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New graduate doctors' preparedness for practice: A multi-stakeholder, multi-centre narrative study

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New graduate doctors' preparedness for practice: A multi-stakeholder, multi-centre narrative study

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Contributor and guarantor information

LVM, CER, KM, AB and GJG contributed to the conception of the study. LVM, CER, KM, AB and GJG designed the work. KK, NK and CEK contributed to the acquisition of the data. All authors contributed to the analysis and interpretation of data. LVM, KM and CER drafted the initial manuscript. All authors revised the manuscript critically for important intellectual content and gave their final approval of the version to be published. LVM is the guarantor, agrees to be accountable for all aspects of the manuscript, has access to the data, made the final decision to submit and will ensure that any questions relating to the accuracy or integrity of any part of the manuscript are appropriately investigated and resolved.

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1
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10

11 **Transparency declaration**

12 Lynn V Monrouxe (the manuscript's guarantor) affirms that the manuscript is an honest, accurate,
13 and transparent account of the study being reported; no important aspects of the study have been
14 omitted; and any discrepancies from the study as planned (and, if relevant, registered) have been
15 explained.
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27 the above.
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31 **Competing interests**

32 "All authors have completed the ICMJE uniform disclosure form at
33 www.icmje.org/coi_disclosure.pdf and declare: LVM received a research grant from the GMC for this
34 research, KK and NK were employed using these funds; no financial relationships with any
35 organisations that might have an interest in the submitted work in the previous three years; no
36 other relationships or activities that could appear to have influenced the submitted work."
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38

39 **Patient consent**

40 Obtained.
41

42 **Ethics approval**

43 Central University Research Ethics Committee (CUREC) reference number 13/44; Joint Research
44 Ethics Committee, School of Medicine, Dentistry and Biomedical Sciences, Queen's University
45 Belfast, Reference number 13/25.
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48 **Data sharing statement**

49 The raw data for this research consists of audio-recordings of narrative interviews and audio
50 diaries. The principal investigator (Prof Lynn Monrouxe) has access to this specific data set,
51 including audio-recordings of interviews and interview transcripts, in addition to participant
52 contact details and signed consent forms. All authors have access to anonymised data from this
53 set. All data are securely stored securely and on password-protected and encrypted computers.
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Participants have not given their permission for data sharing outside the research group. Thus, no additional data is available.

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Abstract

Objective: While previous studies have begun to explore newly graduated junior doctors' preparedness for practice, findings are largely based on simplistic survey data or perceptions of newly graduated junior doctors and their clinical supervisors alone. This study explores, in a deeper manner, multiple stakeholders' conceptualisations of what it means to be prepared for practice and their perceptions about newly graduated junior doctors' preparedness (or unpreparedness) using innovative qualitative methods.

Design: A multi-stakeholder, multi-centre narrative study including narrative interviews and longitudinal audio diaries.

Setting: Four UK settings: England, Northern Ireland, Scotland and Wales.

Participants: Eight stakeholder groups comprising n=185 participants engaged in 101 narrative interviews (27 group and 84 individual). Twenty-six junior doctors in their first year post-graduation also provided audio diaries over a 3-month period.

Results: We identified 2,186 narratives across all participants (506 classified as 'prepared', 663 as 'unprepared', 951 as 'general'). Seven themes were identified; this paper focuses on two themes pertinent to our research questions: (1) explicit conceptualisations of preparedness for practice; and (2) newly graduated junior doctors' preparedness for the General Medical Council's (GMC) *outcomes for graduates*. Stakeholders' conceptualisations of preparedness for practice included short-term (*hitting the ground running*) and long-term preparedness, alongside being prepared for practical and emotional aspects. Stakeholders' perceptions of medical graduates' preparedness for practice varied across different GMC outcomes for graduates (e.g. Doctor as Scholar and Scientist, as Practitioner, as Professional) and across stakeholders (e.g. newly graduated doctors sometimes perceived themselves as prepared but others did not).

Conclusion: Our narrative findings highlight the complexities and nuances surrounding new medical graduates' preparedness for practice. We encourage stakeholders to develop a shared understanding (and realistic expectations) of new medical graduates' preparedness. We invite medical school leaders to increase the proportion of time that medical students spend participating meaningfully in multi-professional teams during workplace learning.

ARTICLE SUMMARY

Strengths and limitations of this study

- This is the first study to explore multiple stakeholders' perceptions of recent medical graduates' preparedness for practice including under-represented groups such as patient and public representatives and policy and government officials
- Our use of narrative interviewing and longitudinal audio diaries has enabled us to capture narratives of preparedness for practice temporally close to those experiences
- We collected large amounts of data from stakeholders based in all four UK countries, enhancing the transferability of our study findings
- Mapping preparedness to the GMC's outcomes for graduates enables the focus of future research and interventions to target those areas where graduates are 'underprepared'
- Given the participant-led nature of our data collection methods, we were only able to collect a partial picture of preparedness for practice for all GMC outcomes

Introduction

Everyone stands to benefit from medical graduates who are well prepared to start work as junior doctors. However, ensuring that those graduates are prepared for the complexity, and pressures, of today's practice is more challenging than ever. Firstly, as the healthcare needs of modern society are changing,^{1,2} the goal of preparedness constantly changes too. Secondly, our collective understanding of approaches to preventing, diagnosing and managing diseases is also developing.^{3,4} This in turn demands changes to established medical practice, new structures for healthcare delivery and novel approaches to medical education and training.⁵⁻⁷ There are different expectations and opportunities for new medical graduates today compared with previous generations.^{6,8} Finally, there is a lack of clarity about the task of preparing medical graduates for practice; an important yet thorny question is 'preparedness for what exactly?'.^{9,10} There is a difference between preparing graduates for immediate practice, and preparing them for careers in medicine across a wide range of specialities in an ever-changing healthcare environment. The urgent need for research and development in the area of newly graduated doctors' preparedness is highlighted, for example, by studies reporting increased incidences of adverse patient outcomes over the time period when new graduates start work as junior doctors,^{11,12} the major challenges and impact on patient care resulting from financial and staffing pressures and the associated risk of burnout for newly graduated junior doctors.¹³ Improving new graduate doctors' preparedness for practice is therefore likely to have tangible positive impacts on patient outcomes.

Despite significant investment in medical education in the UK over recent years, a report found that only 70% of new graduate doctors felt they were well prepared for their first doctor role.¹⁴ Importantly, clinical supervisors also feel that new graduate doctors are not always well prepared for their roles and report their concerns that patient care and safety may be negatively affected when new graduate doctors initially start work.¹⁵ Arguably, new graduate doctors will never feel fully prepared for starting clinical practice. Indeed, given the complex and unpredictable nature of clinical care, undue confidence prior to gaining direct experience might seem inappropriate. There are numerous studies published about medical graduates' preparedness for practice, most of which are quantitative retrospective cross-sectional surveys of graduate perceptions,¹⁶⁻¹⁹ with fewer studies employing qualitative or longitudinal approaches and exploring the perceptions of other stakeholders about graduate preparedness such as clinical supervisors.²⁰ A recent rapid review of the literature about preparedness for practice of UK medical graduates,¹⁰ found that very few studies defined preparedness for practice and that the evidence was mixed in terms of many aspects of preparedness. There were marked variations across this literature in terms of perceptions of preparedness from one trainee to the next, within trainees across time, and across research tools in terms of what new medical graduates report feeling prepared for (or not).¹⁰ Recent graduate junior doctors typically reported feeling prepared for history taking, performing physical examinations, some procedural skills (e.g. venepuncture), communication with patients and colleagues, and understanding their own limitations.¹⁰ However, they typically felt less prepared for prescribing, clinical reasoning, early management of acutely unwell patients, some procedural skills (e.g. wound suturing), multi-disciplinary team-working and handover, reporting and dealing with error and safety incidents, understanding how the clinical environment works, time management, and ethical and legal issues.¹⁰ Importantly, the rapid review flagged further limitations in the existing literature (e.g. focus on short-term preparedness, and reliance on self-report of recently graduated doctors only)

and recommended multi-site and longitudinal research designs using a range of research methods: “to understand the concept and process of preparedness alongside the variety of individual, cultural and organisational issues that might impact on this”.¹⁰

