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Physical activity promotion in areas of deprivation for inactive adults with CVD risk: Study protocol for a pragmatic evaluation of 'Active Herts' a community physical activity programme

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2017-017783
Article Type:	Protocol
Date Submitted by the Author:	16-May-2017
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Primary Subject Heading:	Public health
Secondary Subject Heading:	Sports and exercise medicine
Keywords:	physical activity programme, behaviour change, inactive adults, behaviour change techniques, COM-B

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6 **Physical activity promotion in areas of deprivation for inactive adults with CVD risk: Study**
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8 **protocol for a pragmatic evaluation of 'Active Herts' a community physical activity**
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10 **programme**
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Abstract

Introduction

There is a high prevalence of inactive adults in the UK, and many suffer from conditions such as cardiovascular disease or poor mental health. These co-exist more frequently in areas of higher socio-economic deprivation. There is a need to test the effectiveness, acceptability, and sustainability of physical activity programmes. Active Herts uses novel evidence-based behaviour change techniques to target physical inactivity.

Methods and analysis

Active Herts is a community physical activity programme for inactive adults aged 16+ with one or more risk factors for CVD and/or a mild to moderate mental health condition. This evaluation will follow a mixed-methods longitudinal (baseline, and 3, 6 and 12 month follow-ups) design. Pragmatic considerations mean delivery of the programme differs by locality. In two areas programme users will receive a behaviour change technique booklet, regular consultations, a booster phone call, motivational text messages, and signposting to 12 weeks of exercise classes. In another two areas programme users will also receive 12 weeks of free tailored exercise classes, with optional exercise 'buddies' available. An outcome evaluation will assess changes in physical activity as the primary outcome, and sporting participation, sitting, wellbeing, psychological capability, and reflective motivation as secondary outcomes. A process evaluation will explore the views of stakeholders, delivery staff, and programme leads. Economic evaluation will examine the programme costs against the benefits gained in terms of reduced risk of morbidity.

Ethics and dissemination

1
2
3 This study was been approved by the Faculty of Medicine and Health Sciences
4
5 Research Ethics Committee at University of East Anglia. Informed written consent will be
6
7 obtained from programme users in the evaluation. Results will be published in peer-
8
9 reviewed journals, presented at conferences, and shared through the study website and
10
11 local community outlets.
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14 15 **Registration**

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18 Clinicaltrials.gov ID number: NCT03153098
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25 **Keywords:** Physical activity programme; behaviour change; inactive adults; behaviour
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27 change techniques; COM-B
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Strengths and Limitations

- The Active Herts programme is designed with the latest behaviour change theory and techniques in both the materials for programme users and the training for delivery staff.
- This evaluation will provide a unique contribution by being the first to evaluate the use of a novel combination of behaviour change techniques and to evaluate the effect of this physical activity programme on key behavioural drivers.
- The main limitation is that due to pragmatic considerations participants will receive the two different delivery approaches based on their place of residence and not through randomisation.
- A secondary limitation is that due to financial constraints and the scale of recruitment (aiming for maximum reach) it is not possible to evaluate an objective measure of physical activity.

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3 **Physical activity promotion in areas of deprivation for inactive adults with CVD risk: Study**
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5 **protocol for a pragmatic evaluation of 'Active Herts' a community physical activity**
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8 **programme**
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10
11 **Introduction**
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13
14 Physical inactivity is responsible for 6% of deaths globally, making it the fourth
15 leading risk factor for mortality world-wide [1]. Being active is protective against
16 cardiovascular disease (CVD), type 2 diabetes, and cancer [2-3], with strong evidence that
17 exercise is an effective treatment for depression [4]. In England, 63% of men and 59% of
18 women report participating in the recommended weekly levels of 150 minutes of moderate
19 to vigorous physical activity [5], yet objectively-measured data suggest just 6% of men and
20 4% of women meet this level [6]. Further, only 34% of men and 24% of women meet the
21 guidelines for muscle-strengthening exercises on two or more days per week [7]. The Active
22 People Survey from Sport England in 2015-16 found that only 36% of adults (41% of men
23 and 32% of women) report taking part in sport once a week, with the figure decreasing to
24 18% for sporting participation on three or more occasions weekly.
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40 Overall physical activity and sporting participation needs to be improved in the UK,
41 but inactivity is even more prevalent in low-socioeconomic status (SES) adults and those
42 suffering from major disease. Lower SES adults are less likely to participate in vigorous and
43 moderate-intensity physical activity, and walking [8]. They are also more likely to perceive
44 the opportunities to be active in their local environment more negatively shown through
45 physical activity related factors such as attractiveness, safety, and how congested roads are
46 [8]. Furthermore, lower SES adults are also less likely to perceive themselves as overweight
47 or try to lose weight, which in turn lessens the chances of them participating in physical
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3 activity as a weight control strategy [9]. Additionally, those suffering from CVD and a
4
5 combination of CVD and type 2 diabetes report lower levels of physical activity and greater
6
7 sedentary behaviour in terms of television watching [10]. Overall, those living in low SES
8
9 areas and/or with ongoing diseases are an important target to increase physical activity
10
11 through intervention.
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14
15 The Active Herts programme will attempt to address adult inactivity by drawing on
16
17 the latest evidence analysing how to support inactive adults to be more physically active. A
18
19 recent systematic review has shown that interventions in inactive adults show statistically
20
21 significant small to moderate effect sizes post-intervention and small but still statistically
22
23 significant effect sizes for at least six months after intervention contact has finished (follow-
24
25 up) [11-12]. This review also analysed the behaviour change techniques [BCT; 13] that were
26
27 associated with effective interventions and highlighted several approaches that can be used
28
29 to heighten the likelihood of programmes and interventions producing meaningful changes
30
31 in physical activity. It was found practising the performance of physical activity and gradually
32
33 increasing its intensity were effective for physical activity change at both post-participation
34
35 and follow-up. Additionally, post-participation effectiveness was associated with being
36
37 shown how to be more active and 'Biofeedback' (using heart rate monitors to judge exercise
38
39 intensity), and effectiveness at follow-up was associated with creating detailed plans to be
40
41 active, receiving instructions on particular exercises (this may include during exercise
42
43 classes), rewarding oneself for progress, and utilising prompts or cues to exercise [11].
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51 Whilst understanding which techniques are effective when attempting to intervene
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53 with an inactive population to increase physical activity is important, so too is the
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55 communication style in which the techniques are delivered [14]. Motivational interviewing
56
57 has been shown to be an effective communication method with which to change several
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3 health behaviours including physical activity (e.g. [15]). Used in combination, BCTs and
4
5 motivational interviewing can target key determinants of behaviour, which can be
6
7 understood in terms of the individual's Capability (physical and psychological), Opportunity
8
9 (social and physical), and Motivation (reflective and automatic) (COM-B: [16]) to be more
10
11 active. The selected BCTs in this programme can be mapped onto and, therefore, target all
12
13 six aspects of the COM-B (17). Research has shown that the COM-B model explains a large
14
15 amount of variance in physical activity participation, highlighting psychological capability
16
17 and reflective motivation as key drivers [18]. In this work, psychological capability was
18
19 formed of components such as action planning and self-monitoring, and reflective
20
21 motivation were formed of components such as intentions and self-efficacy [18].
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27 The purpose of the Active Herts programme is to support engagement in physical
28
29 activity and promote wellbeing in inactive adults with elevated risk of CVD and/or mental
30
31 health concerns living in four areas of the English county of Hertfordshire where need is the
32
33 highest. Pragmatic delivery considerations mean the programme will use two different
34
35 approaches, with each being delivered in two different localities. The first will provide
36
37 programme users with an initial consultation, followed by 12 weeks of exercise sessions,
38
39 and further support in person or by phone throughout a 12-month period ('standard
40
41 delivery'). The second approach will include additional support in the form of optional
42
43 exercise buddies and free tailored exercise organised by the programme staff themselves
44
45 ('enhanced delivery'). The aim of this paper is to report the Active Herts programme
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47 methods in terms of their content, delivery, staff training, and evaluation. The objectives of
48
49 the evaluation are:
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55 Primary objective:
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3 - To observe whether the Active Herts programme increases physical activity with
4
5 (enhanced delivery) and without (standard delivery) additional support from exercise
6
7 buddies and free access to tailored exercise classes.
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11 Secondary objectives:

- 12
13
14 - To observe whether the Active Herts programme increases health and mental well-
15
16 being with (enhanced delivery) and without (standard delivery) additional support
17
18 from exercise buddies and tailored exercise classes.
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20
21 - To explore the relative cost-effectiveness of the two delivery approaches.
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23
24 - To explore which components from the two different delivery approaches are
25
26 particular drivers of their effectiveness and what the barriers may be that prevent
27
28 these models from achieving their potential.
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31 **Methods and Analysis**

