i>Diagnosed ≥ 12 months</i>					
Anticoagulation treatment	50.6 [50.2-51.0]	53.2 [52.8-53.5]	57.0 [56.6-57.4]	62.1 [61.7-62.5]	66.1 [65.6-66.6]
VKA	50.2 [49.8-50.6]	51.7 [51.3-52.1]	53.1 [52.7-53.5]	53.0 [52.5-53.4]	50.9 [50.4-51.4]
Apixaban	-	-	0.4 [0.3-0.4]	2.0 [1.9-2.1]	4.5 [4.3-4.7]
Rivaroxaban	-	0.5 [0.4-0.5]	1.9 [1.8-2.0]	4.9 [4.7-5.1]	8.3 [8.0-8.6]
Dabigatran	0.1 [0.1-0.1]	0.7 [0.7-0.8]	1.4 [1.3-1.5]	2.0 [1.9-2.1]	2.2 [2.1-2.4]
Parenteral anticoagulant	0.2 [0.2-0.3]	0.3 [0.2-0.3]	0.3 [0.2-0.3]	0.2 [0.2-0.3]	0.2 [0.2-0.3]
Antiplatelet therapy alone	33.7 [33.3-34.0]	31.2 [30.8-31.5]	28.2 [27.8-28.5]	22.8 [22.4-23.1]	18.3 [17.9-18.7]
No antithrombotic therapy	15.8 [15.5-16.0]	15.7 [15.4-16.0]	14.8 [14.5-15.1]	15.2 [14.8-15.5]	15.6 [15.3-16.0]

Abbreviations: CI, confidence interval; VKA, vitamin K antagonists

Management of NVAf in Europe and the UK

Table s3. Proportion of patients with NVAf treated in April 2012, 2013, 2014, and 2015 in the UK, according to country of residence

	April 2012 % [95% CI]	April 2013 % [95% CI]	April 2014 % [95% CI]	April 2015 % [95% CI]	January 2016 % [95% CI]
England					
Anticoagulation treatment	49.6 [49.2-50]	52.4 [52-52.9]	56.9 [56.5-57.4]	62.1 [61.6-62.5]	66.5 [66.0-67.1]
VKA	49.2 [48.8-49.6]	50.8 [50.4-51.2]	52.4 [51.9-52.8]	51.3 [50.8-51.8]	47.9 [47.4-48.5]
Apixaban	-	-	0.4 [0.4-0.5]	2.3 [2.2-2.5]	5.0 [4.8-5.3]
Rivaroxaban	-	0.5 [0.4-0.5]	2.1 [2.0-2.2]	5.7 [5.5-5.9]	10.7 [10.3-11.0]
Dabigatran	0.1 [0.1-0.1]	0.9 [0.8-1.0]	1.7 [1.6-1.8]	2.4 [2.3-2.6]	2.6 [2.4-2.8]
Parenteral anticoagulant	0.3 [0.2-0.3]	0.3 [0.2-0.3]	0.3 [0.3-0.4]	0.3 [0.3-0.4]	0.2 [0.2-0.3]
Antiplatelet therapy alone	33.9 [33.5-34.3]	30.9 [30.6-31.3]	27.5 [27.1-27.9]	21.9 [21.4-22.3]	17.0 [16.5-17.4]
No antithrombotic therapy	16.5 [16.2-16.8]	16.6 [16.3-16.9]	15.6 [15.3-15.9]	16.1 [15.7-16.4]	16.5 [16.1-17.0]
Scotland					
Anticoagulation treatment	48.8 [47.7-49.9]	51.9 [50.8-53]	55.5 [54.4-56.6]	61.8 [60.7-62.9]	64.3 [63.2-65.4]
VKA	48.2 [47.1-49.3]	49.2 [48.1-50.3]	49.1 [48.0-50.2]	48.4 [47.2-49.5]	45.2 [44-46.3]
Apixaban	-	-	0.5 [0.3-0.6]	2.7 [2.3-3.0]	6.6 [6.0-7.2]
Rivaroxaban	-	1.8 [1.5-2.0]	5.0 [4.5-5.4]	9.8 [9.1-10.5]	11.6 [10.8-12.3]
Dabigatran	0.4 [0.2-0.5]	0.5 [0.4-0.7]	0.7 [0.5-0.8]	0.7 [0.5-0.8]	0.8 [0.6-1.0]
Parenteral anticoagulant	0.2 [0.1-0.3]	0.4 [0.2-0.5]	0.3 [0.2-0.4]	0.2 [0.1-0.3]	0.1 [0.1-0.2]
Antiplatelet therapy alone	37 [35.9-38.1]	33.6 [32.6-34.7]	29.6 [28.6-30.6]	23.2 [22.3-24.2]	19.9 [19.0-20.8]
No antithrombotic therapy	14.2 [13.4-15.0]	14.5 [13.7-15.3]	14.9 [14.2-15.7]	15 [14.2-15.8]	15.8 [14.9-16.6]
Wales					
Anticoagulation treatment	55.1 [54.0-56.2]	58.7 [57.7-59.8]	61.7 [60.6-62.7]	66.6 [65.5-67.7]	69.1 [68.0-70.2]
VKA	54.8 [53.7-55.9]	57.6 [56.5-58.7]	59.1 [58.0-60.1]	59.3 [58.1-60.4]	57.6 [56.5-58.8]
Apixaban	-	0.0 [0.0-0.1]	0.3 [0.2-0.4]	2.2 [1.9-2.5]	3.9 [3.4-4.3]
Rivaroxaban	-	0.1 [0.0-0.2]	0.9 [0.7-1.1]	3.2 [2.8-3.6]	5.1 [4.6-5.6]
Dabigatran	0.0 [0.0-0.1]	0.9 [0.6-1.1]	1.3 [1.1-1.5]	1.9 [1.6-2.2]	2.3 [2.0-2.7]
Parenteral anticoagulant	0.2 [0.1-0.3]	0.2 [0.1-0.3]	0.1 [0.1-0.2]	0.1 [0.0-0.1]	0.2 [0.1-0.2]
Antiplatelet therapy alone	32.5 [31.5-33.6]	29.4 [28.4-30.4]	26.7 [25.8-27.7]	20.4 [19.4-21.3]	16.8 [15.9-17.7]
No antithrombotic therapy	12.4 [11.6-13.1]	11.9 [11.2-12.6]	11.6 [10.9-12.3]	13.0 [12.2-13.8]	14.1 [13.3-14.9]
Northern Ireland					
Anticoagulation treatment	51.5 [49.6-53.5]	55 [53.0-56.9]	60.8 [59.0-62.7]	67.3 [65.6-69.0]	71.9 [70.2-73.6]
VKA	50.7 [48.7-52.7]	52.4 [50.4-54.3]	51.5 [49.6-53.4]	46 [44.2-47.9]	39.7 [37.9-41.6]
Apixaban	-	0.1 [0.0-0.2]	3.1 [2.4-3.7]	11.1 [10.0-12.3]	19.1 [17.6-20.6]
Rivaroxaban	-	0.4 [0.1-0.6]	3.5 [2.8-4.2]	7.5 [6.5-8.4]	10.7 [9.5-11.8]
Dabigatran	0.5 [0.2-0.8]	1.6 [1.1-2.1]	2.5 [1.9-3.1]	2.3 [1.8-2.9]	2.1 [1.6-2.7]

