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Needs and rights awareness of stroke survivors and caregivers: a survey in China

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ABSTRACT

Objectives: The needs and rights awareness of stroke survivors have not been reported in China. This study investigated the needs and rights awareness in current Chinese stroke survivors and caregivers.

Setting: A survey launched by the World Stroke Organization (WSO) was conducted in Tianjin China. The questionnaire included demands of psychological support, treatment and care, social support, and information. Stroke survivors and their caregivers were interviewed face to face for the questionnaire. From June 2014 to February 2015, stroke survivors were invited to participate if they were over 18 years old, had suffered a stroke. Exclusion criteria were patients who had disorders of consciousness, significant cognitive impairment, aphasia, communication difficulties, or psychiatric disorders. Only caregivers who were family members of the patients were chosen. Paid caregivers were excluded.

Participants: 248 stroke survivors and 212 caregivers were enrolled.

Primary outcome measures: The correlations between levels of needs and potential effect factors were analyzed. Levels of different needs were compared by age, gender, and time since stroke.

Results: 248 stroke survivors and 212 caregivers completed the survey. 95.6% stroke survivors and 92.5% caregivers approved of each question listed in the questionnaire. The participants prioritized the needs for psychology support (99.4%), treatment and care(98.6%), social support (98%) and information (96.2%). The total score was negatively correlated with age ($r=-0.255$, $P<0.01$). The patients under 65 years old had higher scores than the elderly (65 years or older) ($P<0.01$), while the male patients also got higher scores than the female

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4 patients ($P<0.01$).

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6 **Conclusions:** The demands of psychological and emotional support, individual treatment,
7
8 social support and information were eagerly reported by most stroke survivors. The Bill of
9
10 Rights is also needed to be recognized by the society in China, providing an appropriate
11
12 stroke care to every patient for optimizing stroke outcomes.
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14

15 16 **Strengths and limitations of this study**

- 17
18 ■ Stroke survivors and caregivers do not have their own bill of rights when this study was
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20 performed, and their needs and rights awareness have not been reported in China.
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- 23
24 ■ The demands of psychological and emotional support, individual treatment, social
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26 support and information were eagerly reported by most stroke survivors.
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- 29
30 ■ The stroke survivors in China had strongest demand for emotional and psychological
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32 support, which should be recognized.
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- 34
35 ■ Limitations include potential bias due to severe language or cognitive impairment
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37 patients excluded. And the number of participants was limited. Further multiple central
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39 and large sample research studies may be needed in the future.
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43 44 **INTRODUCTION**

45
46 Stroke is a major cause of death and disability worldwide. The burden of stroke is
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48 particularly serious in Asia[1], and its mortality is higher than that in Europe or North
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50 America[2]. In China, it is the leading cause of death and disability[3]. Stroke survivors cope
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52 with significant physical, cognitive, and emotional deficits, and 25% to 74% of these
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54 survivors require some assistance or are fully dependent on caregivers for activities of daily
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4 living[4]. Although much has been done to control the disease, the stroke survivors' needs
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6 and rights have not received adequate attention. Bills of patient rights for several diseases
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8 have been developed to achieve higher degrees of patient satisfaction, such as for cancer[5]
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10 and Chronic Obstructive Pulmonary Disease (COPD)[6]. Stroke survivors didn't have their
11
12 own bill of rights, until the World Stroke Organization (WSO) have realized this urgent need
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14 and framed a global bill of rights for stroke patients[7]. WSO have numerous strategies in
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16 increasing stroke awareness, influencing policies for stroke prevention and improved health
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18 services, providing education and fostering the development of systems and organizations for
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20 the long-term support of stroke survivors and their families[8]. To determine what stroke
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22 survivors and caregivers require, the WSO has launched an online survey.
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29 This survey was used to investigate the needs and rights awareness in Chinese stroke
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31 survivors and caregivers. This study was aimed to provide a reference for the improvement of
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33 stroke-related laws and bills, which could provide the stroke survivor with physical, mental
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35 and emotional support.
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38 ***METHODS***

39 ***Study population***

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42 This study was approved by our local Ethics Committee in the Second Hospital of
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44 Tianjin Medical University. All the patients and caregivers gave informed consent.
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49 From June 2014 to February 2015, 248 stroke survivors and 212 caregivers at the
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51 Department of Neurology of the Second Hospital of Tianjin Medical University were enrolled.
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53 Stroke survivors were invited to participate if they were over 18 years old, had suffered a
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55 stroke, and agreed to participate in the study. Exclusion criteria were patients who had
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3 disorders of consciousness, significant cognitive impairment, aphasia, communication
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6 difficulties, or psychiatric disorders.
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9 Caregivers who had been taking care of the stroke patients met the above criteria were
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11 recruited. Only caregivers who were family members of the patients were chosen. Paid
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13 caregivers were excluded.
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15 16 *Development of the survey*

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18 The questionnaire was adapted from one designed by the WSO. Stroke survivors and
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20 caregivers were interviewed face to face by well-trained neurologists who were not the
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22 patients' treating doctors.
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26 The final questionnaire included 17 questions covering: age, gender, level of education,
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28 time since the first stroke, demands of treatment and information about stroke, psychological
29
30 and social support. Fourteen of the questions had five choices for each question. The five
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32 choices were strongly agree, agree, neutral, disagree and strongly disagree. The purpose of
33
34 the survey and the procedure was explained fully to all participants.
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39 The questionnaire translated to Chinese was tested again for the reliability in our
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41 population. The scales reliability of the stroke survivors' questionnaire was assessed with a
42
43 total Cronbach's α of 0.910, corrected by inter-item correlation above 0.70. The scales
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45 reliability of the stroke caregivers' questionnaire was assessed with a total Cronbach's α of
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47 0.817, corrected by inter-item correlation f above 0.70. The Cronbach's α values were good
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49 for all scales for the study.
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52 53 *Statistical Analysis*

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56 Frequencies and proportions were used to summarize levels of answers. The Spearman
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Rank Relational Coefficient was used to analyze the correlations between levels of needs and potential effect factors. Levels of different needs were compared by age, gender, and time since stroke. Comparisons between groups were made using the Mann–Whitney *U* test. Statistical tests were performed at the 95% confidence level.

RESULTS

Study population

The descriptive characteristics of stroke survivors and caregivers were summarized in Table 1.

248 stroke survivors included 123 women and 125 men between the ages of 33 and 92 with a mean age of 69.5. 170 (68.5%) patients had experienced their first stroke within one year. 115(46.4%) patients had been educated for more than 9 years.

212 caregivers included 117 women and 95 men between the ages of 20 and 88 with a mean age of 52.6. Stroke survivors they cared for were aged between 45 and 92 years with a mean age of 72.2. The duration of care for 132 (62.3%) of the patients was less than one year. 141(66.5%) caregivers had been educated for more than 9 years.

Table 1 Characteristic of stroke survivors and caregivers

	Stroke Survivors	Stroke Caregivers
	N(%)	N(%)
Total	248	212
Age		
<45	3(1.2%)	49(23.1%)
45-54	29(11.7%)	69(32.5%)
55-64	54(21.8%)	65(30.7%)

	65-74	63(25.4%)	21(9.9%)
	75-84	73(29.4%)	5(2.4%)
	≥85	26(10.5%)	3(1.4%)
Gender			
	Male	125(50.4%)	95(44.8%)
	Female	123(49.6%)	117 (55.2%)
Time since stroke			
	<1y	170(68.5%)	132 (62.3%)
	1-3y	41(16.5%)	37(17.5%)
	4-7y	13(5.2%)	22(10.4%)
	8-10y	6(2.4%)	8(3.8%)
	>10y	18(7.3%)	13(6.1%)
Education			
	<3y	19(7.7%)	2(0.9%)
	3-6y	44(17.7%)	13(6.1%)
	6-9y	70(28.2%)	56(26.4%)
	9-12y	88(35.5%)	93(43.9%)
	>12y	27(10.9%)	48(22.6%)

95.6% of the stroke survivors approved of each question listed in the questionnaire. The right to receive treatment by a specialized team at all the stages of the disease, as well as psychology support was mostly favored by the stroke survivors (99.6%). The next most common demand was the right to receive treatment as an individual, taking into consideration their age, gender, culture and changing needs over time (99.2%).