32 **Design**

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37 This evaluation includes a qualitative process evaluation and an outcome evaluation.
38
39 The quantitative study will follow a longitudinal (baseline, and 3, 6 and 12 month follow-
40
41 ups) observational design, with comparison of the two different delivery methods employed
42
43 in different localities. The design of the evaluation is illustrated in Figure 1. This protocol is
44
45 reported according to the Transparent Reporting of Evaluations with Nonrandomized
46
47 Designs (TREND; [19]) guidelines and with reference to the Template for Intervention
48
49 Description and Replication (TIDieR; [20]) checklist.
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55 *Insert figure 1 about here*
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Programme users

The inclusion criteria for participation in the Active Herts programme are inactive adults aged 16 and over who have one or more risk factors for CVD. Inactivity is classed as participating in less than one episode of 30 minutes of physical activity per week on a regular basis. Additional risk factors for CVD include: diabetes, hypertension, high cholesterol, obesity (BMI > 30 or BMI > 28 if one or more co-morbidities) and/or smoking. Programme users who are inactive with a mild to moderate mental health condition may also take part. Those with a severe mental health condition can do so if their general practitioner (GP), Mind (a mental health charity), or Improving Access to Psychological Therapies (IAPT) consultant deems them suitable for the programme. An additional criterion for inclusion in the evaluation was the ability to give informed consent for their data to be used.

Eligible adults will live in one of four Hertfordshire districts (Broxbourne, Stevenage, Hertsmere, and Watford). The wider economic value for health from sport participation in Hertfordshire is £461.6 million. Inactivity (excluding costs related to obesity and mental health) is also costing the health economy between £1.1 and £1.4 million per year in the four focus districts of Active Herts. The districts contain the highest number of deprived Lower Super Output Areas (LSOA) in Hertfordshire and are in the five highest rates of under 75 mortality rate from CVD (2-3%), adult obesity (8-10%), and diabetes (4-6%). A life expectancy gap of 6-9.6 years exists between the most and least deprived areas across these districts [21]. Less than 50% of this population participate in 30 minutes of physical activity once per week.

1
2
3 Programme users will be primarily recruited into the programme through 23 GP
4
5 services throughout the four localities: five in Broxbourne; five in Hertsmere; seven in
6
7 Stevenage; six in Watford. A Mind wellbeing centre in each location will also refer into the
8
9 programme. Hertfordshire residents who meet the inclusion criteria can also access the
10
11 programme through self-referral. As this programme is Sport England and local authority
12
13 funded with a focus on delivery, power calculations were not deemed necessary and all
14
15 eligible programme users are invited to engage in the evaluation. The objective is to provide
16
17 as many eligible residents as possible with access to this programme over the three-year life
18
19 of the project, with a minimum expectation of engagement from 1500 programme users.
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24 25 **Programme and Evaluation Materials and Procedure** 26

27
28 The content of the Active Herts programme has been based on the review [11-12]
29
30 discussed to include BCTs found to be present in effective physical activity interventions,
31
32 with the exception of 'Biofeedback' as giving each participant heart rate monitors in a
33
34 programme of this size is unfeasible. Many of the BCTs are included in the booklet given to
35
36 programme users used by 'Get Active Specialists' during their consultations with
37
38 programme users, and target all six facets of the COM-B model of behaviour change ([17],
39
40 see Table 1). Programme users in both delivery groups will receive the same content in
41
42 terms of an initial 45 minute consultation with a Get Active Specialist (with additional
43
44 consultations at 3, 6, and 12 months), an Active Herts booklet, a two week booster call, and
45
46 access to activities in their local area. All programme contacts in person and by phone will
47
48 be on a one-to-one basis. Aside from access to a range of free group activity sessions over
49
50 the first 12 weeks, there are no additional incentives for programme users to attend
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52 consultation
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Insert Table 1 about here

Get Active Specialists

One Get Active Specialist has been employed in each of the four localities for the three-year duration of the programme. The specialists will work with local GPs and Mind centres to recruit eligible programme users. The specialists all have a minimum of level 3 Register of Exercise Professional and GP Exercise Referral qualifications. The Get Active Specialists will be further trained so that conversations with programme users can be user-led, involving open-ended questions, which allow programme users to take ownership of setting their own goals, plans, and rewards for progress. Consequently, the specialists will receive the following training specific to this programme:

- The two day 'British Heart Foundation: Promoting health behaviour change – A solution focused approach' course (<http://www.bhfactive.org.uk/training-and-events-item/506/index.html>)
- The three day 'The Wright Foundation: Obesity and Diabetes' course (http://www.wrightfoundation.com/spec_ob_di.php)
- The one day 'The Wright Foundation: Level 4 mental health' course (http://www.wrightfoundation.com/spec_men.php)
- A two-day workshop, followed by quarterly one-day boosters, on motivational interviewing, health coaching, and behaviour change led by a Chartered Sport and Exercise and Health Psychologist and Research Fellow (AC, NH).

The two Specialists working in the localities with the potential to provide exercise buddies will also attend a one day Recruiting and Retaining Volunteer course organised by Volunteer

1
2
3 Centres, Hertfordshire (<http://www.volunteeringherts.org.uk/index.php/events/details/12->
4
5 recruiting-and-retaining-volunteers).
6
7

8 **Assessment of Fidelity**

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11 To ensure fidelity of programme delivery, a number of measures will be put in place.
12
13 Get Active Specialists (GAS) will record a random sample of consultations and review the
14
15 audio amongst themselves, project lead and at quarterly booster sessions with the trainers.
16
17 The specialists will score each consultation with the Motivational Interviewing Treatment
18
19 Integrity coding scheme (MITI; [22]) and a checklist of BCTs. The MITI will score the
20
21 specialists on five domains core to motivational interviewing: Evocation – the GAS works
22
23 proactively to evoke participant’s own reasons for change; Collaboration – the GAS actively
24
25 fosters and encourages power sharing in the interaction; Autonomy/Support – The GAS
26
27 adds significantly to the feeling and meaning of participant’s expression of autonomy;
28
29 Direction – The GAS resists the righting reflex, yet generally does not miss opportunities to
30
31 direct participant toward the target behaviour; Empathy – The GAS shows evidence of deep
32
33 understanding of participant’s point of view. Every three months throughout the duration of
34
35 the evaluation, the GAS and project lead will meet for booster sessions with a Chartered
36
37 Sport and Exercise and Health Psychologist and Research Fellow (AC, NH) to review
38
39 recorded consultations, recap training, discuss any barriers to successful delivery, and
40
41 highlight what is working well.
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50 **Outcome Measures**

51 *Primary outcomes:*

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53 Physical activity will be measured with the International Physical Activity
54
55 Questionnaire (IPAQ; [23]). Six questions will assess the level of vigorous and moderate-
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3 intensity physical activity, and walking of each participant over the last week by asking the
4
5 amount of time spent being active and on how many days for each, with the minimum being
6
7 10 minutes at a time. The IPAQ allows a Metabolic Equivalent of Task (MET) score to be
8
9 calculated for each activity type by weighting its energy requirements, with 3.3 METs for
10
11 walking, 4 METs for moderate-intensity activity, and 8 METs for vigorous-intensity activity. A
12
13 total activity MET score can then be calculated accounting for intensity [24]. The IPAQ also
14
15 asks one question about how much time is spent sitting on a weekday over the last seven
16
17 days. An additional two questions will ask about sporting participation over the last week by
18
19 asking the amount of time spent doing sports and on how many days, with the minimum
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21 being 10 minutes at a time.
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26 27 *Secondary outcomes:*

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29 Mental well-being will be measured using the Warwick Edinburgh Mental Well-being
30
31 Scale (WEMWBS; [25]), a 14-item scale exploring thoughts and feelings over the last two
32
33 weeks. Programme users are presented with items such as 'I've been feeling useful' or 'I've
34
35 been thinking clearly' and must rate themselves on a scale from 1 '*None of the time*' to 5 '*All*
36
37 *of the time*'.
38
39

40
41 Perceptions of health will be measured using the Euroqual EQ-5D-5L [26], which has
42
43 five domains focusing on mobility, self-care, usual activities, pain/discomfort, and
44
45 anxiety/depression, with one question per domain. Each question has five options to choose
46
47 from ranging from no problems to inability to function. An additional question also asks how
48
49 good or bad programme users perceive their health to be on a scale ranging from 0 (*the*
50
51 *worst health you can imagine*) to 100 (*the best health you can imagine*).
52
53

54 55 *COM-B measures*

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3 All of the COM-B related scales were validated in a previous study [18] and produce
4 a mean score apart for self-efficacy which produces a total score. Self-monitoring will be
5 measured by two items, which ask programme users to rate how much they agree with
6 statements such as 'I constantly monitored myself whether I exercise frequently enough' on
7 a scale from 1 'Completely disagree' to 4 'Totally agree', retrospectively over the past week
8 [24].
9

