# **GigaScience** A workflow for simplified analysis of ATAC-cap-seq data in R

--Manuscript Draft--



included as comments in general or that did not need any action in the manuscript. I have answered those as if they were suggestions for changes or comments on the work itself. I have pasted below a point by point response to the reviewer.

Many thanks for your work

Dan MacLean

Point-by-point response

> 1. I am familiar with capture experiments. However, it is customary and good scientific practice to cite previous papers that have used a given technique, particularly when publishing analysis methods for said techniques.

> I also understand that this is a software application manuscript, but usually, software is written to analyze data from existing experiments and therefore proper contextualization is needed.

> Aren't there any papers published employing ATAC-seq followed by capture? I am wondering if the authors are proposing this method? If so, this should be clear in the text. The way it's written, it seems like ATAC-cap-seq is an established technique that has been used elsewhere.

> This manuscript must properly contextualize this tool and the authors should therefore state that they are proposing this technique. The description of the procedure now provided to reviewer 2 seems adequate, but as there is literature about captureseq techniques, they should be cited.

The reviewer is correct, existing methodology should be cited, but as we pointed out in the previous response there are no prior ATAC-cap-seq experiments. We have cited some capture-sequence experiments. We understand the direction of the reviewers comments and sympathise, but we dont feel it fair or balanced to suggest that our approach is not following proper scientific practice because we have not cited, when (as we have pointed out in the previous responses) no such specific citation exists.

The reviewer makes the point that "usually, software is written to analyze data from existing experiments", and we think the key word here is "usually". In a lot of experiments you do get a situation where a biologist runs ahead and generates a lot of data and usually this is done without considering how the data are to be analysed. It will be something farmed out to a bioinformatician/statistician to deal with as best as can be done given the often poor state of the experimental design. Experiments are better designed if proper consideration of how to analyse them is done at the design phase. We have tried to do that here hence our workflow for a data type that is not yet directly citable as an extant, peer-reviewed published data set. We have plenty of samples for this in hand, but these have not gone through the long process of completing the biological experiments and further the publishing process so cannot yet be cited directly. However, ATAC-seq is cited, Cap-seq is cited and are known enough such that the two types can be combined to simulate and prepare software to analyse with.

The reviewer creates something of a false dichotomy when they state "the authors should therefore state that they are proposing this technique.". Im not sure we are proposing the technique, it may not be published elsewhere, but there also isn't a real example of it and its biological application in this manuscript. Furthermore we don't believe its such a novel idea that it needs to be proposed in such a sense. Combination of DNA extracted from some sample and then enrichment is a trivial idea at this time. We analyse simulated data to assess a workflow for data of that type. I think we should be wary of implying priority trying to claim priority for ATAC-cap-seq when no physical experiment exists here.

> I suggest rewriting the 2 first paragraphs of the introduction:

> - do not mention ATAC-cap in the first paragraph. Replace ATAC-cap-seq with ATAC-seq in the first sentence.

> - start a new paragraph to describe capture (paragraph 2).

> - move "Capture-seq is a cost-effective alternative..." to the the new paragraph 2 above.

> - it should be kept in mind that while capture-seq experiments are useful to target

small sequencing spaces, the user still needs to sequence the data. This means either sharing a lane with other users, which complicates logistics, or pooling several replicates and experiments, which also complicates logistics. There is also an upfront cost to purchase baits, which only makes sense if capturing large numbers of replicates or experiments.

> - as ATAC-cap-seq doesn't seem to have been used in any publications, it shouldn't be mentioned as if it is an existing method. Instead, it should be presented as a new possibility proposed by the authors and put in the context of other capture-seq methods. I would suggest something like "Similarly to other methods (refs, examples, etc), one could envision coupling ATAC-seq with capture..." > - present the software

We have approached the re-contextulisation by re-wording the first sentence to read: "ATAC-cap-seq can be conceptualised as a combination of two pre-existing, widelyused methods: the high-throughput sequencing of DNA from targeted enrichment capture performed on DNA fragments obtained from prior Assay for Transposase-Accessible Chromatin (ATAC)". Furthermore we have added the following penultimate sentence to the end of the first paragraph "It is a trivial step to consider combining ATAC-seq and capture to use the advantages of each in a single experiment. However, doing so will raise new analytic concerns, discussed more fully below."

