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Protocol for a phase 3, non-inferiority, randomized comparison of a new fibrinogen concentrate vs. cryoprecipitate for treating acquired hypofibrinogenemia in bleeding cardiac surgical patients: the FIBRES trial

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3 **Protocol for a phase 3, non-inferiority, randomized comparison of a new**
4 **fibrinogen concentrate vs. cryoprecipitate for treating acquired**
5 **hypofibrinogenemia in bleeding cardiac surgical patients: the FIBRES trial**
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50 surgery; Blood bank & transfusion medicine; Haematology
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ABSTRACT

Introduction: Coagulopathic bleeding is a serious complication of cardiac surgery to which an important contributor is acquired hypofibrinogenemia (plasma fibrinogen <1.5–2.0 g/L). The standard intervention for acquired hypofibrinogenemia is cryoprecipitate, but purified fibrinogen concentrates are also available. There is little comparative data between the two therapies and randomized trials are needed.

Methods and analysis: FIBrinogen REplenishment in Surgery (FIBRES) is a multi-centre, randomized (1:1), active-control, single-blinded, phase 3 trial in adult cardiac surgical patients experiencing clinically significant bleeding related to acquired hypofibrinogenemia. The primary objective is to demonstrate that fibrinogen concentrate (Octafibrin/Fibryga, Octapharma) is non-inferior to cryoprecipitate. All patients for whom fibrinogen supplementation is ordered by the clinical team within 24 hours of cardiopulmonary bypass will receive 4 g fibrinogen concentrate or 10 units of cryoprecipitate (dose-equivalent to 4 g), based on random allocation and deferred consent. The primary outcome is total red cell, platelet, and plasma transfusions administered within 24 hours of bypass. Secondary outcomes include major bleeding, fibrinogen levels, and adverse events within 28 days. Enrollment of 1,200 patients will provide >90% power to demonstrate non-inferiority. A pre-planned interim analysis will include 600 patients. The pragmatic design and treatment algorithm align with standard practice, aiding adherence and generalizability.

Ethics and dissemination: The study is approved by the local research ethics board and will be conducted in accordance with the Declaration of Helsinki, Good Clinical Practice guidelines, and regulatory requirements. Patient consent prior to treatment is waived, as per criteria in the Tri-Council Policy Statement. Results will be published in the scientific/medical literature, and at international congresses. Non-inferiority of purified fibrinogen concentrate would support its use in acquired hypofibrinogenemia. The results are likely to improve care for cardiac surgical patients experiencing significant bleeding, an under-studied yet high-risk population.

Trial registration: NCT03037424 (ClinicalTrials.gov).

Word count: 294 (max. 300 words)

STRENGTHS AND LIMITATIONS OF THIS STUDY

[Up to 5 bullets, no longer than one sentence each, each relating to the methods]

- This is the largest randomized comparison of fibrinogen concentrate versus cryoprecipitate in adult patients with acquired hypofibrinogenemia due to cardiac surgery involving cardiopulmonary bypass.
- Simple, pragmatic study design and use of a treatment algorithm that aligns with standard clinical practice will enhance adherence and ensure clinical relevance and generalizability.
- Use of an active control, cryoprecipitate, as the comparator guarantees that all patients in the study will receive fibrinogen supplementation as clinically indicated.
- The large sample size of approximately 1,200 patients in this high-risk population will provide >90% power to detect non-inferiority of fibrinogen concentrate to cryoprecipitate.
- It will not be possible to fully blind clinicians to treatment allocation, so all outcome assessors will be blinded to minimize the risk of bias.

INTRODUCTION

Coagulopathy leading to excessive bleeding is a serious complication of cardiac surgery requiring cardiopulmonary bypass (CPB). Occurring in approximately 10% or more of cases, it often requires massive blood transfusion with allogeneic blood products (ABPs), and is associated with an increased risk of serious postoperative adverse outcomes, including sepsis, renal failure, and death.[1-4] While the causes of coagulopathy in this setting are likely to be multifactorial, acquired hypofibrinogenemia, considered as a deficiency in plasma fibrinogen levels below 1.5–2.0 g/L,[5] is believed to be one of the primary factors.[6]

Fibrinogen is the most abundant clotting factor in the human circulation and plays a pivotal role in hemostasis and the coagulation cascade by promoting clot formation and platelet aggregation.[7] Physiological levels of fibrinogen are typically in the range of 1.5–4.5 g/L,[8] with a half-life following synthesis of approximately four days.[9] A number of factors may contribute to the development of acquired hypofibrinogenemia in cardiac surgery. Fibrinogen loss may result from acute bleeding, dilution of the circulatory volume due to administration of fluids and priming of the CPB circuit, and consumption due to activation of the coagulation cascade during CPB.[10] Since fibrinogen has only a limited reserve in the body, a modest decrease in levels to <1.5–2.0 g/L impairs coagulation and increases the likelihood of bleeding complications.[10-14] Fibrinogen levels have been shown to fall 40–50% during cardiac surgery,[10] with a critical drop observed in approximately 5% of patients.[15] In these patients, fibrinogen supplementation is believed to be essential and is the current standard of care in most jurisdictions.[6,16]

While supplementation of fibrinogen has historically included the use of fresh frozen plasma, the two principal options currently recommended for supplementation in acquired hypofibrinogenemia are cryoprecipitate and purified fibrinogen concentrate.[5] Cryoprecipitate is currently used in North America and is an ABP prepared from fresh frozen plasma through a process of thawing and centrifugation. The clotting factor-enriched precipitate is resuspended in a small volume of plasma and refrozen. Typical fibrinogen content is around 15 g/L,[17] although this may vary considerably (3–30 g/L) due to inter-donor variability.[18] Cryoprecipitate has largely been withdrawn from most European countries due to safety concerns because it does not undergo pathogen reduction,[19] where treatment with purified human fibrinogen concentrate is the preferred therapeutic choice for hypofibrinogenemia. Fibrinogen concentrate has a number of important advantages over cryoprecipitate, including: significantly higher purity; standardized fibrinogen content, enabling more accurate dosing; faster preparation and administration time; no requirement for blood type matching; and improved safety, particularly through pathogen reduction technology.[6,19-21] Cryoprecipitate remains the most common fibrinogen replacement product in North America and may be a more effective hemostatic agent because it also contains hemostatic factors VIII and XIII, von Willebrand factor, and platelet microparticles.

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4 There is scant data comparing cryoprecipitate and fibrinogen concentrate for the treatment of
5 acquired hypofibrinogenemia; thus, we do not know which of these is the most appropriate
6 therapy in bleeding cardiac surgical patients. Moreover, mounting evidence indicates that
7 hemostatic management of patients with coagulopathic bleeding is evolving from the
8 conventional use of non-purified ABPs to targeted therapeutic algorithms using purified
9 coagulation factor concentrates such as fibrinogen concentrate.[22] There is therefore a need
10 for prospective, randomized clinical trials designed to specifically evaluate cryoprecipitate and
11 fibrinogen concentrate in parallel as part of hemostatic treatment algorithms in bleeding
12 cardiac surgical patients.
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18 Our study design addresses these issues by assessing non-inferiority of a purified fibrinogen
19 concentrate against cryoprecipitate for the treatment of acquired hypofibrinogenemia in
20 cardiac surgery. Given the theoretical and logistical advantages of fibrinogen concentrate
21 relative to cryoprecipitate outlined above, illustrating that fibrinogen concentrate is non-inferior
22 to cryoprecipitate would support the use of this purified fibrinogen concentrate for hemostatic
23 management in this high-risk, clinically important setting. Importantly, the conduct of
24 randomized trials in bleeding surgical patients poses specific challenges, and this trial
25 incorporates several design features to address these challenges.
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30 **METHODS AND ANALYSIS**

31 **Objective**

32 The primary objective of this study is to demonstrate that the administration of the fibrinogen
33 concentrate Octafibrin/Fibryga (Octapharma AG, Lachen, Switzerland; currently approved in
34 Canada for the treatment of congenital afibrinogenemia and hypofibrinogenemia) is non-
35 inferior to cryoprecipitate for treating bleeding in cardiac surgical patients in whom fibrinogen
36 supplementation is ordered in accordance with accepted clinical standards.
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41 **Study design and setting**

42 The FIBrinoGen REplenishment in Surgery (FIBRES) trial is a pragmatic, multi-centre,
43 randomized, active-control, non-inferiority phase 3 trial in adult cardiac surgical patients
44 experiencing clinically significant bleeding in whom fibrinogen supplementation is deemed to
45 be necessary. A pragmatic study design was chosen because it allows participating sites and
46 surgical teams to maintain standard clinical practice when treating patients, making the study
47 clinically relevant and generalizable to the large population of patients undergoing cardiac
48 surgery, and increases the likelihood of protocol adherence and successful study completion.
49 Approximately 1,200 patients will be recruited from up to 12 Canadian hospitals. Patients will
50 be randomized when fibrinogen supplementation is ordered to either of two treatment groups:
51 fibrinogen concentrate 4 g (intervention) or cryoprecipitate 10 units (active control). No
52 placebo arm has been included in the trial because withholding effective treatment is neither
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3 consistent with standard practice nor acceptable on ethical grounds. Treatment arm allocation
4 will be maintained for up to 24 hours after completion of CPB surgery; only the randomly-
5 allocated fibrinogen replacement product can be provided during this time. No other aspects
6 of care will be modified. Informed consent will be obtained as soon as possible after surgery.
7 Efficacy and safety will be evaluated. An overview of the study design is presented in **Figure**
8 **1**. The study commenced in February 2017 and is expected to complete in late 2018, with
9 results available in early 2019.
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12 13 **Eligibility criteria**

