

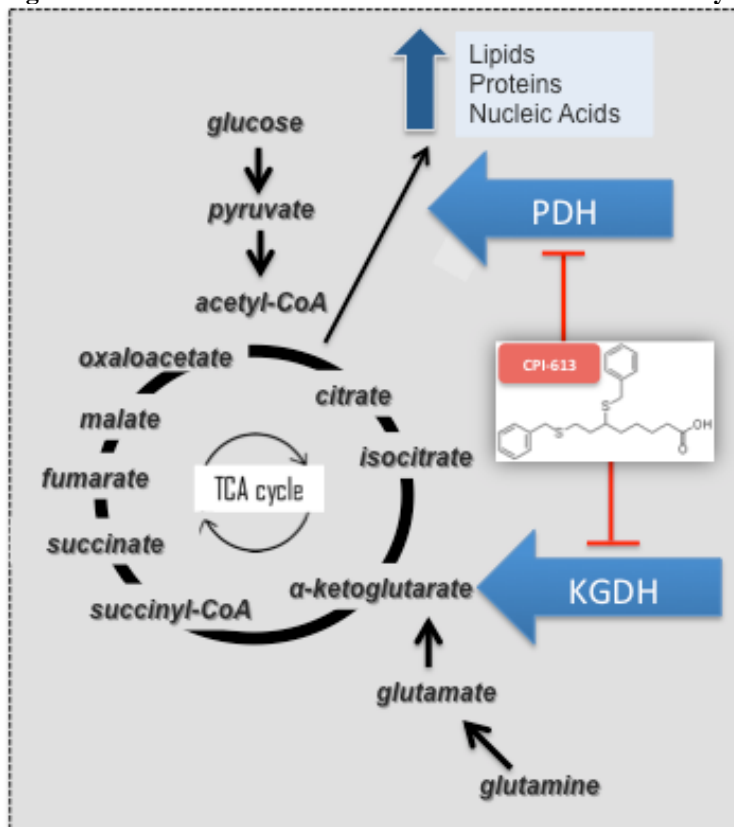
## Supplemental Material

### Rationale for targeting mitochondrial metabolism in pancreatic cancer

Pancreatic adenocarcinoma (PDAC) is defined by a number of hallmarks: high rate of *KRAS* activating and *TP53* inactivating mutations, propensity for local and distant invasion, desmoplasia resulting in a hypovascular and hypoxic microenvironment, reprogramming of cellular metabolism, and tumor immune invasion.<sup>1-4</sup> These characteristics are regarded to be downstream events of metabolic reprogramming.<sup>5</sup> Metabolic reprogramming is an emerging hallmark of PDAC, including aerobic glycolysis, oxidative phosphorylation (OXPHOS), glutaminolysis, lipogenesis and lipolysis, autophagic status, and anti-oxidative stress. However, accumulating evidence has revealed that plasticity is another feature of PDAC metabolism, in which each cancer cell remodels biochemical pathways of energy transduction and associated anabolism in response to various stresses.<sup>6</sup> Both intrinsic factors (such as the *KRAS*, *MYC*, *TP53*, *CDKN2A* and *SMAD4* genes) and extrinsic factors (such as microenvironment – desmoplasia, hypoxia, immune suppression) regulate metabolic plasticity.<sup>7</sup>

The intrinsic factors for metabolic plasticity induce abnormal mitochondrial metabolism and enhance glycolysis, with alterations in glutamine and lipid metabolism. The extrinsic factors induce cancer cells to reprogram their metabolic pathway and hijack stromal cells (mainly cancer-associated fibroblasts and immunocytes) to communicate, thereby adapting to metabolic stress. This provides a strong rationale for novel therapies that target the mitochondrial metabolism.<sup>8</sup>

Figure 1. CPI-613 inhibits mitochondrial metabolism selectively in tumor cells<sup>9,10</sup>



Drug inhibition of the tumor cell TCA cycle substantially reduces the mitochondrial export of anabolic intermediates, including those essential to nucleotide synthesis. Compromised nucleotide synthesis is expected to substantially interfere with the efficiency of the DNA damage response. In turn, the DNA damage response is necessary for tumor cells to resist and recover from the effects of the active FOLFIRINOX components.