10
11
12 Action Planning will be measured by four items about when, where, how, and how
13 often programme users make detailed plans regarding physical activity on a scale from 1
14 'Completely disagree' to 4 'Totally agree', retrospectively over the past week [28].
15

16
17
18 Self-efficacy will be measured with the Physical Exercise Self-Efficacy Scale [29],
19 which consists of five items exploring programme users' ability to carry out their
20 behavioural intentions in the face of challenges, such as 'even when I feel tense'. The items
21 will be measured on a scale from 1 (*Very uncertain*) to 4 (*Very certain*).
22

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24
25 Intentions will be measured using three items [30], each referring to the amount of
26 physical activity the individual intends to do over the next week with statements such as 'I
27 expect to take part in regular physical activity over the next week'. Each item is rated on a 7-
28 point scale from 1 (*Strongly disagree*) to 7 (*Strongly agree*).
29

30
31
32 Attitudes will be measured using four items [30], each referring to the participant's
33 attitudes towards physical activity in terms of how harmful, healthy, enjoyable, and boring
34 they view it on a set of 7-point scales anchored by positive and negative views (e.g. 1 = *Very*
35 *unhealthy* to 7 = *Very healthy*).
36

37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 **Analytical Methods**

54 55 56 57 58 59 60 *Outcomes evaluation*

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2
3 The outcomes evaluation will be based on a comparison between recorded values at
4
5 baseline for the primary and secondary outcomes and those captured at the various follow-
6
7 up points. The association between exposure to the programme and changes in the primary
8
9 and secondary outcomes between baseline and post-participation will be examined using
10
11 repeated measures multiple regression models, with covariates including follow-up time
12
13 point, and whether each participant is in a 'standard delivery' or 'enhanced delivery' area.
14
15 An interaction term will be fitted to identify if trends in outcomes by follow-up point differ
16
17 between the two area types. Differences in baseline characteristics of programme users
18
19 between the 'standard' and 'enhanced' delivery areas will be tested using either an
20
21 Independent Samples T-test or a Mann-Whitney U test depending on whether the variable
22
23 being tested follows a normal distribution. Any potential confounding factors associated
24
25 with variant characteristics of the two sets of programme users will be adjusted for by
26
27 inclusion as covariates in the models. If changes in the primary outcome are found,
28
29 additional regression models will explore whether these changes are driven by changes in
30
31 COM-B-related measures.
32
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39 Loss to follow-up is a common problem in this form of evaluation and the sample of
40
41 programme users providing data at all follow-up points is likely to differ from those with
42
43 lower engagement in the evaluation. Depending on the degree of loss to follow-up, a
44
45 complete case analysis will be undertaken and the results compared with an analysis of all
46
47 data available, whereby simple mean imputation will be used in the case of missing values.
48
49 Should the results from the two models show substantial variation, then multiple
50
51 imputation techniques will be employed.
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57 *Process evaluation*
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3 A process evaluation is a systematic method of collecting, analysing, and using
4
5 information to understand the functioning of a programme or intervention by examining
6
7 implementation, mechanisms of impact, and contextual factors [31]. A process evaluation of
8
9 Active Herts will take place in three phases with each phase exploring a different theme.
10
11 Data will be collected in the form of one-to-one interviews with stakeholders, group
12
13 interviews with the Get Active Specialists, and focus groups with programme users.
14
15 Stakeholders interviewed will include commissioners, higher programme management,
16
17 project delivery partners, and health service practitioners.
18
19
20
21

22
23 The initial phase will focus on areas related to the set-up of Active Herts, including
24
25 developments in the method of recruitment or delivery of the programme, barriers and
26
27 facilitators to reaching the target audience, partnership working, and engagement with
28
29 primary and secondary care. The second phase will explore deviations in the programme
30
31 delivery from those planned, potential mechanisms by which the programme works, and
32
33 external factors which may influence the programme. A final phase will take on a reflective
34
35 focus looking back over the programme and considering what worked well and what did
36
37 not, identifying examples of best practice. It will also consider the future sustainability of
38
39 Active Herts including exit routes for programme users and continuation of the programme
40
41 where appropriate. In all phases, other emerging themes will be explored as identified
42
43 during the process.
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48 49 *Economic evaluation*

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51 The economic evaluation will examine the costs of delivery of the Active Herts
52
53 programme against the benefits gained in terms of reduced risk of morbidity from a range
54
55 of chronic conditions, the risk of which is associated with physical inactivity. The ratio of
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3 costs to effects – i.e. “the incremental cost-effectiveness ratio” (ICER) will be assessed
4
5 against a “cost-effectiveness threshold”, representing the opportunity cost of spending the
6
7 money. In the UK, the National Institute for Health and Care Excellence (NICE) uses a
8
9 threshold range of £20,000–30,000; if interventions are within this area of cost-
10
11 effectiveness or below, then they are considered “cost-effective” or good “value for
12
13 money”.
14
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16
17
18 This evaluation will use Version 2 (November 2016) of the Sport England MOVES
19
20 model, a tool for conducting economic analysis of physical activity programmes and
21
22 interventions developed by the Health Economics Group at the University of East Anglia.
23
24 The MOVES tool will be used to monetarise the reduced disease burden associated with
25
26 participation in Active Herts by comparing their predicted disease risk against that of a
27
28 similar cohort of the population not participating in any programme. The MOVES model will
29
30 link changes in physical activity (using increases in physical activity energy expenditure due
31
32 to the programme) with changes in disease prevalence over time for depression, diabetes,
33
34 stroke, coronary heart disease, dementia, colorectal cancer, breast cancer, and hip fracture.
35
36 The model then assesses the financial return to the NHS (treatment costs saved) and the
37
38 health impacts (Quality Adjusted Life Years (QALYs) gained) in the ‘enhanced delivery’
39
40 compared with the ‘standard delivery’ area, which are used to calculate indicators of cost
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42 effectiveness; the Incremental Cost Effectiveness Ratio, NHS return on Investment, and
43
44 QALYs return on investment.
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51 52 **Ethics and Dissemination**

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55 This study has been approved by both the Faculty of Medicine and Health Sciences
56
57 Research Ethics Committee at University of East Anglia (Ref: 20152016 – 28) and by the
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3 University of Hertfordshire Health and Human Science Ethics Committee with Delegated
4 Authority (protocol number: LMS/PGR/UH/02427). All programme users will be provided
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6
7 with a Participant Information and Consent Form. Informed written consent will be obtained
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10 from all programme users in the evaluation. The results of this study will be published in
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12 peer-reviewed journals, presented at national and international conferences, and shared
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14 through the study website, and local public health and community sport partnership forums
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16 and newsletters.
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20 Discussion

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23 Inactivity is a major issue in England with large health and economic burdens
24 associated with not participating in the recommended amount of activity. This programme
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26 targets inactive adults with additional health problems in areas that would benefit the most
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28 from a community physical activity programme. Pragmatic considerations mean that the
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30 form of programme delivery differs across programme areas, providing a comparison in the
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32 form of a natural experiment. Active Herts incorporates the latest evidence of the BCTs that
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34 work both during the participation in the programme and over the longer term to aid
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36 sustainable behaviour change. These evidence-based techniques will be combined with an
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38 effective delivery approach in motivational interviewing and health coaching that allow
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40 discussions to be participant-led so that the programme users take ownership over their
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42 goals, progress, and rewards. Additionally, this evaluation will measure key drivers of
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44 physical activity from the most up to date behaviour change theory (COM-B), allowing
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46 evaluation of not only whether physical activity has increased but why. This will provide the
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48 basis with which to refine a scalable intervention that could be more robustly tested in a
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50 randomised controlled trial.
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Declarations

Acknowledgements

The authors would like to thank Adan Freeman and Joe Capon the project officers, and Fiona Deans, the project manager from Herts Sports Partnership, and the public health lead, Piers Simey, from Hertfordshire County Council. We would also like to thank the Get Active Specialists (Lee Bruce, Alison Goodchild, Hannah Marsh, and Andrew Rix)

Authors' contributions

NH prepared the draft versions and final manuscript. AC read and provided feedback on the drafts, and approved the final manuscript. AJ prepared the analysis and evaluation sections, read and provided feedback on the drafts, and approved the final manuscript. LB read and provided feedback on the drafts, and approved the final manuscript.

Funding

This work was supported by Sport England (Ref: 2015000295), Broxbourne Borough Council, East and North Herts CCG, Herts Valley CCG, Hertfordshire Public Health, Herts Mind Network, Mind in Mid Herts, and Herts Sports Partnership.

