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# **BMJ Open**

#### Interventions to improve adherence to reporting guidelines in health research: a scoping review protocol

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Complete List of Authors:	Blanco de Tena-Dávila, David; Barcelona Tech, Statistics and Operational Research Kirkham, Jamie; University of Liverpool, Department of Biostatistics Altman, Doug; Centre for Statistics in Medicine Moher, David; Ottawa Hospital Research Institute, Ottawa Methods Centre Boutron, Isabelle; Université Paris Descartes, Centre d\'épidémiologie clinique Cobo, Erik; Universitat Politecnica Catalunya, Statistics and Operational Research
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Keywords:	Reporting guidelines, Scoping review, Adherence

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### Interventions to improve adherence to reporting guidelines in health research: a scoping review protocol

#### Authors

David Blanco<sup>1</sup>, Jamie Kirkham<sup>2</sup>, Douglas G. Altman<sup>3</sup>, David Moher<sup>4</sup>, Isabelle Boutron<sup>5</sup>, and Erik Cobo<sup>1</sup>.

<sup>1</sup>Statistics and Operations Research Department, Barcelona Tech, Barcelona, Spain.

<sup>2</sup>Department of Biostatistics, University of Liverpool, Liverpool, UK.

<sup>3</sup>Centre for Statistics in Medicine, University of Oxford, Oxford, UK.

<sup>4</sup>Centre for Journalology, Ottawa Hospital Research Institute, Ottawa, Canada.

<sup>5</sup>Centre d'Epidémiologie Clinique, Paris Descartes University, Paris, France.

Correspondence to David Blanco; david.blanco.tena@upc.edu

#### Abstract

**Introduction:** There is evidence that the use of some reporting guidelines, such as the Consolidated Standards for Reporting Trials (CONSORT), is associated with improved completeness of reporting. However, the current levels of adherence to reporting guidelines are suboptimal. Over the last few years, several actions aiming to improve compliance with reporting guidelines have been taken and proposed. We will conduct a scoping review of interventions to improve adherence to reporting guidelines that have been evaluated or suggested, in order to inform future interventions.

**Methods and analysis:** Our review will follow the Joanna Briggs Institute scoping review methods manual. We will search for relevant studies in MEDLINE, EMBASE, and Cochrane Library databases, as well as Google Scholar. The reference lists of included studies and the lists of studies citing them will be added.

One reviewer will screen the full list, which will be randomly split into two halves and independently screened by the other two reviewers. Two reviewers will perform data extraction independently. Discrepancies will be solved through discussion. The interventions found will be classified as assessed or suggested, as well as according to different criteria, in relation to the target population (journal policies, journal editors, authors, reviewers, funders, ethical boards, or others) or the research stage at which they are performed (design, conducting, reporting, or peer review). Descriptive statistical analysis will be performed.

**Ethics and dissemination:** All findings will be published in peer-reviewed journals. Results from this scoping review will contribute to a better understanding and a broader perspective on how the problem of adhering better to reporting guidelines has been tackled so far. This could be a major first step towards developing future strategies to improve compliance with reporting guidelines.

#### Strengthens and limitations of the study

- Results from this scoping review will contribute to a broader perspective on how the problem of improving compliance with reporting guidelines has been addressed so far.
- This scoping review is part of a larger project whose ultimate goal is to explore what strategies to improve adherence to reporting guidelines could be implemented and formally assessed.
- A potential limitation could be the small number of eligible articles in the literature.
- As this is a scoping review, the quality of the evidence will not be assessed.

### Introduction

Reporting guidelines have been available since the inception of the CONSORT statement (1996), which provided a minimum set of recommendations for reporting randomized trials. From that time, different reporting guidelines for different study

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types and clinical areas have been developed. In general, these guidelines provide advice on how to report research methods and findings (1).

Although the vast majority of reporting guidelines have not yet been assessed as to whether they help improve the reporting of research (2), for some of them, such as CONSORT, it has been shown that they enhance the completeness of reporting (3, 4). Saaman et al. examined the extent of adherence to reporting guidelines in different areas of health research since the creation of the CONSORT Statement (5). Some studies reported acceptable overall reporting quality and concluded that it has improved since the introduction of the CONSORT Statement. However, most of the reviews (43 of 50, 86%) concluded that more improvement is needed, or that the reporting quality was inadequate, poor, medium or suboptimal (5).

Consequently, several actions aiming to improve adherence to reporting guidelines have been taken over the last years. Writing aid tools such as WebCONSORT (6) have been developed and many journals explicitly endorse reporting guidelines (7). However, few of these interventions have been assessed and some of them have not been shown to have a benefit (2, 6). Further actions need to be taken to increase the current levels of adherence to reporting guidelines.

#### Scoping review objective

The goal of this scoping review is to identify interventions aiming to improve adherence to reporting guidelines in health research. More specifically, in addition to quantify the effect of those already evaluated, our aim is to gather ideas suggested in the literature as possible interventions that could be implemented in the future. No scoping review on this subject has been performed so far.

#### Methods

Our research objectives will be addressed using established scoping review methodology. Since we aim to provide a wide overview of this field (8), and map the key concepts underpinning this research area and the main sources and types of evidence available, we consider that performing a scoping review is the most suitable approach to our problem (9). This protocol will follow the methodology manual published by the Joanna Briggs Institute for scoping reviews (10).

#### Scoping review questions

We aim to answer the following questions:

- 1. What interventions to improve adherence to reporting guidelines in health research have been evaluated?
- 2. What actions to improve adherence to reporting guidelines have been suggested in the literature?
- 3. For each intervention found in the questions 1 and 2 above,
  - a) What was the target population of it? We will consider the following possible targets: journal policies, journal editors, authors, reviewers, funders, ethical boards, or others.
  - b) What research stages does it affect? We will consider the following possible research stages: design, conducting, reporting, and peer review.
  - c) In which health care area was it evaluated or suggested?
  - d) What was the rationale behind it?
  - e) In case that it was evaluated,
    - a. How was it evaluated?
    - b. What reporting guidelines does it consider?
    - c. What was the effect of it on adherence to the reporting guidelines mentioned above?

#### **Inclusion criteria**

#### We will include:

- Studies evaluating interventions aiming to improve the adherence to reporting guidelines in health research, irrespective of study design.
- 2. Commentaries, editorials, letters, and studies containing ideas or suggestions of interventions that can be implemented.

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We will consider publications written in English, French, German, Catalan, and Spanish. The reporting guidelines considered will be those shown in the EQUATOR (Enhancing the QUAlity and Transparency Of Health Research) Network website (1) as "Reporting Guidelines for main study types" (see Table 1). In addition, we will also include QUOROM (Quality of Reporting of Meta-analysis) for systematic reviews, since it was the precursor of PRISMA.

