

1 **Control number:** AAC01443-17

2 **Manuscript title:** Vaborbactam: Spectrum of Beta-Lactamase Inhibition and Impact of Resistance Mechanisms on Activity in Enterobacteriaceae

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5 **Table S1. Primer sequences used in cloning various beta-lactamase genes and in reverse transcription-quantitative PCR (RT-qPCR)**

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| Cloning of beta-lactamase genes | | | | |
|---------------------------------|-----------------------|-------------|------------------------------------------------|------------------------|
| Source strain | Cloned beta-lactamase | Primer name | Primer sequence (5' to 3') | Restriction site added |
| KP1004 | KPC-2 | KPC-2-own-F | ACACGAATTC CAAGGAATATCGTTGATGTCACTGTATCGCCGTC | <i>EcoRI</i> |
| | | KPC-2-R1 | ACACAAGCTT TACTGCCCCGTTGACGCCAA | <i>HindIII</i> |
| EC1007 | KPC-3 | KPC-2-own-F | ACACGAATTC CAAGGAATATCGTTGATGTCACTGTATCGCCGTC | <i>EcoRI</i> |
| | | KPC-2-R1 | ACACAAGCTT TACTGCCCCGTTGACGCCAA | <i>HindIII</i> |
| SM1000 | SME-2 | SME-2-F1 | ACACGGATCC AGGAGGTTAATTCTGATGTCAAACAAAG | <i>BamHI</i> |
| | | SME-2-R1 | ACACAAGCTT TTAATCAATTGCCTGAATTG | <i>HindIII</i> |
| ECL1004 | NMC-A | NMC-A-F2 | ACGCGAATTC ATATAAGGTAACCATGTCACTTAATGTAAAGC | <i>EcoRI</i> |
| | | NMC-A-R1 | ACACGGATCC TTATTAAAGTTATCAATTGC | <i>BamHI</i> |
| ECM6625 | SHV-5 | SHV-12-F2 | ACGCGAATTC GGATGTATTGTGGTTATGCGTTATATTCGCCTGTG | <i>EcoRI</i> |

| | | | | |
|---------|----------|-------------|------------------------------------------------|----------------|
| KP1010 | SHV-12 | SHV-12-R1 | ACACA <u>AAGCTT</u> TTAGCGTTGCCAGTGCTCGA | <i>HindIII</i> |
| | | SHV-12-F2 | ACGCGAATTC GGATGTATTGTGGTTATGCGTTATATTGCCTGTG | <i>EcoRI</i> |
| KP1012 | SHV-18 | SHV-12-R1 | ACACA <u>AAGCTT</u> TTAGCGTTGCCAGTGCTCGA | <i>HindIII</i> |
| | | SHV-12-F2 | ACGCGAATTC GGATGTATTGTGGTTATGCGTTATATTGCCTGTG | <i>EcoRI</i> |
| ECM6619 | TEM-10 | TEM-26-F1 | ACGCGAATTC GAAAAAGGAAGAGTATGAGTATTCAACATTTCCG | <i>EcoRI</i> |
| | | TEM-26-R1 | ACACA <u>AAGCTT</u> TACCAATGCTTAATCAGTGAGGC | <i>HindIII</i> |
| ECM6621 | TEM-26 | TEM-26-F1 | ACGCGAATTC GAAAAAGGAAGAGTATGAGTATTCAACATTTCCG | <i>EcoRI</i> |
| | | TEM-26-R1 | ACACA <u>AAGCTT</u> TACCAATGCTTAATCAGTGAGGC | <i>HindIII</i> |
| EC1008 | CTX-M-3 | CTX-M-3-F2 | ACGCGAATTC AGAATAAGGAATCCCATGGTTAAAAAATCACTGCG | <i>EcoRI</i> |
| | | CTX-M-3-R1 | ACACA <u>AAGCTT</u> TTACAAACCGTCGGTGACG | <i>HindIII</i> |
| KP1005 | CTX-M-14 | CTX-M-14-F1 | ACGCGAATTC AGGAGGTTAATTCTGATGGTGACAAAGAGAGTGCA | <i>EcoRI</i> |
| | | CTX-M-14-R1 | ACACA <u>AAGCTT</u> TTACAGCCCTTCGGCGATG | <i>HindIII</i> |
| KP1009 | CTX-M-15 | CTX-M-15-F1 | ACACGAATTC AGGAGGTTATCGTTGATGGTTAAAAAATCACTGCG | <i>EcoRI</i> |
| | | CTX-M-15-R1 | ACACA <u>AAGCTT</u> TTACAAACCGTTGGTGACGA | <i>HindIII</i> |
| EC1014 | DHA-1 | DHA-1-F1 | ACGCGAATTC GGAAGGTTAATTCTGATGAAAAATCGTTATCTGC | <i>EcoRI</i> |
| | | DHA-1-R1 | ACACA <u>AAGCTT</u> TTATTCCAGTGCACTCAAATAG | <i>HindIII</i> |
| EC1016 | FOX-5 | FOX-5-F2 | ACGCGAATTC CACGAGAATAGCCATATGCAACAACGGCGTGCG | <i>EcoRI</i> |
| | | FOX-5-R1 | ACACA <u>AAGCTT</u> TCACTCGGCCAACTGACTCA | <i>HindIII</i> |

