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Online versus paper-based screening for depression and anxiety in adults with cystic fibrosis in Ireland: a cross-sectional exploratory study

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2017-019305
Article Type:	Research
Date Submitted by the Author:	01-Sep-2017
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Primary Subject Heading:	Mental health
Secondary Subject Heading:	Respiratory medicine, Health services research, Mental health
Keywords:	Anxiety, Cystic fibrosis < THORACIC MEDICINE, Depression, Online versus paper-based screening, MENTAL HEALTH

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TITLE PAGE

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Keywords: Anxiety, Cystic fibrosis, Depression, Mental health, Online versus paper-based screening.

Word count: 4208 (excluding title page, abstract, references, figures and tables).

ABSTRACT

Objective: To compare online and paper-based screening for depression and anxiety in adults with cystic fibrosis (CF).

Design and Setting: Cross-sectional study in CF clinics in Ireland and through the Cystic Fibrosis Ireland online community.

Participants: 160 adult patients aged 18 or above were recruited. Of these, 147 were included in the analysis; 83 online and 64 paper-based. The remaining 13 were excluded because of incomplete data.

Measures: Depression and anxiety were measured using the Hospital Anxiety and Depression Scale (HADS). Data on pulmonary function (FEV%) and BMI were self-reported based on clinic assessments. Socio-demographic data were collected.

Results: Compared to the paper-based participants, the online participants were more likely to be female (61.7% vs 48.4%), older (mean 32.2 vs 28.2 years) and were more likely to be married (32.5% vs 15.6%), living with their spouse or partner (42.5% vs 22.6%), and working either full time (33.7% vs 15.9%) or part-time (30.1% vs 17.5%). The prevalence rates of elevated anxiety and depression were not significantly different ($p=0.71$ & $p=0.56$). HADS anxiety and depression scores were not statistically different between online ($p=0.83$) and paper-based ($p=0.92$) participants based on Mann-Whitney U test. A significant negative correlation was found between depression and pulmonary function ($r=-0.39$, $p=0.01$) and anxiety and pulmonary function ($r=-0.36$, $p=0.02$). Based on Cronbach's alpha, there were no statistically significant differences between the online and paper-based participants on the internal consistency of the HADS anxiety ($p=0.073$) and depression ($p=0.378$) scales.

Conclusions: Our findings suggest that online and paper-based screening for depression and anxiety in adult patients with CF yield comparable findings on prevalence rates and scores, associations with health, and internal consistency of subscales. This study highlights that online screening offers an alternative method to paper-based screening. Further research with a larger sample is needed to confirm our results.

Strengths and Limitations of this Study

- This is the first study to explore online compared to paper-based screening of depression and anxiety in an adult population of patients with CF.
- Given the recent publication on international guidelines for depression and anxiety screening in the CF population, our study is timely because it draws attention to online screening as an alternative method to paper-based screening.
- The small sample size and self-selection bias in this study are threats to its internal and external validity.
- Assessment of psychometric properties of the HADS was limited to internal consistency and further research is needed on the full scope of reliability and validity of scales used to assess depression and anxiety in the CF population, noting that the recent international guidelines support the use of the PHQ-9 for depression and GAD-7 for anxiety.

INTRODUCTION

Cystic fibrosis (CF) is chronic, progressive and life-shortening, although increased survival rates into middle adulthood are now expected because of treatment and management advances.¹ The disease is characterised in part by increased susceptibility to recurrent chest infections due to excessive

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2
3 production of mucous in the small respiratory airways. In addition, obstruction of pancreatic enzyme
4 secretion due to increased mucous production in the gastrointestinal tract results in poor growth
5 and weight loss.²

6 To date, most research efforts has focussed on understanding the pathophysiology of CF and the
7 most promising treatment strategies.³ However, in recent years there has been a growing body of
8 research on the psychological health of individuals with CF, particularly on the prevalence of
9 depression and anxiety, and their associations with physical health. The International
10 Depression/Anxiety Study (TIDES) is a landmark collaboration across several European countries and
11 the USA which screened for depression and anxiety in the CF adult and adolescent populations, and
12 parent caregivers.⁴⁻⁹ In adults with CF, elevated depression and anxiety scores were associated with
13 poorer lung function and quality of life.^{4,5} One of the main conclusions of TIDES was to recommend
14 annual screening of patients with CF for symptoms of depression and anxiety, so that those affected
15 receive timely further assessment and treatment⁴. This was endorsed by the European Cystic
16 Fibrosis Society's (ECFS) *Standards of Care* published in 2014.¹⁰ In 2016, guidelines for screening and
17 treating depression and anxiety from the International Committee on Mental Health in Cystic
18 Fibrosis (ICMH-CF) were published recommending that annual screening be conducted by health
19 care professionals, preferably mental health specialists.¹¹

20 Studies on screening for depression and anxiety in patients with CF and their parent caregivers
21 have collected data during clinic visits with high response rates.^{5,7,12-15} However, high response rates
22 seen in research may not be feasible within the context of routine clinic visits or annual review
23 assessments. CF teams may face significant challenges in implementing screening for depression and
24 anxiety. In a large scale study on CF mental health delivery,¹⁶ limited staff time and limited
25 personnel were ranked as the two highest barriers to implementing a mental health screening
26 programme. Access to electronic tools for screening administration and scoring was ranked by 40%
27 of respondents as among the top three areas that would be helpful in implementing mental health
28 screening.¹⁶ Yet, to date, little consideration has been given to the possibility of online screening of
29 patients with CF for depression and anxiety.

30 Computerised screening for depression has been available since the 1990s,¹⁷ with the potential
31 for internet-based screening of large populations.¹⁸ Online screening for depression and/or anxiety
32 has been used in clinical populations such as lung and breast cancer patients,¹⁹ and women with
33 postpartum depression.²⁰ Advantages of online screening include reduced costs,²⁰ an ability to
34 assess large populations,^{18,21} feasibility and acceptability for patients to use,²⁰ and a practical
35 approach to screening for clinical teams.²² Reported disadvantages of online screening are the
36 possibility that older adults may be less likely to participate,¹⁸ the need for computer literacy,²³ and
37 that response or retention rates may be low.²¹

38 There has been no research to date comparing the results of online and paper-based assessment
39 of mental health among patients with CF. Comparisons have been made in patients with tinnitus²⁴
40 using the Hospital Anxiety and Depression Scale (HADS). Compared to the clinic paper-based sample,
41 the online sample had higher prevalence rates of depression (17% vs 15%) and anxiety (25% vs 15%)
42 and had significantly higher scores for both which the researchers speculated may have been due to
43 anonymised self-recruitment offering an internet intervention on psychological support. Other
44 researchers compared psychometric properties between online and paper versions of depression
45 instruments administered to primary care and psychiatric care patients.²² The findings indicated
46 equivalence and no clinically relevant differences between method of administration with high
47 correlations found between both scores. It is not possible to draw conclusions from existing research
48 for the CF population because of the different clinical populations.

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3 The aim of this study was to compare online versus clinic paper-based assessment of depression
4 and anxiety in a CF adult population in Ireland in relation to sample characteristics, prevalence data,
5 and associations with physical health (pulmonary function and BMI). In addition, the study aimed to
6 compare online and paper versions for internal consistency of the depression and anxiety measure,
7 which for this study was the HADS.
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10 **METHODS**

11 **Study design and setting**

12 We conducted this exploratory study as part of a larger cross-sectional study in Ireland on the
13 national prevalence of depression and anxiety in the CF population and associations with physical
14 health and quality of life. The larger study included adolescents, their caregivers and adult patients.
15 This paper reports on the adult data. We aimed to collect data from adults in all six adult CF centres
16 in Ireland. At the outset, we intended to collect data during scheduled clinic visits similar to the
17 studies involved in TIDES,⁴ and ethical approval was obtained for this. However, due to problems
18 with recruitment (described below), data were also collected online.
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22 **Participants and recruitment**

23 The study aimed to recruit adults with CF aged 18 years or over. The only exclusion criteria were
24 adults who had received lung or heart-lung transplantation. Data available from the CF Registry of
25 Ireland at the outset of the study indicated that the total adult population with CF aged 18 years and
26 over was 595.²⁵ In order to estimate the true proportion of depression and anxiety within a
27 confidence interval of +/- 3% for this population size, it was calculated that we needed to recruit 382
28 adults.
29