#### Search strategy

We will search MEDLINE (via PubMed), EMBASE (via Ovid), and Cochrane Library databases, as well as Google Scholar, for relevant articles. The search will be limited to articles published after January 1996, since the CONSORT Statement was the first reporting guideline in biomedical research and it was elaborated in 1996. The search strategy has been developed with the help of a librarian of the Barcelona Tech. Table 2 shows the detailed search terms for MEDLINE.

The retrieved studies will be exported into the reference manager Mendeley. Following the removal of duplicates, one reviewer (DB) will first screen the titles and abstracts for eligibility before reading the full texts, while the other two reviewers (EC and JK) will be assigned and will screen one of the two random halves in which the full list will be divided. Second, the reviewers will thoroughly examine the full-text for all potentially eligible articles to confirm whether the study should be included or not. One reviewer (DB) will ensure literature saturation by searching the reference lists of included studies, as well as the lists of articles citing them. Disagreement will be addressed by consensus after discussion, and the third reviewer (EC or JK) will be consulted if no consensus is reached.

#### Data extraction

The selected articles will be exported into an Excel file, where the data extraction will be performed. Two authors (DB and JK) will independently extract data as shown below:

- 1. Publication characteristics: title, year of publication, author, design, and field of study.
- 2. Characteristics of the intervention:

- a. Classification as evaluated or suggested.
- b. Target: journal policies, authors, peer reviewers, journal editors, funders, ethical boards, or others.
- c. Research stage: design, conducting, reporting or peer review.
- d. Health care area where it was evaluated or suggested.
- e. Rationale.
- f. In case that it was evaluated, way of assessment, reporting guidelines considered, and effect of the intervention on adherence to those reporting guidelines.
- 3. Overall conclusions by the authors.

If further information is needed, we will contact the authors of the included studies. Any disagreement will be solved by discussion.

#### Synthesis and reporting of results

The interventions found will be first divided in two groups: the ones that have already been evaluated and the ones that have not. For each group, the interventions will be classified according to their target population, as well as to the research stage at which they are performed or suggested. The general characteristics of included studies will be summarized. In addition, for the group of evaluated interventions, we will describe how the authors assess them, what reporting guidelines they consider, and what their effect on adherence to those reporting guidelines is. Descriptive statistical analysis will be performed.

A checklist for reporting scoping reviews, the "Preferred Reporting Items for Systematic Reviews and Meta-Analysis: extension for Scoping Reviews (PRISMA-ScR)", is currently under development (11). If it is available by the time of reporting the results of the scoping review, it will be used.

#### Discussion

The aim of this review is to identify and classify interventions to improve adherence to reporting guidelines. We believe that having a wide picture of how the problem of adhering better to reporting guidelines has been tackled so far, as well as investigating what further actions have been suggested, is critical to facing the problem of improving adherence to reporting guidelines with a broader perspective.

This scoping review is part of a larger project whose ultimate goal is to explore what strategies to improve adherence to reporting guidelines could be implemented and formally assessed. The results of this review could send a message to funders, authors, editors and reviewers about what has already been done to face this critical problem, and about what else could be done from now on. We believe that this review could be a major first step towards developing future strategies to improve adherence to reporting guidelines.

**Funding:** This scoping review belongs to the ESR 14 research project from the Methods in Research on Research (MiRoR) project, which has received funding from the European Union's Horizon 2020 research and innovation programme under the Marie Sklodowska-Curie grant agreement No 676207.

Authors' contributions: All authors contributed to conceptualizing and designing the study. DB and EC drafted the manuscript. IB, DM, DGA, and JK made major revisions. All authors read and approved the final version of the manuscript.

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Competing interests: None declared.

Ethics approval: Not required.

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#### Table 1

Description of the acronyms and full names of the reporting guidelines shown in the EQUATOR website as "Reporting Guidelines for main study types".

	Consolidated Standards of Reporting Trials	
STROBE	Strengthening the Reporting of Observational Studies in Epidemiology	
PRISMA	Preferred Reporting Items for Systematic Reviews and Meta-Analyses	
SRQR	Standards for Reporting Qualitative Research	
COREQ	Consolidated criteria for Reporting Qualitative research	
STARD	Standard Protocol Items: Recommendations for Interventional Trials	
TRIPOD	Transparent Reporting of a multivariable prediction model for Individual Prognosis or Diagnosis	
SQUIRE	Standards for Quality Improvement Reporting Excellence	
CHEERS	Consolidated Health Economic Evaluation Reporting Standards	
SPIRIT	Standard Protocol Items: Recommendations for Interventional Trials	
PRISMA-P	Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols	
CARE	Case Report	
AGREE	Appraisal of Guidelines, Research and Evaluation	
ARRIVE	Animal Research: Reporting In Vivo Experiments	
RIGHT	Reporting Tool for Practice Guidelines in Health Care	

#### Table 2

Search terms for MEDLINE (from January 1, 1996, to March 31, 2017) via PubMed. These terms are modified for the search in other databases.

Steps	Search terms
\$1	impact* [tw]
S2	improv* [tw]
S3	enhanc* [tw]
\$4	boost* [tw]
S5	increas* [tw]
\$6	influenc* [tw]
S7	effect [tw]
S8	S1 OR S2 OR S3 OR S4 OR S5 OR S6 OR S7
S9	compliance [tw]
S10	adherence [tw]
S11	completeness [tw]
S12	"quality of reporting" [tw]
S13	"reporting quality" [tw]
S14	S9 OR S10 OR S11 OR S12 OR S 13
\$15	"Consolidated Standards of Reporting Trials" [tw] <b>OR</b> CONSORT [tw]
S16	"Strengthening the Reporting of Observational Studies in Epidemiology"[tw] OR STROBE[tw]
S17	"Preferred Reporting Items for Systematic reviews and Meta-Analyses" [tw] OR PRISMA[tw]
S18	"Standards for Reporting Qualitative Research" [tw] OR SRQR[tw]
S19	"Consolidated criteria for Reporting Qualitative research" [tw] OR COREQ[tw]
S20	"Standard Protocol Items: Recommendations for Interventional Trials" [tw] OR STARD[tw]
S21	"Transparent Reporting of a multivariable prediction model for Individual Prognosis or Diagnosis"[tw] <b>OR</b> TRIPOD[tw]
S22	"Standards for Quality Improvement Reporting Excellence" [tw] OR SQUIRE[tw]
S23	"Consolidated Health Economic Evaluation Reporting Standards" [tw] OR CHEERS[tw]
S24	"Standard Protocol Items: Recommendations for Interventional Trials" [tw] OR SPIRIT[tw]
S25	"Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols" [tw] <b>OR</b> PRISMA-P[tw]
S26	"Quality of Reporting of Meta-analysis" [tw] <b>OR</b> QUOROM [tw]
S27	"Case Report"[tw] AND CARE[tw]
S28	"Appraisal of Guidelines, Research and Evaluation"[tw] AND AGREE[tw]
S29	"Animal Research: Reporting In Vivo Experiments" [tw] AND ARRIVE[tw]
S30	"Reporting Tool for Practice Guidelines in Health Care" [tw] AND RIGHT[tw]
\$31	S15 OR S16 OR S17 OR S18 OR S19 OR S20 OR S21 OR S22 OR S23 OR S24 OR S25 OR S26 OR S27 OR S28 OR S29 OR S30
S32	S8 AND S14 AND S31
S33	S32 AND "1996/01/01"[PDAT] : "2017/01/31"[PDAT]

