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## **BMJ Open**

## Protocol for a Single-Centre Prospective Observational Study of Postoperative Delirium Following Total Joint Arthroplasties among South East Asians

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#### **TITLE**

Protocol for a Single-Centre Prospective Observational Study of Postoperative Delirium Following Total Joint Arthroplasties among South East Asians

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#### **ABSTRACT**

#### Introduction

Postoperative delirium is a serious and common complication in older adults following Total Joint Arthroplasties (TJA). It is associated with increased risk of postoperative complications, mortality, length of hospital stay and postdischarge institutionalisation. Thus, it has a negative impact on the health-related quality of life of the patient and poses a large economic burden. This study aims to characterise the incidence of postoperative delirium following TJA in the South East Asian population, and investigate any risk factors or associated outcomes.

## Methods and analysis

This is a single-centre prospective observational study, recruiting patients between 65 and 90 years old undergoing elective Total Knee Arthroplasty or Total Hip Arthroplasty. Exclusion criteria included patients with clinically diagnosed dementia. Preoperative and intraoperative data will be obtained prospectively. The primary outcome will be the presence of postoperative delirium assessed using the Confusion Assessment Method on postoperative day 1, 2, 3 and day-of-discharge. Other secondary outcomes assessed postoperatively will include hospital outcomes, pain at rest, knee function, health-related quality of life and POMS-defined morbidity. Data will be analysed to calculate the incidence of postoperative delirium. Potential risk factors and any associated outcomes of postoperative delirium will also be determined.

#### **Ethics and dissemination**

This study has been approved by the Singapore General Hospital Institutional Review Board (SGH IRB) (CIRB Ref: 2017/2467) and is registered on the ClinicalTrials.gov registry (Identified: NCT03260218). An informed consent form will be signed by all participants before recruitment and translators will be made available to non-English speaking participants. The results of this study will be presented at international conferences and submitted to a peer-reviewed journal. The data collected will also be made available in a public data repository.

#### STRENGTHS AND LIMITATIONS

## **Strengths**

- 1. This study is the first to evaluate the incidence of postoperative delirium in the elderly above 65 years old following TKA and THA in Singapore.
- Association of variables to occurrence of postoperative delirium that have not been well studied such as hand grip strength, STOP-Bang score and long-term outcomes including knee function and HRQoL will be analysed.
- 3. The Confusion Assessment Method (CAM) will be used, which is a gold standard measure for detection of delirium.

#### Limitations

- 1. The study is conducted in a single centre in Singapore which may limit the generalisability of the results of the study.
- Delirium will be only assessed once a day, and the presence of delirium may be missed due to the fluctuating nature of the condition.



#### INTRODUCTION

Delirium is a neurocognitive disorder characterised by a disturbance in attention, level of consciousness and cognition, in which symptoms are acute in onset and may fluctuate in severity throughout the day<sup>1</sup>. Delirium occurs as a serious and common perioperative complication in older adults following total joint arthroplasty (TJA). A recent meta-analysis by Scott et al reported an incidence of 17%, but the rates reported by individual studies varied substantially<sup>2</sup>. In particular, a study of the Asian population in China by Chen et al reported an incidence of 16.5% following TJA in elderly above 60 years old<sup>3</sup>. However, the incidence has not yet been well-documented in the South-East Asian population, exposing a knowledge gap. With the demand for total hip arthroplasty (THA) expected to rise by almost 2-fold and that for total knee arthroplasty (TKA) by almost 7-fold by 2030<sup>4</sup>, postoperative delirium is likely to become a significant health burden.

Postoperative delirium has been associated with increased morbidity and cost. Patients with delirium after surgery have an increased risk of major postoperative complications and increased mortality (8% vs 2%)<sup>5,6</sup>. They also experience significantly longer hospital stay (median 6 days longer) and are at increased risk of subsequent postdischarge institutionalisation (OR 1.77-3.29)<sup>6-8</sup>, which are associated with higher total procedural cost<sup>9</sup>. Therefore, postoperative delirium can not only impact the health-related quality of life of the patient, but also pose a large economic burden both in the short and long term. Poor compliance with postoperative therapy may be a reason for the worse outcomes associated with postoperative delirium. Low cognitive function has been shown to be a significant predictor of exercise adherence in older women<sup>10</sup>, which is part of standard rehabilitation after TKA.

Identifying risk factors of postoperative delirium may be important for preventing its occurrence and consequences, and guide treatment of patients with delirium. As most TJA operations are elective, there may be opportunity to address the modifiable risk factors to optimise the patient before the surgery or implement perioperative management strategies which can decrease the negative outcomes of postoperative delirium. Age has been reported as an important predisposing risk factor<sup>11,12</sup>, which makes the population under study particularly at risk of postoperative delirium. The average age of this population is 71, and majority of patients are 65 years or older (81.3% for TKR and 69.5% for THR)<sup>9</sup>. Other reported predisposing risk factors include pre-existing cognitive impairment (OR 2.6-6.5), poor physical status (OR 1.7-5.7), alcohol abuse (OR 1.9-5.5)<sup>7,11,12</sup>. A meta-analysis by Yuan et al identified precipitating risk factors, such as low postoperative O<sub>2</sub> saturation (OR 1.59-4.18) and pain at rest (OR 1.63-6.20)<sup>13</sup>. However, few studies have investigated the risk factors associated with postoperative delirium following TJA specifically.

Given the limited knowledge about postoperative delirium especially in the South East Asian population, together with the increasing number of older adults undergoing TJA, this study aims to characterise the incidence of delirium in older adults undergoing elective TJA. We hypothesise that the incidence detected will be similar to that in Western studies, as the incidence in the Asian population reported in the study in China was comparable to the values seen in the Western population. This study also aims to identify risk factors of postoperative delirium following elective TJA, including demography, comorbidities, clinical laboratory data and drugs used in the perioperative period. This will enable the provision of better perioperative care for prospective patients undergoing TJA.

#### **METHODS AND ANALYSIS**

## Study design

Institutional Review Board approval was obtained (Singhealth CIRB 2017/2467) prior to starting the study. This is a single centre, prospective observational study conducted at a tertiary public hospital in Singapore (Singapore General Hospital (SGH)). SGH is the largest hospital in Singapore with 1,597 beds in 2013<sup>14</sup>. 1,500 TKA surgeries were performed in SGH in 2007, accounting for 65% of all TKA surgeries in Singapore<sup>15</sup>.

## Study population

Patients aged between 65 and 90 undergoing elective total joint (hip or knee) replacement surgery in Singapore General Hospital will be screened for eligibility. Exclusion criteria includes patients who are unable to give their own consent for the surgery and anaesthesia. Patients with clinically diagnosed dementia will also be excluded from the study as they are deemed not to have capacity for consent.

All eligible patients will be identified from the appointment list of attendees of the preoperative evaluation clinic (PEC) at SGH where the patients attend for preoperative assessment and counselling by the anaesthetists. Patients between 65 and 90 years old undergoing TKA or THA will be approached and invited to enrol into the study. Informed consent will be obtained then.

## Preoperative data

We will collect the patients' baseline characteristics preoperatively. This will allow for the identification of risk factors or correction of confounding factors during analysis of the results.

## Data on cognitive status

Before the operation, each patient will be interviewed to assess their baseline cognitive status. The Mini-Mental State Examination (MMSE) will be used, which is a 11-question screening tool used to evaluate the cognitive aspects of mental function<sup>16</sup>. It measures domains of cognitive function including memory, attention, language, praxis and visuospatial ability. A score of 0-30 can be obtained with higher values denoting better cognitive function. A score of <24 suggests cognitive impairment. The test was adapted for use in Singapore, and the changes and reasons for the changes shown in Figure 1 below. In addition, the test will be administered in English, Chinese or Malay, according to the language the participant is most well-versed in. The Chinese version of MMSE that will be used was previously validated in Shanghai<sup>17</sup> and in Singapore<sup>18</sup>. The Malay version of MMSE that will be used was developed by translation from the English version. The Chinese and Malay versions of MMSE have similar test questions and are scored the same way as the English version, but there some differences shown in Figure 2. However, a recent study in Singapore has shown that there were significant ethnic differences in unadjusted MMSE scores using the different versions of MMSE. These differences were not eliminated after accounting for known correlates of MMSE performance such as socioeconomic status, comorbid illnesses, functional health status, and health-related behaviours<sup>19</sup>.

Item no.	Original version	Adapted version	Reasons
1	"What is the season?"	Without looking at your watch, what time is it?"	There are no seasons in Singapore.
2a	"What county are we in?";	"What area/street are we in?";	Singapore is a city country; "Singapore" would only the only correct answer.
2b	"What town/city are we in?"	"Which part of Singapore (North/South/East/West) are we in?"	Singapore is a city country; "Singapore" would only the only correct answer.

Figure 1 - Changes in the adapted version for use in Singapore

Item no.	English version	Chinese version	Malay version
4	Spell the word "WORLD"	请把这句话倒说一遍 – "天	
	backwards	上有月亮"	"DUNIA"
7	Repeat the following – "no	请清除的重复一遍 - "四十	Ulangan perkataan – "da
	ifs, ands, or buts"	四只石狮子"	dahulu, kini dan
			selamanya"

Figure 2 - Differences between the English, Chinese and Malay MMSE

CAM will also be performed prior to the operation to obtain the patient's baseline score. CAM is a screening instrument for delirium intended for use by non-psychiatrically-trained clinicians based on the Diagnostic and Statistical Manual of Mental Disorders (DSM)-III-R criteria<sup>20</sup>. It involves an interview whereby delirium can be diagnosed using the CAM algorithm based on 4 criteria – (1) acute onset or fluctuating course, (2) inattention, (3) disorganised thinking and (4) altered level of consciousness. Delirium is said to be present if criteria 1 and 2 and either of 3 or 4 are present. CAM has a sensitivity of 94-100%, specificity of 90-95%, and high inter-observer reliability<sup>21</sup>. This enables a new case of delirium in the postoperative period to be detected.

- 1a. **Acute onset**: Is there evidence of an acute change in mental status from the patient's baseline?

  OR
- 1b. **Fluctuating course**: Did the (abnormal) behavior fluctuate during the day, that is tend to come and go or increase and decrease in severity?

#### AND

2. Inattention: Did the patient have difficulty focusing attention, for example being easily distractible, or having difficulty keeping track of what was being said?

#### AND

3. **Disorganised thinking**: Was the patient's thinking disorganized or incoherent, such as rambling or irrelevant conversation, unclear or illogical flow of ideas, or unpredictable switching from subject to subject?

OR

4. Altered level of consciousness: Overall, how would you rate this patient's level of consciousness? Any answer other than 'alert' indicates an abnormal level of consciousness.

Figure 3 – CAM criteria: at least one criteria on each of the 3 rows must be met for a positive result

#### Other data

Sensory impairment will be assessed during the preoperative interviews. Patients will be asked to wear their visual or hearing aids during these interviews. A patient will be considered to have visual or hearing impairment if the research member conducting the interview is unable to perform the interview normally due to the sensory impairment, such as raising his/her voice for a patient with impaired hearing. The preoperative MMSE assessment provides a useful tool in assessing for visual impairment as it has several vision-dependent items (naming objects, following a written command, instructions to handle a piece of paper, writing a sentence, copying a diagram)<sup>22</sup>.

The grip strength of each patient will also be measured using the JAMAR® Plus+ Digital Hand Dynamometer (Sammons Preston Inc, Bolingbrook, IL). Hand dynamometry has acceptable reliability and validity for measurement of grip strength<sup>23</sup>, which can serve as an indicator of muscle function and physical fitness. The normative value of hand grip strength for elderly in Singapore has recently been published, decreasing from 18.6kg and 29.3kg for females and males respectively in the 65-69 age group to 12.4kg and 18.5kg in the 85+ age group<sup>24</sup>.

The patient baseline characteristics will be obtained from the medical records. Perioperative data is available through the PEC database as well as the Orthopaedic Diagnostic Centre (ODC) total joint registry, which will be prospectively entered into the REDCap™ database. This will include data regarding patient's demographics, smoking history, alcohol history, pre-existing medical conditions, preoperative medications.

Each patient will also be rated preoperatively by an anaesthesiologist in the PEC based on the American Society of Anaesthesiologists (ASA) physical status classification<sup>25</sup>. It is a scale from 1 to 5, where 1 represents a completely healthy fit patient and 5 representing a moribund patient who is not expected to live 24 hour with or without surgery. For our analysis, we will be calculating each patient's perioperative risk based on the Charlson

Comorbidity Index (CCI)<sup>26</sup>. 19 different comorbid medical conditions are assigned weights of 1, 2, 3 and 6 according to the degree to which they predicted mortality, and the sum of these values gives the final score. It is a valid method of estimating risk of mortality resulting from comorbidities<sup>27</sup>. The STOP-Bang score will also be calculated for each patient. The STOP-Bang questionnaire was a good screening tool for diagnosing obstructive sleep apnoea, with a sensitivity of 89.0% and accuracy of 79.1%<sup>28</sup>. Preoperative laboratory results, including data about haemoglobin or creatinine level, will also be collected.

## Intraoperative data

Data regarding the surgery will be collected. Intraoperative data includes type of arthroplasty performed (TKA or THA), type of anaesthesia (spinal, general or other anaesthesia), use of femoral nerve block, intraoperative drug use, tourniquet time, intra-articular injections and blood transfusion (number of pints transfused). Occurrence of hypotension, which is defined as a mean arterial pressure <60mmHg, and its duration will also be recorded.

## Postoperative data

#### Primary outcome

The primary outcome will be the presence of postoperative delirium following TJA. Each patient will be assessed for delirium on postoperative day (POD) 1, 2 and 3 in the wards at 7am as well as the day of discharge. Delirium will not be evaluated on POD 0 due to difficulty in differentiating delirium from the effects of residual anaesthesia.

The Confusion Assessment Method (CAM) will be used to detect postoperative delirium and delirium severity. Delirium is said to be present if the patient meets the CAM criteria for any of the postoperative assessments. Patients assessed to have delirium will be referred to the psychiatrists for further management.

## Short-term secondary outcomes

Postoperative complications will be assessed by the Postoperative Morbidity Survey (POMS). This is a 9-point survey that can be easily used by clinicians to characterise short-term postoperative morbidity in their respective settings<sup>29</sup>. It is designed to only identify morbidity of a type and severity that could prolong LOS. Using POMS, postoperative morbidity outcomes can be dichotomised into 2 categories – the absence and presence of morbidity. Initial data from our centre demonstrated a median LOS of 4 days following primary unilateral TKA<sup>30</sup>. This was assumed to represent an uncomplicated postoperative course, and any POMS-defined morbidity on POD 0-4 might be expected as part of the usual course of surgery. POMS will thus be assessed on POD 5, as it will have face validity in this cohort for identifying patients experiencing postoperative complication(s). It has also been reported to have good inter-rater reliability and acceptability to patients<sup>31</sup>.

Other postoperative outcomes that will be obtained include postoperative nausea and vomiting (PONV), length of stay (LOS) and 30-day readmission rates. 30-day readmission is defined as readmission within 30 days of initial admission. The reason for readmission will also be obtained.

#### Long-term secondary outcomes

Longer-term outcomes such as functional and health-related quality-of-life (HRQoL) outcomes will also be recorded by the ODC total joint registry at 6 months, 1 year, 2 years and 5 years postoperatively. The ODC tracks clinical outcome measures during pre- and postoperative functional assessments of the patients in SGH. Their total joint registry contains data about outcomes from knee and hip arthroplasties.

Knee function will be measured using the new Knee Society Knee Score (KSKS) and Function Score (KSFS)<sup>32</sup>. The physician-derived KSKS measures alignment, stability, joint motion and symptoms experienced. The patient-derived KSFS evaluates use of walking aids and supports, ability to complete standard activities of daily living and discretionary activities. The KSKS and KSFS each range from 0 (worst) to 100 (best). Both provide a validated rating of the functional outcome of the patient and knee prosthesis after TKA<sup>33</sup>. In addition, the Oxford Knee Score (OKS)<sup>34</sup> will also be used, which is a 12-item, patient-assessed questionnaire designed specifically for use in patients undergoing TKA [7]. It assesses an individual's pain and physical disability. Each item is scored from 1 (least difficulty/severity) to 5 (most difficulty/severity), and individual item scores are summed to yield an overall score ranging from 12 (no pain or limitation) to 60 (severe pain or limitation). A lower OKS indicates a better outcome. It has good reliability, construct and content validity, and sensitivity to clinically important changes over time<sup>34,35</sup>. It correlates strongly with pain but less with postoperative functioning<sup>36</sup>.

HRQoL will be assessed based on the 36-Item Short Form Health Survey (SF-36)<sup>37</sup> to obtain a baseline score. It consists of 36 questions categorised into 8 domains (physical functioning, bodily pain, role limitations due to physical health problems, role limitations due to personal or emotional problems, emotional well-being, social functioning, energy/fatigue, and general health perceptions). Higher scores indicate better health status and quality of life.

Days alive and out of hospital (DAOH) will also be determined for each patient at 1 month, 6 months and 12 months to assess overall impact of postoperative delirium on morbidity and mortality. DAOH will be calculated based on death date (if present) and duration of all subsequent hospitalisations until the follow-up date. This will be recorded as a percentage by dividing DAOH by total potential follow-up period, which is the time period between the operation and the respective dates of follow-up (1 month, 6 months and 12 months). %DAOH is a useful measure as it emphasises the deaths occurring early in follow-up and takes into account the severity (duration) of any hospitalisation.<sup>38</sup>

#### Postoperative risk factors

Other postoperative data will be collected as variables for risk factors.

Postoperative pain at rest will be evaluated using the pain Visual Analog Scale (VAS) during the same visit as CAM on POD 1, 2 and 3. Each patient will score pain experienced at rest on a scale, with 0 = no pain and 10 = maximum pain.