30 Our initial plan was to recruit adults during scheduled clinic visits at the CF centres. We sought
31 access to the study sample through the CF teams by writing to the Consultant Respiriologists
32 informing them about the study. We offered to meet the CF teams to discuss the study further, and
33 a meeting was held in three of the six centres.
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35 For three of the CF centres, access was generally unproblematic and was supported by the CF
36 healthcare team. Access was not achieved in one centre because of no response to our efforts to
37 contact the relevant respiratory consultants. In two centres, staffing resource constraints made data
38 collection at clinics impossible. Research access to clinical sites can be challenging and is reliant on
39 key gatekeepers in the services.^{26,27} Even in the remaining three clinics where we did have access,
40 data collection was slow because of time constraints for adults in completing questionnaires or busy
41 workloads of clinic staff making it difficult to administer questionnaires during clinic appointments.
42 In view of the challenges faced in collecting data, we reapplied for ethical approval to recruit adults
43 online through the Cystic Fibrosis Ireland community network.
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45

46 **Data Collection Procedures**

47 Data collection occurred between July 2014 and July 2015. At clinics, patients were approached by a
48 member of the CF multidisciplinary team. After obtaining informed consent, patients were given the
49 questionnaire pack which could be completed on site or at home and returned to the research team
50 by stamped addressed envelope. Data collection was slow such that over a period of twelve months,
51 paper-based data collection was completed for just 64 adults across three CF centres. Of these, 39
52 completed the questionnaire on site during a clinic visit, and 25 completed the questionnaire at
53 home. The questionnaire took approximately 15-20 minutes to complete. The response rates in the
54 three centres were 53%, 52% and 29%. The overall response rate for the clinic sample was 51%.
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3 Patients were not specifically asked for reasons for non-participation but some volunteered that
4 they were 'not interested' or already involved in other research projects.

5 The online version of the questionnaire was developed and administered using the online survey
6 development software, Survey Monkey. The link to the questionnaire, information sheet and
7 consent form was emailed by the administrator of the Cystic Fibrosis Ireland (CFI) community
8 network to 345 adults with CF with one follow-up reminder after 7 days. After a period of two
9 weeks, 99 responses were collected which was a response rate of 29%; reasons for non-participation
10 could not be gleaned. The invitation email clearly instructed that if the questionnaire was already
11 completed during a clinic visit, there was no need to complete the online survey. We cross-checked
12 all returned online questionnaires with paper-based questionnaires for duplication and removed 3
13 duplicates.
14

15 In total, 160 adult patients aged 18 or over were recruited from CF clinics or online. Of these, 147
16 were included in the analysis of whom 83 were the online sample and 64 were the paper-based
17 sample. The remaining 13 respondents were excluded because of incomplete data. With 595 adults
18 entered in the CF Registry of Ireland,²⁵ the sample size of 147 represented 27.6% of the adult CF
19 population in Ireland.
20

21 22 **Measures**

23 Study packs contained: an information leaflet and consent form; a background information
24 questionnaire; and the HADS.²⁸ The background information questionnaire gathered socio-
25 demographic data such as age, gender, marital status, living arrangements, and educational and
26 employment information as well as a section on physical and mental health information. In this
27 section, participants were asked to provide self-reported data, based on their most recent clinic visit,
28 on their pulmonary function (FEV%), and height (cm) and weight (kg) which were used to calculate
29 Body Mass Index.
30

31 The HADS is a brief 14-item instrument used for screening depression (7 items) and anxiety
32 symptoms (7 items). Each item requires the participant to select one statement from four options on
33 a Likert scale. Scores range from a minimum of 0 to a maximum of 21 for both anxiety and
34 depression. The HADS has well established clinical cut-off scores with a score higher than 7
35 indicating elevated symptoms of anxiety or depression and a score higher than 10 indicating anxiety
36 or depression in the clinically significant range. The HADS has good psychometric properties as
37 indicated by analysis of internal consistency, discriminant validity and factor structure.²⁹ It was also
38 the instrument used in TIDES to assess anxiety and depression symptoms in CF patients.⁴ A referral
39 process for follow-up psychological support was in place for participants with elevated depression
40 and anxiety scores.
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43 **Statistical analysis**

44 Data were analysed using SPSS version 22.0 for Windows. To determine if there were statistically
45 significant differences between the online and paper-based samples on demographic variables,
46 independent samples t-tests and chi-square tests were run. In cases where 80% of expected cell
47 count were not greater than five, or all expected cell counts were not greater than one, Fischer's
48 Exact Test was used. As the HADS data did not meet requirements of the normal distribution, the
49 median and interquartile range are reported, and the Mann-Whitney U test was run to compare the
50 online and paper-based samples. Prevalence of elevated and clinically significant anxiety and
51 depression in online and paper-based samples were compared using Chi-square tests. The mean and
52 standard deviation of physical health outcome variables, pulmonary function and BMI, are reported
53 and the online and paper-based samples were compared using independent samples t-tests. To
54 examine the relationship between HADS scores and physical outcome variables, Spearman's
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correlational coefficients were calculated. Cronbach's alpha was used to examine the internal consistency of the HADS anxiety and depression scales for both the online and paper-based samples, and Fishers Bonett test was used to examine statistically significant differences in Cronbach's alpha between the two samples. Missing data were excluded from the analysis.

RESULTS

Demographic characteristics of online and clinic samples

A total of 147 adults with CF participated in the study. Of these, 56.5% (n=83) completed online and 43.5% (n=64) completed paper-based questionnaires. The demographic characteristics of the online and paper-based samples are presented in Table 1. Compared to the paper-based sample, the online sample was more likely to be female (61.7% vs 48.4%), older (mean=32.2, SD=7.3 vs mean=28.2, SD=10.6), and more likely to be married (32.5% vs 15.6%), living with their spouse or partner (42.5% vs 22.6%), and working full time (33.7% vs 15.9%) or part time (30.1% vs 17.5%). There were no clear differences between the two groups for level of education.

Table 1 Characteristics of adults with CF by method of data collection (N=147)

	Online Sample (n=83)	Paper-based Sample (n=64)	P Value*
Age in years – Mean (SD)	32.2 (7.3)	28.2 (10.6)	0.008
Gender – n (%)			0.131
Males	31 (38.3)	33 (51.6)	
Females	50 (61.7)	31 (48.4)	
Marital status – n (%)			0.044
Single	37 (44.6)	42 (65.6)	
Married	27 (32.5)	10 (15.6)	
...With partners	18 (21.7)	11 (17.2)	
...Separated	1 (1.2)	1 (1.6)	
General Living Arrangements			0.024
...With parents	28 (35.0)	37 (59.7)	
...With partner/spouse	34 (42.5)	14 (22.6)	
...With housemates	8 (10)	7 (11.3)	
...Alone	10 (12.5)	4 (6.5)	
Highest Level of Education – n (%)			0.318
Masters/PhD	14 (17.2)	7 (11.3)	
Postgraduate Cert/Diploma	8 (9.9)	3 (4.8)	
Bachelor's Degree	18 (22.2)	19 (30.6)	
Technical Cert/Diploma	20 (24.7)	9 (14.5)	
Leaving Certificate	14 (17.3)	16 (25.8)	
Junior Certificate	7 (8.6)	7 (11.3)	
Primary School	0 (0.0%)	1 (1.6%)	
Employment Status – n (%)			0.005
Working Full-time	28 (33.7)	10 (15.9)	
Working Part-time	25 (30.1)	11 (17.5)	
Unable to Work due to Illness	14 (16.9)	14 (22.2)	
Unemployed/ Seeking Work	9 (10.8)	16 (25.4)	
Other (Studying/Retired/Home-maker)	7 (8.4)	12 (19)	

***Bold text represents significant p values.**

Internal Consistency of the HADS Scale in Online and Paper-based Samples

The internal consistency of both online and paper-based HADS measure were similar. For the anxiety subscale, the Cronbach's alpha level was 0.84 for the online sample, and 0.89 for the paper-based sample. For the depression subscale, the alpha level was 0.87 for the online sample, and 0.88 for the paper-based sample. There were no statistically significant differences between the online and paper-based samples on Cronbach's alpha for the anxiety subscale ($p=0.073$) or depression subscale ($p=0.378$).

