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Abstract:	<p>Background: <i>Bombax ceiba</i> L. (the red silk cotton tree) is a large deciduous tree that is distributed in tropical and sub-tropical Asia, and northern Australia. It has great economic and ecological importance, with several applications in industry and traditional medicine in many Asian countries. To facilitate the further utilization of this plant resource, we present here the draft genome sequence for <i>B. ceiba</i>.</p> <p>Findings: We assembled a relatively intact genome of <i>B. ceiba</i> by using PacBio single-molecule sequencing and BioNano optical mapping technologies. The final draft genome is approximately 895 Mb long, with contig and scaffold N50 sizes of 1.0 Mb and 2.06 Mb, respectively.</p> <p>Conclusions: The high-quality draft genome assembly of <i>B. ceiba</i> will be a valuable resource enabling further genetic improvement and more effective use of this tree species.</p>	
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Response to Reviewers:	<p>Dear editors and reviewers,</p> <p>The manuscript "De novo genome assembly of the red silk cotton tree (<i>Bombax ceiba</i>)" (GIGA-D-18-00045) has been carefully revised. The major revisions are marked in red, and the language has been polished by "sees-editing Ltd". We appreciate the detailed, useful comments and suggestions from you and the reviewers.</p> <p>As the editor suggested,</p> <p>1. The paper is submitted as a Data Note, and as such we do not require in-depth biological analyses. However, I do agree with reviewer 2 (their point #4), that some information on important genes and pathways will help to demonstrate the usefulness of the dataset.</p> <p>Answer: As the editor and the reviewer suggested, information on important genes and pathways will help to demonstrate the usefulness of our genome data. <i>B. ceiba</i> is an ecologically important plant which could survive in extreme climate conditions. We calculated the average Ka/Ks values and conducted a branch-site likelihood ratio test to identify positively selected genes which could contribute to the ecological adaptation of <i>B. ceiba</i>. Thirty-six genes were identified, and some annotated genes were reported to be associated with ecological adaption. Please see line 190 to 210 in the revised manuscript.</p> <p>2. Regarding minor point # 3 of reviewer 2: " L177: It may not be good to say this. Please rewrite. " I am actually not quite sure myself what the reviewer refers to here, but if I understand correctly, they feel the wording in that line 177 comes across as a bit cumbersome; this is true, but I'd prefer to have as much detail on parameters etc in the methods section as possible, and you should definitely keep that useful information.</p> <p>Answer: As the editor suggested, the information on parameters was very important. So we kept this information in the revised manuscript.</p> <p>3. Another minor remark: In the introduction, you refer to the use in Traditional Chinese Medicine: "Moreover, studies ... have validated its traditional medicinal usage." As this is still an active area of research, I feel it would be more appropriate to write "studies.... have explored its traditional medicine usage" instead of "validated".</p> <p>Answer: The suggestion has been taken seriously, and this sentence has been changed to "studies.... have explored its traditional medicine usage". Please check line 41.</p> <p>The point by point answers to the comments and suggestions of the reviewers are below.</p> <p>Reviewer: 1</p> <p>1. For every software, please (if not in the manuscript, then in the supplementary text) provide the non default parameters that were used, if any.</p> <p>Answer: The suggestion has been taken seriously, and the versions and parameters of software used in our study have been provided as one supplementary table. Please see Table S15 in the supplementary file.</p> <p>2. Line 60 precise the type (SE, PE, MP) and number of cycle of the Illumina sequencing.</p> <p>Answer: We accept the review's suggestion, and the sequencing strategy (PE) has been added. Please see line 60 in the revised manuscript.</p> <p>3. Line 106 - I would like some more details about the Illumina based scaffolding. Given that it's a small insert size library (400bp), how helpful was it to scaffold the PacBio assembly. Or do you mean the RNA-Seq data was used to scaffold? If that's the case, what parameters were used and how were multiple mapping case handled?</p> <p>Answer: The sequencing data from the Illumina DNA library (400bp) was aligned against the genome assembly. As the reviewer stated, the small insert size library had relatively limited effects on scaffolding. The analysis was aimed at filling gaps and correcting some sequencing errors of the genome assembly. Sorry for the ambiguity. The sentence has been corrected. Please see line 106 to 108.</p> <p>4. Line 111 - I would replace the title there to: Evaluation of the completeness of the genome assembly gene space. BUSCO only look at the gene space. For the completeness of the genome assembly, one would need different metrics, such as FRC (feature response curve) or any quantification of the reads that do not align to the</p>

final assembly.

Answer: We take the review's suggestion, and the title has been changed to "Evaluation of the completeness of the genome assembly gene space".

5. Line 226 - There's a typesetting error in "financially"

Answer: We accepted the review's suggestion, and this error has been corrected in the revised manuscript.

Discretionary comments

1. Line 114 - Have you analysed the reads that do not align to the genome, what are these?

Answer: Unfortunately, we did not analyze the RNA reads which could not align to the genome assembly, and we did not know the details on these reads.

2. Line 116 - Have you checked what the 5.6% of incomplete BUSCO genes are?

Answer: There are 63 missing BUSCO genes and 19 incomplete BUSCO genes in total. We have searched these genes against the OrthoDB database to infer the gene functions.

For the 63 missing genes, there are eight genes falling in the category of pentatricopeptide repeat (PPR) superfamily protein, seven genes belonging to protein kinase domain, six genes annotated as transmembrane: Helical, five genes belonging to the tetratricopeptide repeat (TPR)-like superfamily proteins, and five genes annotated with unknown functions. The rest of genes were annotated with a variety of functions, such as peptidyl-prolyl cis-trans isomerase, WD40 repeat, regulator of chromosome condensation (RCC1) family protein, and so on.

