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What's the difference between comprehensive and selective primary health care? Evidence from an empirical study in South Australia

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3 **What's the difference between comprehensive and selective primary health care?**
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5 **Evidence from an empirical study in South Australia**
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ABSTRACT

Objectives

Since the Alma Ata Declaration on Primary Health Care (PHC) there has been debate about the advisability of adopting comprehensive or selective PHC. Proponents of the latter argue a more selective approach will enable interim gains while proponents of comprehensive PHC argue it is needed to address underlying causes of ill-health and improve health outcomes sustainably. This study sought to examine the differences in the two forms in practice.

Methods

This research is based on four case studies of government funded and run PHC services in Adelaide, South Australia. Program logic models were constructed from interviews and workshops. The initial model represented relatively comprehensive service provision in 2010. Subsequent interviews in 2013 permitted construction of a selective PHC logic model following a series of restructuring service changes.

Results

Comparison of the PHC service logic models before and after restructuring illustrates the changes to the operating context, underlying mechanisms, service qualities, activities, activity outcomes and anticipated community health outcomes. The services moved from focusing on a range of community, group and individual activities to a focus on the management of people with chronic disease. Under the more comprehensive model activities were along a continuum of promotive, preventive, rehabilitative and curative. Under the selective model the focus moved to rehabilitative and curative with very little other activity.

Conclusion

The study demonstrates the difference between selective and comprehensive approaches to PHC in a rich country setting and is useful in informing debates on PHC especially in the context of the Sustainable Development Goals.

STRENGTHS AND LIMITATIONS OF THIS STUDY

- This paper provides a clear description of the program logic behind comprehensive primary health by outlining the operating context, underlying mechanisms, the service qualities, the activities, the activity outcomes and the predicted community health outcomes.
- The paper compares this comprehensive model with a selective approach and by examining each aspect of the program logic demonstrates how selective primary health care is much less able to improve population (as opposed to clinical) health outcomes.
- Previous delineations between comprehensive and selective PHC have been limited to short theoretical accounts whereas this study provides a unique empirical examination of differences in the two forms in practice. This difference is crucial and needs to be defined very clearly when health systems are being reoriented to PHC.
- We do not claim that our typification of selective PHC in this study necessarily captures all interpretations (past and present) of this form of PHC.
- The comprehensive model as envisaged by these services was limited by the fact that it did not include extensive advocacy on upstream social determinants of health and, in some cases, relied on publicly funded medical services that were not integrated in the service.

INTRODUCTION

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3 The initial World Health Organisation (WHO) 1978 vision of Primary Health Care (PHC)
4 was comprehensive, viewing health services as part of a new international economic order
5 that would benefit all nations especially low income and groups living in disadvantage, that
6 would encourage democratic participation in health, and help improve social and
7 environmental contexts that create disease and risks for disease [1]. Health services were to
8 be multi-disciplinary, attuned to local need, and emphasise disease prevention and health
9 promotion. This comprehensive vision was overtaken by a pragmatic call for a more selective
10 approach, albeit originally considered to be temporary until developing countries could afford
11 a more comprehensive approach, which minimized the broader social change ambitions of
12 the original vision, marginalised preventive and promotive actions, and emphasized responses
13 to specific diseases or narrowly-defined health outcomes [2]. Although the WHO
14 recommitted to PHC in 2008 [3] and the Commission on the Social Determinants of Health
15 [4] endorsed PHC as the corner stone of a health system and a strategy for taking action on
16 social determinants of health at a local level, selective PHC has dominated health system
17 reforms in most low and middle income countries, abetted by growth in vertical (disease-
18 specific) global health funds [5]. Most empirical work on PHC implementation has come
19 from low and middle income countries, with few systematic studies of comprehensive PHC
20 from high income countries. This paper reports on an Australian study which tracked a shift
21 from comprehensive to selective PHC and has enabled development of a program logic
22 description of the two forms of PHC. We do not claim that our typification of selective PHC
23 in this study necessarily captures all interpretations (past and present) of this form of PHC.
24 Rather, it allows us to articulate the difference between two models in a particular high
25 income country context when so much discourse about PHC (both within Australia, and more
26 globally under the post-2015 Sustainable Development Goal of promoting Universal Health
27 Coverage) does not make the distinction.

Primary health care in high income countries

In high income countries, the best examples of comprehensive PHC have been community health centres in Canada (<http://www.cachc.ca/>), the USA [6], and Australia [7]. Community health centres are characterised by multi-disciplinary teamwork, a social understanding of health, community participation in management, advocacy for policy changes to address the social determinants of health at higher government levels, and services that cover rehabilitation, treatment, prevention and promotion. These centres have remained marginal within their country health systems, faced opposition from mainstream medicine and struggled to maintain their comprehensiveness.

In Australia, community health centres were the legacy of a 1970s national program and were maintained by state governments including the South Australian government which is the focus of this study. There have been very few studies of whole PHC services. Labonte et al. [8] found that most of the empirical PHC literature focused on “slices” or particular programs, rather than studying the overall service in a systematic way. Our research studied the totality of services in a way not previously reported in the literature [9]. While we didn’t anticipate it at the outset, our five year study (2009-2014) witnessed a series of structural reorganisations and policy changes [10] which undermined the comprehensive nature of our case study services. The aim of this paper is to describe the difference between a comprehensive and selective model of PHC in a high-income country setting.

METHODS

This paper draws on a five year longitudinal realist [11] case study of PHC services which used program logic modelling to describe the services and their expected outcomes [for

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3 details see 12]. This paper draws on a synthesis of our findings to examine the difference
4
5 between comprehensive and selective PHC. Our study was conducted with seven PHC
6
7 services and this paper draws on data from five state-managed PHC services (the other two
8
9 are non-government services and did not experience the changes reported in this paper). The
10
11 services are anonymised as A, B, C, D (an Aboriginal health team), and E. Service B
12
13 withdrew from further participation in the study in 2012, due to high staff workloads and
14
15 significant organisational change. Service E agreed to join as a replacement. Further details
16
17 of the services are provided in Table 1. Each case study service adopted a reasonably
18
19 comprehensive PHC approach at the onset of the study although A, C, and E did not provide
20
21 medical services reflecting the historical opposition of the organised medical profession to
22
23 these centres [13]. In 2009 all services had organisational statements which demonstrated
24
25 strong commitment to the Alma Ata Declaration principles including an explicit commitment
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27 to social determinants of health and health promotion.
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Table 1: Characteristics of case study state-managed PHC services: 2010 and 2013

