1 Supporting Information Appendix

2 3 4

The WRF-Chem Model

5 6 To assess the impacts of biomass burning (BB) aerosols on the marine stratocumulus clouds, the WRF-Chem model version 3.6.1 (1) is configured with a domain covering both southern Africa 7 and the southeast Atlantic as shown in Figure S9. The domain has a size of 6000 km (east-west) 8 by 1800 km (south-north) with a horizontal resolution of 3 km, and 42 vertical layers (17 layers 9 in the bottom 1 km). We conduct a series of 3-day forecasts by simulating the chemistry 10 continuously from August 1 to September 30, 2014 but reinitializing time dependent 11 meteorological initial and boundary conditions and sea surface temperatures with the NCEP FNL 12 reanalysis data every two days. The chemistry fields by the end of day 2 are used in initializing 13 14 the next 3-day simulation. 3-hourly model outputs from the last two days of the series forecasts are concatenated and analyzed. 15

16

17 An hourly BB aerosol emission dataset with 3 km spatial resolution is generated from the fire

radiative power (FRP) technique (2). In this technique, the BB aerosol emission rate of each

active fire is proportional to the FRP value retrieved by SEVIRI onboard the Meteosat satellite.
 The ratios, so-called emission coefficients, equal 18 g/MJ for savanna and grassland regions, and

21 15 g/MJ for tropical forest regions in southern Africa (2). A portion of East Africa is not

included in the domain to save the computational costs, as the biomass burning emissions in this

region only account for a very small fraction of total emissions (3.27%) during August and

24 September of 2014. A plume rise model is coupled in the WRF-Chem model to calculate the

injection height of BB aerosols. The plume rise model is driven by fire size, fire heat flux, and

- 26 the ambient thermodynamic conditions (3).
- 27

28 When incorporated with the MOSAIC aerosol scheme in WRF-Chem, the BB aerosol emissions

are further partitioned into black carbon (BC), organic matter (OM), and other inorganic

30 components following the vegetation-dependent mass ratios defined in the Fire Inventory from

31 NCAR (FINN) dataset (4, 5). Sea-salt and DMS emissions as well as chemical boundary layer

32 conditions are treated as in (6). It should be noted that BB aerosols and dust particles that enter

domain from north are not considered in this study, because, very likely their contributions to

aerosol fields in the Southern Africa and SEA relatively are small.

35

The MOSAIC aerosol scheme in WRF-Chem uses a sectional approach with eight size bins to

37 represent the aerosol size distribution. Different aerosol species within each size bin are assumed

to be internally mixed so that all particles within a size bin have the same chemical composition.
 Aerosol optical properties are computed as a function of wavelength and three-dimensional

- 40 position, and further coupled with the Goddard SW and RRTM LW schemes for the calculation
- of the radiative effect of BB aerosols. To simulate the aerosol microphysical effect, MOSAIC is

42 coupled with the Abdul-Razzak and Ghan CCN activation parameterization (7), which is further

43 coupled with the Morrison two-moment microphysics scheme (6). The other physics packages

44 employed in this study include the Grell cumulus parameterization (designed for resolution finer

45 than 3 km), Noah land surface model, and MYJ planetary boundary layer (PBL) scheme are

- 46 used.
- 47

48 In order to elucidate the effects of BB aerosols on the diurnal cycle of stratocumulus, we

- 49 simulated three cases. In C-case, only sea salt aerosol and DMS emissions are considered, while
- in P-case, the BB aerosol emissions are also incorporated. In M-case, we turned off the radiative
- effect of aerosols (dominantly due to BB aerosols by more than 90% in the fire seasons), so that
- 52 the microphysical and semi-direct effects can be distinguished.
- 53

54 **The WRF model in LES mode**

55

56 In previous studies, LES substantially furthers our understanding of the processes/feedbacks

- regulating stratocumulus (8-12). As shown in Figure S9, we conduct four one-way nesting
- simulations using the WRF model (without chemistry package) over different regions over SEA.
- 59 Unlike idealized large-eddy simulations, these nesting simulations are driven by the initial and
- 60 boundary conditions generated from the meteorological fields modeled by WRF-Chem (P-case)
- using ndown.exe program (13). Simulations are run with two additional levels of nesting from
- 62 00UTC September 7 to 00UTC September 9. Each domain and its two inner nests cover areas of
- 63 600 km×600 km, 100 km×100 km, and 33.3 km×33.3 km with horizontal resolutions of 600 m,
- 64 200 m, and 66.7 m, respectively. In this study, we only analyze the cloud properties in the
- 65 innermost nest since its horizontal resolution reaches the LES regime. The vertical resolution is
- 66 further refined from 42 layers in WRF-Chem to 97 layers (with 52 layers within 0 to 1 km and
- ⁶⁷ 25 layers within 1 to 2 km). The model results are outputted every hour. The physics packages
- used are generally consistent with the ones used in the outer domain, except the chemistry
- 69 package and cumulus parameterization are both turned off, and MYJ PBL scheme is replaced by
- The The State of t
- 71
- 72 For each one-way nesting simulation by WRF-LES, we designed a P-case and a C-case similar to
- those in the WRF-Chem, except the radiative and microphysical properties of BB aerosols are
- 74 prescribed and horizontally uniform in the domain. To represent the radiative effect of BB
- aerosols in the model, we incorporated the vertical profiles of radiative properties of BB aerosols
- at four wavelengths (300, 400, 600, and 999 nm) modeled by WRF-Chem over the same area
- into the Goddard SW scheme. The profiles are shown in Figure S10.
- 78
- 79 To account for the microphysical effect of BB aerosols in WRF-LES, we employ N_d values for
- both P-case and C-case. N_d in C-case is set to 30 cm⁻³, while a diurnal cycle of N_d is applied to P-
- case. N_d in P-case is updated every 3 hours (N_d = 100 cm⁻³ from 00 UTC to 09 UTC; 75 cm⁻³
- from 09 UTC to 12 UTC; 50 cm⁻³ from 12 UTC to 18 UTC; 100 cm⁻³ from 18 UTC to 00 UTC).
- 83 Even though the diurnal cycle of N_d averaged over study domain and period is not large (i.e.
- Figure 2A), we do apply the diurnal cycle of N_d in P-case because we find a 25 cm⁻³ reduction in
- N_d from morning to afternoon over the WRF-LES domains considered in our study as shown in

MODIS retrievals (i.e. Figure S2).

