

The American Journal of Human Genetics, Volume 102

Supplemental Data

Estimation of Genetic Correlation via Linkage

Disequilibrium Score Regression

and Genomic Restricted Maximum Likelihood

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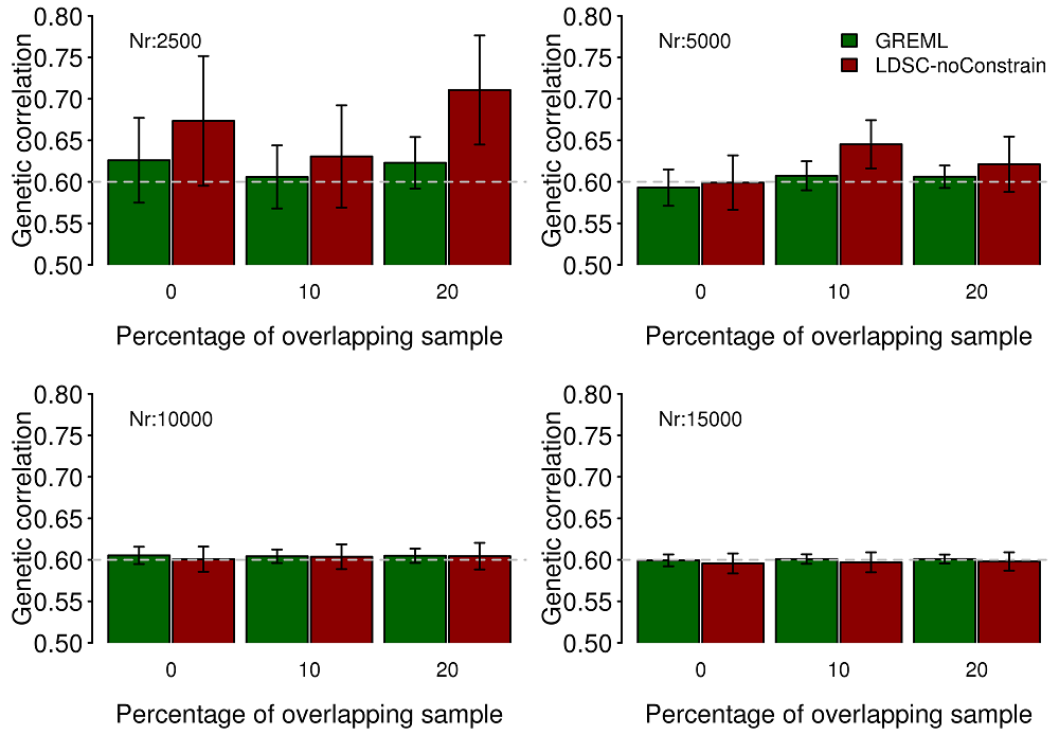


Figure S1. Estimated genetic correlation from GREML and LDSC (without constraining the intercept) in different simulation scenarios based on UK Biobank data.

The x-axis labels of 0, 10 or 20 are the percentage of overlapping individuals between the first and second traits. Nr: The number of available phenotype records in each trait. The horizontal lines stand for the simulated true genetic correlation (0.6). The error bars are 95% CI obtained from 100 replications.

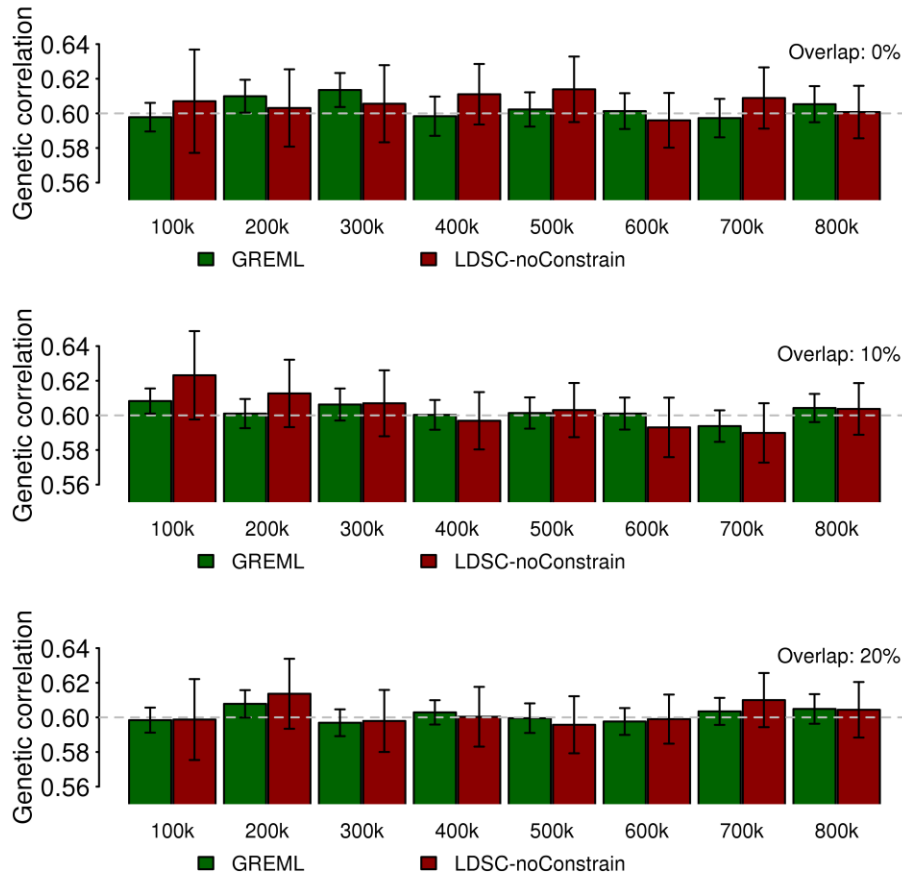


Figure S2. Estimated genetic correlation using simulated phenotypes based on UK Biobank genotypes.

Bars are 95% CI based on 100 replicates. The grey dashed line stands for the true simulated genetic correlation 0.6. This result was based on 858K SNPs (after QC) and 10,000 individuals that were randomly selected from the UK Biobank. SNPs in each bin were randomly and independently drawn from the 858k SNPs. The number of causal SNPs was 10,000 that were randomly selected in each bin. The true simulated value for the genetic correlation was 0.6 and that for the heritability was 0.5 for both traits. Overlap (0%, 10% and 20%) stands for the percentage of overlapping individuals in the first and second traits.

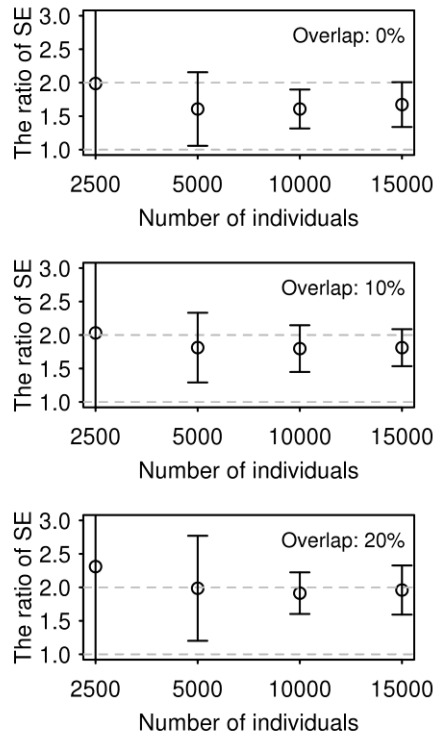


Figure S3. The ratio of SE of LDSC estimate to that of GREML estimate using simulated phenotypes based on UK Biobank genotypes.

Bars are 95% CI based on 100 replicates. The x-axis shows the number of individuals in each trait. Out of 858,991 SNPs, 10,000 SNPs were randomly selected as causal variants. Overlap (0%, 10% and 20%) stands for the percentage of overlapping individuals in the first and second traits. The horizontal dashed lines are the ratios of SE at 1 and 2.

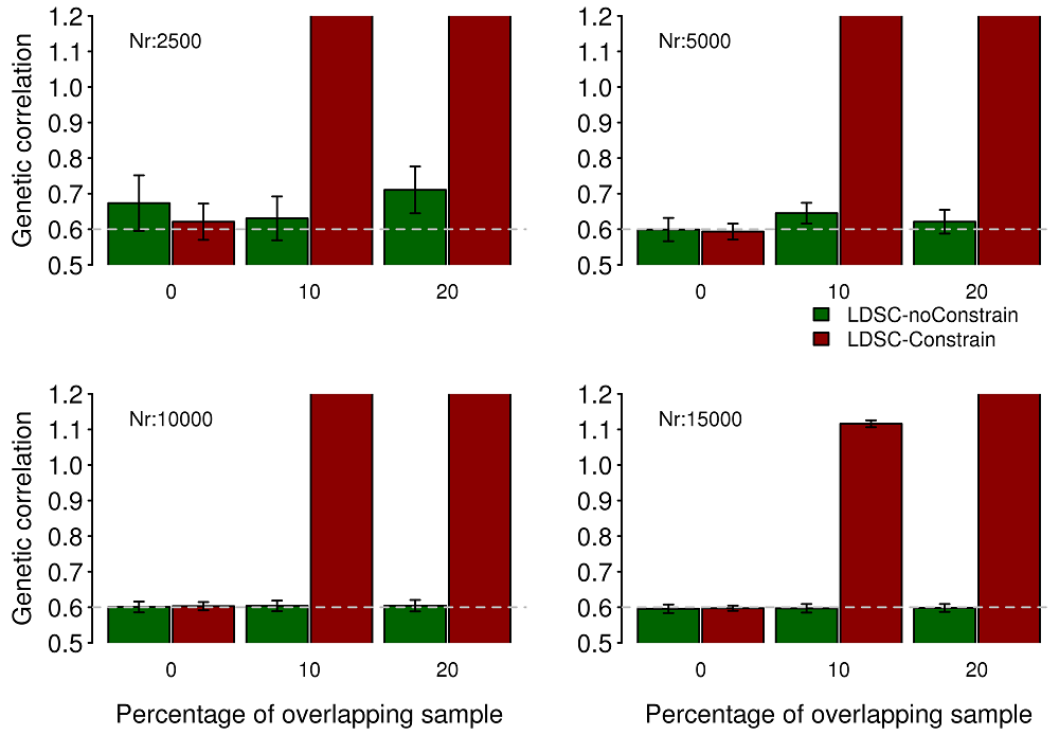


Figure S4. Estimated genetic correlation based on LDSC with or without intercept constrained to zero.

