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92 **1. Introduction:**

93

94 Diabetes Mellitus is a complex metabolic disease that can have devastating effects
95 on multiple organs in the body. It has become a major public health problem affecting an
96 increasing number of individuals worldwide. Diabetes is the leading cause of nephropathy,
97 retinopathy, neuropathy and cardiovascular diseases. The characteristic clinical signs and
98 symptoms of these complications are well established (American Diabetes Association,
99 2002; American Diabetes Association, 2008). The development of these complications is
100 dependent on the duration of diabetes and the level of metabolic control including glucose
101 level, hyperlipidemia, and other related parameters. Since both randomized trials and large
102 cohort studies have shown that good control of blood glucose levels is associated with
103 reduced risk of these complications (Gaede et al.,2003; Reichard and Rosenqvist, 1989;
104 The DCCT Research Group, 1993), current treatment is aimed at obtaining and
105 maintaining normal glucose levels.

106 Neuropathy affects approximately 30–50% of all diabetic patients and is the
107 commonest form of neuropathy in the developed world. It encompasses several
108 neuropathic syndromes including focal and symmetrical neuropathies. By far, the
109 commonest of which is distal symmetrical neuropathy. The two main clinical
110 consequences, foot ulcerations sometimes leading to amputation and pain neuropathy,
111 are associated with much patient morbidity and mortality. There is now little doubt that
112 glycaemic control and duration of diabetes are major determinants of distal symmetrical
113 neuropathy. In addition, potentially modifiable, traditional markers of macrovascular
114 disease such as hypertension, hyperlipidemia and smoking are also independent risk
115 factors (Tesfaye, 2007).

116 There is now increasing evidence that the cause of distal symmetrical neuropathy
117 may be nerve ischemia, though metabolic factors may be important early. Pain is the most
118 distressing symptom of neuropathy and the main factor that prompts the patient to seek
119 medical advice (Tesfaye, 2007). About 16-26% of diabetes patients experience chronic
120 neuropathic pain (Jensen et al, 2006).

121 Abnormalities of autonomic function are very common in subjects with longstanding
122 diabetes; however, clinically significant autonomic dysfunction is uncommon. Several
123 organ systems including the cardiovascular, gastrointestinal and genitor-urinary systems
124 may be affected (Tesfaye, 2007).

125 Oxidative stress is involved in the pathophysiology of diabetes mellitus and has a
126 major role in the development of diabetic complications. This occur either because of free
127 radical overproduction (by auto-oxidation of glucose and glycated protein) or by
128 antioxidants level reduction (Young et al, 1992). The presence of free radical has an
129 important role in nerve tissue damage that leads to diabetic neuropathy (Tutuncu at
130 al,1998).

131 Electrophysiological studies have a major role in the measurement, detection, and
132 characterization of peripheral neuropathy associated with diabetes (standardized
133 measures in diabetic neuropathy (American Diabetes Association Consensus Statement,
134 1992)).

135 Vitamin E can be prescribed to patients with diabetes to prevent any oxidative
136 damage (Srivastan et al, 2009). Vitamin E is a powerful antioxidant that reduces levels of
137 free radicals and oxidative stress.

138 An animal study have found that vitamins C and E treatment can lower
139 malondialdehyde levels and increase the antioxidant levels to near control values. The
140 results verify the presence of oxidative stress in diabetes and suggest beneficial effects of
141 vitamins C and E combinations in combating the oxidative stress among diabetic rats
142 (Aksoy et al, 2005).

143 Another animal study revealed that treating rats with α -tocopherol and tocotrienol for
144 10 weeks significantly improved and ameliorate all the biochemical and behavioral
145 outcomes of alcohol-induced neuropathy in a dose-dependent manner with more potent
146 effects observed with tocotrienols. The study demonstrates the effectiveness of
147 tocotrienols in attenuation of alcoholic neuropathy (Tiwari et al, 2009).

148 In a placebo-controlled, double-blind, randomized study of 21 patients with type 2
149 diabetes, large doses of vitamin E were studied for their ability to reduce neuropathy.
150 During the six-month study, patients were either given placebo or 900 mg vitamin E, then
151 measured for nerve conduction and function. The researchers found that mild to moderate
152 defective nerve conduction was improved with high-dose vitamin E, which suggested that
153 patients with neuropathy might experience a reduction in symptoms with vitamin E
154 treatment (Tutuncu et al, 1998).