According to Eva and Regehr, a range of factors can affect individuals’ self-reports: individuals’ beliefs in their own abilities to complete tasks (self-efficacy); their abilities to draw context-free general conclusions about their own skills or knowledge in specific domains (self-concept); individuals’ access to their own knowledge (meta-cognition); the various heuristics and ‘short-cuts’ in thinking that individuals use (cognition); their pattern-recognition and fact-checking (models of expert performance); and reflective practice.²¹ The implication from this work is that, in isolation, quantitative self-report measures of ‘preparedness in general’ are unlikely to be a meaningful and useful construct of whether newly graduated doctors are *actually* prepared for practice. Eva and Regehr,²¹ drawing on Schön,²² also make the distinction between ‘reflection-on-practice’ and ‘reflection-in-practice.’ Importantly, they assert that ‘reflection-on-practice’ is more accurate when considering specific events (rather than generalised events), when they reflect on a situation regarding a *particular* patient than when rating “one’s own strengths and weaknesses in an acontextual manner” (p.S53). Given the reliance of the majority of previous research on simplistic data, and the lack of multi-site and longitudinal study designs,¹⁰ this study presents a large multi-stakeholder, multi-centre narrative interview study, which aimed to understand the extent to which current UK medical graduates are prepared for practice. To the best of our knowledge, this is the largest study of its kind and provides uniquely rich and contextualised insights into medical graduates’ preparedness for practice in the UK.

Aims and research questions

We aim to explore issues around preparedness for practice in terms of how the concept is understood across a range of stakeholder groups and to understand aspects in which new medical graduates are deemed prepared (or unprepared) for clinical practice with the following two broad research questions (RQ):

- RQ1: How do stakeholders conceptualise ‘preparedness for practice’?
- RQ2: To what extent do various stakeholders perceive recent medical graduates to be prepared for practice, and what factors do they attribute to this?

Methods

Design

A qualitative narrative interview and longitudinal audio diary design was used. Narrative interviewing was employed as it provides an opportunity for participants to ground their contributions in actual lived experiences.²³ Thus, narratives begin to overcome the acontextual nature of event reporting that presently prevails in the literature.²¹ Furthermore, audio diaries, which were recorded by the newly graduated doctors, provided them with an opportunity to select and narrate ongoing events close to the time of those events, and in the privacy of their own space. Longitudinal audio diaries therefore facilitated participants’ remembering and the conveying of their feelings during those events.²⁴

Patient and public involvement

A group of six patient and public representatives (PPRs) were consulted prior to the design of the study to ask their opinions on how to include patients and their families in the study (e.g. recruitment, best data collection methods). Dr Philip Bell was appointed the PPR for the study by the group. Prior to data collection Dr Bell was interviewed by two researchers (KK and CK) who used the interview protocol designed by the wider team. Through this interview he advised on changes in terminology and the focus of questions to enable us to develop the interview protocol specifically for the PPR groups. Due to the nature of the interview (being focussed on the design of the protocol) we did not use his interview in the final analysis. Patients' involvement in the recruitment of other patient participants took the form of snowballing (a recruitment method whereby participants invite their peers to join them in the study). All PPI participants were given a copy of the final report to the General Medical Council who funded the programme of research.

Participants

Eight stakeholder groups comprising n=185 individuals participated in the interviews. The largest group comprised newly graduated doctors: n=34 PGY1s (postgraduate year 1 doctors, we use this terminology as it is internationally recognised; these comprised newly graduated [approximately 4 months] junior doctors) and n=23 PGY2s (in the UK junior doctors register with the General Medical Council -GMC- at the end of their PGY1 year, so these are newly registered doctors). Other stakeholder groups comprised: n=32 CEs (clinical educators); n=30 DTPLs (deans and training programme leads); n=13 HCPs (healthcare professionals, e.g. nurses, pharmacists etc.); n=7 EMPs (employers); n=25 PPRs (patient and public representatives) and n=11 POLs (policy and government officials).

PGY1 and PGY2 doctors were mainly aged between 25-34 (74%) and 62% were female. The healthcare stakeholders (CE, DTPL, HCP and EMP) were mainly aged between 30-59 (79%) with 42% female. The PPRs were mainly 60+ years (72%) and 68% female. Twenty-six PGY1s recorded audio-diaries for an average of 3 months: aged 25-29, 50% female, 77% direct-entry undergraduates. Additionally, 19/26 participated in an exit interview.

Data collection

Twenty-seven group and 84 individual interviews were held (total 94hr 30min data: average 56 mins). Additionally, 254 discrete audio-diary entries were submitted from the 26 PGY1 participants (comprising 18hr 9min; average 4mins 30sec per audio diary; range 32sec-13min 13sec). Furthermore, we held four group and seven individual exit interviews with 19/26 PGY1s (total 7hr 48min, average 43min). Taking advice from our reference group (see acknowledgements), we employed multiple methods of recruitment including: email; notices on notice-boards; snowballing; and face-to-face recruitment during formal curricula. Information sheets and consent forms were sent to prospective participants. PGY1s were asked to 'opt-into' the audio-diary phase during interview sessions. Interviews were conducted in a quiet room at participants' convenience, one participant had her carer with her, who remained silent during the interview. Five researchers (KK, GS, JC, NK, CK: see acknowledgements) conducted the interviews across the four UK settings, all of whom were trained together for the narrative interviewing process prior to data collection. One researcher

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3 (CJ: see acknowledgements) led the audio-diary data collection. The interviews all began with an
4 orienting question: what does the phrase 'preparedness for practice' mean to you? Next, we asked
5 participants: 'how prepared are you for practice?' or 'how prepared do you think medical graduates
6 are for practice?' and then employed narrative interviewing techniques to elicit stories from
7 participants about specific events of their own or involving new medical graduates'
8 (un)preparedness for practice. For the audio diaries, we sensitised participants to the following
9 prompt: 'please tell us of a time since you last spoke with us when you felt prepared for practice and
10 also a time when you felt less prepared', in order to collect narratives of (un)preparedness over the
11 3-month data collection period.
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Data analysis

The data were transcribed and the audios and transcriptions were managed via Atlas.ti.²⁵ The thematic Framework Analysis method was used comprising: (1) familiarisation, (2) identifying a coding framework, (3) coding, (4) charting, and (5) mapping and interpretation:²⁶

(1) Familiarisation: Ten researchers (LVM, CER, AB, KM, JC, CJ, KK, CK, NK and GS) and two clinical consultants (see Acknowledgements) each read a transcript from an interview (either focus group or individual interview) across the different participant groups and up to three audio-diary transcripts with PGY1 doctors, with each transcript being read by at least two researchers.

(2) Development of coding framework: A series of face-to-face and video-conference meetings were held across two days with researchers discussing themes identified inductively from the data. An existing coding framework (developed via a rapid review of the literature)¹⁰ was then mapped onto the inductive framework (by LVM), ensuring that all outcomes for UK medical graduates, and preparedness themes and subthemes previously identified, were included as 'potential codes'. A coding framework outlining all themes, sub-themes, definitions and illustrative quotes, alongside coding instructions, was produced to facilitate coding consistency by multiple coders.

(3) Coding: KK led the coding with additional work by CK, CJ and LVM. The coders met regularly to discuss developments and provide feedback on one another's coding decisions. LVM double-checked a subset of coding for consistency. The unit of analysis was the narratives of personal experience with narratives coded to the themes and sub-themes they addressed and the level of preparedness narrated by the participant (if any). However, many narratives were complex with elements of both preparedness and unpreparedness. We therefore classified the narratives according to how the narrators constructed the events (e.g. explicitly saying something such as 'a time when I felt prepared...'). Further coding of additional information, such as context (e.g. where the event occurred) and facilitating/inhibiting factors was also undertaken.

(4) Charting: The data in Atlas.ti²⁵ were managed to facilitate retrieval by theme/sub-theme and participant group, enabling us to analyse similarities and differences across the data.