We have done the above rather than applying the suggested edit, the suggested edit boils down to removing the first sentence which very briefly summarises ATAC-cap-seq and jumping straight into describing the preliminary upstream ATAC-step. In our view the first sentence gives a very high overview of what is expanded on subsequently and avoids giving the impression that the main object is ATAC-seq The other suggested edits seem to follow the flow of the manuscript as it is anyway (describe atac-seq, new paragraph, describe capture, present software).

> 2. Can atacr be used for other capture data, for example ChIP-seq? Are there any parameters that are tuned for ATAC? Why did the authors choose to focus on ATAC?

In principal, yes, atacR could be used on ChIP-seq, but its probably not the most straightforward workflow for the beginning ChIP-seq analyst. A bench biologist trying to analyse ChIP-seq data would be best served by the numerous whole genome workflows that are avaialbe for that sort of data. The advantage of atacR for reduced representation data is that it packages up the fiddly and code heavy subsetting and cross-referencing of the regions corresponding to the baits from the whole genome and makes it easy for the beginning user to work with particular reference to the regions of interest.

We developed atacR because it is the data problem we have in hand and for which we found a useable solution lacking. atacR helps bridge the gap between the ChIP-seq tools and the ATAC-cap-seq problem.

> 3. My comment about window stitching was in reference to tiling experiments with overlapping windows, such as the region chr1:244,889-249,963 in the atacr example data. It's now clear that atacr will not stitch consecutive windows that are all differential, but merely report multiple windows, even if they are redundant.

We are pleased that the clarification was good enough to explain the operation of atacR.

> 4. The comment above is related to my previous comment on a comparison between atacr and existing peak caller approaches, which wasn't about peak calling itself, but about the differential windows. In the "peak caller" approach, users usually first find peaks, overlap them across replicates and expand them, count reads and perform differential count comparison. One contiguous region will be reported as differential. In atacr, this same region could be reported as a number of small regions, depending on the size of the baits (and likely if used with ChIP of certain histone marks), hence my curiosity to see how the two approaches compare.

Thanks to the reviewer for clarifying the nature of the comment, which we believe we

answered in the earlier response.

> 5. What data is being plotted in the PCA? Raw counts or log transformed? Normalized? Lack of normalization and raw counts could explain the poor grouping of the samples. Normalized, log transformed data should be used instead for exploration of the data.

It is not clear to what the reviewer is referring. We presume that the PCA is the \_example\_ of how to generate a PCA plot in the atacR documentation/help as no PCA is done or concluded from or referred to in the manuscript. With regard to the PCA in the help document - that PCA is done on non-normalised data, as is clear from the tutorial and the arguments to the function made therein. The didactic structure of the tutorial document makes it easier to introduce the function as a QC/investigatory function before we get to the thornier issue of normalisation. In any analysis the user is able

to run the PCA plot at any time, any number of times on any section of the data, so can easily do it before or after normalisation, according to what the user thinks is a good approach for their experiment.

> 6. The Goodness of fit normalization method relies on the existence of several windows that are invariable. What is the minimum number/proportion of control windows that the user should specify? Some recommendation should be provided so users can design their baits accordingly.

Goodness of Fit is more subtle than that. It actually bridges the gap between using an invariant set of windows approach (which is accomodated in atacR by an appropriate function as described in the manuscript and tutorial/documentation) and total-count based normalisation. GOF normalisation will find the least variable windows within a threshold - it does not rely on an absolutely invariant set. The algorithm for computing this set is dynamic and if the windows are too variable a good set of invariant windows will not be found. The windows that are deemed invariant can conceivably be different from sample to sample. The original PoissonSeq paper in which the GoF normalisation is developed is cited.