155 Cognitive dysfunction is a less addressed and not as well recognized complication
156 of diabetes. Patients with type 1 and type 2 diabetes mellitus have been found to have
157 cognitive deficits that can be attributed to their disease. Both hypoglycemia and
158 hyperglycemia have been considered as causes of cognitive dysfunction, and frequent
159 recurrence of hypoglycemia will impair memory over time. Both old age and diabetes are
160 independently associated with an increased risk of cognitive dysfunction; the risk is even
161 greater for older adults with diabetes (Allen et al, 2004). Cognitive Function is the term
162 used to describe a person's state of consciousness (alertness and orientation), memory,
163 and attention span. Cognitive functioning has been the subject of many studies in both
164 type 1 and type 2 diabetes. Several cross-sectional and case-control studies since 1980s
165 have shown positive associations between diabetes and cognitive impairment (Gregg and
166 Brown, 2003). Still, several questions remained to be answered. In type 2 diabetes,
167 neuropsychological studies have reported moderate degrees of cognitive impairment. The
168 most common findings are that diabetes is associated with lowered performance on speed
169 of information processing test, episodic memory test, and to lesser extent, on mental
170 flexibility test (Stewart and Liolitsa, 1999; Awad et al, 2004). Middle-aged individuals with
171 type 1 diabetes have also been reported to show deficits on a wide range of
172 neuropsychological tests compared to age-matched controls, but results are even more
173 heterogeneous than in type 2 diabetes with respect to the severity and nature of the
174 affected cognitive domains. Some studies reported impairments on tests relying on
175 problem-solving skills (Deary et al, 1993), whereas other studies reported deficiencies in
176 psychomotor efficiency (Ryan et al, 1992) or memory and learning (Ryan et al, 1993;
177 Sachon et al, 1992) or found no difference at all (Wredling et al, 1990).

178 In addition, there is also evidence on the association of diabetes with changes in

179 psychological performance. For example, it has been found that depressive symptoms are
180 more prevalent in diabetic patients with type 1 or type 2 diabetes in comparison with age-
181 matched controls (Anderson et al, 2001), and depressive symptoms might be attributed to
182 cognitive dysfunction (Elderkin-Thompson et al, 2003; Lockwood et al, 2002).

183 Moreover, the structural correlates and pathophysiological mechanisms underlying
184 these cognitive deficits are still uncertain. Kumar et al (2009) reviewed a few studies
185 performed on type 1 and type 2 diabetic patients using magnetic resonance imaging
186 (MRI). Subcortical white-matter and cortical and subcortical atrophy have been reported as
187 radiological abnormalities. The limitation of majority of these studies involved small sample
188 sizes or lacked appropriate non-diabetic controls. In type 2 diabetes, more studies have
189 been published on MRI abnormalities, including cortical and subcortical atrophy, together
190 with an increased occurrence of cerebral infarcts relative to controls. Moreover, white-
191 matter lesions are more prevalent and more severe in type 2 diabetes in comparison with
192 non-diabetic controls.

193 Atrophy may be linked to a history of severe hypoglycemic episodes, since only
194 patients who had experienced multiple severe hypoglycemic episodes showed cortical
195 atrophy (Perros and Frier, 1997). Focal lesions mostly involve the subcortical white- matter
196 (Dejgaard et al, 1991; Ferguson et al, 2003a; Perros and Frier, 1997). For example, high-
197 intensity periventricular white-matter lesions, particularly small punctuate white-matter
198 lesions, periventricular caps, or pencil thin rims were present in one-third of the scans in a
199 study by Ferguson and co-workers (Ferguson et al, 2003). They found these to be related
200 to the presence of background retinopathy. It has been suggested that Vitamin E,
201 including tocopherols and tocotrienols, can help to improve cognitive function and stall
202 cognitive decline through its antioxidant effects. A reason for this nutrient's success at
203 preventing oxidative damage in brain cells is its fat-soluble criteria
204 (<http://ageing.oupjournals.org>). During the World Alzheimer's Congress held in July 2001,
205 it was reported that high intakes of vitamin E effectively lessened memory loss and
206 cognitive dysfunction among more than 6,000 elderly subjects who were generally taking
207 Vitamin E between 200 to 400 IU per day (Kuhad et al, 2009).