Prevalence of anxiety and depression

In the online sample, 25% ($n=20$) had HADS scores greater than 7 indicating elevated anxiety, and 11.3% ($n=9$) had anxiety scores in the clinically significant range with scores higher than 10. In the paper-based sample, 28.1% ($n=18$) had elevated anxiety scores, and 14% ($n=9$) of the sample had anxiety scores in the clinically significant range. For depression, 7.5% ($n=6$) of the online sample had elevated scores higher than seven and 3.8% ($n=3$) of the sample scored within the clinically significant range higher than 10. In the paper-based sample, 10.9% ($n=7$) had elevated depression scores and 4.7% ($n=3$) had depression scores in the clinically significant range. There were no statistically significant differences between the online and paper-based samples with elevated anxiety ($p=0.71$, 95% CI -0.12 to 0.19) or depression ($p=0.56$, 95% CI=-0.07 to 0.15) scores or between those with clinically significant anxiety ($p=0.61$, 95% CI -0.0 to -0.16) or depression ($p=0.78$, 95% CI -0.07 to 0.11) scores.

HADS scores and physical health outcome variables

We compared the online and paper-based samples on their HADS scores and physical health outcome variables. As illustrated in Table 2, there were no significant differences between the online and paper-based samples on their HADS anxiety ($p=0.83$) or depression scores ($p=0.92$). The median scores for both online and paper-based samples were 5.00 for anxiety and 1.00 for depression, where the minimum possible HADS score is 0 and the maximum score is 21. Similarly, there were no significant differences between the two groups on pulmonary function ($p=0.39$) with a mean FEV% of 67.23 for the online sample and 63.02 for the paper-based sample. There were no significant differences between the two groups on BMI values ($p=0.60$) with means of 22.01 for the online and 21.74 for the paper-based sample.

Table 2 Physical and mental health variables by method of data collection (N=147)

	Online Sample (n=83)	Paper-based Sample (n=64)	P Value
HADS Anxiety (Scale range 0-21) (Median, IQR, n)	5.00,3.00-7.75, 80	5.00,2.00-8.75, 64	0.83
HADS Depression (Scale range 0-21) (Median, IQR, n)	1.00,1.00-4.00, 80	1.00,1.00-4.00, 64	0.92
Pulmonary Function (FEV%) (Mean, SD, n)	67.23, 25.07, 62	63.02, 23.90, 44	0.39
BMI (Mean, SD, n)	22.01, 2.60, 67	21.74, 2.41, 38	0.60

Associations between HADS scores and physical health variables

Table 3 illustrates the associations between HADS anxiety and depression scores with the physical health variables of pulmonary function and BMI for both the online and paper-based samples. The results of Spearman's correlational coefficient indicate that there was a significant negative correlation between HADS depression scores and pulmonary function for both the online ($r=-0.39$, $p=0.002$) and the paper-based ($r=-0.36$, $p=0.016$) samples. There were no significant associations between HADS anxiety scores and pulmonary function, or between HADS depression/anxiety scores and BMI for either the online or paper-based samples.

Table 3 Association between HADS scores and health outcome variables by method of data collection (N=147)

	Online Sample (n=83)		Paper-based Sample (n=64)	
	HADS Anxiety	HADS Dep	HADS Anxiety	HADS Dep
Pulmonary Function (r, p-value*, n)	-0.23, 0.09, 59	-0.39, 0.002 , 59	-0.19, 0.23, 44	-0.36, 0.016 , 44
BMI (r, p-value, n)	-0.08, 0.54, 64	0.12, 0.35, 64	-0.08, 0.65, 38	-0.16, 0.34, 38

***Bold text represents significant p values.**

DISCUSSION

In this cross-sectional study, we compared online and paper-based screening for depression and anxiety in adults with CF. Comparable results were found in both groups for prevalence rates and mean scores of depression and anxiety, with no statistical differences evident. Likewise, online and paper-based groups yielded similar results for associations between the mental health variables and physical health variables – pulmonary function (FEV%) and BMI. In both groups, a significant negative association was found between depression and pulmonary function; the strength of this association was comparable. Neither group was found to have significant associations between anxiety and pulmonary function or between depression/anxiety and BMI.

In contrast to our finding, a previous study that used HADS in patients with tinnitus found significantly higher rates of depression in the online group.²⁴ Self-recruitment and the option to remain anonymous was offered as a possible explanation for this finding, indicating less inhibition in reporting mental health problems.²⁴ In our study, although online participants were self-recruiting, they provided their names and contact details with the knowledge that HADS scores above clinical cut-off point would involve disclosing this information to their CF physicians to ensure appropriate follow up care. Given the small samples in both groups in our study, there is a need for further research in larger samples to compare prevalence rates and scores of depression and anxiety using online versus paper-based assessment.

To the best of our knowledge, this is the first published study comparing online versus paper-based screening of depression and anxiety in the adult CF population. Previous research comparing online versus paper-based assessment of mental health has been conducted in patients recruited from primary care or psychiatric care,²² patients with tinnitus,²⁴ and in psychology students.³⁰ In these studies, regardless of the measurement used to assess anxiety and/or depression, the internal consistency for online and paper-based versions were similar. Our findings concur. In both the online and paper-based groups, the internal consistency of the HADS was comparably high, and not

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3 significantly different. These findings suggest that online screening of mental health in adults with CF
4 offers a reliable alternative to paper-based screening in clinic settings.

5 Our study demonstrated the potential of online mental health screening to yield higher
6 participation rates within a shorter timeframe compared to paper-based administration during
7 clinics (or later at home). Over a period of two weeks, 99 HADS online questionnaires were returned
8 whereas it took twelve months to collect 64 paper-based questionnaires. There is some evidence to
9 suggest that paper-based screening within clinic settings is a challenge. In a feasibility study³¹ on
10 implementing the ICMH-CF guidance¹¹ on screening for depression and anxiety symptoms during CF
11 clinics, concerns were expressed by staff that lengthy clinic visits and a requirement to complete
12 'another test' were barriers to paper-based screening. Giving an option to take home the screening
13 measure for completion was also seen as problematic because it risked non-return and the
14 possibility of missing symptoms of depression or anxiety.³¹ A conclusion from that study was that
15 there is a need to consider online screening outside the clinic setting to allow for faster
16 administration and scoring.³¹ Given the time and resource constraints of conducting mental health
17 screening during CF clinic visits,^{16,31} the participation rates within associated timeframes identified in
18 our study indicate that online screening may offer a practical alternative to paper-based screening
19 and may contribute to increased participation rates. We acknowledge that our online response rate
20 was low at 29%. A mitigating factor precluding us from extending the online survey beyond two
21 weeks related to timelines for study completion set by the funding bodies. It is likely that the
22 response rate would have been higher if more time was allowed, a finding evident in a feasibility
23 study on web-based screening of physical symptoms in patients with CF which had a response rate
24 of 80% over a nine-month period that included repeated reminders.³²

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26 Our online and paper-based samples differed on a number of demographic variables but they did
27 not differ on lung function or BMI, and for both depression was associated with lower lung function.
28 The online sample was older, more were married, living with a spouse/partner, and in full or part
29 time employment. It could be assumed from this finding that the online sample had busier life
30 circumstances in which case online screening may be more convenient over paper-based screening.
31 However, caution is needed with this assumption. Further research is required to determine the
32 factors motivating the completion of online versus paper-based mental health screening among
33 adult patients with CF. The acceptability of online screening among adults also needs consideration.

34
35 Mental health screening for depression and anxiety is now set to become integral to the health
36 assessment of patients with CF based on international guidelines.¹¹ While our study demonstrates
37 the potential of online mental health screening as a practical, efficient and reliable approach, there
38 are issues to be considered regarding implementation into CF services. An information technology
39 infrastructure supporting the use of electronic health records is needed to ensure a seamless
40 paperless system. Electronic health records could be used administer, score, track and provide
41 results to healthcare professionals compliant with data protection legislation. Peckham and
42 colleagues found that the implementation of electronic records incorporating a coding structure for
43 CF care into CF centres of three hospitals led to greater efficiencies such that completion of annual
44 assessments regarding physical health more than doubled from 43% to 92%.³³ Furthermore,
45 information exchange between multidisciplinary team members improved.³³ A recent report on the
46 eHealth status of European Union countries showed that almost half of the member states do not
47 have national electronic health record systems with funding being a major barrier to their
48 implementation.³⁴ The impact of implementing electronic records that include mental health
49 assessment and care of adults with CF remains unknown to date.