We do not think it is wise to recommend a proportion of windows for users to design their baits. There isn't enough data available for us to make such a calculation and every experimental system would be different. We would hate if a number we suggested on not very broad data became used. If an experimenter is to choose to use an invariant set then they should be responsible for determining the proportion needed based on the variability in their experimental setup.

> 7. As far as I know, edgeR requires raw counts and scaling factors instead of normalized counts. The authors should check whether their normalization doesn't violate edgeR assumptions.

This is correct. But we don't force users to put normalised data through edgeR. We provide two other functions that are suitable for the normalised data and provide edgeR for situations that are appropriate - when data have only a few expected changing windows. The analysis on data described in the manuscript do not use any normalisations.

> 8. Why is the term "gene" used (for example in the normalization vignette and in Figure 2)?

In this data, the baits correspond to genic regions. It is a simple mix-up in terms while writing and has been corrected.

> 9. Regarding my comment in submission 1, in Figure 1E, on the extreme left, the sample labeled as control\_003 has a very tall bar, while the other 2 control samples have very low bars. Two treatment samples have high bars in the same location, so maybe this was a sample swap - although it could be variation in the data (in which case more samples would be needed to confirm this difference).





#### [Click here to view linked References](http://www.editorialmanager.com/giga/viewRCResults.aspx?pdf=1&docID=906&rev=2&fileID=42880&msid=0d4b9d4d-edad-442e-a9cb-14db4d39e4e4)



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**Paper**

# A workflow for simplified analysis of ATAC-cap-seq **data in R**

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#### **Abstract**

**Background** ATAC-cap-seq is a high-throughput sequencing method that combines ATAC-seq with targeted nucleic acid enrichment of precipitated DNA fragment. There are increased analytical difficulties arising from working with a set of regions of interest that may be small in number and biologically dependent. Common statistical pipelines for RNAseq might be assumed to apply but can give misleading results on ATAC-cap-seq data. A tool is needed to allow a non-specialist user to quickly and easily summarise data and apply sensible and effective normalisation and analysis. **Results** We developed atacR to allow a user to easily analyse their ATAC enrichment experiment. It provides comprehensive summary functions and diagnostic plots for studying enriched tag abundance. Applying between-sample normalisation is made straightforward and functions for normalising based on user-defined control regions, whole library size and regions selected from the least variable regions in a dataset are provided. Three methods for detecting differential abundance of tags from enriched methods are provided, including Bootstrap *t*, Bayes Factor and a wrapped version of the standard exact test in the edgeR package. We compared the precision, recall and F-score of each detection method on resampled datasets at varying replicate, significance threshold and genes changed, we found that the Bayes factor method had greatest overall detection power, though edgeR was slightly stronger in simulations with lower numbers of genes changed. **Conclusions** Our package allows a non-specialist user to easily and effectively apply methods appropriate to the analysis of ATAC-cap-seq in a reproducible manner. The package is implemented in pure R and is fully interoperable with common workflows in Bioconductor.

Key words: ATAC-seq; capture-seq; RNAseq; genomics; R; workflows;

### **Introduction**

ATAC-cap-seq can be conceptualised as a combination of two pre-existing, widely-used methods: the high-throughput sequencing of DNA from targeted enrichment capture performed on DNA fragments obtained from prior Assay for Transposase-Accessible Chromatin (ATAC)[\[1\]](#page-9-0). ATAC-seq allows for rapid detection of accessible chromatin that may indicate open chromatin, DNA-binding protein binding sites and nucleosome position. As ATAC-seq is fast and requires low amounts of input material [\[2\]](#page-9-1) it is a popular and widely applicable assay used in a range of developmental [\[3,](#page-9-2) [4\]](#page-10-0) , medical [\[5,](#page-10-1) [6\]](#page-10-2), environmental [\[7,](#page-10-3) [8\]](#page-10-4) and technical studies [\[9\]](#page-10-5). Targeted sequence capture uses oligonucleotide baits to extract specific DNA fragments from a

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mixture and when combined with ATAC-seq allows an increase in sensitivity of detection and throughput for particular preselected genome regions at the expense of genome wide detection. It is a trivial step to consider combining ATAC-seq and capture to use the advantages of each in a single experiment. However, doing so will raise new analytic concerns, discussed more fully below. ATAC-cap-seq does not show that chromatin is open in general, unless baits are tiled deliberately across continuous wide regions.

