

Supplementary Figure 1 Effects of laser stimulation in vlPAG of *GAD2-Cre* mice expressing ChR2-eYFP or eYFP alone and expression of ChR2 throughout vlPAG. (a) Effect of ChR2-mediated activation of GABAergic vlPAG neurons in additional mice (n= 6) that were not included in our previous study¹. Top, average EEG spectrogram (normalized by the mean power in each frequency band). Bottom, percentage of REM,

7 NREM or wake states before, during, and after laser stimulation. The changes in REM, 8 NREM, and wake induced by laser stimulation were highly significant (P < 0.0001, 9 bootstrap). Shading, 95% confidence intervals (CI). Blue stripe, laser stimulation period 10 (20 Hz, 300 s). (b) Comparison of EEG power spectra during spontaneous NREM sleep outside of laser stimulation periods (grey) and NREM sleep overlapping with laser 11 stimulation (blue), averaged across all ChR2-eYFP mice (n = 12). Gray shading, ±s.e.m. 12 13 for spontaneous NREM sleep. (c) Effect of laser stimulation on brain states in eYFP 14 control mice (n = 5). Laser stimulation did not significantly change the percentage of any 15 brain state (P > 0.33, bootstrap). (d) Expression of ChR2-eYFP in GAD2-Cre mice. For 16 each mouse (n = 12), we determined the spread of ChR2-eYFP in three consecutive brain 17 sections (from -4.36 mm to -4.84 mm along the rostrocaudal axis, where most of the virus 18 expression was observed). The green color code indicates in how many mice the virus 19 expression overlapped at the corresponding location. Scale bar, 1 mm. (e) Location of 20 fiber tracts for optogenetic stimulation experiments. Each coronal section depicts the 21 location of the optic fiber (blue bar) in each mouse (n = 12) used for optogenetic 22 stimulation of vlPAG GABAergic neurons. Scale bar, 1 mm. (f) Percentage of REM 23 (top), wake (middle), and NREM sleep (bottom) for each single mouse (n = 12). Each 24 row corresponds to a single mouse and color-codes the brain state percentage averaged 25 across all trials before, during, and after laser stimulation (300 s, 20 Hz). (g) All laser 26 stimulation trials from 12 mice. Each row represents the color-coded brain state before, 27 during, and after laser stimulation of a single trial. Each bracket on the right indicates all 28 trials from a single mouse.



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31 Supplementary Figure 2 Optogenetic activation of glutamatergic neurons in the vIPAG 32 induces wakefulness. (a) Top, average EEG spectrogram (normalized by the mean power 33 in each frequency band), averaged across all *VGLUT2-Cre* mice (n = 4). Bottom, average 34 EMG amplitude. Scale bar 20 μ V. Shading, ±s.e.m. (b) Bottom, percentage of REM, 35 NREM, and wake states before, during, and after laser stimulation. Optogenetic 36 activation of the glutamatergic neurons induced a strong increase in wakefulness (P < P37 0.0001, bootstrap), while suppressing NREM sleep (P < 0.0001). Blue stripe, laser 38 stimulation period (20 Hz, 120 s).

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44 Supplementary Figure 3. Selective ablation of vlPAG GABAergic neurons increases 45 REM sleep. (a) Top, fluorescence image from a control mouse showing the PAG. Red. 46 FISH for mRNA encoding GAD2 (scale bar, 250 µm). Bottom, fluorescence image from 47 an experimental animal injected with AAV expressing pro-Caspase 3 (Casp3). Note the 48 reduced number of GAD2 neurons in the vlPAG compared to the control (top). (b) 49 Number of GAD2 cells per section (30 μ m thick) in the vlPAG of control mice (n = 5) 50 and mice expressing Caps3 (n = 6). On average, Casp3 expression reduced the number of GAD2 cells by 54.3% (P = 0.01, T(9) = -3.24; *t*-test). Each circle, data from one mouse. 51 52 Error bar, \pm s.d. (c) Top, 3 hr long hypnogram from a control mouse expressing eYFP 53 recorded during the light cycle. Bottom, hypnogram recorded from a mouse expressing 54 Casp3. (d-f) Percentage (d), average duration (e), and frequency (f) of REM, NREM, or 55 wake states during the light cycle in control mice (n = 5, gray) and mice expressing 56 Casp3 (n = 6, blue). In mice expressing Casp3, the percentage of REM sleep was 57 significantly increased (P = 0.009, z = 50.0; Wilcoxon rank-sum test), due to an increased 58 frequency of REM sleep episodes (P = 0.02, z = 49.0). In contrast, the amount of NREM 59 sleep was significantly reduced (P = 0.02, z = 23.0). Each circle, data from one mouse. 60 *P < 0.05, **P < 0.01, Wilcoxon rank-sum test. Error bar, \pm s.d. (g-i) Percentage, average 61 duration, and frequency of each brain state during the dark cycle in control mice (n = 4)62 and mice expressing Casp3 (n = 4). During the dark cycle, the percentage of REM sleep in mice expressing Casp3 was significantly increased (P = 0.03, z = 26.0), due to an 63 64 increased frequency of REM sleep episodes (P = 0.03, z = 26.0).