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15 The study will enroll all adult patients undergoing cardiac surgery with CPB for whom
16 fibrinogen supplementation is ordered in accordance with accepted clinical guideline-driven
17 standards (significant hemorrhage and known or presumed acquired hypofibrinogenemia).
18 The exclusion criteria are: receipt of either fibrinogen concentrate or cryoprecipitate within 24
19 hours before surgery; a history of severe allergic reaction to fibrinogen concentrate or
20 cryoprecipitate; known refusal of either study treatment (*i.e.*, for religious reasons); a known
21 fibrinogen level >3.0 g/L within 30 minutes of the order for treatment (to eliminate the risk of
22 raising a patient's fibrinogen level above the upper limit of the normal range [4.0 g/L]); and
23 known pregnancy.
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28 29 **Interventions**

30 **Intervention**

31 Patients randomized to the intervention group will receive fibrinogen concentrate
32 administered by slow (over 10 minutes) intravenous injection immediately after reconstitution
33 with 50 mL of sterile water for injection, as per the manufacturer's instructions. Patients
34 randomized to this group will receive 4 g of fibrinogen concentrate each time fibrinogen
35 supplementation is ordered during the first 24 hours after termination of CPB surgery.
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39 **Active control**

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41 Those patients randomized to the active control group will each receive cryoprecipitate, given
42 as 10 units (dose-equivalent to 4 g, as per internal Canadian Blood Services quality control
43 data; personal communication, Canadian Blood Services) each time fibrinogen
44 supplementation is ordered during the first 24 hours after termination of CPB. Cryoprecipitate
45 will be prepared according to current Health Canada standards and administered by
46 intravenous infusion following hospital transfusion policies at each of the participating study
47 sites.
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52 In both study arms, study treatment (fibrinogen concentrate or cryoprecipitate) may be
53 administered prior to the determination of fibrinogen levels in a patient that is bleeding if
54 deemed appropriate as per current clinical standards (*i.e.*, rapid bleeding precluding waiting
55 for laboratory results). If fibrinogen supplementation is needed after the 24-hour study period
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3 is over, patients will receive cryoprecipitate, which is the current standard-of-care in most of
4 Canada. Concomitant medications to treat bleeding that are part of standard patient care will
5 be permitted throughout the study, but must be recorded in the case report form (CRF).
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8 **Outcomes and study duration**

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10 The primary outcome of the study is one of efficacy; specifically, comparison between study
11 groups of the total number of red cells, platelets, and plasma administered during the first 24
12 hours after termination of CPB surgery.
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15 Secondary outcomes of the study will include both efficacy and safety outcomes. For the
16 former, the secondary outcomes are: 1) comparison of the total number of ABPs (not
17 including cryoprecipitate) administered from the start of surgery up to seven days after
18 surgery (or discharge if earlier); 2) comparison of major bleeding (using the modified universal
19 definition of perioperative bleeding [UDPB] in cardiac surgery)[23] during the first 24 hours
20 after termination of CPB; and 3) comparison of the effect of treatment on plasma fibrinogen
21 levels, determined by the change from within one hour before to one hour after the first dose
22 of fibrinogen supplementation. The secondary safety outcomes are: 1) adverse events (AEs)
23 and serious AEs (SAEs) up to 28 days postoperatively; 2) a composite AE grouping (death,
24 myocardial infarction, stroke, acute liver injury, acute kidney injury, and thromboembolic
25 events) up to 28 days postoperatively; and 3) the duration of mechanical ventilation, the
26 length of intensive care unit (ICU) admission, and total duration of hospitalization, all
27 censored at 28 days postoperatively.
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34 The duration of the treatment period is 24 hours (from termination of CPB), and the duration
35 of the study for each individual patient is 28 days.
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38 **Sample size**

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40 The sample size for this study was calculated based on the primary objective of
41 demonstrating efficacy non-inferiority of the intervention (fibrinogen concentrate) relative to an
42 active control (cryoprecipitate), with respect to the primary outcome. Determination of non-
43 inferiority is based on a type I error probability of $\alpha = 0.025$ and a clinical non-inferiority
44 margin of $\delta = 0.20$ around the mean units transfused. The choice of non-inferiority margin
45 was largely motivated by the large degree of variation in utilization of blood products that is to
46 be expected from previous studies reflecting current clinical practice, and clinical relevance in
47 this setting.[24]
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52 An empirical distribution function with a mean of 16 units and standard deviation of 14 units
53 was calculated based on data from a previous study by Karkouti *et al.*[24] This was used to
54 estimate study power by performing 10,000 simulations for each of the different possible
55 sample sizes. Using this approach, we calculated an empirical power >90% with a sample
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3 size ≥ 550 patients in each treatment group. Therefore, and assuming a 10% drop-out rate
4 (patients randomized but not treated, or lost to-follow-up) for the study based on the use of
5 two treatments that are within the standard-of-care for CPB surgery, the planned total sample
6 size is 1,200 patients (600 per treatment group).
7

8 9 **Randomization and blinding**

10 The randomization schedule will be prepared by an independent biostatistician not involved in
11 the conduct of the study using a permuted-block, random allocation schedule (stratified by
12 study site). The random allocation schedule will be provided to participating centres in
13 opaque, consecutively numbered envelopes and neither healthcare providers nor individuals
14 responsible for randomizing patients will be aware of the treatment allocation at the time
15 fibrinogen supplementation is ordered.
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20 Patients will be randomized in a ratio of 1:1 to either the intervention (fibrinogen concentrate)
21 group or the active control (cryoprecipitate) by the blood bank technologist once the order for
22 fibrinogen supplementation is received and inclusion/exclusion criteria are confirmed. The
23 requirement for informed consent prior to randomization will be waived (see Ethics section).
24 Patients and outcome assessors will be blinded to treatment allocation throughout the study;
25 clinicians will remain blinded only up to the point of use since the study products have distinct
26 physical appearances and maintaining blinding is not logistically possible.
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30 31 **Data analysis plan**

32 **Data collection and management**

33 Data collection will occur following randomization and procurement of consent (see Ethics
34 section). Full details of the data to be collected during the study, together with the timing and
35 frequency of data collection, are provided in **Table 1**.
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Table 1. Flow chart of study procedures and assessments performed at each study visit.

Procedures	Prior to Enrollment	Visit 1 Post-randomization (0–24 h)	Visit 1a 0–36 h Any additional IMP	Visit 2 POD7/DC	Visit 3 POD28
Blood bank receives fibrinogen order^a	X	(X)^e			
Inclusion and exclusion criteria	X				
Randomization	X				
IMP administration^b		X			
Confirm integrated consent	X				
Obtain delayed consent		X	(X)	(X)	
Baseline data					
Demographics		X			
Medical history		X			
Preoperative medications		X			
Surgical data					
Intraoperative medications		X			
CPB time		X			
Cross-clamp time		X			
Circulatory arrest		X			
Vital signs		X			
Fluid in- and output monitoring		X			
Inotropes and vasopressors		X	X		

Procedures	Prior to Enrollment	Visit 1 Post-randomization (0–24 h)	Visit 1a 0–36 h Any additional IMP	Visit 2 POD7/DC	Visit 3 POD28
Laboratory assessments					
Chemistry ^c		X	X	X	
Hematology ^c		X ^f	X	X	
Coagulation profile ^c		X ^f	X	X	
Safety labs ^c		X	X	X	
Transfusion requirements^d					
RBCs		X	X	X	
Pooled and apheresis platelets		X	X	X	
Plasma		X	X	X	
Other hemostatic products		X	X	X	
Blood loss determination using UDPB		X	X	X	
Extubation time		X	(X)	(X)	(X)
ICU length of stay		X	(X)	(X)	(X)
Hospital length of stay				X	(X)
AEs and SAEs		X	X	X	X
Concomitant medications		X	X	X	X
Physical examination		X	X		

^a After the start of surgery and during or after CPB

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6 ^b IMP will first be administered after the start of surgery based on the physician's judgment. The first IMP dose can be administered before fibrinogen levels
7 are known in bleeding patients, but all subsequent doses must have confirmation of low fibrinogen level (<1.5–2.0 g/L by the Clauss method in addition to
8 equivalent point-of-care alternatives, e.g., ROTEM[®] assay FIBTEM A10 of <12 mm, if available).

9
10 ^c As per standard practice.

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12 ^d 24 hours after IMP administration.

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14 ^e Patients will be treated according to their group allocation for any subsequent doses needed during the treatment period.

15
16 ^f Prior to and 60 minutes after IMP administration.

() if needed.