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#### Interventions to improve adherence to reporting guidelines in health research: a scoping review protocol

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<b>Primary Subject Heading</b> :	Medical publishing and peer review
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2	health research: a scoping review protocol
3	Authors
4	David Blanco <sup>1</sup> , Jamie Kirkham <sup>2</sup> , Douglas G. Altman <sup>3</sup> , David Moher <sup>4</sup> , Isabelle Boutron <sup>5</sup> ,
5	and Erik Cobo <sup>1</sup> .
6	<sup>1</sup> Statistics and Operations Research Department, Barcelona Tech, Barcelona, Spain.
7	<sup>2</sup> Department of Biostatistics, University of Liverpool, Liverpool, UK.
8	<sup>3</sup> Centre for Statistics in Medicine, University of Oxford, Oxford, UK.
9	<sup>4</sup> Centre for Journalology, Ottawa Hospital Research Institute, Ottawa, Canada.
10	<sup>5</sup> Centre d'Epidémiologie Clinique, Paris Descartes University, Paris, France.
11	<u>Correspondence to</u> David Blanco; <u>david.blanco.tena@upc.edu</u>
12	
13	Abstract

**Introduction:** There is evidence that the use of some reporting guidelines, such as the Consolidated Standards for Reporting Trials (CONSORT), is associated with improved completeness of reporting in health research. However, the current levels of adherence to reporting guidelines are suboptimal. Over the last few years, several actions aiming to improve compliance with reporting guidelines have been taken and proposed. We will conduct a scoping review of interventions to improve adherence to reporting guidelines in health research that have been evaluated or suggested, in order to inform future interventions.

Methods and analysis: Our review will follow the Joanna Briggs Institute scoping review methods manual. We will search for relevant studies in MEDLINE, EMBASE, and Cochrane Library databases. Moreover, we will carry out

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lateral searches from the reference lists of the included studies, as well as from the lists of articles citing the included ones. One reviewer will screen the full list, which will be randomly split into two halves and independently screened by the other two reviewers. Two reviewers will perform data extraction independently. Discrepancies will be solved through discussion. In addition, this search strategy will be supplemented by a grey literature search. The interventions found will be classified as assessed or suggested, as well as according to different criteria, in relation to their target (journal policies, journal editors, authors, reviewers, funders, ethical boards, or others) or the research stage at which they are performed (design, conducting, reporting, or peer review). Descriptive statistical analysis will be performed. 

Ethics and dissemination: A paper summarizing the findings from this review will be published in a peer-reviewed journal. This scoping review will contribute to a better understanding and a broader perspective on how the problem of adhering better to reporting guidelines has been tackled so far. This could be a major first step towards developing future strategies to improve compliance with reporting guidelines in health research.

#### 41 Strengths and limitations of the study

- Results from this scoping review will contribute to a broader perspective on how the problem of improving compliance with reporting guidelines has been addressed in the published literature thus far.
- This scoping review is part of a larger project whose ultimate goal is to explore what strategies to improve adherence to reporting guidelines could be implemented and formally assessed.
  - A potential limitation could be the small number of eligible articles in the literature.
    - As this is a scoping review, the quality of the evidence will not be assessed.

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#### 53 Introduction

Reporting guidelines have been available since the inception of the CONSORT statement (1996), which provided a minimum set of recommendations for reporting randomized trials. From that time, different reporting guidelines for different study types, data, and clinical areas have been developed. In general, these guidelines provide advice on how to report research methods and findings (1).

Although the vast majority of reporting guidelines have not yet been assessed as to whether they help improve the reporting of research (2), for some of them, such as CONSORT, it has been shown that they may enhance the completeness of reporting (3, 4).

Dozens of systematic reviews have explored the extent of adherence to different reporting guidelines in some areas of health research (5-9). Saaman et al. (10) went one step further and performed a systematic review of systematic reviews assessing adherence to reporting guidelines. As they considered a broad range of clinical areas and study designs since the creation of the CONSORT Statement, their results provided a global picture of compliance with reporting guidelines in health research. The authors determined that, although some studies reported acceptable overall reporting quality and stated that it has improved since the introduction of the CONSORT Statement, most of the reviews (43 of 50, 86%) concluded that more improvement is needed, or that the reporting quality was inadequate, poor, medium or suboptimal. For this reason, the authors outlined some recommendations to enhance adherence to reporting guidelines and encouraged action to develop strategies to improve the current state of completeness of reporting.

In recent years, different initiatives aiming to improve adherence to reporting guidelines have been proposed, and some of them have already been evaluated. For example, writing aid tools such as WebCONSORT (11) have been developed and assessed, the influence of statistician involvement on quality of reporting has been evaluated (12), and different studies have investigated the effect of explicitly endorsing reporting guidelines on completeness of reporting (3, 4, 13, 14). While some

of these actions have not been shown to have a benefit (11, 12), others report better but still suboptimal levels of reporting (4, 5, 13 14). Therefore, further actions have to be taken to enhance the current levels of compliance with reporting guidelines.

As mentioned, several reviews have analyzed the quality of reporting in different clinical areas and for different studies (5-10), but no scoping review investigating what actions have been taken or suggested in order to improve compliance with reporting guidelines has been performed so far. Given the low levels of completeness of reporting in health research observed (10) and the urgent need of taking further actions to mitigate this problem, performing such a scoping review is warranted.

The goal of this scoping review is to identify interventions aiming to improve adherence to reporting guidelines in health research. More specifically, in addition to quantify the effect of those already evaluated, our aim is to gather ideas suggested in the literature as possible interventions that could be implemented in the future.

#### 95 Methods

96 Our research objectives will be addressed using established scoping review 97 methodology. Since we aim to provide a wide overview of this field (15), and map the 98 key concepts underpinning this research area and the main sources and types of 99 evidence available, we consider that performing a scoping review is the most suitable 100 approach (16). This protocol will follow the methodology manual published by the 101 Joanna Briggs Institute for scoping reviews (17).

