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Job Autonomy and Burnout as Correlates of Psychological Morbidity and Work-related Stress: A Cross-sectional Study of NHS Consultants.

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Job Autonomy and Burnout as Correlates of Psychological Morbidity and Work-related Stress: A Cross-sectional Study of NHS Consultants.

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Data Sharing Statement

Some data from this study will be used for publication in a second paper. The data evaluates early retirement due to stress and lack of autonomy in NHS consultants. The data is secured with Dr M. Islam (statistician).

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Transparency Declaration Lead author (Dr Atir Khan) affirms that the manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as planned (and, if relevant, registered) have been explained.

Abstract

OBJECTIVES This study aims first to examine the associations between job autonomy and burnout in relation to self-reported work-related stress and depression and anxiety symptoms; and then, to examine the current level of psychological morbidity among a sample of hospital consultants in the NHS.

DESIGN and SETTING A cross-sectional observational study in U.K. NHS hospitals.

PARTICIPANTS 593 NHS consultants (Male = 63.1%) from hospitals in England, Scotland and Wales.

PRIMARY OUTCOME MEASURES Self-reported online questionnaires on job autonomy (Job Demands-Resources Questionnaire), burnout (Maslach Burnout Inventory), depressive and anxiety symptoms (State Trait Personality Inventory), and work-related stress (Occupational Stress Indicator).

RESULTS Lack of job autonomy was a significant predictor of work-related stress ($B=-2.61$, 95% CI -2.99 to -2.22 , $p < .001$), depressive ($B=-0.28$, 95% CI -0.41 to -0.16 , $p < .001$) and anxiety symptoms ($B=0.16$, 95% CI -0.27 to -0.04 , $p < .01$). Burnout was observed to associate, with self-reported work-related stress ($B=0.44$, 95% CI 0.37 to 0.92 , $p < .001$), and depressive ($B=0.20$, 95% CI 0.18 to 0.23 , $p < .001$) and anxiety symptoms ($B=0.18$, 95% CI 0.16 to 0.19 , $p < .001$). Results of this study observe high prevalence rates across all adverse health measures within this sample, namely: emotional exhaustion (38.7%), depersonalisation (13%), work-related stress (74.5%), anxiety symptoms (43.1%), and depressive symptoms (36.1%).

CONCLUSIONS This is the first study to observe low job autonomy and burnout to associate with psychological morbidity and work-related stress in a sample of NHS consultants. This study contributes to the building evidence, demonstrating the worryingly high occurrence of mental health issues and strain experienced by consultants working in the NHS. The findings highlight two potential avenues for targeted workplace intervention: improving consultants' job autonomy, and providing support and resources to prevent burnout. This study has implications for NHS policy makers and senior leadership.

STRENGTHS OF THIS STUDY

- This is the first study to contribute to the existing literature by demonstrating a link between lack of job autonomy and burnout on work-related stress, depressive symptoms, and anxiety symptoms among NHS consultants in England, Wales and Scotland.
- The study also provides a contemporary update on the current levels of burnout, work-related stress, anxiety symptoms and depressive symptoms in a sample of NHS consultants.
- Results of this study provide a basis to inform future organizational research in the NHS. Further studies will help to develop a picture of ‘the psychosocial working environment’ of today’s NHS.
- The issues (e.g., “lack of job autonomy” and “burnout” in this study), identified from empirical data, should become targets of intervention and improvement measures.
- These findings could inform the discussions and decisions being made by NHS policy and decision makers. We expect that similar empirical studies in future will help to improve the NHS in future.

LIMITATIONS OF THIS STUDY

- This is a cross-sectional study design.
- As such, any interpretation of causality is not appropriate, as it is plausible that the relationship between job autonomy, burnout, depressive and anxiety symptoms, and work-related stress may be reciprocal in nature.
- All study variables were drawn from self-report data of the individual consultant, making it vulnerable to common method bias.
- Care needs to be taken in generalizing any findings to the wider profession or sector.

Introduction

In United Kingdom, 27% to 32% of NHS consultants have been reported to have high levels of psychiatric morbidity and burnout^{1,2}. More recent reviews have reported similar depression³ and burnout⁴ rates among doctors in general. Doctor's mental health has been linked with patient safety⁵ and turnover intentions⁶, making it imperative to study the antecedents of psychological morbidities. Such knowledge can inform the development of targeted evidence-based workplace interventions. Research in this area has remained predominately focused on demanding aspects (e.g., working hours, perceived workload) of the working environment as predictors of doctors' psychological morbidity^{5,7}. We aim to extend this literature by testing the role of job autonomy and burnout as antecedents of work-related stress, depression and anxiety.

Qualitative research has observed that NHS consultants feel an erosion of their work-related autonomy, particularly in relation to the organisation and management of their work¹⁰. This is concerning because job autonomy is the cornerstone of several work motivational^{11,12} and occupational stress theories¹⁶. Job autonomy provides workers with the discretion, independence, and freedom to make decisions, to arrange tasks, and to select the best methods and procedures at work¹¹. Such skill discretion makes work meaningful, and provides flexibility to select and pursue important work goals¹³. Empirical evidence supports these theoretical assumptions, with meta-analyses observing high job autonomy to predict job satisfaction, intrinsic motivation, growth satisfaction, organizational commitment, decreased turnover intention, and enhanced job performance^{13,14}. Conversely, within the general working population, evidence indicates low job autonomy to significantly predict psychological morbidity^{8,15} and stress-related disorders¹⁷. We are not aware, however, of any attempts to test the relationships between job autonomy and

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2
3 psychological morbidity amongst the NHS consultants. This clear gap in knowledge will be
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5 addressed by the current study.
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9 Much of the research in psychological morbidity has examined how it is predicted by
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11 intra-individual factors⁵ (personality and demographics). More recently, burnout has been
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13 postulated to operate as an antecedent to more serious mental health conditions (e.g., depression
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15 and anxiety)⁹. Burnout is defined as a syndrome of three dimensions²²: emotional exhaustion,
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17 depersonalisation, and reduced personal accomplishment. Emotional exhaustion denotes being
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19 emotionally overextended and exhausted by work; depersonalisation refers to an impersonal
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21 feeling towards people; and reduced personal accomplishment encompasses the reduced work
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23 effectiveness due to emotional exhaustion and depersonalisation. Understanding this relationship
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25 is crucial due to the reported high prevalence rates of burnout amongst the doctors^{3,4}, which
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27 appear to be increasing^{2,18}. Although, burnout and work-related stress have been previously
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29 observed to frequently occur among UK doctors¹⁹; previous research has not, however, examined
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31 the association between burnout and self-reported depression and anxiety symptoms within this
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33 working population. Such information would yield greater understanding of the psychosocial
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35 factors linked with the psychological morbidity in UK consultants.
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41 *Aims and Hypotheses*

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44 The aims of the study were twofold. Firstly, to examine the associations between job
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46 autonomy and burnout in relation to self-reported work-related stress and depression and anxiety
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48 symptoms; and, secondly, to examine the current level of psychological morbidity among a
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50 sample of hospital consultants in the NHS. The study hypothesizes that: (i) low job autonomy
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52 will negatively predict consultants' self-reported work-related stress, depressive and anxiety
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3 symptoms; and (ii) burnout will positively predict consultants' self-reported work-related stress,
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5 depressive and anxiety symptoms.
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8 9 **Methods**

10 11 *Study design, setting and population*

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14 A cross-sectional quantitative survey was carried out between November and December
15
16 2015. A simple random sample of 500 was calculated allowing 5% absolute error in the work
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18 related stress (one main outcome measure) and considering it as a standard normal variate. This
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20 also corresponds with the existing literature in similar areas (e.g., Agius et al.²⁰). Questionnaires
21
22 were sent to the consultants through human resource departments of relevant Trusts and Health
23
24 Boards of all the three countries: England, Wales and Scotland. The invited consultants took part
25
26 in the survey, conducted by an electronic survey tool, Bristol on-lineⁱ. To minimize the
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28 respondent bias, the research team (including the principle investigator) were blind about the
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30 responding consultants and vice versa. The study received ethical approval from Birkbeck
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32 University of London.
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38 In total, 593 responses were completed online. A full demographical breakdown is
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40 presented in Table 1. The sample was evenly split between England (32.5%), Wales (32%) and
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42 Scotland (35.4%). The majority of respondents were male (63.1%), and aged between 41-50
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44 years (45.5%) and 51-60 years (31%). In total, eight speciality groups were represented, with the
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46 majority of respondents identifying as physicians (28.8%), surgeons (18.2%), anaesthesiologists
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48 (14%) and others' (15.7%).
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52 ⁱ www.survey.bris.ac.uk
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Measures

Surveyed consultants provided information on socio-demographic details, job autonomy, burnout, work-related stress, and anxiety and depressive symptoms. Internal reliability statistics are reported in Table 2.

- Job autonomy was measured using three items from the Job Demands-Resources Questionnaire²¹. These (e.g., “do you have flexibility in the execution of your job?”) were rated on a five-point Likert scale, with high scores representing more autonomy.
- The Maslach Burnout Inventory²² measured burnout. Although burnout contains three dimensions, only fourteen items from the first two dimensions of burnout (emotional exhaustion & depersonalisation) were used in this study. This is in line with previous research, advocating these as the core dimensions of burnout and the most effective way of scoring burnout⁴. These included items such as “I feel frustrated by my job” rated on a seven-point Likert scale, with high scores indicative of high burn-out. Emotional exhaustion refers to feeling emotionally overextended and exhausted by work, while depersonalisation denotes an impersonal feeling towards people. In line with the manual guidelines and past research^{1,2}, cut-off scores from norm scores of health professionals were used to identify the proportion of consultants who scored highly on the two burnout dimensions. Aggregated scores of both dimensions were used for hypotheses testing.
- Depressive and anxiety symptoms were measured by the State Trait Personality Inventory²³. The frequency of each item (e.g., “I feel depressed”) was rated on a four-point Likert scale with high scores representing greater experience of anxiety and depressive symptoms. Although neither measure has clinically diagnostic cut-off points,

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3 consultants in this study with scores higher than the 75th percentile of UK working norm
4 scores were classed as high scoring.
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9 • The 22-items from the Occupational Stress Indicator²⁵ measured work-related stress.
10 Respondents rated how satisfied they were with the items provided (e.g., “the level of job
11 security in your present job”) on a six-point scale, with high scores representing more
12 work-related stress. Consultants with who scored above the 75th percentile against the UK
13 working norm were classed as experiencing high stress.
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20 21 *Statistical analysis*

22
23 Exploratory data analysis of demographic characteristics of consultants and constructs
24 measured was performed. We used non-parametric Spearman's rank correlation to explore the
25 relationship between individual measures. We checked the reliability of all measurement scales
26 comprising multiple Likert questions by assessing internal consistency through Cronbach's alpha.
27 Three Multiple Linear Regression Models (MLR1, MLR2 & MLR3) estimated the effect of job
28 autonomy and burnout onto work-related stress, anxiety and depressive symptoms. All these
29 were adjusted by socio-demographic characteristics of the consultants. Assumptions underlying
30 multiple regressions were checked by plotting residual errors and standardised residuals.
31 Existence of multicollinearity was checked through variance inflation factors, and Durbin-
32 Watson tests were conducted for correlated residuals. All these diagnostics fell within the
33 acceptable ranges for each multiple linear regression model. SPSS (version 22) was used for data
34 management and statistical analysis. Missing data was examined; with, in general, low levels
35 observed (i.e., 1.9% in gender and 0.7% for consultant specialty). Such data was excluded from
36 the analysis due their non-significant size. The paper is reported in accordance with the STROBE
37 statement²⁴.
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Results

Psychological morbidity

Table 1 displays the characteristics of the participating consultants and indicates that 38.7% of consultants were classed as emotionally exhausted, while 13% had high depersonalisation. For work-related stress, 74.5% of consultants experienced high stress, while 43.1% and 36.1% of consultants reported anxiety and depressive symptoms respectively.

Table 1 also presents the prevalence of psychological morbidity across different demographics within this given sample. A higher percentage of male consultants experienced high depersonalisation and depression, whereas female consultants experienced a higher percentage of depersonalisation, anxiety and work-related stress. Across the three countries psychological morbidity was similar. Although Wales had more consultants experiencing emotional exhaustion, but had the fewest proportion of consultants with high levels of anxiety. Consultants aged 31-40 and 51-60 years had the poorest reported mental wellbeing, while those over the age of 60 had the best. In terms of specialty, pathology/ microbiology stands out with the highest prevalence on three of the five adverse health measures: emotional exhaustion, anxiety and depression.

Table 1: Characteristics of participating consultants

Characteristics	N (%) ^a	Burnout Dimensions				
		Emotional Exhaustion	Depersonalisation	Work-related stress	Anxiety symptoms	Depressive symptoms
Study cohort:						
Substantive consultant	593 (100 %)	38·70%	13·00%	74·50%	43·10%	36·10%
Countries:						
England	193 (32·5%)	37·82%	12·95%	74·61%	52·13%	43·09%
Wales	190 (32·0%)	40·53%	12·63%	75·26%	41·94%	38·17%
Scotland	210 (35·4%)	37·91%	13·74%	73·93%	51·92%	36·54%
Gender:						
Male	374 (63·1 %)	37·43%	13·90%	72·99%	47·33%	41·98%
Female	208 (35·1 %)	41·35%	12·02%	77·40%	51·44%	31·25%
Missing	11 (1·9 %)					
Age:						
30 - 40 years	119 (20·1 %)	41·18%	16·67%	80·99%	50·83%	37·50%
41 - 50 years	267 (45·5 %)	35·58%	12·36%	74·16%	47·49%	40·15%
51 - 60 years	184 (31·0 %)	42·93%	13·04%	71·74%	52·22%	37·78%
>60 years	23 (3·9 %)	26·09%	0·00%	60·87%	28·00%	24·00%
Speciality:						
Physician	171 (28·8%)	33·33%	14·04%	71·35%	47·88%	35·15%
Surgeon	108 (18·2%)	43·52%	15·74%	69·44%	48·54%	45·63%
Obstetrics/Gynaecology	24 (4·0%)	37·50%	12·50%	75·00%	41·67%	25·00%
Anaesthesia	83 (14·0%)	28·92%	13·25%	84·34%	47·56%	40·24%
Radiology	41 (6·9%)	36·59%	12·20%	78·05%	46·34%	36·59%
Psychiatry	47 (7·9%)	46·81%	8·51%	80·85%	52·08%	37·50%
Pathology/Microbiology	22 (3·7%)	59·09%	9·09%	72·73%	72·73%	54·55%
Other	93 (15·7%)	44·09%	12·90%	73·12%	47·31%	34·41%
Missing	4 (0·7%)					

^aAfter excluding missing values

Internal reliability and correlations between job autonomy and psychological morbidity

All the measurements achieved very good reliability and excellent internal consistency as Cronbach's alpha for almost all of them are approximately 0·9. The non-parametric correlation

coefficients between all five measurements, age and work experience of the consultants are displayed in Table 2. Nearly all relationships have statistically significant correlations. Job autonomy was statistically significantly correlated with work-related stress, burn-out, anxiety, and depression symptoms. Moderate but significant correlation also existed for burnout with anxiety ($\rho=0.57$), depression ($\rho=-0.59$) and work-related stress ($\rho=-0.49$). All three outcome measures were also strongly correlated with each other, more specifically, between: anxiety and depression ($\rho=0.79$), work-related stress and depression ($\rho=0.54$), and work-related stress and anxiety ($\rho=0.44$).