For the 19 incomplete genes, two genes were characterized as transmembrane: Helical, and two genes were annotated with unknown functions. The other genes were annotated with a variety of functions, such as Zinc finger (C2H2), Reticulon-like protein, and so on.

3. Line 152 - The annotation could be refined using PASA. This would add splice variants to the annotation. Also, the PASA output can be post-processed to identify lncRNA. Many tools now allow for an in-depth analysis of differential transcript expression, differential transcript usage, etc. leveraging from more complete annotations.

Answer: As the reviewer suggested, PASA was a useful tool, especially for annotating splice variants. And we will try this software in our future study.

Reviewer: 2

1. Authors used a Kmer-based method to estimate the genome size. However, it seems the estimated genome size is smaller than their assembly size. Do authors know what happens here?

Answer: As the reviewer pointed, there was some inconsistency between the size of the genome survey and the genome assembly. As the heterozygosity rate of the *B. ceiba* genome was 0.88%, heterozygous regions which could not be assembled into consensus sequences might result in larger assembly. Besides, the Kmer-based method was just a preliminary estimation of the genome size. There could be some small deviation from the genome assembly.

2. For contamination checking, do authors believe a visualised GC content plot and the average GC content can tell there is no contamination in the assembly? Why didn't authors use other methods to check, such as BLASTN?

Answer: There were two strategies for deducing contaminations by GC content. 1. There were uneven GC contents in the genome. 2. The GC content was constant, but the sequencing depth varied among sequences. BLASTN was also a useful method for assessing contaminations. The suggestion of the reviewer has been taken seriously, and we randomly selected some sequences from the genome assembly and searched the data against the NCBI database of bacteria with BLASTN. No contamination from bacteria was detected. Please check line 88 to 91 in the manuscript.

3. From the report, there is a ~150Mb difference between the BioNano consensus maps and the PacBio assembly. Does that mean there are repeat collapses in the PacBio assembly or some genome regions cannot be covered by PacBio reads? How does it look like in the comparison between BioNano consensus maps and the PacBio assembly? Do they align well?

Answer: The BioNano consensus genome map recorded the order of sequence fragments and the gap size between adjacent contigs. The BioNano genome map included gaps (Ns), whereas contigs of the primary PacBio assembly did not contain any gaps. It might be the reason why there was a ~150Mb difference. And the

	<p>inconstancy between BioNano genome map and genome assembly size was also observed in other species, such as <i>Fragaria vesca</i> [1] and <i>Tribolium castaneum</i> [2]. With the initial PacBio genome assembly as reference, approximately 54 Gb out of 160 Gb BioNano clean data could be assigned to the genome map, and the effective coverage of assembly was about 60 X.</p> <p>Reference</p> <p>1. Edger PP, VanBuren R, Colle M, et al. Single-Molecule Sequencing and Optical Mapping Yields an Improved Genome of Woodland Strawberry (<i>Fragaria Vesca</i>) with Chromosome-Scale Contiguity. <i>GigaScience</i>. 2018;7 (2):1-7. doi:10.1093/gigascience/gix124.</p> <p>2. Shelton JM, Coleman MC, Herndon N, et al. Tools and Pipelines for Bionano Data: Molecule Assembly Pipeline and Fasta Super Scaffolding Tool. <i>BMC Genomics</i>. 2015;16 (1):734.</p> <p>4. This research is more likely a technical and bioinformatics report. Some biological stories, such as important genes, their functions and roles in certain pathways, are missing. How can this research help to improve <i>Bombax ceiba</i>'s economic and ecological values?</p> <p>Answer: As the reviewer suggested, information on important genes and pathways will help to demonstrate the usefulness of our genome data. <i>B. ceiba</i> is an ecologically important plant which could survive in extreme climate conditions. So we calculated the average Ka/Ks values and conducted a branch-site likelihood ratio test to identify positively selected genes which could contribute to the ecological adaptation of <i>B. ceiba</i>. Thirty-six genes were identified, and some annotated genes were reported to be associated with ecological adaptation. Please see line 190 to 210 in the revised manuscript.</p> <p>5. The English in the manuscript needs a further edit</p> <p>Answer: We accepted the reviewer's suggestion, and the language has been polished by "sees-editing Ltd".</p> <p>Minor:</p> <p>1. It would be good to specify the version of each tool and detail the parameter settings.</p> <p>Answer: We accepted the reviewer's suggestion, and the versions and parameters of software used in our manuscript were provided as one supplementary table. Please see Table S15 in the supplementary file.</p> <p>2. It would be good to map the Illumina short reads (DNA) back to the assembly and give a mapping rate to inform the quality of the assembly.</p> <p>Answer: We accepted the reviewer's suggestion, and we have mapped the Illumina short reads (DNA) back to the assembly. A mapping rate of 99.2% was achieved. Please check line 106 to 108 in the revised manuscript.</p> <p>3. L177: It may not be good to say this. Please rewrite.</p> <p>Answer: As the reviewer suggested, we rewrote part of the sentence. As the detail on parameters was very important for this analysis, we kept this information in the revised manuscript. Please check line 178 in the manuscript.</p> <p>4. FigureS4 and TableS4 are not informative. May change them to a table to list the stats of the raw BioNano maps.</p> <p>Answer: We took the reviewer's suggestion, and FigureS4 was removed. TableS4 was changed to a table which included statistics of the raw BioNano maps.</p> <p>5. TableS5 may add two more columns: one is for the PacBio assembly, and the other one is for the BioNano optical scaffolding. From the improved table, readers can easily tell the changes before and after BioNano scaffolding.</p> <p>Answer: We took the reviewer's suggestion, and this information was added to TableS5. Please check Table S5 in the supplementary file.</p> <p>Finally, we appreciate much your time in editing our manuscript and the reviewers for their valuable suggestions and comments. We look forward to hear your final decision when it is made.</p>
Additional Information:	
Question	Response
Are you submitting this manuscript to a special series or article collection?	No