88 Satellite observations in comparison with model simulations

90 To validate modeled aerosol, cloud, and radiation fields, we employ products from several

- sensors onboard different satellites, including MODIS, CALIOP, CATS, SEVIRI, and CERES.
- 92

93 Above-cloud AOD

94

95 As mentioned in the text above, the observed above-cloud AOD is derived from the reflectance

- measurements at six MODIS channels from the visible to the shortwave infrared (15). In this
- study, MODIS retrievals of above-cloud AODs are an aggregated level-3 product with $1^{\circ} \times 1^{\circ}$
- resolution. The values are weighted by aerosol pixel counts in each 1 by 1 degree. The WRF-
- 99 Chem model does not directly output above-cloud AOD, but vertical profiles of layer AOD.
- Therefore, we first identify the cloud-top layer using a threshold cloud water mixing ratio (0.01 g kg^{-1}). Then by adding up layer AOD above the cloud-top layer, we obtain modeled above-cloud
- 102 AOD.
- In Figure S1 (A) and (B), we compare the above-cloud aerosol optical depth (AOD) fields from
- 104 MODIS/Aqua retrievals and the P-case simulation over the SEA during the study period (from
- August to September 2014). We label the BB aerosol emissions in Figure S1 (A) and (B) by
- orange dots representing the total two-month emissions over an area of $150 \text{ km} \times 150 \text{ km}$.
- 107 During the entire study period, 2.4 Tg of BB aerosols are emitted within the domain.
- 108

109 Cloud fraction (CF) and cloud liquid water path (LWP)

110

111 The modeled CF and LWP fields are compared against daily level-3 Terra and Aqua MODIS

- cloud products ("Cloud Retrieval Fraction Liquid" and "Cloud Water Path Liquid Mean")
- averaged over the study period. In order to calculate the MODIS LWP field averaged over the
- study period, the daily MODIS LWP is weighted by cloud pixel counts. Similar to (6), the
- modeled CF of each model column is assigned as 1 if cloud water mixing ratio is larger than 0.01
- 116 $g kg^{-1}$ anywhere in a model column below 700 hPa; otherwise as 0. Because cloud properties are
- 117 outputted every three hours, we average the cloud properties of two time steps in order to enable
- the comparison (e.g., the average value of model outputs at 9 UTC and 12 UTC is compared
- against Terra observation at 10:30 LST).
- 120

Figure S2 shows the modeled and observed CF and LWP fields during morning and afternoon

- averaged over the study period. The differences between model and observation in domain-
- averaged CF and LWP are less than 1.5% and 3 g m⁻², respectively. By examining CF and LWP
- fields, we find that the spatial distributions of CF are fairly well simulated. In addition, WRF-
- 125 Chem successfully captures the breakup of stratocumulus clouds over the remote region far away
- 126 from the coast during the daytime. The spatial distribution of LWP is reasonably simulated;
- however, WRF-Chem overestimates LWP by about 20 g m⁻² over the region north of 5°S and
- underestimates LWP by about 20 g m⁻² over the lower left corner of modeling domain (10°S to 1000 00 + 170 M). The last state of the lower left corner of modeling domain (10°S to 1000 00 + 170 M).
- 129 18° S, 0° to 17° W). The bias in modeled LWP is mainly caused by the stratocumulus clouds that
- are intermittently coupled with the MBL or those with cumulus clouds embedded below. In addition to CE and LWP fields WPE Chem model is also earrable of conturing mass cools
- addition to CF and LWP fields, WRF-Chem model is also capable of capturing meso-scale
- structures within the stratocumulus clouds, such as open cells (e.g., September 2), closed cells
- with high LWP values (e.g., September 5), and gravity wave breaking cloud decks (e.g.,

September 7), when compared to level-2 MODIS cloud water path product as shown in Figure 134 S11.

- 135
- 136

To validate modeled CF, instead of the MODIS product "Cloud Fraction", we use "Cloud 137 Retrieval Fraction Liquid" product. The former product tends to overestimate CF of 138 stratocumulus because it includes ice cloud pixels (see an example case shown in Figure S12). 139 The latter product probably underestimates CF because this product is calculated by counting 140 MODIS level-2 pixels with successful COT and effective radius retrievals only; therefore, WRF-141 Chem likely underestimates the CF. In addition, the MODIS LWP retrievals are likely biased 142 low due to low-biased cloud optical thickness retrievals as a result of above-cloud aerosol 143 absorption at the 860 nm channel. The uncertainties associated with LWP (due to systematic 144

- errors in detecting and retrieval processes), as in Table S1, are obtained from level-3 MODIS 145 cloud products, and averaged over the study period and domain. 146
- 147