Sensory impairment will also be assessed during the postoperative interviews similar to the preoperative assessments.

The flowchart shown in Figure 4 depicts a patient's journey starting from enrolment in the PEC.

Patients are enrolled into the study at PEC Eligibility checked and informed consent obtained

#### Preoperative assessments:

- 1. CAM to obtain baseline score
- 2. MMSE to assess of cognitive status
- 3. Sensory impairment assessment
  - 4. Grip strength measurement
- 5. Other data obtained prospectively from medical records

Patient will undergo TJA operation Intraoperative data obtained prospectively from medical records

#### Postoperative assessments on POD 1, 2 and 3:

- 1. CAM to assess the presence and severity of delirium
  - 2. Pain VAS to score pain experienced at rest
- 3. Other data obtained prospectively from medical records

#### Other postoperative assessments:

CAM on day-of-discharge to assess presence and severity of delirium
 POMS on POD 5 to assess presence of postoperative morbidities

#### Postoperative assessments at 6 months, 1 year, 2 years and 5 years:

- 1. KSKS ans KSFS to assess knee function
  - 2. OKS to assess knee function
    - 3. 36-SF to assess HRQoL
- 4. %DAOH to assess impact on morbidity and mortality

Figure 4 - Flowchart depicting a patient's timeline during the study

#### Data management

Patient data will be kept confidential throughout the study. All electronic study data entry, storage and analysis will be done according to institutional data security policy, using password protected data in secure systems.

The patient data collected will be de-identified and the key kept securely separated with access limited to principal investigator and co-investigators. A study-related identification number given to each patient will be used on the case report form. Research members will enter the de-identified data into the REDCap™ (Research Electronic Data Capture) tool hosted on a secure server at Singapore General Hospital³6. The hardcopy of the research data will be securely stored within the department. The softcopy of research data will be saved in a password-protected file and will be stored in institution approved login-protected system and encrypted hard-drive. Only study members will have access to the data.

#### Power and sample size calculations

The sample size will be calculated based on the incidence of postoperative delirium. Based on existing literature, the estimated incidence of postoperative delirium following TJA is

around 10%. Using this estimate, a minimum sample size of 150 patients will be needed to detect the incidence with a precision of 5% and power of 80%. The sample size of 200 patients is needed to measure incidence to account for attrition.

However, this study will also be analysing the factors which may be correlated to postoperative delirium by logistic regression. A study population size of 500 will thus be targeted to be able to run a multiple logistic model while minimising the limitation of a small number of events of postoperative delirium.

## Statistical analyses

The incidence of postoperative delirium following TJA using the standard formula – the number of patients diagnosed to have postoperative delirium divided by the total number of patients in the study, and the result expressed as a percentage.

Potential risk factors of postoperative delirium will also be identified by comparing the perioperative data recorded between the patients with and without postoperative delirium. Data will first be summarised using descriptive statistics including mean, standard deviation (SD), median, range and frequency tables. Univariate analyses will then be used identify the differences between the two groups. Data of continuous variables will be compared using the Student's t-test (normally distributed data) or the Wilcoxon rank sum test (not normally distributed data). Data of categorical variables will be compared by Pearson Chi-square test or Fisher's exact test.

The variables which are statistically significant or close to significant (p value <0.05 or <0.1) between the two groups of patients will then be selected for inclusion in a multivariate logistic regression model. This will determine the independent predictors of postoperative delirium. The final model will be determined by sensitivity analysis. The sensitivity analysis can help us to understand the contribution of each parameter to the model outputs, investigate which parameter will have the biggest influence and then refine the model to obtain the final model which is statistically and clinically meaningful. Odds ratio (OR) will be used to describe the relative risks of postoperative delirium.

Postoperative outcomes which are associated with the incidence of postoperative delirium will also be examined by comparing patients with and without postoperative delirium. The postoperative POMS score, incidence of PONV, LOS, incidence of 30-day readmission and %DAOH will be similarly compared using Student's t-test and Person Chi-square tests as appropriate.

For the long-term postoperative outcomes, the median postoperative SF-36, KSKS, KSFS and OKS scores at 6 months, 1 year, 2 years and 5 years will be compared to the median preoperative baseline scores using the Wilcoxon matched pairs sign rank test. The tests with a change greater than the minimally clinically important difference (MCID) will be identified. The MCID values for each test will be obtained from the pre-existing literature. These variables will then be analysed by analysis of covariance (ANCOVA) to identify predictors of the long-term postoperative test scores. All predictor variables were incorporated into the multivariate ANCOVA model. Analyses were performed separately for the various tests that demonstrated changes greater than MCID.

#### **ETHICS AND DISSEMINATION**

This study has been approved by the Singapore General Hospital Institutional Review Board (SGH IRB) (CIRB Ref: 2017/2467) and is registered on the ClinicalTrials.gov registry (Identified: NCT03260218). In the event of any important protocol modifications, all investigators, SGH IRB and trial participants will be notified.

The results of this study will be presented at international conferences and submitted to a peer-reviewed journal. The data collected will also be made available in a public data repository.

All eligible participants will be approached by the research assistant during their visit to the preoperative evaluation clinic. They will be given an explanation about the study, a patient information sheet and a consent form. They will then be given an ample time to consider if they would like to participate in the study. They will also be allowed to ask questions freely. If the participant expresses an interest to participate in the study, a written consent will be obtained. The consent forms are in English. However, participants from non-English speaking backgrounds will be provided a translator. For illiterate participants, an accompanying family member will be approached to verify and witness the consent process.

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#### **COMPETING INTEREST STATEMENT**

None.

#### **APPENDIX**

- 1. Preoperative data collection form (DCF)
- Postoperative data collection form
- 3. POMS Instrument

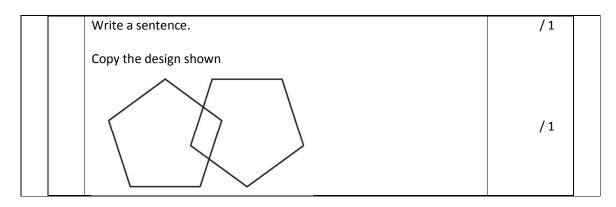


## Appendix 1 - Preoperative DCF

Postoperative Delirium Following Total Joint Arthroplasties among South		
East Asians		
Serial No:		
Date of preoperative assessment:		
DEMOCRABUIC		OP DETAILS
<b>DEMOGRAPHIC</b> Age:		Surgeon:
Gender: Male	 Female □	Type of Operation:
	Malay ☐ Indian ☐ Others ☐	TKR  THR
Language:		Unilateral L □/ R □ OR Bilateral □
	Mandarin □ Malay □	Primary □ Revision □
		Pre-operative Dx:
Highest education	completed:	
I I a Carlos	<b>~</b>	
Height:	m	
Weight: BMI:		
DIVII.		
		Grip Strength:
	EDICAL CONDITION	
ASA:		Smoking □
Charles Carrents	Pro Lode	Alcoholism 🗆
Charlson Comorbi	aity index ore:	Diabetes:
<u>CVS</u>	Jie	OHGA Insulin
<u>cvs</u>	Myocardial infarction	Latest HbA1c Chronic kidney disease $\square$
	Congestive heart failure	Pre-existing dementia □
	Peripheral vascular disease	Other pre-existing neurological problems
<u>CNS</u>	r empireral vascular discuse	CVA
<u> </u>	Cerebrovascular disease	☐ Parkinson Disease
П	Hemiplegia or paraplegia	☐ Depression
	Dementia	☐ Anxiety
<u>Respi</u>	- 5	$\square$ Schizophrenia and other delusional
	Chronic pulmonary disease	disorders
<u>MSK</u>		Visual impairment $\square$
	Rheumatologic disease	Hearing impairment □
<u>GI</u>		STOPBANG score
	Peptic ulcer disease	Chronic Medications
	Mild liver disease	Benzodiazepine □ Statin □
	Moderate or severe liver	Tramadol □ Oxynorm □
	disease	Anti-depressants
<b>Endocrine</b>		Tricyclics SSRI SNRI MOAI
_	Diabetes without chronic	
	complications	Anti-psychotics
Ц	Diabetes with chronic	Haloperidol $\square$ Quetiapine $\square$

	complications	Olanzapine ☐ Clozapine ☐	
<u>Renal</u>			
	Mild Renal disease	Preoperative Laboratory Results	
	Moderate or severe renal	Hemoglobin	
	disease	MCV	
<u>Malignancy</u>		RDW	
	Any malignancy, including	MCHC	
	leukemia and lymphoma	Urea	
	Non-metastatic solid tumour		
	Metastatic solid tumor		
Immunological	AIDC/HIV		
	AIDS/HIV		
IntraOp			
ANA Type:			
//			
Fem N block	Yes No □		
Benzo Use:			
Atropine Use:			
Opioid use			
If yes , dose:			
Dexa use			
If yes , dose: Promethazine us	ee:		
Ondansetron:	<u>ве.</u> П		
Blood Transfusio			
Hypotension			
If yes, duration		4	
BASELINE CAM			
1 Acute ons	set change in mental status from ba	aseline?	
	es $\square$	aseinie.	
b. N	o 🗆		
c. U	ncertain		
· ·		ention, is easily distracted, or has difficulty	
keeping track of what was being said?			
a. Yes – mild form □, fluctuating □			
b. Yes – marked form □, fluctuating □			
	c. Uncertain		
d. N		acabarant such as rambling as irralayant	
-		ncoherent, such as rambling or irrelevant as, or unpredictable switching from subject to	
	es – mild form □, fluctuating □		
	es – marked form $\square$ , fluctuating $\square$		
<u> </u>			

		c. Uncertain	
		d. No □	
	4. Ho	ow is the patient's overall level of consciousness?	
		a. Alert (normal) $\square$	
		b. Vigilant (hyperalert, overly sensitive to environmental stimuli, star	tled easily) □
		fluctuating	cica casiiy, <u>—</u> ,
		<del>-</del>	
		c. Lethargic (Drowsy, easily aroused) □, fluctuating □	
		d. Stupor (Difficult to arouse) □, fluctuating □	
		e. Coma (Unarousable) $\square$ , fluctuating $\square$	
		f. Uncertain	
BAS	SELINE	MINI MENTAL STATE EXAMINATION	
T-4			
Lev		onsciousness	
	AI	ert □ Drowsy □ Stupor □ Coma □	C
		Question	Score
	1	What is the	/5
		• year	
		• month	
		day of the week	
		• date	
		<ul><li>current time now (without looking at your watch)?</li></ul>	
	2	What country are we in?	/5
		What area/street are we in?	
		Which part of Singapore is this place (North, south, east, west or	
		central)	
		Which hospital are we in?	
		Which floor?	
	3	Show the objects: ball, flag, tree	/3
		Name 3 objects: 1 second to say each. Then ask the patient	
		all 3 after you have said them. Give 1 point for each correct answer.	
		Then repeat them until he/she learns all 3. Count trials and record.	
	_	Trials	/ -
	4	Serial 7's. 1 point for each correct answer. Stop after 5 answers.	/5
	_	Alternatively spell "world" backward.	/ 2
	5	Ask for the 3 objects repeated above. Give 1 point for each correct	/3
	_	answer.	/2
	6	Name a pencil and watch.	/2
		Repeat the following "No ifs, ands, or buts"	/1
		Follow a 3-stage command:	/3
		"Take a paper in your hand, fold it in half, and put it on the floor."	/1
		Read and obey the following:	/1
		CLOSE YOUR EYES	



## Appendix 2 - Postoperative DCF

Postoperative Delirium Following Total Joint Arthroplasties among South		
East Asians		
Serial No:	POD1	
Date of surgery:	PODI	
Type of surgery:		
Date of assessment:		
CAM ASSESSMENT		
<ul><li>5. Acute onset change in mental status from bas</li><li>a. Yes □</li><li>b. No □</li></ul>	seline?	
c. Uncertain		
6. Does the patient have difficulty focusing atter keeping track of what was being said?	ntion, is easily distracted, or has difficulty	
a. Yes – mild form $\square$ , fluctuating $\square$		
b. Yes – marked form $\square$ , fluctuating $\square$		
c. Uncertain		
d. No □		
<ol><li>Was the patient's thinking disorganized or inc</li></ol>	_ —	
conversation, unclear or illogical flow of ideas	, or unpredictable switching from subject to	
subject?		
a. Yes – mild form □, fluctuating □		
b. Yes – marked form □, fluctuating □		
c. Uncertain	<del></del> 7_	
d. No 🗆		
8. How is the patient's overall level of conscious	ness?	
a. Alert (normal)		
b. Vigilant (hyperalert, overly sensitive to environmental stimuli, startled easily) $\Box$ , fluctuating $\Box$		
c. Lethargic (Drowsy, easily aroused) $\Box$	, fluctuating $\square$	
d. Stupor (Difficult to arouse) $\square$ , fluctua	ating $\square$	
e. Coma (Unarousable) $\square$ , fluctuating $\square$		
f. Uncertain		
PAIN ASSESSMENT		
VAS score at time of assessment (0 – 10):		
VISUAL/HEARING IMPAIRMENT PERIOPERATIVE DETAILS		
Visually impaired ☐ Tourniquet time: minutes		
Hearing impaired  Intra-articular injections:		
If yes to above, hearing aids?   (i) Tranexamic acid   Dosage:		
(ii) Morphine □ Dosage:		

(iii) Marcaine ☐ Dosage:	
(iv) Adrenaline ☐ Dosage:	
(v) Ketorolac □ Dosage:	
(vi) Triamcinolone ☐ Dosage:	
(vii) Vancomycin □ Dosage:	
(viii) Normal Saline ☐ Dosage:	
(ix) Others:	

•	Delirium Following Total Joint Arthroplasties among South		
East Asians			
Serial No:	POD2		
Date of surgery: _			
Type of surgery:			
Date of assessme	nt:		
CAM ASSESSMEN	IT .		
a. Y b. N			
	patient have difficulty focusing attention, is easily distracted, or has difficulty		
	rack of what was being said?		
	es – mild form □, fluctuating □		
	es – marked form $\square$ , fluctuating $\square$		
	c. Uncertain		
	d. No $\square$		
_	patient's thinking disorganized or incoherent, such as rambling or irrelevant		
	tion, unclear or illogical flow of ideas, or unpredictable switching from subject to		
subject?	, τ τ τ τ τ τ τ τ τ τ τ τ τ τ τ τ τ τ τ		
	es – mild form $\square$ , fluctuating $\square$		
	es – marked form $\square$ , fluctuating $\square$		
	Incertain		
d. N			
	e patient's overall level of consciousness?		
	lert (normal) □		
	(igilant (hyperalert, overly sensitive to environmental stimuli, startled easily) $\Box$ ,		
	uctuating $\square$		
c. L	c. Lethargic (Drowsy, easily aroused) $\square$ , fluctuating $\square$		
d. S	tupor (Difficult to arouse) $\square$ , fluctuating $\square$		
e. Coma (Unarousable) □, fluctuating □			
f. Uncertain			
PAIN ASSESSMEN			
VAS score at time	e of assessment (0 – 10):		

Postoperative Delirium Following Total Joint Arthroplasties among South East Asians		
Serial No:	POD3	
Date of surgery:	. 000	
Type of surgery:		
Date of assessment:		
CAM ASSESSMENT		
<ol> <li>Acute onset change in mental status from baselin         <ul> <li>Yes □</li> <li>No □</li> <li>Uncertain</li> </ul> </li> </ol>	ne?	
Does the patient have difficulty focusing attention	on, is easily distracted, or has difficulty	
keeping track of what was being said?		
a. Yes – mild form $\square$ , fluctuating $\square$		
b. Yes – marked form $\square$ , fluctuating $\square$		
c. Uncertain		
d. No □		
3. Was the patient's thinking disorganized or incoherent, such as rambling or irrelevant conversation, unclear or illogical flow of ideas, or unpredictable switching from subject to subject?		
a. Yes – mild form $\square$ , fluctuating $\square$		
b. Yes – marked form □, fluctuating □		
c. Uncertain		
d. No □		
4. How is the patient's overall level of consciousnes	ss?	
a. Alert (normal) $\square$		
b. Vigilant (hyperalert, overly sensitive to er fluctuating $\square$	nvironmental stimuli, startled easily) $\square$ ,	
c. Lethargic (Drowsy, easily aroused) $\square$ , flu	uctuating 🗆	
d. Stupor (Difficult to arouse) $\square$ , fluctuating		
e. Coma (Unarousable) $\square$ , fluctuating $\square$		
f. Uncertain		
PAIN ASSESSMENT		
VAS score at time of assessment (0 – 10):		

Postoperative East Asians	e Delirium Following Tota	l Joint Arthroplasties amor	ng South		
243171314113					
Serial No:		DAY OF			
Date of surgery:					
Type of surgery:		DISCHAR	GE		
Date of assessme	ent:				
POSTOPERATIVE	MORBIDITY SURGERY				
Morbidity Type	Criteria	Tick if present ([])			
Pulmonary	De novo requirement for supple respiratory support (e.g. mecha				
Infectious	Currently on antibiotics or temp				
Renal	Presence of oliguria (< 500 ml/c 30% from preoperative value), on nonsurgical reason				
Gastrointestinal	Unable to tolerate an enteral di feeding tube) for any reason, in abdominal distension				
Cardiovascular	Diagnostic tests or therapy within the last 24h for any of the following: de novo myocardial infarction or ischaemia, hypotension (requiring pharmacologic therapy or fluid therapy > 200ml/h), atrial or ventricular arrhythmias, or cardiogenic pulmonary edema				
Neurologic	Presence of a de novo focal deficit, coma or confusion and delirium				
Wound complication	Wound dehiscence requiring surgical exploration or drainage of pus from the operation wound, with or without isolation of organisms				
Haematologic		owing within the last 24h: packed ozen plasma, or cryoprecipitate			
Pain	Surgical wound pain significant opiates or regional analgesia	enough to require parenteral			

CPAP: continuous positive airway pressure

## **Appendix 3 – Postoperative Morbidity Survey**

Appendix 3 – P	Postoperative Morbidity Survey	
Morbidity type	Criteria	Source of data
Pulmonary	Has the patient developed a new requirement for	Patient observation
	oxygen or respiratory support.	Treatment chart
Infectious	Currently on antibiotics and/or has had a temperature	Treatment chart
	of >38°C in the last 24 hr.	Observation chart
Renal	Presence of oliguria <500 mL/24 hr; increased serum	Fluid balance chart
	creatinine (>30% from preoperative level); urinary	Biochemistry result
	catheter in situ.	Patient observation
Gastrointestinal	Unable to tolerate an enteral diet for any reason	Patient questioning
	including nausea, vomiting, and abdominal distension	Fluid balance chart
	(use of antiemetic).	Treatment chart
Cardiovascular	Diagnostic tests or therapy within the last 24 hr for any	Treatment chart
	of the following: new myocardial infarction or	Note review
	ischemia, hypotension (requiring fluid therapy >200	
	mL/hr or pharmacological therapy), atrial or	
	ventricular arrhythmias, cardiogenic pulmonary	
Nouralogical	edema, thrombotic event (requiring anticoagulation).	Note review
Neurological	New focal neurological deficit, confusion, delirium, or coma.	Note review  Patient questioning
Hamatalagiaal		
Hematological	Requirement for any of the following within the last 24 hr: packed erythrocytes, platelets, fresh-frozen	Treatment chart Fluid balance chart
	plasma, or cryoprecipitate.	Fluid Dalance Chart
Wound	Wound dehiscence requiring surgical exploration or	Note review
	drainage of pus from the operation wound with or	Pathology result
	without isolation of organisms.	07
Pain	New postoperative pain significant enough to require	Treatment chart
	parenteral opioids or regional analgesia.	Patient questioning
Forp	peer review only - http://bmjopen.bmj.com/site/about/gui	delines.xhtml

## Appendix 4 – 36-Item Short Form Health Survey

## RAND 36-Item Health Survey 1.0 Questionnaire Items

Choose one option for each questionnaire item.