Table 2: Internal reliability and correlations between the study variables

Variable	Internal reliability (Cronbach's α)	Job autonomy	Burnout	Work-related stress	Anxiety	Depression	Tenure	Age
Job autonomy	.885	5.83 (3.04)						
Burnout	.919	-0.22**	54.59 (15.65)					
Work-related stress	.938	-0.53**	0.49**	56.66 (17.52)				
Anxiety	.836	-0.23**	0.57**	0.44**	21.35 (4.91)			
Depression	.902	-0.31**	0.59**	0.54**	0.79**	20.94 (5.43)		
Tenure	-	-0.09*	-0.02	-0.08*	0.10*	0.04	11.27 (7.29)	
Age	-	0.009**	0.02	-0.13**	0.04	-0.03	0.86**	47.63 (7.47)

Note: Mean (SD) are reported diagonally; **. $p < .01$ level (1-tailed). *. $p < .05$ level (1-tailed).

Consultants' work-related stress

Multiple Linear Regression Model 1 (Table 3) examined whether job autonomy and burnout, adjusted for consultant specialty, country and the demographic variables, were significant predictors of sampled consultants' work-related stress. R^2 for the predictor model was .46 (adjusted $R^2=.45$). Results show that job autonomy, burnout, gender and consultant type (anaesthetists; pathology) significantly predicted work-related stress.

Table 3: Results for the MLR1 on Work-related Stress

Predictor	Coefficients (B)	Standardised coefficient (β)	Sig.	95.0% Confidence Interval for B	
				Lower Bound	Upper Bound
(Constant)	63.41		.001	51.78	75.04
Job autonomy	-2.61	-0.45	.001	-2.99	-2.22
Burnout	0.44	0.40	.001	.37	0.52
Specialty					
Pathology or Microbiology	-6.42	-0.07	0.04	-12.45	-0.39
Surgery	-0.37	0.01	0.83	-3.62	2.89
Anaesthesia	3.84	0.08	0.03	0.36	7.32
Radiology	0.50	0.01	0.83	-4.01	5.02
Psychiatry	-1.86	-0.03	0.39	-6.18	2.45
Other	0.50	0.01	0.77	-2.83	3.82
Obstetrics/Gynaecology	0.65	0.01	0.82	-4.98	6.29
Physician (ref)					
Age	-0.30	-0.13	0.05	-0.61	.000
Gender (male)	-2.72	-0.08	0.02	-5.07	-0.37
Work experience (years)	0.05	0.02	0.76	-0.26	.358
Country					
Wales	-1.31	-0.04	0.36	-3.93	1.30
England	-0.24	-0.01	0.86	-2.91	2.44
Scotland (ref)					

Note: Dependent variable is work-related stress

One-unit increase in autonomy score corresponded with a decrease of 2.61 ($p < .001$) on the work-related stress score. Similarly, one-unit increase in burnout was associated with an increase of 0.44 ($p < .001$) in work-related stress. As seen in Table 3, males have an average stress score that is lower ($B = -1.31$; $p < .02$) than females. Compared against Physicians, Anaesthetists ($B = 3.84$) had higher mean scores on work-related stress, and Pathology consultants had lower ($B = -6.42$) mean scores on work-related stress.

Consultants' anxiety symptoms

Multiple Linear Regression Model 2 (Table 4) observed job autonomy, and burnout to predict consultants' anxiety levels, when adjusted for consultants' specialty, country of work and the demographic variables ($R^2 = .40$ and adjusted $R^2 = .38$). More specifically a one-unit increase in job autonomy corresponded with a decrease of 0.16 ($p < .05$) on consultants' anxiety score. Similarly, a one-unit increase in burnout corresponded with a 0.18 ($p < .01$) increase in anxiety.

Table 4: Results for the MLR2 on anxiety

Predictor	Coefficients (B)	Standardised coefficient (β)	Sig.	95.0% Confidence Interval for B	
				Lower Bound	Upper Bound
(Constant)	17.28		.001	13.81	20.736
Job autonomy	-.16	-0.09	.008	-.27	-.040
Burnout	.18	0.57	.001	.16	.19
Specialty					
Pathology or Microbiology	-.84	-0.03	.360	-2.63	-.96
Surgery	.84	0.07	.088	-.13	1.81
Anaesthesia	.36	0.03	.491	-.67	1.40
Radiology	-.44	-0.02	.517	-1.79	-.90
Psychiatry	-.34	-0.02	.603	-1.63	-.94
Other	.72	0.06	.151	-.26	1.71
Obstetrics/Gynaecology	1.70	0.07	.047	.03	3.38
Physician (ref)					
Age	-.17	-0.26	.001	-.26	-.08
Gender (male)	1.23	0.12	.001	.53	1.93
Work experience (years)	.19	0.28	.001	.09	.28
Country					
Wales	.81	0.08	.041	.04	1.59
England	.28	0.03	.498	-.52	1.07
Scotland (ref)					

Note: Dependent variable is anxiety symptoms

In terms of demographics, with one-unit (i.e., year) increase in age, consultants' anxiety score decreased by 0.17 ($p < .01$). Males had, on average, an anxiety score that was 1.23 times higher than female. More experience was associated with higher anxiety ($B = 0.19$, $p < .001$). Similarly, consultants from Wales had more anxiety symptoms than compared consultants from Scotland ($B = 0.81$; $p < .05$). Obstetrics & Gynaecology consultants reported more anxiety symptoms than Physicians.

Consultants' depressive symptoms

Multiple Linear Regression Model 3 (Table 5) found job autonomy, burnout, specialty of Obstetrics & Gynaecology, age, work experience, and country of work significantly predicted the level of consultants' depressive symptoms ($R^2 = .43$; adjusted $R^2 = .42$).

Table 5: Results for MLR 3 on depressive symptoms

Predictor	Coefficients (B)	Standardised coefficient (β)	Sig.	95·0% Confidence Interval for B	
				Lower Bound	Upper Bound
(Constant)	18·66		·001	14·94	22·38
Job autonomy Score	·28	-1·58	·001	·41	·16
Burnout Score	·20	0·59	·001	·18	·23
Specialty					
Pathology or Microbiology	·81	-0·3	·411	-2·74	1·12
Surgery	·67	0·05	·206	·37	1·71
Anaesthesia	·21	-0·01	·710	-1·32	·90
Radiology	·63	-0·03	·394	-2·07	·82
Psychiatry	·06	0·00	·927	-1·32	1·44
Other	·43	0·03	·430	·64	1·49
Obstetrics/Gynaecology	1·78	0·07	·053	·03	3·58
Physician (ref)					
Age	·21	-0·29	·001	·31	·11
Gender (male)	·09	0·01	·807	·66	·85
Work experience (years)	·20	0·27	·001	·11	·30
Country					
Wales	·74	0·43	·081	·09	1·58
England	·10	0·44	·824	·76	·95
Scotland (ref)					

Note: Dependent variable is depressive symptoms

For the significant predictors (refer to Table 5), lack of job autonomy negatively predicted the level of depressive symptoms ($B=0\cdot28$, $p<0\cdot001$). One-unit increase on burnout was associated with an increase of $0\cdot20$ in the number of depressive symptoms reported. Consultants working in Obstetrics & Gynaecology reported more depressive symptoms ($B=1\cdot78$, $p<0\cdot10$) than Physicians. In terms of age, each increase in year was associated with $0\cdot21$ ($p<0\cdot01$) fewer depressive symptoms reported. Experience was also associated with more depressive symptoms ($B=0\cdot20$, $p<0\cdot01$).

Discussion

This study aimed to examine the link between job autonomy and burnout with work-related stress, depression and anxiety symptoms. The analyses found that both study hypotheses were supported, indicating that job autonomy and burnout were associated with the three adverse health outcomes. Furthermore, examination of the prevalence rates of burnout, work-related

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3 stress, depressive symptoms and anxiety symptoms reveal that our study of UK consultants
4 observed a higher prevalence rates than those observed by previous studies^{1,2,26,27}. While the
5 nature of the study design does not allow for causal explanations and is convenience sample, the
6 current study provides a useful snapshot of the current state of psychological morbidity among
7 the UK consultants and helps to fulfil some of the existing gaps in the current literature on
8 consultants' psychological morbidity.
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18 These results provide additional evidence of the importance of work-related factors, by
19 highlighting their significance due to their association with psychological morbidity.
20 Importantly, these health outcomes are not accounted for merely by social and demographic
21 factors^{4,5}. The finding that high job autonomy is associated with lower work-related stress, and
22 fewer anxiety and depressive symptoms, is congruent with the theoretical propositions
23 advocating its beneficial motivational^{11,12} and health enhancing¹⁶ properties. Moreover,
24 enhancing job autonomy allows doctors the freedom to pursue more meaningful tasks and more
25 efficient ways of working, both of which have been postulated to lead to improved worker
26 health^{13,14} and patient care³¹. This is consistent with the empirical evidence drawn from more
27 general samples demonstrating a beneficial link between job autonomy with performance,
28 motivational and health outcomes^{13,14,15,17}.
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44 Another important contribution of this study lies in the observation that, within this
45 surveyed sample of consultants, burnout was significantly associated with psychological
46 morbidity. This is concerning in the light of the high prevalence of burnout among UK
47 consultants; highlighted in this study, and in past related studies^{3,4}. With more serious measures
48 of psychological morbidity (such as anxiety and depression) being a possible consequence of
49 burnout, targeted interventions preventing or mitigating such issues should be viewed as a clear
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3 organizational priority within the NHS. This is congruent with the evidence³⁰ that comprehensive
4 interventions, simultaneously targeting organisational, material and work-time related conditions
5 may be more beneficial to worker health than interventions only targeting the individual. Even if
6 causality may not be established in this study, longitudinal evidence from elsewhere supports
7 burnout's role as an antecedent to psychological morbidity⁹.
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14 15 *Limitations*

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18 A number of limitations exist within the context of this study that need to be
19 acknowledged when reviewing the study results. First, it is a cross-sectional study design. As
20 such, any interpretation of causality is not appropriate, as it is plausible that the relationship
21 between job autonomy, burnout, depressive and anxiety symptoms, and work-related stress may
22 be reciprocal in nature¹⁹. For example, McManus and colleagues²⁹ found that lack of support and
23 workload among doctors was predicted by burnout levels from six years earlier. This lends
24 credibility to the argument that doctors with burnout, or psychological morbidity in general, may
25 in turn perceive more difficult working conditions. The second limitation recognises that all
26 study variables were drawn from self-report data of the individual consultant, making it
27 vulnerable to common method bias; but, at the same time, this is an established method in
28 quantitative research. Third, although the study sample size is guided by similar studies in the
29 past²⁰, the sample size is smaller than that of past research into the prevalence of psychological
30 morbidity amongst UK consultants^{1,2}. Therefore, care needs to be taken in generalizing any
31 findings to the wider profession or sector. Finally, although qualitative research has already
32 suggested that consultants feel a reduction in job autonomy¹⁰; precise information on the existing
33 quantitative levels of job autonomy among UK consultants remains unknown.
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Implications and conclusions

Considering the research suggesting an erosion of job autonomy among consultants in the current NHS environment¹⁰, results of this study suggest a significant negative association between this work characteristic, and increased levels of depressive and anxiety symptoms and work-related stress. This observation merits substantive consideration and, in turn, action at policy and practice levels within the NHS. If burnout among consultants is associated with depression or anxiety, this can have serious ramifications on their ability to recover and continue as an effective part of the workforce. This problem, therefore, should be viewed as a key organizational issue by the NHS. This should create an impetus for the government, healthcare leaders and other appropriate decision makers to consider how future organizational decisions in the NHS may further impact on consultants' job autonomy; and how it can be improved. Consistent results in the wider literature have already demonstrated that low job autonomy predicts poorer performance^{13,14}, but the results here demonstrate a significant association to consultants' self-reported psychological morbidity.

Similarly, for the first time, this study provides empirical support that burnout is significantly associated with consultants' psychological morbidity. This study yields important new evidence of the health-supporting role of job autonomy and predicative association of burnout to psychological morbidity within a sample of NHS consultants. These findings strongly highlight the value of workplace health interventions targeted at adapting working conditions and proactively managing burnout among the NHS staff. Ultimately, interventions to address job autonomy and burnout among consultants are important, not only for the NHS' ability to provide safe and high quality care⁵; but to maintain and retain a healthy and high performing workforce⁶.

Other Information

Funding

Research & Development department, Hywel Dda University Health Board.

Contributors

ASAK and JH were responsible for the study concept and design. ASAK and KT drafted the first version of the report. KT was responsible for the literature search. KT also provided critical revision of the manuscript as it went through the revision process, and agreed to submit the report for publication. MSI did the data management and statistical analyses. All authors contributed to the content and critical revision of the report, and agreed to submit the report for publication.

Declaration of interests

We have no competing interests to declare.