17 *Abbreviations:* AE, adverse event; CPB, cardiopulmonary bypass; DC, discharge; ICU, intensive care unit; IMP, investigational medicinal product; POD,
18 postoperative day; RBC, red blood cell; SAE, serious adverse event; UDPB, universal definition of perioperative bleeding.
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3 All source records and source data will be maintained by the site investigator and preserved
4 as stipulated by the regulatory authorities. An Electronic Data Capture (EDC) system will be
5 used to collect study data. All patient information and data will be maintained as confidential,
6 and patients will only be identified using a sequential numbering system. The site investigator
7 will maintain a confidential patient identification code list.
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10 Statistical methods

11 In this randomized, active control, non-inferiority trial, statistical analysis of the primary
12 efficacy outcome will be conducted according to modified intention-to-treat (ITT) principles.
13 The ITT population will comprise all randomized eligible patients who receive at least one
14 dose of the allocated treatment and provide informed consent (see Ethics section). A
15 secondary analysis will also be performed for the per-protocol (PP) population, which
16 excludes patients with major protocol deviations (for example, receiving the incorrect
17 treatment, receiving <80% of the planned dose, or missing the primary efficacy assessment).
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23 To demonstrate that treatment with fibrinogen concentrate (intervention) is clinically non-
24 inferior to cryoprecipitate (active control) with respect to the primary outcome, a two-sample,
25 one-sided test of the hypotheses: $H_0: \mu_F/\mu_C \geq (1 + \delta)$ (inferiority), and $H_1: \mu_F/\mu_C < (1 + \delta)$
26 (non-inferiority), will be conducted (where μ_F and μ_C denote the mean number of transfused
27 units in the fibrinogen concentrate and cryoprecipitate treatment groups, respectively). This
28 will be based on a Poisson regression model and performed using a type I error probability of
29 $\alpha = 0.025$ and clinical non-inferiority margin of $\delta = 0.20$ around the mean units transfused.
30 Non-inferiority of fibrinogen concentrate will be concluded if the upper limit of the one-sided
31 confidence interval (CI) for the ratio μ_F/μ_C is less than $(1 + \delta)$. Where non-inferiority is
32 demonstrated, a test for clinical superiority of fibrinogen concentrate with respect to the
33 number of ABPs transfused will be performed.
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39 We also plan to examine a number of exploratory secondary outcomes in the analysis of
40 efficacy: the number of ABPs administered from beginning of surgery up to 7 days
41 postoperatively and within 24 hours after CPB termination, stratified by ABP type, will be
42 examined using point estimates with two-sided 95% CIs, and descriptive statistics; major
43 bleeding type will be evaluated by frequency distributions; and change in fibrinogen levels
44 within 60 minutes before and after intervention will be tested using the Wilcoxon rank-sum
45 test, and the Hodges-Lehmann estimator of median differences with 95% CIs. Analyses for
46 efficacy will also be performed in patient subgroups, classified based on urgency and
47 complexity of surgery.
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53 Evaluation of safety outcomes, AEs, and SAEs will be conducted in the safety analysis
54 population (SAF), comprising all patients who receive at least one dose of study drug and
55 provide informed consent (see Ethics section). Analysis of AEs will focus on treatment
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3 emergent adverse events (TEAEs), defined as AEs that start or worsen after the start of
4 treatment (intervention or active control group). This analysis will be based on calculating
5 point estimates and two-sided 95% CIs, in addition to descriptive statistics.
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8 A pre-planned interim analysis will be conducted after 600 patients have completed the study.
9 This will take the form of an unblinded interim analysis using an adjusted type I error rate
10 according to the O'Brien-Fleming method.[25] At this point, enrollment may be stopped if a
11 positive outcome, *i.e.*, rejection of H_0 , is demonstrated (efficacy stop), or if the predictive
12 power to test non-inferiority is insufficient (futility stop) (**Figure 2**). The study will continue as
13 planned if neither scenario is fulfilled at the interim analysis.
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16 17 18 **Monitoring and quality control and assurance**

19 An Independent Data and Safety Monitoring Committee (IDSMC) will be established by the
20 Sponsor to review study data after each (approximately) 100 patients have been randomized.
21 A meeting will also be convened to specifically evaluate the outcome of the pre-planned
22 interim analysis. Meetings of the committee may also be called at other times, as deemed
23 necessary based on occurrence of serious adverse events or any logistical concerns. The
24 results of each IDSMC meeting will be communicated to the Principal Investigator and study
25 Sponsor within 15 days, or earlier in matters relating to ensuring patient safety and/or study
26 integrity. The IDSMC will comprise a minimum of three voting members with collective
27 expertise in the fields of statistics, perioperative medicine, and hematology, who will review
28 accumulating data pertaining to efficacy, safety, outcomes, and other study aspects such as
29 recruitment, compliance, data quality, and risk versus benefit. The IDSMC will provide
30 recommendations regarding the continuation, modification, or termination of the study, as
31 appropriate. The duties and responsibilities of the IDSMC will be defined in a written, study-
32 specific charter. Ultimately, the role of the IDSMC will be to protect and serve study
33 participants, and to assist and advise the Principal Investigator and study Sponsor in the
34 overall conduct, interpretation, validity, integrity, and ongoing relevance of the study.
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42 For quality control and assurance purposes, periodic monitoring of all study-related source
43 data/records, adherence to the approved study protocol, and the completeness and accuracy
44 of CRFs will be undertaken by an appointed independent study monitor. Full and direct
45 access to all source documents will be provided. All study-related material will also be made
46 available to independent quality assurance auditors and regulatory inspectors, as required.
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50 51 52 **ETHICS AND DISSEMINATION**

53 The study will be conducted in accordance with the ethical principles defined by the
54 Declaration of Helsinki, and in compliance with the approved study protocol, Good Clinical
55 Practice (GCP) guidelines, and all appropriate regulatory requirements governing the study
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3 centres participating in the trial. The study, study protocol, and all other study documents
4 have been approved by the local research ethics board (REB) and regulatory authority.
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7 Due to the emergency nature of the clinical setting, *i.e.*, bleeding during or after surgery, all
8 patients included in the study will be incapable of providing informed consent at the time
9 treatment is required. Furthermore, delays brought about by obtaining surrogate consent can
10 be severely detrimental to patient well-being. Moreover, this bleeding complication occurs
11 infrequently (approximately 5% of cases) and cannot be reliably predicted before surgery, and
12 a requirement to obtain prior consent from patients would make it impracticable to conduct
13 the study. The study compares two fibrinogen replacement sources that are currently within
14 the standard-of-care for this surgical procedure in patients who have consented to receiving
15 blood transfusions, requires no additional interventions outside of standard clinical care, and
16 therefore poses only minimal risk to patients. For the reasons outlined, the study therefore
17 meets the criteria stated in Article 3.7A of the 2014 Tri-Council Policy Statement on the
18 Ethical Conduct for Research Involving Humans that define situations where exceptions to
19 obtaining prior consent are warranted. As per these criteria, written informed consent from the
20 patient (or a surrogate decision maker) for follow-up and use of their data will be obtained as
21 soon as possible after randomization. Waiver of patient consent at time of randomization
22 allows efficient inclusion of a large number of bleeding patients; this is a novelty of the study
23 design and an approach not used before in bleeding surgical patients.
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31 The trial has started recruitment and is registered on the ClinicalTrials.gov registry with the
32 identifier NCT03037424. At completion of the study, and in accordance with the relevant
33 guidelines, the Sponsor/Investigator will prepare a clinical study report (CSR) to report the
34 outcomes of the study, and may publish the data in their entirety as a multi-centre dataset.
35 We intend to disseminate the findings of the study in a timely fashion at international scientific
36 meetings, and will publish our findings in the scientific/medical literature.
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40 **CONCLUSION**

41 This protocol for the phase 3 FIBRES trial describes a multi-centre, randomized, non-
42 inferiority study comparing the use of fibrinogen concentrate versus cryoprecipitate as active
43 control in the treatment of acquired hypofibrinogenemia in patients undergoing CPB cardiac
44 surgery. The study has a number of strengths. First, it utilizes a pragmatic approach and
45 treatment algorithm that aligns with standard clinical practice. This not only increases the
46 likelihood of protocol adherence, but also increases generalizability by ensuring that the study
47 has direct clinical relevance to current treatment practice. Second, the waiver of patient
48 consent prior to treatment improves study feasibility (cost and time) and minimizes post-
49 randomization drop-outs. Third, use of an active control group ensures that all randomized
50 patients receive fibrinogen supplementation according to clinical need. Fourth, the enrollment
51 of approximately 1,200 patients represents the largest randomized controlled trial in this
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3 setting to date.
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5 This study in bleeding cardiac surgical patients aims to show efficacy non-inferiority of
6 fibrinogen concentrate, a highly-purified fibrinogen product that has improved safety, ease of
7 administration, and a predictable and robust effect on fibrinogen levels, when compared with
8 cryoprecipitate. A finding of non-inferiority would support the use of this purified fibrinogen
9 concentrate as an appropriate option for fibrinogen supplementation in acquired
10 hypofibrinogenemia. Ultimately, the results of this trial are likely to improve the care of cardiac
11 surgical patients experiencing significant bleeding, an under-studied yet high-risk population.
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AUTHOR CONTRIBUTIONS

Conception and design (KK, JK); Preparation of the first draft of the manuscript (KK); Critical revision of the manuscript for important intellectual content (KK, JC, VR, NH, MF, MC, DS); Read and approved the final version of the manuscript to be published (KK, JC, VR, NH, MF, MC, DS).

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COMPETING INTERESTS

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The remaining authors have no competing interests or conflicts to declare.