| | | | | |
|---------|--------|---------------|----------------------------------------------------|----------------|
| ECL1002 | P99 | P99-F2 | ACGCGAATTC GACTCGCTATTACGGAAGAT | <i>EcoRI</i> |
| | | P99-HIS-R2 | ACACAAGCTT TTAGTGGTGATGATGGTGATGCTGTAGCGCCTCGAGGA | <i>HindIII</i> |
| KP1013 | CMY-2 | CMY-2-F2 | ACGCGAATTC TACGGAACTGATTTTCATGATGAAAAAATCGTTATGC | <i>EcoRI</i> |
| | | CMY-2-R1 | ACACAAGCTT TTATTGCAGCTTTTCAAGAATGC | <i>HindIII</i> |
| KX1000 | OXA-2 | OXA-2-F2 | ACACGGATCC ATTAAGGAAAAGTTAATGGCAATCCGAATCTTCGC | <i>BamHI</i> |
| | | OXA-2-R1 | ACACAAGCTT TTATCGCGCAGCGTCCGAGT | <i>HindIII</i> |
| KP1007 | OXA-10 | Oxa-10-F2 | ACACGAATTC CACCAAGAAGGTGCCATGAAAACATTTGCCGCAT | <i>EcoRI</i> |
| | | Oxa-10-R1 | ACACAAGCTT TTAGCCACCAATGATGCCCT | <i>HindIII</i> |
| EC1062 | OXA-48 | Oxa-48-F2 | ACACGAATTC AAGCAAGGGGACGTTATGCGTGTATTAGCC | <i>EcoRI</i> |
| | | Oxa-48-HIS-R1 | ACACAAGCTT CTAGTGGTGATGATGGTGATGGGAATAATTTTTCTG | <i>HindIII</i> |
| KP1081 | NDM-1 | NDM1-F2 | ACACGAATTC GCTGAATAAAAGGAAAAGTTG | <i>EcoRI</i> |
| | | NDM1-HIS-R1 | ACACAAGCTT TCAGTGGTGATGATGGTGATGGCGCAGCTTGTCGGCCA | <i>HindIII</i> |
| KP1014 | VIM-1 | VIM-1-F2 | ACGCGAATTC CCCTATGGAGTCTTGATGTAAAAGTTATTAGTAG | <i>EcoRI</i> |
| | | VIM-1-R1 | ACACAAGCTT CTA CTCTCGGCGACTGAGCGATT | <i>HindIII</i> |
| Pa1066 | VIM-2 | VIM-2-own-F | ACACGAATTC ACAAAGTTATGCCGCACTC | <i>EcoRI</i> |
| | | VIM-2-P24-R | ACATAAGCTT CTA CTCAACGACTGAGCGAT | <i>HindIII</i> |
| Pa1070 | VIM-7 | VIM-7-own-F | ACACGGATCC ACAAAGTTATCGCAGTCGG | <i>BamHI</i> |
| | | VIM-7-P24-R | ACATAAGCTT ACTCGGCCACCGGGCGTACTTT | <i>HindIII</i> |