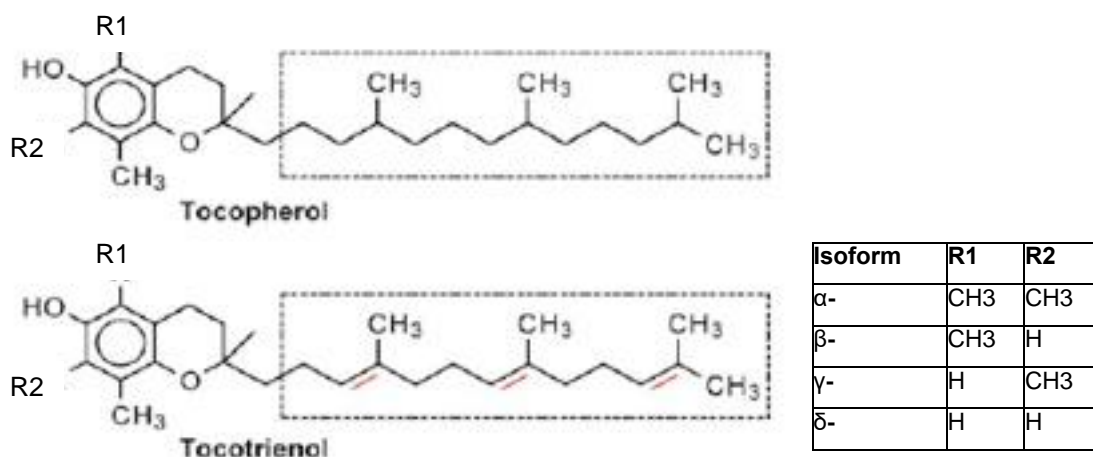
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209 **1.2 Tocotrienols**

210 The term vitamin E includes a group of plant derived lipid-soluble compounds. Their
211 molecular structure is based on a chromanol ring with a side chain at the C2 position. In
212 case of tocopherols, the phytol side chain is saturated. In tocotrienols the side chain is
213 unsaturated by the presence of three double bonds. There are 8 naturally occurring
214 isoforms: 4 tocopherols and 4 tocotrienols, which are designated α -, β -, γ - and δ -,
215 respectively, depending on the number and position of methyl groups at the chromanol
216 ring (Figure 1).

217

218



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220

221 *Figure 1: Chemical structures of Tocopherol and Tocotrienol. Note the three double bonds*
 222 *in the tocotrienol side tail. The number and position of methyl groups at the chromanol ring*
 223 *for corresponding isoforms is given in the table*
 224

225 The unsaturated side-chain in tocotrienols makes them penetrate tissues with
 226 saturated fatty layers more efficiently (Kuhad and Chopra, 2009).

227 Animal studies have also revealed that palm tocotrienols improved blood glucose,
 228 dyslipidemia and oxidative stress in diabetic rats. It is able to prevent the progression of
 229 vascular wall changes occurring in diabetes mellitus (Roper et al, 2000; Budin et al, 2009).

230 The oxygen consumption rate of the brain is high. Moreover, polyunsaturated fatty
 231 acids are found abundantly in the neuronal cell membranes. It has been hypothesized that
 232 cumulative free-radical damage to neurons over time contributes to cognitive decline and
 233 neurodegenerative diseases. Therefore, ingestion of sufficient supplemental antioxidants
 234 (such as tocotrienols) might provide some protection (Morris et al, 2002). This hypothesis
 235 was supported by the results of a clinical trial involving 341 patients with Alzheimer's
 236 disease of moderate severity who were randomly assigned to receive a placebo, vitamin E
 237 (2,000 IU/day dl-alpha-tocopherol), selegiline (a monoamine oxidase inhibitor), or vitamin
 238 E and selegiline together. After 2 years, vitamin E and selegiline, given alone or in
 239 combination significantly delayed brain functional deterioration (Morris et al, 2002).

240 Tocotrienols, in particular α-tocotrienol have been shown to possess neuroprotective
 241 effect independent of anti-oxidant activity (Sen et al, 2000; Khanna et al, 2005). Using cell-
 242 based studies, Sen et al (2000) have shown that α-tocotrienol but not α-tocopherol is able
 243 to prevent glutamate-induced neuronal cell death at nanomolar concentrations. Later
 244 studies conducted by Khanna et al (2005) showed that α- tocotrien ol conferred protection
 245 against glutamate and stroke-induced neurodegeneration in rats.

246 In view of the above neuroprotective property of tocotrienols, Kuhad and Chopra
 247 (2009) have proceeded to demonstrate that tocotrienols supplementation helped to
 248 reverse neuropathic pain in diabetic rats. It has been postulated the beneficial properties
 249 of tocotrienols are due to their suppressive effects on the oxidative-nitrosative stress,
 250 inflammatory cytokine release and caspase-3 which are implicated in the pathogenesis of
 251 diabetic neuropathy.