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51 A further consideration for clinical practice regarding online screening relates to patient feedback
52 and follow up care. In contrast to the immediate access that healthcare professionals have to
53 patients during clinic visits, online screening is more removed and therefore requires a planned
54 approach to providing feedback and follow-up care. There is evidence from an Australian study on
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3 the general population that tailored feedback following online screening may not promote the use
4 of professional services to deal with mental health problems.³⁵ In that study, mental health
5 screening was not embedded within an existing health service that participants were using. While it
6 could be expected that mental health screening of adults with CF that complies with the recent
7 international guidelines¹¹ will be embedded in CF services regardless of being online or paper-based,
8 inadequate numbers of psychosocial professionals and staff trained in mental healthcare within CF
9 teams is a concern.^{16, 31}

10 The findings of our study must be interpreted with some caution in light of limitations. Sample
11 size was small in both online and paper-based groups, therefore undermining the internal and
12 external validity of the findings. Self-selection bias exists which also threatens the internal and
13 external validity of the findings. The comparable findings on prevalence rates of depression and
14 anxiety between online and paper-based groups are not based on analyses that adjusted for
15 baseline differences in demographic data e.g. age, sex, education status, and living arrangements.
16 We did not adjust for baseline differences because of the small sample size. The cross-sectional
17 design of the study did not allow for assessment of changes in depression and anxiety over time and
18 what circumstances might influence these changes. Longitudinal data would help address this
19 limitation. A further limitation relates to the assessment of psychometric properties focusing only
20 on internal consistency. We did not compare validity between methods. During the time of
21 conducting our study, the reliability of the HADS as a clinical screening tool was critically questioned
22 noting that although the HADS was used in TIDES, different tools were recommended from that
23 international study.³⁶ These tools were the PHQ-9 for depression and the GAD-7 for anxiety,^{4,36} both
24 of which are recommended in the international guidelines for mental health screening of patients
25 with CF.¹¹ Albeit scant, there is some evidence that depression scores between online and paper
26 administration of the PHQ-9 are not significantly different.³⁷ An online version of GAD-7 has been
27 reported as reliable³⁸ but we found no evidence of comparisons with paper administration. Future
28 research needs to compare the reliability and validity of online and paper-based versions of these
29 screening tools in the CF population.

30 A strength of our exploratory cross-sectional analysis is that it is the first to compare online
31 versus paper-based assessment of depression and anxiety in adults with CF. In the TIDES
32 international study all data collection was paper-based.⁴ Therefore, our study draws attention to the
33 potential of online screening for depression and anxiety in adult patients with CF. The similarities in
34 findings between both methods of administration is encouraging regarding prevalence rates of
35 depression and anxiety, associations with pulmonary function and BMI. These findings demonstrate
36 that online screening could be an alternative method to paper-based screening for those who:
37 prefer this option; or miss clinic appointments, for example, due to family or work responsibilities; or
38 wish to avoid spending extra time at clinics or annual review completing 'another test' as shown in
39 previous research.³¹

40 CONCLUSION

41 In conclusion, some adult patients with CF experience symptoms of depression and anxiety. Both
42 depression and anxiety can negatively impact on pulmonary function. The international
43 recommendation for annual screening of depression and anxiety¹¹ therefore applies to patients with
44 CF living in Ireland. This study has highlighted that online screening offers an alternative method to
45 paper-based screening. The feasibility, acceptability, reliability and validity of online screening
46 compared to paper-based screening needs further research.

47 **Acknowledgements** We are grateful to all adult patients with CF who participated in the study, and to all CF teams who
48 supported access to clinics and data collection. We are also grateful to Cystic Fibrosis Ireland who supported
49 administration of the online survey.

Contributors ES, IJP, AJD, KR, APF, MNIC contributed to design and application for funding. ES & JC prepared for ethical approval and negotiated access to CF centres in Ireland. JC & BH collected data. JC conducted data analysis supported by APF and EL. AH & AJD offered critical insights into mental health screening. All authors contributed to the interpretation of results. JC & ES drafted the manuscript. All authors have read, edited, and approved the manuscript.

Funding This work was supported by a research grant jointly funded by the Health Research Board, Ireland and Cystic Fibrosis Ireland (grant file reference: MRCG/2011/9).

Competing interests None declared.

Ethics Approval Clinical Research Ethics Committee, University College Cork (Ref no: ECM4(ii)04/12/12, and amended for online data collection-(Ref no: ECM4(ii)03/03/15).

Data Sharing Statement No additional data are available.

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STROBE 2007 (v4) checklist of items to be included in reports of observational studies in epidemiology*
Checklist for cohort, case-control, and cross-sectional studies (combined)

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	Title page & Abstract (pg.2)
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	Pg.2 within Abstract.
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	Pg 3
Objectives	3	State specific objectives, including any pre-specified hypotheses	Pg 4 – last paragraph of Introduction.
Methods			
Study design	4	Present key elements of study design early in the paper	Pg 4 – first section of Methods.
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	Pg 4-Setting/ locations-First section of Methods; Pg 4- Dates & period of recruitment-line 49; Pg 4 Exposure –reference to depression & anxiety –line 15; Pg4 Data Collection Procedures section (last paragraph) & pg 5 (first paragraph)- Data collection procedure for paper-based and online.
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	(c) Pg 4 in Section on Participants & Recruitment.
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	Pg 5. Sociodemographic variables and physical health variables described in section on Measures – paragraph 1.

			Pg 5: Variables of depression and anxiety addressed in section on Measures -2 nd paragraph.
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	Pg. 5 – Measures Section.
Bias	9	Describe any efforts to address potential sources of bias	Pg.4 Participants and Recruitment (efforts to recruit from all 6 CF Centres for population based sample.
Study size	10	Explain how the study size was arrived at	Pg. 4. Participants and recruitment
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	Pg. 5. Statistical analysis section
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	Pg 5. Statistical analysis section
		(b) Describe any methods used to examine subgroups and interactions	Pg. 5. Statistical analysis section
		(c) Explain how missing data were addressed	Pg. 6. First paragraph on page. Statistical analysis section
		(d) Cohort study—If applicable, explain how loss to follow-up was addressed Case-control study—If applicable, explain how matching of cases and controls was addressed Cross-sectional study—If applicable, describe analytical methods taking account of sampling strategy	Pg. 5. Statistical analysis section. Details of how online and paper based samples were compared
		(e) Describe any sensitivity analyses	NA
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	Pg. 6. Results section on demographic characteristics
		(b) Give reasons for non-participation at each stage	In Data Collection Procedures –Pg. 5- Line 3& 4, and line 10&11
		(c) Consider use of a flow diagram	NA
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	Pg. 6. Results section on demographic characteristics
		(b) Indicate number of participants with missing data for each variable of interest	Pgs. 6-8. Tables in results section indicate number of participants for each variable (n)
		(c) Cohort study—Summarise follow-up time (eg, average and total amount)	NA
Outcome data	15*	Cohort study—Report numbers of outcome events or summary measures over time	NA
		Case-control study—Report numbers in each exposure category, or summary	NA

		measures of exposure	
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	Pg. 7 Sections on prevalence, HADS scores and physical health outcome variables
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Pg. 7. Section on prevalence of anxiety and depression
		(b) Report category boundaries when continuous variables were categorized	Pg. 7 Section on prevalence of anxiety and depression
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	Pg. 8. Section on associations between HADS scores and physical health variables
Discussion			
Key results	18	Summarise key results with reference to study objectives	Pg.8 paragraph 1.
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	Pg 10. Paragraph 2(from line 11)
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	Pg 10 & 11 within discussion.
Generalisability	21	Discuss the generalisability (external validity) of the study results	Pg. 10 paragraph 2.
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	Pg. 11 Line 9.