The total score was negatively correlated with age ($r=-0.255$, $P<0.01$) and gender ($r=-0.14$, $P=0.027$). Weighing the total score of all questions, the patients under 65 years old scored higher than the older (65 years or older) ($P<0.001$), while male patients got higher scores than female patients ($P=0.027$). There were no differences in the total scores

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4 based on level of education ($P=0.434$) and time since first stroke ($P=0.588$).
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6 All the needs in the questionnaire were divided into needs for psychological support,
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8 treatment and care, social support and information. The results of the survey showed the
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10 participants prioritized the needs for psychology supports (99.4%), treatment and
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12 care(98.6%), social support (98%) and information (96.2%).
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15 ***Psychological support***

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18 More than 99% of stroke survivors reported the need for psychological and emotional
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20 support. Scores for physiological needs were higher in patients under 65 years old ($P<0.001$),
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22 as well as in male stroke survivors ($P=0.004$). There was no difference in the request for
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24 psychological needs based on the level of education ($P=0.420$) or time since first stroke
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26 ($P=0.466$).
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30 ***Needs for treatment and care***

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33 Over 98% of stroke survivors reported the needs for diagnosis, treatment, care and
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35 rehabilitation. 99.6% of patients prioritized the need for treatment by a specialized team at all
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37 stages of their journey (in hospital and during rehabilitation). The next most common request
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39 of stroke survivors, at 98.8%, was the need of individual treatment based on their age, gender,
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41 culture, goals and changing needs over time. Patients under 65 years old had greater needs
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43 related to stroke treatment and care than those 65 years or older ($P=0.002$). Male patients had
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45 higher scores than female patients ($P=0.041$). There was no difference between needs related
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47 to treatment and education ($P=0.408$) or time since first stroke ($P=0.474$).
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53 ***Social support***

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56 98% of stroke survivors had the will to connect with other stroke survivors to gain and
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4 provide support in recovery from stroke. Although stroke survivors suffered from different
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6 disabilities, 97.6% of them still want to participant in all aspects of society. Financial or other
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8 forms of social support for longer-term care was also demanded by 97.6% stroke survivors.
9
10 The scores of needs related to social participation and support in stroke survivors under 65
11
12 were higher than those of 65years or older ($P<0.001$). The male patients got higher scores
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14 than female patients ($P=0.045$). There was no difference between social needs and education
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16 ($P=0.963$) or time since first stroke($P=0.652$).
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20 21 ***Information needs*** 22

23
24 97.6% of stroke survivors reported wanting information about what had happened and
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26 living with stroke, while 94.8% of stroke survivors reported wanting information about the
27
28 signs of stroke. As with the other needs, information needs in patients under 65 were greater
29
30 than those 65 years or older ($P<0.001$). Unlike other needs, the desire to be fully informed
31
32 about what has happened and about living with stroke was positively correlated with
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34 education level ($P=0.04$). There was no difference between information needs and gender
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36 ($P=0.311$) or time since first stroke ($P=0.348$).
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40 41 ***Caregivers' opinion*** 42

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44 92.5% of caregivers approved of each question listed in the questionnaire. Timely
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46 diagnosis and treatment appeared to be uppermost in caregivers' minds (99.1%). They also
47
48 cared about financial support to aid in the patient's recovery (98.6%). The total score of
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50 caregivers was negatively correlated with the age of the caregivers ($r=-0.197$, $P=0.004$),
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52 while positively correlated with levels of education ($r=2.259$, $P<0.001$). No correlation was
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54 found between the scores and gender or time that the patients they cared for experienced their
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4 first stroke ($P>0.05$).
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6 ***DISCUSSION*** 7

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9 In this study, 95.6% stroke survivors and 92.5% of caregivers approved of each question,
10 which showed that most patients were eager for a formal declaration of their rights. The
11 participants prioritized the needs for psychology support (99.4%), treatment and care (98.6%),
12 social support (98%) and information (96.2%). The Global Stroke Bill of Rights developed
13 by the World Stroke Organization, which sets out the right of each stroke survivor to: receive
14 the best stroke care, be informed and prepared, be supported in their recovery[9].
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24 ***The factors influencing the awareness of needs and rights*** 25

26 This survey showed that patients under 65 years old had more needs than those 65 years
27 or older. The needs and awareness of rights were negatively correlated with the age of the
28 patients. Because of different social and domestic duties between the younger and the older,
29 the younger yearned for a higher quality of life after stroke. They seemed keener on requiring
30 knowledge of stroke, appropriate therapies, as well as emotional and social support. In China,
31 the older population's beliefs were more likely to follow traditional Chinese ideas and not
32 demand much, while the younger population had a stronger awareness of their rights. This
33 result did not match the findings of similar survey conducted in the UK[10], which had
34 reported that there was no relationship between needs and age. In our survey, this cultural
35 difference between the generations might be the cause of the different results. Patients over
36 65 age constitute 47.5% of the subjects in the British survey, while in this survey, 65.3% of
37 the patients were above 65 years old. Also unlike other surveys, this survey found that male
38 patients had a stronger demand and were more conscious of their rights. This phenomenon
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4 could be due to the traditional thought of "male superiority to female" in Chinese tradition
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6 society.
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9 Our study is consistent with the UK study[10], where no significant correlation was
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11 found between the total scores and levels of education. There was also no correlation between
12
13 the needs and the time since the first stroke. Similarly, Walsh et al[11] found no significant
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15 difference in time since stroke between those who reported multiple unmet needs and those
16
17 who reported one or no unmet needs.
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20 21 *Psychological support* 22

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24 As is shown in our results, the questions related to psychological needs got the highest
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26 score and the highest support rate, suggesting that the stroke survivors in China had strongest
27
28 demand for emotional and psychological support. A sudden attack and poor prognosis had an
29
30 appreciable effect on the psychology of stroke survivors. Some surveys have demonstrated
31
32 that emotional problems among stroke survivors would be prejudicial to the treatment and
33
34 rehabilitation after stroke[12-14]. As a doctor, we usually pay more attention to the therapy of
35
36 disease rather than the emotional needs of the patients. A national survey carried out in
37
38 Ireland showed that 77% of respondents suffered from emotional problems after stroke, while
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40 only 10% of the respondents had received community psychological service[11]. Since
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42 emotional and psychological needs are liable to be neglected, post-stroke depression was a
43
44 common complication after stroke, which seriously impairs quality of life[15 16].
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46 Psychological support has been gradually recognized, and recommended in the newest
47
48 version of the Chinese Stroke Guidelines in particular. The results in this study remind us that
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50 psychological needs of stroke survivors shouldn't be ignored, and we should provide more
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4 psychological and emotional support to our patients, which is essential for their recovery and
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6 rehabilitation.
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8 *Needs for treatment and care*

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11 The needs for diagnosis, treatment, care and rehabilitation were second only to the
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13 need for psychological support. Patients were anxious for individualized treatment by a
14
15 specialized team, taking into consideration their age, gender, culture, goals and changing
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17 needs over time. The strategies should vary depending on the cause, pathophysiological
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19 mechanisms, severity and prognosis to reduce the risk of a recurrent event[17]. Patient's
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21 individual risk should be assessed, such as those with atrial fibrillation, then antithrombotic
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23 therapy oral anticoagulation may be needed for effective stroke prevention[18]. Most stroke
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25 survivors suffer from different residual deficits; individualized and optimized care is also
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27 needed during inpatient and in chronic care and end-of-life settings[4]. As stroke is an
28
29 emergency and disastrous disease, a timely and appropriate diagnosis and therapy made by
30
31 specialized neurologists is vital to the survival and recovery of the patients. A well-organized
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33 continuing medical education system covering stroke for community doctors and
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35 non-neurologists should be established in China[19], as well as a stroke services system in
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37 accordance with World Stroke Organization Global Stroke Services Guidelines and Action
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39 Plan[20] which remind us stroke awareness, education, prevention, and treatment should
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41 always be feasible.
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50 *Social support*

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53 In this research, the stroke survivors exhibited their desire to return to the full range of
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55 activities and roles they had before their stroke, playing an active role in their own lives and
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4 in their community. But in fact there is often a gap between their aspiration and what they
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6 actually experience. A cross sectional study showed that stroke survivors had more
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8 participation restrictions[21]. Physical/structural and services/assistance barriers were
9
10 considered the dominant barriers to activity and participation for the stroke survivors in
11
12 China[22]. This research also found that the needs had a negative correlation with age. In
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14 Ireland only 23% of those under the age of 66 got a full or part-time job after their stroke[11].
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16 Those under retirement age would face a higher financial burden and social responsibility.
17
18 The age-specific burden of stroke in low-income and middle-income countries is greater than
19
20 in high-income countries[23]. In our survey, 97.6% of all the stroke survivors expected to get
21
22 financial support, much higher than the Irish survey[11]. Social supports should be provided
23
24 to stroke survivors, including barrier-free facilities and financial support, and what's more,
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26 social security system for stroke survivors needs to be improved in developing countries such
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28 as China.
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36 ***Information needs***

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39 In the present study, most individuals wanted more information about the signs of stroke,
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41 as well as what changes they would face after stroke. With more knowledge of stroke, they
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43 could identify the disease immediately, thus resulting in a decrease in the time from symptom
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45 onset to hospital arrival and increase in the number of patients who may receive appropriate
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47 interventions[24]. Knowing the individual's abilities and limitations would help them to
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49 prepare adequately for the future. Although stroke is a devastating disease for the individual,
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51 family and society, many times the knowledge about stroke is going unheeded. Individuals
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53 had extremely limited knowledge of stroke, which was reported in different countries[25-28].
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4 A survey among patients with previous stroke or TIA in China showed that only 3.3% of the
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6 patients knew all the stroke warning signs, and only 9.2% indicated they would get
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8 emergency service[28]. In this study, we also found that the younger patients had stronger
9
10 needs to know the changes they would face after stroke. Stroke survivors had to adapt to
11
12 changes in their bodies as a result of stroke, and adjust their expectations included roles
13
14 within the home and community, particularly for those of working age. Another discovery in
15
16 our research was that as the level of education increased, so did the request for more
17
18 information on stroke. The well-educated patients had the desire to learn all they could about
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20 stroke. Those with the least understanding of stroke were participants in an Irish survey that
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22 only had a primary level education[29].
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29 Educational strategies to increase stroke knowledge are urgently needed as a major part
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31 to play in preventing and combating disease. As we had noticed this problem, efforts to health
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33 education about stroke have been made to improve the recognition in China, especially in our
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35 hospital, the education activities were held every world stroke day over the past few years to
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37 once a month this year. Local and regional healthcare workers should put a high premium on
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39 health education about stroke, not only to meet the needs of patients, but also to disseminate
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41 the knowledge to the public, policymakers, and health professionals[30].
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46 ***The stroke caregivers' opinions***