Competing interests

Nothing to declare

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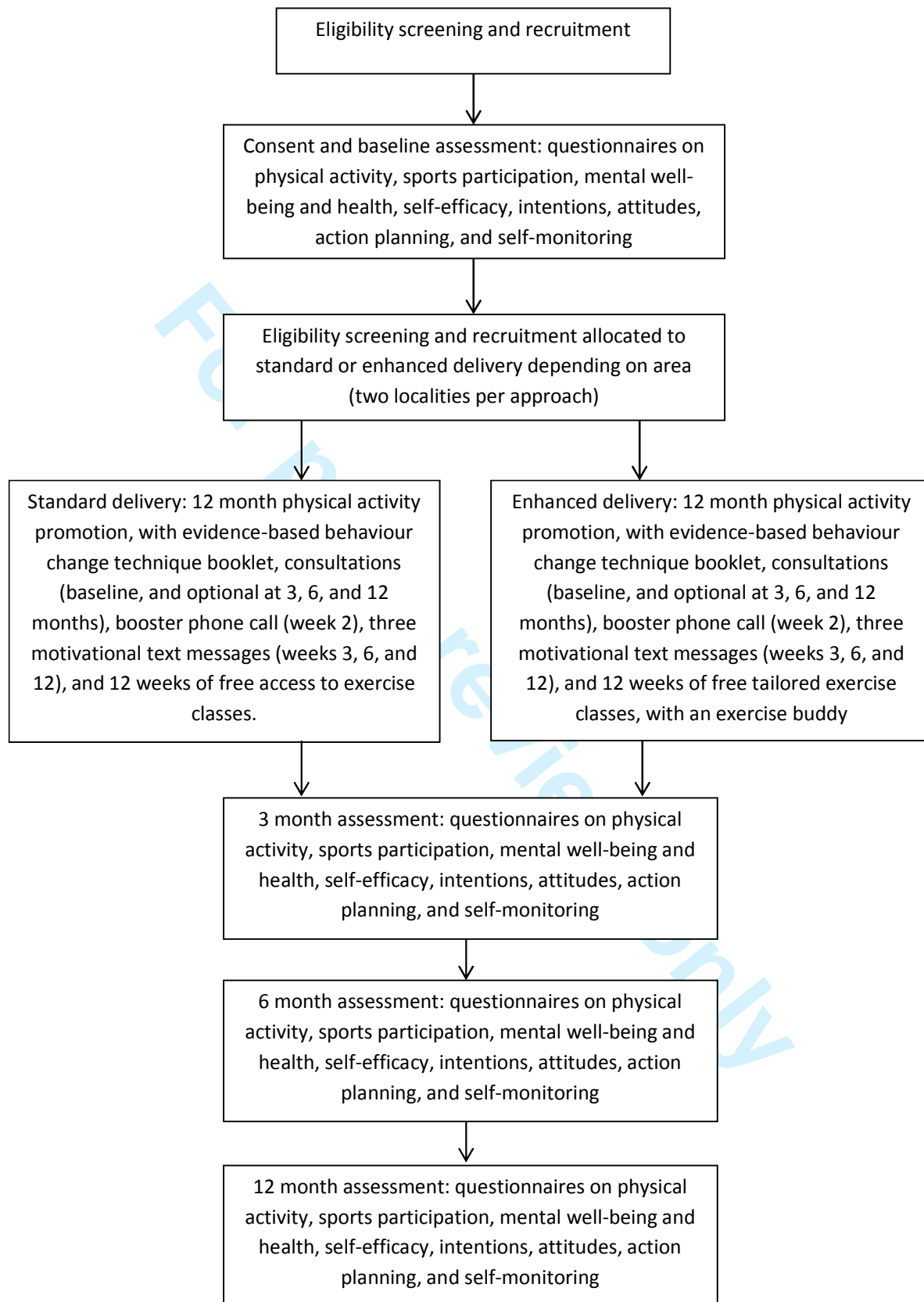


Figure 1: Active Herts programme design

Table 1: Programme content specified by behaviour change techniques and linked to constructs of the COM-B model.

Programme component	Behaviour change technique	COM-B construct targeted	Content
Booklet (both groups)	Pros and Cons	Reflective motivation	A page asking whether exercise is good for you and programme users are given two blank columns to fill out with possible advantages and disadvantages of becoming more active. They are then asked how confident they feel about becoming active on a scale of 1-10.
	Problem Solving	*Psychological capability; Reflective motivation	Programme users are asked to think about their current situation and to list the things that might be currently stopping them from being active and how they might overcome them.
	Goal setting	Reflective motivation	Programme users are given the opportunity to set short (two weeks), medium (3 months), or long-term (12 months) goals, and then rate how confident they are of achieving each one from 1-10.
	Action planning	Psychological capability and Reflective motivation	A page allowing programme users to complete sections referring to their plans to becoming more active in terms of what they are going to do, where they are going to do it, when they are going to do it, and who they are going to do it with. A second page allows them to explore their time management by mapping out the week in terms of morning, afternoons, and evenings.
	Relapse prevention	*Psychological capability; Reflective motivation	In contrast to the problem solving page which focuses on current problems, this page explains how even the most habitual exercisers can struggle at times. Programme users are asked to think about situations

			in the future that may affect their progress and then about options to avoid or cope with these situations.
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	Self-monitoring of behaviour	Psychological capability	Programme users are given an exercise and activity diary to track their progress and highlight their engagement. A table contains columns for the date, activities completed, time in minutes, enjoyment level (from 1, low to 10, high), and how they felt after completing the activity. The table contains several rows so programme users can track this over time.
19 20 21 22 23 24 25 26	Information about health consequences: Information on emotional consequences	Psychological capability; Reflective motivation	A page summarises the health and emotional benefits of being active in a positively framed manner. For example, did you know that being active can 'help you manage high blood pressure' and 'make you feel good and improve your mental health'.
27 28 29 30 31 32 33 34	Instruction on how to perform the behaviour	*Psychological capability	Programme users are given the national exercise guidelines for moderate and vigorous activity. Additionally information is given for examples of moderate and vigorous activity, how to break up long periods of sitting, how to improve balance to reduce the chance of falls, and an example of how these activities can fit into everyday life.
35 36 37 38 39 40	Self-reward	Automatic motivation	Programme users are told the importance of rewarding themselves for the effort they make towards their activity goals. Examples are then given of how to reward themselves in ways that are healthy and free. For example, 'listen to music' or 'have a nice relaxing bath'. Self-reward

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is also discussed briefly during the goal setting page when thinking about what success looks like.

Consultation (both groups)	Social support unspecified; Social support emotional	Social opportunity; Automatic motivation	Programme users are given an initial 45 minute consultation in person one-to-one where motivational interviewing and health coaching are used to structure the session to fit participant needs, move them towards becoming more active, signposting activities and discussing goals and plans, while providing emotional support. This is then repeated in subsequent consultation meetings at 3, 6, and 12 months. The additional consultations will vary between 15-30 minutes and are optional based on participant needs.
	Credible source	*Social opportunity; Automatic motivation	Expert Get Active Specialists who are trained in motivational interviewing and behaviour change, with specialist knowledge of obesity, diabetes, exercise referral, and mental health will discuss becoming more active in a favourable light with programme users.
	Verbal persuasion about capability	Reflective motivation	Programme users will set goals and the Get Active Specialists will encourage the participant's belief in their ability to fulfil those goals and make long-term change.
	Focus of past success	Reflective motivation	During the consultation programme users will set physical activity goals and the Get Active Specialists will discuss previous success or progress
Exercise sessions (both)	Instruction on how to perform the	Social opportunity; Psychological capability	Programme users can choose to attend 12 weeks of exercise classes either referred to them (standard delivery) or organised as bespoke

groups)	behaviour; Demonstration of the behaviour; Behavioural practice/rehearsal		sessions (enhanced delivery) by the Get Active Specialists. These will involve detailed instruction on how to perform a range of exercises (e.g. yoga, pilates, light to moderate-intensity circuit training). During these classes programme users will be given demonstrations of the correct way to perform the activities and provided with ample opportunity to practice and gain confidence in performing the exercises.
	Graded tasks	Physical capability	During the exercise classes, exercise specialists will encourage programme users to start slowly and build up intensity throughout the 12 weeks.
Booster call (both groups)	Social support unspecified; Verbal persuasion about capability; Prompts and cues	Social opportunity; Reflective motivation; Physical opportunity	Programme users receive a phone call at 2 weeks, which is approximately 5 minutes in duration prompting them to keep working towards their physical activity goals and stating that they are capable of achieving them.
Test messages (both groups)	Social support unspecified; Verbal persuasion about capability; Prompts and cues	Social opportunity; Reflective motivation; Physical opportunity	A text message is sent to programme users at 2, 6, and 12 weeks prompting them to keep working towards their physical activity goals and stating that they are capable of achieving them.
Exercise buddies and tailored	Social support practical and emotional	Social opportunity; Automatic motivation	For programme users in the enhanced delivery areas, Get Active Specialists will also run and/or organise a range of exercise classes based on the preferences of programme users, where they may also be

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exercise classes (enhanced delivery only)	paired with an exercise buddy to help them attend the exercise classes and provide emotional support if needed.
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Note: *denotes that a BCT was not explicitly linked to a COM-B construct in the consensus study from Cane et al. (2015), but the authors believe this BCT will impact this area.