252 In the same year, Tiwari et al (2009) have shown that tocotrienols can prevent
 253 cognitive deficits and attenuate alcoholic peripheral neuropathy associated with selective
 254 neuronal damage due to chronic alcohol consumption. Moreover, the beneficial effects
 255 were found to be more pronounced with tocotrienols compared to tocopherols. It has been
 256 postulated that the anti-oxidants property of tocotrienols, the suppression of nitrosative

257 stress and elevated cytokines levels together with acetylcholinesterase activity in the brain
258 regions contributes significantly in preventing the chronic alcohol- induced cognitive
259 deficits in rats.

260 Yuen and his group are currently conducting a clinical study in human subjects on
261 neuroprotective effects of tocotrienols (NCT00753532). In the study, subjects were
262 followed up for 2 years to determine the volume of white matter lesions on repeated MRI
263 after treatment with tocotrienol as compared to placebo. White matter lesions are related
264 to vascular events in the brain and represent subclinical infarcts, resulting in death/
265 degeneration of neurons and are positively correlated to cognitive impairment. Preliminary
266 results from an interim analysis are encouraging; patients on tocotrienols shown significant
267 reduction in volume of white matter lesion (confidential communication).

268 Giving that the tocotrienols have been shown to possess neuroprotective effects and
269 that both type 1 and type 2 diabetes can lead to peripheral neuropathy and cognitive
270 impairment, the present study aims to determine the beneficial effects of tocotrienols in
271 ameliorating such neurological related events in both type 1 and type 2 diabetic patients.
272

273 **2. Aims and Objectives**

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Primary objective:

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- To investigate the effects of tocotrienols on peripheral neuropathy in type 1 and type 2 diabetes mellitus.

281

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Secondary objective:

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- To investigate the effects of tocotrienols on cognitive impairment in type 1 and type 2 diabetes mellitus.
- To investigate the prevalence of cognitive impairment among diabetic patients.

289

290

3. Outcomes

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Primary Outcomes

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- Total Symptoms Score (TSS) (pain, paresthesia, burning, and numbness) of patients with diabetes peripheral neuropathy.

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Secondary outcomes

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- Neuropathy Impairment Score (NIS) of patients with diabetes type 1 and 2 neuropathy.
- Nerve Conduction Velocity (NCV) test of patients with diabetes type 1 and 2 neuropathy.
- Mini Mental State Examination (MMSE) score, Montreal Cognitive Assessment (MoCA) test.

305 **4. Study Design**

306

307 **4.1 Study Population**

308

309 **Inclusion Criteria**

- 310 - Diabetic adults (both type 1 or 2) ≥ 20 years old with diabetic peripheral
- 311 neuropathy with TSS ≥ 3 points.
- 312 - Patients with type 1 diabetes (duration of ≥ 5 years).
- 313 - Patients with type 2 diabetes (at diagnosis).
- 314 - Patients with NIS ≥ 2

315

316 **Exclusion Criteria**

- 317 - Patients HbA1c $> 12\%$.
- 318 - Patients with hypoglycemia or conscious impairment at the time of test
- 319 conduction.
- 320 - Patients exhibiting symptoms of peripheral vascular disease with absence of 2
- 321 foot pulses on the same foot (Posterior tibialis, Dorsalis pedis)
- 322 - Immuno-compromised patients.
- 323 - Patients with severe visual impairment, history of psychosis; schizophrenia;
- 324 bipolar disorder; current depression or brain trauma and patients with alcohol
- 325 dependence or drug abuse such as cocaine, heroin, etc.
- 326 - Those having lesions with a propensity to bleed (e.g., bleeding peptic ulcers),
- 327 those having a history of hemorrhagic stroke and those with inherited bleeding
- 328 disorders (e.g., hemophilia) or patients on warfarin.
- 329 - Pregnancy and lactation.
- 330 - Patients with renal function test of more than 150 $\mu\text{mol/L}$ (serum creatinine).
- 331 - Patients with liver function test of more than 5 times of the upper normal range
- 332 (for AST, ALT and GGT)
- 333 - Active infection or infectious diseases.
- 334 - Other significant uncontrolled medical illnesses that may interfere with drug
- 335 administration or interpretation of results.