A typical ATAC-cap-seq may be done by beginning with an ATAC-seq library as described previously [\[2\]](#page-9-1). Next, small (approximately 9 nt) indexed sequence barcodes can be used to amplify the ATAC libraries, Fragments are size selected, e.g. using SageELF to enrich sequences between 300bp and 1.2kb to give a uniform size distribution for multiplexing samples and replicates. Baits are designed and synthesised as 120 nt single-strand RNA baits covalently bound to biotinylated magnetic beads. These can be used in sequence capture with the multiplexed ATAC libraries. Libraries are quality checked then sequenced. Capture-seq  $[10, 11]$  $[10, 11]$  $[10, 11]$  is a cost-effective alternative to expensive whole genome analysis. Scientists can focus on loci of interest and multiplex multiple samples and data types for the same sequencing cost as a single whole genome sample.

Analysis of sequence reads from ATAC-seq begins with mapping and alignment to a genome followed by peak detection to identify read enriched regions. A wide range of tools have been developed to perform peak finding, notably MACS [\[12\]](#page-10-8), HOMER [\[13\]](#page-10-9) and SICER [\[14\]](#page-10-10). In these the genome is divided into windows and the read counts in those analysed. RNAseq packages that deal with read counts post-mapping work on estimates of read counts corresponding to regions that can be thought of as windows that represent genes or transcripts. The edgeR [\[15\]](#page-10-11) and DESeq [\[16\]](#page-10-12) packages implement Negative Binomial models to estimate differential counts be-tween samples. The Bioconductor [\[17\]](#page-10-13) package csaw uses fixed width windows across the entire genome [\[18\]](#page-11-1).

The enrichment capture step can produce a data set with characteristics that mean workflows designed for many thousands of windows may not give best results. In particular the number of regions represented in the target set may be small (many tens rather than some thousands). Also the selected regions in an enrichment capture experiment are likely to be related biologically and can conceivably co-vary as a small number or even a single unit. The count of each feature is also dependent on the magnitude of its abundance, the capture step results in over-representation of highly abundant features in the captured mixture. These unique features of ATAC-cap-seq data mean that normalisation and differential count estimation must be applied carefully.

The tools and methods for solving this problem already exist, but they have not been used together frequently in bioinformatics analysis, which have tended toward whole genome, non-enriched sample analysis. Consequently a non-specialist user may find it difficult to bring useful methods together. Hence a workflow that is based around these methods would prove useful to those beginning ATAC-cap-seq analysis from a non-specialist background.

#### **Findings**

A key aim of our atacR package is to allow the user to easily assess the success of their ATAC enrichment experiment and determine what further preparative work is required. It achieves this with comprehensive summaries and functions for diagnostic plots. Applying between sample normalisation is made straightforward. Functions to apply pre-selected control gene normalisation, library size normalisation or normal-

isation based on the least varying regions in the sample are implemented. Differential count estimation functions for the application of edgeR exact-test, bootstrap *t*-tests and a Bayes factor *t*-test are provided. The package is implemented in pure R, it's base objects are standard Bioconductor and as such is designed to be fully interoperable with common workflows in the Bioconductor framework.

#### **Workflow**

The atacR workflow is based around three major steps - data loading and inspection, identification of best targets to use for normalisation and detection of differential count estimates. The package provides functions that make each step of the workflow straightforward and helps to make these potentially complex analyses more reproducible and the components reuseable in different contexts. Tutorial vignettes are provided that can be loaded directly from the R console.