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STROBE Checklist for: “Job Autonomy and Burnout as Correlates of Psychological Morbidity and Work-related Stress: A Cross-sectional Study of NHS Consultants”.

STROBE Statement—Checklist of items that should be included in reports of *cross-sectional studies*

	Item No	Recommendation	Location
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	In title the term “cross-sectional” is included
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	Abstract based on the journal’s structure is included
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	Pg. 2 of the manuscript, paragraph 1.
Objectives	3	State specific objectives, including any pre-specified hypotheses	Pg. 2 under “Aims and Hypotheses”
Methods			
Study design	4	Present key elements of study design early in the paper	Pg. 3 under “study design, setting and population”
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	Pg. 3 under “study design, setting and population”
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	Pg. 3 under “study design, setting and population”
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	Variables are introduced in the Introduction
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	Pg. 3 under “Measures”
Bias	9	Describe any efforts to address potential sources of bias	Pg. 7 (under study design, setting and population)
Study size	10	Explain how the study size was arrived at	Pg. 3 under “study design, setting and population”
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	Pg. 5 under “Statistical analysis”

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4	Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	Pg. 5 under “Statistical analysis”
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6			(b) Describe any methods used to examine subgroups and interactions	Not applicable
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8			(c) Explain how missing data were addressed	Pg 5 under “Statistical analysis”
9			(d) If applicable, describe analytical methods taking account of sampling strategy	Pg. 7 (under study design, setting and population)
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11			(e) Describe any sensitivity analyses	Not applicable
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13	Results			
14	Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	Pg. 3 under “study design, setting and population”
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16			(b) Give reasons for non-participation at each stage	Not applicable
17			(c) Consider use of a flow diagram	Not applicable
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19	Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	Table 1
20				
21			(b) Indicate number of participants with missing data for each variable of interest	Table 1
22	Outcome data	15*	Report numbers of outcome events or summary measures	Table 2
23	Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Tables 2-5
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25			(b) Report category boundaries when continuous variables were categorized	Not applicable
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27			(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	Not applicable
28				
29	Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	Tables 2-5
30				
31	Discussion			
32	Key results	18	Summarise key results with reference to study objectives	Pg. 11, first paragraph of discussion
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34	Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	Pg. 13 under “limitations”
35				
36	Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	Discussion section
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38	Generalisability	21	Discuss the generalisability (external validity) of the study results	Pg. 14 under “Implications and
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conclusions”

Other information

Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	Not applicable
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*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

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Psychosocial Work Characteristics, Burnout, Psychological Morbidity Symptoms and Early Retirement Intentions: A Cross-sectional Study of NHS Consultants in the United Kingdom.

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Psychosocial Work Characteristics, Burnout, Psychological Morbidity Symptoms and Early Retirement Intentions: A Cross-sectional Study of NHS Consultants in the United Kingdom.

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Data Sharing Statement

Individual participant data collected under this study were deidentified which are reported in the form of tables. The original proposal of the study will be available upon request. Deidentified data will be shared for non-commercial research purpose given the consent of the lead author after the publications as per guidelines of the BMJ Open.

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Transparency Declaration Lead author (Dr Atir Khan) affirms that the manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as planned (and, if relevant, registered) have been explained.

Abstract

OBJECTIVES The objectives of this study are twofold. First, to examine the direct effect of psychosocial work characteristics (as measured by job autonomy and work-related pressure) in relation to self-reported psychological morbidity symptoms and early retirement intentions among a sample of hospital consultants in the National Health Service (NHS). Second, to investigate burnout as mediating variable (i.e., indirect effect) of these postulated associations.

DESIGN and SETTING A cross-sectional observational study.

PARTICIPANTS 593 NHS consultants (Male = 63.1%) from hospitals in England, Scotland and Wales.

MEASURES Self-reported online questionnaires on work-related pressure and job autonomy (Job Demands-Resources Questionnaire); emotional exhaustion and depersonalisation (Maslach Burnout Inventory); depressive and anxiety symptoms (State Trait Personality Inventory); and a single-item on early retirement intention.

RESULTS Multiple linear regressions examined direct effects, with indirect effects examined using Hayes' PROCESS macro. Job autonomy negatively predicted the frequency of NHS consultants' anxiety and depressive symptoms, and their intention to retire early. No direct relationships involving work-related pressure were observed. Both emotional exhaustion and depersonalisation mediated the relationships that work-related pressure and job autonomy had with symptoms of psychological morbidities. Only emotional exhaustion mediated the relationships where early retirement intention was the outcome. Results of this study observed high prevalence rates across all adverse health measures within this sample, namely: emotional exhaustion (38.7%), depersonalisation (13%), anxiety symptoms (43.1%), and depressive symptoms (36.1%).

CONCLUSIONS This is the first study to observe job autonomy to associate with the number of psychological morbidity symptoms and early retirement intention in a sample of NHS consultants. Burnout dimensions mediated these relationships, indicating that interventions need to focus on enhancing working conditions and addressing burnout among NHS consultants before more severe symptoms of psychological morbidity are reported. This study has implications for NHS policy makers and senior leadership.

STRENGTHS AND LIMITATIONS OF THIS STUDY

- This is the first study to demonstrate the mediating role of burnout between psychosocial work characteristics of NHS consultants and their reported depressive and anxiety symptoms and intentions to seek early retirement.
- A substantive proportion of surveyed NHS consultants reported experiencing: emotion exhaustion, pervasive feelings of cynicisms regarding work, and excessive anxiety and depression symptoms.
- Mediations were examined using Hayes' PROCESS Model 4 macro with bootstrapping, which generates more accurate confidence intervals than other more commonly used mediation methods. This is a cross-sectional study design. As such, any interpretation of causality is not appropriate, as it is plausible that the relationship between the variables measured are reciprocal in nature.
- All study variables were drawn from self-report data of the individual consultant, making it vulnerable to common method bias.
- We were not able determine the response rate as we are unaware as to how many NHS trusts and health boards who agreed to participate actually followed through and sent the survey link to their consultants.

Introduction

The National Health Service (NHS) is one of the world's largest employers, with 1.3 million staff in England and Wales caring for an estimated 243 million patients annually¹. For this system to continue to provide safe, sustainable and patient-centred care the wellbeing and retention of its workforce are critical considerations. The NHS performs comparatively worse across many measures of staff wellbeing; with sickness absence rates, on average, 27% higher than the UK public sector and 46% higher across all sectors². Understanding the nature and impact of psychosocial working conditions on medical professionals' work-related wellbeing is, we believe, of clear empirical importance. In addition, its practical value in the development of evidenced-based workplace preventative solutions cannot be understated.

Psychosocial work characteristics and work-related wellbeing

Exposure to poor psychosocial work characteristics (e.g., poor job autonomy, work-related pressures, injustice at work, insufficient leadership) have been linked to a myriad of work-related wellbeing (inclusive of physical, psychological, behavioural and attitudinal) outcomes, including for example: poor mental health^{3,4}; increased health impairing behaviours (e.g., increased smoking⁵, alcohol consumption⁶); poor physical health (e.g., coronary heart disease^{7,8}; reduced job satisfaction⁹; intention to quit¹⁰; and diminished organisational commitment¹¹). In short, there is strong research to suggest that exposure to poor psychosocial work characteristics poses a clear risk to employees' health and work engagement¹². However, the nature and mechanisms underpinning such associations must be examined and understood at various levels (e.g., community, sectoral, and organisational), and within various vocational groups and occupational roles.

Within the healthcare sector, much of the available research has focused on a limited number of occupational groups (e.g., nurses^{13,14}; social workers^{15,16}; and, to a lesser degree,

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3 doctors^{17,18}. While the extant literature examining doctors specifically is increasing, there has
4 (to date) been little attempt to examine the nature of this association within a unique
5 vocational subgroup: NHS hospital consultants. These doctors are among the most
6 experienced and trained within this vocational group¹⁹. Their role as educators and
7 supervisors of the next generation of doctors and nurses means their organisational
8 contribution in the development of the current and future healthcare workforce is
9 imperative²⁰.

18 *The Mediating Role of Burnout*

21 Burnout is one of the most commonly studied wellbeing constructs within the
22 healthcare sector²¹; and, in particular, as a measure of work-related wellbeing among doctors.
23 Burnout refers to a prolonged psychological response to chronic emotional and interpersonal
24 stresses related to work^{22,23}, and manifests through symptoms of emotional exhaustion,
25 depersonalisation and reduced personal accomplishment. *Emotional exhaustion* denotes being
26 emotionally overextended and exhausted by work. *Depersonalisation* refers to an impersonal
27 feeling towards people, and reduced *personal accomplishment* encompasses the reduced
28 work effectiveness due to emotional exhaustion and depersonalisation. Studies^{21,24,25} have
29 found strong and consistent support for the emotional exhaustion and depersonalisation
30 dimensional factors of burnout; and are, therefore, typically viewed as its' core conceptual
31 components. However, the evidence surrounding professional accomplishment is
32 comparatively mixed. Consequently, personal accomplishment is generally viewed as a
33 separate, but related entity²⁴.

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50 Evidence derived from reviews and meta-analyses demonstrate a significant
51 association between poor psychosocial working conditions and doctors' self-reported burnout
52 symptoms^{17,18}. Within the extant literature, burnout has predominantly been conceptualised
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3 and investigated as the outcome of a poor psychosocial work environment. However, there is
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5 increasing attention being paid to the potential role of burnout as an antecedent to a range of
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7 health-related, attitudinal and behavioural outcomes, including: depression and anxiety²⁶,
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9 substance abuse²⁷, work performance²⁸, turnover intention²⁹ and patient care³⁰. This is not
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11 surprising, as burnout depletes workers' energy and coping resources, which may results in
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13 increased physiological and psychological strain³¹. This may, in turn, trigger and exacerbate
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15 symptoms of psychological morbidity and work disengagement; and, consequently in the
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17 long term, interfere with performance³². Despite this, to date limited research has sought to
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19 examine burnout as a possible mediating variable in relation to doctors' perceived working
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21 condition and their psychological health and experienced work-related attitudes. This study
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23 aims to address this gap in knowledge.
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26 27 **Study Aim**

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30 The objectives of this study are twofold. Firstly, to examine the direct effect of
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32 psychosocial work characteristics (job autonomy and work-related pressure) in relation to
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34 self-reported psychological morbidity symptoms and early retirement intentions among a
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36 sample of hospital consultants in the NHS. Secondly, to investigate burnout as a mediating
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38 variable (i.e., indirect effect) of this postulated association. Figure 1 provides a visual
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40 representation of the study's postulated direct and indirect effects among independent and
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42 dependent variables.
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[Insert Figure 1 here]

Method

Study design and sample

A cross-sectional quantitative survey was carried out between November and December 2015. The Human Resources departments of all the Health Boards in Wales (n=7) and Scotland (n=10) were approached to participate in this study, along with 12 NHS Trusts from England. All agreed to forward an electronic survey, administered through Bristol Online Survey, to all their consultants. It is not possible to determine how many consultants actually received the survey. We aimed to obtain a survey sample size of 500. This exceeded our power calculations assuming a total consultant population of 10,000 across these Health Boards and Trusts, a sample proportion of 50% and allowing 5% margin of error considering the finite population correction. The electronic survey yielded a response of 593 consultants. The study received ethical approval from Birkbeck University of London.

Measures

Surveyed consultants provided information on socio-demographic details, work-related pressure, job autonomy, burnout, early retirement intention, and anxiety and depressive symptoms. All utilised composite measures demonstrated a satisfactory level of internal reliability.

Psychosocial work characteristics

Two specific psychosocial work characteristics were examined by the current study: job autonomy and work-related pressure. The decision to examine only two (out of myriad of possible psychosocial work characteristic) was based on what the contemporary literature repeatedly highlights as particularly salient work characteristics to NHS consultants³³⁻³⁵. The English version of the Job Demands-Resources Questionnaire³⁶ was used to assess work-

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3 related pressure and job autonomy. Work-related pressure consisted of four items and job
4
5 autonomy three items. All items were rated on a Likert scale: 1 (never) to 5 (very often).
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7 8 *Burnout*

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10 The Maslach Burnout Inventory²² was used to quantify the two examined dimensions
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12 of burnout: emotional exhaustion (9 items) and depersonalisation (5 items). Items were rated
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14 on a seven-point Likert scale (0, 'never' to 6, 'every day'). All subscale items were summed
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16 to create two composite scores. Higher scores are indicative of increased emotional
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18 exhaustion or depersonalisation. The summed scores on the two utilised composite measures
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20 were used to stratify the sample into 'high' and 'low' reference categories, with established
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22 norm scores of health professionals used to inform the categorization process^{37,38}. A
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24 composite score of 27 or higher on the emotional exhausted scale and 13 or higher on the
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26 depersonalisation scale were used to categorise participants in the 'high' group.
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29 30 *Psychological Morbidity Symptoms*

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32 Symptoms of trait depression and anxiety were measured by the State Trait
33
34 Personality Inventory³⁹. This 80-item inventory contains eight scales that measures state and
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36 trait-related depression, anxiety, curiosity and anger. Only the ten-item scales pertaining to
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38 trait-related depression and anxiety were included in this study. The scales are among the
39
40 most widely used for anxiety and depression with strong psychometric properties³⁹⁻⁴¹. The
41
42 frequency of each item was rated on a four-point Likert scale (1, 'almost never' and 4,
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44 'almost always'), with high scores representing more frequent experience of anxiety and
45
46 depressive symptoms. Although neither measure has clinically diagnostic cut-off points,
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48 participants in this study with scores higher than the 75th percentile of UK working norm
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50 scores were classed as high scoring (≥ 18 for anxiety; ≥ 20 for depression).
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55 56 *Work-related Attitudinal Outcome: Early retirement intention*

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3 Depending on the type of scheme, NHS consultants have the option of retiring at age
4
5 60 (1995 section) or 65 years (2008 section). Therefore, early retirement intention was
6
7 assessed through one Likert-based item commonly used in epidemiological surveys of the
8
9 working population⁴²: “do you think you will be doing this job when you are 60 years old”.
10
11 Anchored from 0 (“I would not even want to be”) to 6 “yes”.