For peer review only

BMJ Open

How effective is community physical activity promotion in areas of deprivation for inactive adults with Cardiovascular Disease risk and/or mental health concerns? Study protocol for a pragmatic observational evaluation of the 'Active Herts' physical activity programme

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2017-017783.R1
Article Type:	Protocol
Date Submitted by the Author:	14-Sep-2017
Complete List of Authors:	Howlett, Neil; University of Hertfordshire, Department of Psychology and Sport Sciences Jones, Andrew; University of East Anglia, Norwich Medical School Bain, Lucy; University of East Anglia Faculty of Medicine and Health Sciences, Norwich Medical School Chater, Angel; University of Bedfordshire, Department of Sport Science and Physical; University of Hertfordshire, Psychology and Sport Sciences
Primary Subject Heading:	Public health
Secondary Subject Heading:	Sports and exercise medicine
Keywords:	physical activity programme, behaviour change, inactive adults, behaviour change techniques, COM-B

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**How effective is community physical activity promotion in areas of deprivation for
inactive adults with Cardiovascular Disease risk and/or mental health concerns? Study
protocol for a pragmatic observational evaluation of the 'Active Herts' physical activity
programme**

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Abstract

Introduction

There is a high prevalence of inactive adults in the UK, and many suffer from conditions such as cardiovascular disease or poor mental health. These co-exist more frequently in areas of higher socio-economic deprivation. There is a need to test the effectiveness, acceptability, and sustainability of physical activity programmes. Active Herts uses novel evidence-based behaviour change techniques to target physical inactivity.

Methods and analysis

Active Herts is a community physical activity programme for inactive adults aged 16+ with one or more risk factors for CVD and/or a mild to moderate mental health condition. This evaluation will follow a mixed-methods longitudinal (baseline, and 3, 6 and 12 month follow-ups) design. Pragmatic considerations mean delivery of the programme differs by locality. In two areas programme users will receive a behaviour change technique booklet, regular consultations, a booster phone call, motivational text messages, and signposting to 12 weeks of exercise classes. In another two areas programme users will also receive 12 weeks of free tailored exercise classes, with optional exercise 'buddies' available. An outcome evaluation will assess changes in physical activity as the primary outcome, and sporting participation, sitting, wellbeing, psychological capability, and reflective motivation as secondary outcomes. A process evaluation will explore the views of stakeholders, delivery staff, and programme leads. Economic evaluation will examine the programme costs against the benefits gained in terms of reduced risk of morbidity.

Ethics and dissemination

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3 This study was been approved by the Faculty of Medicine and Health Sciences
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5 Research Ethics Committee at University of East Anglia. Informed written consent will be
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7 obtained from programme users in the evaluation. Results will be published in peer-
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9 reviewed journals, presented at conferences, and shared through the study website and
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11 local community outlets.
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14 15 **Registration**

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18 Clinicaltrials.gov ID number: NCT03153098
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25 **Keywords:** Physical activity programme; behaviour change; inactive adults; behaviour
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27 change techniques; COM-B
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Strengths and Limitations

- The Active Herts programme is designed with the latest behaviour change theory and techniques in both the materials for programme users and the training for delivery staff.
- The Active Herts programme targets individuals in areas of deprivation with existing health issues that would benefit most from lifestyle changes.
- The main limitation is that due to pragmatic considerations participants will receive the two different delivery approaches based on their place of residence and not through randomisation.
- A secondary limitation is that due to financial constraints and the scale of recruitment (aiming for maximum reach) it is not possible to include an objective measure of physical activity.

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3 **How effective is community physical activity promotion in areas of deprivation for**
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5 **inactive adults with Cardiovascular Disease risk? Study protocol for a pragmatic**
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7 **observational evaluation of the 'Active Herts' physical activity programme**
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11 **Introduction**
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14 Physical inactivity is responsible for 6% of deaths globally, making it the fourth
15 leading risk factor for mortality world-wide [1]. Being active is protective against
16 cardiovascular disease (CVD), type 2 diabetes, and cancer [2-3], with strong evidence that
17 exercise is an effective treatment for depression [4]. In England, 63% of men and 59% of
18 women report participating in the recommended weekly levels of 150 minutes of moderate
19 to vigorous physical activity [5], yet objectively-measured data suggest just 6% of men and
20 4% of women meet this level [6]. Further, only 34% of men and 24% of women meet the
21 guidelines for muscle-strengthening exercises on two or more days per week [7]. The Active
22 People Survey from Sport England in 2015-16 found that only 36% of adults (41% of men
23 and 32% of women) report taking part in sport once a week, with the figure decreasing to
24 18% for sporting participation on three or more occasions weekly.
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40 Overall physical activity and sporting participation needs to be improved in the UK,
41 but inactivity is even more prevalent in low-socioeconomic status (SES) adults and those
42 suffering from major disease. Lower SES adults are less likely to participate in vigorous and
43 moderate-intensity physical activity, and walking [8]. They are also more likely to perceive
44 the opportunities to be active in their local environment more negatively shown through
45 physical activity related factors such as attractiveness, safety, and how congested roads are
46 [8]. Furthermore, lower SES adults are also less likely to perceive themselves as overweight
47 or try to lose weight, which in turn lessens the chances of them participating in physical
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3 activity as a weight control strategy [9]. Additionally, those suffering from CVD and a
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5 combination of CVD and type 2 diabetes report lower levels of physical activity and greater
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7 sedentary behaviour in terms of television watching [10]. Overall, those living in low SES
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9 areas and/or with ongoing diseases are an important target to increase physical activity
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11 through intervention.
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15 The Active Herts programme will attempt to address adult inactivity by drawing on
16
17 the latest evidence analysing how to support inactive adults to be more physically active. A
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19 recent systematic review has shown that interventions in inactive adults show statistically
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21 significant small to moderate effect sizes post-intervention and small but still statistically
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23 significant effect sizes for at least six months after intervention contact has finished (follow-
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25 up) [11-12]. This review also analysed the behaviour change techniques [BCT; 13] that were
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27 associated with effective interventions and highlighted several approaches that can be used
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29 to heighten the likelihood of programmes and interventions producing meaningful changes
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31 in physical activity. It was found practising the performance of physical activity and gradually
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33 increasing its intensity were effective for physical activity change at both post-participation
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35 and follow-up. Additionally, post-participation effectiveness was associated with being
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37 shown how to be more active and 'Biofeedback' (using heart rate monitors to judge exercise
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39 intensity), and effectiveness at follow-up was associated with creating detailed plans to be
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41 active, receiving instructions on particular exercises (this may include during exercise
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43 classes), rewarding oneself for progress, and utilising prompts or cues to exercise [11].
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51 Whilst understanding which techniques are effective when attempting to intervene
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53 with an inactive population to increase physical activity is important, so too is the
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55 communication style in which the techniques are delivered [14]. Motivational interviewing
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57 has been shown to be an effective communication method with which to change several
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3 health behaviours including physical activity (e.g. [15]). Used in combination, BCTs and
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5 motivational interviewing can target key determinants of behaviour, which can be
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7 understood in terms of the individual's Capability (physical and psychological), Opportunity
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9 (social and physical), and Motivation (reflective and automatic) (COM-B: [16]) to be more
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11 active. The selected BCTs in this programme can be mapped onto and, therefore, target all
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13 six aspects of the COM-B (17). Research has shown that the COM-B model explains a large
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15 amount of variance in physical activity participation, highlighting psychological capability
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17 and reflective motivation as key drivers [18]. In this work, psychological capability was
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19 formed of components such as action planning and self-monitoring, and reflective
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21 motivation were formed of components such as intentions and self-efficacy [18].
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27 The purpose of the Active Herts programme is to support engagement in physical
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29 activity and promote wellbeing in inactive adults with elevated risk of CVD and/or mental
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31 health concerns living in four areas of the English county of Hertfordshire where need is the
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33 highest. Pragmatic delivery considerations mean the programme will use two different
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35 approaches, with each being delivered in two different localities. The first will provide
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37 programme users with an initial consultation, followed by 12 weeks of exercise sessions,
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39 and further support in person or by phone throughout a 12-month period ('standard
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41 delivery'). The second approach will include additional support in the form of optional
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43 exercise buddies and free tailored exercise organised by the programme staff themselves
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45 ('enhanced delivery'). The aim of this paper is to report the Active Herts programme
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47 methods in terms of their content, delivery, staff training, and evaluation. The objectives of
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49 the evaluation are:
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54 Primary objective:

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2
3 - To observe whether the Active Herts programme increases physical activity with
4
5 (enhanced delivery) and without (standard delivery) additional support from exercise
6
7 buddies and free access to tailored exercise classes.
8
9

10
11 Secondary objectives:

- 12
13
14 - To observe whether the Active Herts programme increases health and mental well-
15
16 being with (enhanced delivery) and without (standard delivery) additional support
17
18 from exercise buddies and tailored exercise classes.
19
20
21 - To explore the relative cost-effectiveness of the two delivery approaches.
22
23
24 - To explore which components from the two different delivery approaches are
25
26 particular drivers of their effectiveness and what the barriers may be that prevent
27
28 these models from achieving their potential.
29
30

31 **Methods and Analysis**

32 **Design**

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34
35
36
37 This evaluation includes a qualitative process evaluation and an outcome evaluation.
38
39 The quantitative study will follow a longitudinal (baseline, and 3, 6 and 12 month follow-
40
41 ups) observational design, with comparison of the two different delivery methods employed
42
43 in different localities. The design of the evaluation is illustrated in Figure 1. This protocol is
44
45 reported according to the Transparent Reporting of Evaluations with Nonrandomized
46
47 Designs (TREND; [19]) guidelines and with reference to the Template for Intervention
48
49 Description and Replication (TIDieR; [20]) checklist.
50
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55 *Insert figure 1 about here*
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Programme users

The inclusion criteria for participation in the Active Herts programme are inactive adults aged 16 and over who have one or more risk factors for CVD. Inactivity is classed as participating in less than one episode of 30 minutes of physical activity per week on a regular basis. Additional risk factors for CVD include: diabetes, hypertension, high cholesterol, obesity (BMI > 30 or BMI > 28 if one or more co-morbidities) and/or smoking. Programme users who are inactive with a mild to moderate mental health condition may also take part. Those with a severe mental health condition can do so if their general practitioner (GP), Mind (a mental health charity), or Improving Access to Psychological Therapies (IAPT) consultant deems them suitable for the programme. An additional criterion for inclusion in the evaluation was the ability to give informed consent for their data to be used.

Eligible adults will live in one of four Hertfordshire districts (Broxbourne, Stevenage, Hertsmere, and Watford). The wider economic value for health from sport participation in Hertfordshire is £461.6 million. Inactivity (excluding costs related to obesity and mental health) is also costing the health economy between £1.1 and £1.4 million per year in the four focus districts of Active Herts. The districts contain the highest number of deprived Lower Super Output Areas (LSOA) in Hertfordshire and are in the five highest rates of under 75 mortality rate from CVD (2-3%), adult obesity (8-10%), and diabetes (4-6%). A life expectancy gap of 6-9.6 years exists between the most and least deprived areas across these districts [21]. Less than 50% of this population participate in 30 minutes of physical activity once per week.

1
2
3 Programme users will be primarily recruited into the programme through 23 GP
4
5 services throughout the four localities: five in Broxbourne; five in Hertsmere; seven in
6
7 Stevenage; six in Watford. A Mind wellbeing centre in each location will also refer into the
8
9 programme. Hertfordshire residents who meet the inclusion criteria can also access the
10
11 programme through self-referral. As this programme is Sport England and local authority
12
13 funded with a focus on delivery, power calculations were not deemed necessary and all
14
15 eligible programme users are invited to engage in the evaluation. The objective is to provide
16
17 as many eligible residents as possible with access to this programme over the three-year life
18
19 of the project, with a minimum expectation of engagement from 1500 programme users.
20
21
22
23

24 25 **Programme and Evaluation Materials and Procedure** 26

27
28 The content of the Active Herts programme has been based on the review [11-12]
29
30 discussed to include BCTs found to be present in effective physical activity interventions,
31
32 with the exception of 'Biofeedback' as giving each participant heart rate monitors in a
33
34 programme of this size is unfeasible. Many of the BCTs are included in the booklet given to
35
36 programme users used by 'Get Active Specialists' during their consultations with
37
38 programme users, and target all six facets of the COM-B model of behaviour change ([17],
39
40 see Table 1). Programme users in both delivery groups will receive the same content in
41
42 terms of an initial 45 minute consultation with a Get Active Specialist (with additional
43
44 consultations at 3, 6, and 12 months), an Active Herts booklet, a two week booster call, and
45
46 access to activities in their local area. All programme contacts in person and by phone will
47
48 be on a one-to-one basis. Aside from access to a range of free group activity sessions over
49
50 the first 12 weeks, there are no additional incentives for programme users to attend
51
52 consultation
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Insert Table 1 about here

Get Active Specialists

One Get Active Specialist has been employed in each of the four localities for the three-year duration of the programme. The specialists will work with local GPs and Mind centres to recruit eligible programme users. The specialists all have a minimum of level 3 Register of Exercise Professional and GP Exercise Referral qualifications. The Get Active Specialists will be further trained so that conversations with programme users can be user-led, involving open-ended questions, which allow programme users to take ownership of setting their own goals, plans, and rewards for progress. Consequently, the specialists will receive the following training specific to this programme:

- The two day 'British Heart Foundation: Promoting health behaviour change – A solution focused approach' course (<http://www.bhfactive.org.uk/training-and-events-item/506/index.html>)
- The three day 'The Wright Foundation: Obesity and Diabetes' course (http://www.wrightfoundation.com/spec_ob_di.php)
- The one day 'The Wright Foundation: Level 4 mental health' course (http://www.wrightfoundation.com/spec_men.php)
- A two-day workshop, followed by quarterly one-day boosters, on motivational interviewing, health coaching, and behaviour change led by a Chartered Sport and Exercise and Health Psychologist and Research Fellow (AC, NH).

The two Specialists working in the localities with the potential to provide exercise buddies will also attend a one day Recruiting and Retaining Volunteer course organised by Volunteer

1
2
3 Centres, Hertfordshire (<http://www.volunteeringherts.org.uk/index.php/events/details/12->
4
5 recruiting-and-retaining-volunteers).
6
7

8 9 **Assessment of Fidelity**

10
11 To ensure fidelity of programme delivery, a number of measures will be put in place.
12
13 Get Active Specialists (GAS) will record a random sample of consultations and review the
14
15 audio amongst themselves, project lead and at quarterly booster sessions with the trainers.
16
17 The specialists will score each consultation with the Motivational Interviewing Treatment
18
19 Integrity coding scheme (MITI; [22]) and a checklist of BCTs. The MITI will score the
20
21 specialists on five domains core to motivational interviewing: Evocation – the GAS works
22
23 proactively to evoke participant’s own reasons for change; Collaboration – the GAS actively
24
25 fosters and encourages power sharing in the interaction; Autonomy/Support – The GAS
26
27 adds significantly to the feeling and meaning of participant’s expression of autonomy;
28
29 Direction – The GAS resists the righting reflex, yet generally does not miss opportunities to
30
31 direct participant toward the target behaviour; Empathy – The GAS shows evidence of deep
32
33 understanding of participant’s point of view. Every three months throughout the duration of
34
35 the evaluation, the GAS and project lead will meet for booster sessions with a Chartered
36
37 Sport and Exercise and Health Psychologist and Research Fellow (AC, NH) to review
38
39 recorded consultations, recap training, discuss any barriers to successful delivery, and
40
41 highlight what is working well.
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50 51 **Outcome Measures**

52 53 *Primary outcomes:*

54
55 Physical activity will be measured with the International Physical Activity
56
57 Questionnaire (IPAQ; [23]). Six questions will assess the level of vigorous and moderate-
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1
2
3 intensity physical activity, and walking of each participant over the last week by asking the
4
5 amount of time spent being active and on how many days for each, with the minimum being
6
7 10 minutes at a time. The IPAQ allows a Metabolic Equivalent of Task (MET) score to be
8
9 calculated for each activity type by weighting its energy requirements, with 3.3 METs for
10
11 walking, 4 METs for moderate-intensity activity, and 8 METs for vigorous-intensity activity. A
12
13 total activity MET score can then be calculated accounting for intensity [24]. The IPAQ also
14
15 asks one question about how much time is spent sitting on a weekday over the last seven
16
17 days. An additional two questions will ask about sporting participation over the last week by
18
19 asking the amount of time spent doing sports and on how many days, with the minimum
20
21 being 10 minutes at a time.
22
23
24
25