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49 A stroke in the family can cause many shifts, whether it is relationship dynamics,
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51 finances, home modifications, or role changes. The views of the stroke caregivers would
52
53 provide a valuable reference about the needs and rights of the stroke survivors, as the
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55 caregivers provide the physical and psychological support in the daily life of stroke survivors.
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4 92.5% caregivers thought that stroke survivors should have the rights listed in our
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6 questionnaire. Not consistent with the stroke survivors, treatment and financial support for
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8 care was the ultimate concern of the caregivers, which revealed the heavy burden of stroke
9
10 their family suffered and needs for social supports. The scores for these questions were
11
12 negatively correlated to their age, while positively correlated to their level of education. A
13
14 longitudinal study of caregivers' perspectives found that family caregivers expected to obtain
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16 assistance and related care information[31]. Relevant information and counseling provided to
17
18 caregivers would be beneficial to the recovery and rehabilitation of stroke survivors.
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23 ***Limitations***

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26 Firstly, patients that were not able to express their views due to severe language or
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28 cognitive impairment were excluded in the present study. The results could not reflect their
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30 needs, which may lead to some bias. Secondly, nearly 70% of stroke survivors who
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32 participated in this survey experienced their stroke less than one year ago, which fails to
33
34 accurately identify long-term needs. Finally, the number of participants was limited, and data
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36 was only collected from one site, which may limit the generalizability of our results. Further
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38 multiple central and large sample research studies may be needed in the future.
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43 ***Conclusion***

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46 The demands of psychological support, treatment and care, social support and
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48 information about stroke in China were much more than we expected. Stroke services should
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50 consider each individual stroke survivor's needs for psychological and physical care in the
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52 whole course starting from the onset of stroke all the way through to rehabilitation and
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54 reintegration into the community, which requires a more concerted effort across specialists in
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4 stroke units, communities and social supports. The Bill of Rights is also needed to be
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6 recognized by the society in China, providing an appropriate stroke care to every patient for
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8 optimizing stroke outcomes.
9

10 11 **Acknowledgments**

12
13 The authors would like to acknowledge World Stroke Organization (WSO) allowing us to
14
15 adapt the questionnaire about the rights of stroke survivors and caregivers. We acknowledge
16
17 doctors in Department of Neurology, The Second Hospital of Tianjin Medical University,
18
19 who had given us help to complete this study.
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23 24 **Contributorship statement**

25
26 Xin Li contributed significantly to conceived and designed the study and revise manuscript.
27
28 Ming Liu contributed to the conception of the study and revised the manuscript. Xin Li and
29
30 Ming Liu are co-correspondence authors. Xiaoshuang Xia performed the data analyses and
31
32 wrote the manuscript. Peilu Wang collected the data from the survey. Shuting Zhang helped
33
34 collect the data. Lin Wang helped perform the analysis with constructive discussions.
35
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39 40 **Competing interests**

41
42 None declared.
43

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46
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48
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50
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52

53 54 **Data sharing statement**

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56 No additional data are available.
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Disclosures:

None

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Needs and rights awareness of stroke survivors and caregivers: a cross-sectional, single-centre questionnaire survey

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6 2 **Needs and rights awareness of stroke survivors and caregivers: a**
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9 3 **cross-sectional, single-centre questionnaire survey**
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1 **ABSTRACT**

2 **Objectives:** The needs and rights awareness of stroke survivors have not been reported in
3 China. This study investigated the needs and rights awareness of stroke survivors and
4 caregivers in Tianjin, China.

5 **Setting:** A survey launched by the World Stroke Organization (WSO) was conducted in
6 Tianjin, China. The questionnaire included demands for psychological support, treatment and
7 care, social support, and information. Stroke survivors and their caregivers were interviewed
8 face to face for the questionnaire. Between June 2014 and February 2015, stroke survivors
9 were invited to participate if they were over 18 years old and had experienced a stroke.
10 Exclusion criteria were patients who had disorders of consciousness, significant cognitive
11 impairment, aphasia, communication difficulties or psychiatric disorders. Only caregivers
12 who were family members of the patients were chosen. Paid caregivers were excluded.

13 **Participants:** Two hundred forty-eight stroke survivors and 212 caregivers were enrolled.

14 **Primary outcome measures:** The correlations between levels of needs and potential effect
15 factors were analysed. Levels of different needs were compared by age, gender and time
16 since stroke.

17 **Results:** Among the cohort, 95.6% stroke survivors and 92.5% caregivers agreed to each
18 question in the questionnaire. The participants prioritised the needs for psychological support
19 (99.4%), treatment and care (98.6%), social support (98%), and information (96.2%). The
20 total score was negatively correlated with age ($r=-0.255$, $P<0.01$). Patients under 65 years old
21 had higher scores than those 65 years or older ($P<0.01$), while male patients had higher
22 scores than female patients ($P<0.01$).

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4 1 **Conclusions:** The needs for psychological and emotional support, individual treatment, social
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6 2 support and information about stroke were eagerly reported by most survivors. The Bill of
7
8 3 Rights must be recognised by the Chinese society, providing appropriate stroke care to every
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10 4 patient to optimise stroke outcomes.
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16 **Strengths and limitations of this study**

- 17
18 7 ■ Stroke survivors and caregivers did not have their own bill of rights when this study was
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20 8 performed, and their needs and rights awareness have not been reported in China.
- 21
22 9 ■ The demands for psychological and emotional support, individual treatment, social
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24 10 support and information were eagerly reported by most stroke survivors.
- 25
26 11 ■ The stroke survivors in China had the strongest demand for emotional and psychological
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28 12 support, which should be recognised.
- 29
30 13 ■ Limitations include potential bias due to the exclusion of patients with severe language
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32 14 or cognitive impairment. The number of participants was also limited. Further
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34 15 multiple-centre and large-sample research studies may be needed.
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44 **INTRODUCTION**

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46 18 Stroke is a major cause of death and disability worldwide. The burden of stroke is
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48 19 particularly serious in Asia[1], and its mortality is higher than that in Europe or North
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50 20 America[2]. In China, it is the leading cause of death and disability[3]. Stroke survivors cope
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52 21 with significant physical, cognitive and emotional deficits, and 25% to 74% of these
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54 22 survivors require some assistance or are fully dependent on their caregivers for activities of
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4 1 daily living[4]. Although much has been done to control the disease, the stroke survivors'
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6 2 needs and rights have not received adequate attention. Bills of patient rights for several
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9 3 diseases have been developed to achieve higher degrees of patient satisfaction, such as for
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11 4 cancer[5] and chronic obstructive pulmonary disease[6]. Stroke survivors did not have their
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14 5 own bill of rights until the World Stroke Organization (WSO) realised this urgent need and
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16 6 framed a global bill of rights for stroke patients[7]. The WSO has numerous strategies to
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19 7 increase stroke awareness, including influencing policies for stroke prevention and improved
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21 8 health services, providing education, and fostering the development of systems and
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24 9 organisations for the long-term support of stroke survivors and their families[8]. To determine
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26 10 what stroke survivors and caregivers require, the WSO has launched an online survey.
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29 11 This survey was used in this study to investigate the needs and rights awareness in
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31 12 Chinese stroke survivors and caregivers. This study was aimed to provide a reference for the
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34 13 improvement of stroke-related laws and bills, which could provide the stroke survivor with
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36 14 physical, mental and emotional support.
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40 41 16 ***METHODS***

42 43 44 17 ***Study population***

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46 18 This study was approved by our local Ethics Committee at the Second Hospital of
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48
49 19 Tianjin Medical University. All patients and their caregivers gave informed consent.
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51 20 Between June 2014 and February 2015, 248 stroke survivors and 212 caregivers from
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54 21 the Stroke Clinical Registry and Follow-up Database of the Second Hospital of Tianjin
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57 22 Medical University were enrolled. According to the sample size determination method of the
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1 questionnaire, at least 70-140 samples were needed; therefore, we recruited more participants
2 than the sample size determined. Stroke survivors were invited to participate if they were
3 over 18 years old, had experienced stroke and agreed to participate in the study. The
4 exclusion criteria were patients who had disorders of consciousness, significant cognitive
5 impairment, aphasia, communication difficulties or psychiatric disorders.

6 Caregivers who had been taking care of the stroke patients who met the above criteria
7 were recruited. Only caregivers who were family members of the patients were chosen. Paid
8 caregivers were excluded.

9 *Development of the survey*

10 The questionnaire was adapted from that designed by the WSO. Stroke survivors and
11 caregivers were interviewed face to face during the patients' follow-up by well-trained
12 neurologists who were not the patients' treating doctors.

13 The final questionnaire included 17 questions covering age, gender, level of education,
14 time since the first stroke, and demands for treatment and information about stroke and
15 psychological and social support. Fourteen of the questions had five choices for each question.
16 The five choices were strongly agree, agree, neutral, disagree and strongly disagree. The
17 Likert 5-grade score method was used to assign 1-5 points; higher scores indicated a greater
18 degree of demand. The purpose of the survey and the procedure were explained fully to all
19 participants.

20 The questionnaire translated to Chinese was tested again for the reliability in our
21 population. The scales reliability of the stroke survivors' questionnaire was assessed with a
22 total Cronbach's α of 0.910, corrected by inter-item correlation above 0.70. The scales

reliability of the stroke caregivers' questionnaire was assessed with a total Cronbach's α of 0.817, corrected by inter-item correlation of above 0.70. The Cronbach's α values were good for all scales for the study.

