

## Whole-genome resequencing reveals signatures of selection and timing of duck domestication

--Manuscript Draft--

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<b>Abstract:</b>	<p>The genetic basis of animal domestication remains poorly understood, and systems with substantial phenotypic differences between wild and domestic populations are useful for elucidating the genetic basis of adaptation to new environments as well as the genetic basis of rapid phenotypic change. Here, we sequenced the whole genome of 78 individual ducks, from two wild populations and seven domesticated populations, with an average sequencing depth &gt; 45X for each population. Our population and demographic analysis indicates a complex history of domestication, with early selection for separate meat and egg lineages. Genomic comparison of wild to domesticated populations suggest that genes affecting brain and neuronal development have undergone strong positive selection during domestication. Our FST analysis also first indicates the duck white plumage associated with selection at the melanogenesis associated transcription factor locus. Our results advance the understanding of animal domestication and selection for complex phenotypic traits.</p>	
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# 1 **Whole-genome resequencing reveals signatures of** 2 **selection and timing of duck domestication**

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1    28    **Abstract**

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4    29    The genetic basis of animal domestication remains poorly understood, and  
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7    30    systems with substantial phenotypic differences between wild and domestic  
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10    31    populations are useful for elucidating the genetic basis of adaptation to new  
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13    32    environments as well as the genetic basis of rapid phenotypic change. Here,  
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16    33    we sequenced the whole genome of 78 individual ducks, from two wild  
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19    34    populations and seven domesticated populations, with an average sequencing  
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22    35    depth > 45X for each population. Our population and demographic analysis  
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25    36    indicates a complex history of domestication, with early selection for separate  
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34    39    undergone strong positive selection during domestication. Our  $F_{ST}$  analysis also  
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37    40    first indicates the duck white plumage associated with selection at the  
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40    41    *melanogenesis associated transcription factor* locus. Our results advance the  
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42    42    understanding of animal domestication and selection for complex phenotypic  
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43    43    traits.

45    44    **Keywords:** duck, domestication, intensive selection, neuronal development,  
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48    45    energy metabolism, plumage colouration.

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## 49 Introduction

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4 50 *Anas platyrhynchos* (ducks or mallards) are the world's most widely  
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7 51 distributed and agriculturally important waterfowl, and are of particular  
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10 52 economic and importance in Asia [1]. Although forms of the mallard have been  
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13 53 farmed in Asia for over a thousand years, the exact timing of domestication  
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16 54 remains unknown, with written records indicating domestic ducks in central  
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18 55 China shortly after 500 BC [2]. Moreover, domesticated mallards show many  
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21 56 important behavioral [3] and morphological [4-6] differences from their wild  
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24 57 ancestors, particularly related to plumage and neuroanatomy, offering an  
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27 58 important opportunity to understand the genetic basis of these phenotypic  
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30 59 differences.

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32 60 In order to determine the timing of duck domestication in China, as well as  
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35 61 identify the genomic regions under selection during domestication, we  
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38 62 performed whole genome resequencing from 78 individuals belonging to seven  
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41 63 different duck breeds (three for meat breeds, three for egg breeds, and one  
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44 64 dual-purpose breed) and two geographically distinct wild populations. Using the  
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47 65 36.1 million single nucleotide polymorphisms (SNPs) and 3.1 million small  
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50 66 insertions and deletions (INDELs), we analyzed the structure of these  
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53 67 populations and signatures of selection associated with domestication. We  
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56 68 identified two distinct domesticated populations, originating from a single  
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59 69 domestication event roughly 2000 years ago. We also identified signatures of  
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62 70 selection on genes associated with neuronal development, energy metabolism,

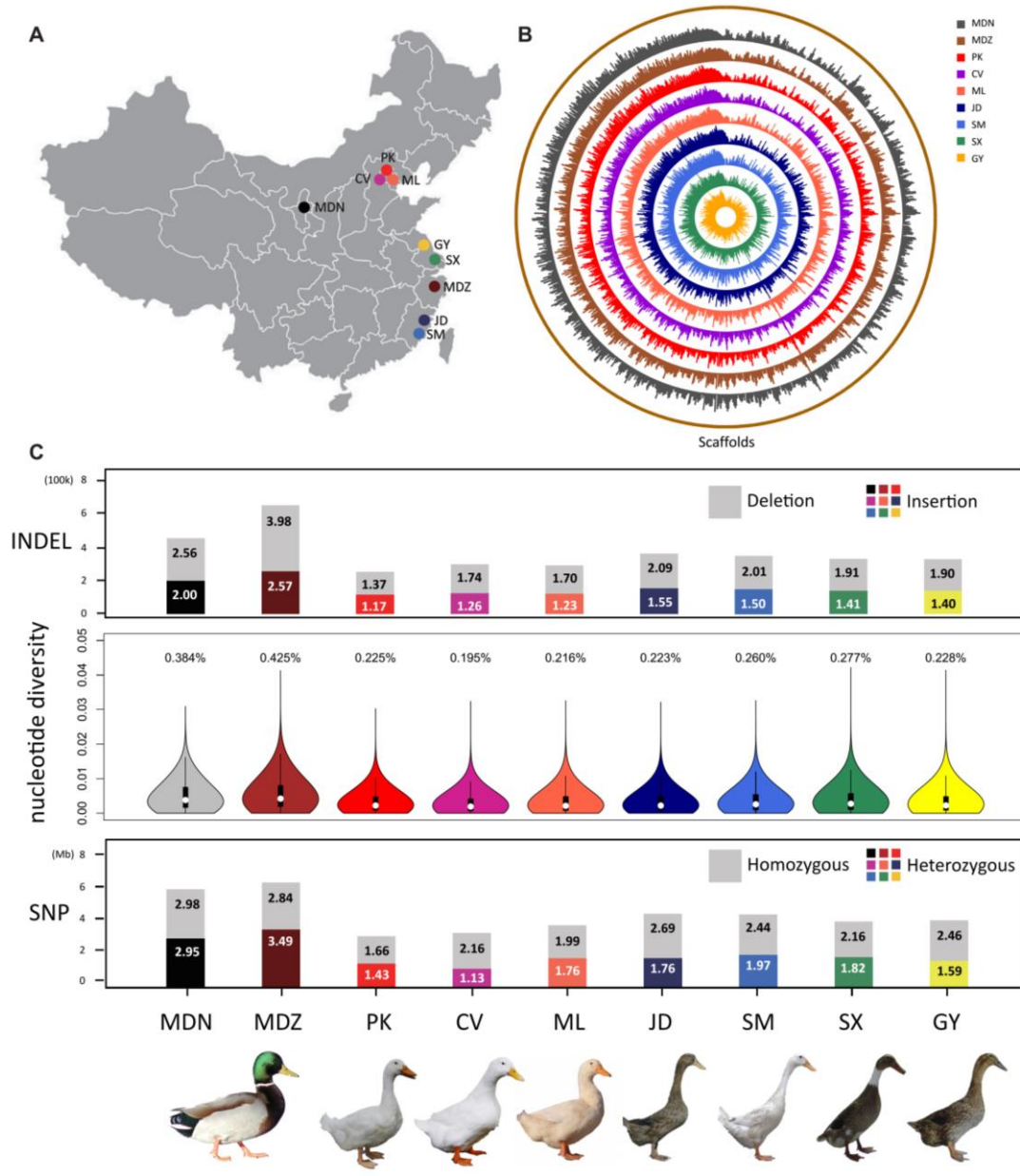
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1 71 vision and plumage during domestication. Together, our results reveal a  
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3 72 complex pattern of selection associated with the domestication of the duck.  
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## 6 7 73 **Results** 8 9

### 10 11 74 Genetic variation 12 13

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15 75 We individually sequenced 16 wild and 62 domestic ducks, from two wild  
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18 76 populations and seven domestic breeds (three meat breeds, three egg breeds  
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21 77 and one dual-purpose breed), from across China ([Fig. 1A](#)) to an average of  
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23 78 6.42X coverage per individual after filtering and quality control, resulting in total  
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26 79 535 billion mappable reads([Supplemental Table S1](#)).  
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81 **Figure. 1 Experimental design and variants statistics**

82 **(A)** Sampling sites in this study. A total of 78 ducks from two wild populations (Mallard Ningxia  
 83 (MDN) n=8; Mallard Zhejiang (MDZ) n=14), three meat breeds (Pekin (PK) n=8; Cherry Valley  
 84 (CV) n=8; Maple Leaf (ML) n=8), three egg breeds (Jin Ding (JD) n=8; Shan Ma (SM) n=8;  
 85 Shao Xing (SX) n=8), and one dual breed (Gao You (GY) n=8) were selected.