Cloud droplet number concentration 148

149

In Figure S2, we compare N_d retrieved from MODIS observations against cloud-top N_d modeled 150 by P-case averaged over the study period. Following the approach in (16), we calculate N_d from 151 Terra and Aqua/MODIS level-3 cloud-top effective radius (r_e) and cloud optical depth (τ_c) 152 products with assumptions ($N_d = K \tau_c^{1/2} r_e^{-5/2}$), where K equals to $1.125 \times 10^{-6} \text{ cm}^{-1/2}$. The liquid 153 water content at the top of the cloud is assumed to be 80% of the adiabatic value. The ratio 154 between volume mean radius and the effective radius k equals 0.8 approximately. The values are 155 further weighted by cloud pixel count. Compared to Terra and Aqua observations, modeled mean 156 N_d values are slightly underestimated and overestimated, respectively, as in Table S1 (model vs. 157 Terra: 90.6 cm⁻³ vs. 92.5 cm⁻³; model vs. Aqua: 91.5 cm⁻³ vs. 85.3 cm⁻³). In addition, the spatial 158 pattern of N_d is reasonably simulated. Observed N_d can be biased due to biased r_e and τ_c . The 159 level-3 MODIS cloud products report the uncertainties associated with cloud optical depth and 160 cloud effective radius. Using possible ranges of cloud optical depth and the effect radius, we 161 derived the range of N_d to be 63.9-128.6 cm⁻³ for Terra and 59.3-132.9 cm⁻³ for Aqua (Table S1). 162

163

Cloud optical depth (τ_c) 164

165

In Figure 2C, we compare modeled τ_c against level-3 MODIS cloud products ("Cloud Optical 166

Depth Mean Liquid") averaged over the study domain and period. To be consistent with N_d 167

calculation discussed above, we adopt the expression in Eq.6 of (16) for modeled τ_c = 168

 $CN_d^{1/3}LWP^{5/6}$, where C=0.2303 m^{8/3}kg^{-5/6} is effectively a constant. As shown in Figure 2C, 169

model performs reasonably well in simulating τ_c in terms of domain averaged values. 170

171

172 Vertical distributions of aerosols and cloud-top heights

173

In Figure S1 (C) and (D), we compare vertical profiles of relative occurrence frequencies of 174

175 aerosol features and cloud-top heights in the coastal and remote regions. The values are obtained

176 from CALIOP aerosol and cloud profile products with a horizontal resolution of 5 km

(CAL LID L2 05kmAPro and CAL LID L2 05kmCPro). To calculate the vertical distribution 177

- 178 of aerosols from CALIOP, we follow the approach in (17); however, instead of vertical feature
- mask product (VFM), we count non-zero values in "Extinction Coefficient 532" dataset. To 179

calculate the vertical profiles of aerosol features from CATS observations, we count the pixels 180

- that are labeled as aerosols. Modeled vertical profiles of aerosol features are calculated similarly 181
- by counting model grids with extinction at wavelength 550 nm larger than a threshold value 182
- (0.05 km^{-1}) . As shown in (18), the detection threshold of CALIOP for BB aerosols lies within 183 about $0.01 \sim 0.1 \text{ km}^{-1}$; therefore, we select 0.05 km^{-1} as the threshold for modeled extinction. 184
- 185

To calculate both observed and modeled relative occurrence frequency of aerosol features, we 186 consider cloud-free portions of the cloudy lidar profiles and model columns with stratocumulus 187 clouds, count the aerosol features, and add the counts at a given level over both coastal and 188

remote regions. We normalize the profiles by the total count of aerosol features between 0 to 6 189

km so that the areas between curve and Y-axis equal to one. We only analyze aerosol profiles 190

- during the nighttime because weakly scattering layers can be better detected than daytime (19); 191
- To calculate observed cloud-top heights, for each CALIOP profile, we examine the "Cloud 192
- Layer Fraction" product and identify cloud tops (below 700 hPa). 193
- 194

As shown in Figures S1 (C) and (D), WRF-Chem reasonably captures the transition of vertical 195 distributions of aerosol features from the coastal to remote regions, except the model slightly 196 overestimates the occurrence frequency of BB aerosols below 3 km near the coast, and produces 197 198 a peak frequency at a lower altitude for the remote region. Figure S1 (C) and (D) also show the relative occurrence frequencies of cloud-top heights over the SEA as (C) observed by CALIOP 199 (grey shaded area) and (D) modeled by the P-case (red bars) and C-case (blue bars) during the 200 daytime of the study period. The model simulations, in agreement with the CALIOP observation, 201 predict that the cloud-tops of stratocumuli increase as the MBL deepens from the coastal to 202

remote regions. 203

204 205

206 Above-cloud aerosols (ACA) occurrence frequency

207

To calculate the modeled above-cloud aerosol (ACA) occurrence frequency, which is critical for 208 estimating the DRE of BB aerosols (20), we count the numbers of model columns with low-level 209 stratocumulus cloud and model columns with both low-level stratocumulus cloud and above-210 cloud AOD larger than 0.1. The ratio between two values is defined as occurrence frequency of 211 ACA. According to Figure 6 in (21), the occurrence frequency of above-cloud AODs retrieved 212 by MODIS below 0.1 is very low. Therefore, we use the threshold above-cloud AOD of 0.1 for 213 ACA calculations. 214

215

Observed occurrence frequencies are obtained from two satellite products: 1) In CALIOP 216 observations, we counted VFM along the swaths for August and September of 2014 (20). The 217

differences in ACA occurrence frequencies obtained from daytime and nighttime observations 218

are quite significant, because of different signal-to-noise ratios. In this study, we only compare 219

220 modeled and observed nighttime ACA occurrence frequency; 2) Similarly, we also counted

aerosol features measured by CATS during the nighttime, but for August and September of 2015 221

since CATS was not available in 2014. 222

As shown in Figure S3 and Table S1, the modeled ACA occurrence frequency is slightly higher

than those retrieved from satellites in terms of the domain-averaged value. Due to the low

sampling rate of CALIOP and CATS during the study period, the ACA occurrence frequencies

as observed by these two satellites exhibit relatively noisy spatial patterns. Nevertheless, both

228 observation and model roughly agree that there is a relatively high ACA occurrence frequency 229 over the coastal region and a low ACA occurrence frequency over the remote region.