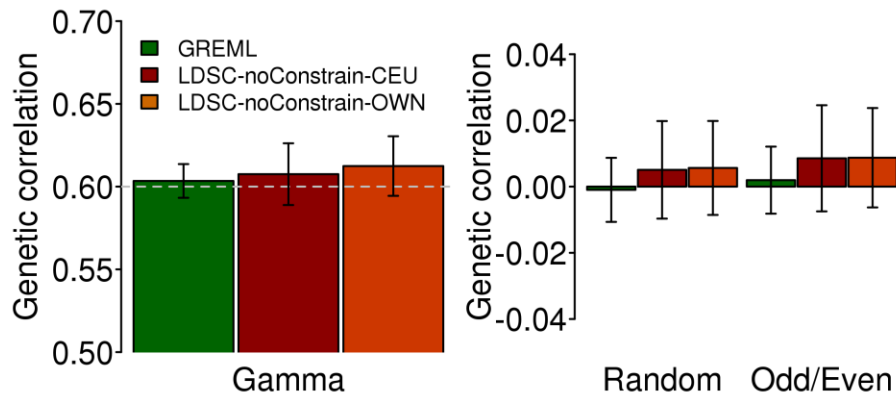


Figure S5. Genetic correlation of GREML and LDSC based on different simulated genetic architectures.

Gamma: the same simulation except that SNP effects were drawn from a multivariate gamma distribution with a shape parameter of one. Random: two sets of non-overlapped SNPs (N=10,000) were randomly selected and each set was assigned as causal SNPs to each trait (SNP effects were generated from a multivariate normal distribution). Odd/Even: two sets of SNPs were randomly selected such that one set was strictly selected from odd and the other set was selected from even number of chromosomes, and each set was assigned as causal SNPs to each trait (SNP effects were generated from a multivariate normal distribution).

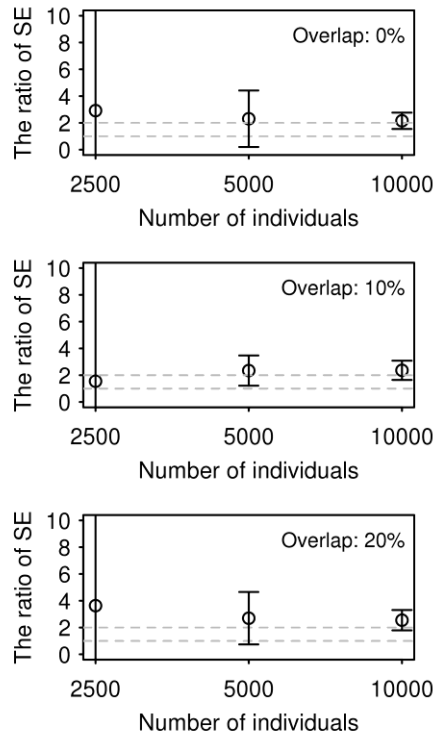


Figure S6. The ratio of SE of LDSC estimate to that of GREML estimate using simulated phenotypes based on WTCCC2 genotypes.

Bars are 95% CI based on 100 replicates. The x-axis shows the number of individuals in each trait. The total sample size of WTCCC2 was 20,659; therefore, the maximum number of individuals for each trait for the analyses was 10,000. Overlap (0%, 10% and 20%) stands for the percentage of overlapping individuals in the first and second traits. The horizontal dashed lines are the ratios of SE at 1 and 2.

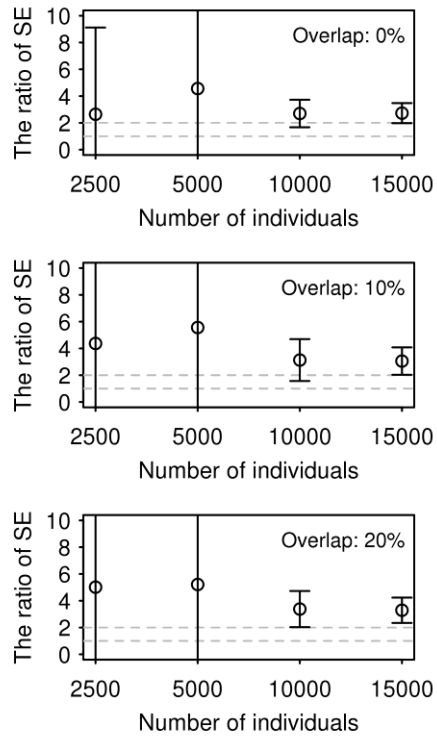


Figure S7. The ratio of SE of LDSC estimate to that of GREML estimate using simulated phenotypes based on GERA genotypes.

Bars are 95% CI based on 100 replicates. The x-axis shows the number of individuals in each trait. Overlap (0%, 10% and 20%) stands for the percentage of overlapping individuals in the first and second traits. The horizontal dashed lines are the ratios of SE at 1 and 2.

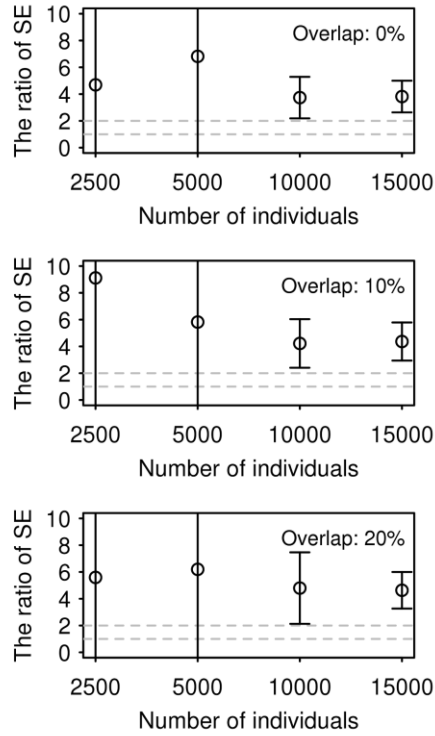


Figure S8. The ratio of SE of LDSC estimate to that of GREML estimate using simulated phenotypes based on raw genotype data of the UK Biobank.

Bars are 95% CI based on 100 replicates. The x-axis shows the number of individuals in each trait. Overlap (0%, 10% and 20%) stands for the percentage of overlapping individuals in the first and second traits. The horizontal dashed lines are the ratios of SE at 1 and 2.

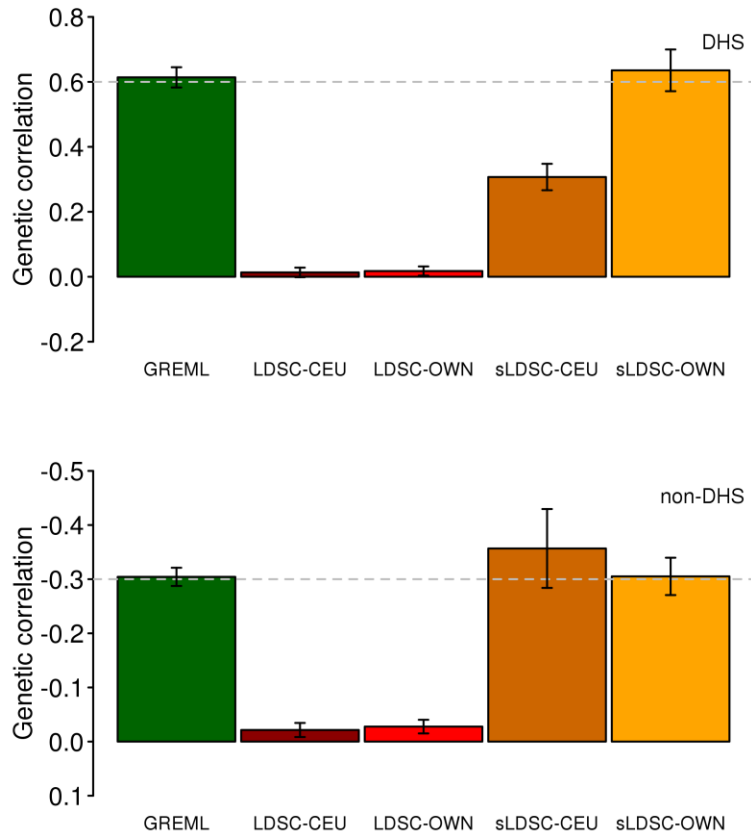


Figure S9 Estimated genetic correlation of simulated data based on a genomic partitioning model.

Simulation was based on 10,000 individuals that were randomly selected from UKBB with 858K SNP. Based on Gusev et al.¹, the 858K SNPs across the genome were stratified as two categories: DHS (194K SNPs with 2268 causal SNPs) and non-DHS (664K SNPs with 7732 causal SNPs). The genetic correlation for the simulated phenotypes between the first and second traits was 0.6 and -0.3 in DHS and non-DHS region, respectively. Bars are 95% CI based on 100 replicates. LDSC-CEU: Using LD-scores estimated from 1KG reference data. LDSC-OWN: Using LD-scores estimated from UKBB. sLDSC-CEU: Using stratified LD-scores estimated from 1KG reference data. sLDSC-OWN: Using stratified LD-scores estimated from UKBB. The presented results were based on 0% overlapping samples between the first and second traits and those based on other scenarios (e.g. 10% and 20%) are presented in Table S1.

