

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Wilson 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Carla	2. Surname (Last Name) Wilson	3. Date 08-September-2017	
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Surya Bhatt	
5. Manuscript Title Paratracheal Paraseptal Emphysema is	Associated with Expiratory	r Central Airway Collapse	
6. Manuscript Identifying Number (if you k	now it)		
Section 2. The Work Under C	Consideration for Public	cation	
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?			
Are there any relevant conflicts of interest?			
Section 3. Polyment financial			
Relevant financial	activities outside the	submitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication .			
Are there any relevant conflicts of interest? Yes Vo			
Section 4. Intellectual Prope	rty Patents & Copyri	ghts	
Do you have any patents, whether plar	nned, pending or issued, br	roadly relevant to the work? Yes V No	

Wilson 2



Section 5.			
Section 5.	Relationships not covered above		
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?		
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):		
✓ No other relationships/conditions/circumstances that present a potential conflict of interest			
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.			
Section 6.	Disclosure Statement		
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box		
Ms. Wilson has r	nothing to disclose.		

Evaluation and Feedback

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patent

Diaz 1



Section 1. Identifying Infor	mation		
1. Given Name (First Name) Alejandro	2. Surname (Last Name) Diaz	3. Date 29-December-2017	
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name Surya Bhatt	
5. Manuscript Title Paratracheal Paraseptal Emphysema a	nd Expiratory Central Airwa	y Collapse in Smokers	
6. Manuscript Identifying Number (if you I White-201709-713OC.R2	know it)		
Section 2. The Work Under (Consideration for Public	ation	
any aspect of the submitted work (includir statistical analysis, etc.)? Are there any relevant conflicts of inte	ng but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,	
Section 3. Relevant financia	l activities outside the s	ubmitted work.	
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Name of Entity	Grant? Personal Nor	or-Financial Other? Comments	
Novartis		Speaker fees	
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Diaz 2



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Dr. Diaz received speaker fees from Novartis Inc.

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Diaz 3



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Foreman 1



nation			
2. Surname (Last Name) Foreman		3. Date 29-December-2017	
☐ Yes ✓ No	Corresponding Author's N Surya Bhatt	Name	
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'anaidanatian tan Dubli	inaion		
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Grant	Otner• C	omments	
✓			
	2. Surname (Last Name) Foreman Yes No Ind Expiratory Central Airway Inow it) Consideration for Publicative payment or services from g but not limited to grants, do rest? Yes No In activities outside the in the table to indicate where the in the instructions. Use port relationships that we rest? Yes No Formation below. Grant? Personal No Fees?	2. Surname (Last Name) Foreman Yes No Corresponding Author's Natury Bhatt and Expiratory Central Airway Collapse in Smokers now it) Consideration for Publication Every payment or services from a third party (government, or grown by the street of the submitted work) Personal Non-Financial Support? Personal Support? Corresponding Author's Natury Burya Bhatt Support Possible in Smokers Author's Natury Bhatt Surya Bhatt Surya Bhatt Non-Financial Support Corresponding Author's Natury Burya Bhatt Support Corresponding Author's Natury Burya Bhatt Support Corresponding Author's Natury Burya Bhatt Surya Bhatt Surya Bhatt Surya Bhatt Corresponding Author's Natury Burya Bhatt Surya Bhatt Surya Bhatt Surya Bhatt Surya Bhatt Support Government, or	

Foreman 2



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Dr. Foreman reports grants from Astra Zeneca, grants from Pearl, grants from GlaxoSmithKline, outside the submitted work; .		

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Bhatt 1



Section 1. Identifying In	formation		
1. Given Name (First Name) Surya	2. Surname (Last Name) Bhatt	3. Date 08-September-2017	
4. Are you the corresponding author?	✓ Yes No		
5. Manuscript Title Paratracheal Paraseptal Emphysen	na is Associated with Expiratory Cen	tral Airway Collapse	
6. Manuscript Identifying Number (if y	ou know it)		
Section 2. The Work Und	er Consideration for Publication	on .	
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If yes, please fill out the appropriat			
Name of Entity	Grant? Personal Non-Fin	ort? Other? Comments	
NIH		K23HL133438	
ProterixBio		Research funds to Institute	
Section 4. Intellectual Pro	operty Patents & Copyrights		
	planned, pending or issued, broadl		

Bhatt 2



Section 5. Relationships not severed above
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Dr. Bhatt reports grants from NIH, other from ProterixBio, outside the submitted work; .

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Bhatt 3



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Bodduluri 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Sandeep	2. Surname (Last Name) Bodduluri	3. Date 08-September-2017	
4. Are you the corresponding author?	☐ Yes 🗸 No	Corresponding Author's Name Surya Bhatt	
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Bodduluri 2



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Dr. Bodduluri ha	s nothing to disclose.			