| | | | | |
|---------|--------|-------------------|------------------------------------------------|----------------|
| Pa1068 | SPM-1 | SPM-1-own-F1 | ACACGAATTC TTATCGGAGATCGGAATGAAC | <i>EcoRI</i> |
| | | SPM-1-P24-R1 | ACACAAGCTT CTACAGTCTCATTTCGCCAA | <i>HindIII</i> |
| Pa1069 | GIM-1 | GIM-1-own-F | ACACGGATCC AGTTAGAAGGATGATTTCC | <i>BamHI</i> |
| | | GIM-1-P24-R | ACATCTGCAG TTAATCAGCCGACGCTTCAGC | <i>PstI</i> |
| Pa1064 | IMP-13 | PA-IMP-own-F | ACGCGAATTC TAGAAAAGGDWARGTATGAA | <i>EcoRI</i> |
| | | PA-IMP-R | ACGCGGATCC GTTAGAAAWTTAGYACTTGG | <i>BamHI</i> |
| Pa1067 | IMP-15 | PA-IMP-own-F | ACGCGAATTC TAGAAAAGGDWARGTATGAA | <i>EcoRI</i> |
| | | PA-IMP-R | ACGCGGATCC GTTAGAAAWTTAGYACTTGG | <i>BamHI</i> |
| Pa1065 | IMP-18 | PA-IMP-own-F | ACGCGAATTC TAGAAAAGGDWARGTATGAA | <i>EcoRI</i> |
| | | PA-IMP-R | ACGCGGATCC GTTAGAAAWTTAGYACTTGG | <i>BamHI</i> |
| ECM6846 | CcrA | BF-ccrA-own-F | ACGCGAATTC ATATAAAGAATAAAAATGAAAACAGTATTTATCC | <i>EcoRI</i> |
| | | BF-ccrA-R | ACGCAAGCTT CTATGGCTTTGAAGTGCTTTC | <i>HindIII</i> |
| SMM1020 | L1 | SM-K279a-L1-own-F | ACACGAATTC AAGCGGACGTGGATCATGCGTTTTACCCTGCTCGC | <i>EcoRI</i> |
| | | SM-K279a-L1-R | ACATAAGCTT TCAGCGGGTCCCGGCCGTTT | <i>HindIII</i> |

Reverse transcription-quantitative PCR

| Primer | Gene | Primer sequence (5' to 3') | Used for |
|-------------|-------------|----------------------------|----------|
| KP-acrB-qF2 | <i>acrB</i> | CCGGTATCGCGTTCGTTTCGC | qPCR |
| KP-acrB-qR2 | | AGTTTTTCGTGGCCCAGACCCG | RT; qPCR |

| | | | |
|--------------|---------------|----------------------------|----------|
| KP-ompK35-qF | <i>ompK35</i> | CAACCAGCTGGACGACAACGA | qPCR |
| KP-ompK35-qR | | AGAATTGGTAAACGATACCCACG | RT; qPCR |
| KP-ompK36-qF | <i>ompK36</i> | GTGGTTGCTCAGTACCAGTTC | qPCR |
| KP-ompK36-qR | | GCTGTTGTCGTCCAGCAGGTTG | RT; qPCR |
| KP-rpoB-qF | <i>rpoB</i> | AAGGCGAATCCAGCTTGTTTCAGC | qPCR |
| KP-rpoB-qR | | TGACGTTGCATGTTTCGCACCCATCA | RT; qPCR |

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8 All source strains are part of the Medicines Company strain collection. Abbreviations of species that served as a source of cloned genes: KP, *Klebsiella pneumoniae*; SM, *Serratia*
9 *marcescens*; ECL, *Enterobacter cloacae*, ECM or EC, *Escherichia coli*; KX, *Klebsiella oxytoca*; Pa, *Pseudomonas aeruginosa*; SMM, *Stenotrophomonas maltophilia*.