Service	Budget (p.a.)		Main source of funding	Governance	Approximate # of staff (FTE)		Range of services	
	2010	2013			2010	2013	2010	2013
A	\$1.2m	\$0.5m ¹	SA Health	State-managed	16 (13.5)	10 (8.1)	Early childhood, health promotion, community development, allied health, chronic condition self-management, mental health, lifestyle advisor	Early childhood
B	\$1.1m	\$1.3m ²	SA Health	State-managed	26 (20)	28 (15.7) ²	Medical clinic, allied health, early childhood, podiatry, chronic condition self-management, lifestyle advisor, health promotion programs and groups, community development, peer education	Medical clinic, allied health, early childhood, podiatry, chronic condition self-management
C	\$1.7m	\$1.6m	SA Health	State-managed	36 (22)	25 (15.3)	Chronic condition self-management, early childhood, family violence, mental health, supported residential facilities services, community garden, lifestyle advice, health promotion, local initiatives, parenting groups, mindfulness	Chronic condition self-management, early childhood, family violence, mental health, supported residential facilities services

							and meditation groups, healthy ageing	
D	\$0.5m	N/A ³	SA Health	State-managed	12 (10.8)	N/A ³	Community lunch program, health promotion groups, 1:1 case management/referral/advocacy, transport, community events	Combined into medical clinic, Aboriginal clinical health workers, learning centre
E	N/A ⁴	\$1.7m	SA Health	State-managed	N/A ⁴	21 (16.6)	Early childhood, chronic disease self-management, mental health, antenatal and postnatal support, domestic violence services, healthy ageing, health promotion, community development ⁴	Early childhood, chronic disease self-management, mental health, antenatal and postnatal support

¹ Approximate – budget was combined with another site. Budget for 2 sites was \$1.1m

² As of 2011, due to service withdrawing

³ Service was restructured and merged with another service, cannot calculate a comparison to 2010.

⁴ Service joined study in 2012 – staff, budget info not available for 2010, services are as of 2012

Staff interviews

We interviewed staff in 2009 and 2013. The details of the interviews have been reported elsewhere [14]. In 2013, 63 interviews were conducted with service practitioners and managers in the seven PHC sites, and regional and central health executives.

Interview questions were developed by the research team based on the attributes of PHC and data collected on changes in PHC during 2009-2013, and piloted on two practitioners and one manager from non-participating PHC services. Interviews were audio recorded, transcribed, and de-identified. Ethics approval was received from the Southern Adelaide Clinical Human and Aboriginal Health Research Ethics Committees.

A team approach was taken to thematic analysis, aided by NVivo software. Codes were discussed and revised in team meetings, and four interviews were double-coded or triple-coded, ensuring rigour through constant monitoring of analysis and interpretation [15].

Program logic models

An overarching model of comprehensive PHC in Australia was constructed in 2010 using a collaborative process [12] and drawing on the models constructed for each service. Following the interviews conducted in 2013 a new program logic model was constructed by the research team reflecting the changes and revealing the more selective nature of the state-managed services.

FINDINGS

Figures 1 & 2 present the before and after picture of PHC. In Figure 1 the comprehensive nature of the services in 2009-10 is shown according to the operating context, underlying mechanisms, service qualities, activities, activity outcomes and community health outcomes. By 2014 these had changed significantly in the services and these changes are shown in Figure 2 and elaborated on below.

[Insert Figures 1 and 2 about here]

Context

In 2009-10 the context of the services was reasonably supportive of comprehensive service delivery. By 2014 the context for the services had changed so that their work had little political or bureaucratic support and their mandate changed from being responsive local services to one in which their agenda was centrally driven with a focus on chronic disease management. This changing context partly reflected on-going dispute between the Australian Federal and State governments regarding which authority was responsible for PHC and health promotion, largely in terms of who was to pay for the activities. While the Federal government had introduced regional PHC authorities (first Medicare Locals and then Primary Health Networks) their mandate and their practice were not comprehensive [16] and they did not work with the state-funded PHC services [17].

Mechanisms

The main difference between the selective and comprehensive model was that the service components had contracted considerably by 2014. Rather than offering services that responded to a wide range of community health issues the service model was reduced to a focus on chronic disease management and some limited early childhood services. Previously the services had responded to a much broader range of health issues including domestic violence, injury prevention and food quality. The new selective model was also inwardly focused whereas the comprehensive model had relied on health workers linking with other sectors, reaching out to the community and, albeit in a limited way, paying some attention to social determinants of health. Most significantly the selective model was based on a biomedical understanding of health with little or no attention to social factors.

Service qualities

The comprehensive model encouraged individual and community empowerment and responded to community needs. The health professionals also saw that the comprehensive

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2
3 model was holistic, used by those most in need and placed high emphasis on being culturally
4 respectful. By contrast the selective model paid very little attention to these attributes. The
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6
7 Aboriginal health workers at Service D felt less able to work in ways that suited the
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10 community, and some staff at the other services felt their service may be less welcoming to
11
12 Aboriginal and Torres Strait Islander clients than in the past:

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14 “I don't think the centre is particularly safe or friendly for Aboriginal clients. It's just a little
15
16 bit more clinical ... we don't have the Aboriginal flags and we don't have the things that
17
18 would make Aboriginal people feel especially welcome to this service, unfortunately.”

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21 Limits to comprehensiveness reported were that resource limitations meant services had to be
22
23 targeted. The Aboriginal health service was open to all Aboriginal people, however. Under
24
25 the comprehensive model the aim was a broad response to community health needs which
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27 were identified in consultation with the community. Thus a practitioner spoke of this
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29 engagement:

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32 “Community health was very much around the Ottawa Charter and things like that,
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34 about being very accountable to your local community, and a lot of local community
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36 involvement and a lot of local ownership of how the centre operated and what
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38 services the centre provided, and a lot of local initiatives.” (practitioner, Service B)

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43 Other comments demonstrated that community advice was no longer valued:

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45 “No community involvement whatsoever. The only thing we do have is a client
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47 feedback form” (practitioner, Service C)

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49 “... you can't go out and work with the community or plan with the community or
50
51 other agencies because it's become that siloed work.” (practitioner, Service A)

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3 The selective model had a narrow focus on reducing hospital admissions:
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5 “We really are now refocusing [Service A] to the high end chronic conditions that we
6
7 feel we can create a service continuum interfacing with the acute sector and really
8
9 focusing on hospital avoidance for clients with those conditions.” (regional health
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11 executive)
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14 15 16 **Service Activities** 17

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19 The main difference in service activities was that the selective model focused solely on the
20
21 treatment and secondary prevention activities for individuals. Nearly all the focus was on
22
23 chronic disease management and the only other services remaining were for children but their
24
25 scope had been reduced. The comprehensive model had a wider gaze and saw its mandate as
26
27 working with individuals and the community as a whole in a variety of ways as this
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29 comments indicates:
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32 “In the past we’ve run a wider scope of programs and groups, so it wasn’t uncommon
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34 to team up with a nurse and do some more preventative lifestyle programs, which we
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36 can’t do anymore.” (practitioner, Service E)
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39 Many of the activities lost were of benefit in relation to many diseases. For example activities
40
41 that promote social connection are good for mental health and physical health [18] and
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43 exercise is a key component in management of mental health issues such as depression [19]
44
45 as well as diabetes. The comprehensive model included a wider range of activities, shown in
46
47 Box 1.
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50 51 52 **Box 1 Activities present in comprehensive but not selective PHC** 53

54
55 Community Advocacy campaigns including on domestic violence, Aboriginal and Torres
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57 Strait Islander rights and cultural pride
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3 Individual advocacy: supporting individuals in quest to gain housing, welfare benefits