Statistical Analysis

Frequencies and proportions were used to summarize levels of answers. The Spearman Rank Relational Coefficient was used to analyze the correlations between levels of needs and potential effect factors. Levels of different needs were compared by age, gender, and time since stroke. Categorical variables are displayed as frequencies and percentages. Categorical variables were analyzed using a chi-square (χ^2) test. Comparisons between groups were made using the Mann–Whitney U test. Statistical tests were performed at the 95% confidence level.

RESULTS

Study population

The descriptive characteristics of stroke survivors and caregivers are summarised in Table 1.

The 248 stroke survivors included 123 women and 125 men between the ages of 33 and 92, with a mean age of 69.5. The 212 caregivers included 117 women and 95 men between the ages of 20 and 88, with a mean age of 52.6. Stroke survivors they cared for were aged between 45 and 92 years, with a mean age of 72.2.

Table 1 Characteristics of stroke survivors and caregivers

	Stroke Survivors	Stroke Caregivers
	N (%)	N (%)

Total	248	212
Age		
<45	3(1.2%)	49(23.1%)
45-54	29(11.7%)	69(32.5%)
55-64	54(21.8%)	65(30.7%)
65-74	63(25.4%)	21(9.9%)
75-84	73(29.4%)	5(2.4%)
≥85	26(10.5%)	3(1.4%)
Gender		
Male	125(50.4%)	95(44.8%)
Female	123(49.6%)	117 (55.2%)
Time since stroke		
<1y	170(68.5%)	132 (62.3%)
1-3y	41(16.5%)	37(17.5%)
4-7y	13(5.2%)	22(10.4%)
8-10y	6(2.4%)	8(3.8%)
>10y	18(7.3%)	13(6.1%)
Education		
<3y	19(7.7%)	2(0.9%)
3-6y	44(17.7%)	13(6.1%)
6-9y	70(28.2%)	56(26.4%)
9-12y	88(35.5%)	93(43.9%)
>12y	27(10.9%)	48(22.6%)
Type of stroke		
Ischemic stroke	192(77.4%)	170(80.2%)
Hemorrhagic stroke	56(22.6%)	42(19.8%)
NIHSS* Score		
<4	115(46.4%)	90(42.5%)

4-15	127(51.2%)	116(54.7%)
>15	6(2.4%)	6(2.8%)

* NIHSS: National institutes of Health Stroke Scale

Among the cohort, 95.6% of the stroke survivors approved of each question in the questionnaire. The results of the survey showed that participants prioritised the need for psychological support (99.4%), treatment and care (98.6%), social support (98%) and information (96.2%). The total score and every aspect of need was negatively correlated with age ($P<0.01$). There were no correlations between needs and type of stroke or National Institutes of Health Stroke Scale score ($P>0.05$).

Table 2 The correlations between levels of needs and potential effect factors

	Total		Psychological support		Information		Treatment and care		Social support	
	rho	P	Rho	P	Rh	P	rho	P	Rho	P
Age	-0.255	<0.001	-0.211	0.001	-0.221	<0.001	-0.197	0.002	-0.245	<0.001
Gender	-0.14	0.027	-0.184	0.004	0.052	0.419	-0.130	0.041	-0.131	0.039
Time since stroke	-0.044	0.487	0.095	0.135	-0.063	0.324	-0.127	0.046	-0.029	0.647
Education	0.099	0.121	-0.000	0.998	0.051	0.427	-0.067	0.293	0.010	0.879
Type of stroke	-0.089	0.162	-0.017	0.791	0.075	0.242	-0.044	0.494	0.005	0.932
NIHSS Score	-0.088	0.169	0.010	0.877	-0.059	0.352	-0.087	0.172	-0.036	0.578

The total needs and every aspect of the needs of patients under 65 years old were higher than those of older patients (65 years or older) ($P<0.05$). Moreover, male patients had higher scores for total needs, psychological needs, needs for treatment and care and needs for social support than female patients ($P<0.05$) (Table 3 and Table 4).

1 **Table 3. Needs of stroke survivors by age**

	Total	Psychological support	Information	Treatment and care	Social support
<65	4.7(4.1-5.0)	5.0(4.0-5.0)	4.5(4.0-5.0)	4.6(4.0-5.0)	4.6(4.0-5.0)
≥65	4.2(4.0-4.7)	4.0(4.0-5.0)	4.0(4.0-4.5)	4.2(4.0-4.8)	4.0(4.0-4.8)
Z	-4.179	-3.314	-3.477	-3.090	-3.855
P	<0.001	0.001	0.001	0.002	<0.001

2 **Table 4. Needs of rural stroke survivors by gender**

	Total	Psychological support	Information	Treatment and care	Social support
Male	4.3(4.0-5.0)	4.5(4.0-5.0)	4.0(4.0-5.0)	4.4(4.0-5.0)	4.4(4.0-5.0)
Female	4.1(4.0-4.9)	4.0(4.0-5.0)	4.0(4.0-5.0)	4.2(4.0-4.8)	4.2(4.0-4.8)
Z	-2.210	-2.893	-0.810	-2.043	-2.063
P	0.027	0.004	0.418	0.041	0.039

3 **Caregivers' opinion**

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7 Among the caregivers, 92.5% approved of each question in the questionnaire. Timely
8 diagnosis and treatment appeared to be uppermost in caregivers' minds (99.1%). They also
9 cared about financial support to aid in the patient's recovery (98.6%). The total score of
10 caregivers was negatively correlated with the age of the caregivers ($r=-0.197$, $P=0.004$),
11 while positively correlated with levels of education ($r=2.259$, $P<0.001$). No correlation was

1 found between the scores and gender or time that the patients they cared for experienced their
2 first stroke ($P>0.05$).

4 ***DISCUSSION***

5 In this study, 95.6% stroke survivors and 92.5% of caregivers approved of each question,
6 which showed that most patients were eager for a formal declaration of their rights. The
7 Global Stroke Bill of Rights, developed by the WSO, sets out the right of each stroke
8 survivor to: receive the best stroke care, be informed and prepared, and be supported in their
9 recovery[9].

10 ***Factors that influenced the awareness of needs and rights***

11 This survey showed that patients under 65 years old had more needs than younger
12 patients. The needs and awareness of rights were negatively correlated with the age of the
13 patients. Because of different social and domestic duties between younger and older patients,
14 younger patients yearned for a higher quality of life after experiencing stroke. They seemed
15 keener to acquire knowledge of stroke and appropriate therapies as well as emotional and
16 social support. This result did not match the findings of a similar survey conducted in the
17 UK[10], which reported that there was no relationship between needs and age. Patients over
18 65 years of age constituted 47.5% of the subjects in the British survey, while in this survey,
19 65.3% of the patients were above 65 years old. In addition, unlike other surveys, this survey
20 found that male patients had a stronger demand for and were more conscious of their rights.
21 This phenomenon could be due to the traditional thought of "male superiority to female" in
22 Chinese tradition society. A study in China showed that there was a sex difference in the

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4 1 social participation domain in Chinese stroke patients, and male patients had higher social
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6 2 desires[11].
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9 3 Our study is consistent with the UK study[10], where no significant correlation was
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11 4 found between the total scores and levels of education. There was also no correlation between
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13 5 the needs and the time since the first stroke. Similarly, Walsh et al.[12] found no significant
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15 6 difference in time since stroke between those who reported multiple unmet needs and those
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17 7 who reported one or no unmet needs.
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21 8 *Psychological support*

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24 9 As shown in our results, the questions related to psychological needs received the
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26 10 highest score and the highest support rate, suggesting that stroke survivors in China had the
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28 11 strongest demand for emotional and psychological support. A sudden attack and poor
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30 12 prognosis had an appreciable effect on the psychology of stroke survivors. Some surveys
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32 13 have demonstrated that emotional problems among stroke survivors would be prejudicial to
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34 14 treatment and rehabilitation after stroke[13-15]. As physicians, we usually pay more attention
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36 15 to treating the disease, rather than the emotional needs of the patients. A national survey
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38 16 carried out in Ireland showed that 77% of respondents suffered from emotional problems
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40 17 after stroke, while only 10% of the respondents had received community psychological
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42 18 service[12]. Since emotional and psychological needs are liable to be neglected, post-stroke
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44 19 depression was a common complication which seriously impairs quality of life[16 17].
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46 20 Psychological expertise and formal psychological support were needed by stroke
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48 21 survivors[18]. The newest version of the Chinese Stroke Guidelines goes further,
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50 22 recommending psychological support.
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1 *Needs for treatment and care*

2 The needs for diagnosis, treatment, care and rehabilitation were second only to the
3 need for psychological support. The strategies should vary depending on the cause,
4 pathophysiological mechanisms, severity and prognosis to reduce the risk of a recurrent
5 event[19]. Patients should be assessed for individual risks, such as atrial fibrillation, in which
6 case antithrombotic therapy oral anticoagulation may be needed for effective stroke
7 prevention[20]. Most stroke survivors suffer from different residual deficits; individualised
8 and optimised care is also needed during inpatient and in chronic care and end-of-life
9 settings[4]. Rehabilitation was usually ignored in busy clinical settings prior to discharge
10 from hospital in China, and there was also a lack of post-discharge rehabilitation services.
11 The patients perceived nurse-led coordination of rehabilitation and ongoing changes of
12 rehabilitation goals in different stages of recovery[21]. A considerable unmet need for
13 poststroke rehabilitation services was also found in other low- and middle-income countries,
14 such as India[22]. As stroke is an emergency and disastrous disease, a timely and appropriate
15 diagnosis and therapy made by specialised neurologists is vital to the survival and recovery of
16 the patients. A well-organised continuing medical education system for community doctors
17 and non-neurologists covering stroke should be established in China[23], as well as a stroke
18 services system in accordance with the WSO Global Stroke Services Guidelines and Action
19 Plan[24], reminding us that stroke awareness, education, prevention and treatment should
20 always be feasible.