86 **(B)** Circos plot of SNP distribution and density of seven domestic breeds and two wild

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1 87 populations across the genome. The duck whole genome reference is shown in the outermost  
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3 88 circle (non-overlapping, window size = 1 Mb).  
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6 89 **(C)** Genomic variation of nine population ducks. Mean number of SNPs, heterozygous and  
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9 90 homozygous SNP ratio in the nine populations as shown at the bottom. Homozygous SNP  
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11 91 ratios in domesticated ducks are significantly higher than ratios in wild mallards ( $p = 1.35 \times$   
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13 92  $10^{-10}$ ). Nucleotide diversity ratio in the nine populations are shown at the middle. The  
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15 93 nucleotide diversity ratio in wild mallards are dramatically higher than ratios in domesticated  
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17 94 ducks ( $p = 2.20 \times 10^{-16}$ ). Number of insertions and deletions in the nine populations are shown  
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19 95 at the top. The number of deletion was higher than insertion in all nine populations.  
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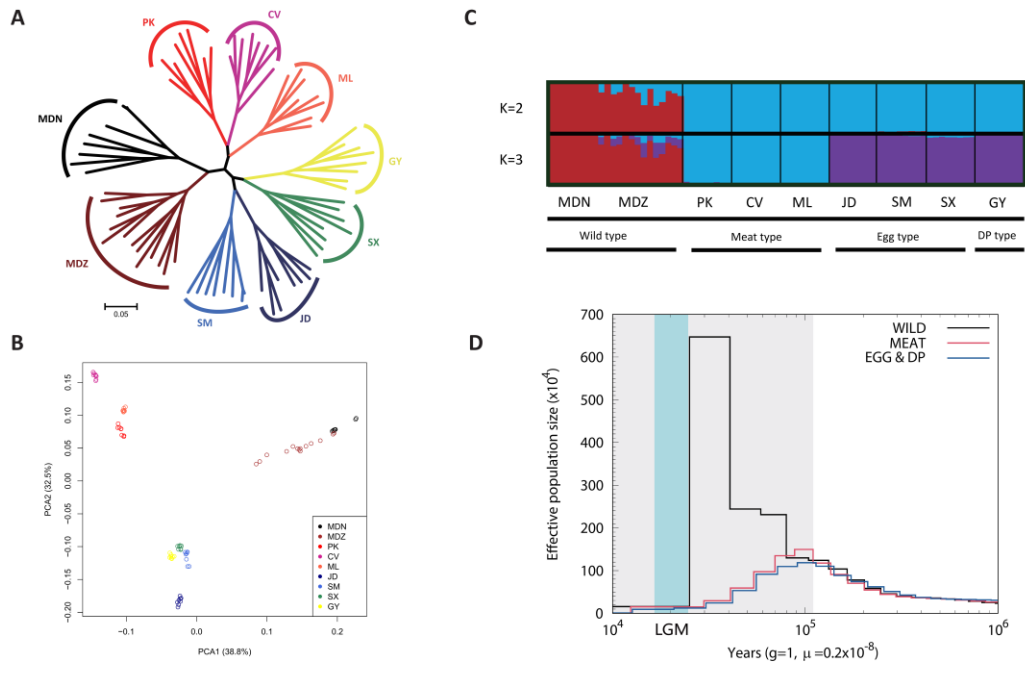
28 97 We detected 36.1 million (M) SNPs in total, with an average for each  
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30 98 individual of 4.5M (range = 2.34 – 9.52M), which covered 96.2% of the duck  
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32 99 dbSNP database deposited in the Genome Variation Map (GVM)  
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34 100 (<http://bigd.big.ac.cn/gvm/>). We also identified 3.1M INDELS, with an average  
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36 101 of 0.4M (range = 0.21 – 0.89M) (Fig. 1C, Supplemental Figs. S1 - S2,  
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38 102 Supplemental Table S2). Both the number of SNPs (t test,  $p = 3.13 \times 10^{-12}$ )  
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40 103 and nucleotide diversity (t test,  $p = 2.20 \times 10^{-16}$ ) are lower in domesticated  
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42 104 compared to wild mallards (Fig. 1B - C), consistent with the larger panmictic  
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44 105 wild population. Single base-pair INDELS were the predominant form,  
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46 106 accounting for 38.63% of all detected INDELS (Supplemental Table S3).  
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1 107 Population structure and domestication

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4 108 Phylogenetic relationships, based on a neighbor-joining (NJ) of pairwise  
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7 109 genetic distances of whole genome SNPs (Fig. 2A) and Principal Component  
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10 110 Analysis (PCA, Fig. 2B) revealed strong clustering into three distinct genetic  
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13 111 groups. The two wild populations (MDN and MDZ) clustered together, with the  
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16 112 three meat type population ducks (PK, CV, and ML) clustered together into a  
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19 113 second group, and the three egg type populations (JD, SM, and SX) clustered  
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21 114 with the dual-purpose type ducks (GY) into a third group.

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23 115 We further performed population structure analysis using FRAPPE [7],  
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26 116 which estimates individual ancestry and admixture proportions assuming K  
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29 117 ancestral populations (Fig. 2C). With K = 2, a clear division was found between  
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32 118 wild type ducks (MDN and MDZ) and domesticated ducks (PK, CV, ML, JD, SM,  
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35 119 SX, and GY). With K = 3, a clear division was found between meat type ducks  
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38 120 (PK, CV, and ML) and egg type ducks mixed with dual-purpose type ducks (JD,  
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40 121 SM, SX, and GY).  
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123 **Figure. 2 Population genetic structure and demographic history of nine duck**  
 124 **populations**

125 **(A)** Neighbor-joining phylogenetic tree of nine duck populations. The scale bar is  
 126 proportional to genetic differentiation (p distance).

127 **(B)** PCA plot of duck populations. Eigenvector 1 and 2 explained 38.8% and 32.5% of the  
 128 observed variance, respectively.

129 **(C)** Population genetic structure of 78 ducks. The length of each colored segment  
 130 represents the proportion of the individual genome inferred from ancestral populations (K = 2-  
 131 3). The population names and production type are at the bottom. DP type means dual-purpose  
 132 type.

133 **(D)** Demographic history of duck populations. Examples of PSMC estimate changes in the  
 134 effective population size over time, representing variation in inferred Ne dynamics. The lines  
 135 represent inferred population sizes and the gray shaded areas indicate the Pleistocene period,  
 136 with Last Glacial Period (LGP) shown in darker gray, and Last Glacial Maximum (LGM) shown

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1 137 in light blue areas.

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6 139 Together, these results indicate two genetic clusters of domesticated  
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9 140 breeds, either domesticated once with subsequent subdivision due to divergent  
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11 141 selection, or domesticated twice independently. In order to differentiate these  
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13 142 alternatives, we explored the demographic history of our samples, first  
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15 143 estimating changes in effective population size ( $N_e$ ) in our three genetic clusters  
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17 144 in a pairwise sequentially Markovian coalescent (PSMC) framework [8]. The  
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20 145 meat type ducks (PK, CV, and ML) showed concordant demographic  
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23 146 trajectories with egg and mixture type populations (JD, SM, SX, and GY) with  
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26 147 one apparent expansion around the Penultimate Glaciation Period (PGP, 0.30-  
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29 148 0.13 Mya) [9,10] and Last Glacial Period (LGP, 110-12 kya) [11,12], followed by  
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32 149 a subsequent contraction (Fig. 2D).

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36 150 We tested multiple demographic scenarios related to domestication using  
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39 151 a diffusion approximation method for the allele frequency spectrum ( $\partial a \partial i$ )  
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42 152 (Supplemental Fig. S3 and S4). Among the four isolation models tested (models  
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45 153 1 - 4), the model of a single domestication with subsequent divergence of the  
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48 154 domesticated breeds (Model 2) was both consistent with our population  
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51 155 structure results (Fig. 2) and had the lowest Akaike Information Criteria (AIC),  
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53 156 indicating a better overall fit to the data (log-likelihood = -33,388.43; AIC =  
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56 157 66,788) (Supplemental Fig. S3).

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58 158 Demographic parameters estimated from the single domestication model  
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(Model 2) indicated that domestication occurred approximately 2,200 years ago, followed by a rapid subsequent divergence of the meat breed from the egg/dual purpose breeds roughly 100 years after the initial domestication event (Table 1). Our results suggest that following an initial bottleneck associated with domestication, with an estimated  $N_e$  of 305 individuals for the ancestral domesticated population, the population has expanded to the current  $N_e$  of 5,345 and 12,404 in the meat type and egg/dual purpose breeds respectively.  $N_e$  estimates for domesticated breeds are lower than that in wild mallards, consistent with the large panmictic wild population.

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**Table 1.** Maximum likelihood population demographic parameters. Best fit parameter estimates for the model of a single domestication event followed by divergence of the domesticated breeds, including changes in population size. Time estimates are given in years and migration are in units of number of migrants per generation.