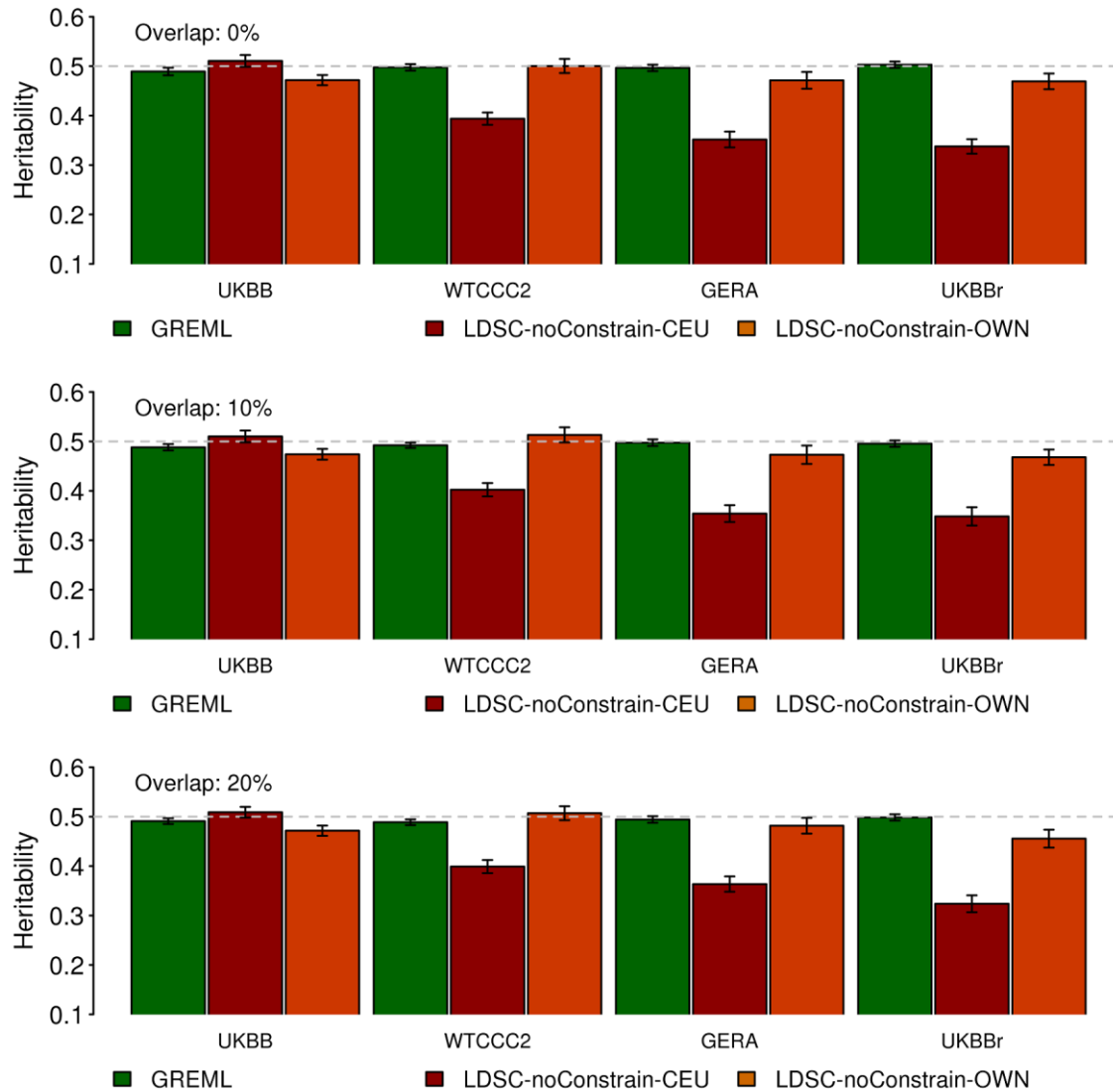


Figure S10. Estimated heritability with GREML and LDSC (without constraining the intercept) based on UKBB, WTCCC2, GERA and UKBBr.

UKBB: Imputed genotype data of UK Biobank sample; WTCCC2: Wellcome Trust Case Control Consortium 2; GERA: Genetic epidemiology research on adult health and aging cohort; UKBBr: Raw genotype data of UK Biobank sample. LDSC-noConstrain-CEU: using LD scores estimated based on the 1KG CEU reference sample. LDSC-noConstrain-OWN: using LD scores estimated based on the target sample (i.e. UKBB, WTCCC2, GERA or UKBBr).

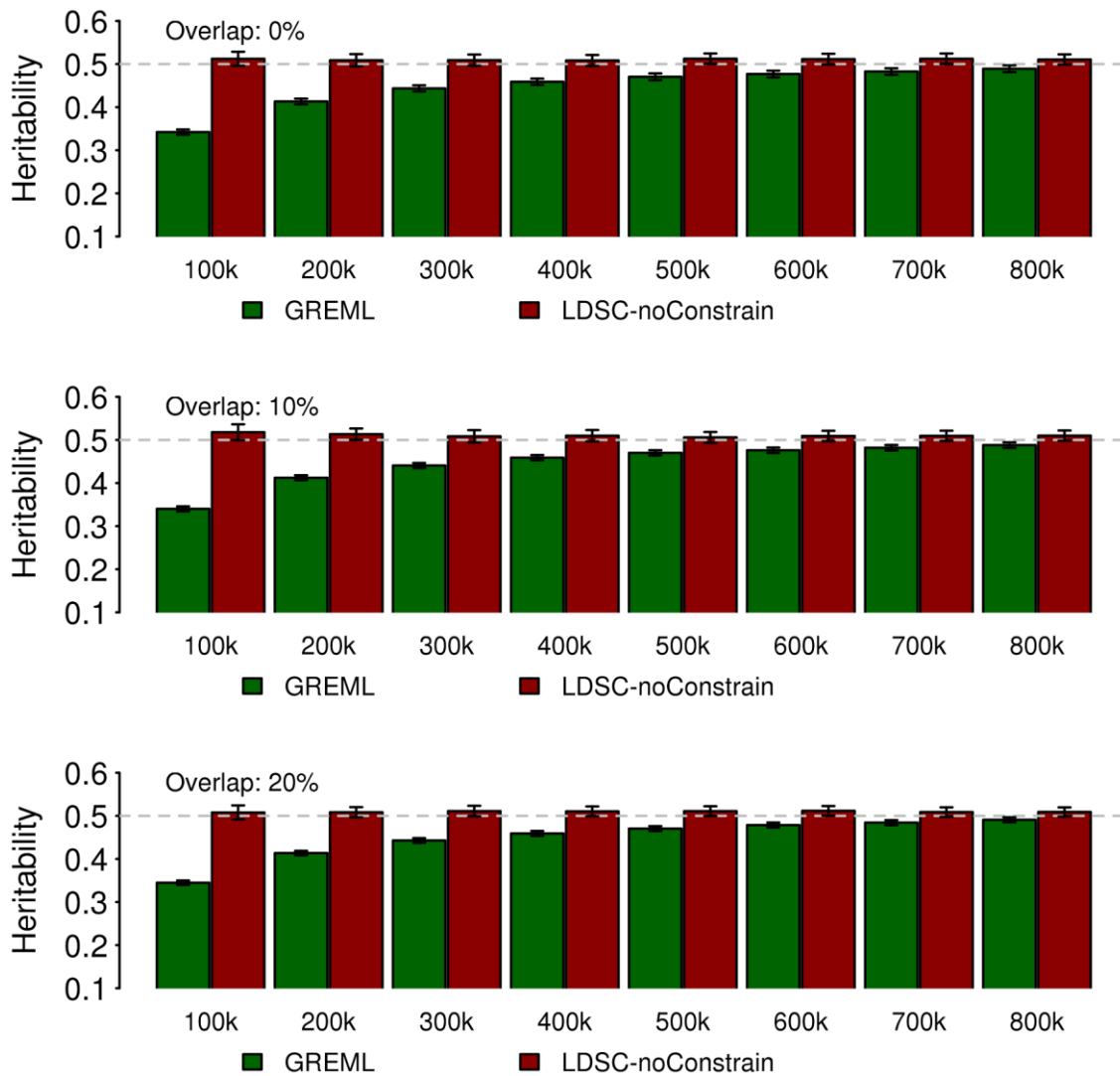


Figure S11. Estimated heritability using simulated phenotypes based on UKBB genotypes.

The number of causal SNPs in each bin reduced proportionally when the total number of SNPs decreased.

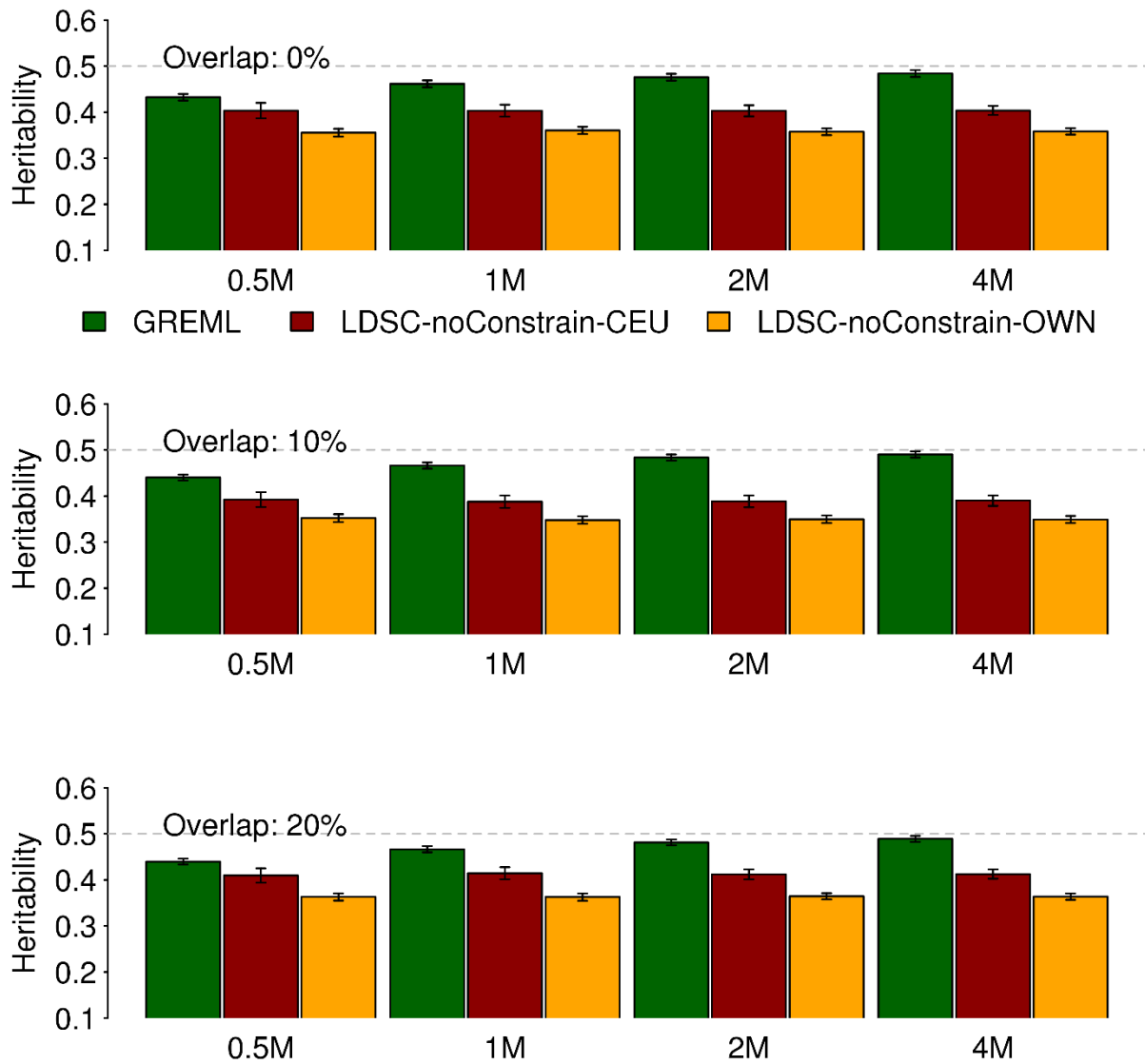


Figure S12. Estimated heritability using simulated phenotypes based on UKBB genotypes.