- 1. In general, would you say your health is:
  - 1 Excellent
  - o 2 Very good
  - 3 **–** Good
  - 4 Fair
  - 5 Poor
- 2. Compared to one year ago, how would you rate your health in general now?
  - 1 Much better now than one year ago
  - 2 Somewhat better now than one year ago
  - o 3 About the same
  - 4 Somewhat worse now than one year ago
  - 5 Much worse now than one year ago

The following items are about activities you might do during a typical day. Does **your health now limit you** in these activities? If so, how much?

		Yes, limited a lot	Yes, limited a little	No, not limited at all
3.	<b>Vigorous activities</b> , such as running, lifting heavy objects, participating in strenuous sports	° 1	o 2	0 3
4.	<b>Moderate activities</b> , such as moving a table, pushing a vacuum cleaner, bowling, or playing golf	0 1	o 2	0 3
5.	Lifting or carrying groceries	o 1	o 2	o 3
6.	Climbing <b>several</b> flights of stairs	o 1	o 2	o 3
7.	Climbing <b>one</b> flight of stairs	0 1	o <b>2</b>	o 3
8.	Bending, kneeling, or stooping	o 1	o <b>2</b>	o 3
9.	Walking more than a mile	0 1	o 2	o 3
10.	Walking several blocks	o 1	o <b>2</b>	o 3
11.	Walking one block	0 1	o <b>2</b>	o 3
12.	Bathing or dressing yourself	o 1	o 2	o 3

During the **past 4 weeks**, have you had any of the following problems with your work or other regular daily activities **as a result of your physical health**?

	Yes	No
13. Cut down the amount of time you spent on work or other activities	0 1	o 2
14. Accomplished less than you would like	o <b>1</b>	o 2
15. Were limited in the kind of work or other activities	0 1	o 2
16. Had difficulty performing the work or other activities (for example, it took extra effort)	o 1	o <b>2</b>

During the **past 4 weeks**, have you had any of the following problems with your work or other regular daily activities **as a result of any emotional problems** (such as feeling depressed or anxious)?

- 17. Cut down the **amount of time** you spent on work or other activities  $\circ$  1  $\circ$  2

  18. **Accomplished less** than you would like  $\circ$  1  $\circ$  2

  19. Didn't do work or other activities as **carefully** as usual  $\circ$  1  $\circ$  2
- 20. During the **past 4 weeks**, to what extent has your physical health or emotional problems interfered with your normal social activities with family, friends, neighbours, or groups?
  - o 1 Not at all
  - o 2 Slightly
  - 3 Moderately
  - o 4 Quite a bit
  - 5 Extremely
- 21. How much **bodily** pain have you had during the **past 4 weeks**?
  - 1 None
  - o 2 Very mild
  - o 3 Mild
  - o 4 Moderate
  - o 5 Severe
  - o 6 Very severe
- 22. During the **past 4 weeks**, how much did **pain** interfere with your normal work (including both work outside the home and housework)?
  - o 1 Not at all
  - o 2 A little bit

- o 3 Moderately
- o 4 Quite a bit
- 5 Extremely

These questions are about how you feel and how things have been with you **during the past 4** weeks. For each question, please give the one answer that comes closest to the way you have been feeling.

How much of the time during the past 4 weeks...

	All of the time	Most of the time	A good bit of the time	Some of the time	A little of the time	None of the time
23. Did you feel full of pep?	o 1	o <b>2</b>	· 3	o <b>4</b>	o 5	o 6
24. Have you been a very nervous person?	0 1	o 2	o 3	0 4	o 5	∘ 6
25. Have you felt so down in the dumps that nothing could cheer you up?	o 1	o <b>2</b>	· 3	0 4	o <b>5</b>	o 6
26. Have you felt calm and peaceful?	0 1	o <b>2</b>	o 3	o <b>4</b>	o 5	o 6
27. Did you have a lot of energy?	0 1	o 2	o 3	o <b>4</b>	o <b>5</b>	o 6
28. Have you felt downhearted and blue?	o 1	o 2	o 3	0 4	o <b>5</b>	∘ 6
29. Did you feel worn out?	o 1	o 2	0 3	o <b>4</b>	o <b>5</b>	o 6
30. Have you been a happy person?	0 1	o 2	0 3	0 4	o <b>5</b>	o 6
31. Did you feel tired?	o 1	o 2	o 3	0 4	o <b>5</b>	o 6

- 32. During the past 4 weeks, how much of the time has your physical health or emotional problems interfered with your social activities (like visiting with friends, relatives, etc.)?
  - o 1 All of the time
  - o 2 Most of the time
  - o 3 Some of the time
  - o 4 A little of the time
  - o 5 None of the time

How TRUE or FALSE is **each** of the following statements for you.

Definitely	Mostly	Don't	Mostly	Definitely
true	true	know	false	false

33. I seem to get sick a little easier than other people	0 1	o 2	o 3	0 4	o <b>5</b>
34. I am as healthy as anybody I know	o 1	o 2	o 3	0 4	o 5
35. I expect my health to get worse	o 1	o 2	0 3	0 4	o 5
36. My health is excellent	o 1	o 2	o 3	o <b>4</b>	o 5

This tool was developed at RAND Health as part of the Medical Outcomes Study.



# STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Recommendation	Page Number
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of	5
		what was done and what was found	3
Introduction		white was done and white was round	
Background/rationale	2	Explain the scientific background and rationale for the investigation	7
Dackground/rationale	2	being reported	/
Objectives	3	State specific objectives, including any prespecified hypotheses	7
		state specific objectives, including any prespective hypotheses	,
Methods Study design	1	Dresent leave elements of study design early in the name	8
Study design	5	Present key elements of study design early in the paper	
Setting	3	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	8-13
Partiainants	6		8
Participants	0	(a) Give the eligibility criteria, and the sources and methods of selection	0
		of participants. Describe methods of follow-up	
		(b) For matched studies, give matching criteria and number of exposed and unexposed	-
Variables	7	Clearly define all outcomes, exposures, predictors, potential	8-13
variables	/	confounders, and effect modifiers. Give diagnostic criteria, if applicable	8-13
Data sources/	8*	For each variable of interest, give sources of data and details of	8-13
	8.	methods of assessment (measurement). Describe comparability of	8-13
measurement			
Bias	9	assessment methods if there is more than one group	8
	10	Describe any efforts to address potential sources of bias	13-14
Study size		Explain how the study size was arrived at	
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If	14
C4-4:-4:141 4-	10	applicable, describe which groupings were chosen and why	1.4
Statistical methods	12	(a) Describe all statistical methods, including those used to control for	14
		confounding	1.4
		(b) Describe any methods used to examine subgroups and interactions	14
		(c) Explain how missing data were addressed	-
		(d) If applicable, explain how loss to follow-up was addressed	- 1.4
		( <u>e</u> ) Describe any sensitivity analyses	14
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers	-
		potentially eligible, examined for eligibility, confirmed eligible,	
		included in the study, completing follow-up, and analysed	
		(b) Give reasons for non-participation at each stage	-
		(c) Consider use of a flow diagram	-
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical,	-
		social) and information on exposures and potential confounders	
		(b) Indicate number of participants with missing data for each variable	-
		of interest	
		(c) Summarise follow-up time (eg, average and total amount)	-
Outcome data	15*	Report numbers of outcome events or summary measures over time	-
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted	-
		estimates and their precision (eg, 95% confidence interval). Make clear	

		which confounders were adjusted for and why they were included	
		(b) Report category boundaries when continuous variables were	-
		categorized	
		(c) If relevant, consider translating estimates of relative risk into	-
		absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions,	-
		and sensitivity analyses	
Discussion			
Key results	18	Summarise key results with reference to study objectives	-
Limitations	19	Discuss limitations of the study, taking into account sources of potential	-
		bias or imprecision. Discuss both direction and magnitude of any	
		potential bias	
Interpretation	20	Give a cautious overall interpretation of results considering objectives,	-
		limitations, multiplicity of analyses, results from similar studies, and	
		other relevant evidence	
Generalisability	21	Discuss the generalisability (external validity) of the study results	-
Other information			
Funding	22	Give the source of funding and the role of the funders for the present	19
		study and, if applicable, for the original study on which the present	
		article is based	

<sup>\*</sup>Give information separately for exposed and unexposed groups.

**Note:** An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at http://www.strobe-statement.org.

# **BMJ Open**

# Protocol for a Single-Centre Prospective Observational Study of Postoperative Delirium Following Total Joint Arthroplasties among South East Asians

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Secondary Subject Heading:	Geriatric medicine, Mental health, Anaesthesia
Keywords:	postoperative, delirium, total joint arthroplasty, incidence

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## **TITLE**

Protocol for a Single-Centre Prospective Observational Study of Postoperative Delirium Following Total Joint Arthroplasties among South East Asians

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Author	Role/Responsibility
Hairil Rizal Abdullah	Designed and conceptualized study
	Prepared draft manuscript
	Revised draft manuscript
	Approved final manuscript for submission
	Statistical calculations
	Agrees to be accountable for all aspects of
	the work in ensuring that questions related
	to the accuracy or integrity of any part of
	the work are appropriately investigated and
	resolved.
Sapphire RouXi Tan	Designed and conceptualized study
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Prepared draft manuscript
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	to the accuracy or integrity of any part of
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	resolved.
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Ying Hao	Designed and conceptualized study

	Revised draft manuscript
	Approved final manuscript for submission Statistical calculations
	Agrees to be accountable for all aspects of
	the work in ensuring that questions related
	to the accuracy or integrity of any part of
	the work are appropriately investigated and
	resolved.
Ervin Sethi	Designed and conceptualized study
Liviii Getiii	Revised draft manuscript
	Approved final manuscript for submission
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	the work in ensuring that questions related
	to the accuracy or integrity of any part of
$O_{\lambda}$	the work are appropriately investigated and
	resolved.
Eileen Yilin Sim	Designed and conceptualized study
	Revised draft manuscript
	Approved final manuscript for submission
	Agrees to be accountable for all aspects of
	the work in ensuring that questions related
	to the accuracy or integrity of any part of
	the work are appropriately investigated and
	resolved.

#### **ABSTRACT**

#### Introduction

Postoperative delirium is a serious and common complication in older adults following Total Joint Arthroplasties (TJA). It is associated with increased risk of postoperative complications, mortality, length of hospital stay and postdischarge institutionalisation. Thus, it has a negative impact on the health-related quality of life of the patient and poses a large economic burden. This study aims to characterise the incidence of postoperative delirium following TJA in the South East Asian population, and investigate any risk factors or associated outcomes.

## Methods and analysis

This is a single-centre prospective observational study, recruiting patients between 65 and 90 years old undergoing elective Total Knee Arthroplasty or Total Hip Arthroplasty. Exclusion criteria included patients with clinically diagnosed dementia. Preoperative and intraoperative data will be obtained prospectively. The primary outcome will be the presence of postoperative delirium assessed using the Confusion Assessment Method on postoperative day 1, 2, 3 and day-of-discharge. Other secondary outcomes assessed postoperatively will include hospital outcomes, pain at rest, knee and hip function, health-related quality of life and POMS-defined morbidity. Data will be analysed to calculate the incidence of postoperative delirium. Potential risk factors and any associated outcomes of postoperative delirium will also be determined.

#### **Ethics and dissemination**

This study has been approved by the Singapore General Hospital Institutional Review Board (SGH IRB) (CIRB Ref: 2017/2467) and is registered on the ClinicalTrials.gov registry (Identified: NCT03260218). An informed consent form will be signed by all participants before recruitment and translators will be made available to non-English speaking participants. The results of this study will be presented at international conferences and submitted to a peer-reviewed journal. The data collected will also be made available in a public data repository.

#### STRENGTHS AND LIMITATIONS

## **Strengths**

- 1. This study is the first to evaluate the incidence of postoperative delirium in the elderly above 65 years old following TKA and THA in Singapore.
- Association of variables to occurrence of postoperative delirium that have not been well studied such as hand grip strength, STOP-Bang score and long-term outcomes including knee function and HRQoL will be analysed.
- 3. The Confusion Assessment Method (CAM) will be used, which is a gold standard measure for detection of delirium.

#### Limitations

- 1. The study is conducted in a single centre in Singapore which may limit the generalisability of the results of the study.
- Delirium will be only assessed once a day, and the presence of delirium may be missed due to the fluctuating nature of the condition.



#### INTRODUCTION

Delirium is a neurocognitive disorder characterised by a disturbance in attention, level of consciousness and cognition, in which symptoms are acute in onset and may fluctuate in severity throughout the day<sup>1</sup>. Delirium is a common perioperative complication in older adults following total joint arthroplasty (TJA). The incidence of postoperative delirium following TJA may be as high as 17%<sup>2</sup>, although there is no data from the South-East Asian population, exposing a knowledge gap.

Patients with delirium after any surgery have an increased risk of major postoperative complications and increased mortality<sup>3,4</sup>. They also experience significantly longer hospital stay and are at increased risk of subsequent postdischarge institutionalisation<sup>4-6</sup>, which increases total procedural cost<sup>7</sup>. With the demand of total hip arthroplasty (THA) expected to rise by almost 2-fold and that for total knee arthroplasty (TKA) by almost 7-fold by 20308, postoperative delirium is likely to become a significant health and economic burden. However, the impact of delirium on outcomes after TJA have not been well reported, identifying a potential knowledge gap. Furthermore, due to these various negative outcomes of postoperative delirium, it is important to characterise the risk factors associated with postoperative delirium. Older age has been reported as one of the most important risk factor for developing postoperative delirium<sup>9,10</sup>. According to an existing study, the average age of the population undergoing TJA is 71 years, and majority of patients are 65 years or older (81.3% for TKR and 69.5% for THR)<sup>7</sup>. Thus, the population under study particularly at risk to postoperative delirium. Other important predisposing risk factors include pre-existing cognitive impairment, poor physical status, alcohol abuse <sup>5,9,10</sup>. While these are common risk factors for the TJA population, few studies have investigated if these risk factors also predispose to postoperative delirium following TJA specifically. There is a need to investigate the presence of other risk factors particular to TJA, especially if any are modifiable. As most of such operations are elective, there may be an opportunity to address the modifiable risk factors to optimise the patient prior to the surgery or to implement perioperative management strategies to mitigate the negative outcomes of postoperative delirium.

Given the limited knowledge on the incidence, risk factors and outcomes of postoperative delirium in the South East Asian population, together with the increasing number of older adults undergoing TJA, this study's primary aim is to characterise the incidence of delirium among older adults undergoing elective TJA. Our secondary aim is to identify risk factors of postoperative delirium following elective TJA among the elderly, including demography, comorbidities, clinical laboratory data and drugs used in the perioperative period. Our final aim is to investigate the impact of postoperative delirium on the immediate and longer term postoperative recovery after TJA. Identifying such risk factors and associated clinical or functional outcomes may be important in guiding perioperative care of prospective patients undergoing TJA.

#### METHODS AND ANALYSIS

## Study design

Institutional Review Board approval was obtained (Singhealth CIRB 2017/2467) prior to starting the study. This is a single centre, prospective observational study conducted at a tertiary public hospital in Singapore (Singapore General Hospital (SGH)). SGH is the largest hospital in Singapore with 1,597 beds in 2013<sup>11</sup>. 1,500 TKA surgeries were performed in SGH in 2007, accounting for 65% of all TKA surgeries in Singapore<sup>12</sup>.

## Study population

Patients aged between 65 and 90 undergoing elective total joint (hip or knee) replacement surgery in Singapore General Hospital will be screened for eligibility. A minimum age of 65 years old was chosen to be recruited based on the original study which developed and validated CAM<sup>13</sup>. Exclusion criteria includes patients who are unable to give their own consent for the surgery and anaesthesia. Patients with clinically diagnosed dementia will also be excluded from the study as they are deemed not to have capacity for consent.