#### 102 Scoping review questions

- 103 We aim to answer the following questions:
- What interventions to improve adherence to reporting guidelines in health
   research have been evaluated?
- 106 2. What actions to improve adherence to reporting guidelines have been107 suggested in the literature?
- 108 3. For each intervention found in the questions 1 and 2 above,

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3	109	a) What was the target? We will consider the following possible targets:	
4 5	110	journal policies, journal editors, authors, reviewers, funders, ethical boards,	
6	111	or others.	
7 8	112	b) What research stages does it affect? We will consider the following possible	
9 10	113	research stages: design, conducting, reporting, and peer review	
11	115		
12 13	114	c) In which health care area was it evaluated or suggested?	
14	115	d) What was the rationale behind it?	
15 16	116	e) In cases where it was evaluated,	
17 18	117	a. How was it evaluated?	
19	118	b. What reporting guidelines does it consider?	
20 21	119	c. What was the effect on adherence to the reporting guidelines	
22 23	120	mentioned above?	
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25 26	121	Inclusion criteria	
27	100		
28 29	122	We will include:	
30	172	1 Studies evaluating interventions aiming to improve the adherence to reporting	
31 32	123	guidelines in health research irrespective of study design	
33 34	127	2. Compared allocated and the data data disconstructure of standy design.	
35	125	2. Commentaries, editorials, letters, and studies containing ideas or suggestions	
36 27	126	of interventions that can be implemented.	
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39	127	The reporting guidelines considered will be those shown in the EQUATOR (Enhancing	
40 41	128	the QUAlity and Transparency Of Health Research) Network website (1) as "Reporting	
42 43	129	Guidelines for main study types" (see Table 1). In addition, we will also include	
44	130	QUOROM (Quality of Reporting of Meta-analysis) for systematic reviews, since it was	
45 46	131	the precursor of PRISMA.	
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48 49	132	Exclusion criteria	
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51 52	133	We will consider the following languages: English, French, German, Catalan, and	
53 54	134	Spanish. Publications not written in any of those languages will be excluded.	
55	405	Sooreh stratogy	
56 57	135	Search Strategy	
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We will search MEDLINE (via PubMed), EMBASE, and Cochrane Library databases for relevant articles. The search will be limited to articles published between 1 January 138 1996 and 31 March 2017, given that the CONSORT Statement is considered the first reporting guideline in biomedical research and it was published in 1996. The search strategy has been developed with the help of a librarian of the Barcelona Tech. Table 2 and Table 3 show the detailed search terms for MEDLINE and EMBASE. The search terms for Cochrane Library are analogue to those used for EMBASE.

The retrieved studies will be exported into the reference manager Mendeley, which will be subsequently used to remove the duplicates. One reviewer (DB) will first screen the titles and abstracts for eligibility before reading the full texts, while the other two reviewers (EC and JK) will be assigned and will also screen the titles and the abstracts of one of the two random halves in which the full list will be divided. This process will be carried out in Mendeley. Second, the reviewers will thoroughly examine the fulltext for all potentially eligible articles to confirm whether the study should be included or not. One reviewer (DB) will ensure literature saturation by searching the reference lists of included studies, as well as the lists of articles citing them, according to PubMed. Disagreement will be addressed by consensus after discussion, and the third reviewer (EC or JK) will be consulted if no consensus is reached.

In addition, we will perform a grey literature search, including websites of networks
promoting the use of reporting guidelines (i.e. EQUATOR Network), organizations that
offer resources for reviewers (i.e. Publons), work groups of medical journal editors (i.e.
ICMJE), biomedical journal publishers (i.e. BMJ Publishing Group), or funding agencies
(i.e. NIH). In addition, a non-systematic search in Google Scholar will be performed.

- 159 The search will start in early May 2017.
- 160 Data extraction

161 The selected articles will be exported into an Excel file, where the data extraction will 162 be performed. Two authors (DB and JK) will independently extract data as shown 163 below:

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origin, and field of study.

e. Rationale.

reporting guidelines.

3. Overall conclusions by the authors.

Any disagreement will be solved by discussion.

Synthesis and reporting of results

analysis will be performed.

2. Characteristics of the intervention:

a. Classification as evaluated or suggested.

funders, ethical boards, or others.

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1. Publication characteristics: title, year of publication, author, design, country of

b. Target: journal policies, authors, peer reviewers, journal editors,

f. In case that it was evaluated, way of assessment, reporting guidelines

considered, and effect of the intervention on adherence to those

c. Research stage: design, conducting, reporting or peer review.

If further information is needed, we will contact the authors of the included studies.

The interventions found will be first divided in two groups: the ones that have already

been evaluated and the ones that have not. For each group, the interventions will be

classified according to their target population, as well as to the research stage at which

they were performed or suggested. The general characteristics of included studies will

be summarized. In addition, for the group of evaluated interventions, we will describe

how the authors assessed them, what reporting guidelines they considered, and what

their effect on adherence to those reporting guidelines was. Descriptive statistical

A checklist for reporting scoping reviews, the "Preferred Reporting Items for

Systematic Reviews and Meta-Analysis: extension for Scoping Reviews (PRISMA-ScR)",

is currently under development (18). However, according to its developers, it is highly

unlikely that the checklist will be published before we report the results of the review.

d. Health care area where it was evaluated or suggested.

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#### 193 Discussion

The aim of this review is to identify and classify interventions to improve adherence to reporting guidelines. We believe that having a wide picture of how the problem of adhering better to reporting guidelines has been tackled so far, as well as investigating what further actions have been suggested, is critical to facing the problem of improving adherence to reporting guidelines with a broader perspective.

This scoping review is part of a larger project whose ultimate goal is to explore what strategies to improve adherence to reporting guidelines could be implemented and formally assessed. The results of this review could send a message to funders, authors, editors and reviewers about what has already been done to face this critical problem, and about what else could be done from now on. We believe that this review could be a major first step towards developing future strategies to improve adherence to reporting guidelines.

Funding: This scoping review belongs to the ESR 14 research project from the Methods in
Research on Research (MiRoR) project, which has received funding from the European Union's
Horizon 2020 research and innovation programme under the Marie Sklodowska-Curie grant
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Authors' contributions: All authors contributed to conceptualizing and designing the study. DB
and EC drafted the manuscript. IB, DM, DGA, and JK made major revisions. All authors read
and approved the final version of the manuscript.

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- **Competing interests:** None declared.
- 219 Ethics approval: Not required.

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Description of the acronyms and full names of the reporting guidelines shown in the 

EQUATOR website as "Reporting Guidelines for main study types". 