229 230

231 ACA-cloud mixing occurrence frequency

232

The ACA-cloud mixing occurrence frequency from CATS is derived following (*22*). We first examine ACA profiles observed by CATS. Out of all ACA profiles, we further examine whether aerosols appear in the pixels above the cloud-top, and calculate the mixing occurrence frequency. It should be noted that the vertical resolution of 60 m is the limitation of CATS satellite. When CATS detects that cloud tops and BB aerosol plume layers that are adjacent to each other, the possibility of aerosol mixing with cloud layers is high.

238

We calculated modeled ACA-cloud mixing occurrence frequency in a similar way. For model columns with ACA, we examine the model grids just above the cloud tops and check whether the extinction at wavelength 550 nm of model grids is larger than the threshold value (0.05 km^{-1}) .

243

244 Upward shortwave flux at TOA (SW_{TOA}↑)

In order to validate the modeled SW_{TOA}↑, we use the CERES product "SYN1deg-3Hour"
(https://ceres.larc.nasa.gov/science_information.php?page=CeresTempInterp), and average the
values at 9, 12, 15 UTC over SEA.

249

250 Changes in cloud-top height due to BB aerosols

251

In the study by (23), they find that due to semi-direct effect-induced reduction in subsidence, the cloud-top height increases in the case with BB aerosols than the case without. Therefore, here we first examine the semi-direct effect-induced changes in subsidence.

255

256 We show subsidence profiles in both P-case and M-case (the difference between two cases

represents the semi-direct effect) over the same region as in Figure 13a in (23), but for August-

- September instead of July-October. As stated in (23), the subsidence can be reduced by as much
- as one-third because of the semi-direct effect. As shown in Figure S13, the subsidence is also

reduced in our simulation; however, the magnitude of peak reduction is about half of that from (22). The smaller reduction is subsidence can be due to 1) relatively smaller magnitude of sami

(23). The smaller reduction in subsidence can be due to 1) relatively smaller magnitude of semi direct effect from this study; and 2) model differences between the two studies. For example,

262 WRF-Chem is a nonhydrostatic model while CAM used in (23) is not. WRF-Chem simulations

- are conducted at a much higher horizontal resolution (3 km) compared to CAM simulations
- 265 (~200 km).
- 266
- Furthermore, as shown in both (23) and our study, BB aerosols are able to increase cloud-top
- height, while observational studies, like (17) and (24), show that cloud-top height decreases due
- to BB aerosols. Clearly, different approaches are adopted in modeling and observational studies.

- 270 One drawback in observational studies is that the role of meteorology can not be easily
- eliminated. For instance, (17) performed a statistical analysis and found that SST-binned
- 272 CALIOP cloud-top heights are lower under denser BB aerosol plumes (aerosol index [AI]
- measured by Ozone Monitoring Instrument [OMI] > 2) in comparison with those in relatively
- clean regions (OMI AI<2). However, the BB aerosol loadings over the remote region are usually
- less dense than those near the coast, where the cloud-top heights are relatively lower, regardless
- of the presence of above-cloud BB aerosols. This implies that the co-variation of aerosol with
- 277 meteorology in observational studies may have affected those results.
- 278
- Here we follow the approach in (24), and only study the P-case and examine if we can reproduce
- the findings in (24).
- 281

Figure S14 shows the time series of above-cloud AOD and cloud-top height for entire September

- of 2014 as modeled by P-case. The values are averaged over a box around St. Helena Island (7.5°
- 284 -17.5°S, 7.5°W-2.5°E) as in (24). In (24), AOD (fine mode)<0.1 and AOD (fine mode)>0.2 are
- defined as clean and polluted conditions, respectively. However, over all of September our
- model simulation predicts AOD higher than 0.1 over this region. Therefore, we use a slightly
- higher threshold to define the clean condition (AOD<0.15). In Figure S14, the two dashed lines
- represent two threshold values (0.15 and 0.2). We found that, in the P-case, the averaged cloudtop height of polluted condition is 183 m lower than that in clean conditions, in agreement with
- (24). It is likely that meteorology plays a role in determining the co-variance of cloud-top height
- and AOD. We speculate that it is due to some synoptic-scale dynamic systems propagating from
- east to west that control the aerosol transport process as well as the cloud properties over St.
- Helena Island. The underlying mechanisms are beyond the scope of this study.
- 294

295 Diurnal cycles of cloud-top heights

296

By examining the diurnal cycles of cloud-top heights modeled by three cases as shown in Figure 297 S8, we find that, during the nighttime, about 50% of cloud-top height increase is due to enhanced 298 entrainment, and the other half is due to reduced subsidence caused by the semi-direct effect 299 (23). (This is a delayed impact on dynamics, as we find that the magnitude of reduced subsidence 300 at cloud top during the nighttime is slightly smaller than the daily mean value shown in Figure 301 S13.) During the daytime (i.e. from 9 UTC to 15 UTC), in addition to aforementioned two 302 factors, the semi-direct effect of BB aerosols can strengthen the inversion and thereby reduce the 303 cloud-top entrainment and cloud-top height (17, 25). As a result, we find that the cloud-top 304 height difference between P-case and M-case only accounts for about 20% of the cloud-top 305 height difference between P-case and C-case. We note that, based on our estimation, the total 306 effect of BB aerosols on cloud-top entrainment should still be positive during the daytime. 307 308 because reduced subsidence alone cannot explain all the cloud-top height increase.