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Parameter	ML estimate
$N_e$ of ancestral population after size change	633,584
$N_e$ of the wild population	84,845
$N_e$ of the ancestral domesticated population	305
$N_e$ of the meat breed	5,345
$N_e$ of the egg/dual purpose	12,404
Time of size change in the ancestral population	238,696
Time of domestication	2,128
Time of breed divergence	2,030
Migration $_{wild \leftarrow meat}$	1.21
Migration $_{wild \leftarrow egg/dp}$	3.92

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Gene flow estimates were relatively high, and were 1 and 4 migrants per generation from the meat and egg/dual purpose breeds, respectively, into the

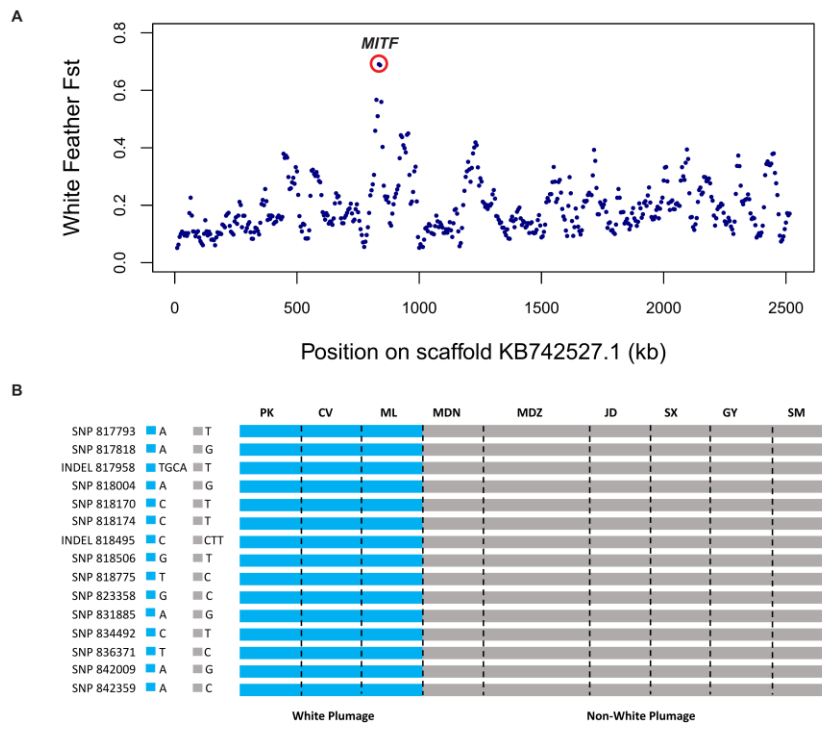
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1 178 wild population. Difficulty in differentiating between very recent divergence and  
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3 179 high migration rates in the frequency spectrum prevented convergence  
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6 180 between independent runs when trying to fit other migration parameters to our  
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9 181 model.

## 10 11 182 Selection for plumage color

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14 183 Derived traits in domesticated animals tend to evolve in a predictable order,  
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17 184 with color variation appearing in the earliest stages of domestication, followed  
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20 185 by coat or plumage and structural (skeletal and soft tissue) variation, and finally  
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23 186 behavioral differences [13,14]. One of the simplest and most visible derived  
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26 187 traits of ducks is white plumage color. In order to detect the signature of  
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29 188 selection associated with white feathers, we searched the duck genome for  
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32 189 regions with high  $F_{ST}$  among the populations of white feather (PK, CV, and ML)  
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34 190 and non-white feather (MDN, MDZ, JD, SX, and GY) based on sliding windows  
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37 191 of 10kb windows. We identified a region of high differentiation between white  
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40 192 plumage and non-white plumage ducks overlapping the *melanogenesis*  
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42 193 *associated transcription factor* (*MITF*;  $F_{ST}=0.69$ ) (Fig. 3A). In the intronic region  
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45 194 of *MITF*, we identified 13 homozygous SNPs and 2 homozygous INDELS  
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48 195 present in all white plumage breeds (n=24). These SNPS were absent in all  
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51 196 non-white plumage breeds (n=46) (Fig. 3B). These mutations were completely  
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54 197 consistent with the white plumage phenotype suggesting as causative mutation.  
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56 198 Our result first indicates the duck white plumage associated with selection at  
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59 199 the *MITF* locus.



**Figure. 3 MITF shows different genetic signature between white plumage and non-white plumage ducks.**

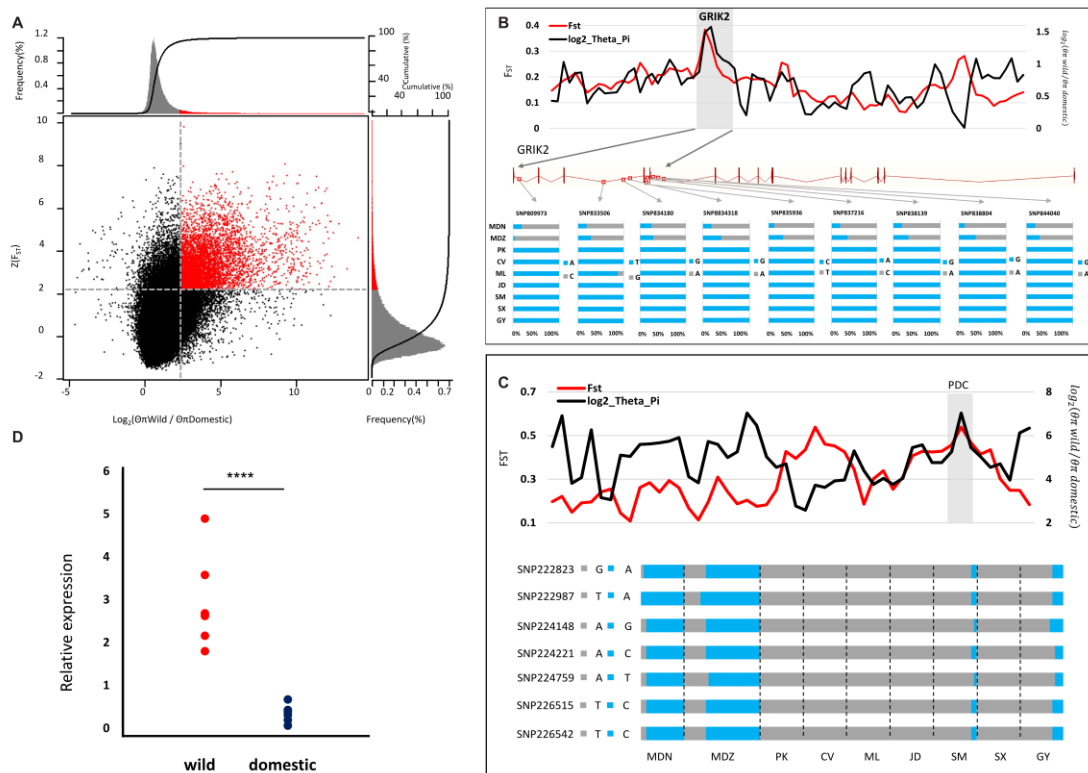
**(A)** FST plot around the MITF locus. The FST value of MITF is highest for scaffold KB742527.1, circled in red. Each plot represent a 10 kb windows.

**(B)** 13 homozygous SNPs and 2 homozygous INDELS were identified in white plumage ducks and absent in non-white plumage ducks. SNPs and INDELS were named according to their position on scaffold.

### Selection for other domestication traits

In order to detect the signature of selection for other traits associated with duck domestication, we scanned the duck genome for regions with a high coefficient of nucleotide differentiation ( $F_{ST}$ ) among the populations of wild types (MDN and MDZ) and domesticated types (PK, CV, ML, JD, SM, SX, and GY)

213 based on sliding windows of 10kb windows. Owing to the complex and partly  
 214 unresolved demography of these populations, it is difficult to define a strict  
 215 threshold that distinguishes true sweeps from regions of homozygosity caused  
 216 by drift. We therefore also calculated pairwise diversity ratio  
 217 ( $\theta_{\pi}(\text{wild}/\text{domesticated})$ ). We identified 292 genes in the top 5% of both  $F_{ST}$  and  
 218  $\theta_{\pi}$  scores, putatively under positive selection during domestication (Fig. 4A,  
 219 Supplemental Tables S4).



220  
 221 **Figure. 4 Genomic regions with strong selective sweep signals in wild**  
 222 **population ducks and domesticated population ducks.**

223 (A) Distribution of  $\theta_{\pi}$  ratios ( $\theta_{\pi}(\text{wild}/\text{domesticated})$ ) and  $Z(F_{ST})$  values, which are  
 224 calculated using scaffolds longer than 10-kb by 10-kb windows with 5-kb steps. Red data points  
 225 located to the top-right regions correspond to the 5% right tails of empirical  
 226  $\log_2(\theta_{\pi}(\text{wild})/\theta_{\pi}(\text{domestic}))$  ratio distribution and the top 5% empirical  $Z(F_{ST})$  distribution are

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227 genomic regions under selection during duck domestication. The two horizontal and vertical  
228 gray lines represented the top 5% value of  $Z(F_{ST})$  (2.216) and  $\log_2(\theta\pi_{wild}/\theta\pi_{domestic})$   
229 (2.375), respectively.