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5 Support Groups: for domestic violence, men's groups, women's groups, and mothers and
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7 babies groups
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10 Community activities: lunches, school and childcare centre engagement

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12 Community development: engagement with community members on health issues they are
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14 concerned about

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16 Intersectoral actions: membership of regional roundtables, engagement with other sectors on
17
18 range of health issues
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21 End of Box
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25 In 2009 the health professionals reported working with the community in many different
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27 ways, often going out to community sites, but by 2013 institutional support for this activity
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29 had gone:
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32 "It's a lot more client-coming-into-the-service-based, rather than going out into the
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34 community ... we're not working with the [adult education school] or the local
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36 childcare centre, whereas probably in 2009 we were stepping outside our doors a little
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38 bit. (practitioner, Service A).
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43 The move from a comprehensive to a selective set of activities was summed up by this
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45 nutritionist's comment:
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48 "We would visit community groups regularly and be a guest speaker for example. We
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50 would run group programs that were really around increasing personal knowledge and
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52 skills, very hands on practical - like cooking programs. That work has slowly been
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54 whittled out of the role. We would do like a split of time, like 30% of the time would
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56 be client direct, 30% on groups and then 30% would be health promotion and other
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3 activities. So it might be networking with a local childcare centre, for example,
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5 helping do menu reviews, supporting community initiatives and really being
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7 responsive to the local community needs. That has turned into now just offering one
8
9 on one nutrition work.” (practitioner, Service E)
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11
12 In 2009 the services worked with other sectors, including for example at Service E a series of
13
14 roundtables on issues including early childhood development, domestic violence and injury
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16 prevention. By 2014 all that work had ceased. Thus a narrowing down of service activities
17
18 typified the changes over the study period.
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21 22 23 **Activity outcomes**

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27 The impact of the changes to the mechanisms and service activities are evident in terms of the
28
29 expected activity outcomes. Under the comprehensive model outcomes were expected in
30
31 individuals and also for communities (e.g., more supportive environments, increased social
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33 capital). The selective PHC outcomes were limited to improved chronic disease management
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35 and aimed for more planned, managed care and decreased acute, episodic care for chronic
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37 disease, and a reduction in hospital admissions. Thus the activity outcomes are less
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39 ambitious.
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43 **COMMUNITY HEALTH OUTCOMES**

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45 The differences between the models becomes starkest in the likely outcomes. The selective
46
47 model is expected to lead to improved chronic disease management for some individuals and
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49 so have negligible population health impact. By contrast the comprehensive model anticipates
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51 improving health and well-being in individuals (including those with chronic disease) and the
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53 community and also to reduce health inequities. Selective PHC leads to a chronic disease
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55 treatment focussed health system with little capacity to prevent disease or promote health.
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3 The comprehensive model provides a health system that would make some contribution to
4
5 reducing the burden of disease and also promote well-being more generally, although the
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7 model depends on being supported by broader government action on the upstream social
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9 determinants.
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11 12 13 14 **DISCUSSION**

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16 Our findings have shown that while there are similarities between the two models of PHC in
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18 that they are both community-based, involve multi-disciplinary staff and respond to
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20 individuals in need of care, beyond that there are significant differences that mean the
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22 capacity for community health improvement is reduced significantly. This difference is
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24 crucial and needs to be defined very clearly when health systems are being reoriented to
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26 PHC. Previous delineations between comprehensive and selective PHC have been limited to
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28 short theoretical accounts [20 21] whereas this study provides a unique empirical examination
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30 of differences in the two visions in on the ground practice.
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34 Chronic disease management is vital given the increasing burden of chronic disease. But it is
35
36 short sighted to design a PHC system solely for this purpose. A more comprehensive model
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38 offers many benefits to a community. Community involvement in management and planning
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40 of a health service helps ensure they respond to community need [22]. A focus on prevention
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42 and the promotion of well-being in PHC is an important component of a health system's
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44 capacity to prevent disease. As Rose [23] has demonstrated prevention requires more than a
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46 focus on those already ill, rather making smaller changes across the whole population and
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48 reducing the risk by clinically insignificant amounts adds up to a far greater contribution to
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50 prevention. Thus while selective PHC appears to have an inherent logic in that it focuses on
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52 people with disease making high demands on the health service, its sets the health system up
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54 to run endlessly, like a rat on a wheel, because there is no prospect that it can stem the flow
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3 into this disease category. There appears to be nothing in the logic of the selective approach
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5 that suggests it can prevent new cases emerging.
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7 We acknowledge that the comprehensive model as envisaged by these services did not
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9 include extensive advocacy on upstream determinants such as income inequity,
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11 unemployment or housing. Thus its claim to be comprehensive was limited by the relative
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13 limited scope of action on social determinants of health. Elsewhere we have detailed the
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15 management and funding pressures that led to a retreat from a more comprehensive model in
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17 South Australia [10]. This retreat was despite the fact that Australian reviews of the health
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19 system have reinforced the importance of PHC and health promotion in particular [24 25].
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22 Our models show that the broader socio-political context is crucial in shaping implementation
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24 of PHC. Because comprehensive PHC challenges the powerful dominant bio-medical model
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26 of health, a particularly supportive political context is required for its implementation. In
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28 Australia there has been declining investment in prevention – the spending has dropped from
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30 2.2% to 1.4% [26, p. 255]. In this context comprehensive PHC is unlikely to flourish.
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33 Unlike the selective model comprehensive PHC reaches out to people for whom health
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35 services are hard to reach through a range of community development activities [27]. Actions
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37 to address local social determinants of health also seek to create supportive community
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39 environments for health and so promote health for the whole population. The importance of
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41 this continuum of action has long been recognised [28] yet its acceptance and integration in
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43 to health systems is proving very difficult. Baum and Fisher [29] have argued that there are
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45 structural pressures against a social approach to prevention including the inherent
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47 individualism driving political and social thought in many industrialised countries, and the
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49 considerable corporate pressures which exert influences on policy dialogues to keep the focus
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51 on individual behaviours rather than structural factors that drive poor health and health
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53 inequities. These pressures make it even more important to be clear on the different styles of
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3 PHC and to specify what constitutes a comprehensive and selective model. The two models
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5 presented in this paper enable others to assess the extent to which their PHC services are
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7 comprehensive and operating in an environment which is supportive of such approaches.
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13
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15
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17
18

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21
22 The authors declare they have no competing interests.
23
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25

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36
37 No additional data available
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41 42 **CONTRIBUTORSHIP STATEMENT**

43
44
45 FB oversaw the research, led the design of the research, contributed to data collection and
46
47 analysis, and led the writing of this journal article. TF and AL contributed to the design of the
48
49 research, and contributed to data collection and analysis, and the writing of this journal
50
51 article. RL and DS contributed to the design of the research, analysis of findings, and the
52
53 writing of this journal article.
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Mechanisms