10 Sequences corresponding to restriction sites are underlined.

11 qPCR, quantitative PCR; RT, reverse transcription

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13 Table S2. The panel of isogenic strains with various combinations of efflux and porin mutations and clinical strains used in this study

| Strains | Beta-lactamase | Parent strain/Recipient | Relevant genotype | Construction/ selection/ source |
|----------------------|-----------------------------------|-------------------------|-------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|
| KPM1001 (ATCC 43816) | SHV-24 ¹ | NA | Wild type | ATCC |
| KPM1026a | SHV-24 | KPM1001 | Wild type | Selected from ATCC 43816 on 200 µg/ml of streptomycin, K88R in S12 |
| KPM1271 | SHV-24, KPC-3, TEM-1 ² | KPM1026a | Wild type | Conjugation of pKpQIL with <i>bla</i> _{KPC-3} from KP1074 into KPM1026a |
| KPM1004 | SHV-24 | ATCC 43816 | <i>ramR</i> | Selected from ATCC 43816 on 4 µg/ml of tigecycline, 8bp insertion in <i>ramR</i> causing a frame-shift from amino acid no.46 |
| KPM1027 | SHV-24 | KPM1004 | <i>ramR</i> | Selected from KPM1027 on 200 µg/ml of streptomycin, K43T in S12 |
| KPM1272 | SHV-24, KPC-3, TEM-1 | KPM1027 | <i>ramR</i> | Conjugation of pKpQIL with <i>bla</i> _{KPC-3} from KP1074 into KPM1027 |
| KPM1176 | SHV-24 | KPM1027 | <i>ramR</i> , <i>ompK36_1176</i> | Selected from KPM1027 on 0.25 µg/ml of meropenem; carries frame-shift from amino acid no. 266 in <i>OmpK36</i> |
| KPM2067 | SHV-24, KPC-3, TEM-1 | KPM1271 | <i>ompK36_2067</i> | Selected from KPM1271 on meropenem 2 µg/ml and vaborbactam 2 µg/ml; frame-shift from amino acid no. 54 of <i>OmpK36</i> |
| KPM2040 | SHV-24 | KPM2067 | <i>ompK36_2067</i> | pKpQIL plasmid with <i>bla</i> _{KPC-3} cured from KPM2067 |
| KPM2600 | SHV-24 | KPM1026a | Δ <i>ompK35</i> | <i>ompK35</i> disrupted in KPM1026a |
| KPM2601 | SHV-24, KPC-3, TEM-1 | KPM2600 | Δ <i>ompK35</i> | Conjugation of pKpQIL with <i>bla</i> _{KPC-3} from KP1074 into KPM2600 |
| KPM2610 | SHV-24 | KPM1027 | <i>ramR</i> Δ <i>ompK35</i> | <i>ompK35</i> disrupted in KPM1027 |
| KPM2828 | SHV-24, KPC-3, TEM-1 | KPM2610 | <i>ramR</i> Δ <i>ompK35</i> | Conjugation of pKpQIL with <i>bla</i> _{KPC-3} from KP1074 into KPM2610 |
| KPM2613 | SHV-24 | KPM2040 | <i>ompK36_2040</i> Δ <i>ompK35</i> | <i>ompK35</i> disrupted in KPM2040 |
| KPM2631 | SHV-24, KPC-3, TEM-1 | KPM2613 | <i>ompK36_2040</i> Δ <i>ompK35</i> | Conjugation of pKpQIL with <i>bla</i> _{KPC-3} from KP1074 into KPM2613 |