Supplementary Figure 4. Virus expression and optic fiber placement in axon fiber activation experiments. (a) Expression of ChR2-eYFP in GAD2-Cre mice at the injection site in vlPAG (n = 5). The virus expression was determined in three consecutive coronal sections. The color code indicates the number of mice, in which the virus expression overlapped at the corresponding location. Scale bar, 1 mm. (b) Expression of eYFP in the dorsolateral pons following AAV-eYFP injection into the vlPAG of a GAD2-Cre mouse. DTg, dorsal tegmental nucleus; scp, superior cerebellar peduncle. Scale bar, 500 µm. (c) Location of optic fibers in dorsolateral pons. The optic fibers were placed near the ventrolateral boundary of the pontine gray. Scale bar, 1 mm.



81 **Supplementary Figure 5**. Optogenetic identification of vlPAG GABAergic neurons. (a) 82 Distribution of the reliability of laser-evoked spikes for the 19 identified GABAergic neurons, with 15 Hz or step pulse stimulation (left, 5/19 neurons were tested with step 83 84 pulse and 14/19 with 15 Hz stimulation) or 30 Hz stimulation (right). The reliability was 85 defined as the fraction of laser pulses followed by a spike. (b) Right, distribution of the latency of laser-evoked spikes for identified GABAergic neurons. Latency was defined as 86 the timing of the first spike after the onset of each laser pulse. (c) Top, individual 87 88 waveforms of GABAergic REM-off units (light blue) and average across units (dark

89 blue). Bottom, individual (light red) and average (dark red) waveforms of GABAergic 90 REM-on units. Scale bars, 0.5 ms, 0.2 mV. (d) Raster plots for 15 and 30 Hz stimulation 91 of an example unit with a relatively high baseline firing rate (24.7 spikes s^{-1}). Scale bar, 92 100 µs. (e) Firing rates during 15 or 30 Hz laser stimulation and baseline activity during 93 the preceding interval (1 s for 15 Hz and 0.5 s for 30 Hz, matching the duration of laser 94 stimulation in each case). Each line indicates the firing rates of a single unit. Units for 95 which we presented a step pulse instead of 15 Hz stimulation are not shown on the left. 96 Laser stimulation significantly increased the firing rates for both 15 Hz (n = 14 units, p =97 0.0001, z = -3.3, Wilcoxon sign-rank test) and 30 Hz (n = 19 units, p = 0.0001, z = -3.8). 98 Additionally, the firing rates of each single unit were significantly increased across 99 stimulation trials for both conditions (15 Hz, p < 0.04 and 30 Hz, p < 0.02; Wilcoxon 100 sign-rank test). (f) Positions of the 19 identified vIPAG GABAergic neurons from 6 mice. 101 Each dot indicates one neuron. Blue, REM-off neurons; red, REM-on neurons; gray -102 other neurons. (g) Relative firing rates of vlPAG GABAergic neurons during different 103 wakeful behaviors. Most vlPAG GABAergic neurons showed the highest wake activity 104 during moving or running. Only neurons from recording sessions in which the animal 105 was engaged in all four behaviors are shown (n = 12). 106