<p>Experimental design and statistics</p> <p>Full details of the experimental design and statistical methods used should be given in the Methods section, as detailed in our Minimum Standards Reporting Checklist. Information essential to interpreting the data presented should be made available in the figure legends.</p> <p>Have you included all the information requested in your manuscript?</p>	<p>Yes</p>
<p>Resources</p> <p>A description of all resources used, including antibodies, cell lines, animals and software tools, with enough information to allow them to be uniquely identified, should be included in the Methods section. Authors are strongly encouraged to cite Research Resource Identifiers (RRIDs) for antibodies, model organisms and tools, where possible.</p> <p>Have you included the information requested as detailed in our Minimum Standards Reporting Checklist?</p>	<p>Yes</p>
<p>Availability of data and materials</p> <p>All datasets and code on which the conclusions of the paper rely must be either included in your submission or deposited in publicly available repositories (where available and ethically appropriate), referencing such data using a unique identifier in the references and in the “Availability of Data and Materials” section of your manuscript.</p> <p>Have you have met the above requirement as detailed in our Minimum Standards Reporting Checklist?</p>	<p>Yes</p>

1 1 ***De novo genome assembly of the red silk cotton tree (*Bombax ceiba*)***

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16 *De novo genome assembly of the red silk cotton tree (Bombax ceiba)*

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18

19 **Abstract**

20 **Background:** *Bombax ceiba* L. (the red silk cotton tree) is a large deciduous tree that is distributed in
21 tropical and sub-tropical Asia, and northern Australia. It has great economic and ecological importance,
22 with several applications in industry and traditional medicine in many Asian countries. To facilitate the
23 further utilization of this plant resource, we present here the draft genome sequence for *B. ceiba*.

24 **Findings:** We assembled a relatively intact genome of *B. ceiba* by using PacBio single-molecule
25 sequencing and BioNano optical mapping technologies. The final draft genome is approximately 895
26 Mb long, with contig and scaffold N50 sizes of 1.0 Mb and 2.06 Mb, respectively.

27 **Conclusions:** The high-quality draft genome assembly of *B. ceiba* will be a valuable resource enabling
28 further genetic improvement and more effective use of this tree species.

29
30 **Keywords:** *Bombax ceiba*, genome assembly, annotation, evolution.

1 31 **Data description**

2
3 32 **Introduction**

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6 33 *Bombax ceiba* Linn. (Malvaceae), commonly known as the cotton tree or red silk cotton tree, is a
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9 34 spectacular flowering tree with a height of up to 40 meters (Fig. 1a) that is found in tropical and
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12 35 sub-tropical Asia, and northern Australia [1]. It has been chosen as the “city flower” of the cities of
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15 36 Kaohsiung and Guangzhou in China for its large, showy flowers with thick, waxy, red petals that
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18 37 densely clothe leafless branch tips in late winter and early spring (Fig. 1b, c). *B. ceiba* is a source of
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21 38 food, fodder, fiber, fuel, medicine, and many other valuable goods for natives of many Asian countries
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24 39 [2]. For example, its fruits are good sources of silk-cotton for making mattresses, cushions, pillows and
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27 40 quilts [3], while its timbers are widely used in matches, boxes, and splints [4]. Moreover, studies on the
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30 41 cotton tree have shown that it produces many novel secondary metabolites and have explored its
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33 42 traditional medicinal usage by various tribal communities [1, 2, 5, 6]. In addition to its economic and
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36 43 medicinal value, *B. ceiba* is an ecologically important plant: it is a reforestation pioneer that survives
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39 44 easily in low-rainfall and well-drained conditions [7], and has been identified as a plant species suitable
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42 45 for municipal greening because of its capacity to counteract the detrimental effects of air pollution [8,
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45 46 9].

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47 Despite the considerable economic and ecological importance of *B. ceiba*, the genomic information
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50 48 available for this species is limited, which has hindered its utilization. Here we report a draft genome
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53 49 sequence for *B. ceiba* that is expected to facilitate and expand its use.

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56 51 **Sampling and sequencing**

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58 52 All samples were collected from Yuanmou, Yunnan Province, China (25°40'50.06" N, 101°53'27.76"

1 53 E). Genomic DNA was extracted from leaves of a single tree using the Plant Genomic DNA kit
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3 54 (Tiangen, Beijing, China). A SMRTbell DNA library was then prepared and sequenced using P6, C4
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6 55 chemistry according to the manufacturer's protocols (Pacific Biosciences), and a 20-kb SMRTbell
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9 56 library was generated using a BluePippin DNA size selection instrument (Sage Science) with a lower
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12 57 size limit of 10 kb. Single-molecule real-time sequencing of long reads was conducted on a PacBio
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15 58 Sequel platform with 19 SMRT cells. A total of 86.0 Gb of genomic data with an average read length of
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18 59 8.4kb was generated after quality filtering (Table S1). In addition, a separate paired-end (PE) DNA
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20 60 library with an insert size of 400 bp (amplification by eight PCR cycles) was constructed and
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23 61 sequenced using the Illumina platform (PE 150) to enable a genome survey. The NGS sequencing
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26 62 produced 36.1 Gb of raw data, of which 20.0 Gb retained after filtering.

