

Electronic supplementary material:

Article title:

Dogs and humans respond to emotionally competent stimuli by producing different facial actions

Authors:

Caeiro, Cátia^{1,2*}; Guo, Kun¹; Mills, Daniel²

¹School of Psychology, University of Lincoln, UK.

²School of Life Sciences, University of Lincoln, UK.

***Corresponding author:**

Cátia C. Caeiro, ccorreaicaeiro@lincoln.ac.uk

S1: The sex and race of the humans coded in the videos were distributed as follows: 25 females and 25 males; 46 Caucasians, 2 Africans, 2 Orientals. The sex and breed of the dogs coded in the videos were distributed as follows: 37 females, 55 males and 8 unknown sex; 34 mixed or unknown breeds, 6 Siberian Huskies, 5 Beagles, 5 Golden Retrievers, 4 Boxers, 3 Alaskan Malamutes, 3 Chihuahuas, 3 Labrador Retrievers, 3 Pitbulls, 2 Cavalier King Charles Spaniels, 2 Cocker Spaniels, 2 French Bulldogs, 2 Dobermans, 2 Greyhounds, 2 Shiba inus, 2 Staffordshire Terriers, 1 American Eskimo Dog, 1 Australian Cattle Dog, 1 Bernese Mountain Dog, 1 Boston Terrier, 1 Catahoula Leopard Dog, 1 Dutch Shepherd, 1 German Shepherd, 1 Great Dane, 1 Jack Russel Terrier, 1 Pekingese, 1 Pomeranian, 1 Poodle, 1 Pudelpointer, 1 Rottweiler, 1 Samoyedo, 1 Shetland Sheepdog, 1 Vizsla, 1 Weimaraner, 1 Whippet, 1 Yorkshire Terrier. Due to the nature of the videos, age could not be identified, but young children and older adults (estimated age below 16 and above 65 years old) were excluded in order to make the FACS coding more reliable as the age-related facial characteristics of very young children or older adults may impact FACS coding (e.g. wrinkles might be wrongly coded as appearance changes). For the same reasons, we excluded dogs that were either reported by the owners as puppies or that still presented puppy-like facial morphology.

Table S2: Code and description of the facial actions identified in humans¹ and dogs² that were entered in the analysis.

Action Units	
Humans	Dogs
1 Inner brow raiser	101 Inner brow raiser
2 Outer brow raiser	x
4 Brow lowerer	x
5 Upper lid raiser	x
6 Cheek raiser	x
7 Lid tightener	x
43 Eye closure	143 Eye closure
45 Blink	145 Blink
10 Upper lip raiser	110 Upper lip raiser
12 Lip corner puller	12 Lip corner puller
14 Dimpler	x
15 Lip corner depressor	x
16 Lower lip depressor	116 Lower lip depressor
17 Chin raiser	x
18 Lip pucker	118 Lip pucker
20 Lip stretcher	x
23 Lip tightener	x
24 Lip presser	x
25 Lips part	25 Lips part
26 Jaw drop	26 Jaw drop
27 Mouth stretch	27 Mouth stretch
38 Nostril dilator 39 Nostril compressor	x

Action Descriptors	
Humans	Dogs
19 Tongue show	19 Tongue show
28 Lips suck	x
33 Blow	x
37 Lip wipe	37 Lip wipe
x	137 Nose lick
x	126 Panting
40 Sniff	40 Sniff
50 Vocalizations	50 Vocalizations
84 Head shake	x

Ear Action Descriptors	
Humans	Dogs
x	EAD101 Ears forward
x	EAD102 Ears adductor
x	EAD103 Ears flattener
x	EAD104 Ears rotator
x	EAD105 Ears downwards

Table S3: Common facial movements across contexts, significantly different than relaxed context, but present in more than one context.