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Copeland 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Carla	rst Name)	2. Surname (Last Name) Copeland	3. Date 06-September-2017
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Author's Name Surya Bhatt
5. Manuscript Title Paratracheal Par		Associated with Expiratory	Central Airway Collapse
6. Manuscript Ider	ntifying Number (if you kr	now it)	
			_
Section 2.	The Work Under C	onsideration for Public	tation
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?			
Are there any relevant conflicts of interest?			
Section 3.			
Section 3.	Relevant financial	activities outside the s	ubmitted work.
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication .			
Are there any relevant conflicts of interest?			
	ı		
Section 4.	Intellectual Prope	rty Patents & Copyric	phts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Copeland 2



Section 5.		
Section 5.	Relationships not covered above	
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?	
Yes, the follow	wing relationships/conditions/circumstances are present (explain below):	
✓ No other relat	tionships/conditions/circumstances that present a potential conflict of interest	
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.		
Section 6.	Disclosure Statement	
Based on the abo below.	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box	
Dr. Copeland has	s nothing to disclose.	

Evaluation and Feedback

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Copeland 3



Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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Lisans and The material has been lisans and to an an

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent



Section 1. Identifying Inform			
Identifying Infor	nation		
1. Given Name (First Name) Mark	2. Surname (Last Name) Dransfield		3. Date 07-September-2017
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Authors Surya Bhatt	or's Name
5. Manuscript Title Paratracheal Paraseptal Emphysema is	Associated with Expirator	y Central Airway Colla	pse
6. Manuscript Identifying Number (if you k	now it)		
		_	
Section 2. The Work Under 0	Consideration for Publi	cation	
Did you or your institution at any time recany aspect of the submitted work (includin statistical analysis, etc.)? Are there any relevant conflicts of inte	g but not limited to grants, do		ent, commercial, private foundation, etc.) for oudy design, manuscript preparation,
*		ve more than one ent	ity press the "ADD" button to add a row
Excess rows can be removed by pressi			,
Name of Institution/Company	Grant	n-Financial Other?	Comments
NHLBI	✓		
Section 3. Relevant financia	activities outside the	submitted work	
Place a check in the appropriate boxes	in the table to indicate wh ribed in the instructions. U	nether you have finand se one line for each ei	cial relationships (regardless of amount ntity; add as many lines as you need by e 36 months prior to publication.
Are there any relevant conflicts of inte If yes, please fill out the appropriate in			
	Down and N	n Financial	
Name of Entity	Grant? Personal No	other?	Comments
Boehringer Ingelheim			Consulting and contracted clinical trials
AstraZeneca			Consulting and contracted clinical trials



Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments
GlaxoSmithKline		✓		✓	Consulting and contracted clinical trials
Genentech		✓			Consulting
Novartis				✓	contracted clinical trials
PneumRx				✓	contracted clinical trials
Pulmonx				✓	contracted clinical trials
Yungjin				✓	contracted clinical trials
Section 4. Intellectual Propert	v Pate	ents & Co	pyrights		
Do you have any patents, whether plann Section 5. Polationships not s	·	_	ed, broadly releva	nt to the	work? ☐ Yes ✔ No
Relationships not covered above					
Are there other relationships or activities potentially influencing, what you wrote i				nfluence	d, or that give the appearance of
Yes, the following relationships/conditions/circumstances are present (explain below):					
No other relationships/conditions/circumstances that present a potential conflict of interest					
At the time of manuscript acceptance, jo On occasion, journals may ask authors to					• •
Section 6. Disclosure Stateme	Section 6. Disclosure Statement				
Based on the above disclosures, this form below.		omatically (generate a disclos	sure state	ment, which will appear in the box
Dr. Dransfield reports grants from NHLBI Ingelheim, personal fees and other from Genentech, other from Novartis, other fr submitted work; .	AstraZer	neca, perso	nal fees and othe	r from Gla	axoSmithKline, personal fees from



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Nath 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fir Hrudaya	st Name)	2. Surname (Last Name) Nath	3. Date 08-September-2017
4. Are you the corr	he corresponding author? Yes Vo		Corresponding Author's Name Surya Bhatt
5. Manuscript Title Paratracheal Para		Associated with Expiratory	Central Airway Collapse
6. Manuscript Iden	tifying Number (if you kr	now it)	
Section 2.	The Work Under Co	onsideration for Public	cation
any aspect of the su statistical analysis, o	ubmitted work (including	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	submitted work.
of compensation clicking the "Add	he appropriate boxes i) with entities as descri	n the table to indicate wholes bed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.
Section 4.	Intellectual Proper	ty Patents & Copyric	yhts
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Nath 2



Section 5.	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
Yes, the follow	ving relationships/conditions/circumstances are present (explain below):
✓ No other relat	tionships/conditions/circumstances that present a potential conflict of interest
	nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements nals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
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Dr. Nath has noth	ning to disclose.