(5) Mapping and interpretation: LVM managed the data retrieval, mapping themes across participant groups and developing initial interpretations. These were developed further by CER, KM, GG, AB and KK and discussed in light of existing literature and theory.

Results

We identified n=2,186 narratives across all participants, of which n=506 were classified as 'prepared', n=663 as 'unprepared' and n=951 as 'general' (general events were not commented on in terms of preparedness).

Seven main themes were identified in the wider study: (1) Explicit conceptualisations of preparedness for practice; (2) Medical graduates' preparedness for GMC outcomes for graduates; (3) Medical graduates' preparedness for non-GMC outcomes; (4) Transitions and transition interventions; (5) Medical school experiences and preparedness; (6) Inhibiting and facilitating factors of medical graduates' preparedness; and (7) Bringing full registration forward.

In this paper, we report the findings of themes 1 and 2, with the remaining themes and analyses presented elsewhere.^{9 27-30} Note that we provide excerpts in accompanying boxes to illustrate our findings (participants' unique identifiers specify gender, participant group and number: e.g. M_PGY1_12 is a male, postgraduate year 1, participant number 12). We also indicate when the excerpt comes from an audio diary entry.

Theme 1: Explicit conceptualisations of preparedness for practice

Some participants struggled to conceptualise 'preparedness for practice', as evidenced by their faltering talk (Excerpt 1, Box 1). When they did begin to define the term, however, some focused on how preparedness meant passing exams in order to become a doctor, whereas others made a distinction between passing exams and actually being prepared to work as a new graduate doctor. Performing as a new graduate doctor included possessing the knowledge, skills and behaviours expected of them, but also included knowing limitations, prioritisation, managing stress, engendering patient trust and generally being a safe doctor (Excerpts 2 & 3, Box 1). Temporal aspects of preparedness also featured heavily in participants' talk. While short-term preparedness focused on graduates being able to hit the ground running (Excerpts 1 & 3, Box 1), longer-term preparedness involved readiness for a medical career, focusing on psychological and emotional aspects of preparedness (Excerpts 4 & 5, Box 1). Interestingly, some acknowledged that an undergraduate degree in medicine could not fully prepare new graduates for this longer-term preparedness (Excerpt 6, Box 1). Finally, preparedness was not just about knowledge and skills but also about dealing with psychological distress and possessing good physical health and mental resilience (Excerpt 6, Box 1).

BOX 1: EXPLICIT DEFINITIONS OF 'PREPAREDNESS FOR PRACTICE'

EXCERPT 1: “((Laughs)) (4 second pause) I suppose it's really how we felt prepared for what we were going to face as we started work from medical school, and whether we felt like the training was adequate for what we were going to be doing...” (M_PGY1_19)

EXCERPT 2: “it's a composite isn't it? It means they have the knowledge and the skills, they have the ability to organise themselves, and they have the communication emotional component... It's the whole package” (M_CE_55)

EXCERPT 3: “...when they graduate on their first day of the ward... they have the skills and ability to undertake those activities of a foundation doctor... part of that I think would also involve recognising their own limitation 'cause they're only out of university” (M_HSP_07)

EXCERPT 4: “it's a long term thing... it's preparation for a career in practice” (M_CE_31)

EXCERPT 5: “not just for that first day, not just for that first month, not even just for that first year, but to give them a foundation where they feel competent and confident to practice in the longer term... issues such as patient safety... the moral dilemmas that they'll come across as time goes by and what to do when they fail” (M_CE_3)

EXCERPT 6: “there is no way I think in any professional training that you can be fully prepared for the job you're going to do, because it's an academic training with some practical input...” (F_PPR_44)

EXCERPT 6: “that's complicated... it's both the ability to complete the job required, but also to be able to do it without causing mental problems... I think a lot of people are able to do the job satisfactory, but in a great deal of psychological distress... [it's] about... being in a state of

resilience..." (M_CE_21)

Theme 2: Newly graduating doctors' preparedness across the GMC's Outcomes for Graduates

This theme considers participants' narratives as a response to the broad question "how prepared are you [do you think medical graduates are] for practice?" We present our analysis according to the specific outcomes as set out in the GMC's outcomes for graduates.³¹ The sub-themes that follow therefore include: (2.1) Doctor as Scholar and Scientist; (2.2) Doctor as Practitioner; and (2.3) Doctor as Professional. It is important to understand that we did not specifically ask about these outcomes due to our open and narrative approach to questioning. Further, rather than neatly falling into single specific outcomes identified in the document, participants' narrated events were rich with detail, frequently cutting across more than one outcome domain. As such, many narratives were coded to multiple sub-themes, with some demonstrating *preparedness* for one outcome and *unpreparedness* for another.

2.1: Doctor as Scholar and Scientist

This sub-theme considers aspects such as medical graduates' abilities to apply biological, psychological and sociological principles and knowledge to practice and considers population health, healthcare improvement and research. Interestingly, very few participant groups contributed narratives to this sub-theme. Most of the data came from PGY1s directly and focussed on issues of biomedical scientific principles, with little data relating to psychological or sociological principles. There was a complete absence of narratives relating to population health, healthcare improvement or indeed research.

The vast majority of trainees' narratives related to situations where they felt prepared in terms of their biomedical scientific knowledge. Although some trainees narrated situations where they were able to translate this knowledge to the presenting patient, this preparedness was sometimes undermined by a lack of ward staff or clinical support (Excerpt 1, Box 2). Others admitted struggling to translate their knowledge into clinical practice (Excerpt 2 & 3, Box 2). Furthermore, patient and public representatives (PPRs) commented on PGY1s' lack of biomedical knowledge or lack of ability to translate knowledge into practice (Excerpt 4, Box 2). In terms of psychosocial aspects, some clinical educators and PPRs felt that a holistic understanding of patient care was lacking in PGY1s' care (Excerpt 5, Box 2).

BOX 2: NARRATIVE EXCERPTS FOR PREPAREDNESS FOR SCHOLAR AND SCIENTIST OUTCOMES

EXCERPT 1: "I understood the physiology of what was happening... I was able to grasp that she was not responding to the treatment, and even why... I did not feel comfortable having this patient under my care at night with just two doctors in the hospital... with no ICU [intensive care unit] available and no lab on site" (M_PGY1_01: audio diary)

EXCERPT 2: "I mean I knew a lot about diabetes, but when I'm there on the ward and someone comes to me and talks about setting up a sliding scale because someone's levels are too high, I found I knew a lot about the receptors and all these sort of like lofty things about how they work... I didn't know well enough, properly, how to put in place the treatment for it..." (M_PGY1_19)

EXCERPT 3: "in terms of dealing with actual things that came across, I'd say the theory was there, like hypoglycaemia, I could tell you exactly what to do and when to do it, and then when someone had hypoglycaemia I say where the kit is and I had never actually used the kit before, so I had this weird tube... I had an insulin syringe, I was just like 'what to do with this?'... it was like this much between my theoretical knowledge and how to do it" (M_PGY1_25: audio diary)

EXCERPT 4: "... there were some sort of glaring, glaringly weird things said which, you know, I'm thinking 'I didn't get an O-level in biology and I know... that's [liver] not there' so perhaps they weren't that far ((laughter)) into the training" (F_PPR_38)

EXCERPT 5: "it's very complex... you can't expect these very junior doctors to have all these insights... these days a lot of medical problems are not about taking a tablet to lower your blood pressure... it is about lifestyle... they've always been focused to rule out medical conditions that they have not focused on... what causes the pain... that is often the psycho-social and social environment" (F_CE_18)

2.2 Doctor as Practitioner

This sub-theme considers various aspects of medical graduates' preparedness such as their abilities to: conduct patient consultations; diagnose and manage conditions; communicate effectively; prescribe; conduct practical procedures; and use information effectively in the workplace. The outcomes associated with 'Doctor as Practitioner' were most prevalent across all participant group narratives.