309

310 Stratocumulus-topped boundary layer (STBL) decoupling mechanism

- 311
- As discussed in the main text, BB aerosols are able to increase cloud-top height partially because
- of higher entrainment rates; therefore, STBL also becomes deeper in both nighttime and daytime.
- In the daytime, solar heating at cloud top is able to counteract the cloud-top longwave cooling,
- 315 which is the main source of the negative buoyancy eddies. Therefore the negative buoyancy

- eddies become weaker so that STBL is decoupled from the moisture supply from surface (the
- decoupling process) in the daytime. When STBL is deeper, the decoupling is more prone to
- happen because it becomes harder for the negative buoyancy eddies to mix through the sub-cloud
- 319 layer.320

321 Semi-direct effect of BB aerosols

322

The semi-direct effect predicted in this study is smaller but still comparable to that found in earlier studies [i.e., (23)].

325

In our study, sea surface temperature (SST) is fixed in all three cases. When we compared $\Delta_S \theta$ 326 profiles (i.e., difference in potential temperature θ profiles between P-case and M-case) shown in 327 Figure S15 against Figure 13a in (23) in the same region over the SEA, we found that although 328 the heating rates by BB aerosol in higher altitudes (1.5 km to 3.5km) are similar, the WRF-Chem 329 model predicts a small positive $\Delta_{\rm S}\theta$ near the surface, because of heating by the BB aerosols 330 entrained in the boundary layer. As a result, LTS (the difference in θ between 700 hPa and the 331 332 surface, as defined in (23)) changes due to semi-direct effect ($\Delta_{s}LTS$) predicted by WRF-Chem are smaller than that in (23) (0.21 K as shown in Figure S16 vs. 0.44-0.47 K). We note that (23) 333

- 334 considered a longer period (July-October).
- 335

Whether or not surface cooling in WRF-Chem can cause a reduction in SST as significant as in the previous studies (e.g., (23)) remains unknown. In our study, BB aerosols in the P-case cause

a domain-averaged daily mean surface cooling (i.e., surface SW flux reduction) over the ocean of -8.98 W m^{-2} compared to M-case. The magnitude appears to be comparable to the value (-10

- of -8.98 W m⁻² compared to M-case. The magnitude appears to be comparable to the value (-10 W m⁻²) reported in (23). However, over the same region as in our study, (23) in Figure 5j has a
- W m⁻²) reported in (23). However, over the same region as in our study, (23) in Figure 5j has a higher surface cooling than ours, around -20 W m⁻². If we included a slab ocean model in WRF
- similar to (23), the decrease of SST might be smaller than the value reported by (23) because of
- the lower surface cooling in our study.
- 344

Because of smaller $\Delta_{s}LTS$, the CF changes due to the semi-direct effect of BB aerosols ($\Delta_{s}CF$) are also smaller compared to the values reported in (23). In our study, we found that $\Delta_{s}CF$ is

- only significantly changed during the afternoon near the coastal region (+1%), while in (23) the
- domain-averaged daily Δ_{s} CF is +0.8% to +2%. The sum of the daily mean semi-direct and direct
- effects over the ocean is -1.04 W m^{-2} (+1.40 W m⁻² over the coastal region and -2.81 W m⁻² over
- the remote region) in our study. The sum of the daily mean semi-direct and direct effects
- estimated by (23) is around -1.7 to -0.8 W m⁻². Again, (23) considered a longer period (July-
- 352 October) and larger region.
- 353

Since this study focuses on the microphysical effects of BB aerosols the quantitative difference in the semi-direct effect between our and other studies will not change the conclusions of our study.

357

358 Contributions of N_d , CF and LWP to changes in upward shortwave fluxes at TOA

359 **(SW**_{TOA}↑)

To further examine the contributions from CF, LWP and N_d to upward shortwave flux at TOA (SW_{TOA} \uparrow), we follow the same approach as in (26). For instance, the change in SW_{TOA} \uparrow due to changes in CF is calculated by

364 365

$\Delta SW_{CF} = SW(CF_{M}, LWP_{C}, Nd_{C}) - SW(CF_{C}, LWP_{C}, Nd_{C}),$

where subscripts M and C represent cloud properties modeled by M-case and C-case, respectively. SW is a parameterized SW_{TOA} \uparrow , which is a function of CF, LWP, and N_d, and is calculated using equations C1~C5 in (26). The magnitude of parameterized SW(CF_C, LWP_C, Nd_C) averaged over 9UTC, 12UTC, and 15UTC is 8.69% higher than modeled SW_{TOA} \uparrow in Ccase. It is worthy to mention that, according to (27), the uncertainties in CERES fluxes are less than 5% for SW for overcast, moderately thick or thick low clouds over ocean.

373 The contribution of changes in CF to the total microphysical effect is calculated by

375
$$P_{CF} = \frac{\Delta S W_{CF}}{\Delta S W_{CF} + \Delta S W_{LWP} + \Delta S W_{Nd}}$$

376 377

In (26), cloud properties modeled at different time steps are first weighted by downward SW flux 378 379 at TOA, and then used in the calculation. In our study, we first calculate ΔSW_{CF} , ΔSW_{LWP} , Δ SW_{Nd} at 9UTC, 12UTC, and 15UTC, and scale these three variables so that the sum of three 380 variables equals the modeled changes in SW_{TOA}[↑] between the M-case and C-case at 9, 12, and 381 15 UTC, respectively. We then average each variable at three times, and finally calculate the 382 contribution. The results show that CF, LWP and N_d contribute to the total microphysical effect 383 by 1.05%, 21.09%, and 77.86%, respectively, averaged over the three times. Therefore, the 384 Twomey effect contributes the most to the microphysical effect. The lower CF effect is because 385 of the cancellation of increased CF in the morning and decreased CF in the afternoon (Figure 386 2D) due to the microphysical effect. 387 388