230 **(B)**  $\log_2(\theta\pi)$  ratios and  $F_{ST}$  values around the *GRIK2* locus and allele frequencies of  
231 nine SNPs within the *GRIK2* gene across nine duck populations. The black and red lines  
232 represent  $\log_2(\theta\pi_{wild}/\theta\pi_{domestic})$  ratios and  $F_{ST}$  values, respectively. The gray bar  
233 showed the region of under strong selection in *GRIK2* gene. The nine red rectangular frame  
234 corresponding to the locus on gene of nine SNPs. The SNPs were named according to their  
235 position on scaffold.

236 **(C)** The *PDC* gene showed different genetic signature in domesticated and wild duck.  
237  $\log_2(\theta\pi)$  ratios and  $F_{ST}$  values around the *PDC* locus. The *PDC* gene region was showed in  
238 gray par. Allele frequencies of seven SNPs within the *PDC* gene across nine duck populations.  
239 The SNPs were named according to their position on scaffold.

240 **(D)** The *PDC* gene expression level different in domesticated and wild duck. *PDC* mRNA  
241 expression levels in brain of wild (MDN, n=3; MDZ, n=4) and domesticated (PK, n=1; CV, n=1;  
242 ML, n=1; JD, n=1; SM, n=1; SX, n=1; GY, n=1) ducks. \*\*\*\**P* value from *t*-test ( $P<0.0001$ ).

243 Because domesticated ducks are known to differ from wild ducks in body  
244 size, body fat percentage, behavior, egg productivity, growth speed, and flight  
245 capability, we focused our analysis on GO annotations of neural related  
246 processes, lipid metabolism and energy metabolism, reproduction, and skeletal  
247 muscle contraction for our 292 putative positively selection genes. In this  
248 reduced data set, the neuro-synapse-axon and lipid-energy metabolism

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1 249 pathways were over-represented ([Supplemental Table S5](#)) in our list of genes  
2  
3 250 under selection.  
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6 251 From the highlighted GO terms, a total of 25 neuro-synapse-axon genes  
7  
8 252 were identified as under positive selection, with six (*ADGRB3*, *EFNA5*, *GRIN3A*,  
9  
10 253 *GRIK2*, *SYNGAP1*, and *HOMER1*) in the top 1% of  $F_{ST}$  and  $\theta_{\pi}$  ([Supplemental](#)  
11  
12 254 [Tables S6](#)). In particular, *GRIK2* (glutamate receptor, ionotropic kainate 2) and  
13  
14 255 *GRIN3A* (glutamate receptor, subunit 3A) both showed high  $F_{ST}$  and  $\theta_{\pi}$  value  
15  
16 256 compared to neighboring regions, suggesting functional importance ([Fig. 3B](#),  
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18 257 [Supplemental Table S4, S6](#)).  
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25 258 Beyond the neuronal genes, 115 genes were identified in the four lipid and  
26  
27 259 energy related pathways with high  $F_{ST}$  and  $\theta_{\pi}$  values, particularly related to  
28  
29 260 fatty acid metabolism. Among these genes, 37 genes were found with both  
30  
31 261 parameters yielding top 1% ranked values ([Supplemental Tables S6](#)), such as  
32  
33 262 phosphatidylinositol 3-kinase catalytic subunit type 3 (*PIK3C3*), and patatin like  
34  
35 263 phospholipase domain containing 8 (*PNPLA8*).  
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42 264 To infer whether selection extends beyond yielding novel allelic variation  
43  
44 265 by also affecting gene expression, we compared individual gene expression in  
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46 266 the brain, liver, and in breast muscle between seven wild mallards and seven  
47  
48 267 domesticated ducks with RNA-seq ([Supplemental Tables S7](#)). We detected  
49  
50 268 three genes (*PDC*, *MLPH*, and *NID2*) in the brain, two genes (*MAPK12* and  
51  
52 269 *BST1*) in the liver, and zero genes in breast muscle with significantly different  
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54 270 expression between wild and domesticated ducks. Of the five differentially  
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1 271 expressed genes, *PDC* was the only gene which also showed evidence of a  
2  
3 272 selective sweep at the genomic level ([Supplemental Tables S4, Fig. 3C - D](#)).  
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5  
6 273 The results imply that the *PDC* gene is of substantial functional importance in  
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9 274 phenotypic differentiation among wild and domestic ducks through both allelic  
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11 275 and expression differences.  
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## 14 276 **Discussion**

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19 277 Animal domestication was one of the major contributory factors of the  
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22 278 agricultural revolution during the Neolithic period, which resulted in a shift in  
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24  
25 279 human lifestyle from hunting to farming [15]. Since this transition, domesticated  
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28 280 animals have contributed greatly to human society and human population  
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31 281 growth by provision of stable animal protein, fat, and accessory products such  
32  
33 282 as leather and feathers (including down). Whole genome sequencing has made  
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36 283 it possible to illuminate the genetic trajectories of animal domestication such as  
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38  
39 284 those observed in pig [16], sheep [17], rabbit [18] and chicken [19,20].  
40

41 285 In this study, we performed whole-genome sequencing of 78 ducks  
42  
43  
44 286 including seven domesticate breeds and two wild populations. This is the first  
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46  
47 287 study to characterize the genetic architecture, phylogenetic relationships and  
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49  
50 288 domestication history of domesticated ducks and wild mallards. We first  
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52  
53 289 catalogued millions of 36.1M SNPs and 3.1M INDELS, and in both cases, we  
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55  
56 290 observed higher mean variant numbers and nucleotide diversity for the wild  
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58 291 mallard populations compared to the domestics, consistent with both a greater  
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1 292 panmictic mallard population as well as recent sweeps associated with  
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3 293 domestication.  
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6 294 Population structure and domestication  
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8  
9 295 We observed a large expansion of the duck population at the interglacial  
10  
11 296 period, which could be the result of beneficial climatic changes, including rising  
12  
13 297 temperatures and sea levels. In contrast, the glacial maximum coincided with a  
14  
15 298 much reduced duck population size, consistent with harsher conditions and  
16  
17 299 limited access to arctic breeding grounds [10,21-23]. The demographic pattern  
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19 300 we observe in wild ducks is similar to that observed in wild boars [16], wild yaks  
20  
21 301 [24], and wild horses [25]. However, it is worth noting that although PSMC is a  
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23 302 powerful method to infer changes in  $N_e$  over time, it is also sensitive to  
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25 303 deviations from a neutral model. The effects of genetic drift and/or selection  
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27 304 could lead to time-dependent estimates of mutation rate, and bias our estimates  
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29 305 of population expansion [12].  
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39 306 We observed three genetic clusters, with wild mallard, meat breeds, and  
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41 307 egg/dual purpose breeds each representing unique groups. These results  
42  
43 308 suggest either a single domestication event followed by subsequent breed-  
44  
45 309 specific selection, or two separate domestication events. In order to distinguish  
46  
47 310 alternative models of domestication, we modeled population demographics and  
48  
49 311 found strong support for a single domestication event roughly 2,100 years ago,  
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51 312 with the rapid subsequent selection for separate meat and egg/dual purpose  
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53 313 breeds roughly 100 generations later. We note that the evolutionary history of  
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1 314 wild mallards and domesticated duck breeds is likely to be more complex than  
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3 315 the simple demographic scenarios modelled here, and further studies may be  
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5  
6 316 needed to fully capture the evolutionary dynamics of duck domestication.  
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9 317 Nevertheless, time estimates obtained with our model are compatible with  
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11 318 previous written records from 500 BC [2].  
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### 13 319 Selection for white plumage

14  
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16 320 Plumage color is an important domestication trait, and we compared  
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19 321 breeds with white plumage to those with colored plumage. We identified high  
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21  
22 322 levels of divergence in the intronic region of the *MITF* gene, an important  
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25 323 developmental locus with a complex regulation implicated in pigmentation and  
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27  
28 324 melanocyte development in several vertebrate species [26-28], including  
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31 325 Japanese quail [29] and dog [30].  
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### 33 326 Selection for other domestication traits

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38 327 In order to identify those genomic regions which have been the target of  
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40  
41 328 selection during domestication, we used estimates of diversity between wild  
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43  
44 329 and domestic samples, retaining those 292 genes in the top 5% of both  $F_{ST}$  and  
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46  
47 330  $\theta_{\pi}$  values for further analysis. These genes were over-represented for both  
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49  
50 331 neural developmental and lipid metabolism, suggesting that these  
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52  
53 332 functionalities were under strong selection during domestication. Two loci,  
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55 333 *GRIK2* and *GRIN3A*, showed particularly strong signatures of genetic sweep  
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57  
58 334 associated with domestication. *GRIK2* encodes a subunit of a glutamate  
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1 335 receptor that has a role in synaptic plasticity and is important for learning and  
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3 336 memory. *GRIN3A* encodes a subunit of the N-methyl-D-aspartate (NMDAR)  
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5  
6 337 receptors, which is expressed abundantly in the human cerebral cortex [31] and  
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8  
9 338 is involved in the development of synaptic elements

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11 339 We also identified five genes with significantly different expression in the  
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14 340 brain and liver of domestics compared to their wild ancestor. One of these, *PDC*,  
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16  
17 341 also showed evidence of selective sweeps at the genomic level. *PDC* encodes  
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20 342 phosducin, a photoreceptor-specific protein highly expressed in retina and  
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23 343 pineal gland [32], as well as the brain [33].