12 13 14 *Statistical analysis*

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16 Descriptive statistics and study measures’ internal reliability were analysed.
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18 Subsequently, bivariate two-tailed parametric correlations were calculated to examine the
19
20 statistical association between study variables. Three multiple linear regression models were
21
22 then carried out to examine the direct effects of the study’s independent variables (job
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24 autonomy, work-related pressure, emotional exhaustion and depersonalisation) on the three
25
26 dependent measures: anxiety and depression symptoms, and early retirement intention. Socio-
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28 demographic covariates (age, gender, speciality, country, tenure as consultant) were
29
30 controlled for. Assumptions underlying multivariate linear regression were assessed by
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32 plotting residual errors and standardised residuals. Existence of multicollinearity was checked
33
34 through variance inflation factors, and Durbin-Watson tests were conducted for correlated
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36 residuals. All these diagnostics fell within the acceptable ranges for each multiple linear
37
38 regression model. SPSS (version 22) was used for data management and statistical analysis.
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40 Missing data was examined; with, in general, low levels observed (i.e., 1.9% in gender and
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42 0.7% for consultant speciality). Such data was excluded from the analysis due their non-
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44 significant size.
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49 Hayes⁴³ PROCESS Model 4 macro was used to examine the indirect effects that
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51 psychosocial work characteristics had on consultants’ psychological morbidity and turnover
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53 intention. Both emotional exhaustion and depersonalisation were examined as mediators
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55 within these relationships. This approach tests the indirect effect of both mediators, using a
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3 calculation of 1000 bias-corrected bootstrapped confidence intervals (95%). Bootstrapping
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5 estimates model parameters and their standard errors by the repeated sampling of the study
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7 sample. These do not assume that sampling distributions are normal, which is especially
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9 applicable for mediation analyses⁴⁴. Therefore, bootstrapping generates more accurate
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11 confidence intervals than other more commonly used mediation methods. In total six sets of
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13 analyses were carried out, with one set for each relationship both predictors (work-related
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15 pressure, job autonomy) and the three outcome measures (anxiety symptoms, depressive
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17 symptoms, early retirement intention). The paper is reported in accordance with the STROBE
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19 statement⁴⁵.

22 23 Results

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25 In total, 593 responses were completed. A full demographical breakdown is presented
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27 in Table 1. The sample was relatively evenly split between England (32.5%), Wales (32%)
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29 and Scotland (35.4%). The majority of respondents were male (63.1%), and aged between
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31 41-50 years (45.5%) and 51-60 years (31%). In total, eight speciality groups were
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33 represented, with the majority of respondents identifying as physicians (28.8%), surgeons
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35 (18.2%), anaesthesiologists (14%) and others' (15.7%).

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38
39 Table 1: Sample distribution and the proportion of the sample categorised as 'high' on the
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41 examined study variables

42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60	Characteristics of Sample	N (% ^a)	42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60		42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60	
			High Emotional Exhaustion	High Depersonalisation	High Anxiety symptoms	High Depressive symptoms
Substantive consultant	593 (100 %)	38.70%	13.00%	43.10%	36.10%	
Countries:						
England	193 (32.5%)	37.82%	12.95%	52.13%	43.09%	
Wales	190 (32.0%)	40.53%	12.63%	41.94%	38.17%	
Scotland	210 (35.4%)	37.91%	13.74%	51.92%	36.54%	
Gender:						

Male	374 (63.1 %)	37.43%	13.90%	47.33%	41.98%
Female	208 (35.1 %)	41.35%	12.02%	51.44%	31.25%
Not specified	11 (1.9 %)				
<hr/>					
Age:					
30 - 40 years	119 (20.1 %)	41.18%	16.67%	50.83%	37.50%
41 - 50 years	267 (45.5 %)	35.58%	12.36%	47.49%	40.15%
51 - 60 years	184 (31.0 %)	42.93%	13.04%	52.22%	37.78%
>60 years	23 (3.9 %)	26.09%	0.00%	28.00%	24.00%
<hr/>					
Speciality:					
Physician	171 (28.8%)	33.33%	14.04%	47.88%	35.15%
Surgeon	108 (18.2%)	43.52%	15.74%	48.54%	45.63%
Obstetrics/ Gynaecology	24 (4.0%)	37.50%	12.50%	41.67%	25.00%
Anaesthesia	83 (14.0%)	28.92%	13.25%	47.56%	40.24%
Radiology	41 (6.9%)	36.59%	12.20%	46.34%	36.59%
Psychiatry	47 (7.9%)	46.81%	8.51%	52.08%	37.50%
Pathology/ Microbiology	22 (3.7%)	59.09%	9.09%	72.73%	54.55%
Other	93 (15.7%)	44.09%	12.90%	47.31%	34.41%
Not specified	4 (0.7%)				

^a After excluding missing values

Table 1 provides an overview of the proportion of the sample that were categorised as scoring 'high' on the examined study variables. These comparisons are not based on inferential statistics and are only for descriptive purposes. A sizable proportion of the survey sample reported a high level of depressive and anxiety symptoms. Four out of 10 consultants surveyed reported a high frequency of anxiety symptoms, and over a third of the sample were categorised as having a high degree of depressive symptoms. In relation to burnout, 38.7% of participants reported a high level of emotional exhaustion and 13% depersonalisation. The means, standard deviations and internal reliability for all study variables are presented in Table 2. Bivariate two-tailed parametric correlations among the independent and dependent measures are also provided in the same table.

Table 2: Descriptive statistics, correlations and reliability coefficients among study variables

Variable	Internal reliability	1	2	3	4	5	6	7	8	9
1. Job autonomy	0.88	6.15 (3.04)	-0.01	-0.23**	0.15**	0.25**	0.33**	0.108	-0.01	-0.21**
2. Work-related pressure	0.90		11.71 (3.78)	0.40**	0.15**	0.20**	0.18**	-0.04	-0.06	0.10*
3. Emotional exhaustion	0.92			23.38 (1.67)	0.53**	0.57**	0.61**	0.02	-0.01	0.21**
4. Depersonalisation	0.85				5.70 (5.74)	0.40**	0.40**	-0.03	-0.08	0.14**
5. Anxiety symptoms	0.84					8.53 (4.84)	0.81**	-0.09*	-0.04	0.16**
6. Depression symptoms	0.91						8.92 (5.36)	-0.04	0.01	0.21**
7. Tenure	-							11.27 (7.29)	0.87**	-0.10*
8. Age	-								47.63 (7.47)	-0.11**
9. Early Retirement	-									1.58 (1.40)

Note. Mean (SD) are reported diagonally; ** $p < .01$ level (2-tailed). * $p < .05$ level (2-tailed).

Direct effects between psychosocial work characteristics and psychological morbidities

Table 3 presents the results from the three multiple linear regression analyses. Consultants' job autonomy negatively predicted the frequency of their anxiety ($\beta = -0.10$, $p < .01$) and depressive ($\beta = -0.17$, $p < .01$) symptoms, and their early retirement intention ($\beta = -0.19$, $p < .01$). Surprisingly, work-related pressure did not predict any of the three dependent measures.

In terms of the burnout dimensions, emotional exhaustion positively predicted the frequency of consultants' anxiety ($\beta = .47$, $p < .01$) and depressive ($\beta = .54$, $p < .01$) symptoms, and stated intentions to retire early ($\beta = .13$, $p < .05$). Depersonalisation not only had smaller effect sizes than emotional exhaustion, but was only found to predict anxiety ($\beta = .47$, $p < .01$) and depressive ($\beta = .54$, $p < .01$) symptoms. The association between depersonalisation and early retirement intention was not significant.

Table 3: Multiple linear regression analyses – Dependant variables: anxiety symptoms, depressive symptoms and early retirement intention

Predictors	Anxiety Symptoms B/ β [CI]	Depressive Symptoms B/ β [CI]	Early Retirement Intention B/ β [CI]
(Constant)	-0.021	-0.84	2.89
Job autonomy	-0.15** / -0.1 [0.027, -0.04]	-0.30** / -.17 [-0.42, -0.17]	-0.09** / -.19 [-0.13, -0.05]
Work-related pressure	-0.03 / .02 [-.012, 0.07]	-0.07 / -.07 [-0.17, 0.03]	0.01 / .03 [-.02, 0.05]
Emotional exhaustion	0.19** / .47 [0.16, 0.23]	0.25** / .54 [0.21, 0.29]	0.02* / .13 [0.01, 0.03]
Depersonalisation	0.14** / .16 [0.07, 0.20]	0.11** / .12 [0.04, 0.18]	0.01 / .02 [-0.02, 0.03]
Specialty			
Pathology or Microbiology	0.97 / .04 [-0.84, 2.78]	0.71 / .02 [-1.22, 2.64]	-0.17 / -.02 [-0.82, 0.48]
Surgery	-0.75 / -.06 [-1.72, 0.22]	-0.53 / -.04 [-1.56, 0.50]	-0.09 / -.02 [0.43, 0.27]
Anaesthesia	-0.28 / -.02 [-1.34, 0.78]	0.28 / .02 [-0.85, 1.41]	-0.13 / -.03 [-0.51, 0.25]
Radiology	0.60 / .03 [-0.74, 1.95]	0.46 / .02 [-0.97, 1.90]	-0.08 / -.01 [-0.56, 0.41]
Psychiatry	0.34 / .02 [-0.94, 1.63]	-0.27 / -.01 [-1.64, 1.10]	0.27 / .05 [-0.19, 0.73]
Obstetrics/Gynaecology	-1.64 / -.07 [-3.31, 0.03]	-2.01* / -.08 [-3.79, -.22]	0.13 / .02 [0.48, 0.73]
Other	-0.62 / -0.05 [-1.61, 0.37]	-0.36 / -.02 [-1.42, 0.69]	-0.16 / -.04 [-0.52, 0.20]
Physician (ref)			
Age	0.17** / .27 [0.08, 0.26]	0.18** / .25 [0.08, 0.27]	-0.03 / -.13 [-0.06, 0.01]
Gender (male)	-1.17** / -.12 [-1.87, -0.47]	-0.02 / .01 [-0.77, -0.73]	-0.12 / -.04 [-0.37, 0.14]
Work experience (years)	-0.19** / -.29 [0.29, -0.10]	-0.18** / -.25 [-0.28, -0.08]	0.01 / 0.05 [-0.02, 0.04]
Country			
Wales	-0.82* / -.08 [-1.59, -0.04]	-0.69 / -.06 [-1.52, 0.14]	0.04 / 0.01 [-0.24, 0.32]
England	-0.24 / -0.02 [-1.03, 0.56]	-0.01 / .01 [-0.86, 0.84]	-0.14 / -0.04 [-0.42, 0.15]
Scotland (ref)			

Note. * $p < .05$, ** $p < .01$; CI: 95% unstandardised confidence intervals.

Indirect effects between psychosocial work characteristics and psychological morbidities

Fewer relationships involving socio-demographic variables were found. High frequency of anxiety symptoms associated with older consultants ($\beta=.17, p<.01$), consultants with less experience ($\beta=-.19, p<.01$) and being male ($\beta=-.12, p<.01$). Surveyed consultants from Wales had more frequent anxiety symptoms than compared to those sampled from Scotland ($\beta=-.08, p<.05$). For depressive symptoms, being older ($\beta=.18, p<.01$) and less experienced ($\beta=-.18, p<.01$) was associated with more frequent depressive symptoms. In terms of speciality, Obstetrics & Gynaecology consultants reported less depressive symptoms ($\beta=-.08, p<.05$) than Physicians. Surveyed consultants' intention to seek early retirement was not associated with any of the socio-demographic variables measured.

Six mediation analyses were carried using Hayes⁴³ PROCESS macro to examine the mediating role of emotional exhaustion and depersonalisation (Table 4). Within each mediation analysis, socio-demographic variables that were found to predict the dependent variable in the multiple linear regressions above were controlled for. For anxiety symptoms this was gender, age, experience and consultants from Wales; for depressive symptoms age, tenure and Obstetrics & Gynaecology were controlled for.

Table 4: Estimates, standard errors, and confidence interval for indirect effects

Predictor - Mediator	Anxiety symptoms ^a			Depressive symptoms ^b			Early retirement intention		
	B	SE	95% CI	B	SE	95% CI	B	SE	95% CI
Job autonomy - Emotional exhaustion	-0.18	0.04	-.26, -.11	-0.22	0.04	-.31, -.14	0.02	0.01	.01, .03
Job autonomy - Depersonalisation	-0.04	0.02	-.09, -.02	-0.03	0.02	-.08, -.01	0.01	0.01	-.01, .01
Work-related pressure - Emotional exhaustion	0.25	0.04	.19, .34	0.33	0.04	.24, .42	-0.03	0.01	-.04, -.01
Work-related pressure - Depersonalisation	0.03	0.01	.01, .05	0.03	0.01	.01, .05	-0.01	0.01	-.01, .01

Note. ^aControlled for gender, age, experience, and consultants from Wales; ^bControlled for age, experience, and Obstetrics & Gynaecology consultants.