389 **Precipitation efficiency**

390

In (28), the cloud-base rain rate of stratocumulus is parameterized as a function of LWP and N_d. 391 Using domain-averaged LWP and N_d values at 12UTC in P-case and C-case, we find that 392 parameterized cloud-base rain rates in two cases equal to 0.0101 mm h⁻¹ and 0.0276 mm h⁻¹, 393 respectively. In comparison, domain-averaged surface rain rates at 12UTC as modeled by P-case 394 and C-case are 0.015 mm h⁻¹ and 0.019 mm h⁻¹, respectively (cloud-base rain rate is not 395 outputted in the model). The difference between parameterized and modeled rain rates is within 396 the error (60%) associated with the parameterized cloud-base rain rate in (28). The fact that the 397 rain rate in the P-case is smaller than the C-case also proves that precipitation is suppressed 398 because of BB aerosols. 399

400

401 Spatial pattern of LWP change

402

By examining the Δ LWP spatial distribution at 15 UTC in Figure S5, we find that the P-case predicts smaller LWP compared to the C-case over a small area in the remote region (2°S to

- 10° S, 10° W to 17° W), where the precipitation rate at 15 UTC predicted by the P-case is below
- 0.1 mm day^{-1} . This result is in agreement with (9), who found that stratocumulus clouds become
- thinner with increasing N_d if the precipitation rate is small (<0.1 mm day⁻¹). Higher N_d can
- enhance cloud-top entrainment due to faster evaporation of smaller cloud droplets. The response of cloud water to increasing N_d depends on the competition between moistening from decreased
- 409 of cloud water to increasing N_d depends on the competition between moistening from decreased
- 410 precipitation and drying from increased entrainment. It is only when sufficient precipitation 411 reaches the surface and moistens the boundary layer that LWP increases with increases in N_d . It
- is worth noting that the area with decreasing LWP is where stratocumulus cloud decks are
- 413 transitioning into trade-wind cumulus clouds.
- 414
- 415

416 Validation of WRF-Chem model against WRF-LES model

417

The impacts of BB aerosols on the cloud diurnal cycle modeled in WRF-Chem are further 418 validated against WRF-LES results, since the performance of WRF-Chem can be limited by its 419 relatively coarse resolution (29). To facilitate the comparison, we ran four one-way nesting 420 simulations (denoted as d01 to d04) for the same two-day period over different regions of the 421 SEA, so that our WRF-LES results represent the evolution of stratocumulus clouds under the 422 423 influence of BB aerosols over different regions. The results from WRF-LES simulations demonstrate that, in agreement with previous studies (9), the effects of BB aerosols on cloud 424 properties depend on whether precipitation reaches the surface. Figure S6 shows the diurnal 425 cycles of Δ LWP and Δ CF from WRF-LES simulations, for periods when domain-averaged 426 precipitation rates in the P-case exceed 0.1 mm day⁻¹. The result shows that the impact of BB 427 aerosols on cloud properties differs depending on the domain. For the domains that are closer to 428 the coast (d01 and d02), ΔCF are very small, around 0.2%, from mid-night to early morning, 429 because the clouds are nearly overcast over those domains (Figure S6 (C)). The significant 430 increases in LWP during the same period are in agreement with the WRF-Chem simulation over 431 432 the coastal region. For the domain d04, which is relatively far from the coast, ΔCF experiences more dramatic changes. As shown in Figures S6 (D) and (E), ΔCF for domain d04 changes from 433 +7% to -6% in 5 hours, demonstrating the effect of BB aerosols on entrainment and subsequently 434 cloud breakup. Interestingly, the average Δ LWP and Δ CF over the four domains agrees 435 reasonably well with WRF-Chem simulation over the coastal region, except during the evening 436 (21 UTC) when Δ LWP is lower. 437

438

For the entire simulation period as shown in Figure S7, the average Δ LWP of the four model 439 domains still agrees reasonably well with the WRF-Chem results. From 18 UTC to 21 UTC, 440 WRF-LES disagrees with WRF-Chem in terms of simulating ΔCF : the former yields negative 441 average values (\sim -4%) while the latter predicts small positive values (\sim 1%). This WRF-LES 442 result indicates that the recovery of the cloud deck during early evening (i.e. negative ΔCF 443 during 18 UTC~21 UTC) is delayed when the precipitation rate is extremely small (i.e. < 0.1 mm 444 day⁻¹). The discrepancy between WRF-Chem and WRF-LES for Δ CF does not weaken our 445 findings with WRF-Chem, since precipitation rates modeled by WRF-Chem usually exceed 0.1 446 mm day⁻¹ during early evening (18 UTC~21 UTC). 447 448