Service Qualities

Activities

Activity Outcomes

Community Health Outcomes

Social Justice and Social View of Health

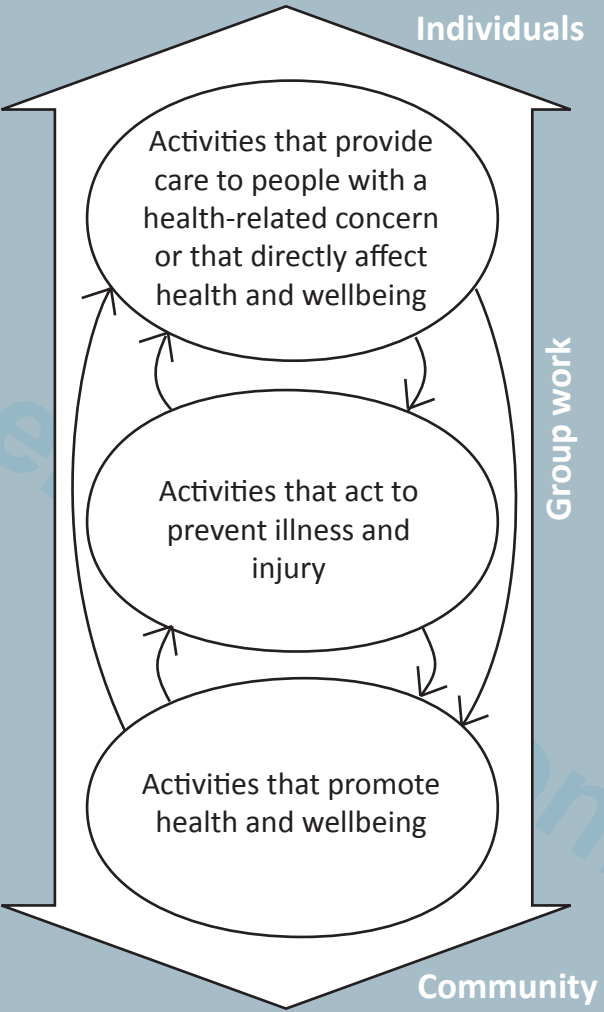
- Accessible, locally delivered
- Community driven
- Mix of direct care, prevention, and promotion
- Multi-disciplinary teamwork
- Intersectoral and interagency collaboration
- Cultural respect

Governance

CPHC mechanisms are embedded in processes, systems and structures

Service management, administration, monitoring, evaluation

- Services that are:
- Encouraging of individual and community empowerment
 - Responsive to community needs and priority populations
 - Holistic
 - Efficient and Effective
 - Universal and used by those most in need
 - Culturally respectful



Contributes to achievement of

Health for All

Comprehensive PHC service delivery

Sustainable CPHC oriented health system

- Increased individual knowledge and skills
- Increased health enhancing behaviour
- Increased quality of life for individuals
- Slowed progression of conditions
- Decreased rates of preventable conditions and issues
- Increased supportive environment for health
- Increased social capital
- Increased planned, managed care, decreased acute, episodic care

- Improved health and wellbeing of individuals and the community
- Increased health equity

Context

Inputs/Resources

- Staff, FTE, Funding
- Drugs, Equipment, Supplies

Community context

- Socio-demographics of area and changes
- Resources available for health in community

Organisational Operating Environment

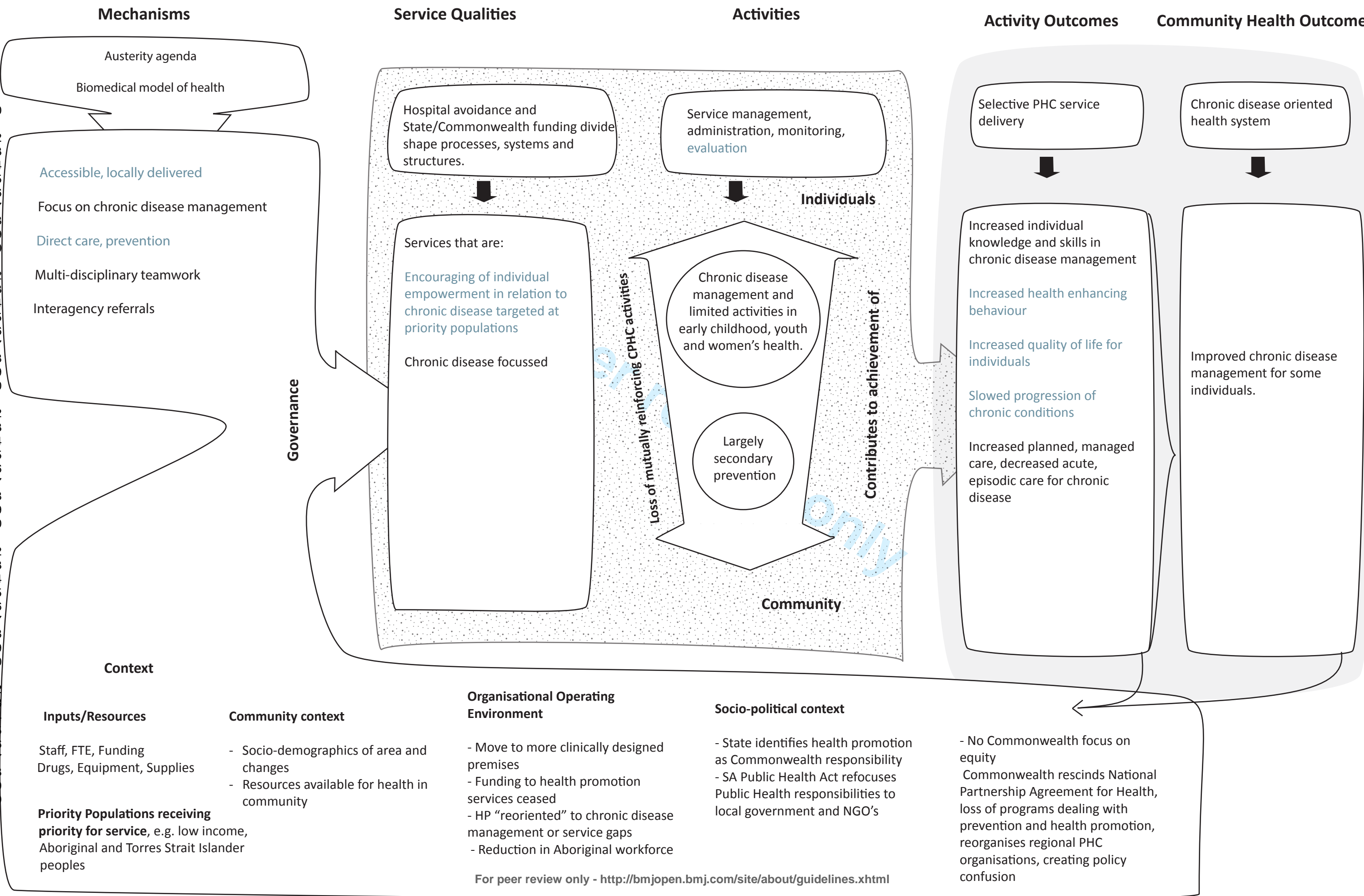
- Funding (amount, cycles, silos)
- Externally prescribed programs
- State/regional strategic plans
- Higher level governance
- SA Health has Health in All Policies program