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3 In all six mediation analyses carried out, the direct relationships between the
4 independent, mediator and dependent measures were consistent with those from the multiple
5 linear regressions above, as such they are not reported here. In addition to direct effects, a
6 series of significant indirect effects were observed. Emotional exhaustion was observed to
7 mediate the relationship between job autonomy and all three outcome measures: anxiety ($B=-$
8 $.18$, $SE=.04$, $p<.05$) and depressive symptoms ($B=-.22$, $SE=.04$, $p<.05$) and early retirement
9 intention ($B=.02$, $SE=.01$, $p<.05$). Depersonalisation was observed to partially mediate the
10 relationship between job autonomy and anxiety ($B=-.04$, $SE=.02$, $p<.05$) and depressive
11 symptoms ($B=-.03$, $SE=.02$, $p<.05$). Depersonalisation, however, did not mediate the
12 relationship between job autonomy and intentions for early retirement.
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25 The mediation analyses did not observe any direct effects between work-related
26 pressure and any other three dependent measures— congruent with the multiple linear
27 regressions. However, evidence of indirect effects among the study variables was observed
28 via both core burnout dimensions. Emotional exhaustion fully mediated the relationship
29 between work-related pressure and the frequency of consultants' anxiety ($B=.25$, $SE=.04$,
30 $p<.05$) and depressive symptoms ($B=.33$, $SE=.04$, $p<.05$), and stated intentions for early
31 retirement ($B=-.03$, $SE=.01$, $p<.05$). Depersonalisation fully mediated the association between
32 work-related pressures and anxiety ($B=.03$, $SE=.01$, $p<.05$) and depressive ($B=.03$, $SE=.01$,
33 $p<.05$) symptoms. No indirect effect between work-related pressure and early retirement
34 relationship as mediated by depersonalisation was observed.
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50 Discussion

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53 A large, and growing, research literature has examined the impact of psychosocial
54 work characteristics in relation to a myriad of work-related wellbeing measures^{5,7,8,12}. This
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2 hazard–harm relationship is within the general working population strongly evidenced.
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4 However, it is important to understand the nature and driving mechanisms of this hazard-
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6 harm association within its given vocational and organisational contexts²¹. In consideration of
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8 this, the current study examined the nature and underpinning mechanisms of such postulated
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10 associations within a unique, and grossly under researched vocational group: NHS hospital-
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12 based consultants.
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17 Approximately 40% of this sample were categorised as ‘high’ on emotional
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19 exhaustion, depressive symptoms and anxiety symptoms. These figures are higher than those
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21 reported by previous studies of NHS consultants^{46–49}, and are similar to equivalent surveys of
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23 junior doctors^{18,50}. These descriptive findings highlight the importance of considering the
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25 psychological health of doctors (at various stages of their career) as an imperative
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27 occupational health issue that necessitates targeted workplace intervention. This descriptive
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29 finding highlights, we believe, the importance of considering consultants as a particularly at-
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31 risk occupational group in regards to burnout and symptoms of psychological morbidity.
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35 The negative impact of poor job autonomy and work-related pressures has been
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37 strongly evidenced in relation to psychological morbidity symptoms and work-related
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39 attitudinal outcomes within the general working population^{3,4,9,10}. Like previous findings,
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41 poor job autonomy was found to directly predict the increased frequency of depressive and
42
43 anxiety symptoms among surveyed consultants and increased intentions to seek early
44
45 retirement. Furthermore, this observed association was partially mediated by reported burnout
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47 symptoms. To our knowledge this is the first study to examine burnout symptoms (i.e.,
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49 emotional exhaustions and depersonalisation) as a potential mediator between psychosocial
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51 work characteristics and psychological morbidity symptoms and work-related attitudinal
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53 outcomes as perceived by NHS consultants. However more broadly, these empirical findings
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55 contribute to a small, albeit growing, research domain within the wider burnout literature. It
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3 provides evidence of burnout's contributory role to work-related wellbeing as both an
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5 outcome, but also an intervening variable. We would speculate that the nature of this
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7 association would also, by extension, be predicative of work-related behaviours (e.g., leaving
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9 the profession) and performance among medical professionals. However, this speculation
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11 remains untested but remains an important avenue for future research.
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14 In contrast to the existing literature^{3,4}, work-related pressure did not have a direct
15
16 association with surveyed consultants' reported symptoms of psychological morbidities and
17
18 intentions to seek early retirement. However, surveyed consultants' reported symptoms of
19
20 emotional exhaustion and depersonalisation were both observed to fully mediate the
21
22 relationship between their perceived work-related pressures and reported psychological
23
24 morbidities. It is important to highlight that the findings derived from the mediation analysis
25
26 are based on cross-sectional data. However, it does suggest that while excessive and chronic
27
28 work-related pressures may not be directly associated with surveyed consultants'
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30 psychological health, instead it appears that the experience of burnout may play an important
31
32 role facilitating its detrimental impact. However, such postulations of directionality and
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34 causality require further systematic longitudinal investigation.
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38 Despite the mediating role of the burnout dimensions, depersonalisation did not
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40 operate as a mediator where early retirement intention was an outcome. This is perhaps not
41
42 surprising, as depersonalisation is defined as withdrawal from fully engaging with their
43
44 current role²¹. Therefore, it may be that some consultants who cope at work by
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46 depersonalising may be less inclined to see early retirement as a method to leave their work
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48 environment. Nevertheless, the relationship that depersonalisation has with both depressive
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50 and anxiety symptoms suggests that this is not a healthy coping mechanism.
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54 *Practical implications*

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3 Our findings highlight the value of workplace health interventions targeted at
4 adapting working conditions and proactively managing burnout among the NHS staff. One
5 approach to improve the psychosocial work environment is job crafting which has been found
6 to improve medical specialists' wellbeing and performance⁵¹. Socio-demographic variables
7 had some relationship with consultants' psychological morbidity symptoms, which warrants
8 further exploration in future research. Nevertheless, psychosocial work characteristics remain
9 better predictors of the study outcomes used. Consequently, comprehensive interventions that
10 simultaneously targeting organisational, material and work-time related conditions may be
11 more beneficial to worker health, than interventions only targeting the individual³⁸.
12 Government and healthcare leaders need to consider how future organisational decisions in
13 the NHS may further impact on consultants' psychosocial work characteristics and, in turn,
14 their work-related wellbeing. Although the NHS is under increasing demands and financial
15 strain, greater dialogue between consultants, hospital managers and government officials, at
16 both the local and national level, will go some way in influencing how work-related pressure
17 and job autonomy are perceived and managed^{34,35}.

35 36 *Conclusion*

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38 The findings from the current study contribute to the small, but growing research area,
39 namely: the examination of NHS's consultants' work-related wellbeing and the contributing
40 role of their psychosocial working environment. Another important empirical contribution of
41 this study is the observed evidence of experienced burnout symptoms mediating (either
42 partially or fully) the observed relationship between job autonomy and work-related pressures
43 in relation to surveyed consultants' psychological morbidity symptoms. This highlights the
44 salient role of burnout as an intervening variable, and is especially concerning given the high
45 prevalence of consultants scoring as 'high' on the burnout and psychological morbidity
46 symptom measures. These findings emphasise the importance of preventing and mitigating
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3 burnout, and the cultivation of skill discretion and autonomy among consultants as an
4 important organisationally-focused preventive strategy. This is important, not only for the
5 NHS' ability to provide safe and high quality care^{30,52}; but also to maintain and retain a
6 healthy and productive workforce²⁹.
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Contributors

AK and JH conceived the study concept and design. AK and KT drafted the first version of the manuscript. KT and JH provided critical revision of the manuscript as it went through the revision process. SI and KT did the data management and statistical analyses. All authors contributed to the content and critical revision of the report, and agreed to submit the report for publication.

Declaration of interests

We have no competing interests to declare.

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3 **Figure 1.** Postulated direct and indirect effects of job autonomy and work-related pressures
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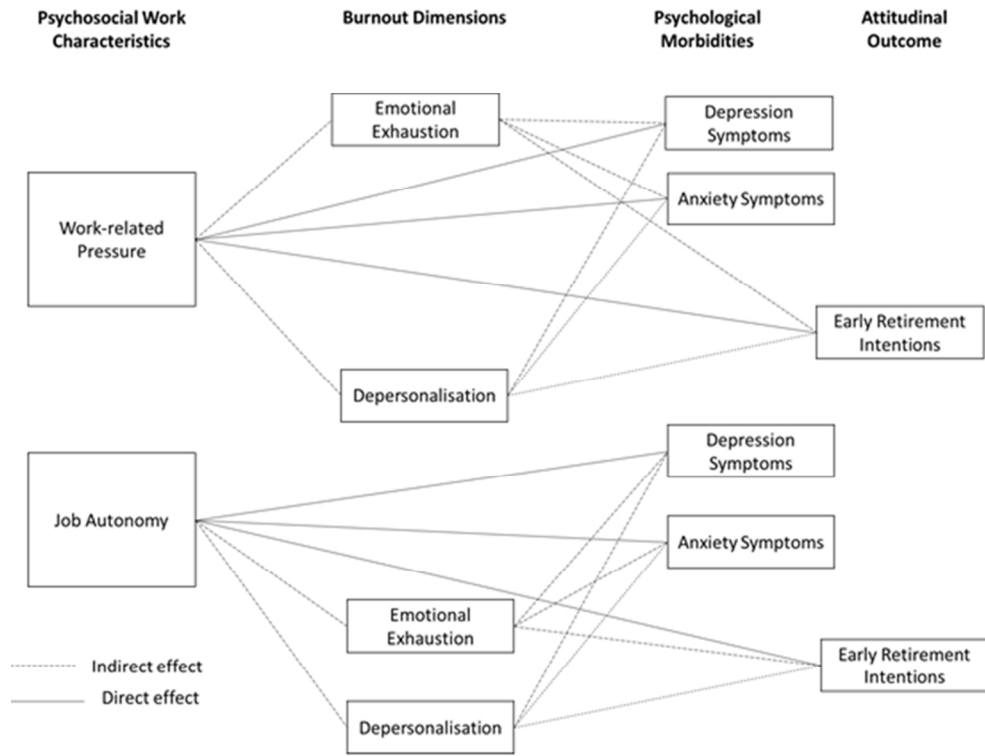


Figure 1. Postulated direct and indirect effects of job autonomy and work-related pressures

83x63mm (300 x 300 DPI)

STROBE Checklist for Psychosocial Work Characteristics, Burnout, Psychological Morbidity Symptoms and Early Retirement Intentions: A Cross-sectional Study of NHS Consultants in the United Kingdom.

STROBE Statement—Checklist of items that should be included in reports of *cross-sectional studies*

	Item No	Recommendation	Location
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	In title the term "cross-sectional" is included
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	Abstract based on the journal's structure is included
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	Pg. 1 of the manuscript, paragraph 1.
Objectives	3	State specific objectives, including any prespecified hypotheses	Pg. 6 under "Study Aims"
Methods			
Study design	4	Present key elements of study design early in the paper	Pg. 7 under "study design and sample"
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	Pg. 7 under "study design and sample"
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	Pg. 7 under "study design and sample"
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	Variables are introduced in the Introduction
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	Pg. 8 under "Measures"
Bias	9	Describe any efforts to address potential sources of bias	
Study size	10	Explain how the study size was arrived at	Pg. 7 under "study design and sample"
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	Pg 9 under "Statistical analysis"
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	Pg 9 under "Statistical analysis"
		(b) Describe any methods used to examine subgroups and interactions	Not applicable

		(c) Explain how missing data were addressed	Pg. 7 under “study design and sample”
		(d) If applicable, describe analytical methods taking account of sampling strategy	Pg 9 under “Statistical analysis”
		(e) Describe any sensitivity analyses	Not applicable
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	Pg. 7 under “study design and sample”
		(b) Give reasons for non-participation at each stage	Not applicable
		(c) Consider use of a flow diagram	Not applicable
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	Table 1
		(b) Indicate number of participants with missing data for each variable of interest	Table 1
Outcome data	15*	Report numbers of outcome events or summary measures	Table 2
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Tables 2-4
		(b) Report category boundaries when continuous variables were categorized	Not applicable
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	Not applicable
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	Tables 2-4
Discussion			
Key results	18	Summarise key results with reference to study objectives	Pg. 16, first paragraph of discussion
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	Embedded in the Discussion section
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	Discussion section
Generalisability	21	Discuss the generalisability (external validity) of the study results	Discussion section
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for	Not applicable

the original study on which the present article is based

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

BMJ Open

Psychosocial Work Characteristics, Burnout, Psychological Morbidity Symptoms and Early Retirement Intentions: A Cross-sectional Study of NHS Consultants in the United Kingdom.

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Psychosocial Work Characteristics, Burnout, Psychological Morbidity Symptoms and Early Retirement Intentions: A Cross-sectional Study of NHS Consultants in the United Kingdom.

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Data Sharing Statement

Individual participant data collected under this study were deidentified which are reported in the form of tables. The original proposal of the study will be available upon request. Deidentified data will be shared for non-commercial research purpose given the consent of the lead author after the publications as per guidelines of the BMJ Open.

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Transparency Declaration Lead author (Dr Atir Khan) affirms that the manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as planned (and, if relevant, registered) have been explained.

Abstract

OBJECTIVES The objectives of this study are twofold. First, to examine the direct effect of psychosocial work characteristics (as measured by job autonomy and work-related pressure) in relation to self-reported psychological morbidity symptoms and early retirement intentions among a sample of hospital consultants in the National Health Service (NHS). Second, to investigate burnout as mediating variable (i.e., indirect effect) of these postulated associations.

DESIGN A cross-sectional observational study.

PARTICIPANTS 593 NHS consultants (Male = 63.1%) from hospitals in England, Scotland and Wales.

MEASURES Self-reported online questionnaires on work-related pressure and job autonomy (Job Demands-Resources Questionnaire); emotional exhaustion and depersonalisation (Maslach Burnout Inventory); depressive and anxiety symptoms (State Trait Personality Inventory); and a single-item on early retirement intention.

RESULTS This study observed high prevalence rates across all adverse health measures: emotional exhaustion (38.7%), depersonalisation (20.7%), anxiety symptoms (43.1%), and depressive symptoms (36.1%). Multiple linear regressions examined the postulated direct and indirect effects. Job autonomy had significant negative direct effects on the frequency of NHS consultants' anxiety and depressive symptoms, and their intention to retire early. Both emotional exhaustion and depersonalisation mediated the relationships that work-related pressure (full mediation) and job autonomy (partial mediation) had with self-reported symptoms of psychological morbidities. Only emotional exhaustion mediated the relationships where early retirement intention was the outcome. In terms of socio-demographic factors, age and years' experience predicted both burnout dimensions and psychological morbidity.

CONCLUSIONS This is the first study to observe job autonomy to be associated with the number of self-reported psychological morbidity symptoms and early retirement intentions in a sample of NHS consultants. Burnout dimensions mediated these relationships, indicating that interventions need to focus on enhancing working conditions and addressing burnout among NHS consultants before more severe symptoms of psychological morbidity are reported. This study has implications for NHS policy makers and senior leadership.

