

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Anderson 1



Section 1.	Identifying Inform	nation						
1. Given Name (Fil Erica	rst Name)	2. Surname (Last Name) Anderson		3. Date 10-October-2016				
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Nar Surya Bhatt	me				
5. Manuscript Title Results From A N		nents For Care Improveme	nt Initiative for COPD Readr	missions				
6. Manuscript Ider	ntifying Number (if you kr	now it)						
			_					
Section 2.	Section 2. The Work Under Consideration for Publication							
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, da	a third party (government, cor ta monitoring board, study de:	mmercial, private foundation, etc.) for sign, manuscript preparation,				
Section 3.	Relevant financial	activities outside the s	submitted work.					
of compensation clicking the "Add) with entities as descri	ibed in the instructions. Us port relationships that wer	se one line for each entity; a	ationships (regardless of amount dd as many lines as you need by nonths prior to publication.				
Section 4.	Intellectual Proper	rty Patents & Copyric	ghts					
Do you have any			oadly relevant to the work?	☐ Yes 🗸 No				

Anderson 2



Section 5. Polationships not severed above
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Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Anderson has nothing to disclose.

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Anderson 3



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Bhatt 1



Section 1. Identifying Inform					
Identifying Inform	nation				
Given Name (First Name) Surya	2. Surname (Last Name) Bhatt	3. Date 11-October-2016			
4. Are you the corresponding author?	✓ Yes No				
5. Manuscript Title Results From A Medicare Bundled Payr	ments For Care Improvement Initia	tive for COPD Readmissions			
6. Manuscript Identifying Number (if you k	now it)				
Section 2. The Work Under C	onsideration for Publication				
any aspect of the submitted work (includin statistical analysis, etc.)? Are there any relevant conflicts of inter	g but not limited to grants, data moni	party (government, commercial, private foundation, etc.) for toring board, study design, manuscript preparation,			
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of compensation) with entities as descri	ribed in the instructions. Use one I port relationships that were prese rest? Yes No	ou have financial relationships (regardless of amount ine for each entity; add as many lines as you need by ent during the 36 months prior to publication.			
Name of Entity	Grant? Personal Non-Finan	Cial Other? Comments			
NIH		NIH K23HL133438			
	rty Patents & Copyrights				
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Bhatt 2



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Dr. Bhatt reports grants from NIH, outside the submitted work; .

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Blackburn 1



Section 1. Identifying Inform	nation				
Given Name (First Name) Cindy	2. Surname (Last Name) Blackburn	3. Date 07-October-2016			
4. Are you the corresponding author?	☐ Yes 🗸 No	Corresponding Author's Name Surya Bhatt			
5. Manuscript Title Results From A Medicare Bundled Payments For Care Improvement		nt Initiative for COPD Readmissions			
6. Manuscript ldentifying Number (if you k	now it)				
Section 2. The Work Under C	Consideration for Public	cation			
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,			
Section 3. Polovant financial					
Relevant financial	activities outside the s	submitted work.			
of compensation) with entities as descri	ribed in the instructions. Use port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.			
Are there any relevant connicts of litter	C3C: 1 C3 V 110				
Section 4. Intellectual Brane					
Intellectual Prope	rty Patents & Copyric	yhts			
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo					

Blackburn 2



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Section 1. Identifying Information	ation				
1. Given Name (First Name) Mark	2. Surnar Dransfie	me (Last Nar eld	me)		3. Date 11-October-2016
4. Are you the corresponding author?	Yes	✓ No	Correspond Surya Bha	_	or's Name
5. Manuscript Title Results From A Medicare Bundled Paymo	ents For C	Care Improv	ement Initiative	for COPD	Readmissions
6. Manuscript Identifying Number (if you kno	ow it)				
Section 2. The Work Under Co	nsidera	tion for P	ublication		
Did you or your institution at any time received any aspect of the submitted work (including statistical analysis, etc.)?				_	•
Are there any relevant conflicts of interes	st? 🗸	Yes	No		
If yes, please fill out the appropriate info			u have more thar	n one enti	ity press the "ADD" button to add a row.
Excess rows can be removed by pressing	the "X" b	utton.			
Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
NHLBI	√				
			_		
Section 3. Relevant financial a	ctivities	s outside [.]	the submitted	work.	
Place a check in the appropriate boxes ir of compensation) with entities as described clicking the "Add +" box. You should rep	ed in the	instruction	ns. Use oné line fo	or each ei	ntity; add as many lines as you need by
Are there any relevant conflicts of interes	st?	Yes	No		
If yes, please fill out the appropriate info	rmation b	elow.			
Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Boehringer Ingelheim		✓		✓	Consulting and contracted clinical trials
AstraZeneca		√		✓	Consulting and contracted clinical trials



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
GlaxoSmithKline		✓		✓	Consulting and contracted clinical trials
Genentech		√			Consulting
Novartis				✓	contracted clinical trials
PneumRx				√	contracted clinical trials
Pulmonx				✓	contracted clinical trials
Yungjin				✓	contracted clinical trials
Section 4. Intellectual Propert	y Pate	ents & Coj	oyrights		
Do you have any patents, whether plann Section 5. Polationships not s	·		ed, broadly releva	nt to the	work? ☐ Yes 🕡 No
Relationships not covered above					
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Dr. Dransfield reports grants from NHLBI, during the conduct of the study; personal fees and other from Boehringer Ingelheim, personal fees and other from AstraZeneca, personal fees and other from GlaxoSmithKline, personal fees from Genentech, other from Novartis, other from PneumRx, other from Pulmonx, other from Yungjin, from null, outside the submitted work; .					