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25 344 Our results suggest that *PDC*, *GRIK2* and *GRIN3A* may have played a  
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28 345 crucial role in duck domestication by altering functional regulation of the  
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31 346 developing brain and nervous system. This finding is consistent with theories  
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34 347 that behavioral traits are the most critical in the initial steps of animal  
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37 348 domestication, allowing animals to tolerate humans and captivity [34,35].  
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40 349 Indeed, compared to wild mallards, domestic ducks are more docile, less  
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43 350 vigilant, and show important differences in brain morphology [3,4]. Interestingly,  
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46 351 differential selection on brain and nervous system functions was also observed  
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48  
49 352 in domestication studies of rabbits [18], dogs [36], chickens [19]. In particular,  
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52 353 *GRIK2* was also found to play a crucial role during rabbit domestication [18].

53 354 Besides brain and nervous system related genes, we also identified  
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55  
56 355 several genes that play an important function in lipid and energy metabolism.

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58 356 For example, *PIK3C3* plays an important role in ATP binding but also regulates  
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1 357 brain development and axons of cortical neurons [37-41]. *PNPLA8* is involved  
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3 358 in facilitating lipid storage in adipocyte tissue energy mobilization and maintains  
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6 359 mitochondrial integrity [42,43], as well as plays a role in lipid metabolism  
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8  
9 360 associated with neurodegenerative diseases [44-46]. *PRKAR2B* is associated  
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11 361 with body weight regulation, hyperphagia, and other energy metabolism [47,48].

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14 362 Taken together, our results show that duck domestication was recent and  
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17 363 complex process, and the genetic basis of domestication traits show many  
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20 364 striking overlaps with other vertebrate domestication events.

## 21 22 23 365 **Methods**

### 24 25 26 27 366 Ethics statement

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31 367 The entire procedure was carried out in strict accordance with the protocol  
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34 368 approved by the Animal Welfare Committee of China Agricultural University  
35  
36  
37 369 (Permit Number: XK622).

### 38 39 40 41 370 Sample selection

42  
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45 371 78 ducks were chosen for sequencing, seven different populations of  
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47  
48 372 domesticated ducks and two population of mallards from different geographic  
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50  
51 373 regions. The domesticated ducks include three meat type populations *i.e.*,  
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53 374 Pekin duck (PK; n=8); Cherry Valley duck (CV; n=8); Maple Leaf duck (ML; n=8),  
54  
55  
56 375 three egg type populations *i.e.*, Jin Ding duck (JD; n=8); Shao Xing duck (SX;  
57  
58  
59 376 n=8); Shan Ma duck (SM; n=8), one egg and meat dual-purpose type (DP type)

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1 377 population *i.e.*, Gao You duck (GY; n=8), and two wild populations come from  
2  
3 378 two different provinces in China with separated by nearly 2,000 km distance *i.e.*,  
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5  
6 379 Mallard from Ningxia province (MDN; n=8); Mallard form Zhejiang province  
7  
8  
9 380 (MDZ; n=14). The classification of production types follow the description of  
10  
11 381 Animal Genetic Resources in China Poultry [49]. PK, CV, and ML ducks  
12  
13 382 originated from Beijing; JD and SM ducks originated from Fujian province while  
14  
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16 383 SX and GY ducks originated from Jiangsu province. Whole blood samples were  
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19 384 collected from brachial veins of ducks by standard venipuncture.  
20  
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22 385 In addition, 14 male ducks (MDNM, n=3; MDZM, n=4; PKM, n=1; CVM,  
23  
24 386 n=1; MLM, n=1; JDM, n=1; SMM, n=1; SXM, n=1; GYM, n=1) were chosen for  
25  
26  
27 387 RNA-seq.  
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30 388 Sequencing and mapping statistic of individual ducks in genome and  
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32 389 transcriptome analysis were detailed in supplementary files ([Supplemental](#)  
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34 390 [Table S1, S7](#)).  
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### 40 391 Sequencing and library preparation

41  
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44 392 Genomic DNA was extracted using standard phenol/chloroform extraction  
45  
46 393 method. For each sample, two paired-end libraries (500 bp) were constructed  
47  
48  
49 394 according to manufacturer protocols (Illumina), and sequenced on the Illumina  
50  
51  
52 395 HiSeq 2500 sequencing platform. From each populations, we sequenced seven  
53  
54  
55 396 samples at 5X depth and one at 10X coverage, except for the MDN population,  
56  
57  
58 397 where we sequenced seven individuals at 5X coverage and one at 20X  
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1 398 coverage and the MDZ population, where we sequenced all individuals at 10X  
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3 399 coverage. We generated a total of 628.37 Gb of paired-end reads of 100 bp (or  
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5  
6 400 150 bp; MDZ) length ([Supplemental Table S1](#)).

7  
8  
9 401 mRNA from brain, liver, and breast muscle of 14 individual ducks were  
10  
11 402 extracted using standard trizol extraction methods. Two paired-end libraries  
12  
13 403 (500 bp) were constructed according to manufacturer instruction (Illumina). All  
14  
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16  
17 404 samples were sequenced by Illumina Hiseq 4000 sequencing platform, with  
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19  
20 405 32M paired-end 150 bp mapped reads per sample after QC ([Supplemental](#)  
21  
22 406 [Table S7](#)).

#### 23 24 25 26 407 Read alignment and variant calling

27  
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29  
30 408 To avoid low quality reads, mainly the result of base-calling duplicates and  
31  
32  
33 409 adapter contamination, we filtered out sequences according to the default  
34  
35  
36 410 parameters of NGS QC Toolkit [50]. Those paired reads which passed  
37  
38  
39 411 Illumina's quality control filter were aligned using BWA-MEM (v0.7.12) to  
40  
41 412 version 1.0 of the *Anas platyrhynchos* genome (BGI\_duck\_1.0) [1]. Duplicate  
42  
43  
44 413 reads were removed from individual samples alignments using Picard tools  
45  
46  
47 414 MarkDuplicates, and reads were merged using MergeSamFiles  
48  
49  
50 415 (<http://broadinstitute.github.io/picard/>).

51  
52 416 The Genome Analysis Toolkit (GATK, v3.5) RealignerTargetCreator and  
53  
54  
55 417 IndelRealigner protocol were used for global realignment of reads around  
56  
57  
58 418 INDELS before variant calling [51,52]. SNPs and small indels (1-50 bp) were  
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1 419 called used the GATK UnifiedGenotyper set for diploids with the parameter of  
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3 420 minimum quality score of 20 for both mapped reads and bases to call variants,  
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5  
6 421 similarly to previous studies [53-57]. We filtered variants both per population  
7  
8  
9 422 and per individual using GATK according to the stringent filtering criteria. For  
10  
11 423 SNPs of population filter: a.) QUAL > 30.0; b.) QD > 5.0; c.) FS < 60.0; d.) MQ >  
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13 424 40.0; e.) MQRankSum > -12.5; f.) ReadPosRankSum > -8.0; Additionally, if  
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17 425 there were more than 3 SNPs clustered in a 10 bp window, all three SNPs were  
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19  
20 426 considered as false positives and removed [58].  
21

22 427 We used the following population criteria to identify INDELS: QUAL > 30.0,  
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24  
25 428 QD > 5.0, FS < 200.0, ReadPosRankSum > -20.0. Of individual filter, we also  
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27  
28 429 removed all INDELS and SNPs where the depth of derived variants was less  
29  
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31 430 than half the depth of the sequence. All SNPs and INDELS were assigned to  
32  
33  
34 431 specific genomic regions and genes using SnpEff [59] based on the Ensembl  
35  
36 432 duck annotations. After filtering a total of 36,107,949 SNPs and 3,082,731  
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39 433 INDELS were identified ([Supplemental Table S2](#)).  
40