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Nath 3



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Wells 1



Section 1. Identifying Informa	ation		
1. Given Name (First Name) James	2. Surname (Last Name) Wells		3. Date 08-September-2017
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Autho	or's Name
5. Manuscript Title Paratracheal Paraseptal Emphysema is A	ssociated with Expiratory	Central Airway Colla	pse
6. Manuscript Identifying Number (if you kno	ow it)		
		_	
Section 2. The Work Under Co	nsideration for Public	ation	
Did you or your institution at any time received any aspect of the submitted work (including listatistical analysis, etc.)? Are there any relevant conflicts of interest	out not limited to grants, da		
Section 3. Relevant financial a	ctivities outside the s	submitted work.	
Place a check in the appropriate boxes in of compensation) with entities as described clicking the "Add +" box. You should repart there any relevant conflicts of interest of the second conflicts of the second c	oed in the instructions. Us ort relationships that wer st?	se one line for each er	ntity; add as many lines as you need by
Name of Entity	Grant? Personal Nor	n-Financial Other?	Comments
NIH/NHLBI	✓		K08 HL123940
Cystic Fibrosis Foundation	✓		Pilot RDP
GSK			Contracts to conduct clinical trials
AstraZeneca			Contracts to conduct clinical trials
Gilead			Contracts to conduct clinical trials
Mylan			Consultant
Mereo			Consultant
Ouintiles			Consultant

Wells 2



Continue A	
Section 4.	Intellectual Property Patents & Copyrights
Do you have any	y patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	owing relationships/conditions/circumstances are present (explain below):
	ationships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. Irnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the ab below.	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
•	s grants from NIH/NHLBI, grants from Cystic Fibrosis Foundation, other from GSK, other from AstraZeneca, ad, other from Mylan, other from Mereo, other from Quintiles, outside the submitted work; .

Evaluation and Feedback

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Wells 3



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Kim 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fii Young-il	rst Name)	2. Surname (Last Name) Kim		3. Date 29-December-2017
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Nam Surya Bhatt	ne
5. Manuscript Title Paratracheal Para		d Expiratory Central Airwa	y Collapse in Smokers	
6. Manuscript Ider White-201709-7	ntifying Number (if you kr 13OC.R2	now it)		
			_	
Section 2.	The Work Under Co	onsideration for Public	cation	
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, con ta monitoring board, study des	nmercial, private foundation, etc.) for sign, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	submitted work.	
of compensation clicking the "Add) with entities as descri	bed in the instructions. Us port relationships that wer	se one line for each entity; ac	ntionships (regardless of amount dd as many lines as you need by onths prior to publication.
Section 4.	Intellectual Proper	rty Patents & Copyrig	ıhts	
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work?	☐ Yes ✓ No

Kim 2



Section 5.	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
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Kim 3



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Terry 1



Section 1.	Identifying Inform	ation			
1. Given Name (Fi Nina	rst Name)	2. Surname (Last Terry	Name)	3. Da 29-D	te ecember-2017
4. Are you the cor	responding author?	Yes ✓ N	o Correspor Surya Bh	nding Author's Name att	
5. Manuscript Title Paratracheal Para	e aseptal Emphysema an	d Expiratory Cent	ral Airway Collapse	in Smokers	
6. Manuscript lder White-201709-7	ntifying Number (if you kr 13OC.R2	now it)			
	l				
Section 2.	The Work Under Co	onsideration fo	r Publication		
any aspect of the s statistical analysis, Are there any rel	ubmitted work (including	but not limited to			ial, private foundation, etc.) for nanuscript preparation,
Section 3.	Relevant financial	activities outsi	de the submitted	work.	
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Name of Entity		Grant? Person		Other? Commen	ts
NIH		✓			
Section 4.	Intellectual Proper	ty Patents & (Copyrights		
Do you have any	patents, whether plan	ned, pending or is	sued, broadly relev	ant to the work?	Yes 🗸 No

Terry 2



Section 5. Polationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
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Dr. Terry reports grants from NIH, outside the submitted work; .

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Terry 3



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Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

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Section 1.	Identifying Inforr	mation			
1. Given Name (Fi George	rst Name)	2. Surname (Last Nar Washko	ne)	3. Date 29-Decem	nber-2017
4. Are you the cor	responding author?	☐ Yes ✓ No	Correspond Surya Bha	ding Author's Name tt	
5. Manuscript Title Paratracheal Par	e aseptal Emphysema a	nd Expiratory Central	Airway Collapse i	n Smokers	
6. Manuscript Ide White-201709-7	ntifying Number (if you k 13OC.R2	now it)			
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Boehringer Ingelheir	m			✓	
GlaxoSmithKline				<u> </u>	
Genentech				✓	
PulmonX				✓	
KOS				./	

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Section 4. Intellectual Property Patents & Copyrights
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Dr. Washko reports other from Boehringer Ingelheim, other from GlaxoSmithKline, other from Genentech, other from PulmonX, other from EKOS, outside the submitted work; .

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Licensed: The patent has been sound to an ent

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

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Section 1. Identifying Inform	nation			
1. Given Name (First Name) David	2. Surname (Last Name) Lynch		3. Date 08-September-2017	
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Na Surya Bhatt	me	
5. Manuscript Title Paratracheal Paraseptal Emphysema is A	Associated with Expirator	y Central Airway Collapse		
6. Manuscript Identifying Number (if you kr	now it)			
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Section 2. The Work Under Co	onsideration for Publ	ication		
Did you or your institution at any time received any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of interesting the state of t	g but not limited to grants, d		•	r
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Veracyte		✓ Resea	arch Support	
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Section 4. Intellectual Property Patents & Copyrights				
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