All eligible patients will be identified from the appointment list of attendees of the preoperative evaluation clinic (PEC) at SGH where the patients attend for preoperative assessment and counselling by the anaesthetists. Patients between 65 and 90 years old undergoing TKA or THA will be approached and invited to enrol into the study. Informed consent will be obtained then.

#### **Preoperative data**

We will collect the patients' baseline characteristics preoperatively. This will allow for the identification of risk factors or correction of confounding factors during analysis of the results.

#### Data on cognitive status

Before the operation, each patient will be interviewed to assess their baseline cognitive status. The Mini-Mental State Examination (MMSE) will be used, which is a 11-question screening tool used to evaluate the cognitive aspects of mental function<sup>14</sup>. It measures domains of cognitive function including memory, attention, language, praxis and visuospatial ability. A score of 0-30 can be obtained with higher values denoting better cognitive function. A score of <24 suggests cognitive impairment. The test was adapted for use in Singapore, and the changes and reasons for the changes shown in the table in Supplementary file 1. In addition, the test will be administered in English, Chinese or Malay, according to the language the participant is most well-versed in. The Chinese version of MMSE that will be used was previously validated in Shanghai<sup>15</sup> and in Singapore<sup>16</sup>. The Malay version of MMSE that will be used was developed by translation from the English version. The Chinese and Malay versions of MMSE have similar test questions and are scored the same way as the English version, but there some differences shown in Supplementary file 2. However, a recent study in Singapore has shown that there were significant ethnic differences in unadjusted MMSE scores using the different versions of MMSE. These differences were not eliminated after accounting for known correlates of MMSE performance such as socioeconomic status, comorbid illnesses, functional health status, and health-related behaviours<sup>17</sup>.

The Confusion Assessment Method (CAM) will also be performed prior to the operation to obtain the patient's baseline score. CAM is a screening instrument for delirium intended for use by non-psychiatrically-trained clinicians based on the Diagnostic and Statistical Manual of Mental Disorders (DSM)-III-R criteria<sup>18</sup>. It involves an interview whereby delirium can be diagnosed using the CAM algorithm based on 4 criteria – (1) acute onset or fluctuating course, (2) inattention, (3) disorganised thinking and (4) altered level of consciousness, as can be seen in Figure 1. Delirium is said to be present if criteria 1 and 2 and either of 3 or 4 are present. CAM has a sensitivity of 94-100%, specificity of 90-95%, and high interobserver reliability<sup>13</sup>. This enables a new case of delirium in the postoperative period to be detected.

## Other data

Sensory impairment will be assessed during the preoperative interviews. Patients will be asked to wear their visual or hearing aids during these interviews. A patient will be considered to have visual or hearing impairment if the research member conducting the interview is unable to perform the interview normally due to the sensory impairment, such as raising his/her voice for a patient with impaired hearing. The preoperative MMSE assessment provides a useful tool in assessing for visual impairment as it has several vision-dependent items (naming objects, following a written command, instructions to handle a piece of paper, writing a sentence, copying a diagram)<sup>19</sup>.

The grip strength of each patient will also be measured using the JAMAR® Plus+ Digital Hand Dynamometer (Sammons Preston Inc, Bolingbrook, IL). Hand dynamometry has acceptable reliability and validity for measurement of grip strength<sup>20</sup>, which can serve as an indicator of muscle function and physical fitness. The normative value of hand grip strength for elderly in Singapore has recently been published, decreasing from 18.6kg and 29.3kg for females and males respectively in the 65-69 age group to 12.4kg and 18.5kg in the 85+ age group<sup>21</sup>.

The patient baseline characteristics will be obtained from the medical records. All perioperative data will be prospectively entered into the REDCap™ database. This will include data regarding patient's demographics, smoking history, alcohol history, pre-existing medical conditions, preoperative medications.

Each patient will also be rated preoperatively by an anaesthesiologist in the PEC based on the American Society of Anaesthesiologists (ASA) physical status classification<sup>22</sup>. It is a scale from 1 to 5, where 1 represents a completely healthy fit patient and 5 representing a moribund patient who is not expected to live 24 hours with or without surgery. For our analysis, we will be calculating each patient's perioperative risk based on the Charlson Comorbidity Index (CCI)<sup>23</sup>. 19 different comorbid medical conditions are assigned weights of 1, 2, 3 and 6 according to the degree to which they predicted mortality, and the sum of these values gives the final score. It is a valid method of estimating risk of mortality resulting from comorbidities<sup>24</sup>. The STOP-Bang score will also be calculated for each patient. The STOP-Bang questionnaire was a good screening tool for diagnosing obstructive sleep apnoea, with a sensitivity of 89.0% and accuracy of 79.1%<sup>25</sup>. Preoperative laboratory results, including data about haemoglobin or creatinine level, will also be collected.

Preoperative baseline functional scores for TKA (Oxford Knee Score, Knee Society Functional Score and Knee Society Knee Score) and for THA (Harris Hip Score and Parker Mobility Score) will be obtained from the patient by trained staff at the Orthopaedic Diagnostic Centre (ODC). These assessments will be further discussed in the "Postoperative data" section.

The preoperative data collection form (DCF) can be seen in Supplementary file 3.

## Intraoperative data

Data regarding the surgery will be collected. Intraoperative data includes type of arthroplasty performed (TKA or THA), type of anaesthesia (spinal, general or other anaesthesia), use of femoral nerve block, intraoperative drug use, tourniquet time, intra-articular injections and blood transfusion (number of pints transfused). Occurrence of hypotension, which is defined as a mean arterial pressure <60mmHg, and its duration will also be recorded.

## Postoperative data

## Primary outcome

The primary outcome will be the presence of postoperative delirium following TJA. Each patient will be assessed for delirium on postoperative day (POD) 1, 2 and 3 in the wards at 7am as well as the day of discharge. Delirium will not be evaluated on POD 0 due to difficulty in differentiating delirium from the effects of residual anaesthesia.

CAM will be used to detect postoperative delirium and delirium severity. Delirium is said to be present if the patient meets the CAM criteria for any of the postoperative assessments. Patients assessed to have delirium will be referred to the psychiatrists for a formal diagnosis based on the DSM-V criteria and for further management.

#### Short-term secondary outcomes

Postoperative complications will be assessed by the Postoperative Morbidity Survey (POMS). This is a 9-point survey that can be easily used by clinicians to characterise short-term postoperative morbidity in their respective settings<sup>26</sup>. It is designed to only identify morbidity of a type and severity that could prolong length of stay (LOS). Using POMS, postoperative morbidity outcomes can be dichotomised into 2 categories – the absence and presence of morbidity. The POMS instrument can be seen in Supplementary file 4 attached. POMS will be assessed on POD 3, 5, 8 and 15, as recommended by the original literature<sup>26</sup>. It has also been reported to have good inter-rater reliability and acceptability to patients<sup>27</sup>.

Other postoperative outcomes that will be obtained include postoperative nausea and vomiting (PONV), LOS and 30-day readmission rates. 30-day readmission is defined as readmission within 30 days of initial admission. The reason for readmission will also be obtained.

#### Long-term secondary outcomes

Longer-term outcomes such as functional and health-related quality-of-life (HRQoL) outcomes will also be recorded by the ODC total joint registry at 6 months, 1 year, 2 years

and 5 years postoperatively. The ODC tracks clinical outcome measures during pre- and postoperative functional assessments of the patients in SGH. Their total joint registry contains data about outcomes from knee and hip arthroplasties.

For patients undergoing TKA, knee function will be measured using the new Knee Society Knee Score (KSKS) and Function Score (KSFS)<sup>28</sup>. The physician-derived KSKS measures alignment, stability, joint motion and symptoms experienced. The patient-derived KSFS evaluates use of walking aids and supports, ability to complete standard activities of daily living and discretionary activities. The KSKS and KSFS each range from 0 (worst) to 100 (best). Both provide a validated rating of the functional outcome of the patient and knee prosthesis after TKA<sup>29</sup>. In addition, the Oxford Knee Score (OKS)<sup>30</sup> will also be used, which is a 12-item, patient-assessed questionnaire designed specifically for use in patients undergoing TKA [7]. It assesses an individual's pain and physical disability. Each item is scored from 1 (least difficulty/severity) to 5 (most difficulty/severity), and individual item scores are summed to yield an overall score ranging from 12 (no pain or limitation) to 60 (severe pain or limitation). A lower OKS indicates a better outcome. It has good reliability, construct and content validity, and sensitivity to clinically important changes over time<sup>30,31</sup>. It correlates strongly with pain but less with postoperative functioning<sup>32</sup>.

For patients undergoing THA, hip function will be measured using the Harris Hip Score (HHS)<sup>33</sup>. The HHS is a clinician-based tool to assess the outcomes of hip surgery such as THA. It contains 4 subscales – pain severity, function, absence of deformity and range of motion. The total score ranges from 0 (worst) and 100 (best). It showed high validity and reliability when used to study the clinical outcome of THA<sup>34</sup>. Hip function will also be measured using the Parker Mobility Score (PMS)<sup>35</sup>. A score of 1 represents a patient who does not require a walking aid and has no restriction in walking distance, while a score of 10 represents a patient who is mostly bedbound. It is reliable and a valid predictor of in-hospital and long-term outcomes<sup>35–37</sup>. Outcomes for THA will only be recorded at 6 months and 2 years, unlike the other long-term secondary outcomes.

HRQoL will be assessed based on the 36-Item Short Form Health Survey (SF-36)<sup>38</sup> to obtain a baseline score. It consists of 36 questions categorised into 8 domains (physical functioning, bodily pain, role limitations due to physical health problems, role limitations due to personal or emotional problems, emotional well-being, social functioning, energy/fatigue, and general health perceptions). This instrument is shown in Supplementary file 5. Higher scores indicate better health status and quality of life.

Days alive and out of hospital (DAOH) will also be determined for each patient at 1 month, 6 months and 12 months to assess overall impact of postoperative delirium on morbidity and mortality. DAOH will be calculated based on death date (if present) and duration of all subsequent hospitalisations until the follow-up date. This will be recorded as a percentage by dividing DAOH by total potential follow-up period, which is the time period between the operation and the respective dates of follow-up (1 month, 6 months and 12 months). %DAOH is a useful measure as it emphasises the deaths occurring early in follow-up and takes into account the severity (duration) of any hospitalisation.<sup>39</sup>

#### Postoperative risk factors

Other postoperative data will be collected as variables for risk factors.

Postoperative pain at rest will be evaluated using the pain Visual Analog Scale (VAS) during the same visit as CAM on POD 1, 2 and 3. Each patient will score pain experienced at rest on a scale, with 0 = no pain and 10 = maximum pain.

Sensory impairment will also be assessed during the postoperative interviews similar to the preoperative assessments.

The postoperative DCF can be seen in Supplementary file 6.

The flowchart shown in Figure 2 depicts a patient's journey starting from enrolment in the PEC.

## **Data management**

Patient data will be kept confidential throughout the study. All electronic study data entry, storage and analysis will be done according to institutional data security policy, using password protected data in secure systems.

The patient data collected will be de-identified and the key kept securely separated with access limited to principal investigator and co-investigators. A study-related identification number given to each patient will be used on the case report form. Research members will enter the de-identified data into the REDCap™ (Research Electronic Data Capture) tool hosted on a secure server at Singapore General Hospital³6. The hardcopy of the research data will be securely stored within the department. The softcopy of research data will be saved in a password-protected file and will be stored in institution approved login-protected system and encrypted hard-drive. Only study members will have access to the data.

## Power and sample size calculations

The primary aim of the study is to characterise the incidence of postoperative delirium following TJA, which is estimated at around 10% based on existing literature<sup>2</sup>. Using this estimate, 150 patients are enough to detect the incidence with a precision of 5% and confidence level of 95%.

However, this study will also aim to detect potential factors which may be correlated to postoperative delirium by logistic regression. Thus, we will target to recruit 500 patients such that we are able to investigate the risk factors and associated outcomes of postoperative delirium using multiple logistic models while minimising the limitation of a small number of events of postoperative delirium.

## Statistical analyses

The incidence of postoperative delirium following TJA using the standard formula – the number of patients diagnosed to have postoperative delirium divided by the total number of patients in the study, and the result expressed as a percentage.

Potential risk factors of postoperative delirium will also be identified by comparing the perioperative data recorded between the patients with and without postoperative delirium. Data will first be summarised using descriptive statistics including mean, standard deviation

(SD), median, range and frequency tables. Univariate analyses will then be used identify the differences between the two groups. Data of continuous variables will be compared using the Student's t-test (normally distributed data) or the Wilcoxon rank sum test (not normally distributed data). Data of categorical variables will be compared by Pearson Chi-square test or Fisher's exact test.

The variables which are statistically significant or close to significant (p value <0.05 or <0.1) between the two groups of patients will then be selected for inclusion in a multivariate logistic regression model. This will determine the independent predictors of postoperative delirium. The final model will be determined by sensitivity analysis. The sensitivity analysis can help us to understand the contribution of each parameter to the model outputs, investigate which parameter will have the biggest influence and then refine the model to obtain the final model which is statistically and clinically meaningful. Odds ratio (OR) will be used to describe the correlation of them with postoperative delirium.

Postoperative outcomes which are associated with the incidence of postoperative delirium will also be examined by comparing patients with and without postoperative delirium. The postoperative POMS score, incidence of PONV, LOS, incidence of 30-day readmission and %DAOH will be similarly compared using Student's t-test and Pearson Chi-square tests as appropriate.

For the long-term postoperative outcomes, the median postoperative SF-36 for each domain, KSKS, KSFS, OKS, HHS and PMS scores applicable at 6 months, 1 year, 2 years and 5 years will be compared to the median preoperative baseline scores using the Wilcoxon matched pairs sign rank test. The tests with a change greater than the minimally clinically important difference (MCID) will be identified. The MCID values for each test will be obtained from the pre-existing literature. These variables will then be analysed by analysis of covariance (ANCOVA) to identify predictors of the long-term postoperative test scores. All predictor variables will be incorporated into the multivariate ANCOVA model. Analyses will be performed separately for the various tests that demonstrated changes greater than MCID.

#### **ETHICS AND DISSEMINATION**

This study has been approved by the Singapore General Hospital Institutional Review Board (SGH IRB) (CIRB Ref: 2017/2467) and is registered on the ClinicalTrials.gov registry (Identified: NCT03260218). In the event of any important protocol modifications, all investigators, SGH IRB and trial participants will be notified.

The results of this study will be presented at international conferences and submitted to a peer-reviewed journal. The data collected will also be made available in a public data repository.

All eligible participants will be approached by the research assistant during their visit to the preoperative evaluation clinic. They will be given an explanation about the study, a patient information sheet and a consent form. They will then be given an ample time to consider if they would like to participate in the study. They will also be allowed to ask questions freely. If the participant expresses an interest to participate in the study, a written consent will be obtained. The consent forms are in English. However, participants from non-English speaking backgrounds will be provided a translator. For illiterate participants, an accompanying family member will be approached to verify and witness the consent process.



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#### **COMPETING INTEREST STATEMENT**

None.

#### FIGURE LEGENDS

**Figure 1.** CAM criteria: at least one criteria on each of the 3 rows must be met for a positive result.

**Figure 2.** Flowchart depicting a patient's timeline during the study.



- 1a. Acute onset: Is there evidence of an acute change in mental status from the patient's baseline?  $\ensuremath{\mathbf{OR}}$
- 1b. **Fluctuating course**: Did the (abnormal) behavior fluctuate during the day, that is tend to come and go or increase and decrease in severity?

#### AND

2. **Inattention**: Did the patient have difficulty focusing attention, for example being easily distractible, or having difficulty keeping track of what was being said?

#### AND

3. Disorganised thinking: Was the patient's thinking disorganized or incoherent, such as rambling or irrelevant conversation, unclear or illogical flow of ideas, or unpredictable switching from subject to subject?

OR

4. Altered level of consciousness: Overall, how would you rate this patient's level of consciousness? Any answer other than 'alert' indicates an abnormal level of consciousness.

CAM criteria: at least one criteria on each of the 3 rows must be met for a positive result

240x129mm (300 x 300 DPI)

Patients are enrolled into the study at PEC Eligibility checked and informed consent obtained

#### Preoperative assessments:

- 1. CAM to obtain baseline score
- 2. MMSE to assess of cognitive status
- 3. Sensory impairment assessment
- 4. Grip strength measurement
- 5. Other data obtained prospectively from medical records

Patient will undergo TJA operation Intraoperative data obtained prospectively from medical records

#### Postoperative assessments on POD 1, 2 and 3:

- CAM to assess the presence and severity of delirium
   Pain VAS to score pain experienced at rest
   Other data obtained prospectively from medical records
  - .

#### Other postoperative assessments:

1. CAM on day-of-discharge to assess presence and severity of delirium 2. POMS on POD 3, 5, 8, 15 to assess presence of postoperative morbidities

#### Postoperative assessments at 6 months, 1 year, 2 years and 5 years:

- KSKS, KSFS and OKS to assess knee function in TKA patients
   HHS and PMS to assess hip function in THA patients (only at 6 months and 2 years)
   3. 36-SF to assess HRQoL
  - 4. %DAOH to assess impact on morbidity and mortality

Caption: Flowchart depicting a patient's timeline during the study

180x140mm (300 x 300 DPI)

Item no.	Original version	Adapted version	Reasons
1	"What is the season?"	Without looking at your watch, what time is it?"	There are no seasons in Singapore.
2a	"What county are we in?";	"What area/street are we in?";	Singapore is a city country; "Singapore" would only the only correct answer.
2b	"What town/city are we in?"	"Which part of Singapore (North/South/East/West) are we in?"	Singapore is a city country; "Singapore" would only the only correct answer.