#### 41 42 43 434 SNP validation 44

45  
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47 435 In order to evaluate the reliability of our data, we compared our SNPs to  
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50 436 the duck dbSNP database deposited in the Genome Variation Map (GVM) at  
51  
52  
53 437 the Big Data Center in the Beijing Institute of Genomics, Chinese Academy of  
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55 438 Science (<http://bigd.big.ac.cn/gvm/>). 7,908,722 SNPs were validated in the  
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57  
58 439 duck dbSNP database, which covered 96.2% of the database ([Supplemental](#)  
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1 440 [Table S2](#)). For the 28,199,227 SNPs not confirmed by dbSNPs, 390 nucleotide  
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3 441 sites were further validated by PCR with 100% accuracy, indicating the high  
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6 442 reliability of the called SNP variation identified in this study.  
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### 10 443 Population structure

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13  
14 444 We removed all SNPs with a minor allele frequency (MAF)  $\leq 0.1$  and kept  
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16 445 only SNPs that occurred in more than 90% of individuals. Vcf files were  
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19 446 converted to hapmap format with custom perl scripts, and to PLINK format file  
20  
21  
22 447 by GLU v1.0b3 (<https://code.google.com/archive/p/glu-genetics/>) and PLINK  
23  
24  
25 448 v1.90 [60,61] when appropriate. We used GCTA [62] for Principle Component  
26  
27  
28 449 Analysis (PCA), first by generating the genetic relationship matrix (GRM)  
29  
30  
31 450 followed by the first 20 eigenvectors.  
32

33 451 We used all high quality SNPs to infer population structure using FRAPPE  
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35  
36 452 1.1 [7], with 10,000 iterations per run.  
37

38  
39 453 A distance matrix was generated by calculating the pairwise allele sharing  
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41  
42 454 distance for each pair of all high quality SNPs. Multiple alignment of the  
43  
44  
45 455 sequences was performed with MUSCLE [63]. A neighbor-joining maximum  
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47  
48 456 likelihood phylogenetic tree was constructed with the DNAML program in the  
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50  
51 457 PHYLIP package v3.69 [64] and MEGA7 [65,66]. All implementation was  
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54 458 performed according to the recommended manipulations of SNPhylo [67].  
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1     459     Demographic history reconstruction

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4     460           The demographic history of both wild and domesticated ducks was inferred  
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7     461     using a hidden Markov model approach as implemented in Pairwise  
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9  
10    462     Sequentially Markovian Coalescence based on SNP distributions [8]. In order  
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13    463     to determine which PSMC settings were most appropriate for each population,  
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15  
16    464     we reset the number of free atomic time intervals (-p option), upper limit of time  
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19    465     to most recent common ancestor (TMRCA) (-t option), and initial value of  $r =$   
20  
21  
22    466      $\theta/\rho$  (-r option) according to previous research [12] and online suggestions by  
23  
24  
25    467     Li and Durbin (<https://github.com/lh3/psmc>). Based on estimated from the zebra  
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27    468     finch genome, an average mutation rate ( $\mu$ ) of  $2.95 \times 10^{-9}$  per base per  
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30    469     generation and a generation time (g) of 1 year were used for analysis [68,69].

31           Three-population demographic inference was performed using a diffusion-  
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34    471     based approach as implemented in the program  $\partial a \partial i$  [70]. To minimize potential  
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37    472     effects of selection that could interfere with demographic inference, these  
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40    473     analyses were performed using the subset of noncoding regions across the  
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43    474     whole genome and spanning 750,939,264 bp in length. Noncoding SNPs were  
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46    475     then thinned to 1% to alleviate potential linkage between the markers. The final  
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49    476     dataset consisted of 95,181 SNPs with an average distance of 7,112 bp ( $\pm$   
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51  
52    477     18,810 bp) between neighbouring SNPs. To account for missing data, the  
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55    478     folded allele frequency spectrum for the three populations (wild, meat and  
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57    479     egg/dual purpose breeds) was projected down in  $\partial a \partial i$  to the projection that  
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60    480     maximized the number of segregating SNPs, resulting in 92,966 SNPs.

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1 481 We tested four different scenarios to reconstruct the demographic history  
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3 482 of the domesticated breeds of mallards: simultaneous domestication of the  
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6 483 meat and egg and dual purpose breeds (Model 1); a single domestication event  
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8  
9 484 followed by divergence of the meat and egg and dual purpose breeds (Model  
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11  
12 485 2); two independent domestication events, with the meat type breed being  
13  
14 486 domesticated first (Model 3); and two independent domestication events, with  
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16  
17 487 the egg and dual purpose breed being domesticated first (Model 4). Using the  
18  
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20 488 “backbone” of the best model, we then used a step-wise strategy to add  
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23 489 parameters related with variation in population sizes and population growth,  
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25  
26 490 keeping a new parameter only if the Akaike information criterion (AIC) and log  
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29 491 likelihood improved considerably over the previous model with less parameters.  
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31 492 In cases where additional parameters resulted in negligibly improved AIC and  
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34 493 likelihood, we retained the simpler, less parameterized model. Gene flow was  
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37 494 modelled as continuous migration events after population divergence. Each  
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40 495 model was run at least ten times from independent starting values to ensure  
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43 496 convergence to the same parameter estimates. We rejected models where we  
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46 497 failed to obtain convergence across the replicate runs. Scaled parameters for  
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48  
49 498 the best-supported model were transformed into real values using the same  
50  
51  
52 499 average mutation rate ( $\mu$ ) and ( $g$ ) as described above for the PSMC analysis.  
53  
54  
55 500 Parameter uncertainty was obtained using the Godambe Information Matrix  
56  
57  
58 501 (GIM) [71] from 100 non-parametric bootstraps.  
59  
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1     502     Selective-sweep analysis

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3  
4     503             In order to define candidate regions having undergone directional selection  
5  
6  
7     504     during duck domestication we calculated the coefficient of nucleotide  
8  
9     505     differentiation ( $F_{ST}$ ) between mallards and domesticated ducks described by  
10  
11  
12     506     Weir & Cockerham [72]. We calculated the average  $F_{ST}$  in 10kb windows with  
13  
14  
15     507     a 5 kb shift for all seven domesticated duck populations combined, and two  
16  
17  
18     508     mallard populations combined. Only scaffolds longer than 10 kb, 2368 of 78488  
19  
20  
21     509     scaffolds, were chosen for the analysis. We transformed observed  $F_{ST}$  values  
22  
23  
24     510     to Z transformation ( $Z(F_{ST})$ ) with  $\mu = 0.1154$  and  $\sigma = 0.0678$  according to  
25  
26  
27     511     previously described methods [73].

28  
29     512             To estimate levels of nucleotide diversity ( $\pi$ ) across all sampled  
30  
31  
32     513     populations we used the VCFtools software [74] to calculate  $\theta\pi(\text{wild}/$   
33  
34  
35     514     domesticated) [75], computing the average difference per locus over each pair  
36  
37  
38     515     of accessions. As the measurement of  $F_{ST}$ , averaged  $\pi$  ratio ( $\theta\pi(\text{wild}/$   
39  
40  
41     516     domesticated)) was calculated for each scaffold in 10kb sliding windows.

42  
43     517             Functional classification of GO categories was performed in Database for  
44  
45  
46     518     Annotation, Visualization and Integrated Discovery (DAVID, ver 6.8) [76].  
47  
48  
49     519     Statistical significance was accessed by using a modified Fisher's exact test  
50  
51  
52     520     and Benjamini correction for multiple testing.

53  
54  
55     521     RNA-seq and data processing

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58  
59     522             High-quality reads were mapped to reference genome using STAR  
60  
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1 523 (v.2.5.3a) [77]. The *featureCounts* function of the *Rsubread* (v.1.5.2) [78,79]  
2  
3 524 was used to output the counts of reads aligning to each gene. We detected the  
4  
5  
6 525 differential expression genes with edgeR [80-83] using a  $p_{adj} < 0.05$  threshold.  
7  
8  
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## 10 526 **Data Access**

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13  
14 527 All duck sequence data had been submitted to Genome Sequence Archive  
15  
16 528 (GSA) database of BIG Data Center in Beijing Institute of Genomics (BIGD)  
17  
18  
19 529 with accession number of CRA000523.  
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22

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44 537 the manuscript.  
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## 47 538 **Author contributions**