Contexts	Humans				Dogs	
	Rate	Proportion	Previous studies	Source	Rate	Proportion
Fear	AU1	AU1	AU1	³	AU12	AU12
	AU2	AU2	AU2		AU16	AU16
	AU4	AU4	AU4		AD19	AU25
	AU10	AU10	AU25		AU25	AU26
	AU12	AU12	AU26		AU26	AU43
	AU16	AU16	AU27		AU43	
	AU25	AU25				
	AU26	AU27				
	AU27	AD50				
AD50						
Frustration	AU1	AU1	AU1	⁴⁻⁶	AD19	AU43
	AU2	AU2	AU2		AD50	AU25
	AU4	AU4	AU4		AU16	AU26
	AU10				AU25	
	AU25				AU26	
	AU26				AU43	
	AD50				EAD104	
				EAD105		
Positive Anticipation	AU1	AU1	AU12	⁷	AU10	AU16
	AU2	AU2			AU16	AU25
	AU10	AU10			AU25	AU26
	AU12	AU12			AU26	AU43
	AD50	AD50			AD19	
					AU43	
					AD50	
					EAD103	
					EAD104	
				EAD105		
Happiness	AU10	AU10	AU12	³	AU10	AU12
	AU12	AU12			AU12	AU16
	AU16	AU16			AU16	AU25
	AU25	AU25			AU25	AU26
	AU26	AU27			AU26	
	AU27				AD19	
					EAD103	
					EAD104	
					EAD105	

Table S4: Effect sizes (η^2) for Kruskal-Wallis post-hoc tests from first hypothesis and for Mann-Whitney tests from second hypothesis.

Dog Facial Actions	Emotion contrasts	η^2	Effect size
AD19	Relaxation-fear	0.189	large
AU12	Relaxation-fear	0.106	intermediate
AU16	Relaxation-fear	0.125	intermediate
AU25	Relaxation-fear	0.109	intermediate
AU26	Relaxation-fear	0.109	intermediate
AU43	Relaxation-fear	0.121	intermediate
AD126	Relaxation-fear	0.136	intermediate
AD19	Relaxation-positive anticipation	0.123	intermediate
AD37	Relaxation-positive anticipation	0.120	intermediate
AD50	Relaxation-positive anticipation	0.148	large
AU10	Relaxation-positive anticipation	0.085	intermediate
AU16	Relaxation-positive anticipation	0.200	large
AU25	Relaxation-positive anticipation	0.246	large
AU26	Relaxation-positive anticipation	0.237	large
AU43	Relaxation-positive anticipation	0.121	intermediate
EAD102	Relaxation-positive anticipation	0.086	intermediate
EAD103	Relaxation-positive anticipation	0.079	intermediate
EAD104	Relaxation-positive anticipation	0.081	intermediate
EAD105	Relaxation-positive anticipation	0.087	intermediate
AD137	Relaxation-positive anticipation	0.125	intermediate
AD19	Relaxation-happiness	0.121	intermediate
AU10	Relaxation-happiness	0.120	intermediate
AU12	Relaxation-happiness	0.300	large
AU16	Relaxation-happiness	0.184	large
AU25	Relaxation-happiness	0.354	large
AU26	Relaxation-happiness	0.319	large
AU27	Relaxation-happiness	0.137	intermediate
EAD103	Relaxation-happiness	0.093	intermediate
EAD104	Relaxation-happiness	0.099	intermediate
EAD105	Relaxation-happiness	0.100	intermediate
AD19	Relaxation-frustration	0.082	intermediate
AD50	Relaxation-frustration	0.282	large
AU16	Relaxation-frustration	0.086	intermediate
AU25	Relaxation-frustration	0.199	large
AU26	Relaxation-frustration	0.177	large
AU43	Relaxation-frustration	0.121	intermediate
EAD104	Relaxation-frustration	0.182	large
EAD105	Relaxation-frustration	0.215	large

Emotions	Human-dog facial action comparison	η^2	Effect size
Fear	AD19	0.071	intermediate
Fear	AD50	0.143	large
Fear	AU10	0.102	intermediate
Fear	AU16	0.077	intermediate
Fear	AU27	0.120	intermediate
Fear	AU43	0.114	intermediate
Positive anticipation	AD19	0.111	intermediate
Positive anticipation	AD37	0.078	intermediate
Positive anticipation	AU10	0.090	intermediate
Positive anticipation	AU18	0.057	small
Happiness	AD19	0.084	intermediate
Happiness	AU10	0.138	intermediate
Happiness	AU12	0.042	small
Frustration	AU10	0.062	intermediate
Frustration	AU45	0.086	intermediate
Frustration	AU43	0.142	large
Relaxation	AU1	0.099	intermediate

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