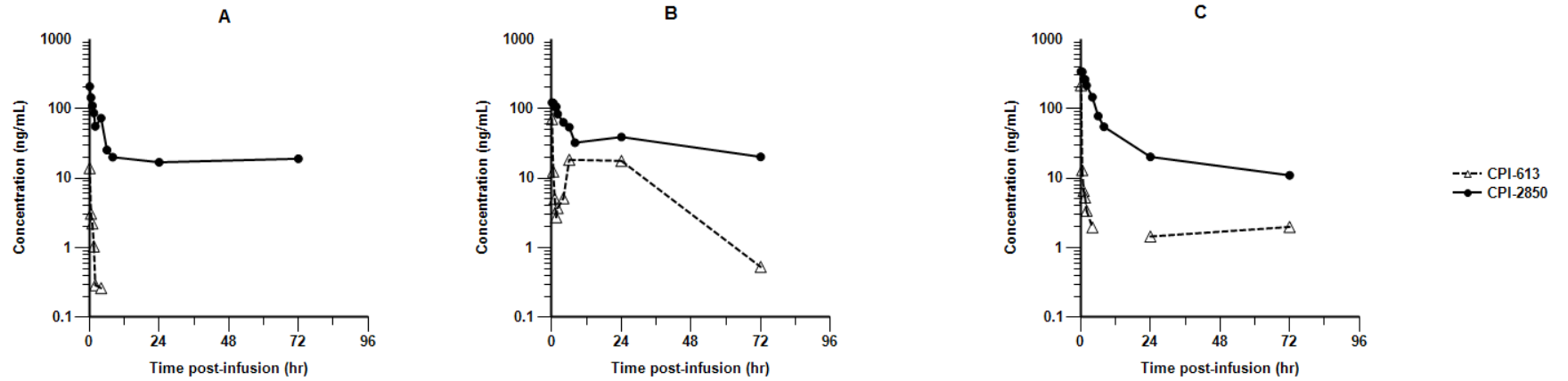
## Pharmacokinetics

CPI-613 (6,8-bis-benzylsulfanyloctanoic acid) is rapidly converted within minutes by beta-oxidation to the active metabolite (4,6-bis-benzylsulfanyloctanoic acid) (CPI-2850). In model systems, CPI-2850 is similar in potency to CPI-613. In study 57112, the pharmacokinetics of CPI-613 and the metabolite CPI-2850 were evaluated in 18 patients treated at 500 mg/m<sup>2</sup> and in 2 patients treated at 1000 mg/m<sup>2</sup>, following either a short (14-20 mins) or long IV infusion (2hrs). Plasma samples for PK analysis were collected pre-dosing with CPI-613 and at approximately 5, 30, 60, 90 mins, 2, 4, 6, 8, 24 and 72 hrs post infusion.

Non-compartmental PK data analysis (NCA) was conducted using a validated installation of WinNonLin v6.4 (Pharsight Corp, CA). The PK parameters of CPI-613 and CPI-2850, together with their summary statistics are presented in Table 1. The observed pharmacokinetics of CPI-613 are consistent with the results of a prior escalating dose Phase I study in patients with advanced hematologic malignancies.<sup>11</sup>

As shown in Figure 2, CPI-613 and CPI-2850 follow a bi-phasic disposition profile with the emergence of secondary peaks best seen in the levels of CPI-613 in graph B during the elimination phase, indicative of possible entero-hepatic recirculation. The only major metabolite identified in plasma was CPI-2850 and no glucuronide and sulfoxide metabolites were observed. The biotransformation of CPI-613 occurred rapidly following infusion, with CPI-2850 becoming the major circulating species in plasma over time.

Figure 2. Mean pharmacokinetic profile of CPI-613 and the active metabolite CPI-2850 in plasma following a single IV infusion (500-1000 mg/m<sup>2</sup>) in patients.