48  
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50  
51 539 Conceived and designed the experiments: Lujiang Qu. Wrote the paper:  
52  
53  
54 540 Zebin Zhang. Revised the paper: Lujiang Qu, Judith E Mank, Marcel van Tuinen.  
55  
56  
57 541 Analyzed the data: Zebin Zhang, Pedro Almeida, Qiong Wang, Yaxiong Jia.  
58  
59  
60 542 Performed the experiments: Zebin Zhang, Yaxiong Jia. Contributed  
61  
62  
63  
64  
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1 543 reagents/materials: Zhihua Jiang, Yu Chen, Kai Zhan, Shuisheng Hou,  
2  
3 544 Zhengkui Zhou, Huifang Li, Fangxi Yang, and Yong He.  
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7 **545 References**  
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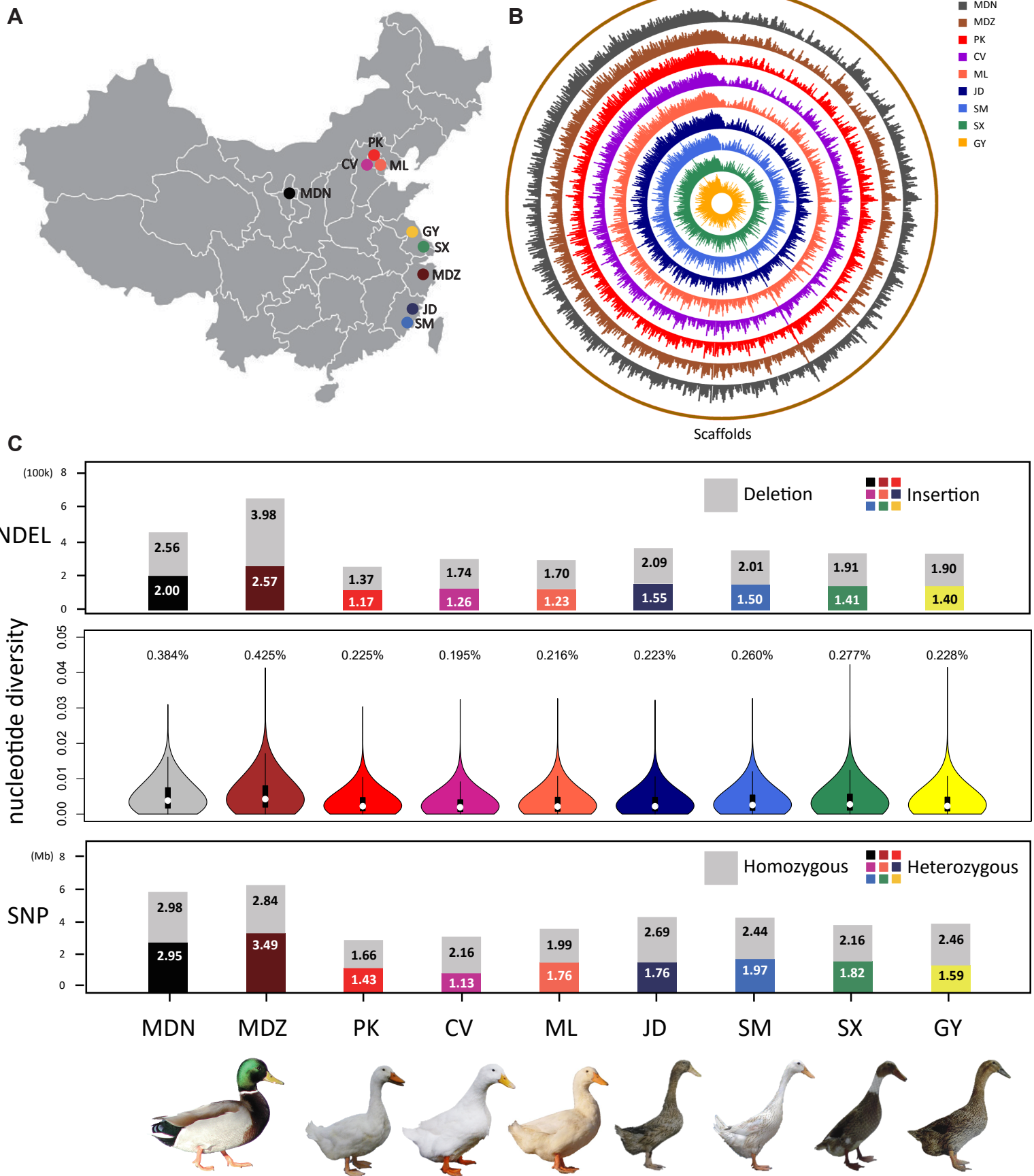
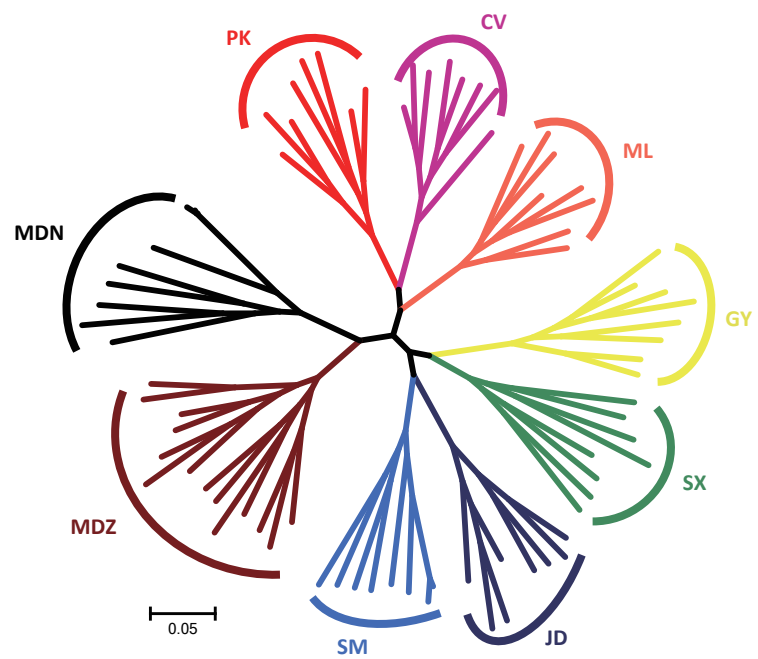


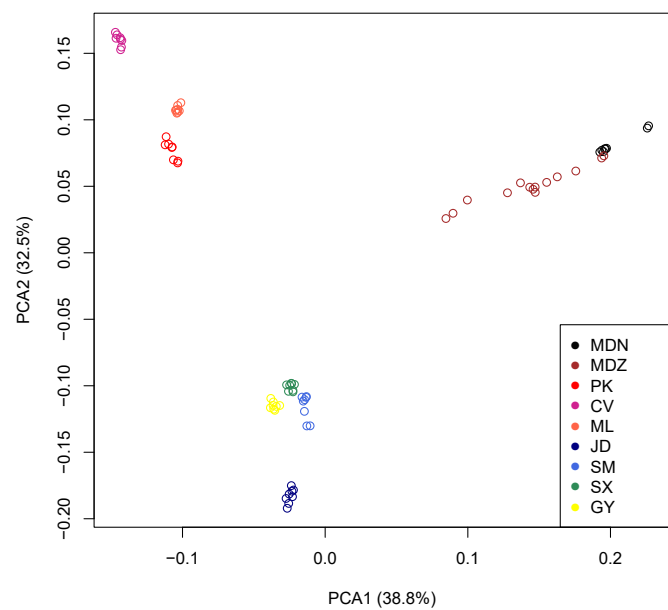
Figure 2

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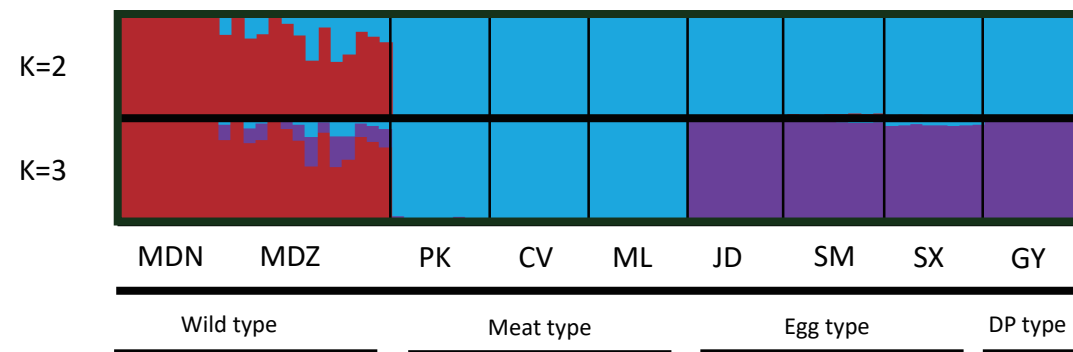
**A**