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28 63 Total RNA was extracted from the bud, root, bark, flower, and fruit tissues of one *B. ceiba*
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31 64 individual using the QIAGEN RNeasy Plant Mini Kit (QIAGEN, Hilden, Germany). RNA-seq libraries
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34 65 were then prepared using the TruSeq RNA Library Preparation Kit (Illumina, CA, USA), and
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37 66 paired-end sequencing with a read length of 150 bp was conducted on the HiSeq 2000 platform,
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40 67 yielding 44.41 Gb of clean data (30,816,034–51,191,192 reads per sample) (Table S2).

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42 69 **Genome size and heterozygosity estimation**

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47 70 The genome size of *B. ceiba* was estimated by the K-mer method [10], using sequencing data from the
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50 71 Illumina DNA library. Quality-filtered reads were subjected to 17-mer frequency distribution analysis
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53 72 using the Jellyfish program [10]. The count distribution of 17-mers followed a Poisson distribution,
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56 73 with the highest peak occurring at a depth of 22 (Table S3 and Fig. S1). The estimated genome size was
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59 74 approximately 809,166,127 bp, and the heterozygosity rate of the *B. ceiba* genome was approximately

1 75 0.88%.

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6 77 **Genome assembly**

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9 78 Genome assembly was performed on full PacBio long reads using FALCON v0.3.0

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11 79 (<https://github.com/PacificBiosciences/falcon>). Error correction and pre-assembly were carried out

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14 80 with the FALCON pipeline, after evaluating the outcomes of using different parameters in FALCON

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17 81 during the pre-assembly process. Based on the contig N50 results, a length_cutoff of 11kb and a

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20 82 length_cutoff_pr of 11.5kb for the assembly step were ultimately chosen. The draft assembly was

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22
23 83 polished using Arrow (<https://github.com/PacificBiosciences/GenomicConsensus>), which mapped the

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26 84 PacBio reads to the assembled genome with the Blasr pipeline [11]. The preliminary genome assembly

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28 85 was approximately 852Mb in size, with a contig N50 size of 727Kb. A GC depth analysis was

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31 86 conducted to assess the potential contamination during sequencing and the coverage of the assembly,

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34 87 revealing that the genome had an average GC content of 33.3% and a unimodal GC content distribution

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37 88 (Fig. S2). The GC depth **as well as the sequencing depth of** the genome assembly suggested that there

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40 89 was no contamination from other species (Fig. S3). **To further assess contaminations, we randomly**

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43 90 **selected some sequences from the genome assembly and searched the data against the NCBI database**

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46 91 **of bacteria with BLASTN [12] (E-value \leq 1e -5). No contamination from bacteria was detected.**

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50 93 **Scaffolding with BioNano optical mapping**

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53 94 The purified genomic DNA of *B. ceiba* was embedded in an agarose layer and then labeled and

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56 95 counterstained using the protocol provided with the IrysPrep Reagent Kit (BioNano Genomics).

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59 96 Samples were then loaded into IrysChips and imaged on an Irys imaging instrument (BioNano

1 97 Genomics). After filtering using a molecule length cutoff of < 150Kb, a molecule SNR of < 2.75, a
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3 98 label SNR of < 2.75, and a label intensity of > 0.8, 160.0 Gb of BioNano clean data were obtained,
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6 99 with the N50 size of the labeled single molecules being 269.9 kb (Table S4).
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9 100 A molecular quality report was generated by aligning the BioNano library sequences to the initial
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11 101 PacBio genome assembly, yielding a map rate of 34.2%. Using the PacBio genome assembly data as a
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14 102 reference, a reference genome assembly was conducted based on the clean BioNano data, yielding a
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17 103 consensus genome map of 1.09 Gb with an N50 of 0.7 Mb. To obtain a longer scaffold, the *de novo*
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20 104 assembly of PacBio reads was then mapped to the BioNano single-molecule genomic map. After
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23 105 scaffolding, the contig assembly contained 3,105 scaffolds with a scaffold N50 of 1.5Mb.
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25 106 To fill the gaps in the scaffolds, the Blasr pipeline [11] was used to map the PacBio long reads to the
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28 107 draft genome assembly scaffolding with BioNano optical mapping. The draft was polished using
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31 108 PBJelly 2 software [13] over three iterations. Reads from the Illumina DNA library (400bp) were then
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34 109 aligned against the genome assembly using the BWA software to fill the gaps and correct potential
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36 110 sequencing errors of the assembly, and a mapping rate of 99.2% was achieved [14]. The final assembly
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39 111 was polished using Pilon [15], yielding a final draft genome of approximately 895 Mb, with contig and
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42 112 scaffold N50 sizes of 1.0 Mb and 2.06 Mb, respectively (Table S5).
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46 47 114 **Evaluation of the completeness of the genome assembly gene space**

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50 115 To evaluate the coverage of the assembly, we aligned all the RNA-seq reads against the *B. ceiba*
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53 116 genome assembly using HISAT [16] with default parameters. The percentage of aligned reads ranged
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56 117 from 84.78% to 91.08% (Table S2). We then used Benchmarking Universal Single-Copy Orthologs
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59 118 (BUSCO) [17] to search the annotated genes in the assembly for the 1440 single-copy genes conserved
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1 119 among all embryophytes. About 94.4% of the complete BUSCOs were found in the assembly (Table
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3 120 S6). These results suggested that the genome assembly was complete and robust.
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7 8 9 122 **Genome annotation**