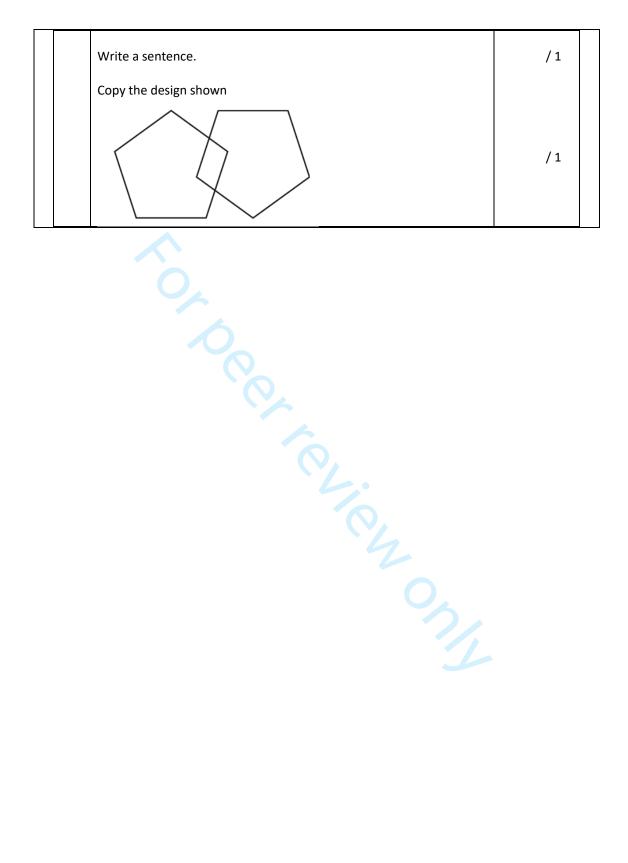
Item no.	English version	Chinese version	Malay version
4	Spell the word "WORLD" backwards	请把这句话倒说一遍 – "天 上有月亮"	Terbalikkan ejaan "DUNIA"
7	Repeat the following – "no ifs, ands, or buts"	请清除的重复一遍 – "四十四只石狮子"	Ulangan perkataan – "da dahulu, kini dan selamanya"

## **Preoperative DCF**

Postoperative Delirium Following Total Joint Arthroplasties among South			
East Asians			
Serial No:			
Date of preoperat	ive assessment:		
		OP DETAILS	
DEMOGRAPHIC		Surgeon:	
Age: Gender: Male $\Box$		Type of Operations	
		Type of Operation:  TKR □ THR □	
	Malay □ Indian □ Others □	Unilateral L □/ R □ OR Bilateral □	
Language:	Mandarin □ Malay □	Primary ☐ Revision ☐	
_	ivialida III 🗀 Ivialay 🗀	Pre-operative Dx:	
Highest education		The operative Bx.	
Height:	m		
Weight:	kg		
BMI:			
		Grip Strength:	
DACKCDOLIND NA	EDICAL CONDITION		
	EDICAL CONDITION	Clin D	
ASA:		Smoking □ Alcoholism □	
Charlson Comorbi	dity Index	Diabetes:	
	ore:	OHGA ☐ Insulin ☐	
cvs		Latest HbA1c	
	Myocardial infarction	Chronic kidney disease □	
	Congestive heart failure	Pre-existing dementia □	
	Peripheral vascular disease	Other pre-existing neurological problems	
CNS	P	□ CVA	
	Cerebrovascular disease	☐ Parkinson Disease	
	Hemiplegia or paraplegia	☐ Depression	
	Dementia	☐ Anxiety	
Respi		☐ Schizophrenia and other	
	Chronic pulmonary disease	delusional disorders	
MSK	parameter, and control	Visual impairment □	
	Rheumatologic disease	Hearing impairment $\square$	
<u>GI</u>	2.	STOPBANG score	
	Peptic ulcer disease	Chronic Medications	
	Mild liver disease	Benzodiazepine □	
	Moderate or severe liver	Statin   Transport Communication Communicati	
	disease	Tramadol  Oxynorm  Anti-depressants	
<u>Endocrine</u>		Anti-depressants	
	Diabetes without chronic	Tricyclics □ SSRI □ SNRI □  MOAI □	
	complications	MOAI □ Anti-psychotics	
	•	Anti-psycholics	

_		
	Diabetes with chronic	Haloperidol  Quetiapine
	complications	Olanzapine $\square$ Clozapine $\square$
Renal		Burney College Burley
	Mild Renal disease	Preoperative Laboratory Results
	Moderate or severe renal	Hemoglobin
D4-1:	disease	MCV
<u>Malignancy</u>		MCV
	Any malignancy, including	MCHC
	leukemia and lymphoma	Liron
	Non-metastatic solid tumo	our
	Metastatic solid tumor	
Immunological	AIDC/IIIV	
	AIDS/HIV	
IntraOp  ANA Type:	0,	
Fare Ni black	Yes	<u>No</u>
Fem N block		
Benzo Use:		
Atropine Use:		
Opioid use  If yes , dose:		
Dexa use		
If yes , dose:		
Promethazine us	se:	
Ondansetron:		
Blood Transfusion	on $\Box$	
Hypotension		
If yes, duration	_	
7.27		
BASELINE CAM		
a. Y	set change in mental status es $\square$	from baseline?
	ncertain	
		ing attention, is easily distracted, or has difficulty
	rack of what was being said	
	es – mild form $\square$ , fluctuatin	
	es – marked form □, fluctua	
	ncertain	
	o 🗆	<del></del>
		ed or incoherent, such as rambling or irrelevant
· ·		of ideas, or unpredictable switching from subject to
subject?	Ŭ	
	es – mild form $\square$ , fluctuatin	g 🗆
1	·	-

		b. Yes – marked form $\square$ , fluctuating $\square$	
		c. Uncertain	
		d. No □	
	4. I	How is the patient's overall level of consciousness?	
		a. Alert (normal) □	
		b. Vigilant (hyperalert, overly sensitive to environmental stimuli, sta	artled easily) $\Box$ .
		fluctuating $\square$	,
		c. Lethargic (Drowsy, easily aroused) □, fluctuating □	
		d. Stupor (Difficult to arouse) $\Box$ , fluctuating $\Box$	
		•	
		e. Coma (Unarousable) □, fluctuating □	
		f. Uncertain	
RΔ	SFIIN	E MINI MENTAL STATE EXAMINATION	
٠,	.ocz	E WINTER DE EXAMINATION	
То	tal scc	ire	
Le	vel of	consciousness	
	,	Alert □ Drowsy □ Stupor □ Coma □	
		Question	Score
	1	What is the	/ 5
		• year	, -
		• month	
		day of the week	
		• date	
		<ul> <li>current time now (without looking at your watch)?</li> </ul>	
	2	What country are we in?	/5
	_	What area/street are we in?	, ,
		Which part of Singapore is this place (North, south, east, west or	
		central)	
		Which hospital are we in?	
		Which floor?	
	3	Show the objects: ball, flag, tree	/3
		( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( )	, -
		Name 3 objects: 1 second to say each. Then ask the patient	
		all 3 after you have said them. Give 1 point for each correct answer.	
		Then repeat them until he/she learns all 3. Count trials and record.	
		Trials	
	4	Serial 7's. 1 point for each correct answer. Stop after 5 answers.	/ 5
		Alternatively spell "world" backward.	
	5	Ask for the 3 objects repeated above. Give 1 point for each correct	/ 3
		answer.	
	6	Name a pencil and watch.	/ 2
		Repeat the following "No ifs, ands, or buts"	/ 1
		Follow a 3-stage command:	/3
		"Take a paper in your hand, fold it in half, and put it on the floor."	/ 1
		Read and obey the following:	/ 1
		CLOSE YOUR EYES	
		CLOSE TOOK LIES	



# **Postoperative Morbidity Survey**

Morbidity type	Criteria	Source of data
Pulmonary	Has the patient developed a new requirement for	Patient observation
	oxygen or respiratory support.	Treatment chart
Infectious	Currently on antibiotics and/or has had a temperature	Treatment chart
	of >38°C in the last 24 hr.	Observation chart
Renal	Presence of oliguria <500 mL/24 hr; increased serum	Fluid balance chart
	creatinine (>30% from preoperative level); urinary	Biochemistry result
	catheter in situ.	Patient observation
Gastrointestinal	Unable to tolerate an enteral diet for any reason	Patient questioning
	including nausea, vomiting, and abdominal distension	Fluid balance chart
	(use of antiemetic).	Treatment chart
Cardiovascular	Diagnostic tests or therapy within the last 24 hr for any	Treatment chart
	of the following: new myocardial infarction or ischemia, hypotension (requiring fluid therapy >200 mL/hr or pharmacological therapy), atrial or ventricular arrhythmias, cardiogenic pulmonary edema, thrombotic event (requiring anticoagulation).	
Neurological	New focal neurological deficit, confusion, delirium, or	Note review
	coma.	Patient questioning
Hematological	Requirement for any of the following within the last 24	Treatment chart
	hr: packed erythrocytes, platelets, fresh-frozen plasma, or cryoprecipitate.	Fluid balance chart
Wound	Wound dehiscence requiring surgical exploration or	Note review
	drainage of pus from the operation wound with or without isolation of organisms.	Pathology result
Pain	New postoperative pain significant enough to require	Treatment chart
	parenteral opioids or regional analgesia.	Patient questioning

## 36-Item Short Form Health Survey

## RAND 36-Item Health Survey 1.0 Questionnaire Items

Choose one option for each questionnaire item.

- 1. In general, would you say your health is:
  - 1 Excellent
  - o 2 Very good
  - 3 Good
  - 4 Fair
  - **5 Poor**
- 2. **Compared to one year ago**, how would you rate your health in general now?
  - o 1 Much better now than one year ago
  - 2 Somewhat better now than one year ago
  - o 3 About the same
  - 4 Somewhat worse now than one year ago
  - 5 Much worse now than one year ago

The following items are about activities you might do during a typical day. Does **your health now limit you** in these activities? If so, how much?

	Yes, limited a lot	Yes, limited a little	No, not limited at all
3. <b>Vigorous activities</b> , such as running, lifting heavy objects, participating in strenuous sports	0 1	o 2	o 3
4. <b>Moderate activities</b> , such as moving a table, pushing a vacuum cleaner, bowling, or playing golf	0 1	o 2	o 3
5. Lifting or carrying groceries	o 1	o 2	o 3
6. Climbing <b>several</b> flights of stairs	0 1	o 2	o 3
7. Climbing <b>one</b> flight of stairs	0 1	o <b>2</b>	0 3
8. Bending, kneeling, or stooping	0 1	o <b>2</b>	0 3
9. Walking more than a mile	o <b>1</b>	o <b>2</b>	0 3
10. Walking several blocks	o <b>1</b>	o <b>2</b>	0 3
11. Walking one block	o <b>1</b>	o 2	o 3
12. Bathing or dressing yourself	o 1	o 2	o 3

During the past 4 weeks, have you had any of the following problems with your work or othe
regular daily activities as a result of your physical health?

	Yes		No	
13. Cut down the amount of time you spent on work or other activities	0	1	0 2	<u>)</u>
14. Accomplished less than you would like	0	1	0 2	<u>)</u>
15. Were limited in the kind of work or other activities	0	1	0 2	<u>)</u>
16. Had difficulty performing the work or other activities (for example, it took extra effort)	0	1	0 2	<u>)</u>

During the **past 4 weeks**, have you had any of the following problems with your work or other regular daily activities **as a result of any emotional problems** (such as feeling depressed or anxious)?

	Yes	No
17. Cut down the <b>amount of time</b> you spent on work or other activities	0 1	o 2
18. Accomplished less than you would like	0 1	o 2
19. Didn't do work or other activities as carefully as usual	o 1	o 2

- 20. During the **past 4 weeks**, to what extent has your physical health or emotional problems interfered with your normal social activities with family, friends, neighbours, or groups?
  - o 1 Not at all
  - $\circ$  2 Slightly
  - o 3 Moderately
  - o 4 Quite a bit
  - 5 Extremely
- 21. How much **bodily** pain have you had during the **past 4 weeks**?
  - o 1 None
  - o 2 Very mild
  - o 3 Mild
  - o 4 Moderate
  - o 5 Severe
  - o 6 Very severe
- 22. During the **past 4 weeks**, how much did **pain** interfere with your normal work (including both work outside the home and housework)?
  - o 1 Not at all

- o 2 A little bit
- o 3 Moderately
- o 4 Quite a bit
- 5 Extremely

These questions are about how you feel and how things have been with you **during the past 4** weeks. For each question, please give the one answer that comes closest to the way you have been feeling.

How much of the time during the past 4 weeks...

ow mach of the time daring the past 4 to	CCNS					
	All of the time	Most of the time	A good bit of the time	Some of the time	A little of the time	None of the time
23. Did you feel full of pep?	o <b>1</b>	o <b>2</b>	o 3	0 4	o 5	o 6
24. Have you been a very nervous person?	0 1	o 2	o <b>3</b>	0 4	o 5	o 6
25. Have you felt so down in the dumps that nothing could cheer you up?	o 1	o <b>2</b>	0 3	0 4	o <b>5</b>	o 6
26. Have you felt calm and peaceful?	0 1	o <b>2</b>	0 3	0 4	o 5	o 6
27. Did you have a lot of energy?	0 1	o 2	o 3	0 4	o <b>5</b>	o 6
28. Have you felt downhearted and blue?	o 1	0 2	o 3	0 4	o <b>5</b>	o 6
29. Did you feel worn out?	o <b>1</b>	o 2	0 3	0 4	o <b>5</b>	o 6
30. Have you been a happy person?	o <b>1</b>	o <b>2</b>	0 3	0 4	o <b>5</b>	o 6
31. Did you feel tired?	0 1	o 2	0 3	0 4	o 5	o 6

- 32. During the past 4 weeks, how much of the time has your physical health or emotional problems interfered with your social activities (like visiting with friends, relatives, etc.)?
  - o 1 All of the time
  - o 2 Most of the time
  - o 3 Some of the time
  - o 4 A little of the time
  - o 5 None of the time

How TRUE or FALSE is **each** of the following statements for you.

33. I seem to get sick a little easier than other people	Definitely true   o 1	Mostly true	Don't know • 3	Mostly false o 4	Definitely false  o 5
34. I am as healthy as anybody I know	o 1	o 2	o 3	0 4	o 5
35. I expect my health to get worse	o 1	o 2	o 3	0 4	o 5
36. My health is excellent	o <b>1</b>	o 2	o 3	o <b>4</b>	o <b>5</b>

This tool was developed at RAND Health as part of the Medical Outcomes Study.

# **Postoperative DCF**

Postoperative Delirium Following Total Joint Arthroplasties among South				
East Asians				
Serial No:	POD1			
Date of surgery:				
Type of surgery:				
Date of assessment:				
CAM ASSESSMENT				
1. Acute onset change in mental status from	baseline?			
a. Yes 🗆				
b. No □				
c. Uncertain	_			
	tention, is easily distracted, or has difficulty			
keeping track of what was being said?				
a. Yes – mild form $\square$ , fluctuating $\square$				
b. Yes – marked form $\square$ , fluctuating				
c. Uncertain				
d. No □				
3. Was the patient's thinking disorganized or conversation, unclear or illogical flow of ide subject?	incoherent, such as rambling or irrelevant eas, or unpredictable switching from subject to			
a. Yes – mild form $\square$ , fluctuating $\square$				
b. Yes – marked form $\square$ , fluctuating				
c. Uncertain				
d. No $\square$				
4. How is the patient's overall level of conscio	ousness?			
a. Alert (normal) $\square$				
b. Vigilant (hyperalert, overly sensitiv	e to environmental stimuli, startled easily) $\Box$ ,			
fluctuating				
c. Lethargic (Drowsy, easily aroused) $\square$ , fluctuating $\square$				
d. Stupor (Difficult to arouse) $\square$ , fluctuating $\square$				
e. Coma (Unarousable) $\square$ , fluctuating $\square$				
f. Uncertain				
PAIN ASSESSMENT				
VAS score at time of assessment (0 – 10):				
VISUAL/HEARING IMPAIRMENT	PERIOPERATIVE DETAILS			
Visually impaired $\square$	Tourniquet time: minutes			
Hearing impaired  Intra-articular injections:				
If yes to above, hearing aids?   (i) Tranexamic acid   Dosage:				
,	(ii) Morphine □ Dosage:			

(iii) (iv) (v) (vi) (vii) (viii) (ix)	Marcaine ☐ Dosage: Adrenaline ☐ Dosage: Ketorolac ☐ Dosage: Triamcinolone ☐ Dosage: Vancomycin ☐ Dosage: Normal Saline ☐ Dosage: Others:

	=	ve Delirium Following Total Joint Arthroplasties among South			
East A	Sians				
Serial N	lo:	POD2			
Date of	surgery				
Type of	surgery	r:			
Date of	assessr	nent:			
CAM A	SSESSM	ENT			
5.	a. b.	onset change in mental status from baseline?  Yes   No   Uncertain			
6.	_	ne patient have difficulty focusing attention, is easily distracted, or has difficulty			
0.		g track of what was being said?			
	-	Yes – mild form □, fluctuating □			
		Yes – marked form □, fluctuating □			
		Uncertain			
	d. No $\square$				
7.	Was th	e patient's thinking disorganized or incoherent, such as rambling or irrelevant sation, unclear or illogical flow of ideas, or unpredictable switching from subject to			
a. Yes – mild form $\square$ , fluctuating $\square$					
b. Yes – marked form $\square$ , fluctuating $\square$					
c. Uncertain					
	d.	No □			
8.	How is	the patient's overall level of consciousness?			
	a. Alert (normal) $\square$				
b. Vigilant (hyperalert, overly sensitive to environmental stimuli, startled easily) $\Box$ , fluctuating $\Box$					
	c. Lethargic (Drowsy, easily aroused) $\square$ , fluctuating $\square$				
	d. Stupor (Difficult to arouse) $\square$ , fluctuating $\square$				
	e. Coma (Unarousable) $\square$ , fluctuating $\square$				
	f. Uncertain				
PAIN A	SSESSM	ENT			
VAS sco	re at tir	ne of assessment (0 – 10):			