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3 **FIGURE LEGENDS**
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5 **Figure 1.** Study design.
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8 *Abbreviations:* CPB, cardiopulmonary bypass.
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13 **Figure 2.** Study decision process at the point of the interim analysis.
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16 *Abbreviations:* IDSMC, Independent Data and Safety Monitoring Committee; N, number; PI,
17 Principal Investigator.
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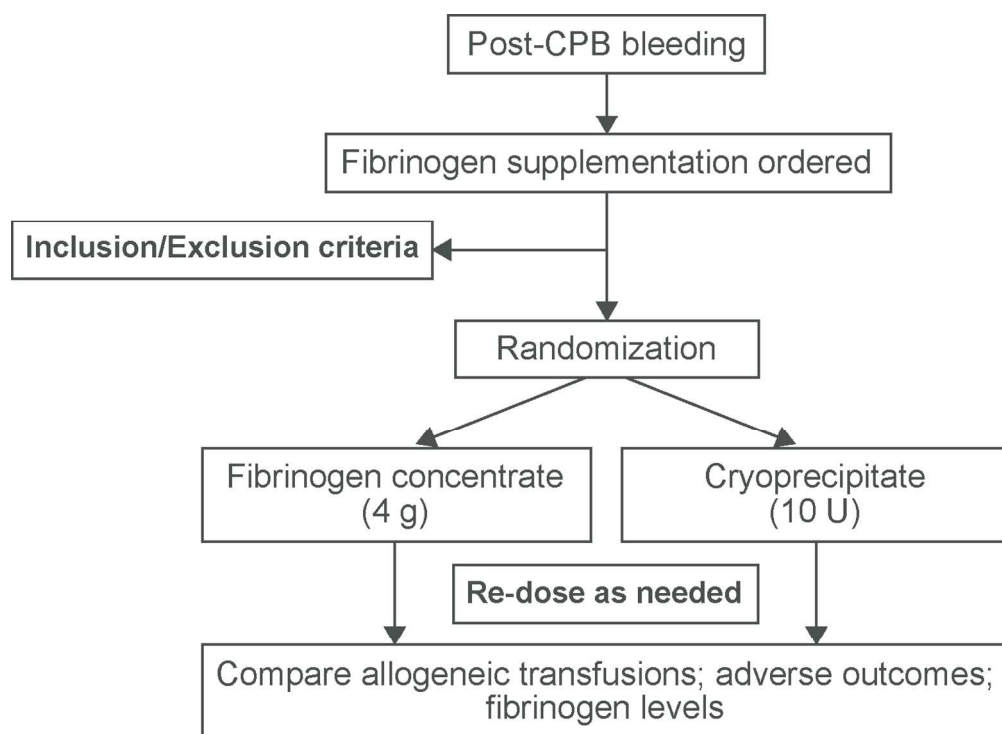


Figure 1. Study design.
Abbreviations: CPB, cardiopulmonary bypass.

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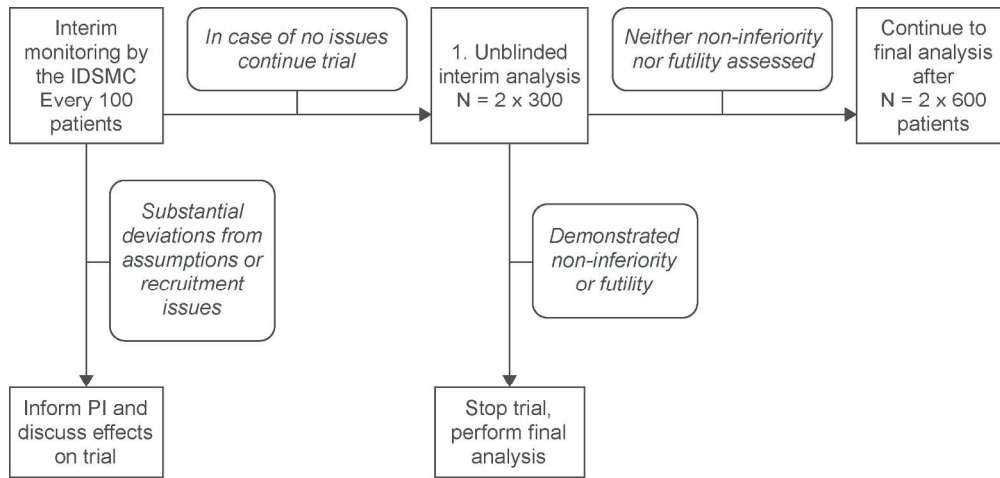


Figure 2. Study decision process at the point of the interim analysis.
Abbreviations: IDSMC, Independent Data and Safety Monitoring Committee; N, number; PI, Principal Investigator.

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BMJ Open

Protocol for a phase 3, non-inferiority, randomized comparison of a new fibrinogen concentrate vs. cryoprecipitate for treating acquired hypofibrinogenemia in bleeding cardiac surgical patients: the FIBRES trial

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Manuscript ID	bmjopen-2017-020741.R1
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Primary Subject Heading:	Haematology (incl blood transfusion)
Secondary Subject Heading:	Cardiovascular medicine
Keywords:	Bleeding disorders & coagulopathies < HAEMATOLOGY, Cardiac surgery < SURGERY, Clinical trials < THERAPEUTICS, Blood bank & transfusion medicine < HAEMATOLOGY, HAEMATOLOGY

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3 **Protocol for a phase 3, non-inferiority, randomized comparison of a new**
4 **fibrinogen concentrate vs. cryoprecipitate for treating acquired**
5 **hypofibrinogenemia in bleeding cardiac surgical patients: the FIBRES trial**
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49 ***Keywords:*** Bleeding disorders and coagulopathies; Cardiac surgery; Clinical trials; Adult
50 surgery; Blood bank & transfusion medicine; Haematology
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ABSTRACT

Introduction: Coagulopathic bleeding is a serious complication of cardiac surgery to which an important contributor is acquired hypofibrinogenemia (plasma fibrinogen <1.5–2.0 g/L). The standard intervention for acquired hypofibrinogenemia is cryoprecipitate, but purified fibrinogen concentrates are also available. There is little comparative data between the two therapies and randomized trials are needed.

Methods and analysis: FIBrinogen REplenishment in Surgery (FIBRES) is a multi-center, randomized (1:1), active-control, single-blinded, phase 3 trial in adult cardiac surgical patients experiencing clinically significant bleeding related to acquired hypofibrinogenemia. The primary objective is to demonstrate that fibrinogen concentrate (Octafibrin/Fibryga, Octapharma) is non-inferior to cryoprecipitate. All patients for whom fibrinogen supplementation is ordered by the clinical team within 24 hours of cardiopulmonary bypass will receive 4 g fibrinogen concentrate or 10 units of cryoprecipitate (dose-equivalent to 4 g), based on random allocation and deferred consent. The primary outcome is total red cell, platelet, and plasma transfusions administered within 24 hours of bypass. Secondary outcomes include major bleeding, fibrinogen levels, and adverse events within 28 days. Enrollment of 1,200 patients will provide >90% power to demonstrate non-inferiority. One pre-planned interim analysis will include 600 patients. The pragmatic design and treatment algorithm align with standard practice, aiding adherence and generalizability.

Ethics and dissemination: The study is approved by the local research ethics board and will be conducted in accordance with the Declaration of Helsinki, Good Clinical Practice guidelines, and regulatory requirements. Patient consent prior to treatment is waived, as per criteria in the Tri-Council Policy Statement. Results will be published in the scientific/medical literature, and at international congresses. Non-inferiority of purified fibrinogen concentrate would support its use in acquired hypofibrinogenemia. The results are likely to improve care for cardiac surgical patients experiencing significant bleeding, an under-studied yet high-risk population.

Trial registration: NCT03037424 (ClinicalTrials.gov).

Word count: 294 (max. 300 words)

STRENGTHS AND LIMITATIONS OF THIS STUDY

[Up to 5 bullets, no longer than one sentence each, each relating to the methods]

- This is the largest randomized comparison of fibrinogen concentrate versus cryoprecipitate in adult patients with acquired hypofibrinogenemia due to cardiac surgery involving cardiopulmonary bypass.
- Simple, pragmatic study design that aligns with standard clinical practice will enhance adherence and ensure clinical relevance and generalizability, while stratified randomization by study site is employed to address between-site practice variability.
- Use of an active control, cryoprecipitate, as the comparator guarantees that all patients in the study will receive fibrinogen supplementation as clinically indicated.
- The large sample size of approximately 1,200 patients in this high-risk population will provide >90% power to detect non-inferiority of fibrinogen concentrate to cryoprecipitate.
- It will not be possible to fully blind clinicians to treatment allocation, so all outcome assessors will be blinded to minimize the risk of bias.

INTRODUCTION

Coagulopathy leading to excessive bleeding is a serious complication of cardiac surgery requiring cardiopulmonary bypass (CPB). Occurring in approximately 10% or more of cases, it often requires massive blood transfusion with allogeneic blood products (ABPs), and is associated with an increased risk of serious postoperative adverse outcomes, including sepsis, renal failure, and death.[1-4] While the causes of coagulopathy in this setting are likely to be multifactorial, acquired hypofibrinogenemia, considered as a deficiency in plasma fibrinogen levels below 1.5–2.0 g/L,[5] is believed to be one of the primary factors.[6]

Fibrinogen is the most abundant clotting factor in the human circulation and plays a pivotal role in hemostasis and the coagulation cascade by promoting clot formation and platelet aggregation.[7] Physiological levels of fibrinogen are typically in the range of 1.5–4.5 g/L,[8] with a half-life following synthesis of approximately four days.[9] A number of factors may contribute to the development of acquired hypofibrinogenemia in cardiac surgery. Fibrinogen loss may result from acute bleeding, dilution of the circulatory volume due to administration of fluids and priming of the CPB circuit, and consumption due to activation of the coagulation cascade during CPB.[10] Since fibrinogen has only a limited reserve in the body, a modest decrease in levels to <1.5–2.0 g/L impairs coagulation and increases the likelihood of bleeding complications.[10-15] Fibrinogen levels have been shown to fall 40–50% during cardiac surgery,[10] with a critical drop observed in approximately 5% of patients.[16] In these patients, fibrinogen supplementation is believed to be essential and is the current standard of care in most jurisdictions.[6,17]

While supplementation of fibrinogen has historically included the use of fresh frozen plasma, the two principal options currently recommended for supplementation in acquired hypofibrinogenemia are cryoprecipitate and purified fibrinogen concentrate.[5] Cryoprecipitate is currently used in North America and is an ABP prepared from fresh frozen plasma through a process of thawing and centrifugation. The clotting factor-enriched precipitate is re-suspended in a small volume of plasma and refrozen. Typical fibrinogen content is around 15 g/L,[18] although this may vary considerably (3–30 g/L) due to inter-donor variability.[19] Cryoprecipitate has largely been withdrawn from most European countries due to safety concerns because it does not undergo pathogen reduction,[20] where treatment with purified human fibrinogen concentrate is the preferred therapeutic choice for hypofibrinogenemia. Fibrinogen concentrate has a number of important advantages over cryoprecipitate, including: significantly higher purity; standardized fibrinogen content, enabling more accurate dosing; faster preparation and administration time; no requirement for blood type matching; and improved safety, particularly through pathogen reduction technology.[6,20-22] Cryoprecipitate remains the most common fibrinogen replacement product in North America and may be a more effective hemostatic agent because it also contains hemostatic factors VIII and XIII, von Willebrand factor, and platelet microparticles.