26 27 *Secondary outcomes:*

28
29 Mental well-being will be measured using the Warwick Edinburgh Mental Well-being
30
31 Scale (WEMWBS; [25]), a 14-item scale exploring thoughts and feelings over the last two
32
33 weeks. Programme users are presented with items such as 'I've been feeling useful' or 'I've
34
35 been thinking clearly' and must rate themselves on a scale from 1 '*None of the time*' to 5 '*All*
36
37 *of the time*'.
38
39

40
41 Perceptions of health will be measured using the Euroqual EQ-5D-5L [26], which has
42
43 five domains focusing on mobility, self-care, usual activities, pain/discomfort, and
44
45 anxiety/depression, with one question per domain. Each question has five options to choose
46
47 from ranging of no problems to inability to function. An additional question also asks how
48
49 good or bad programme users perceive their health to be on a scale ranging from 0 (*the*
50
51 *worst health you can imagine*) to 100 (*the best health you can imagine*).
52
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55 *COM-B measures*

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2
3 All of the COM-B related scales were validated in a previous study [18] and produce
4 a mean score apart for self-efficacy which produces a total score. Self-monitoring will be
5 measured by two items, which ask programme users to rate how much they agree with
6 statements such as 'I constantly monitored myself whether I exercise frequently enough' on
7 a scale from 1 'Completely disagree' to 4 'Totally agree', retrospectively over the past week
8 [24].
9

10
11
12 Action Planning will be measured by four items about when, where, how, and how
13 often programme users make detailed plans regarding physical activity on a scale from 1
14 'Completely disagree' to 4 'Totally agree', retrospectively over the past week [28].
15

16
17
18 Self-efficacy will be measured with the Physical Exercise Self-Efficacy Scale [29],
19 which consists of five items exploring programme users' ability to carry out their
20 behavioural intentions in the face of challenges, such as 'even when I feel tense'. The items
21 will be measured on a scale from 1 (*Very uncertain*) to 4 (*Very certain*).
22

23
24
25 Intentions will be measured using three items [30], each referring to the amount of
26 physical activity the individual intends to do over the next week with statements such as 'I
27 expect to take part in regular physical activity over the next week'. Each item is rated on a 7-
28 point scale from 1 (*Strongly disagree*) to 7 (*Strongly agree*).
29

30
31
32 Attitudes will be measured using four items [30], each referring to the participant's
33 attitudes towards physical activity in terms of how harmful, healthy, enjoyable, and boring
34 they view it on a set of 7-point scales anchored by positive and negative views (e.g. 1 = *Very*
35 *unhealthy* to 7 = *Very healthy*).
36

37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 **Analytical Methods**

54 55 56 57 58 59 60 *Outcomes evaluation*

1
2
3 The outcomes evaluation will be based on a comparison between recorded values at
4
5 baseline for the primary and secondary outcomes and those captured at the various follow-
6
7 up points. The association between exposure to the programme and changes in the primary
8
9 and secondary outcomes between baseline and post-participation will be examined using
10
11 repeated measures multiple regression models, with covariates including follow-up time
12
13 point, and whether each participant is in a 'standard delivery' or 'enhanced delivery' area.
14
15 An interaction term will be fitted to identify if trends in outcomes by follow-up point differ
16
17 between the two area types. Differences in baseline characteristics of programme users
18
19 between the 'standard' and 'enhanced' delivery areas will be tested using either an
20
21 Independent Samples T-test or a Mann-Whitney U test depending on whether the variable
22
23 being tested follows a normal distribution. Any potential confounding factors associated
24
25 with variant characteristics of the two sets of programme users will be adjusted for by
26
27 inclusion as covariates in the models. If changes in the primary outcome are found,
28
29 additional regression models will explore whether these changes are driven by changes in
30
31 COM-B-related measures.
32
33
34
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38

39 Loss to follow-up is a common problem in this form of evaluation and the sample of
40
41 programme users providing data at all follow-up points is likely to differ from those with
42
43 lower engagement in the evaluation. Depending on the degree of loss to follow-up, a
44
45 complete case analysis will be undertaken and the results compared with an analysis of all
46
47 data available, whereby simple mean imputation will be used in the case of missing values.
48
49 Should the results from the two models show substantial variation, then multiple
50
51 imputation techniques will be employed.
52
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56
57 *Process evaluation*
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1
2
3 A process evaluation is a systematic method of collecting, analysing, and using
4
5 information to understand the functioning of a programme or intervention by examining
6
7 implementation, mechanisms of impact, and contextual factors [31]. A process evaluation of
8
9 Active Herts will take place in three phases with each phase exploring a different theme.
10
11 Data will be collected in the form of one-to-one interviews with stakeholders, group
12
13 interviews with the Get Active Specialists, and focus groups with programme users.
14
15 Stakeholders interviewed will include commissioners, higher programme management,
16
17 project delivery partners, and health service practitioners.
18
19
20
21

22
23 The initial phase will focus on areas related to the set-up of Active Herts, including
24
25 developments in the method of recruitment or delivery of the programme, barriers and
26
27 facilitators to reaching the target audience, partnership working, and engagement with
28
29 primary and secondary care. The second phase will explore deviations in the programme
30
31 delivery from those planned, potential mechanisms by which the programme works, and
32
33 external factors which may influence the programme. A final phase will take on a reflective
34
35 focus looking back over the programme and considering what worked well and what did
36
37 not, identifying examples of best practice. It will also consider the future sustainability of
38
39 Active Herts including exit routes for programme users and continuation of the programme
40
41 where appropriate. In all phases, other emerging themes will be explored as identified
42
43 during the process.
44
45
46
47

48 49 *Economic evaluation*

50
51 The economic evaluation will examine the costs of delivery of the Active Herts
52
53 programme against the benefits gained in terms of reduced risk of morbidity from a range
54
55 of chronic conditions, the risk of which is associated with physical inactivity. The ratio of
56
57
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1
2
3 costs to effects – i.e. “the incremental cost-effectiveness ratio” (ICER) will be assessed
4
5 against a “cost-effectiveness threshold”, representing the opportunity cost of spending the
6
7 money. In the UK, the National Institute for Health and Care Excellence (NICE) uses a
8
9 threshold range of £20,000–30,000; if interventions are within this area of cost-
10
11 effectiveness or below, then they are considered “cost-effective” or good “value for
12
13 money”.
14
15

16
17
18 This evaluation will use Version 2 (November 2016) of the Sport England MOVES
19
20 model, a tool for conducting economic analysis of physical activity programmes and
21
22 interventions developed by the Health Economics Group at the University of East Anglia.
23
24 The MOVES tool will be used to monetarise the reduced disease burden associated with
25
26 participation in Active Herts by comparing their predicted disease risk against that of a
27
28 similar cohort of the population not participating in any programme. The MOVES model will
29
30 link changes in physical activity (using increases in physical activity energy expenditure due
31
32 to the programme) with changes in disease prevalence over time for depression, diabetes,
33
34 stroke, coronary heart disease, dementia, colorectal cancer, breast cancer, and hip fracture.
35
36 The model then assesses the financial return to the NHS (treatment costs saved) and the
37
38 health impacts (Quality Adjusted Life Years (QALYs) gained) in the ‘enhanced delivery’
39
40 compared with the ‘standard delivery’ area, which are used to calculate indicators of cost
41
42 effectiveness; the Incremental Cost Effectiveness Ratio, NHS return on Investment, and
43
44 QALYs return on investment.
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51 52 **Ethics and Dissemination**

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54
55 This study has been approved by both the Faculty of Medicine and Health Sciences
56
57 Research Ethics Committee at University of East Anglia (Ref: 20152016 – 28) and by the
58
59
60

1
2
3 University of Hertfordshire Health and Human Science Ethics Committee with Delegated
4 Authority (protocol number: LMS/PGR/UH/02427). All programme users will be provided
5
6
7 with a Participant Information and Consent Form. Informed written consent will be obtained
8
9
10 from all programme users in the evaluation. The results of this study will be published in
11
12 peer-reviewed journals, presented at national and international conferences, and shared
13
14 through the study website, and local public health and community sport partnership forums
15
16 and newsletters.
17
18
19

20 Discussion

21
22
23 Inactivity is a major issue in England with large health and economic burdens
24 associated with not participating in the recommended amount of activity. This programme
25
26 targets inactive adults with additional health problems in areas that would benefit the most
27
28 from a community physical activity programme. Pragmatic considerations mean that the
29
30 form of programme delivery differs across programme areas, providing a comparison in the
31
32 form of a natural experiment. Active Herts incorporates the latest evidence of the BCTs that
33
34 work both during the participation in the programme and over the longer term to aid
35
36 sustainable behaviour change. These evidence-based techniques will be combined with an
37
38 effective delivery approach in motivational interviewing and health coaching that allow
39
40 discussions to be participant-led so that the programme users take ownership over their
41
42 goals, progress, and rewards. Additionally, this evaluation will measure key drivers of
43
44 physical activity from the most up to date behaviour change theory (COM-B), allowing
45
46 evaluation of not only whether physical activity has increased but why. This will provide the
47
48 basis with which to refine a scalable intervention that could be more robustly tested in a
49
50 randomised controlled trial.
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Declarations

Acknowledgements

The authors would like to thank Adan Freeman and Joe Capon the project officers, and Fiona Deans, the project manager from Herts Sports Partnership, and the public health lead, Piers Simey, from Hertfordshire County Council. We would also like to thank the Get Active Specialists (Lee Bruce, Alison Goodchild, Hannah Marsh, and Andrew Rix)

Authors' contributions

NH prepared the draft versions and final manuscript. AC read and provided feedback on the drafts, and approved the final manuscript. AJ prepared the analysis and evaluation sections, read and provided feedback on the drafts, and approved the final manuscript. LB read and provided feedback on the drafts, and approved the final manuscript.