336

337 **4.2 Experimental Design**

338

339 In this randomized, double-blind placebo-controlled study:

340

341 1. Three hundred patients with diabetes (type 1 and type 2) with diabetic peripheral

342 neuropathy will be recruited from Seberang Jaya Hospital and 10 peripheral health

343 clinics and hospitals, in Penang and Seberang Perai area.

344

345 2. The patients will be randomised to receive mixed tocotrienols (200 mg twice per

346 day) or placebo for 12 months. Data on long term (up to 5 years) supplementation

347 with 400mg/day of mixed tocotrienols did not show any reported or observed adverse

348 effects (Nesaretnam et al, 2010; Magosso et al, 2010; and an ongoing clinical trial on

349 the neuroprotective effects of tocotrienols.

350 (<http://clinicaltrials.gov/ct2/show/NCT00753532>).

351

352 3. Patients with normal homocysteine level (less than 15 $\mu\text{mol/L}$) will be assigned as
353 subgroup A. Patients who are found to have abnormally elevated homocysteine
354 levels (equal or more than 15 $\mu\text{mol/L}$) will be assigned as subgroup H and they will
355 be supplemented with Folic acid and methylcobalamin to treat the elevated
356 homocysteine level.

357

358 4. At the screening stage, laboratory assessment for homocysteine, liver function test,
359 kidney function test and HbA1c for each patient will be conducted.

360

361 5. At the baseline of the study (0 time), clinical assessment on clinical neuropathy,
362 cognitive function will be assessed on the 300 patients. Other clinical laboratory tests
363 include homocysteine level, tocotrienols level, folic acid, Vitamin B₁₂, Vitamin B1 and
364 fasting blood glucose.

365

366 6. If the screening evaluation (clinical assessment, laboratory tests and
367 questionnaires) shows that patients are eligible for the study, patients will be
368 randomly assigned as Group 1 and Group 2. They will have 50/50 chance (like
369 flipping a coin) of being in one of either group. One group will receive tocotrienols for
370 one year as a supplement while the other group will be receiving placebo in addition
371 to their normal anti-diabetic medications (in a double-blinded model). However,
372 information regarding which treatment of each patient receiving will be made
373 available to physician in case of any emergency.

374

375 7. Plasma tocotrienols will be assessed at the baseline, 3rd, 6th, 9th and 12th months.

376

377 8. The subjects are required to return for clinical assessment at 6th and 12th months
378 interval starting from 0 time for one year. These assessments include clinical
379 assessment of peripheral neuropathy (TSS and NIS) and cognitive function test using
380 Mini Mental State Examination (MMSE) and Montreal Cognitive Assessment (MoCA).
381 Laboratory assessment including fasting blood glucose, HbA1c, Liver function test,
382 Renal function test and Tocotrienols plasma level will be assessed on the 3rd, 6th, 9th,
383 and 12th month. Homocysteine, Folic acid, Vitamin B12 and Vitamin B1 will be
384 assessed on baseline, 6th and 12th month.

385

386 9. Blood sample of 10 ml volume will be collected at baseline, 3rd, 6th, 9th and 12th
387 month for the above mentioned laboratory tests.

388

389 10. Nerve conduction test (NCT) will also be performed on consented subjects at 0
390 time (baseline) and repeated only at 12th month (end of study) to evaluate the
391 conduction performance of the nerve fibers. Researchers will be blinded in the
392 treatment given.

393

394 11. MRI will be done for 40 randomly selected (20 on tocotrienols and 20 on placebo)
395 patients with at 0 time (baseline) and repeated only at 12th month (end of study). MRI
396 will also be done on randomly selected 20 non-diabetic individuals with no cognitive
397 impairment as negative control at 0 time (baseline) only. The selection of volunteers
398 will be made by the person in charge of the randomization. Researchers will be
399 blinded in the treatment given.

400

401 12. Tocotrienol will be stored and dispensed in ready-packed bottle. Each bottle will
402 contain 30 capsules. Tocotrienol/placebo will be given to the patients every 3 months
403 during their visits to the research center.

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13. Patients who successfully complete the initial 1-year course of the study will be invited to participate in an extended study where open label treatment will be provided for 1 year, to further observe the effect of the treatment in compliant patients, Procedures carried out during follow-up visits of the extended 1-year study will be similar to procedures in the initial study.