Posto East A	-	ve Delirium Following Total Joint Arthroplasties among South			
Serial N	lo:	POD3			
Date of	surgery				
Type of	surgery	/:			
Date of	assessr	nent:			
	SSESSM				
1.	Acute o	onset change in mental status from baseline?			
	a.	Yes 🗆			
	b.	No 🗆			
	c.	Uncertain			
2.	Does th	ne patient have difficulty focusing attention, is easily distracted, or has difficulty			
	keepin	g track of what was being said?			
	a.	Yes – mild form $\square$ , fluctuating $\square$			
	b.	Yes – marked form □, fluctuating □			
	c.				
	d. No □				
3.	Was th	e patient's thinking disorganized or incoherent, such as rambling or irrelevant			
		sation, unclear or illogical flow of ideas, or unpredictable switching from subject to			
	subject	?			
	a. Yes – mild form $\square$ , fluctuating $\square$				
	b. Yes – marked form □, fluctuating □				
	c.	Uncertain			
	d.	_			
4.	How is	the patient's overall level of consciousness?			
		Alert (normal)			
	b.	Vigilant (hyperalert, overly sensitive to environmental stimuli, startled easily) $\Box$ ,			
		fluctuating			
	c. Lethargic (Drowsy, easily aroused) □, fluctuating □				
	d.	Stupor (Difficult to arouse) □, fluctuating □			
	e. Coma (Unarousable) $\square$ , fluctuating $\square$				
	f. Uncertain				
PAIN A	SSESSM				
VAS sco	re at tir	me of assessment (0 – 10):			

Postoperative	e Delirium Following Tota	al Joint Arthroplasties am	ong South	
East Asians				
Serial No:	DAY OF			
Date of surgery:  DISCHAR			GE	
Type of surgery:				
Date of assessme	ent:			
POSTOPERATIVE	MORBIDITY SURGERY			
Morbidity Type	Criteria		Tick if present	
Pulmonary	De novo requirement for supp respiratory support (e.g. mech			
Infectious	Currently on antibiotics or tem			
Renal	Presence of oliguria (< 500 ml/ (> 30% from preoperative valu for a nonsurgical reason			
Gastrointestinal	Unable to tolerate an enteral diet (either by mouth or via a feeding tube) for any reason, including nausea, vomiting, and abdominal distension			
Cardiovascular	Diagnostic tests or therapy within the last 24h for any of the following: de novo myocardial infarction or ischaemia, hypotension (requiring pharmacologic therapy or fluid therapy > 200ml/h), atrial or ventricular arrhythmias, or cardiogenic pulmonary edema			
Neurologic	Presence of a de novo focal deficit, coma or confusion and delirium			
Wound complication	Wound dehiscence requiring surgical exploration or drainage of pus from the operation wound, with or without isolation of organisms			
Haematologic	Requirement for any of the fol packed erythrocytes, platelets, cryoprecipitate	fresh-frozen plasma, or		
Pain	Surgical wound pain significant enough to require parenteral			

CPAP: continuous positive airway pressure

opiates or regional analgesia

# STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Recommendation	Page Number
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	5
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	7
Objectives	3	State specific objectives, including any prespecified hypotheses	7
Methods			
Study design	4	Present key elements of study design early in the paper	8
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	8-13
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	8
		(b) For matched studies, give matching criteria and number of exposed and unexposed	-
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	8-13
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	
Bias	9	Describe any efforts to address potential sources of bias	
Study size	10	Explain how the study size was arrived at	
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	14
		(b) Describe any methods used to examine subgroups and interactions	14
		(c) Explain how missing data were addressed	-
		(d) If applicable, explain how loss to follow-up was addressed	-
		(e) Describe any sensitivity analyses	14
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	-
		(b) Give reasons for non-participation at each stage	-
		(c) Consider use of a flow diagram	-
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	-
		(b) Indicate number of participants with missing data for each variable of interest	-
		(c) Summarise follow-up time (eg, average and total amount)	
Outcome data	15*	Report numbers of outcome events or summary measures over time	
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear	

		which confounders were adjusted for and why they were included	
		(b) Report category boundaries when continuous variables were	-
		categorized	
		(c) If relevant, consider translating estimates of relative risk into	-
		absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions,	-
		and sensitivity analyses	
Discussion			
Key results	18	Summarise key results with reference to study objectives	-
Limitations	19	Discuss limitations of the study, taking into account sources of potential	-
		bias or imprecision. Discuss both direction and magnitude of any	
		potential bias	
Interpretation	20	Give a cautious overall interpretation of results considering objectives,	-
		limitations, multiplicity of analyses, results from similar studies, and	
		other relevant evidence	
Generalisability	21	Discuss the generalisability (external validity) of the study results	_
Other information			
Funding	22	Give the source of funding and the role of the funders for the present	19
		study and, if applicable, for the original study on which the present	
		article is based	

<sup>\*</sup>Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at http://www.strobe-statement.org.

# **BMJ Open**

# Protocol for a Single-Centre Prospective Observational Study of Postoperative Delirium Following Total Joint Arthroplasties among South East Asians

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<b>Primary Subject Heading</b> :	Anaesthesia
Secondary Subject Heading:	Geriatric medicine, Mental health, Anaesthesia
Keywords:	postoperative, delirium, total joint arthroplasty, incidence

SCHOLARONE™ Manuscripts

## **TITLE**

Protocol for a Single-Centre Prospective Observational Study of Postoperative Delirium Following Total Joint Arthroplasties among South East Asians

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Keywords: postoperative, delirium, total joint arthroplasty, incidence

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Author	Role/Responsibility
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Train Near Abdallan	Prepared draft manuscript
	Revised draft manuscript
	·
	Approved final manuscript for submission Statistical calculations
	Agrees to be accountable for all aspects of
	the work in ensuring that questions related
	to the accuracy or integrity of any part of
	the work are appropriately investigated and
Comphine Davy Ton	resolved.
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	the work are appropriately investigated and
	resolved.

#### **ABSTRACT**

#### Introduction

Postoperative delirium is a serious and common complication in older adults following Total Joint Arthroplasties (TJA). It is associated with increased risk of postoperative complications, mortality, length of hospital stay and postdischarge institutionalisation. Thus, it has a negative impact on the health-related quality of life of the patient and poses a large economic burden. This study aims to characterise the incidence of postoperative delirium following TJA in the South East Asian population, and investigate any risk factors or associated outcomes.

## Methods and analysis

This is a single-centre prospective observational study, recruiting patients between 65 and 90 years old undergoing elective Total Knee Arthroplasty or Total Hip Arthroplasty. Exclusion criteria included patients with clinically diagnosed dementia. Preoperative and intraoperative data will be obtained prospectively. The primary outcome will be the presence of postoperative delirium assessed using the Confusion Assessment Method on postoperative day 1, 2, 3 and day-of-discharge. Other secondary outcomes assessed postoperatively will include hospital outcomes, pain at rest, knee and hip function, health-related quality of life and POMS-defined morbidity. Data will be analysed to calculate the incidence of postoperative delirium. Potential risk factors and any associated outcomes of postoperative delirium will also be determined.

## **Ethics and dissemination**

This study has been approved by the Singapore General Hospital Institutional Review Board (SGH IRB) (CIRB Ref: 2017/2467) and is registered on the ClinicalTrials.gov registry (Identified: NCT03260218). An informed consent form will be signed by all participants before recruitment and translators will be made available to non-English speaking participants. The results of this study will be presented at international conferences and submitted to a peer-reviewed journal. The data collected will also be made available in a public data repository.

#### STRENGTHS AND LIMITATIONS

## **Strengths**

- 1. This study is the first to evaluate the incidence of postoperative delirium in the elderly above 65 years old following TKA and THA in Singapore.
- Association of variables to occurrence of postoperative delirium that have not been well studied such as hand grip strength, STOP-Bang score and long-term outcomes including knee function and HRQoL will be analysed.
- 3. The Confusion Assessment Method (CAM) will be used, which is a gold standard measure for detection of delirium.

## Limitations

- 1. The study is conducted in a single centre in Singapore which may limit the generalisability of the results of the study.
- Delirium will be only assessed once a day, and the presence of delirium may be missed due to the fluctuating nature of the condition.

#### INTRODUCTION

Delirium is a neurocognitive disorder characterised by a disturbance in attention, level of consciousness and cognition, in which symptoms are acute in onset and may fluctuate in severity throughout the day<sup>1</sup>. Delirium is a common perioperative complication in older adults following total joint arthroplasty (TJA). The incidence of postoperative delirium following TJA may be as high as 17%<sup>2</sup>, although there is no data from the South-East Asian population, exposing a knowledge gap.

Patients with delirium after any surgery have an increased risk of major postoperative complications and increased mortality<sup>3,4</sup>. They also experience significantly longer hospital stay and are at increased risk of subsequent postdischarge institutionalisation<sup>4-6</sup>, which increases total procedural cost<sup>7</sup>. With the demand of total hip arthroplasty (THA) expected to rise by almost 2-fold and that for total knee arthroplasty (TKA) by almost 7-fold by 20308, postoperative delirium is likely to become a significant health and economic burden. However, the impact of delirium on outcomes after TJA have not been well reported, identifying a potential knowledge gap. Furthermore, due to these various negative outcomes of postoperative delirium, it is important to characterise the risk factors associated with postoperative delirium. Older age has been reported as one of the most important risk factor for developing postoperative delirium<sup>9,10</sup>. According to an existing study, the average age of the population undergoing TJA is 71 years, and majority of patients are 65 years or older (81.3% for TKR and 69.5% for THR). Thus, the population under study particularly at risk to postoperative delirium. Other important predisposing risk factors include pre-existing cognitive impairment, poor physical status, alcohol abuse <sup>5,9,10</sup>. While these are common risk factors for the TJA population, few studies have investigated if these risk factors also predispose to postoperative delirium following TJA specifically. There is a need to investigate the presence of other risk factors particular to TJA, especially if any are modifiable. As most of such operations are elective, there may be an opportunity to address the modifiable risk factors to optimise the patient prior to the surgery or to implement perioperative management strategies to mitigate the negative outcomes of postoperative delirium.

Given the limited knowledge on the incidence, risk factors and outcomes of postoperative delirium in the South East Asian population, together with the increasing number of older adults undergoing TJA, this study's primary aim is to characterise the incidence of delirium among older adults undergoing elective TJA. Our secondary aim is to identify risk factors of postoperative delirium following elective TJA among the elderly, including demography, comorbidities, clinical laboratory data and drugs used in the perioperative period. Our final aim is to investigate the impact of postoperative delirium on the immediate and longer term postoperative recovery after TJA. Identifying such risk factors and associated clinical or functional outcomes may be important in guiding perioperative care of prospective patients undergoing TJA.

#### METHODS AND ANALYSIS

## Study design

Institutional Review Board approval was obtained (Singhealth CIRB 2017/2467) prior to starting the study. This is a single centre, prospective observational study conducted at a tertiary public hospital in Singapore (Singapore General Hospital (SGH)). SGH is the largest hospital in Singapore with 1,597 beds in 2013<sup>11</sup>. 1,500 TKA surgeries were performed in SGH in 2007, accounting for 65% of all TKA surgeries in Singapore<sup>12</sup>.

## Study population

Patients aged between 65 and 90 undergoing elective total joint (hip or knee) replacement surgery in Singapore General Hospital will be screened for eligibility. A minimum age of 65 years old was chosen to be recruited based on the original study which developed and validated CAM<sup>13</sup>. Exclusion criteria includes patients who are unable to give their own consent for the surgery and anaesthesia. Patients with clinically diagnosed dementia will also be excluded from the study as they are deemed not to have capacity for consent.

All eligible patients will be identified from the appointment list of attendees of the preoperative evaluation clinic (PEC) at SGH where the patients attend for preoperative assessment and counselling by the anaesthetists. Patients between 65 and 90 years old undergoing TKA or THA will be approached and invited to enrol into the study. Informed consent will be obtained then.

## Preoperative data

We will collect the patients' baseline characteristics preoperatively. This will allow for the identification of risk factors or correction of confounding factors during analysis of the results.

## Data on cognitive status

Before the operation, each patient will be interviewed to assess their baseline cognitive status. The Mini-Mental State Examination (MMSE) will be used, which is a 11-question screening tool used to evaluate the cognitive aspects of mental function<sup>14</sup>. It measures domains of cognitive function including memory, attention, language, praxis and visuospatial ability. A score of 0-30 can be obtained with higher values denoting better cognitive function. A score of <24 suggests cognitive impairment. The test was adapted for use in Singapore, and the changes and reasons for the changes shown in the table in Supplementary file 1. In addition, the test will be administered in English, Chinese or Malay, according to the language the participant is most well-versed in. The Chinese version of MMSE that will be used was previously validated in Shanghai<sup>15</sup> and in Singapore<sup>16</sup>. The Malay version of MMSE that will be used was developed by translation from the English version. The Chinese and Malay versions of MMSE have similar test questions and are scored the same way as the English version, but there some differences shown in Supplementary file 2. However, a recent study in Singapore has shown that there were significant ethnic differences in unadjusted MMSE scores using the different versions of MMSE. These differences were not eliminated after accounting for known correlates of MMSE performance such as socioeconomic status, comorbid illnesses, functional health status, and health-related behaviours<sup>17</sup>.

The Confusion Assessment Method (CAM) will also be performed prior to the operation to obtain the patient's baseline score. CAM is a screening instrument for delirium intended for use by non-psychiatrically-trained clinicians based on the Diagnostic and Statistical Manual of Mental Disorders (DSM)-III-R criteria<sup>18</sup>. It involves an interview whereby delirium can be diagnosed using the CAM algorithm based on 4 criteria – (1) acute onset or fluctuating course, (2) inattention, (3) disorganised thinking and (4) altered level of consciousness, as can be seen in Figure 1. Delirium is said to be present if criteria 1 and 2 and either of 3 or 4 are present. CAM has a sensitivity of 94-100%, specificity of 90-95%, and high interobserver reliability<sup>13</sup>. This enables a new case of delirium in the postoperative period to be detected.

## Other data

Sensory impairment will be assessed during the preoperative interviews. Patients will be asked to wear their visual or hearing aids during these interviews. A patient will be considered to have visual or hearing impairment if the research member conducting the interview is unable to perform the interview normally due to the sensory impairment, such as raising his/her voice for a patient with impaired hearing. The preoperative MMSE assessment provides a useful tool in assessing for visual impairment as it has several vision-dependent items (naming objects, following a written command, instructions to handle a piece of paper, writing a sentence, copying a diagram)<sup>19</sup>.

The grip strength of each patient will also be measured using the JAMAR® Plus+ Digital Hand Dynamometer (Sammons Preston Inc, Bolingbrook, IL). Hand dynamometry has acceptable reliability and validity for measurement of grip strength<sup>20</sup>, which can serve as an indicator of muscle function and physical fitness. The normative value of hand grip strength for elderly in Singapore has recently been published, decreasing from 18.6kg and 29.3kg for females and males respectively in the 65-69 age group to 12.4kg and 18.5kg in the 85+ age group<sup>21</sup>.

The patient baseline characteristics will be obtained from the medical records. All perioperative data will be prospectively entered into the REDCap™ database. This will include data regarding patient's demographics, smoking history, alcohol history, pre-existing medical conditions, preoperative medications.

Each patient will also be rated preoperatively by an anaesthesiologist in the PEC based on the American Society of Anaesthesiologists (ASA) physical status classification<sup>22</sup>. It is a scale from 1 to 5, where 1 represents a completely healthy fit patient and 5 representing a moribund patient who is not expected to live 24 hours with or without surgery. For our analysis, we will be calculating each patient's perioperative risk based on the Charlson Comorbidity Index (ChCI)<sup>23</sup>. 19 different comorbid medical conditions are assigned weights of 1, 2, 3 and 6 according to the degree to which they predicted mortality, and the sum of these values gives the final score. It is a valid method of estimating risk of mortality resulting from comorbidities<sup>24</sup>. The STOP-Bang score will also be calculated for each patient. The STOP-Bang questionnaire was a good screening tool for diagnosing obstructive sleep apnoea, with a sensitivity of 89.0% and accuracy of 79.1%<sup>25</sup>. Preoperative laboratory results, including data about haemoglobin or creatinine level, will also be collected.

Preoperative baseline functional scores for TKA (Oxford Knee Score, Knee Society Functional Score and Knee Society Knee Score) and for THA (Harris Hip Score and Parker Mobility Score) will be obtained from the patient by trained staff at the Orthopaedic Diagnostic Centre (ODC). These assessments will be further discussed in the "Postoperative data" section.

The preoperative data collection form (DCF) can be seen in Supplementary file 3.

## Intraoperative data

Data regarding the surgery will be collected. Intraoperative data includes type of arthroplasty performed (TKA or THA), type of anaesthesia (spinal, general or other anaesthesia), use of femoral nerve block, intraoperative drug use, tourniquet time, intra-articular injections and blood transfusion (number of pints transfused). Occurrence of hypotension, which is defined as a mean arterial pressure <60mmHg, and its duration will also be recorded.

## Postoperative data

## Primary outcome

The primary outcome will be the presence of postoperative delirium following TJA. Each patient will be assessed for delirium on postoperative day (POD) 1, 2 and 3 in the wards at 7am as well as the day of discharge. Delirium will not be evaluated on POD 0 due to difficulty in differentiating delirium from the effects of residual anaesthesia.

CAM will be used to detect postoperative delirium and delirium severity. Delirium is said to be present if the patient meets the CAM criteria for any of the postoperative assessments. Patients assessed to have delirium will be referred to the psychiatrists for a formal diagnosis based on the DSM-V criteria and for further management.