Preparedness for patient consultations

Patient consultations include history taking, full physical examinations and assessing patients' decision-making capacities. In terms of history taking, both PGY1s and others narrated how PGY1s seemed prepared to take patient histories (Excerpt 1, Box 3). However, PGY1s stated that they often felt under-prepared for the high volume of patient consultations and anything unexpected or unusual regarding those consultations (Excerpt 1, Box 3). Other stakeholders commented that PGY1s had not yet understood their role in healthcare processes, lacking situational awareness (Excerpt 2, Box 3). Furthermore, when PGY1s transitioned into new wards they often encountered problems in terms of history taking for that particular specialty (Excerpt 1 and 3, Box 3). With respect to full physical examinations, PGY1s narrated their preparedness for conducting examinations (Excerpt 1, Box 3) and presenting their examination (and history) findings to their senior colleagues. Finally, although different participant groups talked about PGY1s' preparedness for understanding how to assess patient decision-making capacity, many participants commented that they found such assessments challenging in practice (Excerpt 4, Box 3).

Preparedness for diagnosing and managing conditions

The majority of narratives coded to this theme came from PGY1s, who recounted both preparedness- and unpreparedness for practice narratives in roughly equal measure. Multiple participant groups (including PGY1s, PGY2s, CEs, and POLs) felt that PGY1 doctors were mostly prepared to diagnose and plan treatments when cases were relatively straightforward (Excerpt 5, Box 3). However, PGY1s narrated feeling less well prepared for the diagnosis and management of acutely unwell patients, particularly in emergency situations when they struggled to find

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3 information, manage uncertainty and emotions, and prioritise (Excerpt 6, Box 3). While some
4 trainees narrated feeling better prepared for making diagnoses than patient management, others
5 such as senior doctors flagged cases of PGY1 doctors missing diagnoses and contributing to serious
6 patient safety issues (Excerpt 7, Box 3). Furthermore, PPRs expressed concern that PGY1s preferred
7 simple diagnoses, being reluctant to consider greater complexity or to support patients when asking
8 for a second opinion.
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11 Some PGY1s indicated that decisions were not purely their own responsibility but were that of the
12 wider interprofessional team. Here, PGY1s narrated dilemmas around when they should escalate
13 decisions with others. While PGY1s' narratives reported them being proactive in terms of diagnosis
14 and management, HCP participants often indicated that PGY1s were merely reporting diagnosis and
15 management in patients' notes rather than proactively acting on their investigation findings.
16 Furthermore, some HCPs talked about how they went over PGY1s' heads to discuss things directly
17 with their superiors as they believed the PGY1s would just follow orders rather than engage in
18 serious discussion about patient treatments (Excerpt 8, Box 3). Interestingly, both employers and
19 clinical educators expressed their concern about PGY1s' abilities to glean sufficient contextual
20 information about patients in order to consider diagnoses and management holistically. Indeed,
21 PGY1s' narratives tended to focus on the clinical aspects of diagnosis and management rather than
22 broader psychosocial or cultural aspects and their narratives rarely included them involving patients'
23 families or their carers when making diagnoses or developing management plans.
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28 PGY1s were felt to request too many expensive patient investigations, with trainees reporting over-
29 ordering investigations for fear of missing something (Excerpt 9, Box 3). Interestingly, trainees talked
30 about witnessing – or deferring to – their seniors' investigation patterns, which gave them the
31 necessary role modelling and reflective experience from which to consider their own place in
32 financial aspects of care (Excerpt 9, Box 3).
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35 In terms of factors that contributed towards PGY1s' preparedness, some trainees cited their
36 confidence in themselves, positive relationships with their supervisors and/or wider team, and prior
37 rote learning of *fire drills* (e.g. the ABCDE approach) and simulation learning as being facilitative
38 (especially the learning of fire drills for emergency situations). Contrary to this, other PGY2s and
39 some PGY1s felt that simulation learning comprised insufficient preparation for real world scenarios
40 where managing sick or dying patients, sometimes without support, was commonplace (Excerpt 6,
41 Box 3).
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44 Finally, despite the quantity of data in our study that mapped onto this subheading of diagnosis and
45 management, we found little evidence for some factors specified in the GMC's outcomes for
46 graduates, including trainees supporting patients' self-care, and identifying features of abuse in
47 patients.
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49 ***Preparedness for communicating effectively with patients and colleagues***

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51 While there was evidence in some participants' narratives that trainees could communicate
52 effectively and sensitively with patients and families, several areas of under-preparedness were
53 commonly narrated by PGY1 and PGY2 doctors in terms of patient-orientated communication,
54 including: communicating with particular 'types' of patients (e.g. patients with mental health
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3 conditions, patients who are emotional, patients with English as an additional language and/or
4 highly informed patients); managing complaints and breaking bad news (Excerpt 10, Box 3). Once
5 again, the issue of learning via simulation was deemed inadequate for communication preparedness
6 (by PGY1, PGY2, DTPL and HCP groups), due to the unpredictable nature and complexity and of real-
7 life interactions that occur *in situ*. Indeed, trainees commonly narrated communication challenges
8 with patients that were emotionally problematic for them, with trainees sometimes narrating fears
9 for their physical safety. While various participant groups indicated that PGY1s were prepared for
10 communicating with colleagues, participants also narrated communication challenges with respect
11 to multi-professional working such as clinical disputes with senior medical or nursing staff,
12 difficulties in gaining support from senior medical staff or HCPs, and handovers with insufficient
13 information received. Occasionally, serious communication breakdowns between nurses and PGY1
14 doctors were narrated, including confrontation, emotional distress and on-going teamwork
15 problems (Excerpt 11, Box 3). Interestingly, junior doctors narrated the importance of learning on
16 the job, suggesting that everyday experiences of interacting with different healthcare professionals
17 enabled them to develop the skills they needed over time (see multi-professional team-working
18 section below).

Preparedness for prescribing drugs safely, effectively and economically

24 Generally, our data suggest that medical graduates were less prepared for prescribing. Interestingly,
25 it was the HCP group who provided the strongest evidence around graduates' unpreparedness, with
26 the PGY1s narrating roughly equal numbers of prepared/unpreparedness events. While some
27 graduates narrated how practising prescribing skills during medical school and interprofessional
28 team working afforded adequate learning opportunities, others narrated prescribing difficulties
29 resulting from their limited *in situ* prescribing experiences, the complex (and sometimes urgent)
30 nature of the prescribing event, alongside a lack of support on the wards (Excerpts 12 and 13, Box 3).
31 They frequently narrated referring to the BNF (British National Formulary) during ward-based
32 prescribing, especially for double-checking their drug selection and dose calculations. Interestingly,
33 PGY2 doctors discussed their own unpreparedness for prescribing on graduation and new PGY1s'
34 unpreparedness, sometimes talking about how they tried to educate PGY1s about prescribing
35 because they understood their lack of prescribing practice. Other stakeholders (e.g. DTPL, EMP
36 groups) narrated that PGY1s lacked basic pharmacology understanding and were unable to grasp the
37 concept of economic prescribing. Participants in the HCP group highlighted that although PGY1s
38 knew how to access prescribing support, they lacked prescribing knowledge and reasoning, were less
39 prepared to write legally controlled drug prescriptions or undertake adequate drug histories (Excerpt
40 14, Box 3). A few prescribing errors were narrated and there was a view that PGY1s were unaware
41 of common error sources and safety checks.

Preparedness for carrying out practical procedures safely and effectively

48 PGY1s narrated numerous events in which they portrayed themselves as prepared for everyday
49 practical procedures such as obtaining a blood sample, inserting a cannula, inserting a urinary
50 catheter, and carrying out electrocardiograms (ECGs) (Excerpt 15, Box 3). While PGY1s explained
51 that certain processes (e.g. ABCDE) had been "drilled into" them during their undergraduate
52 education, they explained that their confidence in performing practical procedures had grown
53 during their PGY1 year as they learnt on the job performing practical procedures repeatedly on real
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patients. PGY1s, however, narrated that routine procedures could be problematic at times, for example, when they experienced difficulty in accessing veins, resulting in concerns of unpreparedness. As with prescribing, when PGY1s felt less prepared, they reported how they sought out (and sometimes insisted on) support from seniors in order to maintain patient safety (Excerpt 16, Box 3).