STRENGTHS AND LIMITATIONS OF THIS STUDY

- This is the first study to demonstrate the mediating role of burnout between psychosocial work characteristics of NHS consultants and their reported depressive and anxiety symptoms and intentions to seek early retirement.
- Mediations were examined using Hayes' PROCESS Model 4 macro with bootstrapping, which generates more accurate confidence intervals than other more commonly used mediation methods.
- This is a cross-sectional study design. As such, any interpretation of causality is not appropriate, as it is plausible that the relationship between the variables measured are reciprocal in nature.
- All study variables were drawn from self-report data of the individual consultant, making it vulnerable to common method bias.
- We were not able determine the response rate as we are unaware as to how many NHS trusts and health boards who agreed to participate actually followed through and sent the survey link to their consultants.

Introduction

The National Health Service (NHS) is one of the world's largest employers, with 1.3 million staff in England and Wales caring for an estimated 243 million patients annually¹. For this system to continue to provide safe, sustainable and patient-centred care the wellbeing and retention of its workforce are critical considerations. The NHS performs comparatively worse across many measures of staff wellbeing; with sickness absence rates, on average, 27% higher than the UK public sector and 46% higher across all sectors². Understanding the nature and impact of psychosocial working conditions on medical professionals' work-related wellbeing is, we believe, of clear empirical importance. In addition, its practical value in the development of evidenced-based workplace preventative solutions cannot be understated.

Psychosocial work characteristics and work-related wellbeing

Exposure to poor psychosocial work characteristics (e.g., poor job autonomy, work-related pressures, injustice at work, insufficient leadership) have been linked to a myriad of work-related wellbeing (inclusive of physical, psychological, behavioural and attitudinal) outcomes, including for example: poor mental health^{3,4}; increased health impairing behaviours (e.g., increased smoking⁵, alcohol consumption⁶); poor physical health (e.g., coronary heart disease^{7,8}; reduced job satisfaction⁹; intention to quit¹⁰; and diminished organisational commitment¹¹). In short, there is strong research to suggest that exposure to poor psychosocial work characteristics poses a clear risk to employees' health and work engagement. However, the nature and mechanisms underpinning such associations must be examined and understood at various levels (e.g., community, sectoral, and organisational), and within various vocational groups and occupational roles¹².

Within the healthcare sector, much of the available research has focused on a limited number of occupational groups (e.g., nurses^{13,14}; social workers^{15,16}; and, to a lesser degree,

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3 doctors^{17,18}). The importance of focusing on different occupational groups is evident in a
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5 study of German intensive care units, where nurses, junior physicians and senior physicians
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7 reported different levels of burnout, turnover intention and perceived nonbeneficial patient
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9 treatment¹⁹. While the extant literature examining doctors specifically is increasing, there has
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11 (to date) been little attempt to examine the nature of this association within a unique
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13 vocational subgroup: NHS hospital consultants. These doctors are among the most
14
15 experienced and trained within this vocational group²⁰. Their role as educators and
16
17 supervisors of the next generation of doctors and nurses means their organisational
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19 contribution in the development of the current and future healthcare workforce is
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21 imperative²¹.

22 23 24 25 *The Mediating Role of Burnout*

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28 Burnout is one of the most commonly studied wellbeing constructs within the
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30 healthcare sector²²; and, in particular, as a measure of work-related wellbeing among doctors.
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32 Burnout refers to a prolonged psychological response to chronic emotional and interpersonal
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34 stresses related to work^{23,24}, and manifests through symptoms of emotional exhaustion,
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36 depersonalisation and reduced personal accomplishment. *Emotional exhaustion* denotes being
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38 emotionally overextended and exhausted by work. *Depersonalisation* refers to an impersonal
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40 feeling towards people, and reduced *personal accomplishment* encompasses the reduced
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42 work effectiveness due to emotional exhaustion and depersonalisation. Studies^{22,25,26} have
43
44 found strong and consistent support for the emotional exhaustion and depersonalisation
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46 dimensional factors of burnout; and are, therefore, typically viewed as its' core conceptual
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48 components. However, the evidence surrounding professional accomplishment is
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50 comparatively mixed. Consequently, personal accomplishment is generally viewed as a
51
52 separate, but related entity²⁵.

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3 Evidence derived from reviews and meta-analyses demonstrate a significant
4 association between poor psychosocial working conditions and doctors' self-reported burnout
5 symptoms^{17,18}. Within the extant literature, burnout has predominantly been conceptualised
6 and investigated as the outcome of a poor psychosocial work environment. However, there is
7 increasing attention being paid to the potential role of burnout as an antecedent to a range of
8 health-related, attitudinal and behavioural outcomes, including: depression and anxiety²⁷,
9 substance abuse²⁸, work performance²⁹, turnover intention³⁰ and patient care³¹. This is not
10 surprising, as burnout depletes workers' energy and coping resources, which may results in
11 increased physiological and psychological strain³². This may, in turn, trigger and exacerbate
12 symptoms of psychological morbidity and work disengagement; and, consequently in the
13 long term, interfere with performance³³. The role of burnout has also been examined in
14 relation to turnover intention, notably where healthcare workers' working conditions³⁴ and
15 perceived nonbeneficial patient treatment¹⁹ were predictors. Focusing specifically on doctors,
16 to date limited research has sought to examine burnout as a possible mediating variable in
17 relation to doctors' perceived working condition and their psychological health and
18 experienced work-related attitudes. This study aims to address this gap in knowledge.
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38 **Study Aims**

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41 The objectives of this study are twofold. Firstly, to examine the direct effect of
42 psychosocial work characteristics (job autonomy and work-related pressure) in relation to
43 self-reported psychological morbidity symptoms and early retirement intentions among a
44 sample of hospital consultants in the NHS. Secondly, to investigate burnout as a mediating
45 variable (i.e., indirect effect) of this postulated association. Figure 1 provides a visual
46 representation of the study's postulated direct and indirect effects among independent and
47 dependent variables.
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7 **Method**

8 *Study design and sample*

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11 A cross-sectional quantitative survey was carried out between November and
12 December 2015. The Human Resources departments of all the Health Boards in Wales (n=7)
13 and Scotland (n=10) were approached to participate in this study, along with 12 NHS Trusts
14 from England. All agreed to forward an electronic survey, administered through Bristol
15 Online Survey, to all their consultants. It is not possible to determine how many consultants
16 actually received the survey. We used the response from a sample size of 500 consultants.
17 This is based on a sample size calculation using survey sample method that considered a total
18 consultant population of 10,000 across these Health Board and Trusts (assuming a proportion
19 of 50%, a confidence interval of 95% and allowing 5% margin of error). The calculation
20 yielded a required sample of 370 responses. This was increased to a larger sample of 500 to
21 obtain better representation of the overall population. The study received ethical approval
22 from Birkbeck University of London.
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41 *Measures*

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44 Surveed consultants provided information on socio-demographic details (age,
45 gender, speciality, country, tenure as consultant), work-related pressure, job autonomy,
46 burnout, early retirement intention, and anxiety and depressive symptoms.
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51 *Psychosocial work characteristics*

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54 Two specific psychosocial work characteristics were examined by the current study:
55 job autonomy and work-related pressure. The decision to examine only two (out of myriad of
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possible psychosocial work characteristic) was based on what the contemporary literature repeatedly highlights as particularly salient work characteristics to NHS consultants³⁵⁻³⁷. These also serve as proxies for the two dimensions of the Job Demand-Control Model³⁸. The English version of the Job Demands-Resources Questionnaire³⁹ was used to assess work-related pressure and job autonomy. Work-related pressure consisted of four items and job autonomy three items. All items were rated on a Likert scale: 1 (never) to 5 (very often).

Burnout

The Maslach Burnout Inventory²³ was used to quantify the two examined dimensions of burnout: emotional exhaustion (9 items) and depersonalisation (5 items). Items were rated on a seven-point Likert scale (0, 'never' to 6, 'every day'). All subscale items were summed to create two composite scores. Higher scores are indicative of increased emotional exhaustion or depersonalisation. The summed scores on the two utilised composite measures were used to stratify the sample into 'high' and 'low' reference categories, with established norm scores of health professionals used to inform the categorization process^{40,41}. A composite score of 27 or higher on the emotional exhausted scale and 13 or higher on the depersonalisation scale were used to categorise participants in the 'high' group. The 'low' group consisted of those with a score of 13 or lower for emotional exhaustion, and 5 or lower for depersonalisation.

Psychological Morbidity Symptoms

Symptoms of trait depression and anxiety were measured by the State Trait Personality Inventory⁴². This 80-item inventory contains eight scales that measures state and trait-related depression, anxiety, curiosity and anger. Only the ten-item scales pertaining to trait-related depression and anxiety were included in this study. The scales are among the most widely used for anxiety and depression with strong psychometric properties⁴²⁻⁴⁴. The

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3 frequency of each item was rated on a four-point Likert scale (1, 'almost never' and 4,
4 'almost always'), with high scores representing more frequent experience of anxiety and
5 depressive symptoms. Although neither measure has clinically diagnostic cut-off points,
6 participants in this study with scores higher than the 75th percentile of UK working norm
7 scores were classed as high scoring (≥ 18 for anxiety; ≥ 20 for depression).
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13 14 *Work-related Attitudinal Outcome: Early retirement intention*

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17 Depending on the type of scheme, NHS consultants have the option of retiring at age
18 60 (1995 section) or 65 years (2008 section). Therefore, early retirement intention was
19 assessed through one Likert-based item commonly used in epidemiological surveys of the
20 working population⁴⁵: "do you think you will be doing this job when you are 60 years old".
21 Anchored from 0 ("I would not even want to be") to 6 "yes".
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28 *Statistical analysis*

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31 Descriptive statistics and study measures' internal consistency using Cronbach's
32 alpha were analysed. Subsequently, bivariate two-tailed parametric correlations were
33 calculated to examine the statistical association between study variables. Three multiple
34 linear regression models were then carried out to examine the direct effects of the study's
35 predictor variables (job autonomy, work-related pressure, emotional exhaustion and
36 depersonalisation) on the three dependent measures: anxiety and depressive symptoms, and
37 early retirement intention. Two additional multiple linear regressions tested the direct effects
38 that job autonomy and work-related pressure had on emotional exhaustion and
39 depersonalisation. Socio-demographic covariates (age, gender, speciality, country, tenure as
40 consultant) were controlled for. Assumptions underlying multivariate linear regression were
41 assessed by plotting residual errors and standardised residuals. Existence of multicollinearity
42 was checked through variance inflation factors, and Durbin-Watson tests were conducted for
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3 correlated residuals. All these diagnostics fell within the acceptable ranges for each multiple
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5 linear regression model. SPSS (version 22) was used for data management and statistical
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7 analysis. Missing data was examined; with, in general, low levels observed (i.e., 1.9% in
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9 gender and 0.7% for consultant specialty). Such data was excluded from the analysis due
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11 their non-significant size.
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14 Hayes⁴⁶ PROCESS Model 4 macro was used to examine the indirect effects that
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16 psychosocial work characteristics (i.e., job autonomy and work-related pressure) had on
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18 consultants' psychological morbidity and turnover intention. Both emotional exhaustion and
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20 depersonalisation were examined as mediators within these relationships. This approach tests
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22 the indirect effect of both mediators, using a calculation of 1000 bias-corrected bootstrapped
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24 confidence intervals (95%). Bootstrapping estimates model parameters and their standard
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26 errors by the repeated sampling of the study sample. These do not assume that sampling
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28 distributions are normal, which is especially applicable for mediation analyses⁴⁷. Therefore,
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30 bootstrapping generates more accurate confidence intervals than other more commonly used
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32 mediation methods. In total six sets of analyses were carried out, with one set for each
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34 relationship both predictors (work-related pressure, job autonomy) and the three outcome
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36 measures (anxiety symptoms, depressive symptoms, early retirement intention). Consultants'
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38 gender, age, experience, country and speciality were included as covariates. The paper is
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40 reported in accordance with the STROBE statement⁴⁸.
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45 *Patient and public involvement*

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48 No patients or the public were involved in this study.
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50 **Results**

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53 In total, 593 responses were completed. A full demographical breakdown is presented
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55 in Table 1. The sample was relatively evenly split between England (32.5%), Wales (32%)
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and Scotland (35.4%). The majority of respondents were male (63.1%), and aged between 41-50 years (45.5%) and 51-60 years (31%). In total, eight speciality groups were represented, with the majority of respondents identifying as physicians (28.8%), surgeons (18.2%), anaesthesiologists (14%) and others' (15.7%).

Table 1: Sample distribution and the proportion of the sample categorised as 'high' on the examined study variables

Sample Characteristics	N (% ^a)	Burnout Dimensions				Psychological Morbidities	
		Emotional Exhaustion		Depersonalisation		High Anxiety symptoms	High Depressive symptoms
		High	Low	High	Low		
Total sample	593 (100 %)	38.70%	21.59%	20.74%	58.85%	43.10%	36.10%
Countries:							
England	193 (32.5%)	37.82%	8.8%	22.27%	56.48%	52.13%	43.09%
Wales	190 (32.0%)	40.21%	27.51%	20.11%	58.73%	41.94%	38.17%
Scotland	210 (35.4%)	37.91%	18.48%	20.38%	61.13%	51.92%	36.54%
Gender:							
Male	374 (63.1 %)	37.43%	25.67%	21.39%	55.88%	47.33%	41.98%
Female	208 (35.1 %)	41.35%	13.94%	20.19%	64.42%	51.44%	31.25%
Not specified	11 (1.9 %)						
Age:							
30 - 40 years	119 (20.1 %)	41.18%	20.83%	25.83%	57.50%	50.83%	37.50%
41 - 50 years	267 (45.5 %)	35.58%	21.35%	19.10%	58.43%	47.49%	40.15%
51 - 60 years	184 (31.0 %)	42.93%	20.00%	20.54%	58.38%	52.22%	37.78%
>60 years	23 (3.9 %)	26.09%	41.67%	12.50%	79.17%	28.00%	24.00%
Tenure:							
< 1 year	25 (4.2%)	28.00%	24.00%	24.00%	64.00%	60.00%	53.00%
1 – 5 years	135 (22.9%)	37.04%	22.96%	20.76%	62.22%	56.29%	50.37%
6 – 10 years	154 (26.1%)	70.91%	20.13%	18.18%	56.49%	50.00%	43.51%
11 – 15 years	112 (19.0%)	37.50%	21.43%	22.32%	54.46%	50.89%	40.18%
16 – 20 years	86 (14.6%)	39.53%	15.12%	24.41%	59.30%	50.00%	44.19%
> 21 years	78 (13.2%)	41.02%	28.20%	17.94%	61.54%	39.74%	33.33%
Not specified	3 (0.5%)						
Speciality:							
Physician	171 (28.8%)	33.33%	22.35%	19.41%	61.18%	47.88%	35.15%
Surgeon	108 (18.2%)	43.52%	19.44%	27.48%	46.30%	48.54%	45.63%
Obstetrics/ Gynaecology	24 (4.0%)	37.50%	12.50%	12.50%	66.67%	41.67%	25.00%
Anaesthesia	83 (14.0%)	28.92%	28.91%	25.30%	54.22%	47.56%	40.24%
Radiology	41 (6.9%)	36.59%	24.39%	19.51%	65.85%	46.34%	36.59%

Psychiatry	47 (7.9%)	46.81%	22.92%	10.40%	62.50%	52.08%	37.50%
Pathology/ Microbiology	22 (3.7%)	59.09%	18.18%	9.09%	63.64%	72.73%	54.55%
Other	93 (15.7%)	44.09%	17.20%	22.58%	65.59%	47.31%	34.41%
Not specified	4 (0.7%)						

^a After excluding missing values

Note: Risk for emotional exhaustion (high: ≥ 27 ; low ≤ 13) and depersonalisation (high: ≥ 13 ; low ≤ 5) was based on composite score on the Maslach Burnout Inventory; High depressive (≥ 20) and anxiety (≥ 18) was based on composite score on the State Trait Personality Inventory representing more frequent experience of symptoms.