#### *Loading*

The atacR package relies on Bioconductor SummarizedExper-iment [\[19\]](#page-11-2) container objects to record counts in user defined windows. Window locations, BAM file paths and associated sample information are specified from GFF files provided by the user. Read counts are loaded and calculated from BAM using the windowCounts method in R csaw [\[18\]](#page-11-1) or Rsamtools [\[20\]](#page-11-3). A single function allows loading and read filtering directly from BAM files. The atacR package prepares these data into structures suitable for downstream analysis.

#### *The atacr object*

The atacr object describes sample metadata, bait locations and the counts in target and non-target windows. Generic summary and plot methods are available that quickly present diagnostic information from which the success of the experiment with respect to read alignment to on/off targets can swiftly be ascertained. Functions operating on this object each have a 'by' parameter which allows the user to specify on/off target subsets to analyse. As the atacr object is essentially an R list, new data containing the counts after application of any processing step can be added to a custom slot and analysed using atacr functions in the same syntax.

#### *Diagnostic plots and normalisations*

Data in the atacr object can be assessed for sample bias using specialised plot functions on a per sample and treatment basis, Plots can be generated using functions for whole sample count histograms, chromosome coverage density, MA plots, heatmaps comparing sample counts, density plots of genome regions designated on/off target and density plots of variability in regions nominated as normalisation controls. See Figure [1](#page-9-3) for examples.

atacR provides a small set of useful normalisation methods applicable to small sets of target windows or those in which the large proportion show the same change in differential accessibility. A straightforward library size normalisation is provided. For most ATAC purposes this will be underpowered, because the low number of windows or high proportions of changing windows will cause skew between samples. This method useful when the experiment has reasonably high counts (> 20 mean) and it is certain few windows  $($  < 10%) will display differential counts. The atacR package also implements a dynamic method based on estimating the Goodness of Fit (GoF) measure described in [\[21\]](#page-11-4). This method calculates GoF, a window/gene level measure of variability across all samples and selects the windows with lowest GoF as the subset on which to normalise. It is fast, automatically finds the least varying and best fea<span id="page-8-0"></span>**Table 1.** Parameters for simulated datasets



\*For Bayes Factor runs, signicance levels were Bayes Factor of 1.1, 1.5 and 2 were used.

tures in the data to normalise with and does a reasonable job of between-sample normalisation. It is usually the best one to choose. It is particularly useful when it is not known whether many windows will be changing or just a few will be, as it should perform the same regardless. Further to library size and GoF a user-led method is provided in which control windows corresponding to regions of the genome not expected to show differential accessibility can be defined in a text file. This is passed to a normalisation function that uses differences in these windows between samples or treatments to scale whole experiment counts. For ease of use with other normalisation strategies, a set of custom normalisation factors can also be provided as a simple vector and used directly.

#### *Differential abundance and comparisons*

The atacR package implements three methods of detecting differential abundance; the standard and effective edgeR method is wrapped for ease- of-use. A bootstrap-*t* test and Bayes factor method are also provided. These can be run in single factor manner on pairs of samples, or on all samples simultaneously with a common reference sample specified by the user.

We compared the precision, recall and *F*-score of each method on simulated ATAC-cap-RNAseq data at varying replicate, significance threshold and genes changed. To create a simulated dataset we examined counts from three indepentent RNA-capseq datasets of 52 target enriched regions. These showed a double peak in the count distribution, though the residual to the mean count was roughly normally distributed (Supplemental Information 1). We used the count set as a sample from which to randomly select base counts and from these a preselected number were multiplied in all replicates of the treatment by a preselected factor to represent differential expression. Experimental noise was also simulated for each count. At each combination of parameters (Table [1](#page-8-0) ) The edgeR exact-test, Bootstrap *t* test and Bayes Factor methods in atacR were used to identify differentially abundant counts. We calculated precision, recall and *F* as described in methods. Ten iterations of the simulation were run and mean plotted in Figure [2](#page-10-14) B and C. The edgeR method performed best in recall and precision in all simulations with lower numbers of changed windows (5) whereas Bootstrap *t* and Bayes Factor were stronger to recall at 10 and 20 changed windows. The Bootstrap showed greatest precision at 20 changed windows. The *F*-score represents a balance between precision and recall, here we observed slightly larger *F*-score Bayes Factor over all parameters values tested when 20 windows were changed. The edgeR method had highest *F*-scores when only five windows had differential counts. From this we conclude that Bayes Factor is a likely good all round method in data with many changing windows (in this experiment approximately 40 percent of windows), whereas edgeR out-performs at lower levels (approximately ten percent).