Socio-political context

- Broadly supportive political and bureaucratic environment

Populations receiving priority for service, e.g. low income, Aboriginal and Torres Strait Islander peoples

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BMJ Open

What's the difference between comprehensive and selective primary health care? Evidence from a five year longitudinal realist case study in South Australia

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Manuscripts

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3 **What's the difference between comprehensive and selective primary health care?**

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5 **Evidence from a five year longitudinal realist case study in South Australia**

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42 Word count: 2,997

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3 Mandatory Box

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5 *What is already known on this subject?*

6
7 We know that the benefits of comprehensive primary health care and selective primary health
8 care have been debated but the two have not been the subject of research which considers the
9 characteristics of a whole service and there has been little research on comprehensive primary
10 health care - as described in the Alma Ata Declaration of 1978 - in rich countries.
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18 *What this study adds?*

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20 Based on empirical data this paper provides a clear description of the program logic behind
21 comprehensive primary health by outlining the operating context, underlying mechanisms,
22 the service qualities, the activities, the activity outcomes and the anticipated community
23 health outcomes. It then compares this with a selective approach and by examining each
24 aspect of the program logic demonstrates how selective primary health care is unable to
25 impact on population (as opposed to clinical) health outcomes.
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34 End of box
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ABSTRACT

Background

Since the World Health Organisation's Alma Ata Declaration on Primary Health Care (PHC) there has been debate about the advisability of adopting comprehensive or selective PHC.

Proponents of the latter argue that a more selective approach will enable interim gains while proponents of a comprehensive approach argue that it is needed to address the underlying causes of ill-health and improve health outcomes sustainably.

Methods

This research is based on four case studies of government funded and run PHC services in Adelaide, South Australia. Program logic models were constructed from interviews and workshops. The initial model represented relatively comprehensive service provision in 2010. Subsequent interviews in 2013 permitted the construction of a selective PHC program logic model following a series of restructuring service changes.

Results

Comparison of the PHC service program logic models before and after restructuring illustrates the changes to the operating context, underlying mechanisms, service qualities, activities, activity outcomes and anticipated community health outcomes. The PHC services moved from focusing on a range of community, group and individual clinical activities to a focus on the management of people with chronic disease. Under the more comprehensive model activities were along a continuum of promotive, preventive, rehabilitative and curative. Under the selective model the focus moved to rehabilitative and curative with very little other activity.

Conclusion

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3 The study demonstrates the difference between selective and comprehensive approaches to
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The study demonstrates the difference between selective and comprehensive approaches to
PHC in a rich country setting and is useful in informing debates on PHC especially in the
context of the Sustainable Development Goals.

STRENGTHS AND LIMITATIONS OF THIS STUDY

- This study provides a unique empirical examination of differences between comprehensive and selective primary health care in practice.
- This difference is crucial and needs to be defined very clearly when health systems are being reoriented to PHC.
- The comprehensive model as envisaged by these services was limited by the relative limited scope of action on social determinants of health
- This study is limited by the scope for generalisation from five case studies.

INTRODUCTION

The initial World Health Organisation (WHO) 1978 vision of Primary Health Care (PHC) was comprehensive, viewing health services as part of a new international economic order that would benefit all nations especially low income and groups living in disadvantage, that would encourage democratic participation in health, and help improve social and environmental contexts that create disease and risks for disease [1]. Health services were to be multi-disciplinary, attuned to local need, and emphasise disease prevention and health promotion. This comprehensive vision was overtaken by a pragmatic call for a more selective approach, albeit originally considered to be temporary until developing countries could afford a more comprehensive approach, which minimized the broader social change ambitions of the original vision, marginalised preventive and promotive actions, and emphasized responses to specific diseases or narrowly-defined health outcomes [2]. Although the WHO recommitted to PHC in 2008 [3] and the Commission on the Social Determinants of Health

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3 [4] endorsed PHC as the corner stone of a health system and a strategy for taking action on
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5 social determinants of health at a local level, selective PHC has dominated health system
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7 reforms in most low and middle income countries, abetted by growth in vertical (disease-
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9 specific) global health funds [5]. Most empirical work on PHC implementation has come
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11 from low and middle income countries, with few systematic studies of comprehensive PHC
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13 from high income countries. This paper reports on an Australian study which tracked a shift
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15 from comprehensive to selective PHC and has enabled development of a program logic
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17 description of the two forms of PHC. We do not claim that our typification of selective PHC
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19 in this study necessarily captures all interpretations (past and present) of this form of PHC.
20
21 Rather, it allows us to articulate the difference between two models in a particular high
22
23 income country context when so much discourse about PHC (both within Australia, and more
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25 globally under the post-2015 Sustainable Development Goal of promoting Universal Health
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27 Coverage) does not make the distinction.
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35 **Primary health care in high income countries**

36 In high income countries, the best examples of comprehensive PHC have been community
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38 health centres in Canada (<http://www.cachc.ca/>), the USA [6], and Australia [7]. Community
39
40 health centres are characterised by multi-disciplinary teamwork, a social understanding of
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42 health, community participation in management, advocacy for policy changes to address the
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44 social determinants of health at higher government levels, and services that cover
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46 rehabilitation, treatment, prevention and promotion. These centres have remained marginal
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48 within their country health systems, faced opposition from mainstream medicine and
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50 struggled to maintain their comprehensiveness.
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54 In Australia, community health centres were the legacy of a 1970s national program and were
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56 maintained by state governments including the South Australian government which is the
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3 focus of this study. There have been very few studies of whole PHC services. Labonte et al.
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5 [8] found that most of the empirical PHC literature focused on “slices” or particular
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7 programs, rather than studying the overall service in a systematic way. Our research studied
8
9 the totality of services in a way not previously reported in the literature [9]. While we didn't
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11 anticipate it at the outset, our five year study (2009-2014) witnessed a series of structural
12
13 reorganisations and policy changes [10] which undermined the comprehensive nature of our
14
15 case study services. The aim of this paper is to describe the difference between a
16
17 comprehensive and selective model of PHC in a high-income country setting.
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20 21 **METHODS**

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23 This paper draws on a five year longitudinal realist [11] case study of PHC services which
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25 used program logic modelling to describe the services and their expected outcomes [for
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27 details see 12]. This paper draws on a synthesis of our findings to examine the difference
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29 between comprehensive and selective PHC. Our study was conducted with seven PHC
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31 services and this paper draws on data from five state-managed PHC services (the other two
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33 are non-government services and did not experience the changes reported in this paper). The
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35 services are anonymised as A, B, C, D (an Aboriginal health team), and E. Service B
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37 withdrew from further participation in the study in 2012, due to high staff workloads and
38
39 significant organisational change. Service E agreed to join as a replacement. Further details
40
41 of the services in 2010 and 2103 are provided in Table 1. Each case study service adopted a
42
43 reasonably comprehensive PHC approach at the onset of the study although A, C, and E did
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45 not provide medical services reflecting the historical opposition of the organised medical
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47 profession to these centres [13]. In 2009 all services had organisational statements which
48
49 demonstrated strong commitment to the Alma Ata Declaration principles including an
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51 explicit commitment to social determinants of health and health promotion. These documents
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53 were analysed as part of this study. This paper also draws on previous work in our 5 year
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study which reports on a detail analysis of Federal and State government policy documents which demonstrate the changing context that drove the change from comprehensive to selective PHC detailed in this paper [14].