The number of SNPs in X-axis refers to SNPs used for GREML and LDSC. The simulated phenotypes were based on 6M SNPs (after QC) from which 10,000 SNPs were randomly selected as causal variants. SNPs in each bin were randomly and independently drawn from 6M SNPs. Thus, the number of causal SNPs in each bin reduced proportionally when the total number of SNPs decreased. LDSC-noConstrain-CEU: using LD scores estimated based on the 1KG CEU reference sample. LDSC-noConstrain-OWN: using LD scores estimated based on the target sample (i.e. UKBB).

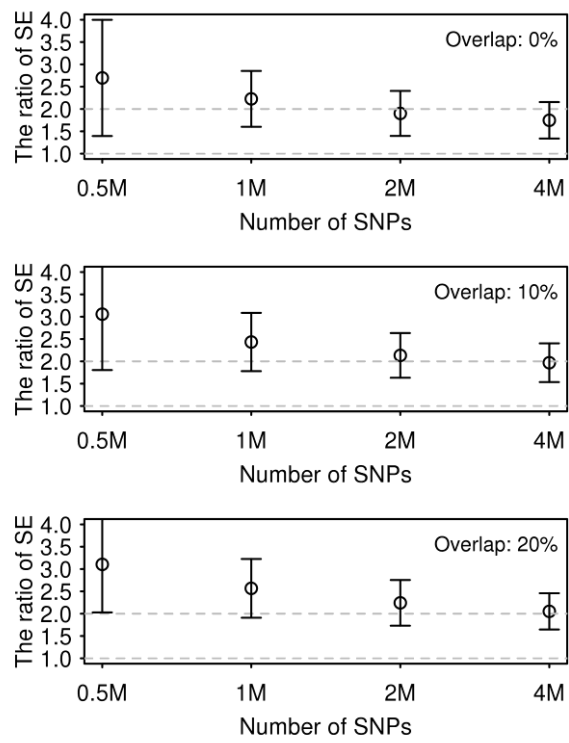


Figure S13. The ratio of SE of LDSC estimate (using LD-scores estimated from 1KG reference sample) to that of GREML based on the results from Figure S10.

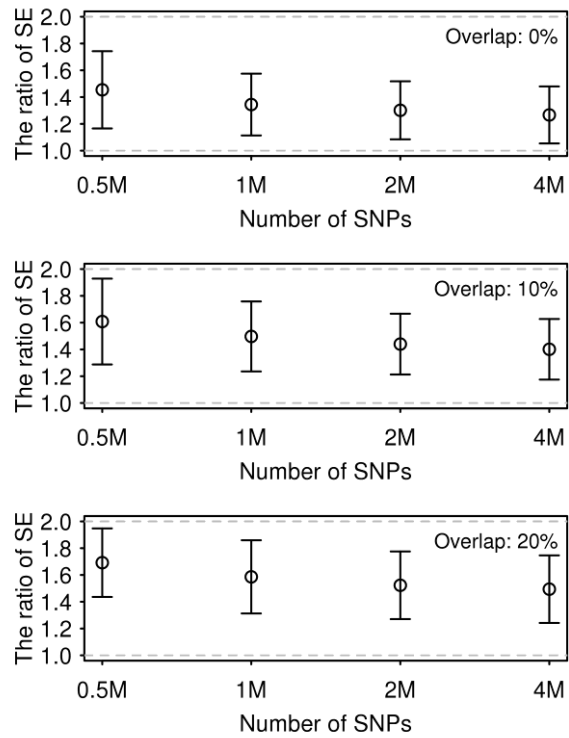


Figure S14. The ratio of SE of LDSC estimate (using LD-scores estimated from UKBB (in-sample)) to that of GREML based on the results from Figure S10.

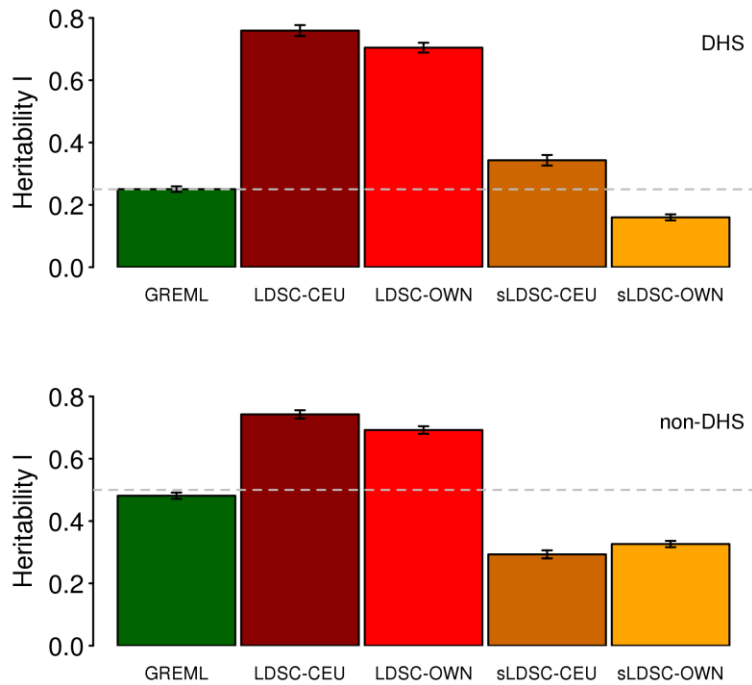


Figure S15. Estimated SNP-heritability of simulated data based on a genomic partitioning model.

LDSC-CEU: Using LD-scores estimated from 1KG reference data. LDSC-OWN: Using LD-scores estimated from UKBB. sLDSC-CEU: Using stratified LD-scores estimated from 1KG reference data. sLDSC-OWN: Using stratified LD-scores estimated from UKBB.

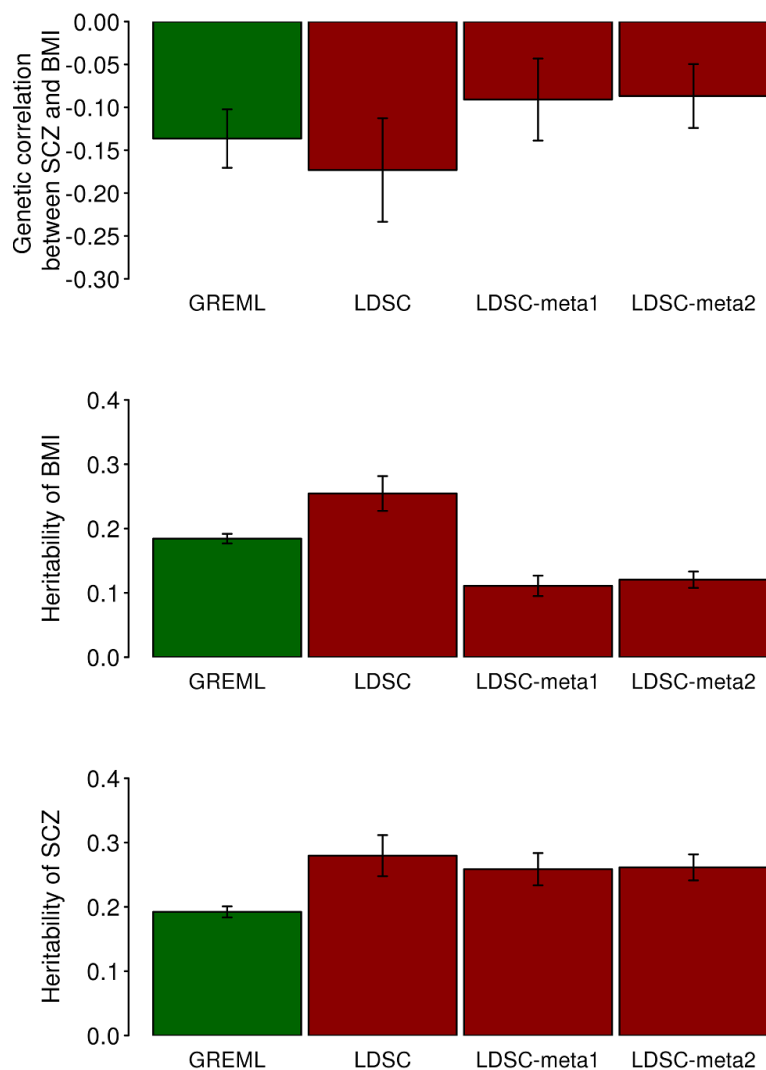


Figure S16. Genetic correlation between BMI and SCZ and heritability estimated with GREML and LDSC.

GREML: Analysis was based on quality controlled genetic data for BMI (from UK Biobank with 111,019 individuals and 518,992 SNPs) and schizophrenia (from PGC with 41,630 individuals and 518,992 SNPs). LDSC: The data sets used in LDSC were the same as in GREML. LDSC-meta1: GWAS summary statistics for BMI were based on meta-analysed GWAS results of UKBB individual-level genetic data (with 111,019 individuals and 518,992 SNPs) and of GIANT (245,051 individuals and 477,163 SNPs). For SCZ, the GWAS summary statistics from the full PGC sample based on 77,096 individuals were used. LDSC-meta2: The data sets used in LDSC-meta2 were the same as in LDSC-meta1 except for the increased number of SNPs (1,011,748) with which its performance was checked. Bars are standard errors.