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

BMJ Open

Online versus paper-based screening for depression and anxiety in adults with cystic fibrosis in Ireland: a cross-sectional exploratory study

Journal:	<i>BMJ Open</i>
Manuscript ID	bmjopen-2017-019305.R1
Article Type:	Research
Date Submitted by the Author:	15-Nov-2017
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Primary Subject Heading:	Mental health
Secondary Subject Heading:	Respiratory medicine
Keywords:	Anxiety, Cystic fibrosis < THORACIC MEDICINE, Depression, Online versus paper based screening, Prevalence, Pulmonary function

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TITLE PAGE

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Keywords: Anxiety, Cystic fibrosis, Depression, Mental health, Online versus paper-based screening.

Word count: 4208 (excluding title page, abstract, references, figures and tables).

ABSTRACT

Objective: To compare online and paper-based screening for depression and anxiety in adults with cystic fibrosis (CF).

Design and Setting: Cross-sectional study in CF clinics in Ireland and through the Cystic Fibrosis Ireland online community.

Participants: 160 adult patients aged 18 or above were recruited. Of these, 147 were included in the analysis; 83 online and 64 paper-based. The remaining 13 were excluded because of incomplete data.

Measures: Depression and anxiety were measured using the Hospital Anxiety and Depression Scale (HADS). Data on pulmonary function (FEV1%) and BMI were self-reported based on clinic assessments. Socio-demographic data were collected.

Results: Compared to the paper-based participants, the online participants were more likely to be female (61.7% vs 48.4%), older (mean 32.2 vs 28.2 years) and were more likely to be married (32.5% vs 15.6%), living with their spouse or partner (42.5% vs 22.6%), and working either full time (33.7% vs 15.9%) or part-time (30.1% vs 17.5%). The prevalence rates of elevated anxiety and depression were not significantly different ($p=0.71$ & $p=0.56$). HADS anxiety and depression scores were not statistically different between online ($p=0.83$) and paper-based ($p=0.92$) participants based on Mann-Whitney U test. A significant negative correlation was found between depression and pulmonary function ($r=-0.39$, $p=0.01$) and anxiety and pulmonary function ($r=-0.36$, $p=0.02$). Based on Cronbach's alpha, there were no statistically significant differences between the online and paper-based participants on the internal consistency of the HADS anxiety ($p=0.073$) and depression ($p=0.378$) scales.

Conclusions: Our findings suggest that online and paper-based screening for depression and anxiety in adult patients with CF yield comparable findings on prevalence rates and scores, associations with health, and internal consistency of subscales. This study highlights that online screening offers an alternative method to paper-based screening. Further research with a larger sample is needed to confirm our results.

Strengths and Limitations of this Study

- This is the first study to explore online compared to paper-based screening of depression and anxiety in an adult population of patients with CF.
- Given the recent publication on international guidelines for depression and anxiety screening in the CF population, our study is timely because it draws attention to online screening as an alternative method to paper-based screening.
- The small sample size and self-selection bias in this study are threats to its internal and external validity.
- Assessment of psychometric properties of the HADS was limited to internal consistency and further research is needed on the full scope of reliability and validity of scales used to assess depression and anxiety in the CF population, noting that the recent international guidelines support the use of the PHQ-9 for depression and GAD-7 for anxiety.

INTRODUCTION

Cystic fibrosis (CF) is chronic, progressive and life-shortening, although increased survival rates into middle adulthood are now expected because of treatment and management advances.¹ The disease is characterised in part by increased susceptibility to recurrent chest infections due to excessive

1
2
3 production of mucous in the small respiratory airways. In addition, obstruction of pancreatic enzyme
4 secretion due to increased mucous production in the gastrointestinal tract results in poor growth
5 and weight loss.²

6 To date, most research efforts has focussed on understanding the pathophysiology of CF and the
7 most promising treatment strategies.³ However, in recent years there has been a growing body of
8 research on the psychological health of individuals with CF, particularly on the prevalence of
9 depression and anxiety, and their associations with physical health. The International
10 Depression/Anxiety Study (TIDES) is a landmark collaboration across several European countries and
11 the USA which screened for depression and anxiety in the CF adult and adolescent populations, and
12 parent caregivers.⁴⁻⁹ In adults with CF, elevated depression and anxiety scores were associated with
13 poorer lung function and quality of life.^{4,5} One of the main conclusions of TIDES was to recommend
14 annual screening of patients with CF for symptoms of depression and anxiety, so that those affected
15 receive timely further assessment and treatment⁴. This was endorsed by the European Cystic
16 Fibrosis Society's (ECFS) *Standards of Care* published in 2014.¹⁰ In 2016, guidelines for screening and
17 treating depression and anxiety from the International Committee on Mental Health in Cystic
18 Fibrosis (ICMH-CF) were published recommending that annual screening be conducted by health
19 care professionals, preferably mental health specialists.¹¹

20 Studies on screening for depression and anxiety in patients with CF and their parent caregivers
21 have collected data during clinic visits with high response rates.^{5,7,12-15} However, high response rates
22 seen in research may not be feasible within the context of routine clinic visits or annual review
23 assessments. CF teams may face significant challenges in implementing screening for depression and
24 anxiety. In a large scale study on CF mental health delivery,¹⁶ limited staff time and limited
25 personnel were ranked as the two highest barriers to implementing a mental health screening
26 programme. Access to electronic tools for screening administration and scoring was ranked by 40%
27 of respondents as among the top three areas that would be helpful in implementing mental health
28 screening.¹⁶ Yet, to date, little consideration has been given to the possibility of online screening of
29 patients with CF for depression and anxiety.

30 Computerised screening for depression has been available since the 1990s,¹⁷ with the potential
31 for internet-based screening of large populations.¹⁸ Online screening for depression and/or anxiety
32 has been used in clinical populations such as lung and breast cancer patients,¹⁹ and women with
33 postpartum depression.²⁰ Advantages of online screening include reduced costs,²⁰ an ability to
34 assess large populations,^{18,21} feasibility and acceptability for patients to use,²⁰ and a practical
35 approach to screening for clinical teams.²² Reported disadvantages of online screening are the
36 possibility that older adults may be less likely to participate,¹⁸ the need for computer literacy,²³ and
37 that response or retention rates may be low.²¹

38 There has been no research to date comparing the results of online and paper-based assessment
39 of mental health among patients with CF. Comparisons have been made in patients with tinnitus²⁴
40 using the Hospital Anxiety and Depression Scale (HADS). Compared to the clinic paper-based sample,
41 the online sample had higher prevalence rates of depression (17% vs 15%) and anxiety (25% vs 15%)
42 and had significantly higher scores for both which the researchers speculated may have been due to
43 anonymised self-recruitment offering an internet intervention on psychological support. Other
44 researchers compared psychometric properties between online and paper versions of depression
45 instruments administered to primary care and psychiatric care patients.²² The findings indicated
46 equivalence and no clinically relevant differences between method of administration with high
47 correlations found between both scores. It is not possible to draw conclusions from existing research
48 for the CF population because of the different clinical populations.

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3 The aim of this study was to compare online versus clinic paper-based assessment of depression
4 and anxiety in a CF adult population in Ireland in relation to sample characteristics, prevalence data,
5 and associations with physical health (pulmonary function and BMI). In addition, the study aimed to
6 compare online and paper versions for internal consistency of the depression and anxiety measure,
7 which for this study was the HADS.
8
9

10 **METHODS**

11 **Study design and setting**

12 We conducted this exploratory study as part of a larger cross-sectional study in Ireland on the
13 national prevalence of depression and anxiety in the CF population and associations with physical
14 health and quality of life. The larger study included adolescents, their caregivers and adult patients.
15 This paper reports on the adult data. We aimed to collect data from adults in all six adult CF centres
16 in Ireland. At the outset, we intended to collect data during scheduled clinic visits similar to the
17 studies involved in TIDES,⁴ and ethical approval was obtained for this. However, due to problems
18 with recruitment (described below), data were also collected online.
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22 **Participants and recruitment**

23 The study aimed to recruit adults with CF aged 18 years or over. The only exclusion criteria were
24 adults who had received lung or heart-lung transplantation. Data available from the CF Registry of
25 Ireland at the outset of the study indicated that the total adult population with CF aged 18 years and
26 over was 595.²⁵ In order to estimate the true proportion of depression and anxiety within a
27 confidence interval of +/- 3% for this population size, it was calculated that we needed to recruit 382
28 adults.
29