Preparedness for using information effectively in the clinical environment

Similar numbers of preparedness and unpreparedness narratives for using information effectively were elicited from our participants. While PGY1s narrated being prepared for some aspects (e.g. accessing hospital services via computers and using Apps for information), they seemed less prepared for others (e.g. documenting procedures, documenting initial clerking and accessing patient notes: Excerpt 17, Box 3). Many PGY1s narrated incidents of incomplete patient notes and/or illegible handwriting. Problems using information effectively in teams and having insufficient information when requesting the assistance of other healthcare professionals were also included in PGY1 doctors' narratives. The POL and EMP groups highlighted the importance for PGY1s to keep clear patient records and suggested that this area was in need for further training.

BOX 3: NARRATIVE EXCERPTS FOR PREPAREDNESS FOR PRACTITIONER OUTCOMES

Patient Consultation

EXCERPT 1: "I was working today in pre-assessment clinic where we have to clerk patients that are for theatre... as medical student a lot of our time is spent clerking and examining patients so in that respect I felt um prepared for the situation... questions to ask and in what order... but... we didn't get taught in medical school how to clerk for a... pre-op assessment clinic... you're assessing someone's anaesthetic risk as well as... the risk from the surgery... it's quite a big responsibility" (F_PGY1_27: audio diary)

EXCERPT 2: "they haven't got a clue what they're up to... they might be able to take the history... but... they don't seem to understand why... I'd use the term again, situational awareness... so you know classically in anaesthetics and theatres we talk about the situational awareness and that's about the environment that you're working in, the risks that are occurring, but its having that wider view of the world..." (M_POL_32)

EXCERPT 3: "sort of feeling a bit rusty in terms of obstetric history... it's difficult when you go into specialties from a previous rotation, because I was on medicine, you have your set of questions that you ask... and I suppose when I first took a history off... a[n] obstetric patient it was sort of remembering which subheading you need to put where and what you had to ask in obstetric history" (M_PGY1_30)

EXCERPT 4: "they know the theory behind it all but I think they can do with a little bit of education or support from seniors... to fully understand what the connotations of going through the mental capacity act and stuff like that, they know all that, but I don't think they get a lot of training how they should apply it and what it does mean to the patient" (M_CE_28)

Diagnosing and managing clinical conditions

EXCERPT 5: "During my first set of nights in surgery a nurse approached me to tell me that a patient had had an episode of coffee ground vomiting (usually the result of bleeding into the stomach)... I was recalling what I had seen before and working through the patient's symptoms and needs and dealing with them accordingly. I think I was able to do so because this patient was stable and I had time to think and act" (F_PGY1_05)

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EXCERPT 6: "but I think just the experience was pretty horrendous and something that I... wasn't prepared for sort of emotionally... the resuscitation was unsuccessful ..., and the child passed away... it's different whenever you practice on... the mannequins in the rhesus training, and even doing CPR, which I've done numerous times now, on elderly patients... you kind of get a bit cold to it, but certainly I wasn't prepared for... emotional trauma of taking part in a paediatric cardiac arrest" (M_PGY1_08: audio diary)

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EXCERPT 7: "... the worse thing is when a patient comes in who is sick, they [PGY1s] just clerk, they ask them the questions, they write down the answers, they examine them, they write down examination findings, they do the usual bloods and they put them in a bed, and then twelve hours later or twenty-four hours later somebody more experienced will see them and think '*oh my god, what the hell's been happening here? This patient is desperately ill, we've missed an opportunity here'...*" (M_CE_21)

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EXCERPT 8: "... actually there's no point in me speaking to the [trainees], I need to go to the registrar because I need a discussion about the [management] and I don't think that I'll get that from the [PGY1]... if you go to a newly qualified [trainee] and say these two medicines aren't prescribed they may well write them up, which is really what you don't want" (F_HPE_28)

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EXCERPT 9: "... got someone who's still reduced level of consciousness... likely hit her head, so... I was halfway through talking to this lady, maybe ten minutes in, I could hear my consultant outside of the curtain... [he] sticks his head around the curtain and sort of gives me a bit of a look and beckons me out to come and talk to him... I felt the tone of the consultant's conversation was... sort of looking to leave this lady for a bit, give her some pain relief... so that's was what I did for the next ten minutes [I]... I think even with that experience... you can still do very different things, you can be very conservative and order a lot of tests and make sure you very much cover your back, equally you don't do that all the time because... you don't want to be over-testing people and also spending more money than we necessarily have" (M_PGY1_02: audio diary)

Communicating effectively

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EXCERPT 10: "my registrar basically said 'go and speak to the family'... I was like 'okay', so I explained what had happened to their dad... and... they just start firing these questions at you and you're kind of sitting there going 'uhmm, uhmm, I don't know... but I can find out for you'... and that was quite an uncomfortable moment because... it makes you feel quite incompetent... when it comes to a real situation at 2.00 am in the morning with someone's father, and someone's husband, and they're asking all these questions, there's two or three people crying next to you, the last thing you... can really remember is your fifth year lecture on stroke thrombolysis... it was quite intense" (M_PGY1_35: audio diary)

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EXCERPT 11: "essentially it was a corridor conversation that happened between one of my senior nurses... with this trainee in the corridor... there was a challenge about the care that she'd [trainee] given to a patient and also there was like a prescribing issue as well... the poor doctor... was getting hammered verbally by the nurse in the corridor... it was basically like machine gunning the poor girl verbally in a corridor... the girl [trainee] did walk off the ward straight away crushed... we were trying to get her on bleeps later on [but] couldn't get her..." (F_HCP_24)

Prescribing drugs safely, effectively and economically

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EXCERPT 12: "there was a patient admitted with urosepsis (severe urinary tract infection) who was commenced on a regime of antibiotics, one of the antibiotics was then stopped which was called vancomycin where you have to load it on several levels, it was stopped abruptly, then two days later it was picked up on and I got asked to restart it. This is very new territory for me and I've never been told how to restart something like this before..." (F_PGY1_02: audio diary)

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EXCERPT 13: "... even things like IV morphine, like the nurses they won't do it, they expect you to just prescribe morphine and give an IV 'cause this person's in pain and they need it... and that is... quite worrisome... the one time I did that, it was it was for a guy who had some sort of blood cancer... ended up having to phone up palliative care in one of the hospices 'cause... it was at night and I was really worrying about it but he was like rolling around in pain... I still went up the ladder 'cause I just wasn't... one hundred per cent sure about giving IV morphine at that point... so that was a bit scary" (F_PGY1_13)

EXCERPT 14: "with the prescribing... they [PGY1s] will ask you a question... and you might tell them and they might just write it down without, let's say, engaging with you and sort of discussing the issues around it, and whether it's appropriate for that patient... so they know who's best to ask for help and realising that they do need to ask for help... sometimes they... see it as black and white... one dose being the only dose, whereas in reality they need to take a clinical judgement... so at first I would quite happily say 'oh well, it's this' and then realise that they were just writing down what I'd told them without any thought ((laughs))..." (F_HCP_82)

Carrying out practical procedures safely and effectively

EXCERPT 15: "On a late shift in the Care of the Elderly building I was asked to take a blood sample for a group and cross match from an older gentleman who was anaemic... I went up to see the patient who needed transfused... I... obtained informed consent, checked the patient's details carefully and managed to insert the venflon and take the group and cross match blood sample together... The following day... I followed up on the gentleman in question. He had been stable overnight and was receiving his transfusion. I felt satisfied that I facilitated this patient's transfusion in a manner that had minimised risk and maximised benefit." (F_PGY1_06: audio diary)