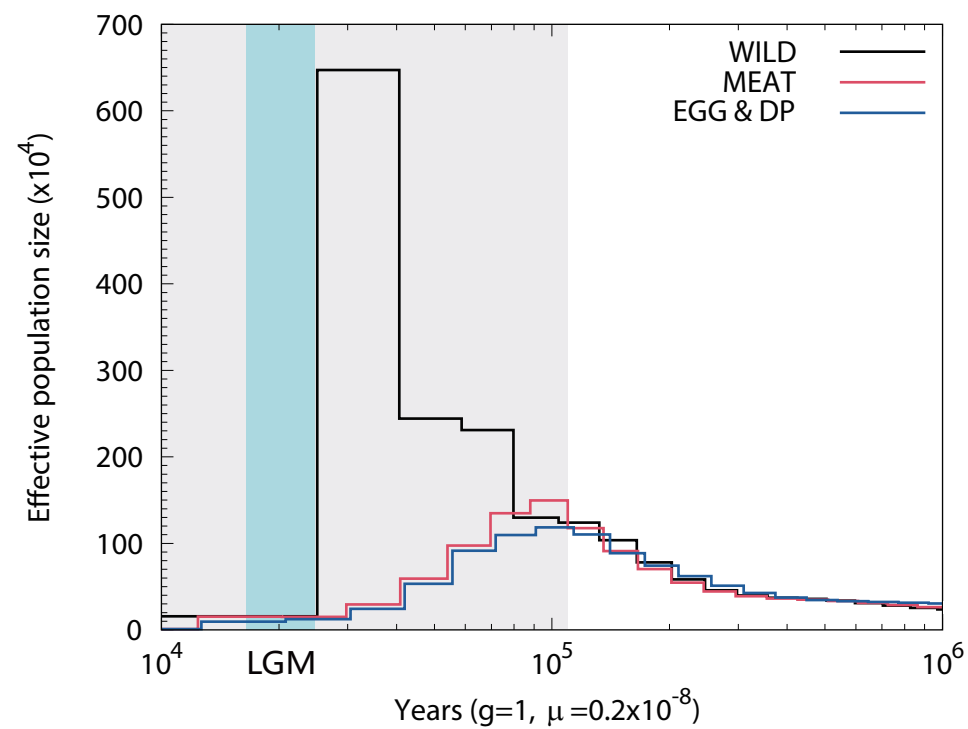
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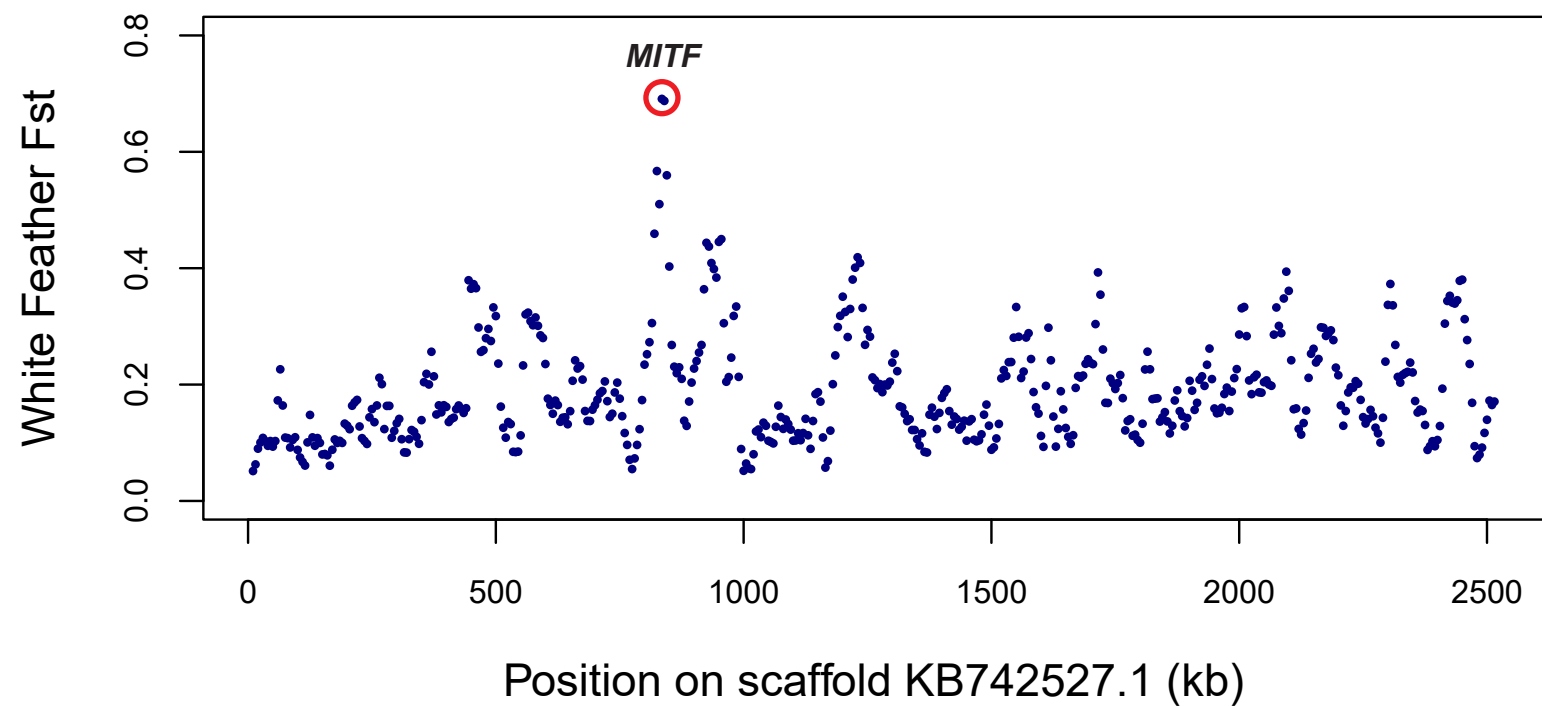
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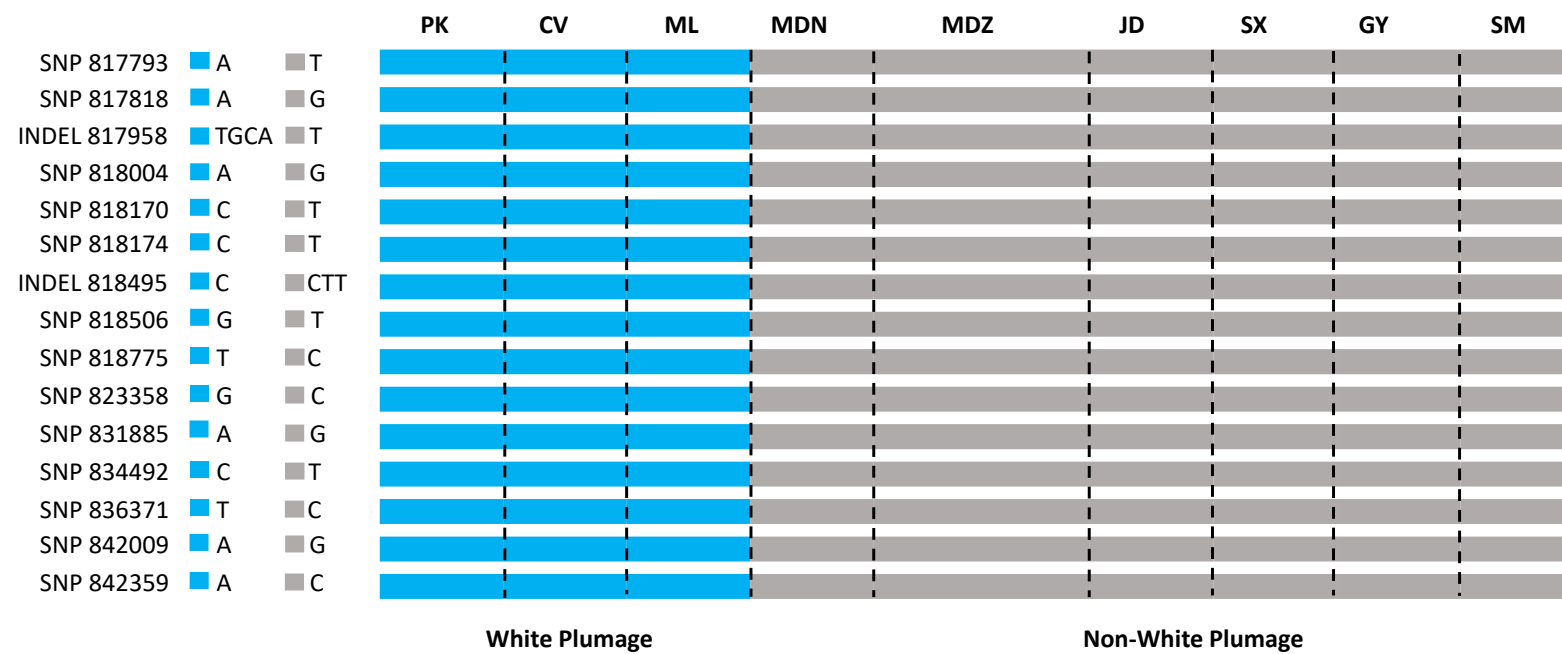
**D**



A

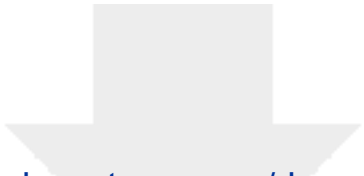


B

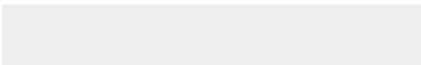









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