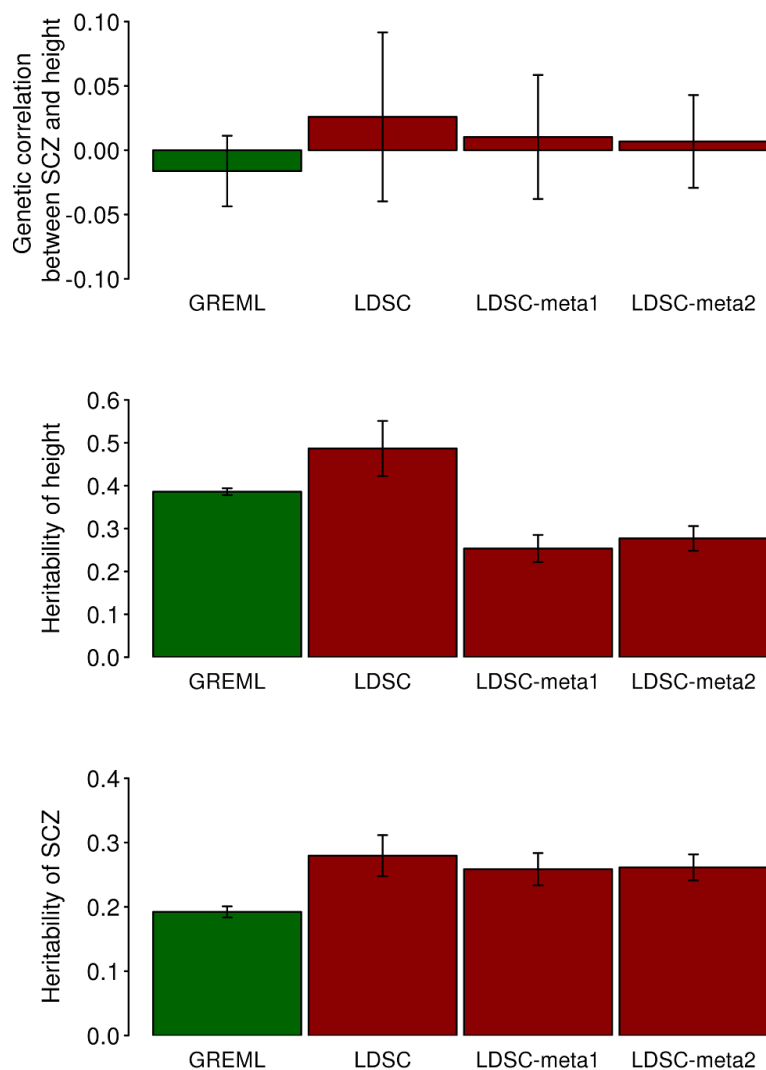


Figure S17. Genetic correlation between height and SCZ and heritability estimated with GREML and LDSC.

GREML: Analysis was based on quality controlled genetic data for height (from UK Biobank with 111,143 individuals and 518,992 SNPs) and schizophrenia (from PGC with 41,630 individuals and 518,992 SNPs). LDSC: The data sets used in LDSC were the same as in GREML. LDSC-meta1: GWAS summary statistics for height were based on meta-analysed GWAS results of UKBB individual-level genetic data (with 111,143 individuals and 518,992 SNPs) and of GIANT (253,280 individuals and 476,824 SNPs). For SCZ, the GWAS summary statistics from the full PGC sample based on 77,096 individuals were used. LDSC-meta2: The data sets used in LDSC-meta2 were the same as in LDSC-meta1 except for the increased number of SNPs (1,010,783) with which its performance was checked. Bars are standard errors.

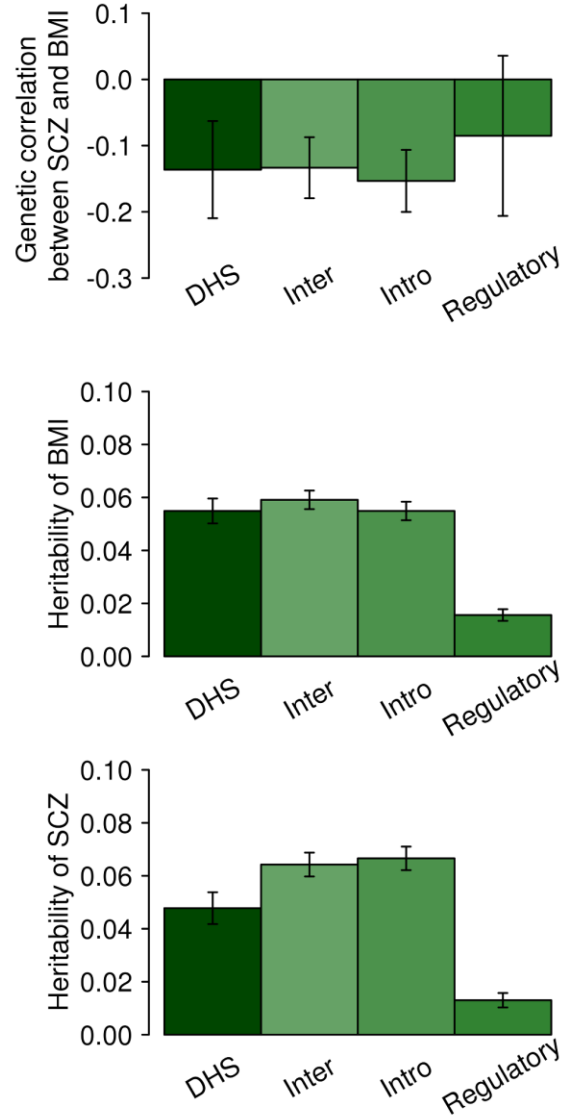


Figure S18. Genetic correlation between SCZ and BMI and heritability based on SNPs in partitioned genomic regions estimated with GREML.

A joint model was applied by fitting four genomic relationship matrices simultaneously, each estimated based on the set of SNPs belonging to each of the functional categories (DHS, intergenic and intronic regions, and regulatory). The bars are standard errors.

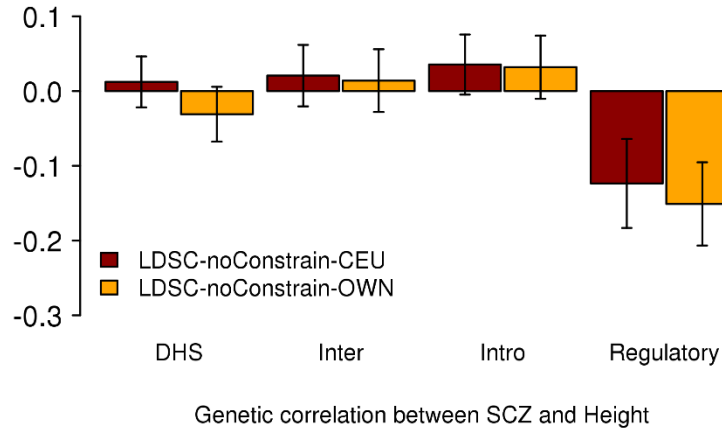


Figure S19. Genetic correlation and standard error between SCZ and height estimated with LDSC.

P-values for the estimate significantly different from 0 were 0.04, 0.38, 0.61 and 0.71 for LDSC-noConstrain-CEU, and 0.007, 0.45, 0.74 and 0.40 for LDSC-noConstrain-OWN for regulatory, intronic, intergenic and DHS regions, respectively. LDSC-noConstrain-CEU: using LD-scores estimated from 1KG reference data. LDSC-noConstrain-OWN: using LD-scores estimated from in-sample.

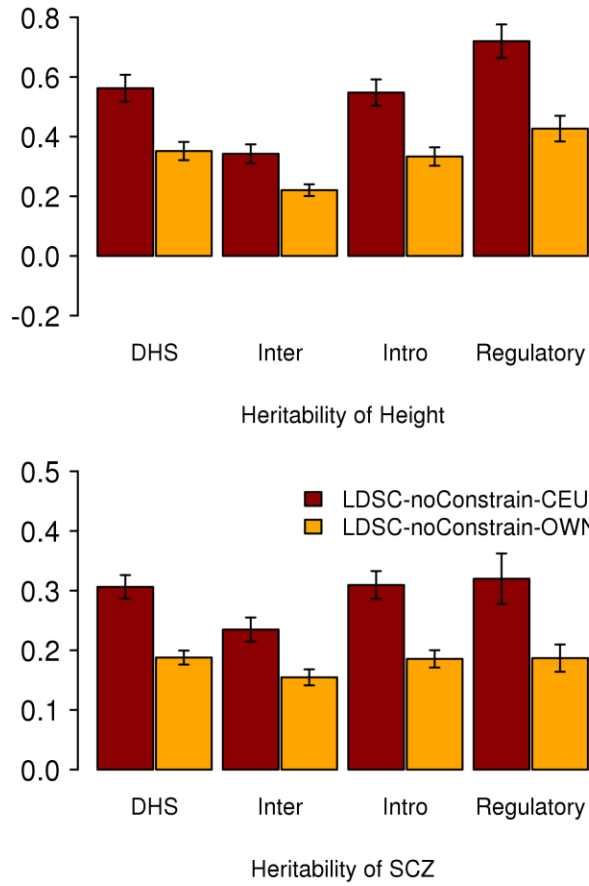


Figure S20. Heritability and standard error of height and SCZ (in liability scale) estimated with LDSC.

LDSC-noConstrain-CEU: using LD-scores estimated from 1KG reference data. LDSC-noConstrain-OWN: using LD-scores estimated from in-sample.