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4 There is scant data comparing cryoprecipitate and fibrinogen concentrate for the treatment of
5 acquired hypofibrinogenemia; thus, we do not know which of these is the most appropriate
6 therapy in bleeding cardiac surgical patients. Moreover, mounting evidence indicates that
7 hemostatic management of patients with coagulopathic bleeding is evolving from the
8 conventional use of non-purified ABPs to targeted therapeutic algorithms using purified
9 coagulation factor concentrates such as fibrinogen concentrate.[23] There is therefore a need
10 for prospective, randomized clinical trials designed to specifically evaluate cryoprecipitate and
11 fibrinogen concentrate in parallel as part of hemostatic treatment algorithms in bleeding
12 cardiac surgical patients.
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18 Our study design addresses these issues by assessing non-inferiority of a purified fibrinogen
19 concentrate against cryoprecipitate for the treatment of acquired hypofibrinogenemia in
20 cardiac surgery. Given the theoretical and logistical advantages of fibrinogen concentrate
21 relative to cryoprecipitate outlined above, illustrating that fibrinogen concentrate is non-inferior
22 to cryoprecipitate would support the use of this purified fibrinogen concentrate for hemostatic
23 management in this high-risk, clinically important setting. Importantly, the conduct of
24 randomized trials in bleeding surgical patients poses specific challenges, and this trial
25 incorporates several design features to address these challenges.
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30 **METHODS AND ANALYSIS**

31 **Objective**

32 The primary objective of this study is to demonstrate that the administration of the fibrinogen
33 concentrate Octafibrin/Fibryga (Octapharma AG, Lachen, Switzerland; currently approved in
34 Canada for the treatment of congenital afibrinogenemia and hypofibrinogenemia) is non-
35 inferior to cryoprecipitate for treating bleeding in cardiac surgical patients in whom fibrinogen
36 supplementation is ordered in accordance with accepted clinical standards.
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41 **Study design and setting**

42 The FIBrinoGen REplenishment in Surgery (FIBRES) trial is a pragmatic, multi-center,
43 randomized, active-control, non-inferiority phase 3 trial in adult cardiac surgical patients
44 experiencing clinically significant bleeding in whom fibrinogen supplementation is deemed to
45 be necessary. A pragmatic study design was chosen because it allows participating sites and
46 surgical teams to maintain standard clinical practice when treating patients, making the study
47 clinically relevant and generalizable to the large population of patients undergoing cardiac
48 surgery, and increases the likelihood of protocol adherence and successful study completion.
49 Approximately 1,200 patients will be recruited from up to 12 Canadian hospitals. Patients will
50 be randomized when fibrinogen supplementation is ordered to either of two treatment groups:
51 fibrinogen concentrate 4 g (intervention) or cryoprecipitate 10 units (active control). No
52 placebo arm has been included in the trial because withholding effective treatment is neither
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3 consistent with standard practice nor acceptable on ethical grounds. Treatment arm allocation
4 will be maintained for up to 24 hours after termination of CPB; only the randomly-allocated
5 fibrinogen replacement product can be provided during this time. No other aspects of care will
6 be modified. Informed consent will be obtained from patient or surrogate decision maker as
7 soon as possible after surgery. An overview of the study design is presented in **Figure 1**. The
8 study commenced in February 2017 and is expected to complete in late 2018, with results
9 available in early 2019.
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12 13 **Eligibility criteria**

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15 The study will enroll all adult patients undergoing cardiac surgery with CPB for whom
16 fibrinogen supplementation is ordered by the clinicians in response to post-CPB hemorrhage
17 in the presence of confirmed or suspected acquired hypofibrinogenemia (fibrinogen level
18 <1.5–2.0 g/L). The exclusion criteria are: receipt of either fibrinogen concentrate or
19 cryoprecipitate within 24 hours before surgery; a history of severe allergic reaction to
20 fibrinogen concentrate or cryoprecipitate; known refusal of ABPs or either study treatment
21 (*i.e.*, for religious reasons); a known fibrinogen level >3.0 g/L within 30 minutes of the order
22 for treatment (to eliminate the risk of raising a patient's fibrinogen level above the upper limit
23 of the normal range [4.0 g/L]); and known pregnancy.
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28 29 **Interventions**

30 **Intervention**

31 Patients randomized to the intervention group will receive fibrinogen concentrate
32 administered by slow (over 10 minutes) intravenous injection immediately after reconstitution
33 with 50 mL of sterile water for injection, as per the manufacturer's instructions. Patients
34 randomized to this group will receive 4 g of fibrinogen concentrate each time fibrinogen
35 supplementation is ordered during the first 24 hours after termination of CPB surgery.
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39 **Active control**

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41 Those patients randomized to the active control group will each receive cryoprecipitate, given
42 as 10 units (dose-equivalent to approximately 4 g [median 388 mg, range 120–796 mg, per
43 bag], as per internal Canadian Blood Services quality control data; personal communication,
44 Canadian Blood Services [24]) each time fibrinogen supplementation is ordered during the
45 first 24 hours after termination of CPB. Cryoprecipitate will be prepared according to current
46 Health Canada standards and administered by intravenous infusion following hospital
47 transfusion policies at each of the participating study sites.
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52 In both study arms, study treatment (fibrinogen concentrate or cryoprecipitate) may be
53 administered prior to the determination of fibrinogen levels in a patient that is bleeding if
54 deemed appropriate as per current clinical standards (*i.e.*, rapid bleeding precluding waiting
55 for laboratory results). If fibrinogen supplementation is needed after the 24-hour study period
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3 is over, patients will receive cryoprecipitate, which is the current standard-of-care in most of
4 Canada. Concomitant medications to treat bleeding that are part of standard patient care will
5 be permitted throughout the study, but must be recorded in the case report form (CRF).
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8 **Outcomes and study duration**

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10 The primary outcome of the study is one of efficacy; specifically, comparison between study
11 groups of the total number of red cells, platelets, and plasma administered during the first 24
12 hours after termination of CPB surgery.
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15 Secondary outcomes of the study will include both efficacy and safety outcomes. For the
16 former, the secondary outcomes are: 1) comparison of the total number of ABPs (not
17 including cryoprecipitate) administered from the start of surgery up to seven days after
18 surgery (or discharge if earlier); 2) comparison of major bleeding (using the modified universal
19 definition of perioperative bleeding [UDPB] in cardiac surgery)[25] during the first 24 hours
20 after termination of CPB; and 3) comparison of the effect of treatment on plasma fibrinogen
21 levels, determined by the change from within 75 minutes before to 75 minutes after
22 completion of the first dose of fibrinogen supplementation. The secondary safety outcomes
23 are: 1) adverse events (AEs) and serious AEs (SAEs) up to 28 days postoperatively; 2) a
24 composite AE grouping (death, myocardial infarction, stroke, acute liver injury, acute kidney
25 injury, and thromboembolic events) up to 28 days postoperatively; and 3) the duration of
26 mechanical ventilation, the length of intensive care unit (ICU) admission, and total duration of
27 hospitalization, all censored at 28 days postoperatively.
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34 The duration of the treatment period is 24 hours (from termination of CPB), and the duration
35 of the study for each individual patient is 28 days.
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38 **Sample size**

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40 The sample size for this study was calculated based on the primary objective of
41 demonstrating efficacy non-inferiority of the intervention (fibrinogen concentrate) relative to an
42 active control (cryoprecipitate), with respect to the primary outcome. Determination of non-
43 inferiority is based on a type I error probability of $\alpha = 0.025$ and a clinical non-inferiority
44 margin of $\delta = 0.20$ around the mean units transfused. The choice of non-inferiority margin
45 was largely motivated by the large degree of variation in utilization of blood products that is to
46 be expected from previous studies reflecting current clinical practice, and clinical relevance in
47 this setting.[26]
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52 An empirical distribution function with a mean of 16 units and standard deviation of 14 units
53 was calculated based on data from a previous study by Karkouti *et al* (each dose of apheresis
54 or pooled platelets was counted as 4 units to correspond with the number of units in pooled
55 platelets).[26] This was used to estimate study power by performing 10,000 simulations for
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3 each of the different possible sample sizes. Using this approach, we calculated an empirical
4 power >90% with a sample size ≥ 550 patients in each treatment group. Therefore, and
5 assuming a 10% drop-out rate (patients randomized but not treated, or lost to-follow-up) for
6 the study based on the use of two treatments that are within the standard-of-care for CPB
7 surgery, the planned total sample size is 1,200 patients (600 per treatment group).
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10 **Randomization and blinding**