30 Our initial plan was to recruit adults during scheduled clinic visits at the CF centres. We sought
31 access to the study sample through the CF teams by writing to the Consultant Respiriologists
32 informing them about the study. We offered to meet the CF teams to discuss the study further, and
33 a meeting was held in three of the six centres.
34

35 For three of the CF centres, access was generally unproblematic and was supported by the CF
36 healthcare team. Access was not achieved in one centre because of no response to our efforts to
37 contact the relevant respiratory consultants. In two centres, staffing resource constraints made data
38 collection at clinics impossible. Research access to clinical sites can be challenging and is reliant on
39 key gatekeepers in the services.^{26,27} Even in the remaining three clinics where we did have access,
40 data collection was slow because of time constraints for adults in completing questionnaires or busy
41 workloads of clinic staff making it difficult to administer questionnaires during clinic appointments.
42 In view of the challenges faced in collecting data, we reapplied for ethical approval to recruit adults
43 online through the Cystic Fibrosis Ireland community network.
44
45

46 **Data Collection Procedures**

47 Data collection occurred between July 2014 and July 2015. At clinics, patients were approached by a
48 member of the CF multidisciplinary team and asked to participate in the study. Patients were
49 informed that if they scored above the clinical cut-off for depression and/or anxiety symptoms, their
50 CF consultant would be informed to ensure appropriate follow-up and psychological support. For
51 this reason, data collection was not anonymous. After obtaining informed consent, patients were
52 given the questionnaire pack which could be completed on site or at home and returned to the
53 research team by stamped addressed envelope. Data collection was slow such that over a period of
54 twelve months, paper-based data collection was completed for just 64 adults across three CF
55 centres. Of these, 39 completed the questionnaire on site during a clinic visit, and 25 completed the
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questionnaire at home. The questionnaire took approximately 15-20 minutes to complete. The response rates in the three centres were 53%, 52% and 29%. The overall response rate for the clinic sample was 51%. Patients were not specifically asked for reasons for non-participation but some volunteered that they were 'not interested' or already involved in other research projects.

The online version of the questionnaire was developed and administered using the online survey development software, Survey Monkey. The link to the questionnaire, information sheet and consent form was emailed by the administrator of the Cystic Fibrosis Ireland (CFI) community network to 345 adults with CF with one follow-up reminder after 7 days. After a period of two weeks, 99 responses were collected which was a response rate of 29%; reasons for non-participation could not be gleaned. The invitation email clearly instructed that if the questionnaire was already completed during a clinic visit, there was no need to complete the online survey. We cross-checked all returned online questionnaires with paper-based questionnaires for duplication and removed 3 duplicates.

In total, 160 adult patients aged 18 or over were recruited from CF clinics or online. Of these, 147 were included in the analysis of whom 83 were the online sample and 64 were the paper-based sample. The remaining 13 respondents were excluded because of incomplete data. With 595 adults entered in the CF Registry of Ireland,²⁵ the sample size of 147 represented 27.6% of the adult CF population in Ireland.

Measures

Study packs contained: an information leaflet and consent form; a background information questionnaire; and the HADS.²⁸ The background information questionnaire gathered socio-demographic data such as age, gender, marital status, living arrangements, and educational and employment information as well as a section on physical and mental health information. In this section, participants were asked to provide self-reported data, based on their most recent clinic visit, on their pulmonary function (FEV1%), and height (cm) and weight (kg) which were used to calculate Body Mass Index.

The HADS is a brief 14-item instrument used for screening depression (7 items) and anxiety symptoms (7 items). Each item requires the participant to select one statement from four options on a Likert scale. Scores range from a minimum of 0 to a maximum of 21 for both anxiety and depression. The HADS has well established clinical cut-off scores with a score higher than 7 indicating elevated symptoms of anxiety or depression and a score higher than 10 indicating anxiety or depression in the clinically significant range. The HADS has good psychometric properties as indicated by analysis of internal consistency, discriminant validity and factor structure.²⁹ It was also the instrument used in TIDES to assess anxiety and depression symptoms in CF patients,⁴ although since then the PHQ-9 for depression and GAD-7 for anxiety have been recommended through international consensus.¹¹ A referral process for follow-up psychological support was in place for participants with elevated depression and anxiety scores.

Statistical analysis

Data were analysed using SPSS version 22.0 for Windows. To determine if there were statistically significant differences between the online and paper-based samples on demographic variables, independent samples t-tests and chi-square tests were run. In cases where 80% of expected cell count were not greater than five, or all expected cell counts were not greater than one, Fisher's Exact Test was used. As the HADS data did not meet requirements of the normal distribution, the median and interquartile range are reported, and the Mann-Whitney U test was run to compare the online and paper-based samples. Prevalence of elevated and clinically significant anxiety and depression in online and paper-based samples were compared using Chi-square tests. The mean and

standard deviation of physical health outcome variables, pulmonary function and BMI, are reported and the online and paper-based samples were compared using independent samples t-tests. To examine the relationship between HADS scores and physical outcome variables, Spearman's correlational coefficients were calculated. Cronbach's alpha was used to examine the internal consistency of the HADS anxiety and depression scales for both the online and paper-based samples, and Fishers Bonett test was used to examine statistically significant differences in Cronbach's alpha between the two samples. Missing data were excluded from the analysis.

RESULTS

Demographic characteristics of online and clinic samples

A total of 147 adults with CF participated in the study. Of these, 56.5% (n=83) completed online and 43.5% (n=64) completed paper-based questionnaires. The demographic characteristics of the online and paper-based samples are presented in Table 1. Significant differences were detected between the two groups in the demographic variables of age (p=0.008), marital status (p=0.044), general living arrangements (p=0.024) and employment status (p=0.005). There were no clear differences between the two groups for level of education.

Table 1 Characteristics of adults with CF by method of data collection (N=147)

	Online Sample (n=83)	Paper-based Sample (n=64)	P Value*
Age in years – Mean (SD)	32.2 (7.3)	28.2 (10.6)	0.008
Gender – n (%)			0.131
Males	31 (38.3)	33 (51.6)	
Females	50 (61.7)	31 (48.4)	
Marital status – n (%)			0.044
Single	37 (44.6)	42 (65.6)	
Married	27 (32.5)	10 (15.6)	
...With partners	18 (21.7)	11 (17.2)	
...Separated	1 (1.2)	1 (1.6)	
General Living Arrangements			0.024
...With parents	28 (35.0)	37 (59.7)	
...With partner/spouse	34 (42.5)	14 (22.6)	
...With housemates	8 (10)	7 (11.3)	
...Alone	10 (12.5)	4 (6.5)	
Highest Level of Education – n (%)			0.318
Masters/PhD	14 (17.2)	7 (11.3)	
Postgraduate Cert/Diploma	8 (9.9)	3 (4.8)	
Bachelor's Degree	18 (22.2)	19 (30.6)	
Technical Cert/Diploma	20 (24.7)	9 (14.5)	
Leaving Certificate	14 (17.3)	16 (25.8)	
Junior Certificate	7 (8.6)	7 (11.3)	
Primary School	0 (0.0%)	1 (1.6%)	
Employment Status – n (%)			0.005
Working Full-time	28 (33.7)	10 (15.9)	
Working Part-time	25 (30.1)	11 (17.5)	
Unable to Work due to Illness	14 (16.9)	14 (22.2)	
Unemployed/ Seeking Work	9 (10.8)	16 (25.4)	
Other (Studying/Retired/Home-maker)	7 (8.4)	12 (19)	

***Bold text represents significant p values.**

Internal Consistency of the HADS Scale in Online and Paper-based Samples

The internal consistency of both online and paper-based HADS measure were similar. For the anxiety subscale, the Cronbach's alpha level was 0.84 for the online sample, and 0.89 for the paper-based sample. For the depression subscale, the alpha level was 0.87 for the online sample, and 0.88 for the paper-based sample. There were no statistically significant differences between the online and paper-based samples on Cronbach's alpha for the anxiety subscale ($p=0.073$) or depression subscale ($p=0.378$).