14. Withdrawal criteria:

Patient will be withdrawn from the study for any of the below reasons:

- Presence of adverse reactions whether it's related or not to this study.
- Patient shows poor compliance to tocotrienol/placebo. Researcher will ask patient to bring their bottle in the next visit. Researcher will count the remaining capsules to determine patient compliance.
- Patients withdraw their consent to participate in the study.

Tocovid SupraBio 200mg produced by Hovid Bhd (Ipoh, Malaysia) will be used in the study. This is the only 200 mg mixed tocotrienols product available commercially. Moreover, it is formulated with a patented delivery system that ensures consistent and enhanced oral absorption of tocotrienols. It is also used in the other studies mentioned above.

4.3 Study supplement

Tocotrienols is packed as 30 capsules per bottle, stored in amber color glass bottle and away from direct sun light. Bottles are stored in below 30°C according to the storage condition recommendations of the manufacturer.

431 **4.4 Sample Size Calculation**

432

433 We calculate the sample size for this study using PS: Power and Sample Size Calculation
434 software version 3.1.2., based on the SYDNEY 2 trial which investigated the effects of
435 alpha-lipoic acid on diabetic peripheral neuropathy (Ziegler *et al.*, 2006). We calculate the
436 reduction in Total Symptom Score after treatment, with a corresponding standard deviation
437 of 3.37. In order to achieve 90% power to detect a difference between groups of 1.33
438 points of the primary end point after 12-month of supplementation, a minimum of 136
439 subjects in each group are required. To accommodate a dropout rate of 10%, we increase
440 the sample size to 150 per group, for a total of 300 participants.

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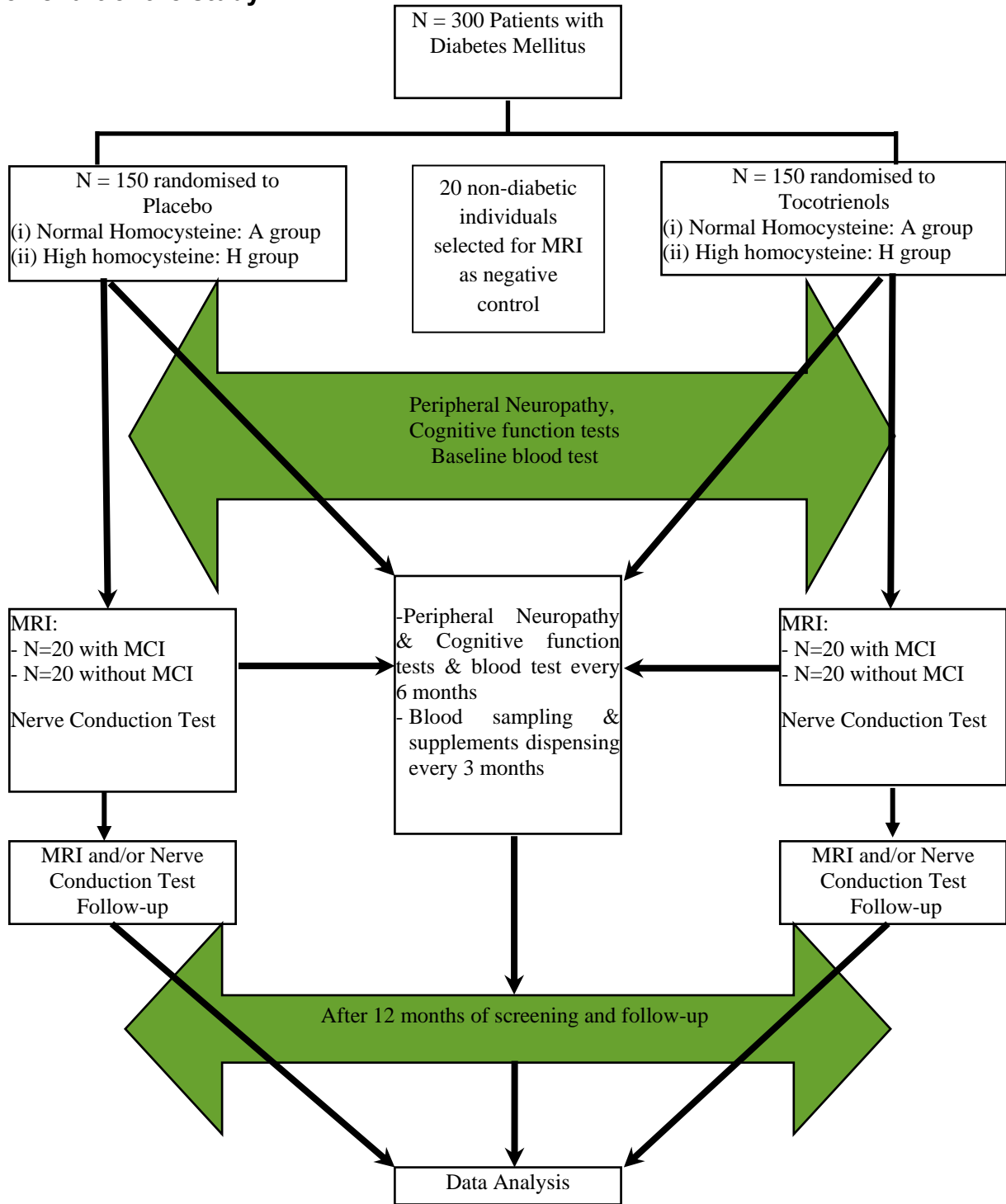
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459 **4.5 Measurements**

