

**Table 1: Programme theories underlying how PROMs data feedback will lead to improvements in patient care**

Programme theory	Intended mechanisms & outcomes	Unintended mechanisms & outcomes	Contextual factors
<p><b>Supporting patient choice:</b> PROMs and performance data can be used to support patient choice of providers<sup>1-5</sup></p>	<p>Patients will use PROMs data to choose higher performing providers and avoid poorer performing providers<sup>3</sup>.</p> <p>Poorer providers will either exit the market or feel threatened by the potential loss of market share and so take steps to improve patient care<sup>6</sup></p>	<p>Providers will refuse to treat sicker patients in order to avoid worse outcomes<sup>7-9</sup></p> <p>Poorer performing providers exiting the market will reduce local provision and high performing hospitals may in turn be unable to manage demand for their services.<sup>10</sup></p> <p>Patients will not be aware of or able to access or understand the data, will not trust these data and so will not use these data to inform decisions<sup>5, 11, 12</sup></p> <p>Media coverage may mis-represent or obfuscate provider performance<sup>13, 14</sup></p>	<p>Complexity of data<sup>13</sup></p> <p>How data are presented<sup>5, 15</sup></p> <p>Number of providers within the local health economy<sup>16, 17</sup></p> <p>Patient characteristics<sup>10</sup></p> <p>Local demand for services<sup>16</sup></p>
<p><b>Accountability:</b> PROMs data will enable stakeholders to hold providers to account for the quality of care provided<sup>1, 2, 18, 19</sup></p>	<p>Regulators/commissioners impose sanctions on poor performing providers (e.g. shifting contracts, public labelling as a poor performer or increased surveillance and reporting).</p> <p>Providers will feel threatened by the potential or actual sanctions and take steps to improve patient care<sup>13, 14, 17, 20</sup>.</p>	<p>'Tunnel vision' or 'effort substitution' where providers focus on improving what is measured to the exclusion of other important areas of care<sup>3, 21, 22</sup></p> <p>Gaming to give the appearance of improved performance but without any real change in the underlying performance<sup>21, 23</sup></p> <p>Providers may be misclassified as a poor performer<sup>13</sup></p>	<p>Organisational vs individual level data<sup>14</sup></p> <p>Level of support for indicators and fit with organisational goals<sup>17</sup></p> <p>Power relationships/degree of dependency between providers and commissioners/regulators<sup>13, 14, 17</sup></p> <p>Use of financial incentives and sanctions<sup>13</sup></p>
<p><b>Provider benchmarking:</b> PROMs data will enable providers to compare their own performance with those of peers<sup>1, 2</sup></p>	<p>Providers' professional ethos mean they are intrinsically motivated to maintain good patient care and will take steps to improve if feedback highlights a gap between their performance and expected standards<sup>3, 6, 24-26</sup></p> <p>Providers wish to protect their professional or institutional reputation which may have been damaged by being labelled a poor performer and so they take steps to improve care<sup>6, 13</sup></p> <p>Providers are competitive and take steps to improve patient care because they wish to be as good as or better than their peers<sup>27, 28</sup></p> <p>Providers identify high performing peers and seek to learn from their practices in order to improve care<sup>28-31</sup></p>	<p>Providers don't understand data<sup>32</sup></p> <p>Providers distrust, dismiss and ignore data<sup>13, 14, 33</sup></p>	<p>Private vs public feedback of data<sup>7</sup></p> <p>Adequacy of case-mix adjustment<sup>32, 34</sup></p> <p>Degree of clinician involvement in development of measurement and feedback system<sup>5, 7, 35</sup></p> <p>Timeliness of data<sup>33, 36</sup></p> <p>How data are presented<sup>7, 37, 38</sup></p> <p>Skills/resources for data analysis<sup>36</sup></p> <p>Process vs outcome data<sup>39, 40</sup></p> <p>Level of aggregation<sup>41</sup></p>

## References to tables

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