11 The randomization schedule will be prepared by an independent biostatistician not involved in
12 the conduct of the study using a permuted-block, random allocation schedule. As transfusion
13 practice is not standardized, randomization will be stratified by study site. The random
14 allocation schedule will be provided to participating centers in opaque, consecutively
15 numbered envelopes and neither healthcare providers nor individuals responsible for
16 randomizing patients will be aware of the treatment allocation at the time fibrinogen
17 supplementation is ordered.
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23 Patients will be randomized in a ratio of 1:1 to either the intervention (fibrinogen concentrate)
24 group or the active control (cryoprecipitate) by the blood bank technologist once the order for
25 fibrinogen supplementation is received and inclusion/exclusion criteria are confirmed. The
26 requirement for informed consent prior to randomization will be waived (see Ethics section).
27 Patients will be blinded to treatment allocation throughout the study; treating clinicians will
28 remain blinded only up to the point of use since the study products have distinct physical
29 appearances and maintaining blinding is not logistically possible. All attempts will be made to
30 blind clinicians outside of the operating room and ICU, as well as outcome assessors, to the
31 treatment allocation.
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37 **Data analysis plan**

38 **Data collection and management**

39 Data collection will occur following randomization and procurement of consent (see Ethics
40 section). Full details of the data to be collected during the study, together with the timing and
41 frequency of data collection, are provided in **Table 1**.
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Table 1. Flow chart of study procedures and assessments performed at each study visit.

Procedures	Prior to Enrollment	Visit 1 Post-randomization (0–24 h)*	Visit 2 POD7/DC	Visit 3 POD28
Blood bank receives fibrinogen order^a	X	(X)^e		
Inclusion and exclusion criteria	X			
Randomization	X			
IMP administration^b		X		
Patient (surrogate) debriefing and consent	X			
Obtain delayed consent		X	(X)	
Baseline data				
Demographics		X		
Medical history		X		
Preoperative medications		X		
Surgical data				
Intraoperative medications		X		
CPB time		X		
Cross-clamp time		X		
Circulatory arrest		X		
Vital signs		X		
Fluid in- and output monitoring		X		

Procedures	Prior to Enrollment	Visit 1 Post-randomization (0–24 h)*	Visit 2 POD7/DC	Visit 3 POD28
Inotropes and vasopressors		X		
Laboratory assessments				
Chemistry ^c		X	X	
Hematology ^c		X ^f	X	
Coagulation profile ^c		X ^f	X	
Safety labs ^c		X	X	
Transfusion requirements^d				
RBCs		X	X	
Pooled and apheresis Platelets		X	X	
Plasma		X	X	
Other hemostatic products		X	X	
Blood loss determination using UDPB		X	X	
Extubation time		X	(X)	(X)
ICU length of stay		X	(X)	(X)
Hospital length of stay			X	(X)
AEs and SAEs		X	X	X
Concomitant medications		X	X	X
Physical examination		X		

* For any activities not completed during this visit, additional visits will be undertaken to complete activities

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^a After the start of surgery and during or after CPB

^b IMP will first be administered after CPB termination

^c As per standard practice.

^d From beginning of surgery to postoperative day 7.

^e Patients will be treated according to their group allocation for any subsequent doses needed during the treatment period (up to 24 hours after termination of CPB).

^f Prior to and up to 75 minutes after IMP administration.

() if needed.

Abbreviations: AE, adverse event; CPB, cardiopulmonary bypass; DC, discharge; ICU, intensive care unit; IMP, investigational medicinal product; POD, postoperative day; RBC, red blood cell; SAE, serious adverse event; UDPB, universal definition of perioperative bleeding.

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3 All source records and source data will be maintained by the site investigator and preserved
4 as stipulated by the regulatory authorities. An Electronic Data Capture (EDC) system will be
5 used to collect study data. All patient information and data will be maintained as confidential,
6 and patients will only be identified using a sequential numbering system. The site investigator
7 will maintain a confidential patient identification code list.
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10 Statistical methods

11 In this randomized, active control, non-inferiority trial, statistical analysis of the primary
12 efficacy outcome will be conducted according to modified intention-to-treat (ITT) principles.
13 The mITT population will comprise all randomized eligible patients who undergo cardiac
14 surgery, receive at least one (partial or complete) dose of the allocated treatment and for
15 whom consent was obtained (see Ethics section). A secondary analysis will also be
16 performed for the per-protocol (PP) population, which excludes patients with major protocol
17 deviations (for example, receiving the incorrect treatment, receiving <80% of the planned
18 dose, or missing the primary efficacy assessment).
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24 To demonstrate that treatment with fibrinogen concentrate (intervention) is clinically non-
25 inferior to cryoprecipitate (active control) with respect to the primary outcome, a two-sample,
26 one-sided test of the hypotheses: $H_0: \mu_F/\mu_c \geq (1 + \delta)$ (inferiority), and $H_1: \mu_F/\mu_c < (1 + \delta)$
27 (non-inferiority), will be conducted (where μ_F and μ_c denote the mean number of transfused
28 units in the fibrinogen concentrate and cryoprecipitate treatment groups, respectively). This
29 will be based on a Poisson regression model (generalized linear model for count data with
30 log-link function and a Poisson error term [27]) and clinical non-inferiority margin of $\delta = 0.20$
31 around the mean units transfused. Non-inferiority of fibrinogen concentrate will be concluded
32 if the upper limit of the one-sided confidence interval (CI) for the ratio μ_F/μ_c is less than $(1 +$
33 $\delta)$. Where non-inferiority is demonstrated, a test for clinical superiority of fibrinogen
34 concentrate with respect to the number of ABPs transfused will be performed by testing the
35 hypotheses: $H'_0: \mu_F/\mu_c \geq 1$ (inferiority), and $H'_1: \mu_F/\mu_c < 1$ (superiority),
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42 We also plan to examine a number of exploratory secondary outcomes in the analysis of
43 efficacy: the number of ABPs administered from beginning of surgery up to 7 days
44 postoperatively and within 24 hours after CPB termination, stratified by ABP type, will be
45 examined using point estimates with two-sided 95% CIs, and descriptive statistics; major
46 bleeding type will be evaluated by frequency distributions; and change in fibrinogen levels
47 within 60 minutes before and after intervention will be tested using the Wilcoxon rank-sum
48 test, and the Hodges-Lehmann estimator of median differences with 95% CIs. Analyses for
49 efficacy will also be performed in patient subgroups, classified based on urgency and
50 complexity of surgery.
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55 Evaluation of safety outcomes, AEs, and SAEs will be conducted in the safety analysis
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3 population (SAF), comprising all patients who receive at least one dose of study drug and
4 provide informed consent (see Ethics section). Analysis of AEs will focus on treatment
5 emergent adverse events (TEAEs), defined as AEs that start or worsen after the start of
6 treatment (intervention or active control group). This analysis will be based on calculating
7 point estimates and two-sided 95% CIs, in addition to descriptive statistics.
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11 The number of patients who died will be summarized. A possible difference between
12 treatment groups will be estimated by the risk ratio with 95% CI. Kaplan-Meier estimates for
13 the time to death distribution will be calculated and graphically presented.
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17 One pre-planned interim analysis will be conducted after 600 patients have been randomized.
18 This will take the form of an unblinded interim analysis using an adjusted type I error rate
19 according to the O'Brien-Fleming method.[28] At this point, enrollment may be stopped if a
20 positive outcome, *i.e.*, rejection of H_0 , is demonstrated based on the adjusted one-sided
21 significance level of $\alpha = 0.00258$ (efficacy stop), or if the predictive power for the test of non-
22 inferiority is less than 0.25 (futility stop) (**Figure 2**). The study will continue as planned if
23 neither scenario is fulfilled at the interim analysis. A final analysis will then be performed after
24 an additional 600 patients have been randomized at the adjusted significance level of $\alpha =$
25 0.02242 to maintain the overall one-sided significance level of 0.025.
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30 **Monitoring and quality control and assurance**

31 An Independent Data and Safety Monitoring Committee (IDSMC) will be established by the
32 Sponsor to review study data after each (approximately) 100 patients have been randomized.
33 A meeting will also be convened to specifically evaluate the outcome of the pre-planned
34 interim analysis. Meetings of the committee may also be called at other times, as deemed
35 necessary based on occurrence of serious adverse events or any logistical concerns. The
36 results of each IDSMC meeting will be communicated to the Principal Investigator and study
37 Sponsor within 15 days, or earlier in matters relating to ensuring patient safety and/or study
38 integrity. The IDSMC will comprise a minimum of three voting members with collective
39 expertise in the fields of statistics, perioperative medicine, and hematology, who will review
40 accumulating data pertaining to efficacy, safety, outcomes, and other study aspects such as
41 recruitment, compliance, data quality, and risk versus benefit. The IDSMC will provide
42 recommendations regarding the continuation, modification, or termination of the study, as
43 appropriate. The duties and responsibilities of the IDSMC will be defined in a written, study-
44 specific charter. Ultimately, the role of the IDSMC will be to protect and serve study
45 participants, and to assist and advise the Principal Investigator and study Sponsor in the
46 overall conduct, interpretation, validity, integrity, and ongoing relevance of the study. The
47 sponsor will have ultimate authority in all aspects of the trial and will have access to the final
48 trial dataset.
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3 For quality control and assurance purposes, periodic monitoring of all study-related source
4 data/records, adherence to the approved study protocol, and the completeness and accuracy
5 of CRFs will be undertaken by an appointed independent study monitor. Full and direct
6 access to all source documents will be provided. All study-related material will also be made
7 available to independent quality assurance auditors and regulatory inspectors, as required.
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10 **ETHICS AND DISSEMINATION**