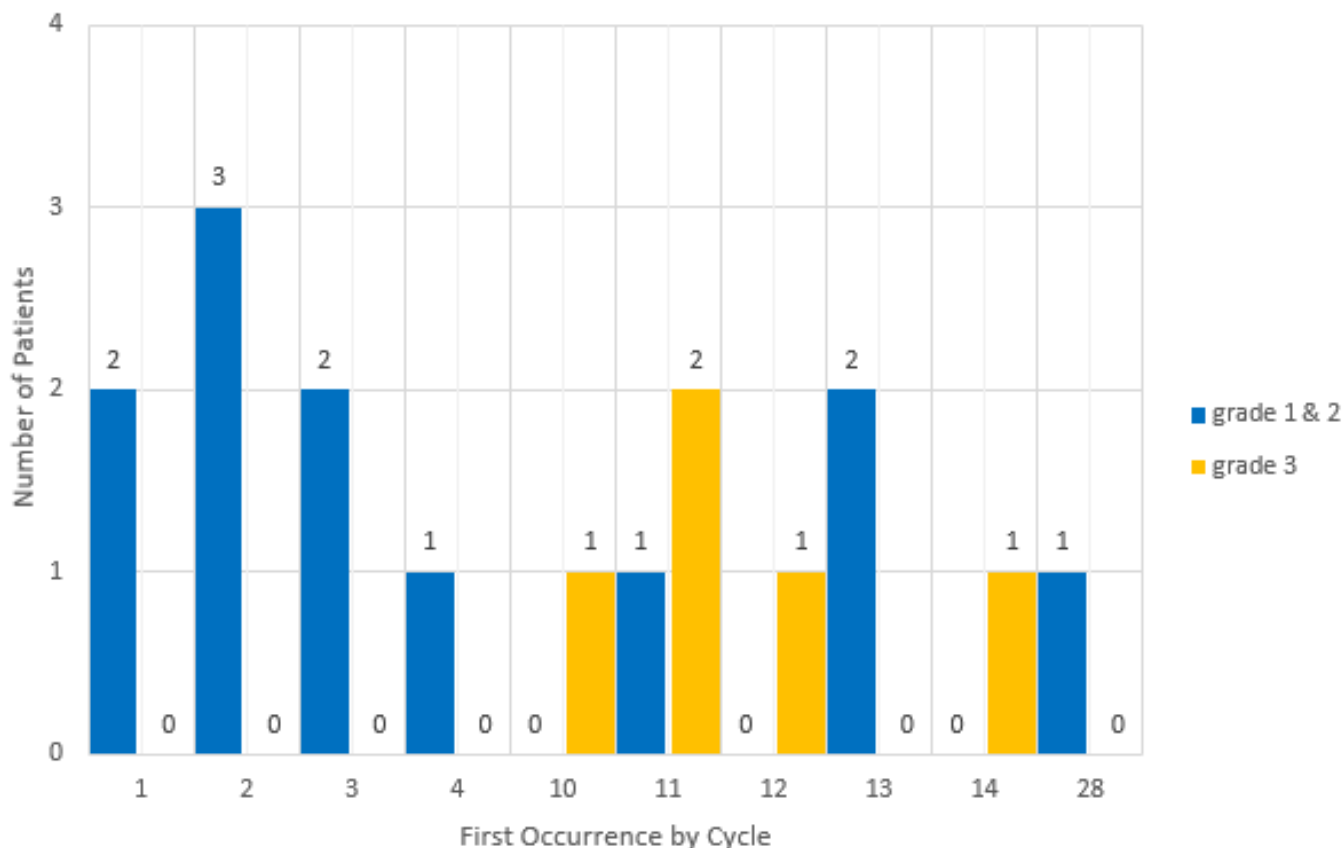
A. 500 mg/m<sup>2</sup> (Long Infusion; 2hrs N=6 patients); B. 500 mg/m<sup>2</sup> (Short Infusion; 14 to 20 mins N=12 patients); C. 1000 mg/m<sup>2</sup> (Long Infusion; 2hrs N=2 patients).

**Table 1. CPI-613 and CPI-2850 Pharmacokinetic Parameter Estimates in Plasma Following a Single IV Infusion (500-1000 mg/m<sup>2</sup>); Short Infusion (14 to 20 mins); Long Infusion (2 hrs)**