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11 123 The repeat sequences in the genome consisted of simple sequence repeats (SSRs), moderately
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13 124 repetitive sequences, and highly repetitive sequences. The MISA tool [18] was used to search for SSR
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15 125 motifs in the *B. ceiba* genome, with default parameters. A total of 454,435 SSRs were identified in this
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17 126 way: 310,369, 105,004, 30,925, 6,448, 1,165 and 524 mono-, di-, tri-, tetra-, penta-, and
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19 127 hexa-nucleotide repeats, respectively (Table S7).
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25 128 To identify known transposable elements (TEs) in the *B. ceiba* genome, RepeatMasker [19] was
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27 129 used to screen the assembled genome against the Repbase (v. 22.11) [20] and Mips-REdat libraries [21].
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29 130 In addition, *de novo* evolved transposable element annotation was performed using RepeatModeler (v.
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31 131 1.0.11) [19]. The combined results of the homology-based and *de novo* predictions indicated that
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33 132 repeated sequences account for 60.3% of the *B. ceiba* genome assembly (Table S8), with TEs
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35 133 comprising 60.30% of the repeated sequences, and long terminal repeats (LTRs) accounting for the
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37 134 greatest proportion (47.86%) of TEs (Table S8).
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44 135 Homology-based ncRNA annotation was performed by mapping plant rRNA, miRNA and snRNA
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46 136 genes from the Rfam database (release 13.0) [22] to the *B. ceiba* genome using BLASTN [12] (E-value
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48 137 $\leq 1e-5$). The tRNAscan-SE (v1.3.1) [23] program was used (with default parameters for eukaryotes) for
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50 138 tRNA annotation. RNAmmer v1.2 [24] was used to predict rRNAs and their subunits. These analyses
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52 139 identified 496 miRNAs, 894 tRNAs, 6,772 rRNAs, and 727 snRNAs (Table S9).
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58 140 The homology-based and *de novo* predictions were also used to annotate protein coding genes. For
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1 141 homology-based predictions, protein sequences from four species (*Arabidopsis thaliana*, *Carica*
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3 142 *papaya*, *Gossypium arboreum* and *Theobroma cacao*) (Table S10) were mapped onto the *B. ceiba*
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6 143 genome; the aligned sequences and the corresponding query proteins were then filtered and passed to
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9 144 GeneWise v2.4.1 [25] to search for accurately spliced alignments. For the *de novo* predictions, we first
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12 145 randomly selected 1000 full-length genes from the homology-based predictions to train model
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15 146 parameters for Augustus v3.0 [26], GeneID v1.4.4 [27], GlimmerHMM [28] and SNAP [29]. Augustus
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17 147 v3.0 [26], GeneID v1.4.4 [27], GlimmerHMM [28] and SNAP [29] were then used to predict genes
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20 148 based on the training set. Finally, EVidenceModeler (EVM) v1.1.1 [30] was used to integrate the
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23 149 predicted genes and generate a consensus gene set (Table S10). Genes with transposable elements were
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26 150 discarded using the TransposonPSI (<http://transposonpsi.sourceforge.net/>) package. Low-quality genes
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28 151 consisting of fewer than 50 amino acids and/or exhibiting premature termination were also removed
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31 152 from the gene set, yielding a final set of 52,705 genes. The final set's average transcript length, average
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34 153 CDS length and exon number per gene were 2,418.37 bp, 1,019.38 bp and 4.57, respectively (Table
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36 154 S11, Fig. S4).

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39 155 The annotations of the predicted genes of *B. ceiba* were screened for homology against the Uniprot
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42 156 (release 2017_10) and KEGG (release 84.0) databases using Blastall [12] and KAAS [31]. Then, the
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45 157 InterProScan [32] package was used to annotate the predicted genes using the InterPro (5.21-60.0)
46
47
48 158 database. In total, 47,105 of the total 52,705 genes (89.37%) were annotated with potential functions
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50
51 159 (Table S12).

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54 55 56 161 **Phylogenetic tree construction and divergence time estimation**

57
58 162 To investigate the evolutionary position of *B. ceiba*, we compared its genome to the genome sequences
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1 163 of 12 other plants. These included four plants in the Malvales order (*Gossypium arboreum*, *Durio*
2
3 164 *zibethinus*, *Corchorus olitorius* and *Theobroma cacao*), seven plants from different orders in the same
4
5
6 165 Eudicots clade (*Arabidopsis thaliana*, *Carica papaya*, *Linum usitatissimum*, *Populus trichocarpa*,
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8
9 166 *Camellia sinensis*, *Solanum lycopersicum* and *Vitis vinifera*), and *Oryza sativa* as an outgroup. Genome
10
11
12 167 sequences from *A. thaliana*, *T. cacao*, *C. papaya*, *L. usitatissimum*, *P. trichocarpa*, *C. sinensis*, *S.*
13
14 168 *lycopersicum*, *V. vinifera* and *O. sativa* were downloaded from Phytozome v. 12.0 [33]. Gene sequences
15
16
17 169 of *G. arboreum*, *C. olitorius* and *D. zibethinus* were downloaded from the NCBI Database
18
19
20 170 (PRJNA335838, PRJNA215141 and PRJNA400310). We used the OrthoMCL (v2.0.9) pipeline [34]
21
22 171 (BLASTP E-value $\leq 1e-5$) to identify potentially orthologous gene families within these genomes. Gene
23
24
25 172 family clustering identified 16,586 gene families containing 37,736 genes in *B. ceiba* (Fig. 2a). Of
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28 173 these, 906 gene families were unique to *B. ceiba* (Table S13). *B. ceiba* and other Malvales plants had
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31 174 the largest number of shared gene families among the studied plants.