Table 1 provides an overview of the proportion of the sample that were categorised as scoring 'high' on the examined study variables. These comparisons are not based on inferential statistics and are only for descriptive purposes. A sizable proportion of the survey sample reported a high level of depressive and anxiety symptoms. Four out of 10 consultants surveyed reported a high frequency of anxiety symptoms, and over a third of the sample were categorised as having a high degree of depressive symptoms. In relation to burnout, 38.7% of participants reported a high level of emotional exhaustion and 20.74% depersonalisation. The means, standard deviations and internal consistency for all study variables are presented in Table 2. All utilised composite measures demonstrated a satisfactory level of internal consistency. Bivariate two-tailed parametric correlations among the predictor and dependent measures are also provided in the same table.

Table 2: Descriptive statistics, correlations and reliability coefficients among study variables

Variable	Internal consistency (Cronbach α)	1	2	3	4	5	6	7	8	9
1. Job autonomy	0.88	6.15 (3.04)	-0.01	-0.23**	0.15**	0.25**	0.33**	0.108	-0.01	-0.21**
2. Work-related pressure	0.90		11.71 (3.78)	0.40**	0.15**	0.20**	0.18**	-0.04	-0.06	0.10*
3. Emotional exhaustion	0.92			23.38 (1.67)	0.53**	0.57**	0.61**	0.02	-0.01	0.21**
4. Depersonalisation	0.85				5.70 (5.74)	0.40**	0.40**	-0.03	-0.08	0.14**
5. Anxiety symptoms	0.84					8.53 (4.84)	0.81**	-0.09*	-0.04	0.16**
6. Depressive symptoms	0.91						8.92 (5.36)	-0.04	0.01	0.21**
7. Tenure	-							11.27 (7.29)	0.87**	-0.10*

8. Age	-	47.63 (7.47)	-0.11**
9. Early Retirement	-		1.58 (1.40)

Note. Mean (SD) are reported diagonally; ** $p < .01$ level (2-tailed). * $p < .05$ level (2-tailed).

Direct effects between psychosocial work characteristics and psychological morbidities

Fewer relationships involving socio-demographic variables were found. High frequency of anxiety symptoms associated with older consultants ($\beta = .17, p < .01$), consultants with less experience ($\beta = -.19, p < .01$) and being male ($\beta = -.12, p < .01$). Surveyed consultants from Wales had more frequent anxiety symptoms than compared to those sampled from Scotland ($\beta = -.08, p < .05$). For depressive symptoms, being older ($\beta = .18, p < .01$) and less experienced ($\beta = -.18, p < .01$) was associated with more frequent depressive symptoms. In terms of speciality, Obstetrics & Gynaecology consultants reported less depressive symptoms ($\beta = -.08, p < .05$) than Physicians. Surveyed consultants' intention to seek early retirement was not associated with any of the socio-demographic variables measured.

Table 3 presents the results from the five multiple linear regression analyses. Consultants' job autonomy negatively predicted the level of consultant's emotional exhaustion ($\beta = -.26, p < .01$) and depersonalisation ($\beta = -.17, p < .01$), as well as the frequency of their anxiety ($\beta = -0.10, p < .01$) and depressive ($\beta = -0.17, p < .01$) symptoms, and their early retirement intention ($\beta = -0.19, p < .01$). Work-related pressure only predicted exhaustion ($\beta = .38, p < .01$) and depersonalisation ($\beta = .14, p < .01$), but not any of the other three dependent measures.

In terms of the burnout dimensions, emotional exhaustion positively predicted the frequency of consultants' anxiety ($\beta = .47, p < .01$) and depressive ($\beta = .54, p < .01$) symptoms, and stated intentions to retire early ($\beta = .13, p < .05$). Depersonalisation only predicted anxiety ($\beta = .16, p < .01$) and depressive ($\beta = .12, p < .01$) symptoms. The association between depersonalisation and early retirement intention was not significant.

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Table 3: Multiple linear regression analyses – Dependant variables: anxiety symptoms, depressive symptoms and early retirement intention

Predictors	Emotional Exhaustion B/ β [CI]	Depersonalisation B/ β [CI]	Anxiety Symptoms B/ β [CI]	Depressive Symptoms B/ β [CI]	Early Retirement Intention B/ β [CI]
(Constant)	19.74		-0.021	-0.84	2.89
Job autonomy	-0.99** / .26 [-1.29, -0.69]	-0.33** / -.17 [-0.48, -0.18]	-0.15** / -0.1 [0.027, -0.04]	-0.30** / -.17 [-0.42, -0.17]	-0.09** / -.19 [-0.13, -0.05]
Work-related pressure	1.19** / .38 [0.96, 1.45]	0.22** / .14 [0.09, 0.34]	-0.03 / .02 [-.012, 0.07]	-0.07 / -.07 [-0.17, 0.03]	0.01 / .03 [-.02, 0.05]
Emotional exhaustion			0.19** / .47 [0.16, 0.23]	0.25** / .54 [0.21, 0.29]	0.02* / .13 [0.01, 0.03]
Depersonalisation			0.14** / .16 [0.07, 0.20]	0.11** / .12 [0.04, 0.18]	0.01 / .02 [-0.02, 0.03]
Specialty					
Pathology or Microbiology	6.58** / .10 [0.33, 12.06]	-1.19 / -.04 [-3.60, 1.38]	0.97 / .04 [-0.84, 2.78]	0.71 / .02 [-1.22, 2.64]	-0.17 / -.02 [-0.82, 0.48]
Surgery	2.00 / .07 [-0.54, 4.52]	1.84* / .12 [0.45, 3.33]	-0.75 / -.06 [-1.72, 0.22]	-0.53 / -.04 [-1.56, 0.50]	-0.09 / -.02 [0.43, 0.27]
Anaesthesia	-.03 / -.01 [-2.95, 2.98]	0.81 / .05 [-0.60, 2.32]	-0.28 / -.02 [-1.34, 0.78]	0.28 / .02 [-0.85, 1.41]	-0.13 / -.03 [-0.51, 0.25]
Radiology	-2.72 / -.06 [-5.67, 0.66]	-1.09 / -.05 [-2.88, 0.79]	0.60 / .03 [-0.74, 1.95]	0.46 / .02 [-0.97, 1.90]	-0.08 / -.01 [-0.56, 0.41]
Psychiatry	2.97 / .07 [-0.58, 0.66]	0.04 / .01 [-1.58, 1.72]	0.34 / .02 [-0.94, 1.63]	-0.27 / -.01 [-1.64, 1.10]	0.27 / .05 [-0.19, 0.73]
Obstetrics/ Gynaecology	-1.68 / -.03 [-6.04, 3.04]	-0.40 / -.01 [-2.83, 2.41]	-1.64 / -.07 [-3.31, 0.03]	-2.01* / -.08 [-3.79, -.22]	0.13 / .02 [0.48, 0.73]
Other	1.87 / -.03 [-0.54, 4.80]	0.32 / .02 [-1.14, 1.73]	-0.62 / -0.05 [-1.61, 0.37]	-0.36 / -.02 [-1.42, 0.69]	-0.16 / -.04 [-0.52, 0.20]
Physician (ref)					
Age	-2.11* / -.14 [-3.95, -0.27]	-2.00** / -.28 [-2.97, -1.01]	0.17** / .27 [0.08, 0.26]	0.18** / .25 [0.08, 0.27]	-0.03 / -.13 [-0.06, 0.01]
Gender (male)	-.17 / -.07 [-3.70, 0.01]	0.16 / .01 [-0.84, 1.17]	-1.17** / -.12 [-1.87, -0.47]	-0.02 / .01 [-0.77, -0.73]	-0.12 / -.04 [-0.37, 0.14]
Work experience (years)	0.30** / .19 [0.08, 0.50]	0.18** / .22 [0.07, 0.28]	-0.19** / -.29 [0.29, -0.10]	-0.18** / -.25 [-0.28, -0.08]	0.01 / 0.05 [-0.02, 0.04]
Country					
Wales	-0.64 [-2.69, 1.52]	0.13 / .23 [-1.18, 1.03]	-0.82* / -.08 [-1.59, -0.04]	-0.69 / -.06 [-1.52, 0.14]	0.04 / 0.01 [-0.24, 0.32]
England	-0.80 [-2.95, 1.30]	-0.12 / -.01 [-1.18, 1.03]	-0.24 / -0.02 [-1.03, 0.56]	-0.01 / .01 [-0.86, 0.84]	-0.14 / -0.04 [-0.42, 0.15]
Scotland (ref)					
F (df)	12.82	3.64	21.94	26.26	3.48
df	14, 569	14, 568	16, 568	16, 568	16, 561

Note. * $p < .05$, ** $p < .01$; CI: 95% unstandardised confidence intervals.

Indirect effects between psychosocial work characteristics and psychological morbidities

Six mediation analyses were carried using Hayes⁴⁶ PROCESS macro to examine the mediating role of emotional exhaustion and depersonalisation. Table 4 presents that emotional exhaustion mediated the relationship between job autonomy and all three outcome measures: anxiety (-0.20, [95% CI -0.27, -0.12]) and depressive symptoms (-0.24, [95% CI -0.33, -0.15]) and early retirement intention (0.02, [95% CI 0.01, 0.04]). Depersonalisation was observed to partially mediate the relationship between job autonomy and anxiety (-0.05, [95% CI -0.09, -0.02]) and depressive symptoms (-0.04, [95% CI [-0.08, -0.01])). Depersonalisation, however, did not mediate at all the relationship between job autonomy and intentions for early retirement. Comparison of both mediators show that emotional exhaustion was the stronger mediator in relation to anxiety (-0.15, [95% CI -0.23, -0.08]) and depressive symptoms (-0.24 [95% CI -0.29, -0.11]), but not for early retirement intention (0.02, [95% CI -0.01, 0.04]).

Table 4: Estimated coefficients for mediation model with job autonomy as the predictor

Mediator	Outcome	Effect of predictor on mediator (a)	Effect of mediator on outcome (b)	Indirect effect of predictor on outcome (a*b) with 95% CI	Total effect of predictor on outcome (c)	Direct effect of predictor on outcome (c')
Emotional Exhaustion	Anxiety	-1.04**	0.19**	-0.20 [-0.27, -0.12]	-0.40**	-0.16**
Depersonalisation	Anxiety	-0.34**	0.14**	-0.05 [-0.09, -0.02]		
Emotional Exhaustion	Depressive symptoms	-1.04**	0.24**	-0.24 [-0.33, -0.15]	-0.60**	-0.31**
Depersonalisation	Depressive symptoms	-0.34**	0.11**	-0.04 [-0.08, -0.01]		
Emotional Exhaustion	Early retirement intention	-1.03**	-0.02**	0.02 [0.01, 0.04]	0.11**	0.09**
Depersonalisation	Early retirement intention	-0.34**	-0.01	0.01 [-0.01, 0.01]		

Note. Gender, age, experience, country and speciality were included as covariates. * $p < .05$, ** $p < .01$; CI: 95% unstandardised confidence intervals.

The mediation analyses did not observe any direct effects between work-related pressure and any other three dependent measures. However, evidence of indirect effects among the study variables was observed via both core burnout dimensions (Table 5). Emotional exhaustion fully mediated the relationship between work-related pressure and the frequency of consultants' anxiety (0.25, [95% CI 0.18, 0.33]) and depressive symptoms (0.33, [95% CI 0.25, 0.42]), and stated intentions for early retirement (0.03, [95% CI -0.05, -0.01]). Depersonalisation fully mediated the association between work-related pressures and anxiety (0.03, [95% CI 0.01, 0.06]) and depressive (0.03, [95% CI 0.01, 0.05]) symptoms. No indirect effect between work-related pressure and early retirement relationship as mediated by depersonalisation were observed. In all three relationships, emotional exhaustion operated as the stronger mediator: anxiety symptoms (0.22, [95% CI 0.15, 0.30]), depressive symptoms (0.30 [95% CI 0.22, 0.39]) and early retirement intention (0.03, [95% CI -0.05, -0.01])

Table 5: Estimated coefficients for mediation model with work-related pressure as the predictor

Mediator	Outcome	Effect of predictor on mediator (a)	Effect of mediator on outcome (b)	Indirect effect of predictor on outcome (a*b) with 95% CI	Total effect of predictor on outcome (c)	Direct effect of predictor on outcome (c')
Emotional Exhaustion	Anxiety Symptoms	1.22**	0.20**	0.25 [0.18, 0.33]	0.24**	-0.04
Depersonalisation	Anxiety Symptoms	0.23**	0.14**	0.03 [0.01, 0.06]		
Emotional Exhaustion	Depressive symptoms	1.22**	0.27**	0.33 [0.25, 0.42]	0.26**	-0.09
Depersonalisation	Depressive symptoms	0.23**	0.11**	0.03 [0.01, 0.05]		
Emotional Exhaustion	Early retirement intention	1.24**	-0.02**	-0.03 [-0.05, -0.01]	-0.04*	-0.01
Depersonalisation	Early retirement intention	0.23**	-0.01	-0.01 [-0.01, 0.01]		

Note. Gender, age, experience, country and speciality were included as covariates. * $p < .05$, ** $p < .01$; CI: 95% unstandardised confidence intervals.