108 **Supplementary Figure 6**. Sleep-wake activity of unidentified vlPAG neurons. (a) Firing rates of laser-unmodulated units from 6 mice. Unmodulated units include all neurons that 109 110 were not significantly modulated by laser stimulation, as quantified by SALT (see 111 Methods). W, Wake; R, REM; N, NREM. Blue, significant REM-off neurons (P < 0.05, 112 Wilcoxon rank-sum test, post-hoc Bonferroni correction); red, significant REM-on neurons; gray, other neurons. (b) Firing rates of laser-unmodulated REM-off (left) and 113 REM-on (red) neurons during different brain states. Each line shows firing rates of one 114 unit; gray bar, average across units. (c) Firing rate of laser-inhibited units. Inhibited units 115 116 include neurons that were significantly modulated by laser stimulation, as quantified by SALT, and whose firing rate was significantly decreased during the 30 Hz laser 117 118 stimulation period. (d) Firing rates of laser-inhibited REM-off (left) and REM-on (red) 119 neurons during different brain states (n = 12). (e) Example of an inhibited vlPAG unit. 120 Top, raw trace showing spikes before, during, and after laser stimulation. Blue bar, laser

121 step pulse (100 ms). Scale bars, 100 ms, 0.5 mV. Middle, spike raster showing multiple 122 trials of laser stimulation with laser step pulse. Bottom, spike raster showing multiple trials of laser stimulation at 30 Hz. Scale bar, 100 ms. (f) Firing rate of an inhibited 123 124 vlPAG neuron (blue) along with EEG spectrogram, EMG amplitude, and color-coded 125 brain state (scale bar, 120 s). The timing of single spikes (vertical ticks) is depicted on an 126 expanded time scale (indicated by black boxes) along with EEG, EMG raw traces (scale 127 bars, 5 s, 0.5 mV). (g) Average EEG spectrogram (upper, normalized by the mean power 128 in each frequency band) and mean firing rate (z-scored) of unidentified REM-on neurons 129 (lower) at brain state transitions. The REM-on neurons include both laser-unmodulated 130 (a, b) and laser-inhibited neurons (c, d). Shading, ±s.e.m. (h) The firing rates of REM-on 131 neurons during NREM episodes preceding wake or REM episodes were not significantly 132 different (n = 12, P = 0.31, T(11) = 1.06, paired t-test).

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136 **Supplementary Figure 7**. Non-normalized firing rate of vlPAG GABAergic neurons 137 during brain state transitions. (a) Average EEG spectrogram (upper, normalized by the 138 mean power in each frequency band) and non-normalized mean firing rate of GABAergic 139 REM-off neurons (lower) at brain state transitions (n = 11). Shading, ±s.e.m. (b) The 140 firing rates during NREM episodes preceding wake were significantly higher than those 141 preceding REM episodes (P = 0.002, paired *t*-test).

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147 Supplementary Figure 8. Prediction of transition to REM or wake based on vIPAG 148 NREM firing rates. (a) Linear discrimination analysis evaluating how well a 149 NREM \rightarrow REM or NREM \rightarrow Wake transition can be predicted from the preceding NREM 150 activity of single GABAergic REM-off neurons (n = 11). The prediction accuracy was 151 above the chance level (horizontal dashed line) even 60 s before the transition (P = 0.04, 152 bootstrap). Error bars, 95% CI. (b) Prediction of NREM \rightarrow REM or NREM \rightarrow Wake 153 transitions based on the preceding NREM activity of single REM-on neurons including 154 both laser-unmodulated and laser-inhibited neurons (n = 12, red units in Supplementary 155 Fig. 6a-d). In contrast to REM-off neurons, the prediction accuracy was above the chance 156 level only 10 s before the transition.

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163 Supplementary Figure 9. Position of GRIN lenses within the vlPAG of *GAD2-Cre* mice 164 used for imaging vlPAG GABAergic neurons. Each diagram depicts the section where 165 the lesion caused by the GRIN lens was largest along the rostrocaudal axis. Blue bar, 166 GRIN lens; scale bar, 1 mm.

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Supplementary Figure 10. Non-normalized firing rate of vlPAG GABAergic neurons during inter-REM periods. Shown are average normalized EEG spectrogram (upper) and non-normalized mean firing rate of significant REM-off vlPAG GABAergic neurons (lower) during two successive REM episodes and the inter-REM interval. To average across multiple inter-REM periods REM and inter-REM durations were time-normalized.