## Short-term secondary outcomes

Postoperative complications will be assessed by the Postoperative Morbidity Survey (POMS). This is a 9-point survey that can be easily used by clinicians to characterise short-term postoperative morbidity in their respective settings<sup>26</sup>. It is designed to only identify morbidity of a type and severity that could prolong length of stay (LOS). Using POMS, postoperative morbidity outcomes can be dichotomised into 2 categories – the absence and presence of morbidity. The POMS instrument can be seen in Supplementary file 4 attached. POMS will be assessed on POD 3, 5, 8 and 15, as recommended by the original literature<sup>26</sup>. It has also been reported to have good inter-rater reliability and acceptability to patients<sup>27</sup>.

In addition, the Comprehensive Complication Index (CoCI) will also be used to assess postoperative complications, which is based on the widely established Clavien-Dindo classification. The score is the sum of all complications attributable to a single procedure, weighted according to their respective severities. The index thus integrates the severity of all major and minor postoperative complications in a patient, minimising the risk of ignoring minor complications. The score ranges from 0 (no complications) to 100 (death)<sup>28</sup>. The CoCI will be assessed on the day of discharge and POD 30. It is more sensitive than other existing traditional endpoints to detect treatment effects on postoperative morbidity<sup>28</sup>.

Other postoperative outcomes that will be obtained include postoperative nausea and vomiting (PONV), LOS and 30-day readmission rates. 30-day readmission is defined as readmission within 30 days of initial admission. The reason for readmission will also be obtained.

## Long-term secondary outcomes

Longer-term outcomes such as functional and health-related quality-of-life (HRQoL) outcomes will also be recorded by the ODC total joint registry at 6 months, 1 year, 2 years and 5 years postoperatively. The ODC tracks clinical outcome measures during pre- and postoperative functional assessments of the patients in SGH. Their total joint registry contains data about outcomes from knee and hip arthroplasties.

For patients undergoing TKA, knee function will be measured using the new Knee Society Knee Score (KSKS) and Function Score (KSFS)<sup>29</sup>. The physician-derived KSKS measures alignment, stability, joint motion and symptoms experienced. The patient-derived KSFS evaluates use of walking aids and supports, ability to complete standard activities of daily living and discretionary activities. The KSKS and KSFS each range from 0 (worst) to 100 (best). Both provide a validated rating of the functional outcome of the patient and knee prosthesis after TKA<sup>30</sup>. In addition, the Oxford Knee Score (OKS)<sup>31</sup> will also be used, which is a 12-item, patient-assessed questionnaire designed specifically for use in patients undergoing TKA [7]. It assesses an individual's pain and physical disability. Each item is scored from 1 (least difficulty/severity) to 5 (most difficulty/severity), and individual item scores are summed to yield an overall score ranging from 12 (no pain or limitation) to 60 (severe pain or limitation). A lower OKS indicates a better outcome. It has good reliability, construct and content validity, and sensitivity to clinically important changes over time<sup>31,32</sup>. It correlates strongly with pain but less with postoperative functioning<sup>33</sup>.

For patients undergoing THA, hip function will be measured using the Harris Hip Score (HHS)<sup>34</sup>. The HHS is a clinician-based tool to assess the outcomes of hip surgery such as THA. It contains 4 subscales – pain severity, function, absence of deformity and range of motion. The total score ranges from 0 (worst) and 100 (best). It showed high validity and reliability when used to study the clinical outcome of THA<sup>35</sup>. Hip function will also be measured using the Parker Mobility Score (PMS)<sup>36</sup>. A score of 1 represents a patient who does not require a walking aid and has no restriction in walking distance, while a score of 10 represents a patient who is mostly bedbound. It is reliable and a valid predictor of in-hospital and long-term outcomes<sup>36–38</sup>. Outcomes for THA will only be recorded at 6 months and 2 years, unlike the other long-term secondary outcomes.

HRQoL will be assessed based on the 36-Item Short Form Health Survey (SF-36)<sup>39</sup> to obtain a baseline score. It consists of 36 questions categorised into 8 domains (physical functioning, bodily pain, role limitations due to physical health problems, role limitations due to personal or emotional problems, emotional well-being, social functioning, energy/fatigue, and general health perceptions). This instrument is shown in Supplementary file 5. Higher scores indicate better health status and quality of life.

Days alive and out of hospital (DAOH) will also be determined for each patient at 1 month, 6 months and 12 months to assess overall impact of postoperative delirium on morbidity and mortality. DAOH will be calculated based on death date (if present) and duration of all subsequent hospitalisations until the follow-up date. This will be recorded as a percentage

by dividing DAOH by total potential follow-up period, which is the time period between the operation and the respective dates of follow-up (1 month, 6 months and 12 months). %DAOH is a useful measure as it emphasises the deaths occurring early in follow-up and takes into account the severity (duration) of any hospitalisation.<sup>40</sup>

## Postoperative risk factors

Other postoperative data will be collected as variables for risk factors.

Postoperative pain at rest will be evaluated using the pain Visual Analog Scale (VAS) during the same visit as CAM on POD 1, 2 and 3. Each patient will score pain experienced at rest on a scale, with 0 = no pain and 10 = maximum pain.

Sensory impairment will also be assessed during the postoperative interviews similar to the preoperative assessments.

The postoperative DCF can be seen in Supplementary file 6.

The flowchart shown in Figure 2 depicts a patient's journey starting from enrolment in the PEC.

## **Data management**

Patient data will be kept confidential throughout the study. All electronic study data entry, storage and analysis will be done according to institutional data security policy, using password protected data in secure systems.

The patient data collected will be de-identified and the key kept securely separated with access limited to principal investigator and co-investigators. A study-related identification number given to each patient will be used on the case report form. Research members will enter the de-identified data into the REDCap™ (Research Electronic Data Capture) tool hosted on a secure server at Singapore General Hospital³6. The hardcopy of the research data will be securely stored within the department. The softcopy of research data will be saved in a password-protected file and will be stored in institution approved login-protected system and encrypted hard-drive. Only study members will have access to the data.

## Power and sample size calculations

The primary aim of the study is to characterise the incidence of postoperative delirium following TJA, which is estimated at around 10% based on existing literature<sup>2</sup>. Using this estimate, 150 patients are enough to detect the incidence with a precision of 5% and confidence level of 95%.

However, this study will also aim to detect potential factors which may be correlated to postoperative delirium by logistic regression. Thus, we will target to recruit 500 patients such that we are able to investigate the risk factors and associated outcomes of postoperative delirium using multiple logistic models while minimising the limitation of a small number of events of postoperative delirium.

## Statistical analyses

The incidence of postoperative delirium following TJA using the standard formula – the number of patients diagnosed to have postoperative delirium divided by the total number of patients in the study, and the result expressed as a percentage.

Potential risk factors of postoperative delirium will also be identified by comparing the perioperative data recorded between the patients with and without postoperative delirium. Data will first be summarised using descriptive statistics including mean, standard deviation (SD), median, range and frequency tables. Univariate analyses will then be used identify the differences between the two groups. Data of continuous variables will be compared using the Student's t-test (normally distributed data) or the Wilcoxon rank sum test (not normally distributed data). Data of categorical variables will be compared by Pearson Chi-square test or Fisher's exact test.

The variables which are statistically significant or close to significant (p value <0.05 or <0.1) between the two groups of patients will then be selected for inclusion in a multivariate logistic regression model. This will determine the independent predictors of postoperative delirium. The final model will be determined by sensitivity analysis. The sensitivity analysis can help us to understand the contribution of each parameter to the model outputs, investigate which parameter will have the biggest influence and then refine the model to obtain the final model which is statistically and clinically meaningful. Odds ratio (OR) will be used to describe the correlation of them with postoperative delirium.

Postoperative outcomes which are associated with the incidence of postoperative delirium will also be examined by comparing patients with and without postoperative delirium. The postoperative POMS score, CoCl score, incidence of PONV, LOS, incidence of 30-day readmission and %DAOH will be similarly compared using Student's t-test and Pearson Chisquare tests as appropriate.

For the long-term postoperative outcomes, the median postoperative SF-36 for each domain, KSKS, KSFS, OKS, HHS and PMS scores applicable at 6 months, 1 year, 2 years and 5 years will be compared to the median preoperative baseline scores using the Wilcoxon matched pairs sign rank test. The tests with a change greater than the minimally clinically important difference (MCID) will be identified. The MCID values for each test will be obtained from the pre-existing literature. These variables will then be analysed by analysis of covariance (ANCOVA) to identify predictors of the long-term postoperative test scores. All predictor variables will be incorporated into the multivariate ANCOVA model. Analyses will be performed separately for the various tests that demonstrated changes greater than MCID.

#### **ETHICS AND DISSEMINATION**

This study has been approved by the Singapore General Hospital Institutional Review Board (SGH IRB) (CIRB Ref: 2017/2467) and is registered on the ClinicalTrials.gov registry (Identified: NCT03260218). In the event of any important protocol modifications, all investigators, SGH IRB and trial participants will be notified.

The results of this study will be presented at international conferences and submitted to a peer-reviewed journal. The data collected will also be made available in a public data repository.

All eligible participants will be approached by the research assistant during their visit to the preoperative evaluation clinic. They will be given an explanation about the study, a patient information sheet and a consent form. They will then be given an ample time to consider if they would like to participate in the study. They will also be allowed to ask questions freely. If the participant expresses an interest to participate in the study, a written consent will be obtained. The consent forms are in English. However, participants from non-English speaking backgrounds will be provided a translator. For illiterate participants, an accompanying family member will be approached to verify and witness the consent process.



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## **COMPETING INTEREST STATEMENT**

None.

## FIGURE LEGENDS

**Figure 1.** CAM criteria: at least one criteria on each of the 3 rows must be met for a positive result.

**Figure 2.** Flowchart depicting a patient's timeline during the study.



- 1a. Acute onset: Is there evidence of an acute change in mental status from the patient's baseline?  $\ensuremath{\mathbf{OR}}$
- 1b. **Fluctuating course**: Did the (abnormal) behavior fluctuate during the day, that is tend to come and go or increase and decrease in severity?

#### AND

2. **Inattention**: Did the patient have difficulty focusing attention, for example being easily distractible, or having difficulty keeping track of what was being said?

#### AND

3. Disorganised thinking: Was the patient's thinking disorganized or incoherent, such as rambling or irrelevant conversation, unclear or illogical flow of ideas, or unpredictable switching from subject to subject?

OR

4. Altered level of consciousness: Overall, how would you rate this patient's level of consciousness? Any answer other than 'alert' indicates an abnormal level of consciousness.

CAM criteria: at least one criteria on each of the 3 rows must be met for a positive result

240x129mm (300 x 300 DPI)

Patients are enrolled into the study at PEC Eligibility checked and informed consent obtained

#### Preoperative assessments:

- 1. CAM to obtain baseline score
- 2. MMSE to assess cognitive status
- 3. Sensory impairment assessment
- 4. Grip strength measurement
  6. Preoperative baseline functional scores obtained
- 5. Other data obtained prospectively from medical records

Patient will undergo TJA operation Intraoperative data obtained prospectively from medical records

#### Postoperative assessments on POD 1, 2 and 3:

CAM to assess the presence and severity of delirium
 Pain VAS to score pain experienced at rest
 Sensory impairment assessment

#### Other postoperative assessments:

CAM on day-of-discharge to assess presence and severity of delirium
 POMS on POD 3, 5, 8, 15 to assess presence of postoperative morbidities
 CoCl on day-of-discharge and POD 30 to assess severity of postoperative morbidities
 Other data obtained prospectively from medical records

#### Postoperative assessments at 6 months, 1 year, 2 years and 5 years:

1. KSKS, KSFS and OKS to assess knee function in TKA patients 2. HHS and PMS to assess hip function in THA patients (only at 6 months and 2 years) 3. 36-SF to assess HRQoL

4. %DAOH to assess impact on morbidity and mortality

Flowchart depicting a patient's timeline during the study

140x117mm (300 x 300 DPI)

Item no.	Original version	Adapted version	Reasons
1	"What is the season?"	Without looking at your watch, what time is it?"	There are no seasons in Singapore.
2a	"What county are we in?";	"What area/street are we in?";	Singapore is a city country; "Singapore" would only the only correct answer.
2b	"What town/city are we in?"	"Which part of Singapore (North/South/East/West) are we in?"	Singapore is a city country; "Singapore" would only the only correct answer.

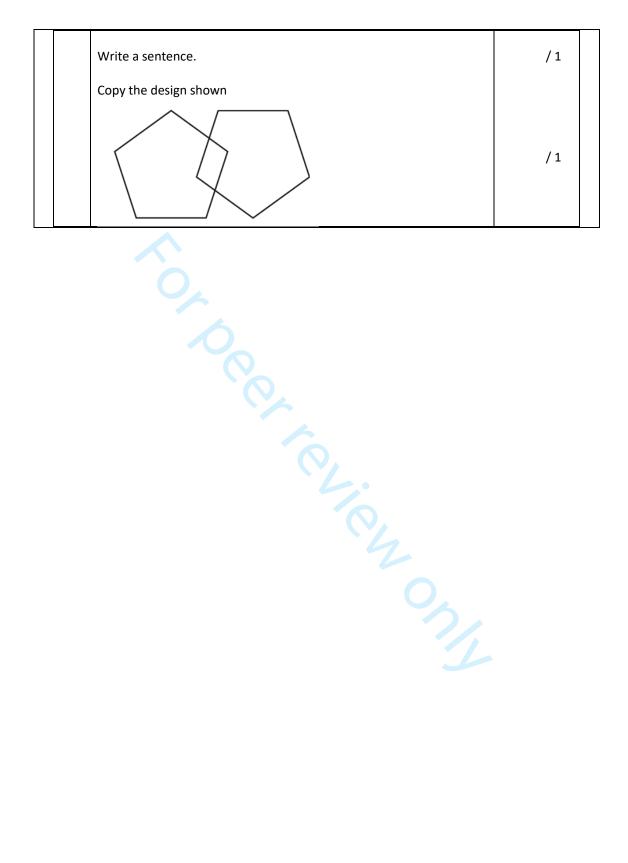
Item no.	English version	Chinese version	Malay version
4	Spell the word "WORLD" backwards	请把这句话倒说一遍 – "天 上有月亮"	Terbalikkan ejaan "DUNIA"
7	Repeat the following – "no ifs, ands, or buts"	请清除的重复一遍 – "四十四只石狮子"	Ulangan perkataan – "da dahulu, kini dan selamanya"

## **Preoperative DCF**

Postoperative Delirium Following Total Joint Arthroplasties among South			
East Asians			
Serial No:			
Date of preoperat	ive assessment:		
		OP DETAILS	
DEMOGRAPHIC		Surgeon:	
Age: Gender: Male $\Box$		Type of Operations	
		Type of Operation:  TKR □ THR □	
	Malay □ Indian □ Others □	Unilateral L □/ R □ OR Bilateral □	
Language:	Mandarin □ Malay □	Primary ☐ Revision ☐	
_	ivialida III 🗀 Ivialay 🗀	Pre-operative Dx:	
Highest education		The operative Bx.	
Height:	m		
Weight:	kg		
BMI:			
		Grip Strength:	
DACKCDOLIND NA	EDICAL CONDITION		
	EDICAL CONDITION	Clin D	
ASA:		Smoking □ Alcoholism □	
Charlson Comorbi	dity Index	Diabetes:	
	ore:	OHGA ☐ Insulin ☐	
cvs		Latest HbA1c	
	Myocardial infarction	Chronic kidney disease □	
	Congestive heart failure	Pre-existing dementia □	
	Peripheral vascular disease	Other pre-existing neurological problems	
CNS	P	□ CVA	
	Cerebrovascular disease	☐ Parkinson Disease	
	Hemiplegia or paraplegia	☐ Depression	
	Dementia	☐ Anxiety	
Respi		☐ Schizophrenia and other	
	Chronic pulmonary disease	delusional disorders	
MSK	parameter, and control	Visual impairment □	
	Rheumatologic disease	Hearing impairment $\square$	
<u>GI</u>	2.	STOPBANG score	
	Peptic ulcer disease	Chronic Medications	
	Mild liver disease	Benzodiazepine □	
	Moderate or severe liver	Statin   Transport Communication Communicati	
	disease	Tramadol  Oxynorm  Anti-depressants	
<u>Endocrine</u>		Anti-depressants	
	Diabetes without chronic	Tricyclics □ SSRI □ SNRI □  MOAI □	
	complications	MOAI □ Anti-psychotics	
	•	Anti-psycholics	