21 *Social support*

22 In this study, the stroke survivors exhibited a desire to return to their full range of

1 activities and roles they had before their stroke, playing an active role in their own lives and
2 in their community. However, there is often a gap between their aspiration and what they
3 actually experience. A cross-sectional study showed that stroke survivors had more
4 participation restrictions[25]. Physical/structural and services/assistance were considered the
5 dominant barriers to and participation in activities of daily life for stroke survivors in
6 China[26]. This research also found that needs had a negative correlation with age. In Ireland,
7 only 23% of those under the age of 66 obtained a full- or part-time job after stroke[11]. Those
8 under retirement age would face a higher financial burden and social responsibility. The
9 age-specific burden of stroke in low-income and middle-income countries is greater than in
10 high-income countries[27]. In our survey, 97.6% of all the stroke survivors expected to
11 receive financial support, much higher than in the Irish survey[11]. Social support should be
12 provided to stroke survivors, including barrier-free facilities and financial support;
13 furthermore, the social security system for stroke survivors needs to be improved in
14 developing countries such as China.

15 ***Information needs***

16 In the present study, most individuals wanted more information about the signs of stroke,
17 as well as what changes they would face afterwards. With more knowledge of stroke, they
18 could identify the disease immediately, resulting in a decrease in the time from symptom
19 onset to hospital arrival and increase in the number of patients who may receive appropriate
20 interventions[28]. Knowing the individual's abilities and limitations would help them to
21 prepare adequately for the future. Although stroke is a devastating disease for the individual,
22 family and society, many times their knowledge about stroke goes unheeded. Individuals had

1 an extremely limited knowledge of stroke, which was also reported in other countries[29-32].
2 A survey among patients with previous stroke or transient ischemic attack in China showed
3 that only 3.3% of patients knew all the stroke warning signs, and only 9.2% indicated that
4 they would seek emergency service[32]. In this study, we also found that younger patients
5 had a stronger need to know about the changes they would face after stroke. Stroke survivors
6 had to adapt to changes in their bodies as a result of stroke and adjust their expectations,
7 including roles within the home and community, particularly for those of working age.
8 Another discovery in our research was that as the level of education increased, so did the
9 request for more information on stroke. Well-educated patients had the desire to learn all they
10 could about stroke. Those with the least understanding of stroke were participants in an Irish
11 survey that only had a primary level of education[33].

12 Educational strategies to increase stroke knowledge are urgently needed to combat and
13 prevent disease. We have made efforts to educate physicians and patients about stroke to
14 improve the recognition of this condition in China, especially in our hospital. Educational
15 activities have been held every world stroke day over the past few years and once a month
16 this year. Local and regional healthcare workers should put a high premium on health
17 education about stroke, not only to meet the needs of patients, but also to disseminate the
18 knowledge to the public, policymakers and health professionals[34].

19 *The stroke caregivers' opinions*

20 A stroke in the family can cause many shifts, whether it is relationship dynamics,
21 finances, home modifications or role changes. The views of caregivers of stroke survivors
22 would provide a valuable reference about the needs and rights of these patients, as the

1 caregivers provide physical and psychological support in the daily life of stroke survivors.
2 Not consistent with the stroke survivors, the caregivers' ultimate concern was treatment and
3 financial support, which revealed the heavy burden the family suffered due to stroke and need
4 for social support. The scores for these questions were negatively correlated to their age,
5 while positively correlated to their level of education. A longitudinal study of caregivers'
6 perspectives found that family caregivers expected to obtain assistance and care-related
7 information[35]. Providing caregivers with relevant information and counseling would be
8 beneficial to the recovery and rehabilitation of stroke survivors.

9 ***Limitations***

10 First, patients who were not able to express their views due to severe language or
11 cognitive impairment were excluded from the present study. The results could not reflect their
12 needs, which may lead to some bias. Secondly, nearly 70% of stroke survivors who
13 participated in this survey experienced their stroke less than one year ago; therefore, their
14 long-term needs might not have been met accurately. Finally, the number of participants was
15 limited, and data were only collected from one site, which may limit the generalisability of
16 our results. In addition, we did not use in-depth interviews or focus groups to explore the
17 reasons behind the patients' needs. Further multiple-centre and large-sample research studies
18 may be needed in the future to explore the needs of stroke survivors using these techniques.

19 ***Conclusion***

20 The demands of psychological support, treatment and care, social support and
21 information about stroke in China were much more than we expected. Stroke services should
22 consider each individual stroke survivor's needs for psychological and physical care in the

1 whole course of their condition, from the onset of stroke through rehabilitation and
2 reintegration into the community, which requires a more concerted effort across specialists in
3 stroke units, communities and social supports. The Bill of Rights must also be recognised by
4 Chinese society, providing appropriate stroke care to every patient to optimise stroke
5 outcomes.

6 **Acknowledgments**

7 The authors would like to acknowledge the World Stroke Organization (WSO), which
8 allowed us to adapt the questionnaire about the rights of stroke survivors and caregivers. We
9 acknowledge the doctors in the Department of Neurology, the Second Hospital of Tianjin
10 Medical University, who gave us help to complete this study.

11 **Contributorship statement**

12 Xin Li contributed conceived and designed the study and revised the manuscript. Ming Liu
13 conceived of the study and revised the manuscript. Xin Li and Ming Liu are
14 co-correspondence authors. Xiaoshuang Xia analysed the data and wrote the manuscript.
15 Peilu Wang collected the data from the survey. Shuting Zhang helped collect the data. Lin
16 Wang helped perform the analysis with constructive discussions.

17 **Competing interests**

18 None declared.

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21 Tianjin Health and Family Planning [15KG136] and the Natural Science Foundation of
22 Tianjin[16JCYBJC25500].

1 Data sharing statement

2 No additional data are available.

3 Disclosures:

4 None

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For peer review only

1 AGE: EDUCATION:
2
3 ID: DIAGNOSIS: NIHSS SCORE:

4 The World Stroke Organization is making a Bill of Rights for stroke patients.
5 It will help The Bill of Rights forms the basis to ensure that every patient
6 has access to all elements of appropriate stroke care, to optimize their best
7 chance of survival and recovery. The Stroke Bill of Rights was developed by
8 a group of stroke survivors and caregivers from each region of the world.
9 They need help to find out what are the most important things in their
10 recovery. They will be very appreciated if you take 5-10 minutes to answer
11 this survey. You need to finish all the 17 questions. This is for the patients,
12 and the other is for the carers.

17 1. What is your age?

- 18 18 - 29 50 - 59 80-89
19 30 - 39 60 - 69 90-99
20 40 - 49 70 - 79 above 100

25 2. What is your sex?

- 26 male female

30 3. How long has it been since you had your first stroke?

- 31 Within 3 year 3-6 years 6-9 years 9-12 years above 12
32 years

36 4. I need for information about the signs of stroke.

- 37 very agree agree neutral disagree very disagree

42 5. I need for a rapid diagnosis so I can be treated quickly.

- 43 very agree agree neutral disagree very disagree

47 6. I need for treatment by a specialized team at all atages 48 of my journey (in hospital and during rehabilitation)

- 49 very agree agree neutral disagree very disagree

1 7. I need for informations about what has happened to me and
2 about living with stroke fo as long as I require it.

3 very agree agree neutral disagree very disagree
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7 8. I have the right to be induced in all aspects of society
8 regardless of any disability I may have.

9 very agree agree neutral disagree very disagree
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13 9. I need for psychological supports to provide hope for the
14 best possible recovery I can make now and into the future.

15 very agree agree neutral disagree very disagree
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19 20 10. I need for considerate care.

21 very agree agree neutral disagree very disagree
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25 11. I need for Communications with other stroke suvivors and
26 caregivers so I may gain and provide support in my recovery
27 from stroke.

28 very agree agree neutral disagree very disagree
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33 12. I have the right receive psychological and emotional
34 support in a form that best meets my needs.

35 very agree agree neutral disagree very disagree
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39 13. I need for treatment that is right for me as an
40 individual considering my age, gender, culture, goals and my
41 changing needs over time.

42 very agree agree neutral disagree very disagree
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47 14. I need for support(financial or otherwise) to ensure I am
48 cared for in the longer term.