186 Supplementary Figure 11. Slow modulation of vIPAG GABAergic neuron activity 187 measured with calcium imaging. (a) Average normalized EEG spectrogram (upper) and mean calcium activity ($\Delta F/F$, z-scored) of significant REM-off ROIs (lower) during two 188 189 successive REM episodes and the inter-REM interval. Each REM episode and inter-REM 190 interval was temporally compressed to unit length before the calcium traces were 191 averaged over multiple episodes/intervals and across ROIs (n = 23). Shading, \pm s.e.m. (b) 192 Calcium activity ($\Delta F/F$, z-scored) during NREM (left) and wake (right) episodes within 193 different segments of the inter-REM interval. Each inter-REM interval was divided into 5 194 equally sized bins, and NREM or wake activities were averaged for each bin. Each 195 symbol represents the average NREM or wake activity of an ROI. The average NREM activity decreased during the inter-REM period (R = -0.36, $P = 7.3 \times 10^{-5}$, T(21) =196 197 -1.77, linear regression), while the wake activity showed no significant trend (R = 0.04, 198 P = 0.76, T(23) = -0.18). Black line, average calcium activity of each bin. (c) Mean 199 calcium activity during the first (light gray) and last (dark gray) NREM episodes of each 200 inter-REM interval. Each NREM episode was temporally compressed to unit duration 201 before the z-scored calcium activity was averaged over episodes and across ROIs. The 202 activity during the first NREM period was significantly higher than during the last period 203 (n = 23 ROIs, P = 0.00015, T(22) = 4.41, paired t-test). Shading, +s.e.m. (d) Mean 204 calcium activity during the first (light purple) and last (dark purple) wake episodes of 205 each inter-REM interval. Each wake episode was temporally compressed to unit duration 206 before averaging (P = 0.25, T(22) = -1.17). (e) Mean calcium activity during all NREM 207 (gray) and wake (purple) episodes. Note that the calcium activity decreased during NREM (R = -0.36, $P = 2.9 \times 10^{-24}$, T(21) = -1.77) but increased during wake episodes (R208 $= 0.27, P = 1.7 \times 10^{-13}, T(21) = 1.29).$ 209





Supplementary Figure 12. Firing rates of vlPAG REM-on neurons during inter-REM 212 213 interval. (a) Average normalized EEG spectrogram (upper) and mean firing rate (z-scored) 214 of significant REM-on vIPAG neurons (lower) during two successive REM episodes and 215 the inter-REM interval. The REM-on neurons include both laser-unmodulated and laser-216 inhibited neurons (n = 12, red units in Supplementary Fig. 6a-d). Each REM episode and 217 inter-REM interval was temporally compressed to unit length before the firing rates were 218 averaged over multiple episodes/intervals and across REM-on neurons. Shading, 219 \pm s.e.m. (b) Firing rate (FR, z-scored) during NREM (left) and wake (right) episodes 220 within different segments of the inter-REM interval. Each inter-REM interval was 221 divided into 5 equally sized bins, and NREM or wake firing rates were averaged for each 222 bin. Each symbol represents the average NREM or wake firing rate of a unit. Neither the 223 NREM nor wake activity showed a consistent change across the inter-REM period 224 (NREM, R = 0.13, P = 0.33, T(58) = 0.10; Wake, R = -0.17, P = 0.19, T(58) = -1.32; 225 linear regression). Black line, average firing rate of each bin. (c) Mean firing rates of 226 vlPAG REM-on neurons during the first (light gray) and last (dark gray) NREM episodes 227 of each inter-REM interval. Each NREM episode was temporally compressed to unit 228 duration before the z-scored firing rate was averaged over episodes and across cells. The 229 firing rates during the last and first NREM episode were not significantly different (P =230 0.19, T(11) = -1.39, paired *t*-test). Shading, \pm s.e.m. (d) Mean firing rates of REM-on 231 units during the first (light purple) and last (dark purple) wake episodes of each inter-232 REM interval. Each wake episode was temporally compressed to unit duration before 233 averaging. The firing rate during the first wake period was significantly higher than 234 during the last one (P = 0.04, T(11) = 2.36, paired t-test). (e) Mean firing rates of REM-235 on units during all NREM (gray) and wake (purple) episodes. The firing rate showed no 236 systematic change during each NREM episode (R = 0.18, P = 0.063, T(108) = 1.88, linear 237 regression) and a slight decrease during the wake episode (R = -0.24, P = 0.01, T(108) = -238 2.61, linear regression).