EXCERPT 16: "A time that I felt unprepared was when I was called to see an elderly female on the urology ward. She had been in for several weeks and when I was called to see her she was vomiting bile... I decided... to start her on IV fluids, make her a nil by mouth and request an abdominal X-ray. I wasn't quite sure what I was dealing with... once I [had] seen the abdominal X-ray which showed dilated loops of small bowel, I then sought some senior help... I said to her [senior house officer] what my management had been and how I was thinking of putting an NG tube down she agreed with me... I asked one of the senior nurses on the ward to assist me, and hence I put down my first NG tube... I felt quite unprepared at doing it... I managed to successfully introduce the NG tube. It was quite a daunting experience... during the ward round in the afternoon... the urology registrar... commended the management that I had done." (F_PGY1_03: audio diary)

Using information effectively in the clinical environment

EXCERPT 17: "[we are] often the... first doctor to see a patient when they come into hospital, I've realised since I've done the job, how important that first clerking is, so for example, documenting what's brought the patient into hospital... the other day when I was seeing a patient, um had written half of their clerking... a couple of pages of writing... and got called away to do something else briefly, I'd referred my patient to medicine and I came back and the patient had been transferred already to medical ward a lot sooner than I thought, and I actually hadn't finished writing for the patient... I remember being really, really stressed out about this... I felt really terrible that this patient had gone with only half a clerking, so I had to scoot after them to the medical ward and finish writing, because I thought this would reflect really badly on me... I think that little outcome made me realise how important our documentation is... and this sort of accountability and traceability is a really important part of being a good first year doctor..." (M_PGY1_08: audio diary)

2.3 Doctor as Professional

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3 In this section, we consider participants' narratives about PGY1s' preparedness for professional
4 aspects of work, including ethical and legal aspects, reflection, learning and teaching, and multi-
5 professional team-working.
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7 ***Preparedness for ethical and legal aspects***

8 While approximately half of the narratives classified to this theme indicated neither preparedness
9 nor unpreparedness, the remaining narratives suggested that newly graduated doctors were
10 relatively unprepared for ethical and legal aspects. Notably, most of these came from graduates.
11 While they narrated preparedness for activities such as obtaining valid patient consent and
12 completing death certification, they also narrated their unpreparedness for more complex situations
13 like domestic violence cases, confidentiality issues around patients brought into the hospital by
14 police, patients wishing to self-discharge from hospitals and completing 'Do Not Attempt
15 Resuscitation' (DNAR) forms (Excerpt 1, Box 4). Although they sometimes narrated their knowledge
16 of ethical principles and occasionally provided examples of situations in which they challenged
17 seniors about their professional behaviours, they also revealed some uncertainty about how to act
18 appropriately at times (Excerpt 2, Box 4), sometimes seeking advice from their seniors (Excerpt 3,
19 Box 4). Interestingly, they often narrated feeling unprepared for their own emotional reactions
20 during such complex events. Other stakeholders (PGY2, DTPL, GOV and EMP) discussed medical
21 graduates' overall preparedness around patient-centred care and ethical reasoning, although
22 sometimes situations suggested that new medical graduates were less attentive to their professional
23 self-care and self-presentation (Excerpt 4, Box 4).
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29 ***Preparedness for reflecting, learning and teaching others***

30 There was a paucity of data on reflecting, learning and teaching others, although medical graduates
31 often touched upon these issues, sometimes summarising a take away message for future learning
32 based on the events narrated. Effective time-management and the maintenance of work-life
33 balance were narrated as challenging. Participants in several groups (e.g. PGY1/2s, CEs and DTPLs)
34 narrated events in which new medical graduates failed to work efficiently, such as taking too long to
35 clerk patients, asking irrelevant questions, requesting unneeded tests and prioritisation skills
36 (Excerpt 5, Box 4). Medical graduates' accounts were linked to fatigue – the less sleep had, the
37 worse their time management was – and their general lack of experience in what comprised an
38 essential task. Some medical graduates narrated receiving excellent teaching and feedback (Excerpt
39 6, Box 4), and often discussed how they were trying to address their shortcomings. Graduates also
40 narrated events where they felt well prepared to teach undergraduate medical students on
41 placements, often citing their own inadequacies and a desire to address this in the next cohort of
42 graduates (Excerpt 7, Box 4).
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47 ***Preparedness for learning and working effectively in multi-professional teams***

48 Despite having some communication problems (as discussed above), medical graduates commonly
49 narrated positive experiences of working as part of a multi-professional team, frequently citing
50 nurses as making a positive contribution. Other stakeholders also narrated events in which today's
51 medical graduates were contrasted favourably with previous generations of PGY1s. While medical
52 graduates constructed themselves as being relative newcomers to the team, they explained being
53 prepared to learn from others (Excerpt 8, Box 4). They reported how working with other healthcare
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professionals, such as social workers, provided them with different ways of thinking and working. They also narrated their attempts of building positive working relationships with other healthcare professionals, for example, through introducing themselves, taking time to get to know others, building trust and resolving conflict. Both newly graduated doctors and HCP participants most often cited nurses as key players. While nurses could be a source of conflict for PGY1 doctors, as already illustrated above (Excerpt 11, Box 3), nurses were also described as looking out for graduates due to their novice status. Indeed, newly graduated doctors' narratives frequently focused on them consulting nurses on ward practices, the preferences of their senior medical clinicians and for assistance when undertaking unfamiliar practical procedures (Excerpt 9, Box 4). Some graduates talked about feeling pressurised when other healthcare professionals wanted them to make decisions on clinical aspects that they were unsure about. Occasionally graduates narrated witnessing team members' inappropriate behaviour, which caused dilemmas around how they should respond.

Protecting patients and improving care

Overall, participants' narratives suggest that graduates are less prepared in this area. PGY1 and PGY2 participants talked negatively in terms of coping with uncertainty and change: uncertainty of their diagnoses, when seniors changed their minds and ethical issues (e.g. Excerpt 13, Box 3). Their positive talk around uncertainty and change focussed on how repeated exposure to similar events led them to cope better. Several PGY1s (and some DTPLs) narrated their understanding of healthcare improvement, describing their involvement in audits and projects. Participating in audits led to PGY1s' broader understanding of the NHS. Occasionally PGY1s mentioned self-care, understanding their need for appropriate levels of rest, nourishment and work-life balance. Interestingly these aspects were only narrated in relation to the benefits they will have on patient care. As touched on earlier, PGY1s and other stakeholders felt that they were generally unaware of (or unconcerned about) the financial consequences of their practice (Excerpt 9, Box 3), with PGY2s believing that cost efficiency was only appropriate further on in their careers.

BOX 4: NARRATIVES EXCERPTS FOR PREPAREDNESS FOR PROFESSIONAL OUTCOMES

Behaving according to ethical and legal principles

EXCERPT 1: "... all the seniors are then scrubbed in theatre leaving me as the most senior member on our team, which therefore meant it fell to me to actually do the 'do not resuscitate' form... and it's something that I didn't feel particularly confident with or happy doing" (M_PGY1_28: audio diary)

EXCERPT 2: "She [the patient] had the diagnosis of [name's eating disorder]... was admitted late at night and required an NG tube placement. This wasn't one of my patients, but I was allowed to observe the NG tube being placed... mainly because I haven't- I've never done one and I was actually told off about not knowing how to put one in... anyway, this patient didn't really want an NG tube... I was very torn ethically about this particular patient... It took three people to get the tube down which shouldn't really be the case....I was very uncomfortable with the fact that she was basically being force-fed" (F_PGY1_06: audio diary)

EXCERPT 3: "I rang the ward and told them I'll be back in a minute to write up some fluids for a patient, and I got back and they'd already been given by one of the nursing staff... but they'd given something that is not prescribed, and you have to look at the scenario and think... 'should I raise this as an issue or should I not?' and one of my registrars said that really if you go around trying to correct every bit of not-quite-right practice, you just give yourself a headache and create a lot of

nightmares..." (M_PGY2_08)

EXCERPT 4: "I have, on several occasions... [seen] trainees coming in inappropriately dressed... and I find it fairly intolerable... my male consultant colleagues find this a really difficult area because... if they tell females that they think that they're inappropriately dressed, they worry that the female will see this as bullying or harassment... I feel that it shows a little bit of a lack of understanding and respect for the patients to come on the ward inappropriately dressed" (F_GOV_28)