Management of NVAf in Europe and the UK

	April 2012	April 2013	April 2014	April 2015	January 2016
	% [95% CI]	% [95% CI]	% [95% CI]	% [95% CI]	% [95% CI]
Parenteral anticoagulant	0.3 [0.1-0.5]	0.6 [0.3-0.8]	0.2 [0.1-0.4]	0.3 [0.1-0.6]	0.3 [0.1-0.5]
Antiplatelet therapy alone	37.9 [35.9-39.8]	34.1 [32.2-35.9]	28.2 [26.4-29.9]	22 [20.4-23.5]	17.2 [15.8-18.6]
No antithrombotic therapy	10.6 [9.4-11.8]	11 [9.8-12.2]	11.0 [9.8-12.2]	10.7 [9.6-11.9]	10.9 [9.8-12.1]

Abbreviations: CI, confidence interval; VKA, vitamin K antagonists

For peer review only - Highly Confidential

STROBE 2007 (v4) checklist of items to be included in reports of observational studies in epidemiology*
Checklist for cohort, case-control, and cross-sectional studies (combined)

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	4
Objectives	3	State specific objectives, including any pre-specified hypotheses	4
Methods			
Study design	4	Present key elements of study design early in the paper	4-5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	4-5
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	5
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	n/a
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	5
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	4-5
Bias	9	Describe any efforts to address potential sources of bias	6
Study size	10	Explain how the study size was arrived at	5
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	5-6
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	6
		(b) Describe any methods used to examine subgroups and interactions	n/a
		(c) Explain how missing data were addressed	n/a
		(d) <i>Cohort study</i> —If applicable, explain how loss to follow-up was addressed <i>Case-control study</i> —If applicable, explain how matching of cases and controls was addressed	

		<i>Cross-sectional study</i> —If applicable, describe analytical methods taking account of sampling strategy	
		(e) Describe any sensitivity analyses	10
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	20
		(b) Give reasons for non-participation at each stage	20
		(c) Consider use of a flow diagram	20
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	16
		(b) Indicate number of participants with missing data for each variable of interest	16
		(c) <i>Cohort study</i> —Summarise follow-up time (eg, average and total amount)	n/a
Outcome data	15*	<i>Cohort study</i> —Report numbers of outcome events or summary measures over time	n/a
		<i>Case-control study</i> —Report numbers in each exposure category, or summary measures of exposure	n/a
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	6, 16, 17-19
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	17
		(b) Report category boundaries when continuous variables were categorized	18-19
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	n/a
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	10
Discussion			
Key results	18	Summarise key results with reference to study objectives	8
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	10
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	9-10
Generalisability	21	Discuss the generalisability (external validity) of the study results	10
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	1

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.
Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.