| | | | | | |
|---------|------------------------------------|------------------|------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|----------|
| KPM2966 | SHV-24 | KPM2613 | <i>ramR ompK36_2040 ΔompK35</i> | Selected from KPM2613 on 2 µg/ml of tigecycline, TAA at amino acid no. 164 of RamR | 14 |
| KPM2965 | SHV-24, KPC-3, TEM-1 | KPM2631 | <i>ramR ompK36_2040 ΔompK35</i> | Selected from KPM2631 on 4 µg/ml of tigecycline, 8bp insertion in <i>ramR</i> causing a frame-shift from amino acid no. 50 | 15 16 |
| KPM2592 | SHV-24 | KPM1026a | <i>ΔompK36</i> | <i>ompK36</i> disrupted in KPM1026a | 17 |
| KPM2599 | SHV-24, KPC-3, TEM-1 | KPM2592 | <i>ΔompK36</i> | Conjugation of pKpQIL with <i>bla</i> _{KPC-3} from KP1074 into KPM2592 | 18 |
| KPM2658 | SHV-24 | KPM1027 | <i>ramR ΔompK36</i> | <i>ompK36</i> disrupted in KPM1027 | 19 |
| KPM2818 | SHV-24, KPC-3, TEM-1 | KPM2658 | <i>ramR ΔompK36</i> | Conjugation of pKpQIL with <i>bla</i> _{KPC-3} from KP1074 into KPM2658 | 20 |
| KP1074 | SHV-11 ³ , KPC-3, TEM-1 | Clinical isolate | <i>ompK35_fs42⁴ ompK36_GD⁵</i> | The Medicines Company strain collection | 21 22 |
| KPM1308 | SHV-11 | KPM1074 | <i>ompK35_fs42 ompK36_GD</i> | pKpQIL plasmid cured from KP1074 and streptomycin resistant mutant was selected | 23 24 |
| KPM2617 | SHV-11 | KPM1308 | <i>ompK35_fs42 ΔompK36</i> | <i>ompK36</i> disrupted in KPM1308 | 25 26 |
| KPM2644 | SHV-11, KPC-3, TEM-1 | KPM2617 | <i>ompK35_fs42 ΔompK36</i> | Conjugation of pKpQIL with <i>bla</i> _{KPC-3} from KP1074 into KPM2617 | 27 28 |
| KP1004 | SHV-11, KPC-3, TEM-1 | Clinical isolate | <i>ompK35_fs42 ompK36</i> wild-type | The Medicines Company strain collection | 29 30 |

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32 ¹SHV-24 is a chromosomally encoded beta-lactamase in all ATCC 43816/KPM1026a derivatives

33 ²The *bla*_{TEM-1} gene is located on pKpQIL plasmid along with *bla*_{KPC-3}

34 ³SHV-11 is a chromosomally encoded beta-lactamase in KP1074 and KP1004.

35 ⁴fs42, frame-shift in *OmpK35* at amino acid no. 42 that results in non-functional protein

36 ⁵Duplication of two amino acids, Gly134 and Asp135, located within the L3 internal loop and associated with the reduced susceptibility to carbapenems due to constriction of the
37 channel (29).

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40 **Table S3. The effect of varying vaborbactam concentrations on aztreonam MICs in *E. coli* strains expressing various cloned beta-**
 41 **lactamases**

| Strain | Beta-Lactamase | Aztreonam MIC ($\mu\text{g/ml}$) in the presence of varied concentrations of vaborbactam ($\mu\text{g/ml}$) | | | | | | | | MPC ₁₆ |
|---------|---------------------|-----------------------------------------------------------------------------------------------------------------|------|--------------|--------------|--------------|--------------|--------------|--------------|-------------------|
| | | 0 | 0.15 | 0.3 | 0.6 | 1.25 | 2.5 | 5 | 10 | |
| ECM6701 | KPC-2 | 16 | 0.25 | ≤ 0.125 | ≤ 0.125 | ≤ 0.125 | ≤ 0.125 | ≤ 0.125 | ≤ 0.125 | <0.15 |
| ECM6702 | KPC-3 | 32 | 0.5 | 0.25 | ≤ 0.125 | ≤ 0.125 | ≤ 0.125 | ≤ 0.125 | ≤ 0.125 | <0.15 |
| ECM6696 | NMC-A | 32 | 2 | 1 | 0.5 | 0.25 | ≤ 0.125 | ≤ 0.125 | ≤ 0.125 | ≤ 0.15 |
| ECM6706 | SME-2 | >128 | 4 | 2 | 1 | 0.5 | 0.25 | 0.25 | ≤ 0.125 | <0.15 |
| ECM6695 | CTX-M-3 | 4 | 1 | 0.5 | 0.25 | ≤ 0.125 | ≤ 0.125 | ≤ 0.125 | ≤ 0.125 | 0.6 |
| ECM6693 | CTX-M-14 | 4 | 1 | 0.5 | 0.25 | 0.25 | ≤ 0.125 | ≤ 0.125 | ≤ 0.125 | 0.6 |
| ECM6694 | CTX-M-15 | 8 | 8 | 4 | 2 | 1 | 0.5 | 0.25 | ≤ 0.125 | 2.5 |
| ECM6718 | SHV-5 | 16 | 8 | 4 | 2 | 2 | 1 | 0.5 | 0.25 | 2.5 |
| ECM6698 | SHV-12 | 32 | 32 | 16 | 16 | 8 | 8 | 4 | 2 | 10 |
| ECM6699 | SHV-18 | 8 | 8 | 8 | 4 | 2 | 1 | 0.5 | 0.25 | 5 |
| ECM6713 | TEM-10 | 32 | 32 | 32 | 32 | 16 | 8 | 8 | 2 | 10 |
| ECM6714 | TEM-26 | 8 | 8 | 4 | 4 | 2 | 2 | 1 | 1 | >10 |
| ECM6700 | CMY-2 | 4 | 2 | 2 | 2 | 1 | 0.5 | 0.25 | ≤ 0.125 | 5 |
| ECM6715 | AmpC-ECL (P99-like) | 8 | 8 | 8 | 4 | 2 | 1 | 0.5 | 0.25 | 5 |
| ECM6691 | MIR-1 | 16 | 8 | 4 | 2 | 1 | 0.5 | 0.5 | 0.25 | 1.25 |