Reflecting, learning and teaching others

EXCERPT 5: "time management... that's one of their [PGY1] major [challenges], the prioritising and time management... you can just see when they first start, when their... bleep [goes] twice at once, you'll hear them... say [to] the nursing staff, 'which of these things should I do first?' ..." (M_CE_16)

EXCERPT 6: "Yeah I've got one [clinical teacher] who, she was our registrar during my first job... she was particularly good because... she was very supportive and happy to help with anything... she would give you advice about who to speak to, ... and she would get me to talk through why I thought of each differential diagnosis and what I was going to do about it, and then gave me feedback and did assessments and things for me..." (F_PGY2_12)

EXCERPT 7: "when you've got students with you... certainly getting them to do some of the things that I would have wanted to have done as a medical student to get experience was some of the things that I'm reflecting on now, like making decisions, so now I'm trying to get the students... to try and make those decisions with support while they're students as well..." (F_PGY2_8)

Learning and working effectively in a multi-professional team

EXCERPT 8: "taking care of the elderly, there's like multidisciplinary meetings every week... so you have the consultants there, you'll have the occupational therapists, the physiotherapists, the social workers, all like in the same room... the consultant will say how they're doing medically and then OT [occupational therapist] will say how they're getting on... they able to climb the front steps... you get a really good impression of the whole patient ... the physiotherapist will keep you right... particularly with mobilising them... so yeah I've found it really good working with them actually" (M_PGY1_14: audio diary)

EXCERPT 9: "nursing staff, who are really helpful... especially in those emergency situations when you were waiting for someone more senior and they could be doing stuff for you while you were trying to work out what was going on... just telling you about how things worked on a ward... you'd often be asking 'so what would you normally be doing in this situation?' they'd be like 'well this is what they done before' or you know 'this is what we normally give in this situation' and they were just a real fountain of knowledge" (F_PGY1_24)

Discussion

This paper set out to address two research questions. In relation to the first question focusing on stakeholders' conceptualisations of preparedness for practice, participants sometimes struggled to articulate this. When they did, their understandings varied by the constituent aspects of preparedness (e.g. knowledge, skills, behaviours and emotional aspects) and time (e.g. short-term versus longer-term). Although previous research has explored preparedness in terms of clinical skills and procedures (e.g. communication skills, examination skills and practical procedures), to our knowledge our study is the first time preparedness for practice has included behavioural and emotional aspects. Furthermore, since the primary focus of current research is around new graduates' short-term preparedness (i.e. preparedness for their role as PGY1 doctor) it appears that in general, researchers' understanding of this concept is more limited than those of our participants.¹⁶⁻²⁰

In relation to our second research question around various stakeholders' perspectives of recent medical graduates' preparedness, on the one hand, we found areas of consistency across stakeholder groups (e.g. problems translating knowledge into practice), while on the other, we found contradictory findings, where graduates might perceive themselves as prepared but other stakeholders deemed them less prepared (e.g. diagnosis and patient management). This pattern of consistency and inconsistency is echoed in previous quantitative research examining PGY1s' and their supervisors' opinions of PGY1s' preparedness for practice.^{32 33} What is different in our study, however, is the rich narratives based on real events experienced by different stakeholders. It is within these narratives that we can better understand the nuances of preparedness. For example, in terms of diagnosis and management, our data reveal this difference in opinion lies in issues such as simple versus complex cases, perceptions around PGY1s' reliance on carrying out instructions (rather than engaging in discussions), and their sometimes powerful emotional reactions to difficult clinical situations.³⁰ An understanding of these nuances enables a more sophisticated appreciation of the concept of preparedness which recognises that it is not binary. From here, educators are better able to develop educational and support systems appropriate to the specific mechanisms at play.

With respect to preparedness, if we are to make a list, our data suggest that medical graduates were mostly thought to be prepared for: history taking and physical examinations; diagnosis and management of simple cases; straightforward communication with patients and their families; straightforward communication with medical colleagues; openness for learning and working in multi-professional teams; everyday practical procedures (e.g. taking blood, inserting cannulas); some aspects of using information in the clinical environment (e.g. accessing hospital services via computers); and straightforward ethical and legal aspects (e.g. obtaining valid patient consent). These findings extend what we already know about UK junior doctors' preparedness for practice.¹⁰ For example, there has been a paucity of information on medical graduates' preparedness for multi-professional team-working: although this limited and inconsistent evidence suggests that multi-professional team-working is an area of relative unpreparedness.^{34 35} Furthermore, what our research adds to this literature is the multifaceted nature in which these 'preparedness' events occur alongside the deeper issue of what it means to be prepared: knowing *how* vs knowing *why*, knowing *what it means* and knowing *what next*. Indeed, our work calls into question the very notion of a check-box approach to preparedness for practice.^{18 36-38} For example, our research confirms

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3 what we already know—that medical graduates can clerk patients: take their history and perform
4 physical examinations. But our research sheds light onto their situational awareness, knowing the
5 purpose of these activities (e.g. pre-operation assessment vs management of illness) or the
6 ramifications (e.g. what it means for the patient), which is often lacking. As such, our research
7 unpacks what it actually means to know something and the limited utility of ‘check-box’
8 questionnaire research approaches.^{14 17 19 37 39}
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11 Regarding unpreparedness, in summary, medical graduates are mostly thought to be less well
12 prepared for: applying biomedical scientific knowledge to clinical practice; psychosocial aspects of
13 patient care; the high volume of patients requiring history taking and physical examinations;
14 diagnosis and management of complex cases and acutely unwell patients; challenging
15 communication with patients and their families (e.g. breaking bad news); communication in multi-
16 professional teams; prescribing; some aspects of information management (e.g. documentation);
17 complex ethical and legal aspects (e.g. DNAR forms); and effective time management and
18 maintenance of work-life balance. Although some of these aspects have been found in previous
19 studies exploring UK junior doctors’ preparedness for practice,¹⁰ again, our study reveals further
20 nuances around the issue of unpreparedness. For example, previous research suggests that
21 graduates are prepared in terms of their knowledge of behavioural and social sciences for medical
22 care and their recognition of the social and emotional factors in illness and treatment.^{17 38 40 41}
23 However, there was a perception in our study by both clinical educators and patients that graduates
24 failed to consider the psychosocial aspects of patient care. Furthermore, medical graduates failed to
25 mention these aspects in their audio diaries when describing their clinical reasoning and patient
26 encounters. Thus, it seems that this might be an area in which medical graduates are, indeed,
27 knowledgeable, but one in which they fail to translate their knowledge into everyday practice. Many
28 PGY1s’ narratives in our study are replete with accounts of complex and uncomfortable situations,
29 which comprise ‘new territory’ for them. They sometimes explicitly report struggling to remember
30 their classroom learning in the face of multiple interactional and contextual demands (e.g. middle of
31 the night, distressed patients, competing requests). It is hardly surprising therefore that the
32 cognitive capacity of these newly qualified doctors is challenged as they encounter high-stress
33 situations alongside expected responsibilities, leading them to momentarily ‘forget’ learning that
34 they may not have previously utilised in practice.
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41 Relatedly, PGY1 participants in our study talked about the importance of learning on the job in terms
42 of developing their preparedness for practice, particularly in relation to practical procedures and
43 communicating with multi-professional teams, as has been suggested previously by other
44 researchers.²⁰ While previous authors have discussed the importance of experiential and socio-
45 cultural learning theories in terms of preparedness for practice,^{17 20} we instead draw here on Eraut’s
46 thinking about informal learning in the workplace.⁴² Indeed, informal learning includes: ‘implicit,
47 unintended, opportunistic and unstructured learning’⁴² and can be of three types varying by level of
48 learning intention: implicit (unconscious); reactive (near-spontaneous); and deliberative (considered)
49 learning.⁴² Eraut⁴² highlighted various informal learning outcomes in the workplace including task
50 performance (e.g. communication with diverse people); role performance (e.g. handling ethical
51 issues); awareness and understanding (e.g. understanding one’s own organisation); academic
52 knowledge and skills (e.g. applying theory to practice); personal development (e.g. ability to learn
53 from experience); decision-making and problem-solving (e.g. generating and evaluating options);
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3 teamwork (e.g. collaborative work); and judgement (e.g. prioritisation). Such informal learning
4 outcomes, similar to those aspects of unpreparedness identified above, are thought to come about
5 through participation in group tasks, working alongside others, undertaking challenging activities,
6 and working with clients.⁴² Therefore, much of the unpreparedness we report might only be
7 developed through informal workplace learning during the first two postgraduate years, unless
8 significant change happens within undergraduate medical education to allow for final year medical
9 students' meaningful participation in workplace activities such as prescribing.