11 The study will be conducted in accordance with the ethical principles defined by the
12 Declaration of Helsinki, and in compliance with the approved study protocol, Good Clinical
13 Practice (GCP) guidelines, and all appropriate regulatory requirements (including collecting
14 and reporting of serious adverse events) governing the study centers participating in the trial.
15 The study, study protocol, and all other study documents have been approved by the
16 University Health Network's (the coordinating center) research ethics board (REB # 16-5636;
17 Approval date: 12 Jan, 2017), the local REB of all participating sites, and regulatory authority
18 (Health Canada). The trial is registered at clinicaltrials.gov (Identifier: NCT03037424).
19 Changes to protocol will be communicated by the Sponsor to all REBs, Health Canada, and
20 will be documented on clinicaltrials.gov.
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27 Due to the emergency nature of the clinical setting, *i.e.*, bleeding during or after surgery, all
28 patients included in the study will be incapable of providing informed consent at the time
29 treatment is required. Furthermore, delays brought about by obtaining surrogate consent can
30 be severely detrimental to patient well-being. Moreover, this bleeding complication occurs
31 infrequently (approximately 5% of cases) and cannot be reliably predicted before surgery, and
32 a requirement to obtain prior consent from patients would make it impracticable to conduct
33 the study. The study compares two fibrinogen replacement sources that are currently within
34 the standard-of-care for this surgical procedure in patients who have consented to receiving
35 blood transfusions, requires no additional interventions outside of standard clinical care, and
36 therefore poses only minimal risk to patients. For the reasons outlined, the study therefore
37 meets the criteria stated in Article 3.7A of the 2014 Tri-Council Policy Statement on the
38 Ethical Conduct for Research Involving Humans that define situations where exceptions to
39 obtaining prior consent are warranted. As per these criteria, written informed consent for
40 follow-up and use of the patients' data will be obtained within 24-48 hours after randomization.
41 If the patient is not capable of providing informed consent, consent will be sought from the
42 surrogate decision maker. Patients will then be re-visited every few days up to postoperative
43 day 28 to obtain their direct consent where possible. Waiver of patient consent at time of
44 randomization allows efficient inclusion of a large number of bleeding patients and minimizes
45 post-randomization drop-outs; this is a novelty of the study design and an approach not
46 commonly used in bleeding surgical patients.
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3 At completion of the study, and in accordance with the relevant guidelines, the
4 Sponsor/Investigator will prepare a clinical study report (CSR) to report the outcomes of the
5 study, and may publish the data in their entirety as a multi-center dataset. We intend to
6 disseminate the findings of the study in a timely fashion at international scientific meetings,
7 and will publish our findings in the scientific/medical literature.
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10 **PATIENT AND PUBLIC INVOLVEMENT**

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13 Patients and the public were not involvement in the design of the study.
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16 **CONCLUSION**

17 This protocol for the phase 3 FIBRES trial describes a multi-center, randomized, non-
18 inferiority study comparing the use of fibrinogen concentrate versus cryoprecipitate as active
19 control in the treatment of acquired hypofibrinogenemia in patients undergoing CPB cardiac
20 surgery. The study has a number of strengths. First, it utilizes a pragmatic approach and
21 treatment algorithm that aligns with standard clinical practice. This not only increases the
22 likelihood of protocol adherence, but also increases generalizability by ensuring that the study
23 has direct clinical relevance to current treatment practice. Second, the waiver of patient
24 consent prior to treatment improves study feasibility (cost and time) and minimizes post-
25 randomization drop-outs. Third, use of an active control group ensures that all randomized
26 patients receive fibrinogen supplementation according to clinical need. Fourth, the enrollment
27 of approximately 1,200 patients represents the largest randomized controlled trial in this
28 setting to date.
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35 This study in bleeding cardiac surgical patients aims to show efficacy non-inferiority of
36 fibrinogen concentrate, a highly-purified fibrinogen product that has improved safety, ease of
37 administration, and a predictable and robust effect on fibrinogen levels, when compared with
38 cryoprecipitate. A finding of non-inferiority would support the use of this purified fibrinogen
39 concentrate as an appropriate option for fibrinogen supplementation in acquired
40 hypofibrinogenemia. Ultimately, the results of this trial are likely to improve the care of cardiac
41 surgical patients experiencing significant bleeding, an under-studied yet high-risk population.
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AUTHOR CONTRIBUTIONS

Conception and design (KK, JC); Preparation of the first draft of the manuscript (KK); Critical revision of the manuscript for important intellectual content (KK, JC, VR, NH, MF, MC, DS); Read and approved the final version of the manuscript to be published (KK, JC, VR, NH, MF, MC, DS).

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COMPETING INTERESTS

K Karkouti has received support for research and/or honoraria from Octapharma. Jeannie Callum has received support for research through peer-reviewed grants from Canadian Blood Services. Nancy Heddle is the Research Director for the McMaster Centre for Transfusion Research, which receives funding support from Canadian Blood Services and Health Canada.

The remaining authors have no competing interests or conflicts to declare.

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For peer review only

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3 **FIGURE LEGENDS**
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5 **Figure 1.** Study design.
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8 *Abbreviations:* CPB, cardiopulmonary bypass.
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13 **Figure 2.** Study decision process at the point of the interim analysis.
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16 *Abbreviations:* IDSMC, Independent Data and Safety Monitoring Committee; N, number; PI,
17 Principal Investigator.
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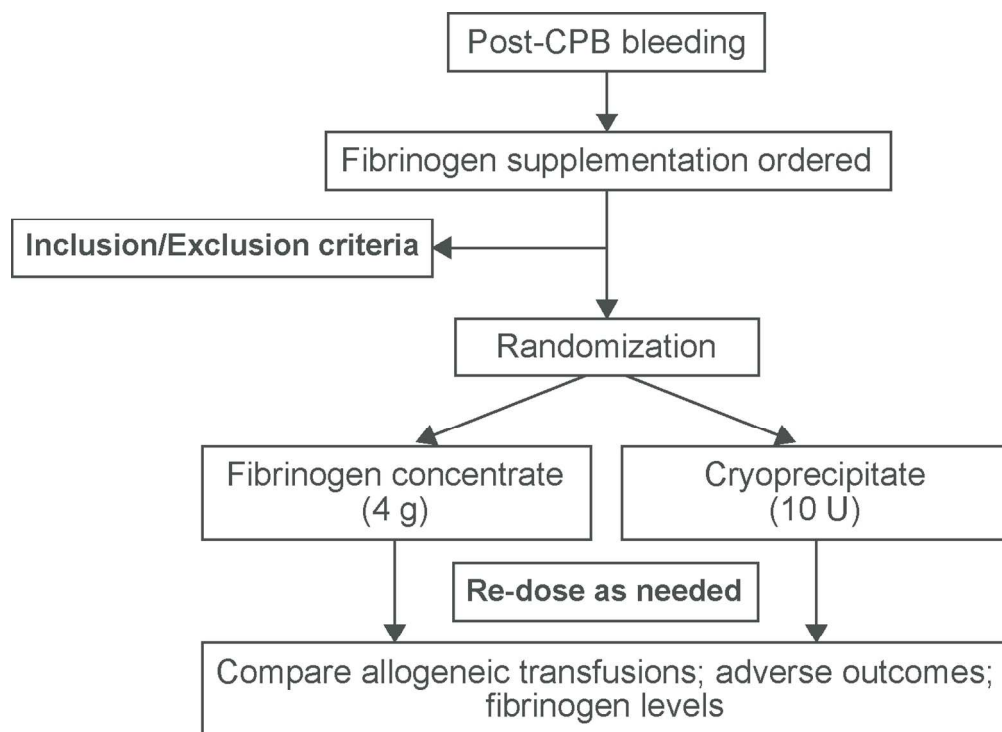
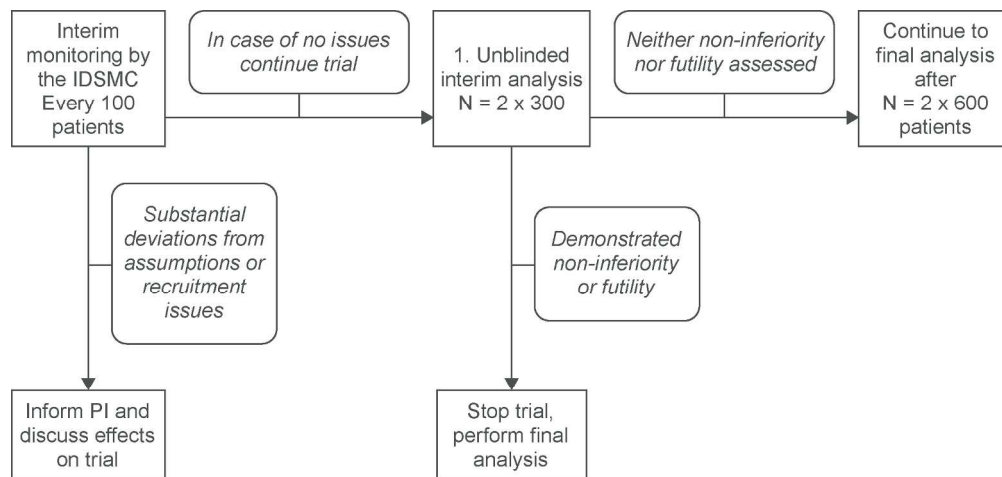


Figure 1. Study design.
Abbreviations: CPB, cardiopulmonary bypass.