Funding

This work was supported by Sport England (Ref: 2015000295), Broxbourne Borough Council, East and North Herts CCG, Herts Valley CCG, Hertfordshire Public Health, Herts Mind Network, Mind in Mid Herts, and Herts Sports Partnership.

Competing interests

Nothing to declare

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Figure 1: Active Herts programme design

Table 1: Programme content specified by behaviour change techniques and linked to constructs of the COM-B model.

Programme component	Behaviour change technique	COM-B construct targeted	Content
Booklet (both groups)	Pros and Cons	Reflective motivation	A page asking whether exercise is good for you and programme users are given two blank columns to fill out with possible advantages and disadvantages of becoming more active. They are then asked how confident they feel about becoming active on a scale of 1-10.
	Problem Solving	*Psychological capability; Reflective motivation	Programme users are asked to think about their current situation and to list the things that might be currently stopping them from being active and how they might overcome them.
	Goal setting	Reflective motivation	Programme users are given the opportunity to set short (two weeks), medium (3 months), or long-term (12 months) goals, and then rate how confident they are of achieving each one from 1-10.
	Action planning	Psychological capability and Reflective motivation	A page allowing programme users to complete sections referring to their plans to becoming more active in terms of what they are going to do, where they are going to do it, when they are going to do it, and who they are going to do it with. A second page allows them to explore their time management by mapping out the week in terms of morning, afternoons, and evenings.
	Relapse prevention	*Psychological capability; Reflective motivation	In contrast to the problem solving page which focuses on current problems, this page explains how even the most habitual exercisers can struggle at times. Programme users are asked to think about situations

in the future that may affect their progress and then about options to avoid or cope with these situations.

Self-monitoring of behaviour	Psychological capability	Programme users are given an exercise and activity diary to track their progress and highlight their engagement. A table contains columns for the date, activities completed, time in minutes, enjoyment level (from 1, low to 10, high), and how they felt after completing the activity. The table contains several rows so programme users can track this over time.
Information about health consequences: Information on emotional consequences	Psychological capability; Reflective motivation	A page summarises the health and emotional benefits of being active in a positively framed manner. For example, did you know that being active can 'help you manage high blood pressure' and 'make you feel good and improve your mental health'.
Instruction on how to perform the behaviour	*Psychological capability	Programme users are given the national exercise guidelines for moderate and vigorous activity. Additionally information is given for examples of moderate and vigorous activity, how to break up long periods of sitting, how to improve balance to reduce the chance of falls, and an example of how these activities can fit into everyday life.
Self-reward	Automatic motivation	Programme users are told the importance of rewarding themselves for the effort they make towards their activity goals. Examples are then given of how to reward themselves in ways that are healthy and free. For example, 'listen to music' or 'have a nice relaxing bath'. Self-reward

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is also discussed briefly during the goal setting page when thinking about what success looks like.

Consultation (both groups)	Social support unspecified; Social support emotional	Social opportunity; Automatic motivation	Programme users are given an initial 45 minute consultation in person one-to-one where motivational interviewing and health coaching are used to structure the session to fit participant needs, move them towards becoming more active, signposting activities and discussing goals and plans, while providing emotional support. This is then repeated in subsequent consultation meetings at 3, 6, and 12 months. The additional consultations will vary between 15-30 minutes and are optional based on participant needs.
	Credible source	*Social opportunity; Automatic motivation	Expert Get Active Specialists who are trained in motivational interviewing and behaviour change, with specialist knowledge of obesity, diabetes, exercise referral, and mental health will discuss becoming more active in a favourable light with programme users.
	Verbal persuasion about capability	Reflective motivation	Programme users will set goals and the Get Active Specialists will encourage the participant's belief in their ability to fulfil those goals and make long-term change.
	Focus of past success	Reflective motivation	During the consultation programme users will set physical activity goals and the Get Active Specialists will discuss previous success or progress

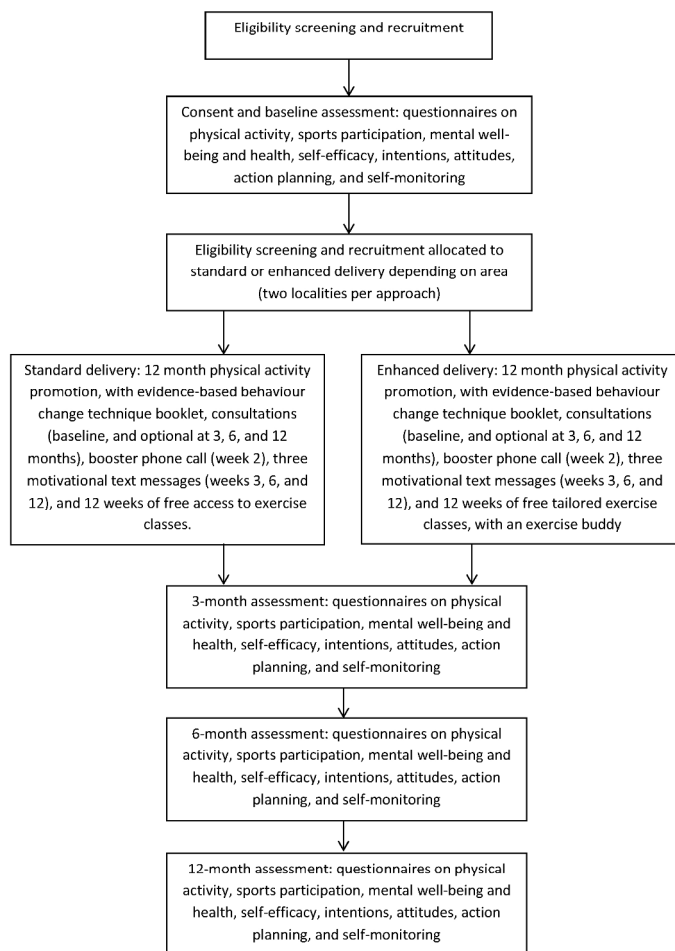
Exercise sessions (both	Instruction on how to perform the	Social opportunity; Psychological capability	Programme users can choose to attend 12 weeks of exercise classes either referred to them (standard delivery) or organised as bespoke
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groups)	behaviour; Demonstration of the behaviour; Behavioural practice/rehearsal		sessions (enhanced delivery) by the Get Active Specialists. These will involve detailed instruction on how to perform a range of exercises (e.g. yoga, pilates, light to moderate-intensity circuit training). During these classes programme users will be given demonstrations of the correct way to perform the activities and provided with ample opportunity to practice and gain confidence in performing the exercises.
	Graded tasks	Physical capability	During the exercise classes, exercise specialists will encourage programme users to start slowly and build up intensity throughout the 12 weeks.
Booster call (both groups)	Social support unspecified; Verbal persuasion about capability; Prompts and cues	Social opportunity; Reflective motivation; Physical opportunity	Programme users receive a phone call at 2 weeks, which is approximately 5 minutes in duration prompting them to keep working towards their physical activity goals and stating that they are capable of achieving them.
Test messages (both groups)	Social support unspecified; Verbal persuasion about capability; Prompts and cues	Social opportunity; Reflective motivation; Physical opportunity	A text message is sent to programme users at 2, 6, and 12 weeks prompting them to keep working towards their physical activity goals and stating that they are capable of achieving them.
Exercise buddies and tailored	Social support practical and emotional	Social opportunity; Automatic motivation	For programme users in the enhanced delivery areas, Get Active Specialists will also run and/or organise a range of exercise classes based on the preferences of programme users, where they may also be

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5 exercise classes
6 (enhanced
7 delivery only)
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paired with an exercise buddy to help them attend the exercise classes
and provide emotional support if needed.

9 Note: *denotes that a BCT was not explicitly linked to a COM-B construct in the consensus study from Cane et al. (2015), but the authors believe this BCT will impact this
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