Table 1

Acronym	Full name
CONSORT	Consolidated Standards of Reporting Trials
STROBE	Strengthening the Reporting of Observational Studies in Epidemiology
PRISMA	Preferred Reporting Items for Systematic Reviews and Meta-Analyses
SRQR	Standards for Reporting Qualitative Research
COREQ	Consolidated criteria for Reporting Qualitative research
STARD	Standard Protocol Items: Recommendations for Interventional Trials
TRIPOD	Transparent Reporting of a multivariable prediction model for Individual Prognosis or Diagnosis
SQUIRE	Standards for Quality Improvement Reporting Excellence
CHEERS	Consolidated Health Economic Evaluation Reporting Standards
SPIRIT	Standard Protocol Items: Recommendations for Interventional Trials
PRISMA-P	Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols
CARE	Case Report
AGREE	Appraisal of Guidelines, Research and Evaluation
ARRIVE	Animal Research: Reporting In Vivo Experiments
RIGHT	Reporting Tool for Practice Guidelines in Health Care

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#### Table 2

#### Search terms for MEDLINE (from January 1, 1996, to March 31, 2017) via PubMed.

Steps	Search terms
\$1	impact* [tw]
S2	improv* [tw]
S3	enhanc* [tw]
\$4	boost* [tw]
S5	increas* [tw]
\$6	influenc* [tw]
\$7	effect [tw]
S8	S1 OR S2 OR S3 OR S4 OR S5 OR S6 OR S7
S9	compliance [tw]
\$10	adherence [tw]
\$11	completeness [tw]
\$12	"quality of reporting" [tw]
\$13	"reporting quality" [tw]
\$14	S9 OR S10 OR S11 OR S12 OR S 13
\$15	"Consolidated Standards of Reporting Trials" [tw] <b>OR</b> CONSORT [tw]
\$16	"Strengthening the Reporting of Observational Studies in Epidemiology"[tw] OR STROBE[tw]
\$17	"Preferred Reporting Items for Systematic reviews and Meta-Analyses" [tw] OR PRISMA[tw]
\$18	"Standards for Reporting Qualitative Research" [tw] OR SRQR[tw]
S19	"Consolidated criteria for Reporting Qualitative research" [tw] OR COREQ[tw]
S20	"Standard Protocol Items: Recommendations for Interventional Trials" [tw] OR STARD[tw]
S21	"Transparent Reporting of a multivariable prediction model for Individual Prognosis or Diagnosis"[tw] <b>OR</b> TRIPOD[tw]
S22	"Standards for Quality Improvement Reporting Excellence" [tw] OR SQUIRE[tw]
\$23	"Consolidated Health Economic Evaluation Reporting Standards" [tw] OR CHEERS[tw]
S24	"Standard Protocol Items: Recommendations for Interventional Trials" [tw] OR SPIRIT[tw]
S25	"Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols" [tw] <b>OR</b> PRISMA- P[tw]
\$26	"Quality of Reporting of Meta-analysis"[tw] <b>OR</b> QUOROM[tw]
\$27	"Case Report"[tw] AND CARE[tw]
S28	"Appraisal of Guidelines, Research and Evaluation" [tw] AND AGREE[tw]
S29	"Animal Research: Reporting In Vivo Experiments"[tw] AND ARRIVE[tw]
S30	"Reporting Tool for Practice Guidelines in Health Care" [tw] AND RIGHT[tw]
\$31	S15 OR S16 OR S17 OR S18 OR S19 OR S20 OR S21 OR S22 OR S23 OR S24 OR S25 OR S26 OR S27 OR S28 OR S29 OR S30
\$32	S8 AND S14 AND S31
\$33	S32 AND "1996/01/01"[PDAT] : "2017/03/31"[PDAT]

Search tei	ms for EMBASE (from January 1, 1996, to March 31, 2017).
Steps	Search terms
S1	impact*:ti,ab
S2	improv*:ti,ab
S3	enhanc*:ti,ab
S4	boost*:ti,ab
\$5	increas*:ti,ab
S6	influenc*:ti,ab
S7	effect:ti,ab
S8	S1 OR S2 OR S3 OR S4 OR S5 OR S6 OR S7
S9	compliance:ti,ab
S10	adherence:ti,ab
S11	completeness:ti,ab
S12	"quality of reporting":ti,ab
\$13	"reporting quality":ti,ab
S14	S9 OR S10 OR S11 OR S12 OR S 13
\$15	"Consolidated Standards of Reporting Trials":ti,ab <b>OR</b> CONSORT:ti,ab
S16	"Strengthening the Reporting of Observational Studies in Epidemiology":ti,ab <b>OR</b> STROBE:ti,ab
S17	"Preferred Reporting Items for Systematic reviews and Meta-Analyses":ti,ab <b>OR</b> PRISMA:ti,ab
S18	"Standards for Reporting Qualitative Research":ti,ab <b>OR</b> SRQR:ti,ab
S19	"Consolidated criteria for Reporting Qualitative research":ti,ab <b>OR</b> COREQ:ti,ab
S20	"Standard Protocol Items: Recommendations for Interventional Trials":ti,ab OR STARD:ti,ab
S21	"Transparent Reporting of a multivariable prediction model for Individual Prognosis or Diagnosis":ti,ab <b>OR</b> TRIPOD:ti,ab
S22	"Standards for Quality Improvement Reporting Excellence":ti,ab OR SQUIRE:ti,ab
S23	"Consolidated Health Economic Evaluation Reporting Standards":ti,ab OR CHEERS:ti,ab
S24	"Standard Protocol Items: Recommendations for Interventional Trials":ti,ab <b>OR</b> SPIRIT:ti,ab
S25	"Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols":ti,ab <b>OR</b> PRISI P:ti,ab
S26	"Quality of Reporting of Meta-analysis":ti,ab <b>OR</b> QUOROM:ti,ab
S27	"Case Report":ti,ab <b>AND</b> CARE:ti,ab
S28	"Appraisal of Guidelines, Research and Evaluation":ti,ab AND AGREE:ti,ab
S29	"Animal Research: Reporting In Vivo Experiments":ti,ab <b>AND</b> ARRIVE:ti,ab
\$30	"Reporting Tool for Practice Guidelines in Health Care":ti,ab AND RIGHT:ti,ab
S31	S15 OR S16 OR S17 OR S18 OR S19 OR S20 OR S21 OR S22 OR S23 OR S24 OR S25 OR S26 OR OR S28 OR S29 OR S30
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# **BMJ Open**

#### Interventions to improve adherence to reporting guidelines in health research: a scoping review protocol

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Article Type:	Protocol
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<b>Primary Subject Heading</b> :	Medical publishing and peer review
Secondary Subject Heading:	Evidence based practice
Keywords:	Reporting guidelines, Scoping review, Adherence

