

Authors	Year	Sample	Resistance criteria	Modality	Medication at time of scan	Diagnostic Criteria
Ahmed et al. <sup>1</sup>	2015	33 TR, 31 HC	Failed ≥2 Aps (≥1 atypical). Prolonged positive or negative symptoms of ≥moderate severity	MRI – Structural	Pre and post clozapine	DSM-IV-TR
Alonso-Solis et al. <sup>2</sup>	2015	19 TR-AVH, 14 R, 20 HC	Daily AVH AND failed ≥2 Aps (at dose equiv ≥600mg clozapine/day)	fMRI – resting state	Typical/Atypical Aps	DSM-IV-TR
Anderson et al. <sup>3</sup>	2015	15 CNR, 19 TR, 18 R, 20 HC	Lack of significant response despite trials (adequate dose and ≥6 wk duration) of ≥2 Aps	MRI- structural	Atypical Aps (including clozapine)	DSM-IV-TR
Arango et al. <sup>4</sup>	2003	45 TR	Residual positive (≥8 BPRS psychotic) or negative symptoms (≥20 SANS) despite ≥2 6wk AP trials. Prospective trial fluphenazine 20mg/day – subjects with >30% improvement excluded.	MRI – Structural	Clozapine or Haloperidol	DSM-III-R
Bartlett et al. <sup>5</sup>	1998	7 TR, 7 R	Unmedicated BPRS ≥ 50 or medicated BPRS ≥ 42 AND no worsening when unmedicated. Prospective 4-wk AP trial for patients with no records	FDG-PET (haloperidol challenge)	Not specified	DSM-III-R
Buchsbaum et al. <sup>6</sup>	1992	12 Scz	Not specified	FDG-PET	Pre/post clozapine/thioxene	Not specified
Cachia et al. <sup>7</sup>	2008	30 TR-AVH, 28 HC	Kane et al (1988)	MRI-Structural	Typical /atypical Aps	DSM-IV
Chakos et al. <sup>8</sup>	1995	8 clozapine, 7 typical Aps	Not specified	MRI- Structural	Clozapine and typical Aps	Not specified
Demjaha et al. <sup>9</sup>	2012	12 TR, 12 R, 12 HC	Conley et al (2001)	FDOPA-PET	Non clozapine Aps	DSM-IV
Demjaha et al. <sup>10</sup>	2014	6 TR, 8 R, 10 HC	Conley et al (2001)	1H-MRS	Typical and atypical Aps	DSM-IV
Ergun et al. <sup>11</sup>	2010	20 TR	Treatment refractory or AP intolerant	<sup>99m</sup> Tc-HMPAO SPECT	Pre and post clozapine	DSM-IV
Ertugrul et al. <sup>12</sup>	2009	22 TR	On clozapine due to treatment resistance or intolerance to previous Aps	<sup>99m</sup> Tc-HMPAO SPECT/ 1H-MRS	Typical and atypical Aps	DSM-IV
Fitzgerald et al. <sup>13</sup>	2007	3 TR, 4HC	Persistent severe refractory hallucinations that had not responded to ≥2 adequate courses of Aps	fMRI (word generation task)	Clozapine, amisulpride, sertraline, valproate, diazepam	Not specified
Friedman et al. <sup>14</sup>	1991	34 TR	Failure to respond to ≥2 different class Aps (each for ≥6 weeks, ≥ 800mg CPZ equiv). ≥4 on BPRS positive items	CT Scan	Clozapine	RDC
Galletly et al. <sup>15</sup>	2005	15 TR, 14 HC	Not specified	EEG	Pre and post clozapine	DSM-IV
Goldstein et al. <sup>16</sup>	2015	11 CNR, 16 TR, 15 R, 11 HC	NICE (2002), RANZCP (2005)	1H-MRS	Atypical Aps including clozapine	DSM-IV
Gross et al. <sup>17</sup>	2004	16 TR	Kane et al (1988)	EEG	Risperidone or olanzapine	SCID + chart review
Holleran et al. <sup>18</sup>	2014	19 TR, 19 HC	Failure to respond to ≥2 Aps (≥1 atypical), prolonged moderate/severe positive or negative symptoms.	MRI- DTI	Atypical Aps, antidepressants	DSM-IV
Honer et al. <sup>19</sup>	1995	42 TR (inc 3 Schizoaffective)	Poor response to adequate AP dose for ≥6 months. May et al. (1988) scale.	CT scan	Antipsychotic class not specified	DSM-III-R
Hoptman et al. <sup>20</sup>	2005	49 TR	Kane et al (1988)	MRI-Structural	Typical and atypical Aps (including clozapine)	SCID + chart review
Horton et al. <sup>21</sup>	2011	21 TR, 19 HC	Not specified	EEG	Clozapine	DSM-IV and SCID
Kikuchi et al. <sup>22</sup>	2014	26 TR	Poor tolerance or poor response despite ≥2 Aps (≥1 atypical), ≥ 4 weeks and ≥600mg CPZ equiv.	EEG	Pre and post clozapine treatment	Not specified
Klirova et al. <sup>23</sup>	2013	15 TR-AVH, 19HC	Non response to both typical and atypical Aps + ≥5 episodes AVH per day in the last month	FDG PET	Aps, Antidepressants, anticonvulsants	DSM-IV
Knott et al. <sup>24</sup>	2001	17 TR, 17 HC	Kane et al (1988)	EEG	Not specified	DSM-III-R
Knott et al. <sup>25</sup>	2002	17 TR	Kane et al (1988)	EEG	Pre/post clozapine	DSM-III-R
Konicki et al. <sup>26</sup>	2001	TR 26	Kane et al (1988)	CT scan	clozapine	DSM-III-R
Kubera et al. <sup>27</sup>	2014	10 TR-AVH, 10 nAVH, 14 HC	Persistent AVH despite ≥2 AP trials (adequate dose, ≥6 wks)	MRI Structural	Clozapine and other Aps	DSM-IV
Lacroix et al. <sup>28</sup>	1995	10 TR, 10 NR	35% or more and a 30% or less reduction, respectively, on the Brief Psychiatric Rating Scale (BPRS)	EEG	Not specified	DSM-IV