<span id="page-8-1"></span>



#### **Methods**

To run simulations, 52 fake genome windows were defined in a control and treatment experiment. The counts for each window were selected from a dataset of 156 counts from a pilot wildtype Arabidopsis RNAcap-seq experiment. These counts are stored in the atacR package as a data object 'athal\_wt\_counts' for re-use. At each run of the simulation the replicates per treatment, number of counts changed, the fold ratio by which the counts change and the significance level at which detection was carried out was varied. For each combination of parameters described in Table [1](#page-8-0) we carried out ten repetitions of the simulation. The edgeR exact-test, Bootstrap *t* test and Bayes Factor *t* test were performed on each run using atacR and counted True Positive (TP) False Positive (FP) and False Negatives. TP was defined as the number of windows set with differential counts that were correctly called by the detection method. FP was defined as the number of windows that were called but were not set with differential counts. FN is the number of windows that were set as differential but were not called differential. From these precision, recall and *F* were calculated as below.

$$
Precision = \frac{TP}{TP + FP}
$$
 (1)

$$
Recall = \frac{TP}{FN + TP}
$$
 (2)

$$
F = 2 \frac{precision \times recall}{precision + recall}
$$
 (3)

The simulated data experiments were carried out in RStudio. The whole experiment code is provided in Supplemental Materials. These are executable RMD files that can be re-run to reproduce our experiment exactly in the R programming language.

The version of atacR used was 0.4.13. The base counts that were modified in simulations are available in the atacR package in the object 'atacr::athal\_wt\_counts'

Simulations and analyses were run on an Apple Macintosh computer with R and OS specifications as described in Table [2](#page-8-1)

#### **Availability of source code and requirements**

- Project name: atacR
- Project home page: <https://github.com/TeamMacLean/atacr>
- Operating system(s): Platform independent

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<span id="page-9-3"></span>

**Figure 1.** Example plots from atacR, generated on simulated data. A. Per sample coverage count density, B. GoF estimate density plot for control / non-control windows. C. Per sample MA plot. D. Per sample similarity heatmap. E. Per sample chromosome coverage count histogram

• Programming language: R

• License: GNU GPL 3

The library is provided as an R package that can be installed from Github using devtools::install\_from\_github('TeamMacLean/atacr')

#### **Availability of supporting data and materials**

The R code supporting the results of this article is available in the [<https://github.com/TeamMacLean/atacr>] repository. The software is registered in the SciCrunch.org database with a Research Resource Identification Initiative ID of SCR 016286.

#### **Declarations**

#### **List of abbreviations**

GoF - Goodness of Fit TP - True positive FP - False positive FN - False negative

#### **Competing Interests**

The authors declare that they have no competing interests.

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#### **Author's Contributions**

Conceptualization - DM and PD; Methodology - DM; Software - DM and RKS; Formal Analysis - DM; Investigation - DM; Resources - PD; Data Curation RKS and DM; Writing - DM and PD; Visualization - DM and RKS; Supervision - JDGJ; Project Administration - JDGJ; Funding Acquisition PD and JDGJ;

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<span id="page-10-14"></span>

**Figure 2.** Heatmap of *F*-score (A), Recall (B) and Precision (C) for runs of edgeR exact-test, Bootstrap *t*-test or Bayes Factor *t* test for varying sample replicate, significance threshold and number of windows changed in simulated data

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