| CPI-613 Dosage<br>(mg/m <sup>2</sup> ) | Analyte  | Infusion Duration* | Statistics | T <sub>max</sub><br>(hr) | C <sub>max</sub><br>(ng/ml) | AUC <sub>last</sub><br>(hr*ng/ml) | t <sub>1/2</sub><br>(hr) |
|--|----------|--------------------|------------|--------------------------|-----------------------------|-----------------------------------|--------------------------|
| 500                                    | CPI-613  | Short              | N          | 12.00                    | 12.00                       | 12.00                             | 2.00                     |
|  |          |                    | Mean       | 4.86                     | 85.51                       | 654.26                            | 0.52                     |
|  |          |                    | CV%        | 189.57                   | 53.69                       | 215.85                            | 22.49                    |
|  |          |                    | Min        | 0.36                     | 5.97                        | 2.67                              | 0.44                     |
|  |          |                    | Median     | 0.41                     | 82.66                       | 70.90                             | 0.52                     |
|  |          |                    | Max        | 24.30                    | 162.47                      | 4831.97                           | 0.61                     |
| 500                                    | CPI-613  | Long               | N          | 6.00                     | 6.00                        | 6.00                              | 3.00                     |
|  |          |                    | Mean       | 2.08                     | 13.90                       | 20.60                             | 9.74                     |
|  |          |                    | CV%        | 0.00                     | 107.10                      | 102.15                            | 150.26                   |
|  |          |                    | Min        | 2.08                     | 4.80                        | 7.46                              | 0.60                     |
|  |          |                    | Median     | 2.08                     | 6.92                        | 11.33                             | 2.00                     |
|  |          |                    | Max        | 2.08                     | 43.46                       | 62.46                             | 26.61                    |
| 500                                    | CPI-2850 | Short              | N          | 12.00                    | 12.00                       | 12.00                             | 10.00                    |
|  |          |                    | Mean       | 1.73                     | 160.20                      | 2710.91                           | 50.54                    |
|  |          |                    | CV%        | 103.72                   | 42.59                       | 95.21                             | 65.86                    |
|  |          |                    | Min        | 0.36                     | 60.46                       | 469.61                            | 6.96                     |
|  |          |                    | Median     | 0.83                     | 166.36                      | 2104.76                           | 44.96                    |
|  |          |                    | Max        | 6.30                     | 267.43                      | 10406.11                          | 117.26                   |
| 500                                    | CPI-2850 | Long               | N          | 6.00                     | 6.00                        | 6.00                              | 5.00                     |
|  |          |                    | Mean       | 2.73                     | 230.81                      | 2105.89                           | 78.23                    |
|  |          |                    | CV%        | 58.55                    | 38.49                       | 30.62                             | 73.01                    |
|  |          |                    | Min        | 2.08                     | 154.11                      | 1621.91                           | 16.29                    |
|  |          |                    | Median     | 2.08                     | 215.14                      | 1957.53                           | 53.71                    |
|  |          |                    | Max        | 6.00                     | 400.71                      | 3367.96                           | 160.20                   |

| <b>CPI-613 Dosage<br/>(mg/m<sup>2</sup>)</b> | <b>Analyte</b> | <b>Infusion Duration*</b> | <b>Statistics</b> | <b>T<sub>max</sub><br/>(hr)</b> | <b>C<sub>max</sub><br/>(ng/ml)</b> | <b>AUC<sub>last</sub><br/>(hr*ng/ml)</b> | <b>t<sub>1/2</sub><br/>(hr)</b> |
|--|----------------|---------------------------|-------------------|---------------------------------|------------------------------------|--|---------------------------------|
| 1000   | CPI-613        | Long                      | N                 | 2.00                            | 2.00                               | 2.00                                     | 2.00                            |
|  |                |                           | Mean              | 2.08                            | 215.27                             | 353.56                                   | 34.18                           |
|  |                |                           | CV%               | 0.00                            | 125.49                             | 109.18                                   | 6.81                            |
|  |                |                           | Min               | 2.08                            | 24.25                              | 80.61                                    | 32.53                           |
|  |                |                           | Median            | 2.08                            | 215.27                             | 353.56                                   | 34.18                           |
|  |                |                           | Max               | 2.08                            | 406.30                             | 626.51                                   | 35.82                           |
| 1000   | CPI-2850       | Long                      | N                 | 2.00                            | 2.00                               | 2.00                                     | 2.00                            |
|  |                |                           | Mean              | 2.29                            | 373.35                             | 3009.31                                  | 16.71                           |
|  |                |                           | CV%               | 12.97                           | 34.95                              | 29.69                                    | 82.75                           |
|  |                |                           | Min               | 2.08                            | 281.07                             | 2377.46                                  | 6.93                            |
|  |                |                           | Median            | 2.29                            | 373.35                             | 3009.31                                  | 16.71                           |
|  |                |                           | Max               | 2.50                            | 465.63                             | 3641.16                                  | 26.48                           |

**Figure 3. Incidence of neuropathy**



**Whole Exome Genomic DNA Sequencing of the Three CPI-613 Responders**

In order to gain insight into the genetic features associated with the complete responses to the combined treatment by CPI-361 and FOLFIRINOX, tumor tissues and matching peripheral blood samples from three available exceptional responsive patients, CPI-ER1, CPI-ER2, and CPI-ER3, were obtained from Wake Forest Baptist Comprehensive Cancer Center Tumor Tissue Bank. Genomic DNAs were isolated and subjected to whole exome DNA sequencing (75x75 bp paired ends) on an Illumina NextSeq 500 using the Illumina TruSeq Rapid Exome Library prep kit. Tumor DNA samples were sequenced to an average depth of 300X and the matching normal DNA samples were sequenced to an average of 50X.