Prevalence of anxiety and depression

In the online sample, 25% ($n=20$) had HADS scores greater than 7 indicating elevated anxiety, and 11.3% ($n=9$) had anxiety scores in the clinically significant range with scores higher than 10. In the paper-based sample, 28.1% ($n=18$) had elevated anxiety scores, and 14% ($n=9$) of the sample had anxiety scores in the clinically significant range. For depression, 7.5% ($n=6$) of the online sample had elevated scores higher than seven and 3.8% ($n=3$) of the sample scored within the clinically significant range higher than 10. In the paper-based sample, 10.9% ($n=7$) had elevated depression scores and 4.7% ($n=3$) had depression scores in the clinically significant range. There were no significant differences in prevalence between the online and paper-based samples who had elevated anxiety ($p=0.71$, 95% CI = -0.12 to 0.19) or depression ($p=0.56$, 95% CI = -0.07 to 0.15) scores. Likewise, there was no significant difference in prevalence between those with clinically significant anxiety ($p=0.61$, 95% CI = -0.0 to -0.16) or depression ($p=0.78$, 95% CI = -0.07 to 0.11) scores.

HADS scores and physical health outcome variables

We compared the online and paper-based samples on their HADS scores and physical health outcome variables. As illustrated in Table 2, there were no significant differences between the online and paper-based samples on their HADS anxiety ($p=0.83$) or depression scores ($p=0.92$). Similarly, there were no significant differences between the two groups on pulmonary function ($p=0.39$) or BMI values ($p=0.60$).

Table 2 Physical and mental health variables by method of data collection (N=147)

	Online Sample (n=83)	Paper-based Sample (n=64)	P Value
HADS Anxiety (Scale range 0-21) (Median, IQR, n)	5.00,3.00-7.75, 80	5.00,2.00-8.75, 64	0.83
HADS Depression (Scale range 0-21) (Median, IQR, n)	1.00,1.00-4.00, 80	1.00,1.00-4.00, 64	0.92
Pulmonary Function (FEV1%) (Mean, SD, n)	67.23, 25.07, 62	63.02, 23.90, 44	0.39
BMI (Mean, SD, n)	22.01, 2.60, 67	21.74, 2.41, 38	0.60

Associations between HADS scores and physical health variables

Table 3 illustrates the associations between HADS anxiety and depression scores with the physical health variables of pulmonary function and BMI for both the online and paper-based samples. The

results of Spearman's correlational coefficient indicate that there was a significant negative correlation between HADS depression scores and pulmonary function for both the online ($r=-0.39$, $p=0.002$) and the paper-based ($r=-0.36$, $p=0.016$) samples. There were no significant associations between HADS anxiety scores and pulmonary function, or between HADS depression/anxiety scores and BMI for either the online or paper-based samples.

Table 3 Association between HADS scores and health outcome variables by method of data collection (N=147)

	Online Sample (n=83)		Paper-based Sample (n=64)	
	HADS Anxiety	HADS Dep	HADS Anxiety	HADS Dep
Pulmonary Function (r, p-value*, n)	-0.23, 0.09, 59	-0.39, 0.002 , 59	-0.19, 0.23, 44	-0.36, 0.016 , 44
BMI (r, p-value, n)	-0.08, 0.54, 64	0.12, 0.35, 64	-0.08, 0.65, 38	-0.16, 0.34, 38

*Bold text represents significant p values.

DISCUSSION

In this cross-sectional study, we compared online and paper-based screening for depression and anxiety in adults with CF. Comparable results were found in both groups for prevalence rates and mean scores of depression and anxiety, with no statistical differences evident. Likewise, online and paper-based groups yielded similar results for associations between the mental health variables and physical health variables – pulmonary function (FEV1%) and BMI. In both groups, a significant negative association was found between depression and pulmonary function; the strength of this association was comparable. Neither group was found to have significant associations between anxiety and pulmonary function or between depression/anxiety and BMI.

In contrast to our finding, a previous study that used HADS in patients with tinnitus found significantly higher rates of depression in the online group.²⁴ Self-recruitment and the option to remain anonymous was offered as a possible explanation for this finding, indicating less inhibition in reporting mental health problems.²⁴ In our study, although online participants were self-recruiting, they provided their names and contact details with the knowledge that HADS scores above clinical cut-off point would involve disclosing this information to their CF physicians to ensure appropriate follow up care. Given the small samples in both groups in our study, there is a need for further research in larger samples to compare prevalence rates and scores of depression and anxiety using online versus paper-based assessment.

To the best of our knowledge, this is the first published study comparing online versus paper-based screening of depression and anxiety in the adult CF population. Previous research comparing online versus paper-based assessment of mental health has been conducted in patients recruited from primary care or psychiatric care,²² patients with tinnitus,²⁴ and in psychology students.³⁰ In these studies, regardless of the measurement used to assess anxiety and/or depression, the internal consistency for online and paper-based versions were similar. Our findings concur. In both the online and paper-based groups, the internal consistency of the HADS was comparably high, and not significantly different. These findings suggest that online screening of mental health in adults with CF offers a reliable alternative to paper-based screening in clinic settings.

Our study demonstrated the potential of online mental health screening to yield higher participation rates within a shorter timeframe compared to paper-based administration during clinics (or later at home). Over a period of two weeks, 99 HADS online questionnaires were returned whereas it took twelve months to collect 64 paper-based questionnaires. There is some evidence to

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3 suggest that paper-based screening within clinic settings is a challenge. In a feasibility study³¹ on
4 implementing the ICMH-CF guidance¹¹ on screening for depression and anxiety symptoms during CF
5 clinics, concerns were expressed by staff that lengthy clinic visits and a requirement to complete
6 'another test' were barriers to paper-based screening. Giving an option to take home the screening
7 measure for completion was also seen as problematic because it risked non-return and the
8 possibility of missing symptoms of depression or anxiety.³¹ A conclusion from that study was that
9 there is a need to consider online screening outside the clinic setting to allow for faster
10 administration and scoring.³¹ Given the time and resource constraints of conducting mental health
11 screening during CF clinic visits,^{16,31} the participation rates within associated timeframes identified in
12 our study indicate that online screening may offer a practical alternative to paper-based screening
13 and may contribute to increased participation rates. We acknowledge that our online response rate
14 was low at 29%. A mitigating factor precluding us from extending the online survey beyond two
15 weeks related to timelines for study completion set by the funding bodies. It is likely that the
16 response rate would have been higher if more time was allowed, a finding evident in a feasibility
17 study on web-based screening of physical symptoms in patients with CF which had a response rate
18 of 80% over a nine-month period that included repeated reminders.³²

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21 Our online and paper-based samples differed on a number of demographic variables but they did
22 not differ on lung function or BMI, and for both depression was associated with lower lung function.
23 The online sample was older, more were married, living with a spouse/partner, and in full or part
24 time employment. It could be assumed from this finding that the online sample had busier life
25 circumstances in which case online screening may be more convenient over paper-based screening.
26 However, caution is needed with this assumption. Further research is required to determine the
27 factors motivating the completion of online versus paper-based mental health screening among
28 adult patients with CF. The acceptability of online screening among adults also needs consideration.

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30 Mental health screening for depression and anxiety is now set to become integral to the health
31 assessment of patients with CF based on international guidelines.¹¹ While our study demonstrates
32 the potential of online mental health screening as a practical, efficient and reliable approach, there
33 are issues to be considered regarding implementation into CF services. An information technology
34 infrastructure supporting the use of electronic health records is needed to ensure a seamless
35 paperless system. Electronic health records could be used administer, score, track and provide
36 results to healthcare professionals compliant with data protection legislation. Peckham and
37 colleagues found that the implementation of electronic records incorporating a coding structure for
38 CF care into CF centres of three hospitals led to greater efficiencies such that completion of annual
39 assessments regarding physical health more than doubled from 43% to 92%.³³ Furthermore,
40 information exchange between multidisciplinary team members improved.³³ A recent report on the
41 eHealth status of European Union countries showed that almost half of the member states do not
42 have national electronic health record systems with funding being a major barrier to their
43 implementation.³⁴ The impact of implementing electronic records that include mental health
44 assessment and care of adults with CF remains unknown to date.