450		
451	Referenc	es in SI Appendix:
452		••
453	1.	Grell GA, et al. (2005) Fully coupled "online" chemistry within the WRF model.
454		Atmos Environ 39(37):6957–6975.
455	2.	Ichoku C, Ellison L (2014) Global top-down smoke-aerosol emissions estimation
456		using satellite fire radiative power measurements. Atmos Chem Phys 14(13):6643-
457		6667.
458	3.	Freitas SR, et al. (2007) Including the sub-grid scale plume rise of vegetation fires in
459		low resolution atmospheric transport models. Atmos Chem Phys 7(13):3385–3398.
460	4.	Wiedinmyer C, et al. (2011) The Fire INventory from NCAR (FINN): a high
461		resolution global model to estimate the emissions from open burning. Geosci Model
462		<i>Dev</i> 4(3):625–641.
463	5.	Lu Z, Sokolik IN (2013) The effect of smoke emission amount on changes in cloud
464		properties and precipitation: A case study of Canadian boreal wildfires of 2007. J
465		Geophys Res: Atmos 118(20):11777–11793.
466	6.	Yang Q, et al. (2011) Assessing regional scale predictions of aerosols, marine
467		stratocumulus, and their interactions during VOCALS-REx using WRF-Chem. Atmos
468		<i>Chem Phys</i> 11(23):11951–11975.
469	7.	Abdul-Razzak H, Ghan SJ (2000) A parameterization of aerosol activation: 2.
470		Multiple aerosol types. J Geophy Res: Atmos 105(D5):6837-6844.
471	8.	Yamaguchi T, Feingold G, Kazil J, McComiskey A (2015) Stratocumulus to cumulus
472		transition in the presence of elevated smoke layers. Geophy Res Lett 42(23):10478-
473		10485.
474	9.	Ackerman AS, Kirkpatrick MP, Stevens DE, Toon OB (2004) The impact of
475		humidity above stratiform clouds on indirect aerosol climate forcing. Nature
476		432(7020):1014-1017.
477	10.	Wang H, Feingold G (2009) Modeling mesoscale cellular structures and drizzle in
478		marine stratocumulus. Part I: Impact of drizzle on the formation and evolution of
479		open cells. J Atmos Sci 66(11):3237–3256.
480	11.	Feingold G, et al. (2010) Precipitation-generated oscillations in open cellular cloud
481		fields. <i>Nature</i> 466(7308):849-852.
482	12.	Wang H, Feingold G, Wood R, Kazil J (2010) Modelling microphysical and
483		meteorological controls on precipitation and cloud cellular structures in Southeast
484		Pacific stratocumulus. <i>Atmos Chem Phys</i> 10(13):6347–6362.
485	13.	Talbot C, Bou-Zeid E, Smith J (2012) Nested mesoscale large-eddy simulations with
486		WRF: performance in real test cases. J Hydrometeor 13(5):1421-1441.
487	14.	Smagorinsky J (1963) General circulation experiments with the primitive equations:
488		I. The basic experiment. Mon Wea Rev 91(3):99-164.
489	15.	Meyer K, Platnick S, Zhang Z (2015) Simultaneously inferring above-cloud
490		absorbing aerosol optical thickness and underlying liquid phase cloud optical and
491	4.7	microphysical properties using MODIS. J Geophys Res: Atmos 120(11):5524-5547.
492	16.	George KC, Wood K (2010) Subseasonal variability of low cloud radiative properties
493	17	over the southeast Pacific Ocean. Atmos Chem Phys 10(8):4047–4063.
494	17.	Wilcox E (2012) Direct and semi-direct radiative forcing of smoke aerosols over $1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 $
495		clouds. Atmos Chem Phys 12(1):139-149.

496	18.	Winker, DM, et al. (2013) The global 3-D distribution of tropospheric aerosols as
497	4.0	characterized by CALIOP. Atmos Chem Phys 13(6):3345-3361.
498	19.	Vaughan MA, et al. (2009) Fully automated detection of cloud and aerosol layers in
499		the CALIPSO lidar measurements. <i>J Atmos Oceanic Technol</i> 26(10):2034–2050.
500	20.	Zhang Z, et al. (2016) Shortwave direct radiative effects of above-cloud aerosols over
501		global oceans derived from 8 years of CALIOP and MODIS observations. Atmos
502		<i>Chem Phys</i> 16(5):2877-2900.
503	21.	Meyer K, Platnick S, Oreopoulos L, Lee D (2013) Estimating the direct radiative
504		effect of absorbing aerosols overlying marine boundary layer clouds in the southeast
505		Atlantic using MODIS and CALIOP. J Geophy Res: Atmos 118(10):4801-4815.
506	22.	Rajapakshe C, et al. (2007) Seasonally transported aerosol layers over southeast
507		Atlantic are closer to underlying clouds than previously reported. Geophys Res Lett
508		44:5818-5825.
509	23.	Sakaeda N, Wood R, Rasch PJ (2011) Direct and semidirect aerosol effects of
510		southern African biomass burning aerosol. J Geophy Res: Atmos 116(D12):D12205.
511	24.	Adebiyi AA, Zuidema P, Abel SJ (2015) The convolution of dynamics and moisture
512		with the presence of shortwave absorbing aerosols over the southeast Atlantic. J Clim
513		28(5):1997–2024.
514	25.	Johnson B, Shine K, Forster P (2004) The semi-direct aerosol effect: Impact of
515		absorbing aerosols on marine stratocumulus. Q J R Meteorol Soc 130(599):1407-
516		1422.
517	26.	Grosvenor DP, Field PR, Hill AA, Shipway BJ (2017) The relative importance of
518		macrophysical and cloud albedo changes for aerosol-induced radiative effects in
519		closed-cell stratocumulus: insight from the modelling of a case study. Atmos Chem
520		<i>Phys</i> 17: 5155-5183.
521	27.	Loeb NG, Kato S, Loukachine K, Manalo-Smith N, Doelling DR (2007) Angular
522		distribution models for top-of-atmosphere radiative flux estimation from the clouds
523		and the earth's radiant energy system instrument on the Terra satellite. part II:
524		validation. J Atmos Ocean Tech 24: 564–584.
525	28.	Comstock KK, Wood R, Yuter SE, Bretherton CS (2004) Reflectivity and rain rate in
526		and below drizzling stratocumulus. Q J R Meteorol Soc 130: 2891-2918.
527	29.	Martini MN, Gustafson WI, Yang Q, Xiao H (2014) Impact of resolution on
528		simulation of closed mesoscale cellular convection identified by dynamically guided
529		watershed segmentation. J Geophys Res: Atmos 119(22): 12674–12688.
530		
531		
532		
533		
534		
535		
536		
537		
	/	

Table S1. Modeled cloud and aerosol properties in comparison with satellite retrievals averaged over the SEA and study period. Observed CF, LWP, and N_d are obtained from MODIS/Terra in

the morning (AM) and MODIS/Aqua in the afternoon (PM). Observed CF ranges are obtained

from the SEVIRI satellite at 10:30 LST and 13:30 LST. Uncertainties associated with LWP and

ranges of N_d are discussed in the SI. Observed ACA frequencies are obtained from ^aCALIOP nighttime observation, ^bCATS nighttime observation (for Aug.-Sept. of 2015).