The raw fastq files were generated by four different lanes using the illumina TruSeq Rapid Exome Library. For each fastq file, it was aligned to reference (hg19) by BWA mem,<sup>12</sup> with version bwa-0.7.12. The preprocessing for somatic mutation calling includes duplicate mark by Picard (<https://broadinstitute.github.io/picard/>), indel realignment by GATK 3.6 (<https://software.broadinstitute.org/gatk/>), and base recalibration by GATK 3.6. This preprocessing was implemented for each sam output from BWA. For each sample, the four aligned bam files were merged as the final bam by Samtools 1.3.1,<sup>13</sup> after adding read group (RG) information into each aligned bam file during preprocessing. The somatic mutation calling was implemented by MuTect1-1.1.4,<sup>14</sup> VarScan2 -2.3.9,<sup>15</sup> and Somatic-SNIPER.<sup>16</sup> The somatic mutation loci and genes for each sample were combined from the outputs of the three pipelines for somatic mutation calling. To specify the tumor purity for the VarScan2, we utilized AbsCN-seq software.<sup>17</sup> The estimated tumor purities were compared with those from pathologists (**Table 2**).

**Table 2. Tumor purities for tumor samples of CPI-613 responders**

| Sample  | AbsCN-seq     | Pathologist |
|---------|---------------|-------------|
| CPI-ER1 | 0.36* or 0.38 | ~40%        |
| CPI-ER2 | 0.41* or 0.46 | ~30%        |
| CPI-ERS | 0.46 or 0.56  | ~30%*       |

\*The value was selected for tumor-purity parameter of VarScan2

Using the above three pipelines for somatic mutation calling, we identified 219, 1,999, and 135 somatically mutated genes in samples of CPI-ER1, CPI-ER2, and CPI-ER3, respectively. The mutation rates were comparable with those reported PDAC cohorts (**Table 3**). Mutations in *KRAS*, *TP53*, and *SMAD4* genes are known to be commonly mutated in PDACs in most published cohorts including TCGA,<sup>18</sup> ICGC,<sup>19</sup> paad\_uts\_w\_2015,<sup>20</sup> and paad\_qcmg\_uq\_2016.<sup>21</sup> In our three responders, *KRAS* mutations were found in all three cases and *TP53* mutations were found in two cases. Interestingly, *SMAD4* mutation was not found in any of the three responders. In addition, our analysis revealed frequent mutations in the members of mucin gene family including *MUC4*, *MUC12*, *MUC17*, *MUC20*, *MUC22*, and *MUC5B* (**Tables 4**). Whereas mucin genes have been found to be mutated in PDACs, the frequencies are much lower than what we found in the three responders to CPI-613 and FOLFIRINOX (**Table 4**). *SMAD3/SMAD4* has been shown to act as a transcriptional factor for *MUC4*, which is regulated by TGF $\beta$  signaling. Therefore, *MUC* gene mutations may represent a common defect in the TGF $\beta$  pathway in a subset of PDACs and this alteration may result in a vulnerability to the combination treatment targeting genome and mitochondria-associated metabolism. Consistent with this hypothesis, some *MUC* family members have been shown to locate to the mitochondria<sup>22</sup> and be regulated by hypoxia.<sup>23</sup> Future functional studies are needed to shed light on this potentially important mechanism of targeted therapeutics for PDACs.

**Table 3. Statistics of somatic mutated loci and genes from MuTect1, VarScan2, and Somatic-Sniper**

| Callers             | CPI-613 responders |               |              |
|---------------------|--------------------|---------------|--------------|
|                     | CPI-ER1            | CPI-ER2       | CPI-ER3      |
| MuTect1             | 1,906              | 9,099         | 1,491        |
| VarScan2 (indels)   | 214                | 422           | 194          |
| VarScan2 (SNPs)     | 2,310              | 4,144         | 1,555        |
| Somatic-SNIPER      | 910                | 732           | 851          |
| <b>Total loci #</b> | <b>4,481</b>       | <b>13,220</b> | <b>3,275</b> |
| <b>Genes #</b>      | <b>219</b>         | <b>1,999</b>  | <b>135</b>   |