128x93mm (300 x 300 DPI)



23 Figure 2. Study decision process at the point of the interim analysis.
24 Abbreviations: IDSMC, Independent Data and Safety Monitoring Committee; N, number; PI, Principal
25 Investigator.

26 204x96mm (300 x 300 DPI)

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SPIRIT 2013 Checklist: Recommended items to address in a clinical trial protocol and related documents*

Section/item	Item No	Description	Addressed on page number
Administrative information			
Title	1	Descriptive title identifying the study design, population, interventions, and, if applicable, trial acronym	<u>1</u>
Trial registration	2a	Trial identifier and registry name. If not yet registered, name of intended registry	<u>2, 14</u>
	2b	All items from the World Health Organization Trial Registration Data Set	<u>–</u>
Protocol version	3	Date and version identifier	<u>–</u>
Funding	4	Sources and types of financial, material, and other support	<u>16</u>
Roles and responsibilities	5a	Names, affiliations, and roles of protocol contributors	<u>1, 16</u>
	5b	Name and contact information for the trial sponsor	<u>1</u>
	5c	Role of study sponsor and funders, if any, in study design; collection, management, analysis, and interpretation of data; writing of the report; and the decision to submit the report for publication, including whether they will have ultimate authority over any of these activities	<u>13</u>
	5d	Composition, roles, and responsibilities of the coordinating centre, steering committee, endpoint adjudication committee, data management team, and other individuals or groups overseeing the trial, if applicable (see Item 21a for data monitoring committee)	<u>13</u>

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3	Introduction			
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5	Background and rationale	6a	Description of research question and justification for undertaking the trial, including summary of relevant studies (published and unpublished) examining benefits and harms for each intervention	<u>4, 5</u>
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8		6b	Explanation for choice of comparators	<u>4, 5</u>
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10	Objectives	7	Specific objectives or hypotheses	<u>5</u>
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12	Trial design	8	Description of trial design including type of trial (eg, parallel group, crossover, factorial, single group), allocation ratio, and framework (eg, superiority, equivalence, noninferiority, exploratory)	<u>5, 6</u>
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15	Methods: Participants, interventions, and outcomes			
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17	Study setting	9	Description of study settings (eg, community clinic, academic hospital) and list of countries where data will be collected. Reference to where list of study sites can be obtained	<u>5</u>
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20	Eligibility criteria	10	Inclusion and exclusion criteria for participants. If applicable, eligibility criteria for study centres and individuals who will perform the interventions (eg, surgeons, psychotherapists)	<u>6</u>
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23	Interventions	11a	Interventions for each group with sufficient detail to allow replication, including how and when they will be administered	<u>6</u>
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26		11b	Criteria for discontinuing or modifying allocated interventions for a given trial participant (eg, drug dose change in response to harms, participant request, or improving/worsening disease)	<u>–</u>
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29		11c	Strategies to improve adherence to intervention protocols, and any procedures for monitoring adherence (eg, drug tablet return, laboratory tests)	<u>5</u>
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32		11d	Relevant concomitant care and interventions that are permitted or prohibited during the trial	<u>7</u>
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34	Outcomes	12	Primary, secondary, and other outcomes, including the specific measurement variable (eg, systolic blood pressure), analysis metric (eg, change from baseline, final value, time to event), method of aggregation (eg, median, proportion), and time point for each outcome. Explanation of the clinical relevance of chosen efficacy and harm outcomes is strongly recommended	<u>7</u>
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39	Participant timeline	13	Time schedule of enrolment, interventions (including any run-ins and washouts), assessments, and visits for participants. A schematic diagram is highly recommended (see Figure)	<u>9, 10</u>
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3	Sample size	14	Estimated number of participants needed to achieve study objectives and how it was determined, including clinical and statistical assumptions supporting any sample size calculations	<u>7, 8</u>
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5	Recruitment	15	Strategies for achieving adequate participant enrolment to reach target sample size	<u>5</u>
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8 **Methods: Assignment of interventions (for controlled trials)**

9 Allocation:

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12	Sequence generation	16a	Method of generating the allocation sequence (eg, computer-generated random numbers), and list of any factors for stratification. To reduce predictability of a random sequence, details of any planned restriction (eg, blocking) should be provided in a separate document that is unavailable to those who enrol participants or assign interventions	<u>8</u>
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17	Allocation concealment mechanism	16b	Mechanism of implementing the allocation sequence (eg, central telephone; sequentially numbered, opaque, sealed envelopes), describing any steps to conceal the sequence until interventions are assigned	<u>8</u>
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21	Implementation	16c	Who will generate the allocation sequence, who will enrol participants, and who will assign participants to interventions	<u>8</u>
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24	Blinding (masking)	17a	Who will be blinded after assignment to interventions (eg, trial participants, care providers, outcome assessors, data analysts), and how	<u>8</u>
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27		17b	If blinded, circumstances under which unblinding is permissible, and procedure for revealing a participant's allocated intervention during the trial	<u>–</u>
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31 **Methods: Data collection, management, and analysis**

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33	Data collection methods	18a	Plans for assessment and collection of outcome, baseline, and other trial data, including any related processes to promote data quality (eg, duplicate measurements, training of assessors) and a description of study instruments (eg, questionnaires, laboratory tests) along with their reliability and validity, if known. Reference to where data collection forms can be found, if not in the protocol	<u>8–12</u>
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38		18b	Plans to promote participant retention and complete follow-up, including list of any outcome data to be collected for participants who discontinue or deviate from intervention protocols	<u>14</u>
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Data management	19	Plans for data entry, coding, security, and storage, including any related processes to promote data quality (eg, double data entry; range checks for data values). Reference to where details of data management procedures can be found, if not in the protocol	<u>12</u>
Statistical methods	20a	Statistical methods for analysing primary and secondary outcomes. Reference to where other details of the statistical analysis plan can be found, if not in the protocol	<u>12, 13</u>
	20b	Methods for any additional analyses (eg, subgroup and adjusted analyses)	<u>12</u>
	20c	Definition of analysis population relating to protocol non-adherence (eg, as randomised analysis), and any statistical methods to handle missing data (eg, multiple imputation)	<u>12</u>
Methods: Monitoring			
Data monitoring	21a	Composition of data monitoring committee (DMC); summary of its role and reporting structure; statement of whether it is independent from the sponsor and competing interests; and reference to where further details about its charter can be found, if not in the protocol. Alternatively, an explanation of why a DMC is not needed	<u>13</u>
	21b	Description of any interim analyses and stopping guidelines, including who will have access to these interim results and make the final decision to terminate the trial	<u>13</u>
Harms	22	Plans for collecting, assessing, reporting, and managing solicited and spontaneously reported adverse events and other unintended effects of trial interventions or trial conduct	<u>14</u>
Auditing	23	Frequency and procedures for auditing trial conduct, if any, and whether the process will be independent from investigators and the sponsor	<u>13, 14</u>
Ethics and dissemination			
Research ethics approval	24	Plans for seeking research ethics committee/institutional review board (REC/IRB) approval	<u>14</u>
Protocol amendments	25	Plans for communicating important protocol modifications (eg, changes to eligibility criteria, outcomes, analyses) to relevant parties (eg, investigators, REC/IRBs, trial participants, trial registries, journals, regulators)	<u>14</u>



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3	Consent or assent	26a	Who will obtain informed consent or assent from potential trial participants or authorised surrogates, and how (see Item 32)	<u>14</u>
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6		26b	Additional consent provisions for collection and use of participant data and biological specimens in ancillary studies, if applicable	<u>–</u>
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8	Confidentiality	27	How personal information about potential and enrolled participants will be collected, shared, and maintained in order to protect confidentiality before, during, and after the trial	<u>12</u>
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11	Declaration of interests	28	Financial and other competing interests for principal investigators for the overall trial and each study site	<u>16</u>
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14	Access to data	29	Statement of who will have access to the final trial dataset, and disclosure of contractual agreements that limit such access for investigators	<u>13</u>
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17	Ancillary and post-trial care	30	Provisions, if any, for ancillary and post-trial care, and for compensation to those who suffer harm from trial participation	<u>–</u>
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20	Dissemination policy	31a	Plans for investigators and sponsor to communicate trial results to participants, healthcare professionals, the public, and other relevant groups (eg, via publication, reporting in results databases, or other data sharing arrangements), including any publication restrictions	<u>14, 15</u>
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25		31b	Authorship eligibility guidelines and any intended use of professional writers	<u>16</u>
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27		31c	Plans, if any, for granting public access to the full protocol, participant-level dataset, and statistical code	<u>–</u>
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29	Appendices			
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31	Informed consent materials	32	Model consent form and other related documentation given to participants and authorised surrogates	<u>–</u>
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34	Biological specimens	33	Plans for collection, laboratory evaluation, and storage of biological specimens for genetic or molecular analysis in the current trial and for future use in ancillary studies, if applicable	<u>–</u>
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37 *It is strongly recommended that this checklist be read in conjunction with the SPIRIT 2013 Explanation & Elaboration for important clarification on the items.
 38 Amendments to the protocol should be tracked and dated. The SPIRIT checklist is copyrighted by the SPIRIT Group under the Creative Commons
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