	CF/AM	CF/PM	LWP/AM	LWP/PM	N _d /AM	N _d /PM	ACA freq.
	(%)	(%)	(g/m^2)	(g/m^2)	$(\# \text{ cm}^{-3})$	$(\# \text{ cm}^{-3})$	(%)
Satellite	65.9	57.8	86.2±10.2	70.1±8.0	92.5	85.3	^a 60.1, ^b 63.0
observatio	(65.3-	(56.4-			(63.9 -	(59.3-	
ns	75.0)	70.6)			128.6)	132.9)	
P-case	67.0	57.5	83.3	70.8	90.6	91.5	66.5
C-case	66.5	59.0	76.1	65.2	44.6	47.1	
M-case	66.8	57.2	82.7	69.4	90.9	92.0	

545
546
547
548

Figures in SI Appendix:



Figure S1. Simulation period mean above-cloud AOD fields over SEA as (A) modeled by P-577 case and (B) observed by MODIS/Agua for the local afternoon. The dots represent 2-month BB 578 aerosol emissions over an area of 150 km by 150 km. Simulation period mean vertical 579 distributions of BB aerosols for nighttime cloudy-sky profiles over SEA as (C) observed by 580 CALIOP and CATS and (D) modeled by P-case. The yellow and green curves represent the 581 vertical distribution of BB aerosols averaged over the two-month study period and over the 582 coastal and remote regions, respectively (for observed and modeled vertical distributions of 583 aerosol features, the remote region is defined as 5°W to 17°W, 2°S to 17°S to highlight the 584 differences in profiles). Also shown in (C and D) is relative occurrence frequency of cloud top 585 height as observed by CALIOP (grev shaded area) and modeled by the P-case (red bars) and C-586

case (blue bars) in the daytime during the simulation period. The arrows label observed and

modeled mean cloud top heights over the coastal and remote regions.





Figure S2. Left column: MODIS observations of CF (unit: %), LWP (unit: gm^{-2}), and cloud-top N_d (unit: cm^{-3}) during morning (Terra/MODIS) and afternoon (Aqua/MODIS) averaged over the study period; Right column: modeled CF, LWP, and N_d during morning and afternoon averaged over the study period.





Figure S3. Nighttime ACA occurrence frequency as A) observed by CALIOP, B) observed by CATS, and C) modeled by P-case during the nighttime for the study period (unit: %).



Figure S4. Distribution of rain water path (RWP) binned by cloud water path (CWP). RWP and CWP at 6 UTC (left) and 12 UTC (right) are modeled by P-case and C-case over SE Atlantic during the study period. It is clear that, for a fixed CWP, P-case predicts less RWP, indicating that rain formation is suppressed by BB aerosols.



605 606

-24 -18 -12 -6 0 6 12 18 24 Figure S5. LWP difference (unit: g m⁻²) between P-case and C-case at 15 UTC averaged over the study period (left), and precipitation rate of P-case (unit: mm day⁻¹) (right) at 15 UTC averaged over study period.





610

Figure S6. Differences in A) LWP and B) CF between P-case and C-case as modeled by four 611 one-way nesting WRF-LES simulations near the coastal region. Only the time periods when 612 domain-averaged precipitation rates exceed 0.1 mm d⁻¹ are considered. The black line represents 613 the average value of the four cases, while light-blue shaded area indicates the range of the four 614 cases. Red crosses represent Δ LWP and Δ CF modeled by WRF-Chem simulation over the 615 616 coastal region. Shown in C), D), and E) are three instantaneous LWP fields modeled by P-case (left column) and C-case (right column) using WRF-LES. The gray scale ranges from 0 to 240 g 617 m^{-2} . 618



Figure S7. Similar as Figure S6 (A and B), but for all period (without filtering by precipitation rate).



Figure S8. Left: diurnal cycles of cloud-top heights modeled by P-case (red line), C-case (blue
 line), and M-case (green line) averaged over SEA and study period. Right: diurnal cycles of
 cloud-top height differences between P- and M-cases and P- and C-cases.



62610°W0°10°E20°E30°E627Figure S9. WRF-Chem modeling domain, with four sub-domains labeled by squares. Each one-628way nesting simulation is run with two additional levels of nesting.







⁶³³ simulations.



635 636

Figure S11. From top to bottom, MODIS level-2 cloud water path product (left column, from

Aqua or Terra satellites) in comparison with modeled LWP (unit: kg m^{-2}) at 12 UTC (right

column) on September 2, September 5, and September 7, respectively (from top to bottom).



640 641 641 **Figure S12**. (A) "Cloud Fraction" product non-zero pixels, (B) "Cloud Water Path" product non-642 zero pixels, and (C) "True color image" product as observed by Aqua/MODIS on September 3,

643 2014. Pixels with non-zero "Cloud Fraction" or "Cloud Water path" are labeled by red color.

644 "True color image" is downloaded from https://ladsweb.nascom.nasa.gov



around St. Helena Island as in (24). The red dashed lines show the thresholds for cleanmoderate-polluted conditions, defined similarly as in (35).



Figure S15. Difference in potential temperature profiles between P-case and M-case as modeled by WRF-Chem in our study. The study area in (18) is also adopted in this study.





Figure S16. Difference in daily mean LTS fields between P-case and M-case during the study period.