**Table 4. Mutation genes with their distributions in public cohorts and CPI-613 responders**

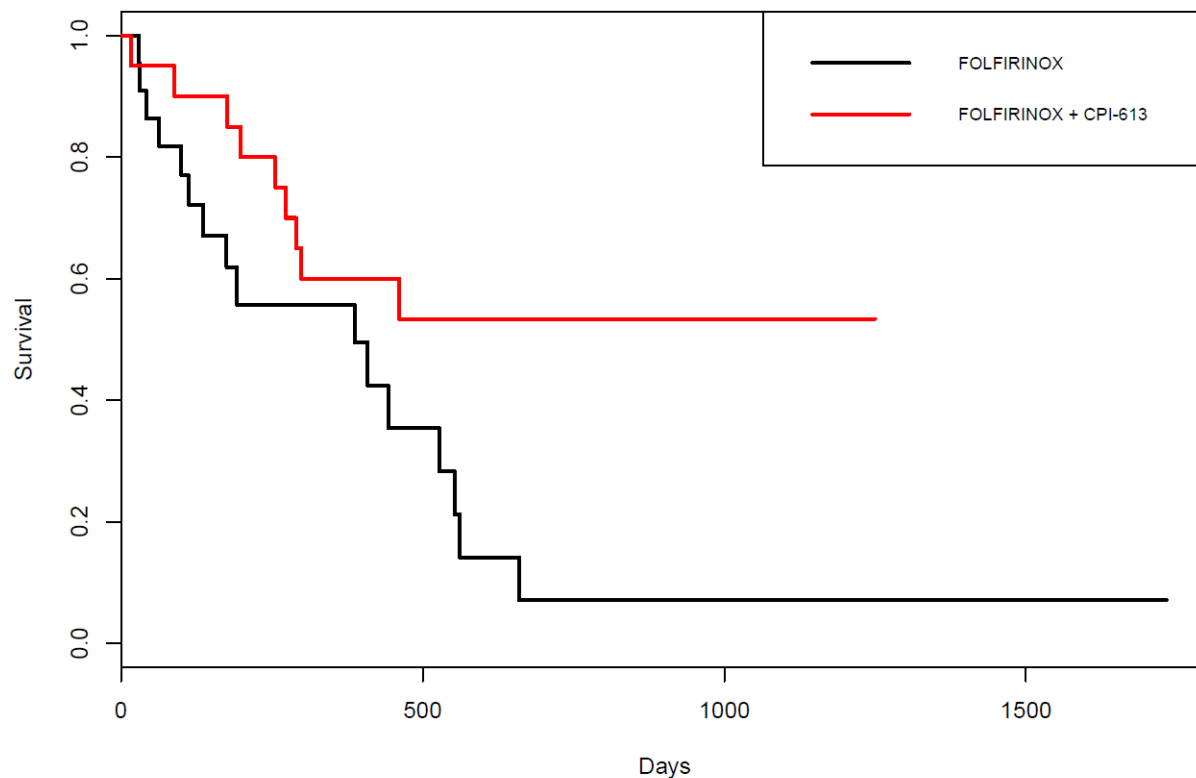
| Mutated genes | Our Samples |         |         | Public Cohorts*               |                             |                       |                      |
|---------------|-------------|---------|---------|-------------------------------|-----------------------------|-----------------------|----------------------|
|               | CPI-ER1     | CPI-ER2 | CPI-ER3 | paad_qcmg_uq_2016 383 samples | paad_uts_w_2015 109 samples | paad_tcga 186 samples | paad_icgc 99 samples |
| <i>KRAS</i>   | X           | X       | X       | 90%                           | 92%                         | 91%                   | 95%                  |
| <i>TP53</i>   | X           | X       |         | 66%                           | 50%                         | 69%                   | 33%                  |
| <i>SMAD4</i>  |             |         |         | 22%                           | 19%                         | 25%                   | 16%                  |
| <i>MUC17</i>  | X           | X       | X       | 1.60%                         | 6%                          | 4%                    | 1%                   |
| <i>MUC4</i>   |             | X       |         | 4%                            | 6%                          | 2%                    | 2%                   |
| <i>MUC12</i>  | X           | X       |         | 0.80%                         | 0%                          | 0%                    | 0%                   |
| <i>MUC20</i>  | X           |         |         | 0%                            | 1.80%                       | 0%                    | 0%                   |
| <i>MUC22</i>  | X           | X       |         | 0.80%                         | 0%                          | 0%                    | 0%                   |

\*Source data were downloaded from cBioPortal and the mutations are validated.