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1

lyer



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Pending: The patent has been filed but not issued

Issued: The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Kirkpatrick 1



Section 1. Identifying	g Information				
1. Given Name (First Name) deNay	2. Surname (Last Name) Kirkpatrick	3. Date 10-October-2016			
4. Are you the corresponding au		Corresponding Author's Name Surya Bhatt			
5. Manuscript Title Results From A Medicare Bundled Payments For Care Improvement		itiative for COPD Readmissions			
6. Manuscript Identifying Numb	er (if you know it)				
Section 2. The Work	Under Consideration for Publication	on			
	k (including but not limited to grants, data m	rd party (government, commercial, private foundation, etc.) for onitoring board, study design, manuscript preparation,			
Section 2					
Section 3. Relevant f	inancial activities outside the subr	nitted work.			
of compensation) with entitie	s as described in the instructions. Use or should report relationships that were pr	r you have financial relationships (regardless of amount e line for each entity; add as many lines as you need by esent during the 36 months prior to publication.			
Section 4. Intellectua	al Property Patents & Copyrights				
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo					

Kirkpatrick 2



Section 5. Relationships not sovered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
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Leach 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Lauren	2. Surname (Last Name) Leach	3. Date 11-October-2016
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Surya Bhatt
5. Manuscript Title Results From A Medicare Bundled Payr	ments For Care Improveme	nt Initiative for COPD Readmissions
6. Manuscript Identifying Number (if you k	now it)	
Section 2. The Work Under C	Consideration for Public	cation
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Place a check in the appropriate boxes of compensation) with entities as descri	in the table to indicate who ribed in the instructions. Us sport relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.
Section 4. Intellectual Prope	rty Patents & Copyric	yhts
Do you have any patents, whether plan	nned, pending or issued, br	oadly relevant to the work? Yes V No

Leach 2



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Nichols 1



Section 1.	Identifying Inform	ation		
1. Given Name (Firs	st Name)	2. Surname (Last Name) Nichols		3. Date 11-October-2016
4. Are you the corre	esponding author?	Yes ✓ No	Corresponding Author's Nam Surya Bhatt	e
5. Manuscript Title Results From A M	edicare Bundled Paym	nents For Care Improveme	nt Initiative for COPD Readm	issions
6. Manuscript Iden	tifying Number (if you kr	now it)		
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Section 2.	The Work Under Co	onsideration for Public	cation	
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Place a check in the of compensation) clicking the "Add	ne appropriate boxes i with entities as descri	bed in the instructions. Use port relationships that wer	ether you have financial relat	tionships (regardless of amount ld as many lines as you need by onths prior to publication.
Section 4.	Intellectual Proper	ty Patents & Copyric	yhts	
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work?	Yes ✓ No

Nichols 2



Section 5. Relationships not solvered above
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Parekh 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fir Trisha	rst Name)	2. Surname (Last Name) Parekh		3. Date 07-October-2016
4. Are you the cor	responding author?	Yes 🗸 No	Corresponding Author's Nar Surya Bhatt	me
5. Manuscript Title Results From A M		nents For Care Improveme	ent Initiative for COPD Readr	missions
6. Manuscript Ider	ntifying Number (if you kr	now it)		
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Section 2.	The Work Under Co	onsideration for Publi	cation	
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Section 4.	Intellectual Proper	rty Patents & Copyri	ghts	
Do you have any	•		roadly relevant to the work?	☐ Yes 🗸 No

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Sanders 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Sanders		Date -October-2016
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Surya Bhatt	
5. Manuscript Title Results From A N		nents For Care Improveme	nt Initiative for COPD Readmiss	sions
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Section 4.	Intellectual Prope	rty Patents & Copyric	ıhts	
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work?	Yes ✓ No

Sanders 2



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Wells 1



Section 1.	Identifying Inforn	nation					
1. Given Name (Fi	, ,		ne (Last Name	·)		3. Date 11-October-2016	
4. Are you the cor	responding author?	Yes	✓ No	Correspond Surya Bha	_	or's Name	
5. Manuscript Title Results From A N	e Medicare Bundled Payn	nents For C	are Improvei	ment Initiative	for COPD	Readmissions	
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Name of Entity		Grant?	Personal N	Non-Financial Support?	Other?	Comments	
GSK					✓	contract to conduct clinical trials	_
AstraZeneca					✓	contract to conduct clinical trials	
Gilead		✓					
Quintiles					✓	Consultant	
NIH/NHLBI		✓				K08 HL123940	
Cystic Fibrosis Found	ation	✓				SORSCH15RO	

Wells 2



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
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Dr. Wells reports other from GSK, other from AstraZeneca, grants from Gilead, other from Quintiles, grants from NIH/NHLBI, grants from Cystic Fibrosis Foundation, outside the submitted work; .

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