49 very agree agree neutral disagree very disagree
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1 15. I need for support to return to work and/or to other
2 activities I may choose to participate in after my stroke.
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4 very agree agree neutral disagree very disagree
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7 16. I need for treatment regardless of financial situation,
8 gender, culture or place that I live.
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10 very agree agree neutral disagree very disagree
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13 17. I need for formal and informal advocacy to assist me with
14 access to the services I need.
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16 very agree agree neutral disagree very disagree
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STROBE Statement—Checklist of items that should be included in reports of *cross-sectional studies*

	Item No	Recommendation	
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	✓
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	✓
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	✓
Objectives	3	State specific objectives, including any prespecified hypotheses	✓
Methods			
Study design	4	Present key elements of study design early in the paper	✓
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	✓
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	✓
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	✓
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	NA
Bias	9	Describe any efforts to address potential sources of bias	✓
Study size	10	Explain how the study size was arrived at	✓
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	✓
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	✓
		(b) Describe any methods used to examine subgroups and interactions	✓
		(c) Explain how missing data were addressed	NA
		(d) If applicable, describe analytical methods taking account of sampling strategy	✓
		(e) Describe any sensitivity analyses	✓
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	✓
		(b) Give reasons for non-participation at each stage	NA
		(c) Consider use of a flow diagram	NA
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	✓
		(b) Indicate number of participants with missing data for each variable of interest	NA
Outcome data	15*	Report numbers of outcome events or summary measures	✓
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	✓
		(b) Report category boundaries when continuous variables were categorized	✓
		(c) If relevant, consider translating estimates of relative risk into absolute risk for	✓

		a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	✓
Discussion			
Key results	18	Summarise key results with reference to study objectives	✓
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	✓
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	✓
Generalisability	21	Discuss the generalisability (external validity) of the study results	✓
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	✓

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

BMJ Open

Needs and rights awareness of stroke survivors and caregivers: a cross-sectional, single-centre questionnaire survey

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Primary Subject Heading:	Neurology
Secondary Subject Heading:	Public health, Patient-centred medicine, Mental health, Medical education and training
Keywords:	needs, patients rights, Stroke < NEUROLOGY, caregivers, survey

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Manuscripts

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6 2 **Needs and rights awareness of stroke survivors and caregivers: a**
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9 3 **cross-sectional, single-centre questionnaire survey**
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14 5 Xin Li,¹ Xiaoshuang Xia,¹ Peilu Wang,¹ Shuting Zhang,² Ming Liu,² Lin Wang³
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49 19 Key words: needs, patient rights, stroke, caregivers, survey

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51 20 Word count: 4623
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4 1 **ABSTRACT**

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6 2 **Objectives:** The needs and rights awareness of stroke survivors have not been reported in
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9 3 China. This study investigated the needs and rights awareness of stroke survivors and
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11 4 caregivers in Tianjin, China.

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14 5 **Setting:** A survey launched by the World Stroke Organization (WSO) was conducted in
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16 6 Tianjin, China. The questionnaire included demands for psychological support, treatment and
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19 7 care, social support, and information. Stroke survivors and their caregivers were interviewed
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21 8 face to face for the questionnaire. Between June 2014 and February 2015, stroke survivors
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24 9 were invited to participate if they were over 18 years old and had experienced a stroke.
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26 10 Exclusion criteria were patients who had disorders of consciousness, significant cognitive
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29 11 impairment, aphasia, communication difficulties or psychiatric disorders. Only caregivers
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31 12 who were family members of the patients were chosen. Paid caregivers were excluded.

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34 13 **Participants:** Two hundred forty-eight stroke survivors and 212 caregivers were enrolled.

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36 14 **Primary outcome measures:** The correlations between levels of needs and potential effect
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39 15 factors were analysed. Levels of different needs were compared by age, gender and time
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41 16 since stroke.

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44 17 **Results:** Among the cohort, 95.6% stroke survivors and 92.5% caregivers agreed to each
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46 18 question in the questionnaire. The participants prioritised the needs for psychological support
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49 19 (99.4%), treatment and care (98.6%), social support (98%), and information (96.2%). The
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51 20 total score was negatively correlated with age ($r=-0.255$, $P<0.01$). Patients under 65 years old
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54 21 had higher scores than those 65 years or older ($P<0.01$), while male patients had higher
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56 22 scores than female patients ($P<0.01$).

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4 1 **Conclusions:** The needs for psychological and emotional support, individual treatment, social
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6 2 support and information about stroke were eagerly reported by most survivors. The Bill of
7
8 3 Rights must be recognised by the Chinese society, providing appropriate stroke care to every
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10 4 patient to optimise stroke outcomes.
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16 **Strengths and limitations of this study**

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19 7 ■ Stroke survivors and caregivers did not have their own bill of rights when this study was
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21 8 performed, and their needs and rights awareness have not been reported in China.
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24 9 ■ The demands for psychological and emotional support, individual treatment, social
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26 10 support and information were eagerly reported by most stroke survivors.
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29 11 ■ The stroke survivors in China had the strongest demand for emotional and psychological
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31 12 support, which should be recognised.
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34 13 ■ Limitations include potential bias due to the exclusion of patients with severe language
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36 14 or cognitive impairment. The number of participants was also limited. Further
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38 15 multiple-centre and large-sample research studies may be needed.
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44 **INTRODUCTION**

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46 18 Stroke is a major cause of death and disability worldwide. The burden of stroke is
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48 19 particularly serious in Asia[1], and its mortality is higher than that in Europe or North
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50 20 America[2]. In China, it is the leading cause of death and disability[3]. Stroke survivors cope
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52 21 with significant physical, cognitive and emotional deficits, and 25% to 74% of these
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54 22 survivors require some assistance or are fully dependent on their caregivers for activities of
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4 1 daily living[4]. Although much has been done to control the disease, the stroke survivors'
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6 2 needs and rights have not received adequate attention. Bills of patient rights for several
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9 3 diseases have been developed to achieve higher degrees of patient satisfaction, such as for
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11 4 cancer[5] and chronic obstructive pulmonary disease[6]. Stroke survivors did not have their
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14 5 own bill of rights until the World Stroke Organization (WSO) realised this urgent need and
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16 6 framed a global bill of rights for stroke patients[7]. The WSO has numerous strategies to
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19 7 increase stroke awareness, including influencing policies for stroke prevention and improved
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21 8 health services, providing education, and fostering the development of systems and
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24 9 organisations for the long-term support of stroke survivors and their families[8]. To determine
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26 10 what stroke survivors and caregivers require, the WSO has launched an online survey
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29 11 (<https://www.surveymonkey.com/s/WSOStrokeSurvivor-Chinese> and
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31 12 <https://www.surveymonkey.com/s/WSOStrokeCarer-Chinese>).

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34 13 This survey was used in this study to investigate the needs and rights awareness in
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36 14 Chinese stroke survivors and caregivers. This study was aimed to provide a reference for the
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39 15 improvement of stroke-related laws and bills, which could provide the stroke survivor with
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41 16 physical, mental and emotional support.
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45 46 18 ***METHODS***

47 48 49 19 ***Study population***

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52 20 This study was approved by our local Ethics Committee at the Second Hospital of
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54 21 Tianjin Medical University. All patients and their caregivers gave informed consent.
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56 22 Between June 2014 and February 2015, 248 stroke survivors and 212 caregivers from
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1 the Stroke Clinical Registry and Follow-up Database of the Second Hospital of Tianjin
2 Medical University were enrolled. According to the Kendall sample size determination
3 method of the questionnaire, at least 70-140 samples were needed; therefore, we recruited
4 more participants than the sample size determined. Stroke survivors were invited to
5 participate if they were over 18 years old, had experienced stroke and agreed to participate in
6 the study. The exclusion criteria were patients who had disorders of consciousness,
7 significant cognitive impairment, aphasia, communication difficulties or psychiatric
8 disorders.

9 Caregivers who had been taking care of the stroke patients who met the above criteria
10 were recruited. Only caregivers who were family members of the patients were chosen. Paid
11 caregivers were excluded.

12 ***Development of the survey***

13 The questionnaire was adapted from that designed by the WSO. Stroke survivors and
14 caregivers were interviewed face to face during the patients' follow-up by well-trained
15 neurologists who were not the patients' treating doctors.

16 The final questionnaire included 17 questions covering age, gender, level of education,
17 time since the first stroke, and demands for treatment and information about stroke and
18 psychological and social support. Fourteen of the questions had five choices for each question.
19 The five choices were strongly agree, agree, neutral, disagree and strongly disagree. The
20 Likert 5-grade score method was used to assign 1-5 points; higher scores indicated a greater
21 degree of demand. The purpose of the survey and the procedure were explained fully to all
22 participants.

1 The questionnaire translated to Chinese was tested again for the reliability in our
2 population. The scales reliability of the stroke survivors' questionnaire was assessed with a
3 total Cronbach's α of 0.910, corrected by inter-item correlation above 0.70. The scales
4 reliability of the stroke caregivers' questionnaire was assessed with a total Cronbach's α of
5 0.817, corrected by inter-item correlation f above 0.70. The Cronbach's α values were good
6 for all scales for the study.

8 ***Statistical Analysis***

9 Frequencies and proportions were used to summarize levels of answers. The Spearman
10 Rank Relational Coefficient was used to analyze the correlations between levels of needs and
11 potential effect factors. Levels of different needs were compared by age, gender, and time
12 since stroke. Categorical variables are displayed as frequencies and percentages. Categorical
13 variables were analyzed using a chi-square (χ^2) test. Comparisons between groups were made
14 using the Mann–Whitney U test. Statistical tests were performed at the 95% confidence level.

16 ***RESULTS***

17 ***Study population***

18 The descriptive characteristics of stroke survivors and caregivers are summarised in
19 Table 1.

20 The 248 stroke survivors included 123 women and 125 men between the ages of 33 and
21 92, with a mean age of 69.5. The 212 caregivers included 117 women and 95 men between
22 the ages of 20 and 88, with a mean age of 52.6. Stroke survivors they cared for were aged

1 between 45 and 92 years, with a mean age of 72.2.