SCHOLARONE<sup>™</sup> Manuscripts

1	Interventions to improve adherence to reporting guidelines in
2	health research: a scoping review protocol
3	Authors
4	David Blanco <sup>1</sup> , Jamie Kirkham <sup>2</sup> , Douglas G. Altman <sup>3</sup> , David Moher <sup>4</sup> , Isabelle Boutron <sup>5</sup> ,
5	and Erik Cobo <sup>1</sup> .
6	<sup>1</sup> Statistics and Operations Research Department, Barcelona Tech, Barcelona, Spain.
7	<sup>2</sup> Department of Biostatistics, University of Liverpool, Liverpool, UK.
8	<sup>3</sup> Centre for Statistics in Medicine, University of Oxford, Oxford, UK.
9	<sup>4</sup> Centre for Journalology, Ottawa Hospital Research Institute, Ottawa, Canada.
10	<sup>5</sup> Centre d'Epidémiologie Clinique, Paris Descartes University, Paris, France.
11	<u>Correspondence to</u> David Blanco; <u>david.blanco.tena@upc.edu</u>
12	
13	Abstract

**Introduction:** There is evidence that the use of some reporting guidelines, such as the Consolidated Standards for Reporting Trials (CONSORT), is associated with improved completeness of reporting in health research. However, the current levels of adherence to reporting guidelines are suboptimal. Over the last few years, several actions aiming to improve compliance with reporting guidelines have been taken and proposed. We will conduct a scoping review of interventions to improve adherence to reporting guidelines in health research that have been evaluated or suggested, in order to inform future interventions.

Methods and analysis: Our review will follow the Joanna Briggs Institute scoping review methods manual. We will search for relevant studies in MEDLINE, EMBASE, and Cochrane Library databases. Moreover, we will carry out

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lateral searches from the reference lists of the included studies, as well as from the lists of articles citing the included ones. One reviewer will screen the full list, which will be randomly split into two halves and independently screened by the other two reviewers. Two reviewers will perform data extraction independently. Discrepancies will be solved through discussion. In addition, this search strategy will be supplemented by a grey literature search. The interventions found will be classified as assessed or suggested, as well as according to different criteria, in relation to their target (journal policies, journal editors, authors, reviewers, funders, ethical boards, or others) or the research stage at which they are performed (design, conducting, reporting, or peer review). Descriptive statistical analysis will be performed. 

Ethics and dissemination: A paper summarizing the findings from this review will be published in a peer-reviewed journal. This scoping review will contribute to a better understanding and a broader perspective on how the problem of adhering better to reporting guidelines has been tackled so far. This could be a major first step towards developing future strategies to improve compliance with reporting guidelines in health research.

#### 41 Strengths and limitations of the study

- Results from this scoping review will contribute to a broader perspective on how the problem of improving compliance with reporting guidelines has been addressed in the published literature thus far.
- This scoping review is part of a larger project whose ultimate goal is to explore what strategies to improve adherence to reporting guidelines could be implemented and formally assessed.
  - A potential limitation could be the small number of eligible articles in the literature.
    - As this is a scoping review, the quality of the evidence will not be assessed.

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#### 53 Introduction

Reporting guidelines have been available since the inception of the CONSORT statement (1996), which provided a minimum set of recommendations for reporting randomized trials. From that time, different reporting guidelines for different study types, data, and clinical areas have been developed. In general, these guidelines provide advice on how to report research methods and findings (1).

Although the vast majority of reporting guidelines have not yet been assessed as to whether they help improve the reporting of research (2), for some of them, such as CONSORT, it has been shown that they may enhance the completeness of reporting (3, 4).

Dozens of systematic reviews have explored the extent of adherence to different reporting guidelines in some areas of health research (5-9). Saaman et al. (10) went one step further and performed a systematic review of systematic reviews assessing adherence to reporting guidelines. As they considered a broad range of clinical areas and study designs since the creation of the CONSORT Statement, their results provided a global picture of compliance with reporting guidelines in health research. The authors determined that, although some studies reported acceptable overall reporting quality and stated that it has improved since the introduction of the CONSORT Statement, most of the reviews (43 of 50, 86%) concluded that more improvement is needed, or that the reporting quality was inadequate, poor, medium or suboptimal. For this reason, the authors outlined some recommendations to enhance adherence to reporting guidelines and encouraged action to develop strategies to improve the current state of completeness of reporting.

In recent years, different initiatives aiming to improve adherence to reporting guidelines have been proposed, and some of them have already been evaluated. For example, writing aid tools such as WebCONSORT (11) have been developed and assessed, the influence of statistician involvement on quality of reporting has been evaluated (12), and different studies have investigated the effect of explicitly endorsing reporting guidelines on completeness of reporting (3, 4, 13, 14). While some

of these actions have not been shown to have a benefit (11, 12), others report better but still suboptimal levels of reporting (4, 5, 13 14). Therefore, further actions have to be taken to enhance the current levels of compliance with reporting guidelines.

As mentioned, several reviews have analyzed the quality of reporting in different clinical areas and for different studies (5-10), but no scoping review investigating what actions have been taken or suggested in order to improve compliance with reporting guidelines has been performed so far. Given the low levels of completeness of reporting in health research observed (10) and the need of taking further actions to mitigate this problem, we consider that performing such a scoping review is warranted.

The goal of this scoping review is to identify interventions aiming to improve adherence to reporting guidelines in health research. More specifically, in addition to quantify the effect of those already evaluated, our aim is to gather ideas suggested in the literature as possible interventions that could be implemented in the future.

#### 96 Methods

 97 Our research objectives will be addressed using established scoping review 98 methodology. Since we aim to provide a wide overview of this field (15), and map the 99 key concepts underpinning this research area and the main sources and types of 100 evidence available, we consider that performing a scoping review is the most suitable 101 approach (16). This protocol will follow the methodology manual published by the 102 Joanna Briggs Institute for scoping reviews (17).