### Comparison with FOLFIRINOX alone at our institution

We sought and obtained IRB exemption to perform the search. We queried the hospital Electronic Medical Record Epic's Clarity Relational Database for primary diagnosis codes of malignant neoplasm of pancreas (ICD-10 C25 and ICD-9 157) between January 1, 2012 and December 1, 2016. There were 1145 patients matching the criteria. Then, we queried Epic to identify how many of those 1145 patients received FLUOROURACIL and the result was 90 patients. Next, we excluded 25 patients who received CPI-613 for this study (CCWFU 57112) or for compassionate use. For the remaining 65 patients, Irinotecan and Oxaliplatin administration dates and doses, vitals, last contact, race, and ethnicity were retrieved from Epic. The Cancer Registry has been utilized for staging and previous treatments for those patients including surgery, radiation therapy etc. In order to validate and complete the data that had been extracted from Epic and the Cancer Registry, we performed a chart review of 65 patients and excluded patients who would not have met criteria such as non-stage IV, neoadjuvant therapy etc. 6 patients were excluded due to pancreatic adenocarcinoma not being the primary diagnosis. 29 patients were not diagnosed with stage IV disease at presentation. 8 patients were excluded because they were not treated with FOLFIRINOX in the front line setting. 22 patients have been identified and validated with stage IV pancreatic adenocarcinoma at diagnosis and who were treated with FOLFIRINOX in the first line setting. In addition, we documented their ECOG performance status at diagnosis, date of diagnosis, and treatment response. Each patient's chart was independently reviewed by two different physicians to ensure the accuracy of the reported findings (Figure 4).

**Figure 4. Kaplan-Meier estimates of patients treated with FOLFIRINOX-CPI-613 combination and FOLFIRINOX alone during the same time period at the study's institution**





**Table 5. Summary of adverse events<sup>1</sup> by event over the course of 233 cycles (patients can have multiple toxicities), n = 18 participants**

| Toxicity   | Grades 1-2 <sup>2</sup> | Grade 3 | Grade 4 |
|--|-------------------------|---------|---------|
| Anemia   | 208                     | 5       | 0       |
| Hyperglycemia  | 155                     | 39      | 1       |
| Platelet count decreased                                     | 179                     | 3       | 0       |
| Peripheral sensory neuropathy                                | 155                     | 8       | 0       |
| Alkaline phosphatase increased                               | 162                     | 0       | 0       |
| Fatigue  | 93                      | 4       | 0       |
| Hypoalbuminemia  | 82                      | 0       | 1       |
| Hypomagnesemia   | 78                      | 0       | 0       |
| Diarrhea   | 69                      | 7       | 0       |
| Lymphocyte count decreased                                   | 53                      | 16      | 0       |
| Pain   | 59                      | 0       | 0       |
| Aspartate aminotransferase increased                         | 57                      | 0       | 0       |
| Nausea   | 52                      | 2       | 0       |
| Hyponatremia   | 47                      | 3       | 0       |
| Hypokalemia  | 31                      | 9       | 1       |
| Alanine aminotransferase increased                           | 37                      | 0       | 0       |
| Anxiety  | 37                      | 0       | 0       |
| Anorexia   | 32                      | 2       | 0       |
| Weight loss  | 30                      | 1       | 0       |
| White blood cell decreased                                   | 27                      | 0       | 0       |
| Hypertension   | 24                      | 1       | 0       |
| Abdominal pain   | 20                      | 4       | 0       |
| Dysgeusia  | 24                      | 0       | 0       |
| Constipation   | 22                      | 0       | 0       |
| Edema limbs  | 22                      | 0       | 0       |
| Gastrointestinal disorders - Other                           | 19                      | 0       | 0       |
| Chronic kidney disease                                       | 18                      | 0       | 0       |
| Hypocalcemia   | 18                      | 0       | 0       |
| Depression   | 15                      | 2       | 0       |
| Vomiting   | 11                      | 3       | 0       |
| Cough  | 13                      | 0       | 0       |
| Insomnia   | 12                      | 0       | 0       |
| Skin and subcutaneous tissue disorders - Other               | 12                      | 0       | 0       |
| Dizziness  | 10                      | 0       | 0       |
| General disorders and administration site conditions - Other | 8                       | 1       | 0       |
| Hypophosphatemia   | 5                       | 4       | 0       |
| Neutrophil count decreased                                   | 4                       | 4       | 1       |