Discussion

A large, and growing, research literature has examined the impact of psychosocial work characteristics in relation to a myriad of work-related wellbeing measures^{5,7,8,12}. This hazard-harm relationship is within the general working population strongly evidenced. However, it is important to understand the nature and driving mechanisms of this hazard-harm association within its given vocational and organisational contexts²². In consideration of this, the current study examined the nature and underpinning mechanisms of such postulated associations within a unique, and grossly under researched vocational group: NHS hospital-based consultants.

Approximately 40% of this sample were categorised as 'high' on emotional exhaustion, depressive symptoms and anxiety symptoms. These figures are higher than those reported by previous studies of NHS consultants⁴⁹⁻⁵², and are similar to equivalent surveys of junior doctors^{18,53}. It is, however, important to note that these comparison figures are drawn from studies that not only use different sampling approaches, but also used different measures for depressive and anxiety symptoms⁴⁹⁻⁵¹, or did not publish the cut-off points used^{18,52,53}. The latter is important considering that different studies using the same measures have utilized different cut-off points from each other⁴⁰. These descriptive findings highlight the importance of considering the psychological health of doctors (at various stages of their career) as an imperative occupational health issue that necessitates targeted workplace intervention. This descriptive finding highlights, we believe, the importance of considering consultants as a particularly at-risk occupational group in regards to burnout and symptoms of psychological morbidity.

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3 The negative impact of poor job autonomy and work-related pressures has been
4 strongly evidenced in relation to psychological morbidity symptoms and work-related
5 attitudinal outcomes within the general working population^{3,4,9,10}. Like previous findings,
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7 poor job autonomy was found to directly predict the increased frequency of depressive and
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9 anxiety symptoms among surveyed consultants and increased intentions to seek early
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11 retirement. Furthermore, this observed association was partially mediated by reported burnout
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13 symptoms. According to the conservation of resources theory (COR)⁵⁴, a depletion of energy
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15 and coping resources due to burnout can result in a downward spiral that exacerbates resource
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17 loss in other areas, including physiological and psychological resources that may trigger
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19 depressive and anxiety symptoms³³. Similarly, COR theory postulates that when faced with
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21 exhaustion, energy depletion and depersonalisation, doctors experiencing burnout may view
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23 leaving the profession as a mechanism in which to protect their remaining resources²⁴.
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25 Doctors leaving presents a significant loss of skill, knowledge and experience to the health
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27 service^{35,36}
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34 To our knowledge this is the first study to examine burnout symptoms (i.e., emotional
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36 exhaustions and depersonalisation) as a potential mediator between psychosocial work
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38 characteristics and psychological morbidity symptoms and work-related attitudinal outcomes
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40 as perceived by NHS consultants. However more broadly, these empirical findings contribute
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42 to a small, albeit growing, research domain within the wider burnout literature. It provides
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44 evidence of burnout's contributory role to work-related wellbeing as both an outcome, but
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46 also an intervening variable. We would speculate that the nature of this association would
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48 also, by extension, be predicative of work-related behaviours (e.g., leaving the profession)
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50 and performance among medical professionals. However, this speculation remains untested
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52 but remains an important avenue for future research.
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3 The surveyed consultants' in this study reported symptoms of emotional exhaustion
4 and depersonalisation were both observed to fully mediate the relationship between their
5 perceived work-related pressures and reported psychological morbidities. This is consistent
6 with previous studies, using nurses³⁴ or mixed occupational groups¹⁹, that have found burnout
7 to mediate this relationship where turnover intention was the outcome measures. It is
8 important to highlight that the findings derived from the mediation analysis are based on
9 cross-sectional data. However, it does suggest that while excessive and chronic work-related
10 pressures may not be directly associated with surveyed consultants' psychological health,
11 instead it appears that the experience of burnout may play an important role facilitating its
12 detrimental impact. However, it is plausible that the relationship between job autonomy,
13 burnout, depressive and anxiety symptoms, and work-related stress may be reciprocal in
14 nature. Therefore, such postulations of directionality and causality require further systematic
15 longitudinal investigation.
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31 Despite the mediating role of the burnout dimensions, depersonalisation did not
32 operate as a mediator where early retirement intention was an outcome. This is perhaps not
33 surprising, as depersonalisation is defined as withdrawal from fully engaging with their
34 current role²². Therefore, it may be that some consultants who cope at work through
35 depersonalisation behavioural and cognitive actions may be less inclined to see early
36 retirement as a method to leave their work environment, evidenced by an absence of this
37 relationship in the analysis above. Nevertheless, the relationship that depersonalisation has
38 with both depressive and anxiety symptoms suggests that this is not a healthy coping
39 mechanism. It is also plausible that the resource and energy draining nature of emotional
40 exhaustion means it is a stronger driver of NHS consultants looking to retire early.
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53 *Practical implications*

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3 Although reviews^{55,56} have highlighted that individual-level cognitive, behavioural
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5 and mindfulness based interventions can be effective in coping with burnout in the healthcare
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7 sector, our findings highlight the value of workplace health interventions targeted at adapting
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9 working conditions and proactively managing burnout among the NHS staff. One approach to
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11 improve the psychosocial work environment is job crafting which has been found to improve
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13 medical specialists' wellbeing and performance⁵⁷. Socio-demographic variables had some
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15 relationship with consultants' psychological morbidity symptoms, which warrants further
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17 exploration in future research. Nevertheless, psychosocial work characteristics remain better
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19 predictors of the study outcomes used. Consequently, comprehensive interventions that
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21 simultaneously targeting organisational, material and work-time related conditions may be
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23 more beneficial to worker health, than interventions only targeting the individual⁵⁸.
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25 Government and healthcare leaders need to consider how future organisational decisions in
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27 the NHS may further impact on consultants' psychosocial work characteristics and, in turn,
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29 their work-related wellbeing. Although the NHS is under increasing demands and financial
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31 strain, greater dialogue between consultants, hospital managers and government officials, at
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33 both the local and national level, will go some way in influencing how work-related pressure
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35 and job autonomy are perceived and managed^{36,37}.
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39 40 *Strengths and limitations*

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43 This study, to the best of our knowledge, is the first to demonstrate the mediating role
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45 of burnout between psychosocial work characteristics of NHS consultants and their reported
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47 depressive and anxiety symptoms and intentions to seek early retirement. Using a broad range
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49 of standard psychometric measures with reported cut-off scores allows for comparison with
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51 other studies of this (and other) professional groups. Also, Hayes' PROCESS Model 4 macro
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53 with bootstrapping was used to test mediations, which generates more accurate confidence
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55 intervals than other more commonly used mediation methods.
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3 In addition to the issue of cross-sectional data already discussed, a number of further
4 limitations need to be acknowledged. First, because email invitations were sent via hospitals,
5 we were not able to determine the response rate for this study. The sample size is smaller than
6 that of past research into the prevalence of psychological morbidity amongst UK
7 consultants^{1,2}. This means that any generalizing this study's findings to the NHS consultant
8 population, or even consultants more broadly, needs to acknowledge these sample and
9 sampling limitations. The second limitation recognises that all study variables were drawn
10 from self-report data of the individual consultant, making it vulnerable to common method
11 bias; but, at the same time, this is an established method in quantitative research. Finally, it is
12 important to reiterate that the measures used for depressive and anxiety symptoms do not
13 reflect a clinical diagnosis of depression and anxiety. Instead, it represents the number of
14 relevant symptoms and, therefore, these prevalence statistics should not be conflated with
15 actual levels of depression or anxiety.
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31 *Conclusion*

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34 The findings from the current study contribute to the small, but growing research area,
35 namely: the examination of NHS's consultants' work-related wellbeing and the contributing
36 role of their psychosocial working environment. Another important empirical contribution of
37 this study is the observed evidence of experienced burnout symptoms mediating (either
38 partially or fully) the observed relationship between job autonomy and work-related pressures
39 in relation to surveyed consultants' psychological morbidity symptoms. This highlights the
40 salient role of burnout as an intervening variable, and is especially concerning given the high
41 prevalence of consultants scoring as 'high' on the burnout and psychological morbidity
42 symptom measures. These findings emphasise the importance of preventing and mitigating
43 burnout, and the cultivation of skill discretion and autonomy among consultants as an
44 important organisationally-focused preventive strategy. This is important, not only for the
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NHS' ability to provide safe and high quality care^{31,59}; but also to maintain and retain a healthy and productive workforce³⁰.

For peer review only

Other Information

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Author Contributions

AK and JH conceived the study concept and design. AK and KT drafted the first version of the manuscript. KT and JH provided critical revision of the manuscript as it went through the revision process. SI and KT did the data management and statistical analyses. All authors contributed to the content and critical revision of the report, and agreed to submit the report for publication.

Declaration of interests

We have no competing interests to declare.

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Figure 1. Postulated direct and indirect effects of job autonomy and work-related pressures

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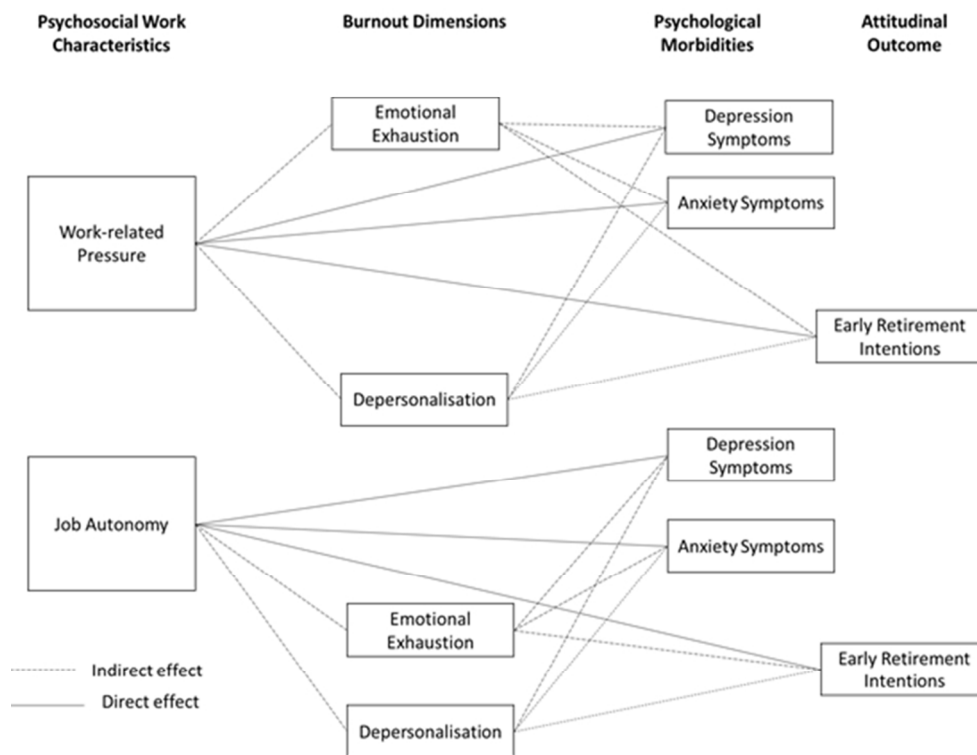


Figure 1. Postulated direct and indirect effects of job autonomy and work-related pressures

83x63mm (300 x 300 DPI)

STROBE Checklist for Psychosocial Work Characteristics, Burnout, Psychological Morbidity Symptoms and Early Retirement Intentions: A Cross-sectional Study of NHS Consultants in the United Kingdom.

STROBE Statement—Checklist of items that should be included in reports of *cross-sectional studies*

	Item No	Recommendation	Location
Title and abstract	1	(a) Indicate the study’s design with a commonly used term in the title or the abstract	In title the term “cross-sectional” is included
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	Abstract based on the journal’s structure is included
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	Pg. 4 of the manuscript, paragraph 1.
Objectives	3	State specific objectives, including any prespecified hypotheses	Pg. 6 under “Study Aims”
Methods			
Study design	4	Present key elements of study design early in the paper	Pg. 7 under “study design and sample”
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	Pg. 7 under “study design and sample”
Participants	6	(a) Give the eligibility criteria, and the sources and methods of selection of participants	Pg. 7 under “study design and sample”
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	Variables are introduced in the Introduction
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	Pg. 7 under “Measures”
Bias	9	Describe any efforts to address potential sources of bias	
Study size	10	Explain how the study size was arrived at	Pg. 7 under “study design and sample”
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	Pg 9 under “Statistical analysis”
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	Pg 9 under “Statistical analysis”
		(b) Describe any methods used to examine subgroups and interactions	Not applicable

		(c) Explain how missing data were addressed	Pg. 7 under “study design and sample”
		(d) If applicable, describe analytical methods taking account of sampling strategy	Pg 9 under “Statistical analysis”
		(e) Describe any sensitivity analyses	Not applicable
Results			
Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	Pg. 7 under “study design and sample”
		(b) Give reasons for non-participation at each stage	Not applicable
		(c) Consider use of a flow diagram	Not applicable
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	Table 1
		(b) Indicate number of participants with missing data for each variable of interest	Table 1
Outcome data	15*	Report numbers of outcome events or summary measures	Table 2
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	Tables 2-5
		(b) Report category boundaries when continuous variables were categorized	Not applicable
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	Not applicable
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	Tables 2-5
Discussion			
Key results	18	Summarise key results with reference to study objectives	Pg. 17, first paragraph of discussion
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	Pg. 20, strengths and limitations section
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	Discussion section
Generalisability	21	Discuss the generalisability (external validity) of the study results	Discussion section
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for	Title Page

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the original study on which the present article is based

*Give information separately for exposed and unexposed groups.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

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