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 43 MPC, minimal potentiation concentration, MPC₁₆, concentration of vaborbactam required to reduce MIC 16-fold.

44 Vaborbactam concentrations are in bold italic.

45 The effect of increasing vaborbactam concentrations on aztreonam MICs in a panel of *E. coli* clones was determined. The concentration of
46 vaborbactam required to reduce the MIC 16-fold (minimal potentiation concentration, MPC₁₆) was used as a measure of vaborbactam potency.

47 Table S3 shows that vaborbactam had the highest inhibitory potency in strains producing KPC compared to other class A or C beta-lactamases; the
48 MPC₁₆ for these class A carbapenemase-producing strains was ≤0.15 µg/ml. Vaborbactam was 8- to 32-fold less potent in strains producing
49 ESBLs; the MPC₁₆ ranged from 0.6 to 2.5 µg/ml, from 1.25 to 5 µg/ml and from 5 to >10 µg/ml for CTX-M-, class C- and SHV/TEM-producing
50 strains, respectively.

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59 **Table S4. MICs of ceftazidime alone or in combination with vaborbactam against the panel of engineered *E. coli* strains producing**
60 **various cloned metallo-beta-lactamases**

| Strain | Beta-lactamase | Ceftazidime MIC ($\mu\text{g/ml}$) | | |
|---------|----------------|--------------------------------------|-------------------------------------|----|
| | | alone | w/vaborbactam at 8 $\mu\text{g/ml}$ | |
| ECM6704 | none | ≤ 0.125 | ≤ 0.125 | 64 |
| ECM6909 | VIM-2 | 2 | 2 | 65 |
| ECM6903 | VIM-7 | 0.25 | 0.25 | |
| ECM6865 | SPM-1 | 1 | 1 | 66 |
| ECM6912 | GIM-1 | 16 | 16 | 67 |
| ECM6784 | IMP-13 | 64 | 64 | |
| ECM6785 | IMP-18 | >64 | >64 | 68 |
| ECM6786 | IMP-15 | 64 | 64 | |
| ECM6852 | CcrA1 | 32 | 32 | 69 |
| ECM6853 | L1 | 32 | 32 | |

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72 **Table S5. Relative expression of *acrB*, *ompK35* and *ompK36* in KPM1026a and its derivatives, KPM1027 and KPM1176**

| Genes | Strains | | |
|--------------------------|----------|-------------------------------------|---------|
| | KPM1026a | KPM1027 ² (ave±stdev) | KPM1176 |
| <i>ompK35</i> | 1.00 | 0.14±0.02 | 0.14 |
| <i>ompK36</i> | 1.00 | 0.94±0.43 | 0.44 |
| <i>acrB</i> | 1.00 | 3.11±0.64 | 3.88 |
| <i>rpoB</i> ¹ | 1.00 | 1.00 | 1.00 |

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74 ¹ The expression of *acrB*, *ompK35* and *ompK36* in each strain was normalized with the housekeeping gene *rpoB*. To calculate the level of expression relative to strain KPM1026a,
 75 the normalized CT value of a gene in a test strain was subtracted from that of the same gene in KPM1026a, and the difference (Δ CT) was used as a logarithmic power (base=2).

76 ² Data for KPM1027 is the average of 3 tests.