2 **Table 1 Characteristics of stroke survivors and caregivers**

	Stroke Survivors	Stroke Caregivers
	N (%)	N (%)
Total	248	212
Age		
<45	3(1.2%)	49(23.1%)
45-54	29(11.7%)	69(32.5%)
55-64	54(21.8%)	65(30.7%)
65-74	63(25.4%)	21(9.9%)
75-84	73(29.4%)	5(2.4%)
≥85	26(10.5%)	3(1.4%)
Gender		
Male	125(50.4%)	95(44.8%)
Female	123(49.6%)	117 (55.2%)
Time since stroke		
<1y	170(68.5%)	132 (62.3%)
1-3y	41(16.5%)	37(17.5%)
4-7y	13(5.2%)	22(10.4%)
8-10y	6(2.4%)	8(3.8%)
>10y	18(7.3%)	13(6.1%)
Education		
<3y	19(7.7%)	2(0.9%)
3-6y	44(17.7%)	13(6.1%)
6-9y	70(28.2%)	56(26.4%)
9-12y	88(35.5%)	93(43.9%)
>12y	27(10.9%)	48(22.6%)
Type of stroke		
Ischemic stroke	192(77.4%)	170(80.2%)

	Hemorrhagic stroke	56(22.6%)	42(19.8%)
NIHSS* Score			
	<4	115(46.4%)	90(42.5%)
	4-15	127(51.2%)	116(54.7%)
	>15	6(2.4%)	6(2.8%)

* NIHSS: National institutes of Health Stroke Scale

Among the cohort, 95.6% of the stroke survivors approved of each question in the questionnaire. The results of the survey showed that participants prioritised the need for psychological support (99.4%), treatment and care (98.6%), social support (98%) and information (96.2%). The total score and every aspect of need was negatively correlated with age ($P < 0.01$). There were no correlations between needs and type of stroke or National Institutes of Health Stroke Scale score ($P > 0.05$). (Table 2)

Table 2 The correlations between levels of needs and potential effect factors

	Total		Psychological support		Information		Treatment and care		Social support	
	rho	P	Rho	P	Rh	P	rho	P	Rho	P
Age	-0.255	<0.001	-0.211	0.001	-0.221	<0.001	-0.197	0.002	-0.245	<0.001
Gender	-0.14	0.027	-0.184	0.004	0.052	0.419	-0.130	0.041	-0.131	0.039
Time since stroke	-0.044	0.487	0.095	0.135	-0.063	0.324	-0.127	0.046	-0.029	0.647
Education	0.099	0.121	-0.000	0.998	0.051	0.427	-0.067	0.293	0.010	0.879
Type of stroke	-0.089	0.162	-0.017	0.791	0.075	0.242	-0.044	0.494	0.005	0.932
NIHSS Score	-0.088	0.169	0.010	0.877	-0.059	0.352	-0.087	0.172	-0.036	0.578

The total needs and every aspect of the needs of patients under 65 years old were

1 higher than those of older patients (65 years or older) ($P<0.05$). Moreover, male patients had
 2 higher scores for total needs, psychological needs, needs for treatment and care and needs for
 3 social support than female patients ($P<0.05$) (Table 3 and Table 4).

4 **Table 3. Needs of stroke survivors by age**

	Total	Psychological support	Information	Treatment and care	Social support
<65	4.7(4.1-5.0)	5.0(4.0-5.0)	4.5(4.0-5.0)	4.6(4.0-5.0)	4.6(4.0-5.0)
≥65	4.2(4.0-4.7)	4.0(4.0-5.0)	4.0(4.0-4.5)	4.2(4.0-4.8)	4.0(4.0-4.8)
Z	-4.179	-3.314	-3.477	-3.090	-3.855
P	<0.001	0.001	0.001	0.002	<0.001

5
 6 **Table 4. Needs of rural stroke survivors by gender**

	Total	Psychological support	Information	Treatment and care	Social support
Male	4.3(4.0-5.0)	4.5(4.0-5.0)	4.0(4.0-5.0)	4.4(4.0-5.0)	4.4(4.0-5.0)
Female	4.1(4.0-4.9)	4.0(4.0-5.0)	4.0(4.0-5.0)	4.2(4.0-4.8)	4.2(4.0-4.8)
Z	-2.210	-2.893	-0.810	-2.043	-2.063
P	0.027	0.004	0.418	0.041	0.039

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 9 **Caregivers' opinion**

10 Among the caregivers, 92.5% approved of each question in the questionnaire. Timely
 11 diagnosis and treatment appeared to be uppermost in caregivers' minds (99.1%). They also

1 cared about financial support to aid in the patient's recovery (98.6%). The total score of
2 caregivers was negatively correlated with the age of the caregivers ($r=-0.197$, $P=0.004$),
3 while positively correlated with levels of education ($r=2.259$, $P<0.001$). No correlation was
4 found between the scores and gender or time that the patients they cared for experienced their
5 first stroke ($P>0.05$).

6 7 **DISCUSSION**

8 In this study, 95.6% stroke survivors and 92.5% of caregivers approved of each question,
9 which showed that most patients were eager for a formal declaration of their rights. The
10 Global Stroke Bill of Rights, developed by the WSO, sets out the right of each stroke
11 survivor to: receive the best stroke care, be informed and prepared, and be supported in their
12 recovery[9].

13 ***Factors that influenced the awareness of needs and rights***

14 This survey showed that patients under 65 years old had more needs than younger
15 patients. The needs and awareness of rights were negatively correlated with the age of the
16 patients. Because of different social and domestic duties between younger and older patients,
17 younger patients yearned for a higher quality of life after experiencing stroke. They seemed
18 keener to acquire knowledge of stroke and appropriate therapies as well as emotional and
19 social support. This result did not match the findings of a similar survey conducted in the
20 UK[10], which reported that there was no relationship between needs and age. Patients over
21 65 years of age constituted 47.5% of the subjects in the British survey, while in this survey,
22 65.3% of the patients were above 65 years old. In addition, unlike other surveys, this survey

1 found that male patients had a stronger demand for and were more conscious of their rights.
2 This phenomenon could be due to the traditional thought of "male superiority to female" in
3 Chinese tradition society. A study in China showed that there was a sex difference in the
4 social participation domain in Chinese stroke patients, and male patients had higher social
5 desires[11].

6 Our study is consistent with the UK study[10], where no significant correlation was
7 found between the total scores and levels of education. There was also no correlation between
8 the needs and the time since the first stroke. Similarly, Walsh et al.[12] found no significant
9 difference in time since stroke between those who reported multiple unmet needs and those
10 who reported one or no unmet needs.

11 *Psychological support*

12 As shown in our results, the questions related to psychological needs received the
13 highest score and the highest support rate, suggesting that stroke survivors in China had the
14 strongest demand for emotional and psychological support. A sudden attack and poor
15 prognosis had an appreciable effect on the psychology of stroke survivors. Some surveys
16 have demonstrated that emotional problems among stroke survivors would be prejudicial to
17 treatment and rehabilitation after stroke[13-15]. As physicians, we usually pay more attention
18 to treating the disease, rather than the emotional needs of the patients. A national survey
19 carried out in Ireland showed that 77% of respondents suffered from emotional problems
20 after stroke, while only 10% of the respondents had received community psychological
21 service[12]. Since emotional and psychological needs are liable to be neglected, post-stroke
22 depression was a common complication which seriously impairs quality of life[16 17].

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4 1 Psychological expertise and formal psychological support were needed by stroke
5
6 2 survivors[18]. The newest version of the Chinese Stroke Guidelines goes further,
7
8 3 recommending psychological support.

4 *Needs for treatment and care*

5 The needs for diagnosis, treatment, care and rehabilitation were second only to the
6 need for psychological support. The strategies should vary depending on the cause,
7 pathophysiological mechanisms, severity and prognosis to reduce the risk of a recurrent
8 event[19]. Patients should be assessed for individual risks, such as atrial fibrillation, in which
9 case antithrombotic therapy oral anticoagulation may be needed for effective stroke
10 prevention[20]. Most stroke survivors suffer from different residual deficits; individualised
11 and optimised care is also needed during inpatient and in chronic care and end-of-life
12 settings[4]. Rehabilitation was usually ignored in busy clinical settings prior to discharge
13 from hospital in China, and there was also a lack of post-discharge rehabilitation services.
14 The patients perceived nurse-led coordination of rehabilitation and ongoing changes of
15 rehabilitation goals in different stages of recovery[21]. A considerable unmet need for
16 poststroke rehabilitation services was also found in other low- and middle-income countries,
17 such as India[22]. As stroke is an emergency and disastrous disease, a timely and appropriate
18 diagnosis and therapy made by specialised neurologists is vital to the survival and recovery of
19 the patients. A well-organised continuing medical education system for community doctors
20 and non-neurologists covering stroke should be established in China[23], as well as a stroke
21 services system in accordance with the WSO Global Stroke Services Guidelines and Action
22 Plan[24], reminding us that stroke awareness, education, prevention and treatment should

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4 1 always be feasible.
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6 2 ***Social support***
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9 3 In this study, the stroke survivors exhibited a desire to return to their full range of
10
11 4 activities and roles they had before their stroke, playing an active role in their own lives and
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13 5 in their community. However, there is often a gap between their aspiration and what they
14
15 6 actually experience. A cross-sectional study showed that stroke survivors had more
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17 7 participation restrictions[25]. Physical/structural and services/assistance were considered the
18
19 8 dominant barriers to and participation in activities of daily life for stroke survivors in
20
21 9 China[26]. This research also found that needs had a negative correlation with age. In Ireland,
22
23 10 only 23% of those under the age of 66 obtained a full- or part-time job after stroke[11]. Those
24
25 11 under retirement age would face a higher financial burden and social responsibility. The
26
27 12 age-specific burden of stroke in low-income and middle-income countries is greater than in
28
29 13 high-income countries[27]. In our survey, 97.6% of all the stroke survivors expected to
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31 14 receive financial support, much higher than in the Irish survey[11]. Social support should be
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33 15 provided to stroke survivors, including barrier-free facilities and financial support;
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35 16 furthermore, the social security system for stroke survivors needs to be improved in
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37 17 developing countries such as China.
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46 18 ***Information needs***
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49 19 In the present study, most individuals wanted more information about the signs of stroke,
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51 20 as well as what changes they would face afterwards. With more knowledge of stroke, they
52
53 21 could identify the disease immediately, resulting in a decrease in the time from symptom
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55 22 onset to hospital arrival and increase in the number of patients who may receive appropriate
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4 1 interventions[28]. Knowing the individual's abilities and limitations would help them to
5
6 2 prepare adequately for the future. Although stroke is a devastating disease for the individual,
7
8 3 family and society, many times their knowledge about stroke goes unheeded. Individuals had
9
10 4 an extremely limited knowledge of stroke, which was also reported in other countries[29-32].
11
12 5 A survey among patients with previous stroke or transient ischemic attack in China showed
13
14 6 that only 3.3% of patients knew all the stroke warning signs, and only 9.2% indicated that
15
16 7 they would seek emergency service[32]. In this study, we also found that younger patients
17
18 8 had a stronger need to know about the changes they would face after stroke. Stroke survivors
19
20 9 had to adapt to changes in their bodies as a result of stroke and adjust their expectations,
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22 10 including roles within the home and community, particularly for those of working age.
23
24 11 Another discovery in our research was that as the level of education increased, so did the
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26 12 request for more information on stroke. Well-educated patients had the desire to learn all they
27
28 13 could about stroke. Those with the least understanding of stroke were participants in an Irish
29
30 14 survey that only had a primary level of education[33].