241 Supplementary Figure 13. Homeostatic modulation of vIPAG GABAergic neuron 242 activity measured with calcium imaging. (a) Average normalized EEG spectrogram 243 (upper) and mean calcium activity ($\Delta F/F$, z-scored) of significant REM-off ROIs (lower, 244 n = 23) during the NREM \rightarrow REM \rightarrow Wake \rightarrow NREM transition sequence. Each REM, 245 NREM, or wake episode was temporally compressed to unit length before the calcium 246 activity was averaged over multiple sequences and across ROIs. Shading, ±s.e.m. Inset, 247 the calcium activity during the NREM episodes preceding REM (NR_{pre}) was significantly 248 higher than during NREM episodes following REM (NR_{post}; P = 0.025, T(22) = -2.39, 249 paired *t*-test). (b) Similar to (a), but for the NREM \rightarrow Wake \rightarrow NREM transition sequence. 250 Note that without the intervening REM episode, the calcium activities were not significantly different during NR_{pre} and NR_{post} (P = 0.085, T(22) = -1.81). (c) The 251 calcium activity of REM-off ROIs during the inter-REM interval following a long (> 90 252 253 s) REM episode was higher than that following a short (≤ 90 s) REM episode (P = 0.028, 254 T(22) = -2.38, paired *t*-test). (d) Correlation between REM episode duration and calcium 255 activity during the subsequent inter-REM interval. Each dot represents the activity of an 256 ROI during a single inter-REM interval (n = 189). Line, linear fit (R = 0.18, P = 0.016, 257 T(187) = 2.50, linear regression). (e) Correlation between calcium activity during inter-258 REM interval and duration of the interval. Each dot represents the activity of an ROI 259 during a single inter-REM interval (n = 189). Line, linear fit (R = 0.35, $P = 1.2 \times 10^{-6}$, 260 T(187) = 5.11). 261

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266 Supplementary Figure 14. Firing rates of REM-on neurons are not modulated by homeostatic REM sleep pressure. (a) Average normalized EEG spectrogram (upper) and 267 mean firing rate (z-scored) of significant REM-on neurons (lower) during the 268 269 NREM \rightarrow REM \rightarrow Wake \rightarrow NREM transition sequence. REM-on neurons include both 270 laser-unmodulated and laser-inhibited units (n = 12). Each REM, NREM, or wake 271 episode was temporally compressed to unit length before the firing rates were averaged 272 over multiple sequences and across neurons. Shading, ±s.e.m. Inset, the firing rates during the NREM periods preceding (NRpre) and following REM (NRpost) were not 273 274 significantly different (P = 0.51, T(11) = 0.68, paired *t*-test). (b) Similar to (a), but for the 275 NREM \rightarrow Wake \rightarrow NREM transition sequence. The mean firing rates of the REM-on 276 neurons during NR_{pre} and NR_{post} were similar (P = 0.06, T(11) = -2.11, paired *t*-test). (c) 277 The firing rate of REM-on neurons during the inter-REM interval following a short (≤ 90 278 s) REM episode were similar to that following a long (> 90 s) REM episode (P = 0.18, 279 T(6) = -1.51, paired *t*-test). (d) The firing rates of REM-on neurons during the subsequent 280 inter-REM interval showed no significant correlation with REM episode duration. Each 281 dot represents the activity of a unit during a single inter-REM interval (n = 56). Line, 282 linear fit (R = 0.07, P = 0.60, T(54) = 0.52, linear regression). (e) The duration of an 283 inter-REM interval was not significantly correlated with the firing rate of REM-on 284 neurons during the interval. Each dot represents the activity of a unit during a single 285 inter-REM interval (n = 56). Line, linear fit (R = 0.05, P = 0.70, T(54) = 0.39).

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References

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