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34 175 Phylogenetic analysis was performed using 172 single copy orthologous genes from common gene
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36 176 families found by OrthoMCL [34] (Fig. S5). We codon-aligned each gene family using MUSCLE [35],
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39 177 and curated the alignments with Gblocks v0.91b [36]. Phylogeny analysis was performed using
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42 178 RAxML v 8.2.11[37] with the GTRGAMMA model and 100 bootstrap replicates. We then used
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45 179 MCMCTREE as implemented in PAML v4.9e [38] to estimate the divergence times of *B. ceiba* from
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48 180 the other plants. **The parameter settings of MCMCTREE were as follows**, clock=2, RootAge ≤ 1.73 ,
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50
51 181 model=7, BDparas =110, kappa_gamma = 62, alpha_gamma = 11, rgene_gamma = 23.18, and
52
53 182 sigma2_gamma = 14.5. In addition, the divergence times of *O. sativa* (148-173 Mya), *V. vinifera*
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56 183 (110-124Mya) and *A. thaliana* (53-82 Mya) were used for fossil calibration. The phylogenetic analysis
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59 184 showed that *B. ceiba* is more closely related to *G. arboreaum* than to *D. zibethinus* (Fig. S6), which

1 185 supports the well-established hypothesis of a close relationship between Bombacaceae and Malvaceae
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3 186 [39, 40]. Recent phylogenetic studies have suggested that the group traditionally referred to as
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6 187 Bombacaceae (which includes the tribe Durioneae) is not actually monophyletic, and that the genera of
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9 188 the tribe Durioneae should be excluded from Bombacaceae. Most members of the erstwhile family
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12 189 Bombacaceae have been transferred to the subfamily Bombacoideae within the family Malvaceae [40].
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15 190 This phylogenetic ordering was supported by our phylogenetic analysis of the complete chloroplast
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18 191 genomes of Marvel plants [41]. The estimated divergence time of *B. ceiba* and *D. zibethinus* was 29.5
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20 192 million years ago, while that of *B. ceiba* and *G. arboretum* was about 20.6 million years ago (Fig. 2b).

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24 25 194 **Genes under positive selection**

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28 195 *B. ceiba* is an ecologically important plant which could survive in extreme climate conditions, such as
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31 196 hot-dry valley [7]. According to the neutral evolution theory of Darwin [42], the ratio of
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34 197 nonsynonymous substitution rate (Ka) and synonymous substitution rate (Ks) of protein coding genes
35
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37 198 could be used to identify genes under natural selection. So we calculated the average Ka/Ks values and
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40 199 conducted the branch-site likelihood ratio test using CodeMl implemented in PAML package [38] to
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43 200 identify positively selected genes in the *B. ceiba* lineage. These genes might contribute to the adaption
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46 201 of unfavorable environments. Thirty-six positively selected genes were identified ($P \leq 0.05$). Of which,
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48
49 202 32 genes could be annotated with potential functions in the Swissport database (Table S14). One gene
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52 203 was homolog to desiccation protectant protein coding gene (*Lea14*). There was a strong association of
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55 204 LEA proteins with abiotic stress tolerance particularly dehydration and cold stress [43]. This gene
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58 205 could potentially contribute to the adaption of *B. ceiba* to the dry valley environment. Another gene
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61 206 was homolog to the gene coding Kelch domain-containing protein 4. The Kelch domain-containing

1 207 proteins were involved in regulating a number of major processes such as growth, development, and
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3 208 biotic and abiotic stress responses in plants [44, 45]. The E3 ubiquitin-protein ligase (RFWD3) was
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6 209 suggested by some researchers that it had potential roles in plant stress responses [46, 47]. Twenty-one
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9 210 positively selected sites were identified in the CACTIN protein coding gene. The CACTIN protein was
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12 211 characterized as a negative regulator of many different developmental processes, such as
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15 212 embryogenesis [48]. While there were rare literature reports, other identified genes might also be
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17
18 213 associated with the ecological adaption of *B. ceiba*. It should be noted that this was just a primarily
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20 214 analysis of functions of these genes, further studies would be needed to clarify the roles of these genes.
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25 216 **Whole-genome duplication and Gene family expansion analysis**

27
28 217 We used four-fold synonymous third-codon transversion (4DTv) estimation to detect whole-genome
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31 218 duplication (WGD) events in the *B. ceiba* genome. To this end, paralogous sequences of *B. ceiba*, *T.*
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34 219 *cacao*, *V. vinifera*, *S. lycopersicum* and *D. zibethinus* was identified with OrthoMCL [34]. Then, protein
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37 220 sequences for each of these plants were aligned against one-other with Blastp [12] (using an E-value
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40 221 threshold of $\leq 1e-5$) to identify conserved paralogs in each species. Finally, potential WGD events in
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42
43 222 each genome were evaluated based on their 4DTv distribution. The WGD analysis suggested that *B.*
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45 223 *ceiba* experienced the same same WGD events as other Dicotyledons, and that *B. ceiba* and *D.*
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48 224 *zibethinus* went through their WGD events before diverging from their common ancestor (Fig. 2c).
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50 225 The OrthoMCL gene family analysis results were analyzed further by using CAFE (Computational
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53 226 Analysis of gene Family Evolution, v3.0) [49] to detect expanded gene families. This approach
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56 227 revealed 5,612 expanded gene families and 1,902 contracted gene families in the *B. ceiba* lineage (Fig.
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59 228 S7).