_		
	Diabetes with chronic	Haloperidol  Quetiapine
	complications	Olanzapine $\square$ Clozapine $\square$
Renal		Burney College Burley
	Mild Renal disease	Preoperative Laboratory Results
	Moderate or severe renal	Hemoglobin
D4-1:	disease	MCV
<u>Malignancy</u>		MCV
	Any malignancy, including	MCHC
	leukemia and lymphoma	Liron
	Non-metastatic solid tumo	our
	Metastatic solid tumor	
Immunological	AIDC/IIIV	
	AIDS/HIV	
IntraOp  ANA Type:	0,	
Fare Ni black	Yes	No
Fem N block		
Benzo Use:		
Atropine Use:		
Opioid use  If yes , dose:		
Dexa use		
If yes , dose:		
Promethazine us	se:	
Ondansetron:		
Blood Transfusion	on $\Box$	
Hypotension		
If yes, duration	_	
7.27		
BASELINE CAM		
a. Y	set change in mental status es $\square$	from baseline?
	ncertain	
		ing attention, is easily distracted, or has difficulty
	rack of what was being said	
	es – mild form $\square$ , fluctuatin	
	es – marked form □, fluctua	
	ncertain	
	o 🗆	<del></del>
		ed or incoherent, such as rambling or irrelevant
· ·		of ideas, or unpredictable switching from subject to
subject?	Ŭ	
	es – mild form $\square$ , fluctuatin	g 🗆
1	·	-

		b. Yes – marked form $\square$ , fluctuating $\square$	
		c. Uncertain	
		d. No □	
	4. I	How is the patient's overall level of consciousness?	
		a. Alert (normal) □	
		b. Vigilant (hyperalert, overly sensitive to environmental stimuli, sta	artled easily) $\Box$ .
		fluctuating $\square$	,
		c. Lethargic (Drowsy, easily aroused) □, fluctuating □	
		d. Stupor (Difficult to arouse) $\Box$ , fluctuating $\Box$	
		•	
		e. Coma (Unarousable) □, fluctuating □	
		f. Uncertain	
RΔ	SFIIN	E MINI MENTAL STATE EXAMINATION	
٠,	.ocz	E WINTE WELLT EXCENSIVE EX	
То	tal scc	ire	
Le	vel of	consciousness	
	,	Alert □ Drowsy □ Stupor □ Coma □	
		Question	Score
	1	What is the	/ 5
		• year	, -
		• month	
		day of the week	
		• date	
		<ul> <li>current time now (without looking at your watch)?</li> </ul>	
	2	What country are we in?	/5
	_	What area/street are we in?	, ,
		Which part of Singapore is this place (North, south, east, west or	
		central)	
		Which hospital are we in?	
		Which floor?	
	3	Show the objects: ball, flag, tree	/3
		( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( )	, -
		Name 3 objects: 1 second to say each. Then ask the patient	
		all 3 after you have said them. Give 1 point for each correct answer.	
		Then repeat them until he/she learns all 3. Count trials and record.	
		Trials	
	4	Serial 7's. 1 point for each correct answer. Stop after 5 answers.	/ 5
		Alternatively spell "world" backward.	
	5	Ask for the 3 objects repeated above. Give 1 point for each correct	/ 3
		answer.	
	6	Name a pencil and watch.	/ 2
		Repeat the following "No ifs, ands, or buts"	/ 1
		Follow a 3-stage command:	/3
		"Take a paper in your hand, fold it in half, and put it on the floor."	/ 1
		Read and obey the following:	/ 1
		CLOSE YOUR EYES	
		CLOSE TOOK LIES	



# **Postoperative Morbidity Survey**

Morbidity type	Criteria	Source of data
Pulmonary	Pulmonary Has the patient developed a new requirement for	
	oxygen or respiratory support.	Treatment chart
Infectious	Currently on antibiotics and/or has had a temperature	Treatment chart
	of >38°C in the last 24 hr.	Observation chart
Renal	Presence of oliguria <500 mL/24 hr; increased serum	Fluid balance chart
	creatinine (>30% from preoperative level); urinary	Biochemistry result
	catheter in situ.	Patient observation
Gastrointestinal	Unable to tolerate an enteral diet for any reason	Patient questioning
	including nausea, vomiting, and abdominal distension	Fluid balance chart
	(use of antiemetic).	Treatment chart
Cardiovascular  Diagnostic tests or therapy within the last 24 hr for any of the following: new myocardial infarction or ischemia, hypotension (requiring fluid therapy >200 mL/hr or pharmacological therapy), atrial or ventricular arrhythmias, cardiogenic pulmonary edema, thrombotic event (requiring anticoagulation).		Treatment chart
		Note review
Neurological	New focal neurological deficit, confusion, delirium, or	Note review
	coma.	Patient questioning
Hematological	Requirement for any of the following within the last 24	Treatment chart
	hr: packed erythrocytes, platelets, fresh-frozen plasma, or cryoprecipitate.	Fluid balance chart
Wound	Wound dehiscence requiring surgical exploration or	Note review
	drainage of pus from the operation wound with or without isolation of organisms.	Pathology result
Pain	New postoperative pain significant enough to require	Treatment chart
	parenteral opioids or regional analgesia.	Patient questioning

## 36-Item Short Form Health Survey

## RAND 36-Item Health Survey 1.0 Questionnaire Items

Choose one option for each questionnaire item.

- 1. In general, would you say your health is:
  - 1 Excellent
  - o 2 Very good
  - 3 Good
  - 4 Fair
  - **5 Poor**
- 2. **Compared to one year ago**, how would you rate your health in general now?
  - o 1 Much better now than one year ago
  - 2 Somewhat better now than one year ago
  - o 3 About the same
  - 4 Somewhat worse now than one year ago
  - 5 Much worse now than one year ago

The following items are about activities you might do during a typical day. Does **your health now limit you** in these activities? If so, how much?

	Yes, limited a lot	Yes, limited a little	No, not limited at all
3. <b>Vigorous activities</b> , such as running, lifting heavy objects, participating in strenuous sports	0 1	o 2	o 3
4. <b>Moderate activities</b> , such as moving a table, pushing a vacuum cleaner, bowling, or playing golf	0 1	o 2	o 3
5. Lifting or carrying groceries	o 1	o 2	o 3
6. Climbing <b>several</b> flights of stairs	0 1	o 2	o 3
7. Climbing <b>one</b> flight of stairs	0 1	o <b>2</b>	0 3
8. Bending, kneeling, or stooping	0 1	o <b>2</b>	0 3
9. Walking more than a mile	o <b>1</b>	o <b>2</b>	0 3
10. Walking several blocks	o <b>1</b>	o <b>2</b>	0 3
11. Walking one block	o <b>1</b>	o <b>2</b>	o 3
12. Bathing or dressing yourself	o 1	o 2	o 3

During the past 4 weeks, have you had any of the following problems with your work or other
regular daily activities as a result of your physical health?

	Yes		No	
13. Cut down the amount of time you spent on work or other activities	0	1	0 2	<u>)</u>
14. Accomplished less than you would like	0	1	0 2	2
15. Were limited in the kind of work or other activities	0	1	0 2	2
16. Had difficulty performing the work or other activities (for example, it took extra effort)	0	1	0 2	2

During the **past 4 weeks**, have you had any of the following problems with your work or other regular daily activities **as a result of any emotional problems** (such as feeling depressed or anxious)?

	Yes	No
17. Cut down the <b>amount of time</b> you spent on work or other activities	0 1	o 2
18. Accomplished less than you would like	0 1	o 2
19. Didn't do work or other activities as carefully as usual	o 1	o 2

- 20. During the **past 4 weeks**, to what extent has your physical health or emotional problems interfered with your normal social activities with family, friends, neighbours, or groups?
  - o 1 Not at all
  - $\circ$  2 Slightly
  - o 3 Moderately
  - o 4 Quite a bit
  - 5 Extremely
- 21. How much **bodily** pain have you had during the **past 4 weeks**?
  - o 1 None
  - o 2 Very mild
  - o 3 Mild
  - o 4 Moderate
  - o 5 Severe
  - o 6 Very severe
- 22. During the **past 4 weeks**, how much did **pain** interfere with your normal work (including both work outside the home and housework)?
  - o 1 Not at all

- o 2 A little bit
- o 3 Moderately
- o 4 Quite a bit
- 5 Extremely

These questions are about how you feel and how things have been with you **during the past 4** weeks. For each question, please give the one answer that comes closest to the way you have been feeling.

How much of the time during the past 4 weeks...

ow mach of the time daring the past 4 to	CCNS					
	All of the time	Most of the time	A good bit of the time	Some of the time	A little of the time	None of the time
23. Did you feel full of pep?	o <b>1</b>	o <b>2</b>	o 3	0 4	o 5	o 6
24. Have you been a very nervous person?	0 1	o 2	o <b>3</b>	0 4	o 5	o 6
25. Have you felt so down in the dumps that nothing could cheer you up?	o 1	o <b>2</b>	o 3	0 4	o <b>5</b>	o 6
26. Have you felt calm and peaceful?	0 1	o <b>2</b>	0 3	0 4	o 5	o 6
27. Did you have a lot of energy?	0 1	o 2	o 3	0 4	o <b>5</b>	o 6
28. Have you felt downhearted and blue?	o 1	0 2	o 3	0 4	o <b>5</b>	o 6
29. Did you feel worn out?	o <b>1</b>	o 2	0 3	0 4	o <b>5</b>	o 6
30. Have you been a happy person?	o <b>1</b>	o <b>2</b>	0 3	0 4	o <b>5</b>	o 6
31. Did you feel tired?	0 1	o 2	0 3	0 4	o 5	o 6

- 32. During the past 4 weeks, how much of the time has your physical health or emotional problems interfered with your social activities (like visiting with friends, relatives, etc.)?
  - o 1 All of the time
  - o 2 Most of the time
  - o 3 Some of the time
  - o 4 A little of the time
  - o 5 None of the time

How TRUE or FALSE is **each** of the following statements for you.

33. I seem to get sick a little easier than other people	Definitely true   o 1	Mostly true	Don't know • 3	Mostly false o 4	Definitely false  o 5
34. I am as healthy as anybody I know	o 1	o 2	o 3	0 4	o 5
35. I expect my health to get worse	o 1	o 2	o 3	0 4	o 5
36. My health is excellent	o <b>1</b>	o 2	o 3	o <b>4</b>	o <b>5</b>

This tool was developed at RAND Health as part of the Medical Outcomes Study.

# **Postoperative DCF**

Postoperative Delirium Following Total Joint Arthroplasties among South				
<b>East Asians</b>				
Serial No:		POD1		
Date of surgery:				
Type of surgery:				
Date of assessment:				
CAM ASSESSMENT				
1. Acute onset	change in mental status from b	paseline?		
a. Yes				
b. No				
	ertain	_		
	tient have difficulty focusing att ck of what was being said?	tention, is easily distracted, or has difficulty		
	$-$ mild form $\square$ , fluctuating $\square$			
	<ul> <li>marked form □, fluctuating □</li> </ul>			
	ertain			
d. No [				
3. Was the pat	cient's thinking disorganized or i	ncoherent, such as rambling or irrelevant		
conversation subject?	n, unclear or illogical flow of ide	as, or unpredictable switching from subject to		
a. Yes	– mild form $\square$ , fluctuating $\square$			
b. Yes	– marked form $\square$ , fluctuating [			
c. Unc	ertain			
d. No				
•	patient's overall level of conscio	usness?		
	t (normal) $\square$			
	lant (hyperalert, overly sensitive tuating $\square$	e to environmental stimuli, startled easily) $\Box$ ,		
	nargic (Drowsy, easily aroused)	$\square$ , fluctuating $\square$		
	oor (Difficult to arouse) $\square$ , fluct			
•	na (Unarousable) $\square$ , fluctuating	_		
	ertain			
PAIN ASSESSMENT				
VAS score at time of assessment (0 – 10):				
VISUAL/HEARING IMPAIRMENT PERIOPERATIVE DETAILS				
Visually impaired $\Box$		Tourniquet time: minutes		
Hearing impaired		Intra-articular injections:		
If yes to above, hearing aids? $\square$				
(ii) Morphine ☐ Dosage:				

(iii) (iv) (v) (vi) (vii) (viii) (ix)	Marcaine ☐ Dosage: Adrenaline ☐ Dosage: Ketorolac ☐ Dosage: Triamcinolone ☐ Dosage: Vancomycin ☐ Dosage: Normal Saline ☐ Dosage: Others:

Postoperative Delirium Following Total Joint Arthroplasties among South			
East A	Sians		
Serial N	lo:	POD2	
Date of	surgery		
Type of	surgery	r:	
Date of	assessr	nent:	
CAM A	SSESSM	ENT	
5.	a. b.	onset change in mental status from baseline?  Yes   No   Uncertain	
6.	_	ne patient have difficulty focusing attention, is easily distracted, or has difficulty	
0.		g track of what was being said?	
	-	Yes – mild form □, fluctuating □	
		Yes – marked form □, fluctuating □	
		Uncertain	
		No □	
7.	Was th	e patient's thinking disorganized or incoherent, such as rambling or irrelevant sation, unclear or illogical flow of ideas, or unpredictable switching from subject to	
	a.	Yes – mild form $\square$ , fluctuating $\square$	
	b.	Yes – marked form $\square$ , fluctuating $\square$	
	c.	Uncertain	
	d.	No □	
8.	How is	the patient's overall level of consciousness?	
	a.	Alert (normal)	
	b.	Vigilant (hyperalert, overly sensitive to environmental stimuli, startled easily) $\Box$ , fluctuating $\Box$	
	c.	Lethargic (Drowsy, easily aroused) $\square$ , fluctuating $\square$	
	d.	Stupor (Difficult to arouse) $\square$ , fluctuating $\square$	
	e.	Coma (Unarousable) $\square$ , fluctuating $\square$	
	f.	Uncertain	
PAIN A	SSESSM	ENT	
VAS sco	re at tir	ne of assessment (0 – 10):	

Postoperative Delirium Following Total Joint Arthroplasties among South East Asians						
Serial No: P	OD3					
Date of surgery:						
Type of surgery:						
Date of assessment:						
CAM ASSESSMENT						
<ol> <li>Acute onset change in mental status from baseline?</li> <li>a. Yes □</li> <li>b. No □</li> <li>c. Uncertain</li> <li>Does the patient have difficulty focusing attention, is</li> </ol>						
keeping track of what was being said?	,					
a. Yes – mild form $\square$ , fluctuating $\square$						
b. Yes – marked form $\square$ , fluctuating $\square$						
c. Uncertain	_					
d. No □						
3. Was the patient's thinking disorganized or incoherent, such as rambling or irrelevant conversation, unclear or illogical flow of ideas, or unpredictable switching from subject to subject?						
a. Yes – mild form $\square$ , fluctuating $\square$						
b. Yes – marked form $\square$ , fluctuating $\square$	Yes – marked form $\square$ , fluctuating $\square$					
c. Uncertain	. Uncertain					
d. No □						
4. How is the patient's overall level of consciousness?						
a. Alert (normal) $\square$						
b. Vigilant (hyperalert, overly sensitive to envir fluctuating $\square$	ronmental stimuli, startled easily) $\Box$ ,					
c. Lethargic (Drowsy, easily aroused) $\square$ , fluctu	uating $\square$					
d. Stupor (Difficult to arouse) $\square$ , fluctuating $\square$						
e. Coma (Unarousable) $\square$ , fluctuating $\square$						
f. Uncertain						
PAIN ASSESSMENT						
VAS score at time of assessment (0 – 10):						

Postoperative	e Delirium Following Tota	al Joint Arthroplasties am	ong South
East Asians			
Serial No:		DAY OF	
Date of surgery:		DISCHAR	GE
Type of surgery:			
Date of assessme	ent:		
POSTOPERATIVE	MORBIDITY SURGERY		1
Morbidity Type	Criteria		Tick if present
Pulmonary	De novo requirement for supplemental oxygen or other respiratory support (e.g. mechanical ventilation or CPAP)		
Infectious	Currently on antibiotics or tem		
Renal	Presence of oliguria (< 500 ml/ (> 30% from preoperative valu for a nonsurgical reason	day), elevated serum creatinine e), or urinary catheter in place	
Gastrointestinal	Unable to tolerate an enteral of feeding tube) for any reason, in abdominal distension	liet (either by mouth or via a ncluding nausea, vomiting, and	
Cardiovascular	Diagnostic tests or therapy wit following: de novo myocardial hypotension (requiring pharma therapy > 200ml/h), atrial or vo- cardiogenic pulmonary edema	infarction or ischaemia, acologic therapy or fluid	
Neurologic	Presence of a de novo focal de delirium	ficit, coma or confusion and	
Wound complication	, -	urgical exploration or drainage and, with or without isolation of	
Haematologic	Requirement for any of the fol packed erythrocytes, platelets cryoprecipitate	fresh-frozen plasma, or	
Pain	Surgical wound pain significant	enough to require parenteral	

CPAP: continuous positive airway pressure

opiates or regional analgesia

# STROBE Statement—Checklist of items that should be included in reports of *cohort studies*

	Item No	Recommendation	Page Number
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	5
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	7
Objectives	3	State specific objectives, including any prespecified hypotheses	7
Methods			
Study design	4	Present key elements of study design early in the paper	8
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	8-13
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	8
		(b) For matched studies, give matching criteria and number of exposed and unexposed	-
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	8-13
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	8-13
Bias	9	Describe any efforts to address potential sources of bias	8
Study size	10	Explain how the study size was arrived at	13-14
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	14
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	14
		(b) Describe any methods used to examine subgroups and interactions	14
		(c) Explain how missing data were addressed	-
		(d) If applicable, explain how loss to follow-up was addressed	-
		(e) Describe any sensitivity analyses	14
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	-
		(b) Give reasons for non-participation at each stage	-
		(c) Consider use of a flow diagram	_
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	-
		(b) Indicate number of participants with missing data for each variable of interest	-
		(c) Summarise follow-up time (eg, average and total amount)	_
Outcome data	15*	Report numbers of outcome events or summary measures over time	_
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear	-

		which confounders were adjusted for and why they were included	
		(b) Report category boundaries when continuous variables were	-
		categorized	
		(c) If relevant, consider translating estimates of relative risk into	-
		absolute risk for a meaningful time period	
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions,	-
		and sensitivity analyses	
Discussion			
Key results	18	Summarise key results with reference to study objectives	-
Limitations	19	Discuss limitations of the study, taking into account sources of potential	-
		bias or imprecision. Discuss both direction and magnitude of any	
		potential bias	
Interpretation	20	Give a cautious overall interpretation of results considering objectives,	-
		limitations, multiplicity of analyses, results from similar studies, and	
		other relevant evidence	
Generalisability	21	Discuss the generalisability (external validity) of the study results	-
Other information			
Funding	22	Give the source of funding and the role of the funders for the present	19
		study and, if applicable, for the original study on which the present	
		article is based	

<sup>\*</sup>Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at http://www.plosmedicine.org/, Annals of Internal Medicine at http://www.annals.org/, and Epidemiology at http://www.epidem.com/). Information on the STROBE Initiative is available at http://www.strobe-statement.org.