For peer review only

Table 1: Characteristics of case study state-managed PHC services: 2010 and 2013

Service	Budget (p.a.)	Main source of funding	Governance	Approximate # of staff (FTE)	Range of services	Example professions
A	2010: \$1.2m 2013: \$0.5m ¹	SA Health	State-managed	2010: 16 (13.5) 2013: 10 (8.1)	Early childhood, <i>health promotion, community development, allied health, chronic condition self-management, mental health, lifestyle advisor</i>	Social worker, speech pathologist, occupational therapist, dietitian, <i>nurse, cultural worker, lifestyle advisor, primary health care worker</i>
B	2010: \$1.1m 2013: N/A ²	SA Health	State-managed	2010: 26 (20) 2013: N/A ²	Medical clinic, allied health, early childhood, podiatry, chronic condition self-management, <i>lifestyle advisor, health promotion programs and groups, community development, peer education</i>	Medical officer, podiatrist, nurse, speech pathologist, <i>lifestyle advisor, PHC worker</i>
C	2010: \$1.7m 2013: \$1.6m	SA Health	State-managed	2010: 36 (22) 2013: 25 (15.3)	Chronic condition self-management, early childhood, family violence, mental health, supported residential facilities services, <i>community garden, lifestyle advice, health promotion, local initiatives, parenting groups, mindfulness and meditation groups, healthy ageing</i>	Nurse, dietitian, speech pathologist, psychologist, occupational therapist, cultural worker, social worker, podiatrist, exercise physiologist, consultant in General Medicine
D	2010:	SA Health	State-managed	2010: 12 (10.8)	Community lunch program, <i>health</i>	Aboriginal health worker,

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	\$0.5m			2013: N/A ³	<i>promotion groups, 1:1 case management/referral/ advocacy, transport, community events, combined into medical clinic, Aboriginal clinical health workers, learning centre</i>	PHC worker
	2013: N/A ³					
E	2010: N/A ⁴	SA Health	State-managed	2010: N/A ⁴	Early childhood, chronic disease self-management, mental health, antenatal and postnatal support, <i>domestic violence services, healthy ageing, health promotion, community development</i> ⁴	Social worker, dietitian, psychologist, speech pathologist, nurse, occupational therapist, community health worker, <i>lifestyle advisor</i> ⁴
	2013: \$1.7m			2013: 21 (16.6)		

Note. Italicised services and professions had ceased by 2013, Bolded services and professions were new since 2010.

¹ Approximate – budget was combined with another site. Budget for 2 sites was \$1.1m

² Not available for 2013, due to service withdrawing

³ Service was restructured and merged with another service, cannot calculate a comparison to 2010.

⁴ Service joined study in 2012 – staff, budget, services info not available for 2010, services and professions are since 2012

Staff interviews

We interviewed staff in 2009 and 2013. The details of the interviews have been reported elsewhere [15]. In 2013, 63 interviews were conducted with service practitioners and managers in the seven PHC sites, and regional and central health executives.

Interview questions were developed by the research team based on the attributes of PHC and data collected on changes in PHC during 2009-2013, and piloted on two practitioners and one manager from non-participating PHC services. Interviews were audio recorded, transcribed, and de-identified. Ethics approval was received from the Southern Adelaide Clinical Human and Aboriginal Health Research Ethics Committees. Written consent was obtained from all participants.

A team approach was taken to thematic analysis, aided by NVivo software. Codes were discussed and revised in team meetings, and four interviews were double-coded or triple-coded, ensuring rigour through constant monitoring of analysis and interpretation [16].

Program logic models

An overarching model of comprehensive PHC in Australia was constructed in 2010 using a collaborative process [12] and drawing on the models constructed for each service. Following the interviews conducted in 2013 a new program logic model was constructed by the research team reflecting the changes and revealing the more selective nature of the state-managed services. The program logic models we used are not akin to practice audits although we note that the dimension specified in the Australian Quality and Safety Commission's [17] PHC practice level indicators of quality do overlap significantly with the mechanism and activities in the selective program logic.

FINDINGS

Figures 1 & 2 present the before and after picture of PHC. In Figure 1 the comprehensive nature of the services in 2009-10 is shown according to the operating context, underlying

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3 mechanisms, service qualities, activities, activity outcomes and community health outcomes.

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5 By 2014 these had changed significantly in the services and these changes are shown in

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7 Figure 2 and elaborated on below.

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10 [Insert Figures 1 and 2 about here]

11 12 **Context**

13 In 2009-10 the context of the services was reasonably supportive of comprehensive service
14 delivery. By 2014 the context for the services had changed so that their work had little
15 political or bureaucratic support and their mandate changed from being responsive local
16 services to one in which their agenda was centrally driven with a focus on chronic disease
17 management. This changing context partly reflected on-going dispute between the Australian
18 Federal and State governments regarding which authority was responsible for PHC and health
19 promotion, largely in terms of who was to pay for the activities. While the Federal
20 government had introduced regional PHC authorities (first Medicare Locals and then Primary
21 Health Networks) their mandate and their practice were not comprehensive [18] and they did
22 not work with the state-funded PHC services [19].
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37 **Mechanisms**

38 The main difference between the selective and comprehensive model was that the service
39 components had contracted considerably by 2014. Rather than offering services that
40 responded to a wide range of community health issues the service model was reduced to a
41 focus on chronic disease management and some limited early childhood services. Previously
42 the services had responded to a much broader range of health issues including domestic
43 violence, injury prevention and food quality. The new selective model was also inwardly
44 focused whereas the comprehensive model had relied on health workers linking with other
45 sectors, reaching out to the community and, albeit in a limited way, paying some attention to
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3 social determinants of health. Most significantly the selective model was based on a
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5 biomedical understanding of health with little or no attention to social factors.
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8 **Service Qualities**

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11 The comprehensive model encouraged individual and community empowerment and
12 responded to community needs. The health professionals also saw that the comprehensive
13 model was holistic, used by those most in need and placed high emphasis on being culturally
14 respectful. By contrast the selective model paid very little attention to these attributes. The
15 Aboriginal health workers at Service D felt less able to work in ways that suited the
16 community, and some staff at the other services felt their service may be less welcoming to
17 Aboriginal and Torres Strait Islander clients than in the past:
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27 “I don't think the centre is particularly safe or friendly for Aboriginal clients. It's just a little
28 bit more clinical ... we don't have the Aboriginal flags and we don't have the things that
29 would make Aboriginal people feel especially welcome to this service, unfortunately.”
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33 Some services also had less capacity to flexibly respond to incorporate the needs of client
34 groups, such as Aboriginal and Torres Strait Islander peoples, people from supported
35 housing, and migrants, as local initiatives, health promotion activities, outreach, and
36 community development work were curtailed. Limits to comprehensiveness reported were
37 that resource limitations meant services had to be targeted. The Aboriginal health service was
38 open to all Aboriginal people, however. Under the comprehensive model the aim was a broad
39 response to community health needs which were identified in consultation with the
40 community. Thus a practitioner spoke of this engagement:
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51 “Community health was very much around the Ottawa Charter and things like that,
52 about being very accountable to your local community, and a lot of local community
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3 involvement and a lot of local ownership of how the centre operated and what
4 services the centre provided, and a lot of local initiatives.” (practitioner, Service B)
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10 Other comments demonstrated that community advice was no longer valued:

11 “No community involvement whatsoever. The only thing we do have is a client
12 feedback form” (practitioner, Service C)
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16 “... you can’t go out and work with the community or plan with the community or
17 other agencies because it’s become that siloed work.” (practitioner, Service A)
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23 The selective model had a narrow focus on reducing hospital admissions:

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25 “We really are now refocusing [Service A] to the high end chronic conditions that we
26 feel we can create a service continuum interfacing with the acute sector and really
27 focusing on hospital avoidance for clients with those conditions.” (regional health
28 executive)
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36 **Service Activities**

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39 The main difference in service activities was that the selective model focused solely on the
40 treatment and secondary prevention activities for individuals. Nearly all the focus was on
41 chronic disease management and the only other services remaining were for children but their
42 scope had been reduced. The comprehensive model had a wider gaze and saw its mandate as
43 working with individuals and the community as a whole in a variety of ways as this
44 comments indicates:
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53 “In the past we’ve run a wider scope of programs and groups, so it wasn’t uncommon
54 to team up with a nurse and do some more preventative lifestyle programs, which we
55 can’t do anymore.” (practitioner, Service E)
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3 Many of the activities lost were of benefit in relation to many diseases. For example activities
4 that promote social connection are good for mental health and physical health [20] and
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6 that promote social connection are good for mental health and physical health [20] and
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8 exercise is a key component in management of mental health issues such as depression [21]
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10 as well as diabetes. The comprehensive model included a wider range of activities, shown in
11
12 Box 1.

13 14 15 16 **Box 1 Activities present in comprehensive but not selective PHC**

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18 Community Advocacy campaigns including on domestic violence, Aboriginal and Torres
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20 Strait Islander rights and cultural pride

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22 Individual advocacy: supporting individuals in quest to gain housing, welfare benefits

23
24 Support Groups: for domestic violence, men's groups, women's groups, and mothers and
25
26 babies groups

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28 Community activities: lunches, school and childcare centre engagement

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30 Community development: engagement with community members on health issues they are
31
32 concerned about

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34 Intersectoral actions: membership of regional roundtables, engagement with other sectors on
35
36 range of health issues

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40 End of Box

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45 In 2009 the health professionals reported working with the community in many different
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47 ways, often going out to community sites, but by 2013 institutional support for this activity
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49 had gone:

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52 "It's a lot more client-coming-into-the-service-based, rather than going out into the
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54 community ... we're not working with the [adult education school] or the local
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3 childcare centre, whereas probably in 2009 we were stepping outside our doors a little
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5 bit. (practitioner, Service A).
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9
10 The move from a comprehensive to a selective set of activities was summed up by this
11
12 nutritionist's comment:
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14 "We would visit community groups regularly and be a guest speaker for example. We
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16 would run group programs that were really around increasing personal knowledge and
17
18 skills, very hands on practical - like cooking programs. That work has slowly been
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20 whittled out of the role. We would do like a split of time, like 30% of the time would
21
22 be client direct, 30% on groups and then 30% would be health promotion and other
23
24 activities. So it might be networking with a local childcare centre, for example,
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26 helping do menu reviews, supporting community initiatives and really being
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28 responsive to the local community needs. That has turned into now just offering one
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30 on one nutrition work." (practitioner, Service E)
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34 In 2009 the services worked with other sectors, including for example at Service E a series of
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36 roundtables on issues including early childhood development, domestic violence and injury
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38 prevention. By 2014 all that work had ceased. Thus a narrowing down of service activities
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40 typified the changes over the study period.
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45 46 **Activity outcomes** 47

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49 The impact of the changes to the mechanisms and service activities are evident in terms of the
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51 expected activity outcomes. Under the comprehensive model outcomes were expected in
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53 individuals and also for communities (e.g., more supportive environments, increased social
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55 capital). The selective PHC outcomes were limited to improved chronic disease management
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3 and aimed for more planned, managed care and decreased acute, episodic care for chronic
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5 disease, and a reduction in hospital admissions. Thus the activity outcomes are less
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7 ambitious.
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9 10 **COMMUNITY HEALTH OUTCOMES**

11 The differences between the models becomes starkest in the likely outcomes. The selective
12
13 model is expected to lead to improved chronic disease management for some individuals and
14
15 so have negligible population health impact. By contrast the comprehensive model anticipates
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17 improving health and well-being in individuals (including those with chronic disease) and the
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19 community and also to reduce health inequities. Selective PHC leads to a chronic disease
20
21 treatment focussed health system with little capacity to prevent disease or promote health.
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23 The comprehensive model provides a health system that would make some contribution to
24
25 reducing the burden of disease and also promote well-being more generally, although the
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27 model depends on being supported by broader government action on the upstream social
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29 determinants.
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33 34 **STUDY LIMITATIONS**

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36 This study is limited by the scope for generalisation from five case studies. Inevitably case
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38 studies are context dependent and so care has to be taken in extrapolating from this study to
39
40 other settings. The changing context of the study sites meant that the collaborative processes
41
42 we used to develop the program logic models in 2009 was not possible for the 2014 model.
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44 We are, however, confident that the model does reflect the reality in the services concerned
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46 because our analysis draws on in-depth interviews offering detailed insights to the changes
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48 since the original model was developed.
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51 52 **DISCUSSION**