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Conclusion

This paper reports the sequencing, assembly, and annotation of the *B. ceiba* genome along with details of its evolutionary history. The genomic data generated in this work will be a valuable resource for further genetic improvement and effective use of the red silk cotton tree.

Availability of supporting data

The raw data from our genome project was deposited in the SRA (Sequence Read Archive) database of national center for biotechnology information with Bioproject ID PRJNA429932. **The assembly and annotation of the *B. ceiba* genome are available in the GigaScience GigaDB database. Versions and main parameters of the software used in this study are provided in Table S15 in the supplementary file.**

Competing interests

S. S. is an employee of Nextomics Biosciences. Other authors declare that they have no competing interests.

Authors' contributions

L. T. and B. T. designed the project; H. W., C. L. and H. C. collected samples and extracted the DNA and RNA samples; Y. G., S. S., H. W. and C. L. worked on sequencing and data analyzing; Y. G. wrote the manuscript; L. T., B. T. and D. D. revised the manuscript; All authors read and approved the final version of the manuscript.

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Figure 1. Example of the red silk cotton tree (*B. ceiba*). (a) Natural habitat of *B. ceiba* (image from Guanglong Ou). (b) *B. ceiba* used as municipal greening trees (image from Jianmei Wu). (c) The flower of *B. ceiba* (image from Renbin Zhu).

Figure 2. Phylogenetic relationships and genomic comparisons between *B. ceiba* and other plants.

(a) A Venn diagram of shared gene families between *B. ceiba* and three other Malvales plants, with *A. thaliana* as an outgroup. Each number represents a gene family number. (b) Inferred phylogenetic tree

1 371 across 13 plant species. The estimated divergence time (Mya) is shown at each node. (c) WGD events
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3 372 of four plants (*B. ceiba*, *D. zibethinus*, *S. lycopersicum* and *V. vinifera*) inferred by 4DTV estimations.
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6 373 Peaks corresponding to speciation, recent and ancient WGDs are indicated by arrows.
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11 375 **Additional files**

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14 376 **Figure S1.** Frequency distribution of the 17-mer graph analysis used to estimate the size of the *B. ceiba*
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17 377 genome.

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20 378 **Figure S2.** GC content distribution of the *B. ceiba* genome. The GC content was established using 500
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23 379 bp sliding windows.

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25 380 **Figure S3.** The GC depth distribution of the *B. ceiba* genome.

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28 381 **Figure S4.** Comparison of gene structure characteristics in *B. ceiba* to that in other plants. a, CDS
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31 382 length; b, Exon length; c, Exon number; d, Gene length; e, Intron length.

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34 383 **Figure S5.** Gene orthology determined by comparing genomes using the OrthoMCL software.

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36 384 **Figure S6.** The maximum-likelihood phylogeny of *B. ceiba* and 13 other plants.

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39 385 **Figure S7.** Gene family expansions and contractions in *B. ceiba* and 13 other plants.

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42 386 **Table S1.** Sequencing statistics from the PacBio platform

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45 387 **Table S2.** Summary of the transcriptomes and their mapping rates on the genome assembly

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48 388 **Table S3.** Estimation of genome size based on 17-mer statistics

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51 389 **Table S4.** Summary of the BioNano optical mapping data

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53 390 **Table S5.** Summary of the final genome assembly

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56 391 **Table S6.** Summary of BUSCO analysis results

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59 392 **Table S7.** Summary of the SSR search results
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1 393 **Table S8.** Repeat annotation of the *Bombax ceiba* genome assembly
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3 394 **Table S9.** Summary of non-protein-coding gene annotations in the *Bombax ceiba* genome assembly
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6 395 **Table S10.** Gene annotation statistics of the *Bombax ceiba* genome assembly
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9 396 **Table S11.** Comparative gene statistics
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11 397 **Table S12.** Functional annotation of predicted genes of *Bombax ceiba*
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14 398 **Table S13.** Summary statistics of gene families in 13 plant species
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17 399 **Table S14.** Candidate positively selected genes in the *Bombax ceiba* lineage
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20 400 **Table S15.** Versions and main parameters of the software used in this study
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(a)



(b)

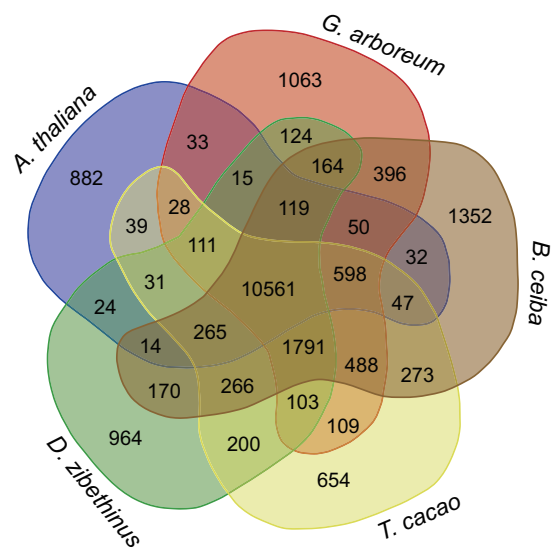


(c)