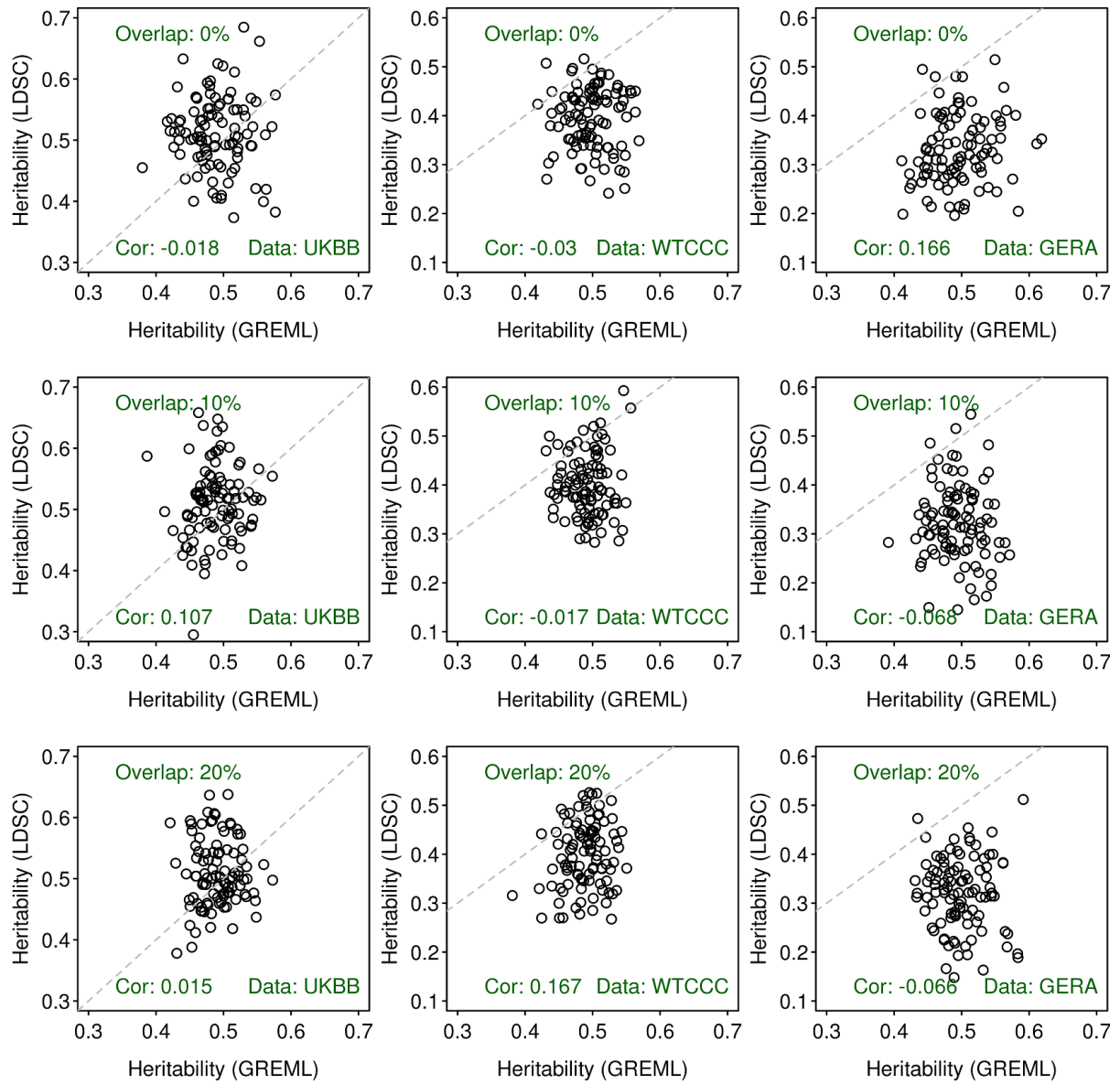


Figure S21. Scatter plot of heritability from GREML and LDSC using UKBB, WTCCC2, or GEAR data.

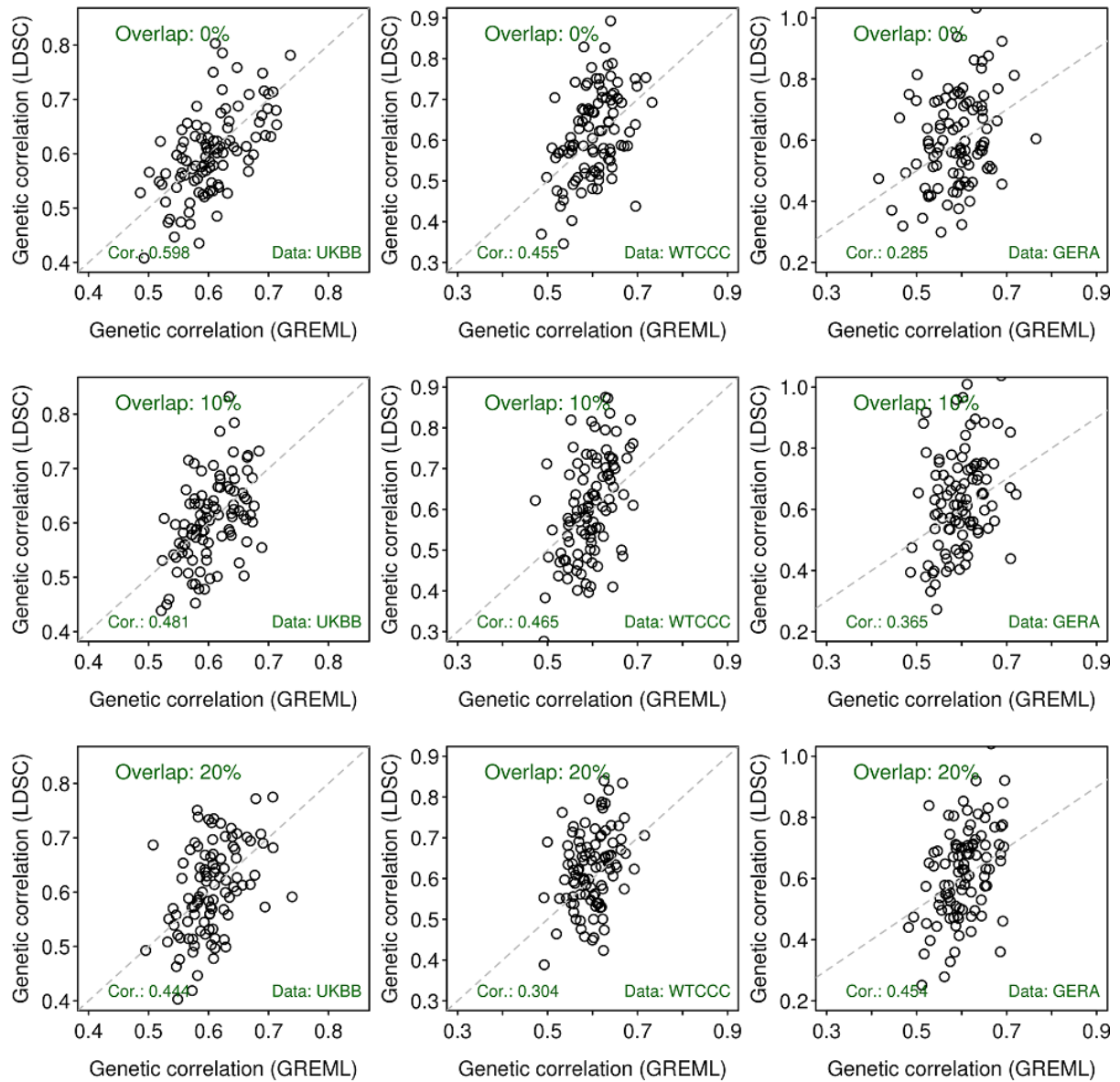


Figure S22. Scatter plot of genetic correlation from GREML and LDSC using UKBB, WTCCC2, or GEAR data.

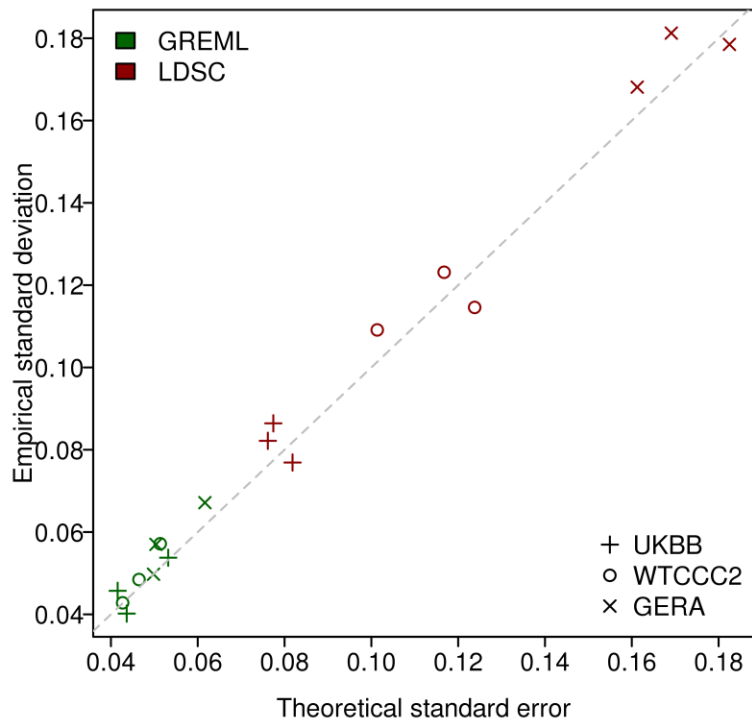


Figure S23. Empirical and theoretical SE for GREML and LDSC.

Table S1a. Covariance and heritability estimated from LDSC and GREML when a simulated genetic covariance between the first and second trait is 0.15 and -0.3 for DHS and non-DHS, respectively^a.