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46 A further consideration for clinical practice regarding online screening relates to patient feedback
47 and follow up care. In contrast to the immediate access that healthcare professionals have to
48 patients during clinic visits, online screening is more removed and therefore requires a planned
49 approach to providing feedback and follow-up care. There is evidence from an Australian study on
50 the general population that tailored feedback following online screening may not promote the use
51 of professional services to deal with mental health problems.³⁵ In that study, mental health
52 screening was not embedded within an existing health service that participants were using. While it
53 could be expected that mental health screening of adults with CF that complies with the recent
54 international guidelines¹¹ will be embedded in CF services regardless of being online or paper-based,
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3 inadequate numbers of psychosocial professionals and staff trained in mental healthcare within CF
4 teams is a concern.^{16, 31}

5 The findings of our study must be interpreted with some caution in light of limitations. The
6 setting in which questionnaires were completed may have acted as a confounding variable as paper-
7 based questionnaires were completed in clinics as well as in the participant's home. Sample size was
8 small in both online and paper-based groups, therefore undermining the internal and external
9 validity of the findings. Self-selection bias exists which also threatens the internal and external
10 validity of the findings. The comparable findings on prevalence rates of depression and anxiety
11 between online and paper-based groups are not based on analyses that adjusted for baseline
12 differences in demographic data e.g. age, sex, education status, and living arrangements. We did not
13 adjust for baseline differences because of the small sample size. The cross-sectional design of the
14 study did not allow for assessment of changes in depression and anxiety over time and what
15 circumstances might influence these changes. Longitudinal data would help address this limitation.

16 A further limitation relates to the assessment of psychometric properties in that Cronbach's
17 alpha only was used to test if method of administration differed for internal consistency. During the
18 time of conducting our study, the reliability of the HADS as a clinical screening tool was critically
19 questioned noting that although the HADS was used in TIDES, different measures were
20 recommended from that international study.³⁶ These measures were the PHQ-9 for depression and
21 the GAD-7 for anxiety.^{4,36} Both these measures are recommended in the international guidelines for
22 mental health screening of patients with CF,¹¹ which are since being used in CF clinic settings.^{15,31}
23 Because of the current shift away from using HADS as a screening tool for depression and anxiety in
24 CF, and because of the small sample sizes in each group (online and paper based), we did not test
25 measurement invariance to determine if the online version of HADS is equivalent to the paper based
26 version. Albeit scant, there is some evidence that depression scores between online and paper
27 administration of the PHQ-9 are not significantly different.³⁷ An online version of GAD-7 has been
28 reported as reliable³⁸ but we found no evidence of comparisons with paper administration. Future
29 research needs to conduct confirmatory factor analysis to assess the psychometric invariance
30 between online and paper-based administration of these screening tools in the CF population,
31 including the settings in which they are administered.

32 A strength of our exploratory cross-sectional analysis is that it is the first to compare online
33 versus paper-based assessment of depression and anxiety in adults with CF. In the TIDES
34 international study all data collection was paper-based.⁴ Therefore, our study draws attention to the
35 potential of online screening for depression and anxiety in adult patients with CF. The similarities in
36 findings between both methods of administration is encouraging regarding prevalence rates of
37 depression and anxiety, associations with pulmonary function and BMI. These findings demonstrate
38 that online screening could be an alternative method to paper-based screening for those who:
39 prefer this option; or miss clinic appointments, for example, due to family or work responsibilities; or
40 wish to avoid spending extra time at clinics or annual review completing 'another test' as shown in
41 previous research.³¹

42 43 44 45 46 47 **CONCLUSION**

48 In conclusion, some adult patients with CF experience symptoms of depression and anxiety. Both
49 depression and anxiety can negatively impact on pulmonary function. The international
50 recommendation for annual screening of depression and anxiety¹¹ therefore applies to patients with
51 CF living in Ireland. This study has highlighted that online screening offers an alternative method to
52 paper-based screening. The feasibility, acceptability, reliability and validity of online screening
53 compared to paper-based screening needs further research.

Acknowledgements We are grateful to all adult patients with CF who participated in the study, and to all CF teams who supported access to clinics and data collection. We are also grateful to Cystic Fibrosis Ireland who supported administration of the online survey.

Contributors ES, IJP, AJD, KR, APF, MNiC contributed to design and application for funding. ES & JC prepared for ethical approval and negotiated access to CF centres in Ireland. JC & BH collected data. JC conducted data analysis supported by APF and EL. AH & AJD offered critical insights into mental health screening. All authors contributed to the interpretation of results. JC & ES drafted the manuscript. All authors have read, edited, and approved the manuscript.

Funding This work was supported by a research grant jointly funded by the Health Research Board, Ireland and Cystic Fibrosis Ireland (grant file reference: MRCG/2011/9).

Competing interests None declared.

Ethics Approval Clinical Research Ethics Committee, University College Cork (Ref no: ECM4(ii)04/12/12, and amended for online data collection-(Ref no: ECM4(ii)03/03/15).

Data Sharing Statement No additional data are available.

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STROBE 2007 (v4) checklist of items to be included in reports of observational studies in epidemiology*
Checklist for cohort, case-control, and cross-sectional studies (combined)

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	Title page & Abstract (pg.2)
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	Pg.2 within Abstract.
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	Pg 3
Objectives	3	State specific objectives, including any pre-specified hypotheses	Pg 4 – last paragraph of Introduction.
Methods			
Study design	4	Present key elements of study design early in the paper	Pg 4 – first section of Methods.
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	Pg 4-Setting/ locations-First section of Methods; Pg 4- Dates & period of recruitment-line 49; Pg 4 Exposure –reference to depression & anxiety –line 15; Pg4 Data Collection Procedures section (last paragraph) & pg 5 (first paragraph)- Data collection procedure for paper-based and online.
Participants	6	(a) <i>Cohort study</i> —Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up <i>Case-control study</i> —Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> —Give the eligibility criteria, and the sources and methods of selection of participants	(c) Pg 4 in Section on Participants & Recruitment.
		(b) <i>Cohort study</i> —For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> —For matched studies, give matching criteria and the number of controls per case	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	Pg 5. Sociodemographic variables and physical health variables described in section on Measures – paragraph 1.

			Pg 5: Variables of depression and anxiety addressed in section on Measures -2 nd paragraph.
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	Pg. 5 – Measures Section.
Bias	9	Describe any efforts to address potential sources of bias	Pg.4 Participants and Recruitment (efforts to recruit from all 6 CF Centres for population based sample.
Study size	10	Explain how the study size was arrived at	Pg. 4. Participants and recruitment
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	Pg. 5. Statistical analysis section
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	Pg 5. Statistical analysis section
		(b) Describe any methods used to examine subgroups and interactions	Pg. 5. Statistical analysis section
		(c) Explain how missing data were addressed	Pg. 6. First paragraph on page. Statistical analysis section
		(d) Cohort study—If applicable, explain how loss to follow-up was addressed Case-control study—If applicable, explain how matching of cases and controls was addressed Cross-sectional study—If applicable, describe analytical methods taking account of sampling strategy	Pg. 5. Statistical analysis section. Details of how online and paper based samples were compared
		(e) Describe any sensitivity analyses	NA
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	Pg. 6. Results section on demographic characteristics
		(b) Give reasons for non-participation at each stage	In Data Collection Procedures –Pg. 5- Line 3& 4, and line 10&11
		(c) Consider use of a flow diagram	NA
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	Pg. 6. Results section on demographic characteristics
		(b) Indicate number of participants with missing data for each variable of interest	Pgs. 6-8. Tables in results section indicate number of participants for each variable (n)
		(c) Cohort study—Summarise follow-up time (eg, average and total amount)	NA
Outcome data	15*	Cohort study—Report numbers of outcome events or summary measures over time	NA
		Case-control study—Report numbers in each exposure category, or summary	NA

		measures of exposure	
		<i>Cross-sectional study</i> —Report numbers of outcome events or summary measures	Pg. 7 Sections on prevalence, HADS scores and physical health outcome variables
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Pg. 7. Section on prevalence of anxiety and depression
		(b) Report category boundaries when continuous variables were categorized	Pg. 7 Section on prevalence of anxiety and depression
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	NA
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	Pg. 8. Section on associations between HADS scores and physical health variables
Discussion			
Key results	18	Summarise key results with reference to study objectives	Pg.8 paragraph 1.
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	Pg 10. Paragraph 2(from line 11)
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	Pg 10 & 11 within discussion.
Generalisability	21	Discuss the generalisability (external validity) of the study results	Pg. 10 paragraph 2.
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	Pg. 11 Line 9.

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.