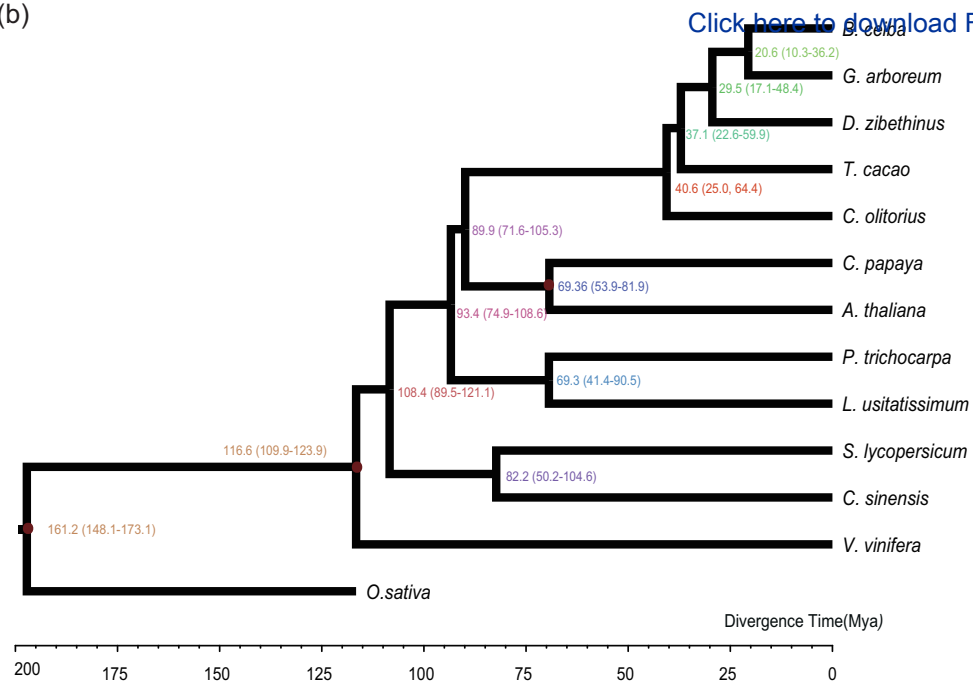


Figure 2

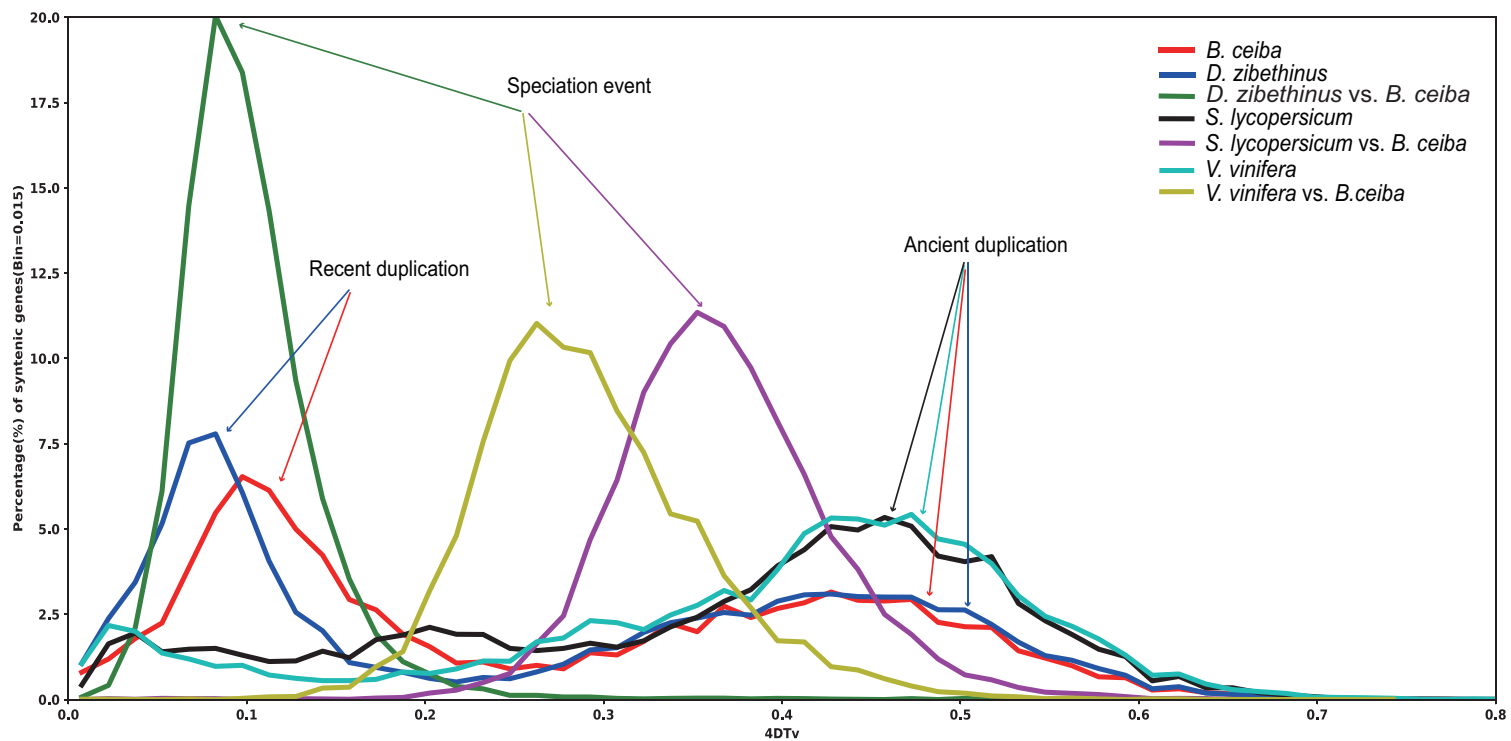
(a)



(b)



(c)





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