	%Overlapping	Method	LD score	Covariance		Variance I		Variance II	
				Estimate	SE ^b	Estimate	SE	Estimate	SE
True in DHS				0.15		0.25		0.25	
DHS	0	LDSC	CEU	-0.14	0.01	0.76	0.01	0.77	0.01
			OWN	-0.13	0.01	0.70	0.01	0.71	0.01
		SLDSC	CEU	0.09	0.01	0.54	0.31	0.57	0.06
			OWN	0.09	0.00	0.17	0.01	0.17	0.01
		GREML	GREML	0.15	0.00	0.25	0.01	0.24	0.01
		10	LDSC	CEU	-0.14	0.01	0.75	0.01	0.77
	OWN			-0.13	0.01	0.70	0.01	0.71	0.01
	SLDSC		CEU	0.10	0.01	1.40	0.85	0.52	0.04
			OWN	0.10	0.00	0.17	0.01	0.17	0.01
	GREML		GREML	0.15	0.00	0.25	0.00	0.24	0.00
	20		LDSC	CEU	-0.14	0.01	0.76	0.01	0.77
		OWN		-0.13	0.01	0.71	0.01	0.71	0.01
SLDSC		CEU	0.09	0.01	0.64	0.18	0.54	0.05	
		OWN	0.09	0.00	0.19	0.01	0.16	0.01	
GREML		GREML	0.15	0.00	0.25	0.01	0.24	0.01	
True in nonDHS				-0.30		0.50		0.50	
non-DHS	0	LDSC	CEU	-0.17	0.01	0.74	0.01	0.74	0.01
			OWN	-0.17	0.01	0.69	0.01	0.68	0.01
		SLDSC	CEU	-0.20	0.01	-0.36	0.26	0.02	0.11
			OWN	-0.19	0.00	0.33	0.01	0.31	0.01
		GREML	GREML	-0.29	0.00	0.48	0.01	0.50	0.01
		10	LDSC	CEU	-0.18	0.01	0.74	0.01	0.74

		OWN	-0.17	0.01	0.69	0.01	0.68	0.01
	SLDSC	CEU	-0.22	0.01	-1.19	0.95	0.12	0.09
		OWN	-0.20	0.00	0.31	0.01	0.31	0.01
	GREML	GREML	-0.29	0.00	0.48	0.01	0.49	0.00
	LDSC	CEU	-0.18	0.01	0.75	0.01	0.74	0.01
		OWN	-0.17	0.01	0.69	0.01	0.68	0.01
	SLDSC	CEU	-0.21	0.01	0.03	0.20	0.20	0.08
		OWN	-0.19	0.00	0.33	0.01	0.31	0.01
20	GREML	GREML	-0.29	0.00	0.48	0.01	0.49	0.01

^a Simulation was based on 10,000 individuals that were randomly selected from UKBB with 858K SNP. Based on Gusev et al.¹, the SNPs across the genome were stratified into two categories: DHS (194K SNPs with 2268 causal SNPs) and non-DHS (664K SNPs with 7732 causal SNPs). The genetic correlation (genetic covariance) for the simulated phenotypes between the first and second traits was 0.6 (0.15) and -0.6 (-0.3) in DHS and non-DHS region, respectively. The phenotypic variance is one. The genetic variance of two traits and covariance for DHS and non-DHS are presented in the table.

^b The standard error were estimated based on 100 replicates.

Table S1b. Covariance and heritability estimated from LDSC and GREML when a simulated genetic covariance between the first and second trait is 0.15 and -0.15 for DHS and non-DHS, respectively^a.

	%Overlapping	Method	LD score	Covariance		Variance I		Variance II	
				Estimate	SE ^b	Estimate	SE	Estimate	SE
True in DHS				0.15		0.25		0.25	
DHS	0	LDSC	CEU	0.01	0.00	0.76	0.01	0.76	0.01
			OWN	0.01	0.00	0.71	0.01	0.71	0.01
		SLDSC	CEU	0.12	0.01	0.77	0.18	0.58	0.08
			OWN	0.09	0.00	0.17	0.01	0.17	0.01
		GREML	GREML	0.15	0.00	0.25	0.01	0.24	0.01
		10	LDSC	CEU	0.03	0.00	0.75	0.01	0.77
	OWN			0.03	0.00	0.70	0.01	0.71	0.01
	SLDSC		CEU	0.13	0.01	1.27	0.45	0.81	0.26
			OWN	0.10	0.00	0.17	0.01	0.17	0.01
	GREML		GREML	0.15	0.00	0.25	0.00	0.24	0.00
	20		LDSC	CEU	0.05	0.00	0.76	0.01	0.77
		OWN		0.05	0.00	0.71	0.01	0.71	0.01
SLDSC		CEU	0.12	0.01	1.17	0.76	-0.24	0.80	
		OWN	0.09	0.00	0.18	0.01	0.16	0.01	
GREML	GREML	0.15	0.00	0.25	0.00	0.24	0.01		
True in nonDHS				-0.15		0.50		0.50	
non-DHS	0	LDSC	CEU	0.00	0.00	0.74	0.01	0.74	0.01
			OWN	0.00	0.00	0.69	0.01	0.68	0.01
		SLDSC	CEU	-0.11	0.01	-0.23	0.30	0.00	0.15
			OWN	-0.09	0.00	0.31	0.01	0.32	0.01

		GREML	GREML	-0.15	0.00	0.48	0.01	0.49	0.01
		LDSC	CEU	0.02	0.00	0.74	0.01	0.74	0.01
			OWN	0.02	0.00	0.69	0.01	0.69	0.01
		SLDSC	CEU	-0.12	0.01	-1.97	1.47	-0.13	0.21
			OWN	-0.10	0.00	0.31	0.01	0.31	0.01
10		GREML	GREML	-0.15	0.00	0.48	0.00	0.49	0.00
		LDSC	CEU	0.04	0.00	0.75	0.01	0.74	0.01
			OWN	0.04	0.00	0.69	0.01	0.68	0.01
		SLDSC	CEU	-0.11	0.01	-0.61	0.89	-0.02	0.27
			OWN	-0.10	0.00	0.31	0.01	0.33	0.01
20		GREML	GREML	-0.14	0.00	0.48	0.01	0.49	0.01

^a Simulation was based on 10,000 individuals that were randomly selected from UKBB with 858K SNP. Based on Gusev et al.¹, the SNPs across the genome were stratified into two categories: DHS (194K SNPs with 2268 causal SNPs) and non-DHS (664K SNPs with 7732 causal SNPs). The genetic correlation (genetic covariance) for the simulated phenotypes between the first and second traits was 0.6 (0.15) in DHS and -0.3 (-0.15) in non-DHS region, respectively. The phenotypic variance is one. The genetic variance of two traits and covariance for DHS and non-DHS are presented in the table.

^b The standard error were estimated based on 100 replicates.

Table S2 Heritability and genetic correlation based on different data sets

Method	#SNPs	Data	#individuals		h ² height		h ² SCZ (liability scale)		Genetic correlation		
			Mean	SD	Estimate	SE	Estimate	SE	Estimate	SE	P
GREML	518992	UKBB+ SCZ	152961.0		0.386	4.10E-03	0.192	4.39E-03	-0.016	1.40E-02	0.247
LDSC	516519	UKBB+ SCZ	151385.1	1433.7	0.487	3.28E-02	0.280	1.63E-02	0.026	3.35E-02	0.439
LDSC-meta1	476824	UKBB+ GIANT+ PGCSCZ	437303.9	8173.6	0.253	1.62E-02	0.259	1.28E-02	0.010	2.46E-02	0.675
LDSC-meta2	1010783	UKBB+ GIANT+ PGCSCZ	428349.1	28211.2	0.277	1.47E-02	0.261	1.03E-02	0.007	1.84E-02	0.712

GREML: Analysis was based on quality controlled genetic data for height (from UK Biobank with 111,143 individuals and 518,992 SNPs) and schizophrenia (from PGC with 41,630 individuals and 518,992 SNPs).

LDSC: The data sets used in LDSC were the same as in GREML.

LDSC-meta1: GWAS summary statistics for height were based on meta-analysed GWAS results of UKBB individual-level genotype data (with 111,143 individuals and 518,992 SNPs) and of GIANT (253,280 individuals and 476,824 SNPs). For SCZ, the GWAS summary statistics from the full PGC sample based on 77,096 individuals was used.

LDSC-meta2: The data sets used in LDSC-meta2 were the same as in LDSC-meta1 except for the increased number of SNPs (1,010,783) with which its performance was checked.

Due to different call rates of each SNP, the numbers of individuals for each SNP used in GWAS were different (see the column of the number of individuals with mean and SD).

Table S3 Heritability estimated from GREML and stratified LDSC based on the real data sets in the genomic partitioning analyses

BMI									
		GREML					Stratified LDSC^a		
	Proportion of SNPs	Estimate	SE (Estimate)	Ratio ^b	SE (ratio) ^c	Enrichment <u>P</u> -value ^d	Estimate	SE (Estimate)	Ratio
Regulatory	0.065	0.016	0.002	0.085	0.012	5.61E-02	0.012	0.006	0.060
DHS	0.225	0.055	0.005	0.298	0.025	1.74E-03	0.084	0.015	0.411
Intron	0.287	0.055	0.004	0.298	0.018	5.54E-01	0.054	0.008	0.262
Intergenic	0.424	0.059	0.004	0.320	0.017	1.76E-09	0.055	0.009	0.267
Total	1.000	0.185	-	1.000	-	-	0.206	0.012	1.000
Whole genome^e	1.000	0.184	0.004	-	-	-	0.255	0.014	-
Height									
		GREML					Stratified LDSC		
	Proportion of SNPs	Estimate	SE (Estimate)	Ratio	SE (ratio)	Enrichment <u>P</u> -value	Estimate	SE (Estimate)	Ratio
Regulatory	0.065	0.094	0.004	0.246	0.009	7.60E-92	0.117	0.012	0.261
DHS	0.225	0.167	0.005	0.438	0.014	2.38E-54	0.421	0.031	0.942
Intron	0.287	0.071	0.004	0.187	0.011	7.83E-22	-0.017	0.014	-0.038
Intergenic	0.424	0.049	0.004	0.130	0.009	7.29E-226	-0.074	0.016	-0.165
Total	1.000	0.381	-	1.000	-	-	0.447	0.026	1.000
Whole genome	1.000	0.386	0.004	-	-	-	0.487	0.033	-
SCZ^f									
		GREML					Stratified LDSC		
	Proportion of SNPs	Estimate	SE (Estimate)	Ratio	SE (ratio)	Enrichment <u>P</u> -value	Estimate	SE (Estimate)	Ratio
Regulatory	0.065	0.013	0.003	0.068	0.014	1.67E+00	0.021	0.008	0.095
DHS	0.225	0.048	0.006	0.249	0.031	3.62E-01	0.068	0.020	0.303
Intron	0.287	0.067	0.004	0.347	0.022	3.72E-03	0.076	0.009	0.340
Intergenic	0.424	0.064	0.005	0.335	0.022	2.41E-05	0.059	0.010	0.262

Total	1.000	0.192	-	1.000	-	-	0.244	-	1.000
Whole genome	1.000	0.192	0.004	-	-	-	0.280	0.016	-

^aGWAS summary statistics used in the stratified LDSC were based on the same genotype data used in GREML (Table 3). Because we used our own annotation that had discrete categories, for which the stratified LDSC does not provide stratified LD scores, we had to calculate stratified LD scores using the individual-level genotype data.