#### 103 Scoping review questions

104 We aim to answer the following questions:

- 1051. What interventions to improve adherence to reporting guidelines in healthresearch have been evaluated?
- 107 2. What actions to improve adherence to reporting guidelines have been108 suggested in the literature?
- 109 3. For each intervention found in the questions 1 and 2 above,

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3	110	a) What was the target? We will consider the following possible targets:
4 5	111	journal policies, journal editors, authors, reviewers, funders, ethical boards,
6	112	or others.
8	113	b) What research stages does it affect? We will consider the following possible
9 10	114	research stages: design, conducting, reporting, and peer review.
11	115	a) In which health care area was it avaluated or suggested?
12 13	115	c) In which health care area was it evaluated of suggested?
14	116	d) What was the rationale behind it?
15 16	117	e) In cases where it was evaluated,
17 18	118	a. How was it evaluated?
19	119	b. What reporting guidelines does it consider?
20 21	120	c. What was the effect on adherence to the reporting guidelines
22 23	121	mentioned above?
24 25 26	122	Inclusion criteria
27 28 29	123	We will include:
30 31	124	1. Studies evaluating interventions aiming to improve the adherence to reporting
32 33	125	guidelines in health research, irrespective of study design.
34	126	2. Commentaries, editorials, letters, and studies containing ideas or suggestions
35 36	127	of interventions that can be implemented.
37		
39	128	The reporting guidelines considered will be those shown in the EQUATOR (Enhancing
40 41	129	the QUAlity and Transparency Of Health Research) Network website (1) as "Reporting
42	130	Guidelines for main study types" (see Table 1). In addition, we will also include
43 44	131	QUOROM (Quality of Reporting of Meta-analyses) for systematic reviews, since it was
45 46	132	the precursor of PRISMA.
40		
48 49 50	133	Exclusion criteria
51	134	We will consider the following languages: English, French, German, Catalan, and
52 53 54	135	Spanish. Publications not written in any of those languages will be excluded.
55 56 57	136	Search strategy
58 59		

We will search MEDLINE (via PubMed), EMBASE, and Cochrane Library databases for relevant articles. The search will be limited to articles published between 1 January 139 1996 and 31 March 2017, given that the CONSORT Statement is considered the first reporting guideline in biomedical research and it was published in 1996. The search strategy has been developed with the help of a librarian of the Barcelona Tech. Table 2 and Table 3 show the detailed search terms for MEDLINE and EMBASE. The search terms for Cochrane Library are analogue to those used for EMBASE.

The retrieved studies will be exported into the reference manager Mendeley, which will be subsequently used to remove the duplicates. One reviewer (DB) will first screen the titles and abstracts for eligibility before reading the full texts, while the other two reviewers (EC and JK) will be assigned and will also screen the titles and the abstracts of one of the two random halves in which the full list will be divided. This process will be carried out in Mendeley. Second, the reviewers will thoroughly examine the fulltext for all potentially eligible articles to confirm whether the study should be included or not. One reviewer (DB) will ensure literature saturation by searching the reference lists of included studies, as well as the lists of articles citing them, according to PubMed. Disagreement will be addressed by consensus after discussion, and the third reviewer (EC or JK) will be consulted if no consensus is reached. 

In addition, we will perform a grey literature search, including websites of networks promoting the use of reporting guidelines (i.e. EQUATOR Network), organizations that offer resources for reviewers (i.e. Publons), work groups of medical journal editors (i.e. ICMJE), biomedical journal publishers (i.e. BMJ Publishing Group), or funding agencies (i.e. NIH). In addition, a non-systematic search in Google Scholar will be performed.

- 160 The starting date of the search is 8 May 2017.
- 161 Data extraction

The selected articles will be exported into an Excel file, where the data extraction will be performed. Two authors (DB and JK) will independently extract data as shown below:

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Publication characteristics: title, year of publication, author, design, country of
 origin, and field of study.
 Characteristics of the intervention:

- 167 2. Characteristics of the intervention:
  - 168 a. Classification as evaluated or suggested.
- 169b. Target: journal policies, authors, peer reviewers, journal editors,170funders, ethical boards, or others.
  - 171 c. Research stage: design, conducting, reporting or peer review.
  - 172 d. Health care area where it was evaluated or suggested.
    - 173 e. Rationale.
  - 174 f. In case that it was evaluated, way of assessment, reporting guidelines
    175 considered, and effect of the intervention on adherence to those
    176 reporting guidelines.
    - 177 3. Overall conclusions by the authors.
    - 178 If further information is needed, we will contact the authors of the included studies.179 Any disagreement will be solved by discussion.
    - 180 Synthesis and reporting of results

181 The interventions found will be first divided in two groups: the ones that have already been evaluated and the ones that have not. For each group, the interventions will be 182 183 classified according to their target population, as well as to the research stage at which 184 they were performed or suggested. The general characteristics of included studies will 185 be summarized. In addition, for the group of evaluated interventions, we will describe how the authors assessed them, what reporting guidelines they considered, and what 186 187 their effect on adherence to those reporting guidelines was. Descriptive statistical analysis will be performed. 188

A checklist for reporting scoping reviews, the "Preferred Reporting Items for Systematic Reviews and Meta-Analysis: extension for Scoping Reviews (PRISMA-ScR)", is currently under development (18). However, according to its developers, it is highly unlikely that the checklist will be published before we report the results of the review.

#### **Discussion**

The aim of this review is to identify and classify interventions to improve adherence to reporting guidelines. We believe that having a wide picture of how the problem of adhering better to reporting guidelines has been tackled so far, as well as investigating what further actions have been suggested, is critical to facing the problem of improving adherence to reporting guidelines with a broader perspective.

This scoping review is part of a larger project whose ultimate goal is to explore what strategies to improve adherence to reporting guidelines could be implemented and formally assessed. The results of this review could send a message to funders, authors, editors and reviewers about what has already been done to face this critical problem, and about what else could be done from now on. We believe that this review could be a major first step towards developing future strategies to improve adherence to reporting guidelines.

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Horizon 2020 research and innovation programme under the Marie Sklodowska-Curie grant
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Authors' contributions: All authors contributed to conceptualizing and designing the study. DB
and EC drafted the manuscript. IB, DM, DGA, and JK made major revisions. All authors read
and approved the final version of the manuscript.

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- **Competing interests:** None declared.
- 220 Ethics approval: Not required.