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39 15 Educational strategies to increase stroke knowledge are urgently needed to combat and
40
41 16 prevent disease. We have made efforts to educate physicians and patients about stroke to
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43 17 improve the recognition of this condition in China, especially in our hospital. Educational
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45 18 activities have been held every world stroke day over the past few years and once a month
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47 19 this year. Local and regional healthcare workers should put a high premium on health
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49 20 education about stroke, not only to meet the needs of patients, but also to disseminate the
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51 21 knowledge to the public, policymakers and health professionals[34].
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56 22 ***The stroke caregivers' opinions***
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4 1 A stroke in the family can cause many shifts, whether it is relationship dynamics,
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6 2 finances, home modifications or role changes. The views of caregivers of stroke survivors
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9 3 would provide a valuable reference about the needs and rights of these patients, as the
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11 4 caregivers provide physical and psychological support in the daily life of stroke survivors.
12
13
14 5 Not consistent with the stroke survivors, the caregivers' ultimate concern was treatment and
15
16 6 financial support, which revealed the heavy burden the family suffered due to stroke and need
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18 7 for social support. The scores for these questions were negatively correlated to their age,
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21 8 while positively correlated to their level of education. A longitudinal study of caregivers'
22
23 9 perspectives found that family caregivers expected to obtain assistance and care-related
24
25 10 information[35]. Providing caregivers with relevant information and counseling would be
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27
28 11 beneficial to the recovery and rehabilitation of stroke survivors.

31 ***Limitations***

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34 13 First, patients who were not able to express their views due to severe language or
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36 14 cognitive impairment were excluded from the present study. The results could not reflect their
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39 15 needs, which may lead to some bias. Secondly, nearly 70% of stroke survivors who
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41 16 participated in this survey experienced their stroke less than one year ago; therefore, their
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44 17 long-term needs might not have been met accurately. Finally, the number of participants was
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46 18 limited, and data were only collected from one site, which may limit the generalisability of
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49 19 our results. In addition, we did not use in-depth interviews or focus groups to explore the
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51 20 reasons behind the patients' needs. Further multiple-centre and large-sample research studies
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53
54 21 may be needed in the future to explore the needs of stroke survivors using these techniques.

56 ***Conclusion***

1 The demands of psychological support, treatment and care, social support and
2 information about stroke in China were much more than we expected. Stroke services should
3 consider each individual stroke survivor's needs for psychological and physical care in the
4 whole course of their condition, from the onset of stroke through rehabilitation and
5 reintegration into the community, which requires a more concerted effort across specialists in
6 stroke units, communities and social supports. The Bill of Rights must also be recognised by
7 Chinese society, providing appropriate stroke care to every patient to optimise stroke
8 outcomes.

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11 allowed us to adapt the questionnaire about the rights of stroke survivors and caregivers. We
12 acknowledge the doctors in the Department of Neurology, the Second Hospital of Tianjin
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14 **Contributorship statement**

15 Xin Li contributed conceived and designed the study and revised the manuscript. Ming Liu
16 conceived of the study and revised the manuscript. Xin Li and Ming Liu are
17 co-correspondence authors. Xiaoshuang Xia analysed the data and wrote the manuscript.
18 Peilu Wang collected the data from the survey. Shuting Zhang helped collect the data. Lin
19 Wang helped perform the analysis with constructive discussions.

20 **Competing interests**

21 None declared.

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4 **Data sharing statement**

5 No additional data are available.

6 **Disclosures:**

7 None

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For peer review only

1 AGE: EDUCATION:
2
3 ID: DIAGNOSIS: NIHSS SCORE:

4 The World Stroke Organization is making a Bill of Rights for stroke patients.
5 It will help The Bill of Rights forms the basis to ensure that every patient
6 has access to all elements of appropriate stroke care, to optimize their best
7 chance of survival and recovery. The Stroke Bill of Rights was developed by
8 a group of stroke survivors and caregivers from each region of the world.
9 They need help to find out what are the most important things in their
10 recovery. They will be very appreciated if you take 5-10 minutes to answer
11 this survey. You need to finish all the 17 questions. This is for the patients,
12 and the other is for the carers.

17 1. What is your age?

- 18
19 18 - 29 50 - 59 80-89
20 30 - 39 60 - 69 90-99
21 40 - 49 70 - 79 above 100
22
23

24 2. What is your sex?

- 25
26 male female
27
28

29 3. How long has it been since you had your first stroke?

- 30
31 Within 3 year 3-6 years 6-9 years 9-12 years above 12
32 years
33
34

35 4. I need for information about the signs of stroke.

- 36
37
38 very agree agree neutral disagree very disagree
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40

41 5. I need for a rapid diagnosis so I can be treated quickly.

- 42
43 very agree agree neutral disagree very disagree
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46 6. I need for treatment by a specialized team at all stages 47 of my journey (in hospital and during rehabilitation)

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49 very agree agree neutral disagree very disagree
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1 7. I need for informations about what has happened to me and
2 about living with stroke fo as long as I require it.

3 very agree agree neutral disagree very disagree
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7 8. I have the right to be induced in all aspects of society
8 regardless of any disability I may have.

9 very agree agree neutral disagree very disagree
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13 9. I need for psychological supports to provide hope for the
14 best possible recovery I can make now and into the future.

15 very agree agree neutral disagree very disagree
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19 20 10. I need for considerate care.

21 very agree agree neutral disagree very disagree
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25 11. I need for Communications with other stroke suvivors and
26 caregivers so I may gain and provide support in my recovery
27 from stroke.

28 very agree agree neutral disagree very disagree
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33 12. I have the right receive psychological and emotional
34 support in a form that best meets my needs.

35 very agree agree neutral disagree very disagree
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39 13. I need for treatment that is right for me as an
40 individual considering my age, gender, culture, goals and my
41 changing needs over time.

42 very agree agree neutral disagree very disagree
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47 14. I need for support(financial or otherwise) to ensure I am
48 cared for in the longer term.

49 very agree agree neutral disagree very disagree
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1 15. I need for support to return to work and/or to other
2 activities I may choose to participate in after my stroke.
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4 very agree agree neutral disagree very disagree
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7 16. I need for treatment regardless of financial situation,
8 gender, culture or place that I live.
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10 very agree agree neutral disagree very disagree
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13 17. I need for formal and informal advocacy to assist me with
14 access to the services I need.
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16 very agree agree neutral disagree very disagree
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STROBE Statement—Checklist of items that should be included in reports of *cross-sectional studies*

	Item No	Recommendation	
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	page 1, lines 2–3
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	page 2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	page 4, lines 1–10
Objectives	3	State specific objectives, including any prespecified hypotheses	page 4, lines 13–16
Methods			
Study design	4	Present key elements of study design early in the paper	page 5, lines 13–15
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	page 4, lines 22 page 5, lines 1–2
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	page 5, lines 4–11
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	page 5, lines 16–22
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	NA
Bias	9	Describe any efforts to address potential sources of bias	page 5, lines 10–11 page 5, lines 13–15
Study size	10	Explain how the study size was arrived at	page 5, lines 2–4
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	page 6, lines 1–6
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	page 6, lines 9–14
		(b) Describe any methods used to examine subgroups and interactions	page 6, lines 11–13
		(c) Explain how missing data were addressed	NA
		(d) If applicable, describe analytical methods taking account of sampling strategy	page 6, lines 12
		(e) Describe any sensitivity analyses	page 6, lines 14
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	page 6, lines 20–21
		(b) Give reasons for non-participation at each stage	NA
		(c) Consider use of a flow diagram	NA
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	page 6, lines 18–22 page 7, lines 1 Table 1

		(b) Indicate number of participants with missing data for each variable of interest	NA
Outcome data	15*	Report numbers of outcome events or summary measures	page 8, lines3–6
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	page 8, lines9
		(b) Report category boundaries when continuous variables were categorized	page 8, lines11 page 9, lines 1
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	page 9, lines2–4
Discussion			
Key results	18	Summarise key results with reference to study objectives	page 10, lines13–22 page 11–14 page 15, lines1–11
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	page 15, lines13–21
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	page 16, lines1–6
Generalisability	21	Discuss the generalisability (external validity) of the study results	page 16, lines6–8
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	page 17, lines1–3

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.