| Toxicity  | Grades 1-2 <sup>2</sup> | Grade 3 | Grade 4 |
|---|-------------------------|---------|---------|
| Back pain                                       | 7                       | 1       | 0       |
| Dehydration                                     | 5                       | 3       | 0       |
| Dyspnea   | 7                       | 1       | 0       |
| Thromboembolic event                            | 4                       | 3       | 0       |
| Chills  | 6                       | 0       | 0       |
| Sinus tachycardia                               | 6                       | 0       | 0       |
| Urinary tract infection                         | 6                       | 0       | 0       |
| Ventricular arrhythmia                          | 5                       | 1       | 0       |
| Cardiac disorders - Other                       | 3                       | 2       | 0       |
| Fever   | 4                       | 1       | 0       |
| Hypoglycemia                                    | 5                       | 0       | 0       |
| Pleural effusion                                | 5                       | 0       | 0       |
| Activated partial thromboplastin time prolonged | 4                       | 0       | 0       |
| GGT increased                                   | 3                       | 1       | 0       |
| Gastroesophageal reflux disease                 | 4                       | 0       | 0       |
| Generalized muscle weakness                     | 4                       | 0       | 0       |
| Leukocytosis                                    | 0                       | 4       | 0       |
| Malabsorption                                   | 4                       | 0       | 0       |
| Myalgia   | 4                       | 0       | 0       |
| Rash maculo-papular                             | 4                       | 0       | 0       |
| Urinary frequency                               | 4                       | 0       | 0       |
| Weight gain                                     | 4                       | 0       | 0       |
| Ascites   | 3                       | 0       | 0       |
| Atelectasis                                     | 3                       | 0       | 0       |
| Blood bilirubin increased                       | 3                       | 0       | 0       |
| Flatulence                                      | 3                       | 0       | 0       |
| Headache  | 3                       | 0       | 0       |
| Mucositis oral                                  | 3                       | 0       | 0       |
| Non-cardiac chest pain                          | 3                       | 0       | 0       |
| Pericardial effusion                            | 3                       | 0       | 0       |
| Proteinuria                                     | 3                       | 0       | 0       |
| Bloating  | 2                       | 0       | 0       |
| Blurred vision                                  | 2                       | 0       | 0       |
| Bruising  | 2                       | 0       | 0       |
| Creatinine increased                            | 2                       | 0       | 0       |
| Dysphagia                                       | 1                       | 1       | 0       |
| Edema face                                      | 2                       | 0       | 0       |
| Fall  | 2                       | 0       | 0       |
| Flashing lights                                 | 2                       | 0       | 0       |

| Toxicity  | Grades 1-2 <sup>2</sup> | Grade 3 | Grade 4 |
|---|-------------------------|---------|---------|
| Flushing  | 2                       | 0       | 0       |
| Hyperhidrosis   | 2                       | 0       | 0       |
| Hypernatremia   | 2                       | 0       | 0       |
| Hypertriglyceridemia                                    | 2                       | 0       | 0       |
| Hypoxia   | 1                       | 1       | 0       |
| Nasal congestion  | 2                       | 0       | 0       |
| Palpitations  | 2                       | 0       | 0       |
| Papulopustular rash                                     | 2                       | 0       | 0       |
| Productive cough  | 2                       | 0       | 0       |
| Psychiatric disorders - Other                           | 2                       | 0       | 0       |
| Renal hemorrhage  | 2                       | 0       | 0       |
| Respiratory, thoracic and mediastinal disorders - Other | 2                       | 0       | 0       |
| Urinary tract pain                                      | 2                       | 0       | 0       |
| Vascular disorders - Other                              | 2                       | 0       | 0       |
| Catheter related infection                              | 0                       | 1       | 0       |
| Enterocolitis infectious                                | 0                       | 1       | 0       |
| Esophageal infection                                    | 0                       | 1       | 0       |
| Glucose intolerance                                     | 0                       | 1       | 0       |
| Infections and infestations - Other                     | 0                       | 1       | 0       |
| Lung infection  | 0                       | 1       | 0       |
| Pancreatitis  | 0                       | 1       | 0       |
| Sepsis  | 0                       | 0       | 1       |

<sup>1</sup>There were no grade 5 toxicities

<sup>2</sup>For incidence greater than 10%

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