Lahti et al. <sup>29,30</sup>	2003, 2004	6 partially responsive, 10 HV	Not specified	<sup>15</sup> O-PET	Pre/post clozapine	DSM-III-R
Lauriello et al. <sup>31</sup>	1998	21 TR	Treatment intolerant or inadequate response.	MRI-Structural	Typical Aps	DSM-III-R
Lawrie et al. <sup>32</sup>	1995	20 TR, 20 R	May et al (1988)	MRI-Structural/SPECT	Not specified	DSM-IV
Lee et al. <sup>33,34</sup>	2006, 2008	25 TR-AVH, 23 nAVH	Persistent AVH for ≥2yrs	EEG	Conventional neuroleptics	DSM-IV
Maller et al. <sup>35</sup>	2012	52 TR, 182 MDD, 76 HC	Not specified	MRI-Structural	Not specified	DSM-IV
MacCrimmon et al. <sup>36</sup>	2012	64 TR	Kane et al (1988)	EEG	Pre/post clozapine, (+ other psychotropics)	DSM-IV
Milovan et al. <sup>37</sup>	2004	13TR, 13 HC	Kane et al (1988)	EEG	Not specified	DSM-IV
Mitelman et al. <sup>38</sup>	2005	13 TR, 24 R, 27 HC	Keefe et al (1987)	MRI-Structural	Not specified	DSM-IV
Molina et al. <sup>39</sup>	1996	24 TR	Lack of adequate response to ≥2 chemically different Aps, ≥800mg CPZ equiv	<sup>99m</sup> Tc-HMPAO SPECT	Pre/post clozapine	DSM-IV
Molina et al. <sup>40</sup>	1997a	36 TR, 28 HC	Kane et al (1988)	<sup>99m</sup> Tc-HMPAO SPECT	Not specified	DSM-IV-R
Molina et al. <sup>41</sup>	1997 b	39 TR (includes Molina et al. 1996 sample), 28 HC	Lack of response to ≥2 dissimilar Aps (≥800mg CPZ equiv), each one for ≥2 months over last year.	<sup>99m</sup> Tc-HMPAO SPECT	Pre/post clozapine	DSM-IV
Molina et al. <sup>42</sup>	2003	25 TR	Lack of response to ≥2 different Aps for ≥6 weeks in past 12 mths, dose ≥800mg CPZ equiv. Significant positive or disorganisation residual symptoms	MRI-structural FDG PET	Pre/post clozapine	DSM-III-R
Molina et al. <sup>43,44</sup>	2005, 2007	23 TR, 17NN, 18HC	Lack of adequate response to ≥2 Aps for ≥4 weeks in preceding 12 months, dose ≥800mg CPZ equiv. All had haloperidol for ≥4wks before scan	FDG PET	Pre/post clozapine	DSM-IV
Molina et al. <sup>45</sup>	2008a	30 TR, 19 R and 44 HC	Kane et al (1988)	MRI-structural, EEG	Haloperidol prior to first MRI, then olanzapine or clozapine	DSM-IV
Molina et al. <sup>46</sup>	2008b	10 TR, 10 HC	A poor response during the previous year to haloperidol or risperidone followed by lack of response to 4 week trial of risperidone	<sup>99m</sup> Tc-HMPAO SPECT	Pre/post clozapine	DSM-IV
Potkin et al. <sup>47</sup>	1994	18 Scz	Not specified	FDG PET	Pre/post clozapine	Not specified
Potkin et al. <sup>48</sup>	2003	15 TR	Not specified	FDG PET	Not specified	DSM-IV