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54 Our findings have shown that while there are similarities between the two models of PHC in
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56 that they are both community-based, involve multi-disciplinary staff and respond to
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3 individuals in need of care, beyond that there are significant differences that mean the
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5 capacity for community health improvement is reduced significantly. This difference is
6
7 crucial and needs to be defined very clearly when health systems are being reoriented to
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9 PHC. Previous delineations between comprehensive and selective PHC have been limited to
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11 short theoretical accounts [22 23] whereas this study provides a unique empirical examination
12
13 of differences in the two visions in on the ground practice.
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16 Chronic disease management is vital given the increasing burden of chronic disease. But it is
17
18 short sighted to design a PHC system solely for this purpose. A more comprehensive model
19
20 offers many benefits to a community. Community involvement in management and planning
21
22 of a health service helps ensure they respond to community need [24]. A focus on prevention
23
24 and the promotion of well-being in PHC is an important component of a health system's
25
26 capacity to prevent disease. As Rose [25] has demonstrated prevention requires more than a
27
28 focus on those already ill, rather making smaller changes across the whole population and
29
30 reducing the risk by clinically insignificant amounts adds up to a far greater contribution to
31
32 prevention. Thus while selective PHC appears to have an inherent logic in that it focuses on
33
34 people with disease making high demands on the health service, its sets the health system up
35
36 to run endlessly, like a rat on a wheel, because there is no prospect that it can stem the flow
37
38 into this disease category. There appears to be nothing in the logic of the selective approach
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40 that suggests it can prevent new cases emerging.
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45 We acknowledge that the comprehensive model as envisaged by these services did not
46
47 include extensive advocacy on upstream determinants such as income inequity,
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49 unemployment or housing. Thus its claim to be comprehensive was limited by the relative
50
51 limited scope of action on social determinants of health. Elsewhere we have detailed the
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53 management and funding pressures that led to a retreat from a more comprehensive model in
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55 South Australia [10]. This retreat was despite the fact that Australian reviews of the health
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3 system have reinforced the importance of PHC and health promotion in particular [26 27].
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5 Our models show that the broader socio-political context is crucial in shaping implementation
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7 of PHC. Because comprehensive PHC challenges the powerful dominant bio-medical model
8
9 of health, a particularly supportive political context is required for its implementation. In
10
11 Australia there has been declining investment in prevention – the spending has dropped from
12
13 2.2% to 1.4% [28, p. 255]. In this context comprehensive PHC is unlikely to flourish.
14
15 Unlike the selective model comprehensive PHC reaches out to people for whom health
16
17 services are hard to reach through a range of community development activities [29]. Actions
18
19 to address local social determinants of health also seek to create supportive community
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21 environments for health and so promote health for the whole population. The importance of
22
23 this continuum of action has long been recognised [30] yet its acceptance and integration in
24
25 to health systems is proving very difficult. Baum and Fisher [31] have argued that there are
26
27 structural pressures against a social approach to prevention including the inherent
28
29 individualism driving political and social thought in many industrialised countries, and the
30
31 considerable corporate pressures which exert influences on policy dialogues to keep the focus
32
33 on individual behaviours rather than structural factors that drive poor health and health
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35 inequities. These pressures make it even more important to be clear on the different styles of
36
37 PHC and to specify what constitutes a comprehensive and selective model. The two models
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39 presented in this paper enable others to assess the extent to which their PHC services are
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41 comprehensive and operating in an environment which is supportive of such approaches.
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49 **CONTRIBUTORSHIP STATEMENT**

50
51 FB oversaw the research, led the design of the research, contributed to data collection and
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53 analysis, and led the writing of this journal article. TF and AL contributed to the design of the
54
55 research, and contributed to data collection and analysis, and the writing of this journal
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3 article. RL and DS contributed to the design of the research, analysis of findings, and the
4
5 writing of this journal article.
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12
13 time and trust in allowing us to conduct research in partnership with them.
14
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17 18 **DATA SHARING STATEMENT**

19 No additional data available.
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23 24 **COMPETING INTERESTS**

25 The authors declare they have no competing interests.
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35
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FIGURE LEGENDS

Figure 1. The Southgate model for comprehensive primary health care in Australia.

Figure 2. The selective primary health care model evident in the South Australian state government-managed services in 2013.

For peer review only

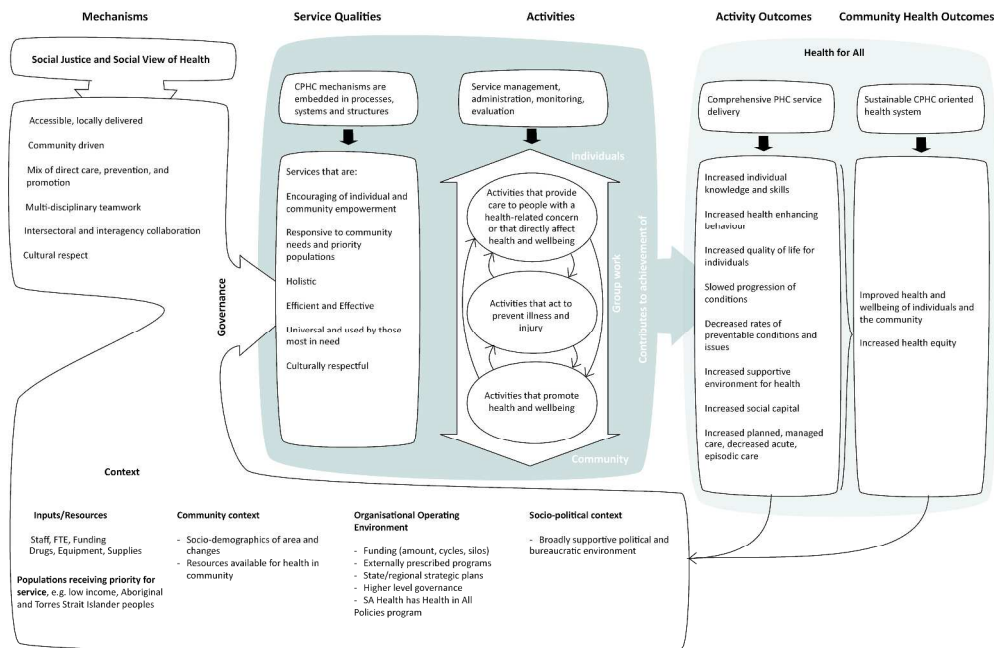


Figure 1. The Southgate model for comprehensive primary health care in Australia. Insert Figures 1 and 2 about h 417x268mm (300 x 300 DPI)

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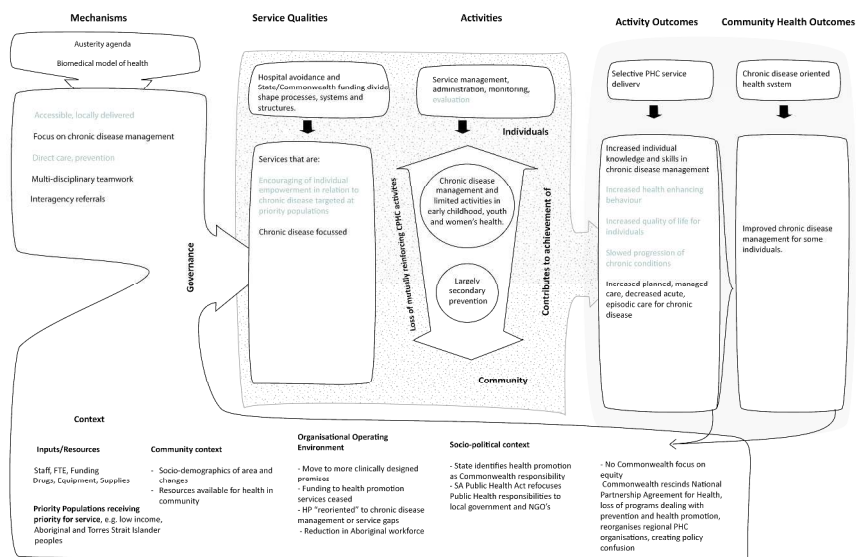


Figure 2. The selective primary health care model evident in the South Australian state government-managed services in 2013.

Insert Figures 1 and 2 about h
486x286mm (300 x 300 DPI)

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