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461 **4.5.1 Clinical assessment of peripheral neuropathy**

462 In the present study, clinical assessment will be done through Total Symptoms Score
463 (TSS). It is a questionnaire in which the patient is asked to assess the intensity (absent,
464 mild, moderate, severe). Moreover, frequency (now and then, often, continuous) of four
465 symptoms (pain, burning, paresthesia, numbness) is also assessed resulting in a scaled
466 score in which 0 means no symptoms and 14.64 means that all four symptoms are severe
467 and continuously happened. A change of 30% on this scale is considered to be clinically
468 relevant (or ≥ 2 points in patients with a starting score ≤ 4 points) (Ziegler et al, 1995). This
469 assessment will be conducted at the baseline of the study and every 6 months throughout
470 the study period.

471

472 Neuropathy impairment score (NIS) is a summed score of neurological signs through
473 which physicians will assess the patient. The NIS will be completed according to Dyck et
474 al. (1997) at baseline, after 6 months, and 12 months for one year. A standard group of
475 muscles will be evaluated for weakness and muscle stretch reflexes (biceps, triceps,
476 brachioradialis, knee, ankle). Perceptions to touch pressure, vibration (128 Hz tuning fork),
477 joint position, and pinprick perceptions will be graded on the index finger and the great toe
478 as normal (0), decreased (1), or absent (2).

479

480 **4.5.2 Nerve conduction test**

481 Nerve conduction test will also be performed on consented subjects to evaluate the
482 conduction performance of the nerve fibers. In the nerve conduction test, the sensory
483 components of the following nerves will be assessed:

484

- Median nerves
- Radial nerves
- Sural nerves

485

486

487

488 The parameters assessed will be the conduction velocity, latency, and amplitude
489 through the nerve. This assessment will be conducted at the baseline and 12th month of
490 the study starting from time "0".

491

492 **4.5.2 Blood parameters**

493 Clinical laboratory tests will be conducted and the parameters investigated are:

494

- Fasting blood glucose
- HbA1c
- Liver function test
- Renal function test
- Homocysteine
- Tocotrienols plasma level
- Folic acid
- Vitamin B12
- Vitamin B1

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503 Homocysteine, tocotrienol, folic acid, vitamin B₁₂, Vitamin B₁ and fasting blood glucose test
504 will be conducted at the baseline of the study. Clinical laboratory test including fasting
505 blood glucose, HbA1c, Liver function test, Renal function test and Tocotrienols plasma
506 level will be assessed every 3 months throughout the 12 months study period (3rd, 6th, 9th,
507 and 12th month). Homocysteine test will be used to diagnose vitamin B₁₂ deficiency, and
508 folate deficiency (Klee, 2000; Robertson et al, 2005; Pagana et al, 2010) Homocysteine,
509 Folic acid, Vitamin B12 and Vitamin B1 will be assessed on baseline, 6th and 12th months.

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4.5.4 Cognitive assessment tools

Cognitive tests that measure performance in specific domains of interest were chosen because they have been standardized, widely used, have well-established norms, and could be administered by non-neuropsychologists (Mungas et al, 2000). Because of the significant number of Malay-speaking participants, validated translations will be used for the adopted tests with the assistance of the School of Languages, Literacies and Translation, Universiti Sains Malaysia.

Patients will undergo cognitive dysfunction evaluation with the Mini Mental State Examination (MMSE), Montreal Cognitive Assessment (MoCA). These assessments will be conducted at the baseline of the study, at the 6th and the 12th month of the study period.