Quarantelli et al. <sup>49</sup>	2014	20 (TR + CNR), 15 R, 16 HC	<20 % improvement AND total > 45 on BPRS AND ≥4 in ≥2 BPRS psychotic items AND ≥2 yrs poor functioning despite 6-8 weeks with ≥2 Aps and good adherence.	MRI –structural	Typical and atypical Aps (including clozapine)	DSM-IV-TR
Ramos et al. <sup>50</sup>	2001	10 TR, 10 R	Keefe et al (1990) and Brenner & Merlo (1995) criteria	EEG	Not specified	DSM-IV
Ravan et al. <sup>51</sup>	2015	47 TR, 66 HC	Kane et al (1988) criteria	EEG (auditory evoked)	Pre/Post clozapine	DSM-IV
Scheepers et al. <sup>52</sup>	2001a	26 TR	No response (CGI≥4) to ≥1 typical AP for ≥ 4 weeks OR severe EPSEs or TD	MRI-Structural	Pre/post clozapine	DSM-IV
Scheepers et al. <sup>53</sup>	2001 b	28 TR	No response (CGI≥4) to ≥1 typical AP for ≥ 4 weeks OR severe EPSEs or TD	MRI-Structural	Pre/post clozapine	DSM-IV
Sun et al. <sup>54</sup>	2009	42 TR, 42 MDD, 30 HC	Kane et al (1988) criteria	MRI-Structural	Clozapine	DSM-III-R
Tsekou et al. <sup>55</sup>	2015	7 TR	Bremner et al (1990) criteria AND ≥70 on PANSS, and schizophrenia diagnosis for≥2 years	Sleep EEG	Pre/post clozapine	DSM-III-R
Umbrecht et al. <sup>56</sup>	1998	11 TR, 6 R, 13 HC	Partially refractory –≥4 on any of the 4 BPRS positive symptom items	EEG	Clozapine and haloperidol	DSM-III-R
Vercammen et al. <sup>57</sup>	2010	27 TR-AVH, 27 HC	Daily AVH, ≥2 adequate AP trials	MRI-Resting state	Aps and benzodiazepines	DSM-IV
Wolf et al. <sup>58,59</sup>	2011, 2012	10 TR-AVH, 10 R, 14 HC	Kane et al (1988)	MRI-Resting state	Clozapine	DSM-IV
Zhao et al. <sup>60</sup>	2006	21 TR, 40 HC	Andreasen's negative symptom profile	SPECT	Medication free, follow up on clozapine	DSM-IV
Zugman et al. <sup>61</sup>	2013	61 TR, 67 R, 80 HC	Kane et al (1988)	MRI-Structural	Typical and atypical Aps (including clozapine)	DSM-IV

**Table 1: Study characteristics**

1H-MRS – proton magnetic resonance spectroscopy; AP –antipsychotic; AVH – Auditory verbal hallucinations; BPRS – Brief Psychiatric Rating Scale; CNR – clozapine non responder; CPZ equiv – Chlorpromazine equivalents; DSM – Diagnostic and Statistical Manual of Mental Disorders; EEG – electroencephalogram; HC – healthy controls; MDD – major depressive disorder; MRI – Magnetic Resonance Imaging; PET – Positron Emission Tomography; Individuals with schizophrenia without auditory hallucinations; R – antipsychotic responders; Scz- unspecified whether responder/resistant; SPECT- Single-photon emission computed tomography

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