^bRatio is the proportion of each estimate over the sum of all estimates.

^cSE (ratio) is estimated using the delta method implemented in MTG2. For such discrete annotated categories, the stratified LDSC does not provide the SE of the ratio.

^dEnrichment p-value was obtained from the Wald test.

^eWhole-genome analyses were based on all the SNPs across the genome (i.e. Table 3).

^fThe estimates of SCZ are in the liability scale.

Table S4. The computational and memory requirements for bivariate GREML and LDSC for 800k SNPs using a single CPU running at 2.1 GHz

Methods	#individuals/trait	Memory	Time (minute)
GREML	5,000	1333MB	4
LDSC		544MB	1
GREML	10,000	6228MB	32
LDSC		544MB	1
GREML	20,000	18.5GB	198
LDSC		544MB	1

It is noted that MTG2 software used for the bivariate GREML can facilitate parallel computing and increase the computation efficiency approximately by a factor of 10 when using 20 CPUs.

SUPPLEMENTAL METHODS

Genetic data

Schizophrenia (SCZ) data

The SCZ GWAS data were from the second phase of the Psychiatric Genomic Consortium² (PGC). Quality control (QC) and imputation of raw autosomal SNPs was performed by PGC using the imputation program IMPUTE2/SHAPEIT^{3;4} with CEU samples from the 1000 Genomes Project dataset⁵ as the reference set. Post-imputation quality control was performed for each cohort as described in Mehta et al.⁶, and subsequently merged across all cohorts. Based on the merged genotype data, we utilized HapMap3 SNPs with a call rate ≥ 0.9 and individuals with a call rate ≥ 0.9 . In addition, one individual in a pair was randomly excluded if their genomic relationship was ≥ 0.05 . After QC, 688,145 SNPs and 41,630 individuals (18,987 cases and 22,673 controls) remained.

UK Biobank (UKBB)

Based on the data released in the first version of UKBB⁷, which were collected from a community sample, there were initially 152,249 individuals and 72,355,667 imputed SNPs available. Non-ambiguous strand SNPs identified in HapMap3 with imputation INFO ≥ 0.6 , minor allele frequency (MAF) ≥ 0.01 , call rate ≥ 0.95 and Hardy-Weinberg equilibrium P-value $\geq 10^{-7}$ were retained. Individuals with call rate ≥ 0.95 and clustered as Caucasian, which were within six standard deviations from the mean of the EUR reference sample⁸ based on the first and second principal components of the genomic relationship matrix were retained. Similar to the SCZ sample, one individual per pair was randomly excluded if their genomic relationship

was > 0.05 within the UKBB sample. In addition, UKBB samples were excluded if their genomic relationship with SCZ samples was > 0.05 . After QC, 111,330 individuals, 858,991 SNPs were available. In addition to the imputed UKBB data, the raw genotype data of UKBB (UKBBr) were used for a comparison analysis. Raw genotypes were available for 805,426 SNPs from the UK Biobank and UK BiLEVE Axiom array. After the same QC process as above and matching with the HapMap3 SNPs, 111,330 individuals and 123,921 SNPs were used for the simulation study.

Wellcome Trust Case Control Consortium 2 (WTCCC2)

The WTCCC2 data⁹⁻¹² were combined from four disease datasets (ischaemic stroke, multiple sclerosis, primary biliary cirrhosis, and psoriasis) and two controls (1958 Birth cohort and UK Blood Service) which were genotyped with Illumina Human 1M-Duo BeadChip and QCed separately as follows: SNPs were excluded due to either call rate ≤ 0.95 , MAF < 0.01 , HWE P-value $< 1E-4$ or significantly ($P < 1E-5$) different call rates between cases and controls. Individuals were excluded due to either individual call rate ≤ 0.97 , being duplicated, genomic relationship > 0.185 or being of non-CEU ancestry. In the combined genotype data, we further excluded those SNPs with a call rate ≤ 0.95 , HWE P-value $\leq 10E-7$, or MAF ≤ 0.01 and one random individual in a pair with high relatedness (> 0.05). After QC, 20,659 individuals each with 432,663 SNPs were available for the simulation study.

Genetic epidemiology research on adult health and aging cohort (GERA)

The details of GERA data and its QC process was described in Lee et al.¹³. Briefly, genetic data were strictly from participants of the Kaiser Permanente GERA cohort with European ancestry¹⁴. In the QC step, SNPs were excluded due to either call rate ≤ 0.95 , HWE p-

value $\leq 10E-4$, or MAF ≤ 0.01 . Only HapMap3 SNPs were retained for the simulation and analyses. Individuals were excluded due to either call rate ≤ 0.95 or being population outliers (i.e. greater than six SD from the first and second principal components). One individual in a pair with genomic relationship larger than 0.05 was randomly excluded. After QC, 46,345 individuals each with 239,976 SNPs were available for the simulation study.

Simulation

The simulation process was based on the individual-level genotype data of UKBB (111,330 individuals and 858,991 imputed or 123,921 raw genotyped SNPs), WTCCC2 (20,659 individuals and 432,663 SNPs), and GERA (46,345 individuals and 239,976 SNPs), respectively.

Phenotype Simulation

For the bivariate model, 10,000 SNPs were randomly selected as causal variants, and assigned two sets of causal effects following a multivariate normal distribution with mean $[0, 0]$ and (co)variance matrix as $\begin{bmatrix} 1 & 0.6 \\ 0.6 & 1 \end{bmatrix}$. Thus, the genetic correlation between the first and second traits was 0.6. True breeding values or genetic profile scores were obtained from the products of SNP genotypes and the corresponding SNP effects. The simulated phenotypes were generated as the sum of the true genetic profile scores and the residual effects that were drawn from a multivariate normal distribution with mean $[0, 0]$ and the covariance matrix $\begin{bmatrix} 1 & 0.8 \\ 0.8 & 1 \end{bmatrix}$. Therefore, the simulated true heritability was 0.5 for both first and second traits.

For the sample available in each dataset, a random set of 10,000 individuals was made available for the first and second trait, respectively, such that the percentage of overlapping individuals between the first and second traits was 0, 10 or 20%. Genetic correlation between

two simulated traits was estimated using GREML and LDSC. The details of the methods are well documented elsewhere¹⁵⁻¹⁹. The number of replicates was 100. The simulation process was conducted using MTG2^{15; 20}.

For sensitivity analyses using UKBB data, a different number of individuals (2500, 5000 or 15000) or a different number of SNPs (100, 200 ... 700k) was used. For testing a different number of SNPs, a subset of SNPs was randomly selected from 858,991 SNPs from which 10,000 SNPs were randomly selected as causal variants to simulate phenotypes as above. We also tested a situation where the number of causal SNPs was proportionally reduced in a subset of randomly selected SNPs that used the same causal variants as the original 858,991 SNPs.

Simulation for genomic partitioning analyses.

This simulation was based on the QCed UKBB genotype data with 858,991 SNPs. According to Gusev et al.¹, the genomic regions were divided into two categories: DHS (194,778 SNPs) and non-DHS (664,213 SNPs). Phenotypes were simulated for the categories such that the heritability was 0.25 and 0.5 for the DHS region and non-DHS regions, respectively. The genetic correlation between the first and second traits was 0.6 and -0.6 for DHS and non-DHS regions, respectively. In an alternative scenario, we simulated the genetic correlation between DHS regions being 0.6 and the genetic correlation between non-DHS regions being -0.3. For the genetic correlation, we gave substantially different values between the DHS and non-DHS regions to make the contrast clear in the estimation, which also increased the power to assess the performance of the methods. The residual correlation between two traits was 0.8. For the sample, 10,000 individuals were randomly selected for each trait to perform GREML and LDSC with different levels (0, 10, or 20%) of overlapping individuals between the two traits. For the GREML genomic partitioning analysis, genomic relationship matrices⁹ (estimated based on the

information of the functional categories) were jointly fitted to estimate SNP-heritability and genetic correlation for each category. For the LDSC partitioning analysis, we used the sLDSC (i.e. --h2 flag in sLDSC software)²¹, which could estimate SNP-heritability for each category, following Finucane et al.²¹ in the case of non-overlapping categories. We also used sLDSC to estimate genetic correlation between traits for each category (i.e. --rg flag). Unlike --h2 function in sLDSC, there is neither documented instruction nor a publication verifying the function, and the software does not provide SE of estimates. Therefore, we limited our use of the --rg function in sLDSC to simulated data only. Because of the limitation of sLDSC (--rg), we also used LDSC estimates based on a subset of SNPs belonging to each category to obtain a genetic correlation for each category, which also give more insights into the method.

Real data analyses

We used LDSC and GREML to estimate SNP-heritability and genetic correlation for the real data sets. Traits of interest were height, body mass index (BMI), and SCZ. After QC as described above, the number of phenotypic records was 111,143 for height and 111,019 for BMI from the UKBB, and 41,630 for SCZ from PGC. The number of SNPs was 518,992. The SNP number was reduced compared to the simulation study because only the SNPs common to both UKBB and SCZ could be used to build a genomic relationship in GREML. Phenotypic records of height and BMI were adjusted for age at interview, the assessment centre at which participant consented, genotype batch, year of birth and the first 15 principal components (PCs). SCZ were adjusted for sex, cohort and the first 15 PCs. The pre-adjusted phenotypes were used for GREML and LDSC estimations. In addition, publicly available GWAS summary statistics for height, BMI and SCZ were used for LDSC (LDSC-meta). The GWAS results for height and

BMI from GIANT^{22; 23} and those for SCZ from the full PGC samples² were meta-analysed with SNPs treated as fixed effects.

Genomic partitioning analysis was applied. Based on Gusev et al.¹, we stratified the genome into four categories, i.e. regulatory, DHS, intronic and intergenic region. The regulatory category includes promoters (within 2kbp of a transcription start site), UTR (overlapping a 5' or 3' untranslated region) and coding (overlapping a coding exon). DHS includes chromatin zones that are sensitive to cleavage by the DNase I enzyme, observed in any cell-type. Intronic and intergenic regions include introns and all other intergenic variants except regulatory, DHS and introns. We used the GREML, LDSC and sLDSC to estimate SNP-heritability and genetic correlation for each category in the same manner as in the analyses of the simulated data except that we did not attempt using sLDSC with --rg flag for the real data analysis because of its incompleteness (e.g. SE estimates are not provided).

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