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2 3	291		
4 5	292	Table 1	
6	293	Descriptior	n of the acronyms and full names of the reporting guidelines shown in the
/ 8	204		website as "Departing Guidelines for main study types"
9	294	EQUATOR	website as Reporting Guidelines for main study types .
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11 12		Acronym	Full name
13 14		CONSORT	Consolidated Standards of Reporting Trials
15 16		STROBE	Strengthening the Reporting of Observational Studies in Epidemiology
17 18		PRISMA	Preferred Reporting Items for Systematic Reviews and Meta-Analyses
19 20		SRQR	Standards for Reporting Qualitative Research
21 22		COREQ	Consolidated criteria for Reporting Qualitative research
23 24		STARD	Standard Protocol Items: Recommendations for Interventional Trials
25 26 27		TRIPOD	Transparent Reporting of a multivariable prediction model for Individual Prognosis or
28			
29 30		SQUIRE	Standards for Quality Improvement Reporting Excellence
31		CHEERS	Consolidated Health Economic Evaluation Reporting Standards
JZ			

	SQUIRE	Standards for Quality Improvement Reporting Excellence
1	CHEERS	Consolidated Health Economic Evaluation Reporting Standards
	SPIRIT	Standard Protocol Items: Recommendations for Interventional Trials
	PRISMA-P	Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols
	CARE	Case Report
	AGREE	Appraisal of Guidelines, Research and Evaluation
	ARRIVE	Animal Research: Reporting In Vivo Experiments
	RIGHT	Reporting Tool for Practice Guidelines in Health Care

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#### 305 Table 2

#### 306 Search terms for MEDLINE (from January 1, 1996, to March 31, 2017) via PubMed.

Steps	Search terms
S1	impact* [tw]
S2	improv* [tw]
S3	enhanc* [tw]
S4	boost* [tw]
S5	increas* [tw]
S6	influenc* [tw]
S7	effect [tw]
S8	S1 OR S2 OR S3 OR S4 OR S5 OR S6 OR S7
S9	compliance [tw]
S10	adherence [tw]
S11	completeness [tw]
S12	quality of reporting [tw]
S13	reporting quality [tw]
S14	S9 OR S10 OR S11 OR S12 OR S 13
\$15	Consolidated [tw] Standards [tw] Reporting [tw] Trials [tw] OR CONSORT[tw]
S16	Strengthening [tw] Reporting [tw] Observational [tw] Studies [tw] Epidemiology[tw] OR STROBE[tw]
S17	Preferred [tw] Reporting [tw] Items [tw] Systematic [tw] reviews [tw] Meta-Analyses [tw] OR PRISMA[tw]
S18	Standards [tw] Reporting [tw] Qualitative Research[tw] OR SRQR[tw]
S19	Consolidated [tw] Criteria [tw] Reporting [tw] Qualitative [tw] Research[tw] OR COREQ[tw]
S20	Standard [tw] Protocol [tw] Items [tw] Recommendations [tw] Interventional [tw] Trials[tw] <b>OR</b> STARD[tw]
S21	Transparent [tw] Reporting [tw] multivariable [tw] prediction [tw] model [tw] Individual [tw] Prognosis [tw] Diagnosis[tw] <b>OR</b> TRIPOD[tw]
S22	Standards [tw] QUality [tw] Improvement [tw] Reporting [tw] Excellence[tw] OR SQUIRE[tw]
S23	Consolidated [tw] Health [tw] Economic [tw] Evaluation [tw] Reporting [tw] Standards[tw] <b>OR</b> CHEERS[tw]
S24	Standard [tw] Protocol [tw] Items [tw] Recommendations [tw] Interventional [tw] Trials[tw] <b>OR</b> SPIRIT[tw]
S25	Preferred [tw] Reporting [tw] Items [tw] Systematic [tw] Review [tw] Meta-Analysis [tw] Protocols[tw] <b>OR</b> PRISMA-P[tw]
S26	Quality [tw] Reporting [tw] Meta-analyses[tw] <b>OR</b> QUOROM[tw]
S27	Case [tw] Report [tw] AND CARE[tw]
S28	Appraisal [tw] Guidelines [tw] Research [tw] Evaluation[tw] AND AGREE[tw]
S29	Animal [tw] Research [tw] Reporting [tw] Vivo [tw] Experiments[tw] AND ARRIVE[tw]
S30	Reporting [tw] Tool [tw] Practice [tw] Guidelines [tw] Health [tw] Care[tw] AND RIGHT[tw]
\$31	S15 OR S16 OR S17 OR S18 OR S19 OR S20 OR S21 OR S22 OR S23 OR S24 OR S25 OR S26 OR S27 OR S28 OR S29 OR S30

S32	S8 AND S14 AND S31
S33	S32 AND "1996/01/01"[PDAT] : "2017/03/31"[PDAT]

#### 309 Table 3

#### Search terms for EMBASE (from January 1, 1996, to March 31, 2017).

Steps	Search terms
\$1	impact*:ti,ab
S2	improv*:ti,ab
\$3	enhanc*:ti,ab
S4	boost*:ti,ab
\$5	increas*:ti,ab
\$6	influenc*:ti,ab
S7	effect:ti,ab
S8	S1 OR S2 OR S3 OR S4 OR S5 OR S6 OR S7
S9	compliance:ti,ab
\$10	adherence:ti,ab
S11	completeness:ti,ab
S12	"quality of reporting":ti,ab
\$13	"reporting quality":ti,ab
S14	S9 OR S10 OR S11 OR S12 OR S 13
\$15	"Consolidated Standards of Reporting Trials":ti,ab OR CONSORT:ti,ab
\$16	"Strengthening the Reporting of Observational Studies in Epidemiology":ti,ab OR STROBE:ti,ab
\$17	"Preferred Reporting Items for Systematic reviews and Meta-Analyses":ti,ab <b>OR</b> PRISMA:ti,ab
S18	"Standards for Reporting Qualitative Research":ti,ab <b>OR</b> SRQR:ti,ab
S19	"Consolidated criteria for Reporting Qualitative research":ti,ab OR COREQ:ti,ab
S20	"Standard Protocol Items: Recommendations for Interventional Trials":ti,ab <b>OR</b> STARD:ti,ab
S21	"Transparent Reporting of a multivariable prediction model for Individual Prognosis or Diagnosis":ti,ab <b>OR</b> TRIPOD:ti,ab
S22	"Standards for Quality Improvement Reporting Excellence":ti,ab <b>OR</b> SQUIRE:ti,ab
S23	"Consolidated Health Economic Evaluation Reporting Standards":ti,ab OR CHEERS:ti,ab
S24	"Standard Protocol Items: Recommendations for Interventional Trials":ti,ab OR SPIRIT:ti,ab
S25	"Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols":ti,ab <b>OR</b> PRISMA- P:ti,ab
S26	"Quality of Reporting of Meta-analysis":ti,ab <b>OR</b> QUOROM:ti,ab
S27	"Case Report":ti,ab AND CARE:ti,ab
S28	"Appraisal of Guidelines, Research and Evaluation":ti,ab AND AGREE:ti,ab
S29	"Animal Research: Reporting In Vivo Experiments":ti,ab AND ARRIVE:ti,ab
\$30	"Reporting Tool for Practice Guidelines in Health Care":ti,ab AND RIGHT:ti,ab
S31	S15 OR S16 OR S17 OR S18 OR S19 OR S20 OR S21 OR S22 OR S23 OR S24 OR S25 OR S26 OR S27

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	OR 528 OR 529 OR 530
S32	S8 AND S14 AND S31
S33	S32 AND [1996-2017]/py NOT [31-3-2017]/sd

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