4.5.4.1 Mini Mental State Examination (MMSE)

Based on the 30-points questionnaires, the MMSE is a screening tool that is commonly used for recognizing alterations in cognitive function. It can also detect deficits in cognitive performance for older individuals without dementia. The range of scores is 0 to 30, with increasing scores indicating better cognitive function (Tombaugh and McIntyre, 1992). Cognitive impairment is indicated by this tool if the score is ≤ 24 . The specificity of this tool is 96%, although, the sensitivity is weak (64%) (Lomholt and Jurgensen, 1998). It has been used in Malaysia to evaluate cognitive function among elderly pilgrims (Mimi, 2006).

4.5.4.2 Montreal Cognitive Assessment (MoCA)

The MoCA is a brief cognitive screening tool with high sensitivity and specificity to detect mild cognitive impairment (MCI) in patients performing within the normal range on the MMSE. It is a 10-minute cognitive screening tool designed to assist first-line physicians in detection of MCI, a clinical state that often progresses to dementia (Nasreddine et al, 2005; Zadikoff et al, 2008; Nazem et al, 2009). This tool is available in many languages to fit variant societies. In this study, a Malay version will be constructed and validated.

4.5.4.3 Data collection form

Demographic information and information pertaining to diabetes and its control will be collected from the data gathered during the clinic visit. These data included age, sex, type and duration of diabetes, HbA1c (A1C), Body mass index (BMI), kidney function, liver function, folic acid and B12 serum level, clinic or self-reported history of hypoglycemia, complications and the type of treatment for diabetes (insulin, oral, medications, and/or lifestyle modification).

4.5.5 Magnetic Resonance Imaging (MRI)

MRI will be conducted at baseline and at the end of the study to detect development/changes of lesions (WML) in the brain, changes in brain size and to measure the hemodynamic response (change in blood flow) related to neural activity in the brain or spinal cord of humans.

4.6 Ethical considerations

The study will be conducted after receiving approval from the ethics committee of the Ministry of Health Malaysia. Blood withdrawals are routinely performed on diabetic patients, thus do not represent a burden for the participants. MRI is a non invasive imaging technique that carries no significant risk for the participants. Nerve conduction test (NCT) has a very limited invasiveness and is routinely performed on diabetic patients with peripheral neuropathy. Cognitive function tests (MoCA & MMSE) are not invasive and are interview-based assessment tools.

562 **4.6 Statistical Analyses**

563 All the data collected along the trial will be compiled in Microsoft Excel sheet. Personal
564 identifying information will be removed prior to statistical analyses except unique coding
565 details, to allow data clarification if indicated. All data will be analyses according to
566 intention-to-treat protocol.

567
568 Descriptive analyses will be performed for all continuous and categorical variables. All
569 normally distributed continuous variables will be reported in mean (standard deviation),
570 except otherwise specified. All categorical variables will be reported in frequency and
571 proportion.

572
573 Inferential analyses will be performed for comparing variables between tocotrienols and
574 placebo groups. Comparison for categorical variables between the two groups will be
575 performed using Chi-square tests, or Fisher's exact test if the assumptions for Chi-square
576 test were not met. Paired *t*-tests will be employed to detect differences all continuous
577 clinical and biochemical markers before and after intervention in both groups at 6 and 12
578 months. Independent *t*-tests will be performed to compare these markers and adverse
579 event rates across both groups. The two-sided statistical significance level, *p*-value, is set
580 at 0.05 for all analyses in this study. All analyses will be conducted using Statistical
581 Package for the Social Sciences (SPSS) version 23.0.

582

583 **5. Research Gantt Chart**

584

No.	Activities	2011	2012	2013	2014	2015	2016
1	VENUS						
i.	Patient recruitment	Oct/Nov			July		
ii.	Data collection					July	
iii.	Data analysis, final report					August	
iv.	Project completed					Nov	
2	Extended study						
i.	Patient recruitment					July	
ii.	Data collection						July
iii.	Data analysis, final report						August
iv.	Project completed						Nov

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586 **6. Significance of the study**

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- 588 1. Growing number of diabetic patients worldwide, including Malaysia.
589 2. Most diabetic patients suffer from neurodegeneration with no standard
590 treatment.
591 3. Debilitation = high medical/social costs to the nation.
592 4. Tocotrienols, if proven effective, will have a big impact in the treatment of
593 diabetes mellitus and the healthcare cost of the nation.
594 5. Tocotrienols are a truly Malaysian Product

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601

602 **8. References**

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