11 **Methodological limitations and strengths of the study**

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14 Our study is not without its challenges, however, and these must be taken into consideration when
15 interpreting our results. Given the participant-led nature of our narrative interviews and
16 longitudinal audio-diaries, participants volunteered their experiences of graduates' preparedness/
17 unpreparedness without prompting for specific GMC graduate outcomes. Therefore, we collected
18 sparse data (e.g. on preparedness for reflecting, learning and teaching others) or no data (e.g. on
19 preparedness for population health, healthcare improvement and research) for some GMC graduate
20 outcomes. We cannot be sure why these were not mentioned but we suspect that they did not
21 readily come to the minds of stakeholders, which is an important finding in itself. Therefore, our
22 findings present only a partial picture of UK graduates' preparedness against all GMC graduate
23 outcomes.³¹ Finally, given the voluminous data collected (i.e. 2,186 narratives from 185 participants
24 across 111 interviews), we found it impossible to present all seven identified themes in sufficient
25 depth in this one paper. We were also unable to present longitudinal results here, in addition to the
26 cross-sectional findings. Therefore, in this paper we report on two of our themes; the remaining
27 themes and analyses are presented elsewhere.^{9 27-30}

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32 Despite these challenges, our study has strengths. It is one of few to explore multiple stakeholders'
33 perceptions beyond graduates and their supervisors,¹⁰ including previously under-represented
34 groups such as patient and public representatives and policy and government officials. Furthermore,
35 previous studies have explored PGY1s' preparedness for practice employing qualitative data
36 collected at a single time-point,⁴³⁻⁴⁵ or fixed time-points longitudinally,²⁰ or via quantitative
37 retrospective surveys of graduates (and occasionally their supervisors).^{17 32 40 46-51} Our study employs
38 both narrative interviewing and longitudinal audio-diaries, thereby enabling us to capture narratives
39 of events close to their time of occurrence, increasing the details of those events within our data. As
40 such, we address the acontextual nature of event reporting, plus recall challenges, found in previous
41 literature.²¹ We have collected a large amount of qualitative data (nearly 100 hours) from
42 stakeholders across four UK sites (England, Northern Ireland, Scotland, and Wales). This, coupled
43 with the similarities between our findings and other recent UK-based preparedness for practice
44 studies, alongside the advances we have made in terms of the complexities and nuances of the data,
45 means that our findings are likely to be transferable across the UK. Finally, we employed a team-
46 based approach to our qualitative data analysis, enhancing both our study rigour and reflexivity. Our
47 large research team came from diverse disciplinary backgrounds (medicine, social sciences,
48 biomedical sciences, healthcare education, and education), bringing different expertise,
49 expectations, and understandings to our interpretations, leading to a more thorough analysis of our
50 data.⁵²

Implications for educational practice and further research

Despite these methodological challenges, there are numerous implications for educational practice and further research. In terms of educational practice, we think that a lack of shared understanding of what preparedness for practice actually is, could lead to misunderstandings and misplaced expectations about graduates' workplace performance. Therefore, we think that the development of shared understanding (and thus expectations) of preparedness for practice between graduates and other stakeholders as part of graduates' transition interventions is key. Our findings also suggest that such transition interventions should look beyond short-term preparedness for the PGY1 role, and also consider longer-term preparedness for aspects such as medical careers, and psychological and emotional aspects of preparedness.^{13 53} Secondly, our findings suggest numerous GMC outcomes for which medical graduates are thought to be less well prepared, largely because, we would argue, they typically lack sufficient informal workplace learning opportunities during their undergraduate education to develop these capabilities. Indeed, we believe that PGY1s' ability to manage complex and challenging situations will only develop through increased informal workplace learning opportunities. We therefore recommend that medical educators re-consider their final year medicine curriculum and increase the proportion of time that medical students spend participating meaningfully in multi-professional teams as part of informal workplace learning: indeed, this is already beginning in terms of an extension to current assistantship periods.^{13 54} Alternatively, we need to recalibrate our expectations of what PGY1 doctors should be able to do on graduation based on our appreciation that they will only become fully prepared for certain aspects once they are in post. For this latter approach, a greater focus on the formal and informal clinical supervision of PGY1 doctors is key; supervision that not only emphasises the formative (educational) aspects of supervision but also privileges the restorative (supportive) aspects of supervision.⁵⁵

In terms of research, we know from this and other studies¹⁰ what UK graduates are typically thought to be less well prepared for, based on quantitative surveys and qualitative interviews. Further research is now needed employing observational methods to explore further those aspects of unpreparedness. For example, innovations methods such as video-reflexive ethnography (VRE) could be used to explore the complexities of PGY1s' everyday experiences. As an educational intervention in itself, VRE has been used to stimulate discussion of PGY1s' prescribing amongst the multi-professional team in order to further develop junior doctor prescribing. Without such methodologies, it might be hard to unpack the complexities of informal workplace learning fully and improve those aspects that junior doctors are thought to be currently unprepared.

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For peer review only

Consolidated criteria for reporting qualitative studies (COREQ): 32-item checklist

Developed from: Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist for interviews and focus groups. *International Journal for Quality in Health Care*. 2007. Volume 19, Number 6: pp. 349 – 357

No. Item	Guide questions/description	Response / Reported on Page #
Domain 1: Research team and reflexivity		
<i>Personal Characteristics</i>		
1. Interviewer/facilitator	Which author/s conducted the interview or focus group?	See 'data collection' in Methods (page 7) (KK, GS, JC, NK, CK: see acknowledgements)
2. Credentials	What were the researcher's credentials? E.g. PhD, MD	Professor Lynn V. Monrouxe (LVM): PHD Professor Charlotte E Rees (CER): PHD Dr Camille E Kostov (CEK): MBBCh Dr Gerry J Gormley: MBBCh Dr Narcie Kelly: PHD Dr Kathrin Kaufhold: PHD Professor Alison Bullock: PHD Professor Karen Mattick: PHD
3. Occupation	What was their occupation at the time of the study?	See title page (page 1) CEK: Medical Student LVM: Director of Medical Education Research, Cardiff University School CER: Director of the Centre for Medical Education, University of Dundee GG: Senior Lecturer in the Centre for Medical Education, Queen's University Belfast NK: Research Assistant KK: Research Assistant KM: Professor, Exeter University AB: Director, Cardiff Unit for Research and Evaluation in Medical and Dental Education (CUREMeDE), Cardiff University
4. Gender	Was the researcher male or female?	GG: Male CEK, CER, LVM, KK, NK, AB, KM: Female
5. Experience and training	What experience or training did the researcher have?	LVM, CER, AB, KM have vast experience of conducting qualitative research and analysis (over 15 years each). GG has previous experience in qualitative research and analysis. CEK received narrative interview and thematic analysis training prior to conducting the research and were supervised and supported by LVM, CER and GG throughout the study. KK had 5 years undertaking qualitative research NK had 10 years undertaking qualitative research
<i>Relationship with participants</i>		
6. Relationship	Was a relationship established prior	See 'Design' in Methods (page 6)

established	to study commencement?	Participants were recruited through patient groups and in collaboration with our patient advisor, Mr Philip Bell. Researchers had no relationship with participants prior to this point.
7. Participant knowledge of the interviewer	What did the participants know about the researcher? e.g. personal goals, reasons for doing the research	See Data Collection section in Methods (page 7) Participants were aware who the interviewers were. Participants were informed of all researchers that were part of the research team and that would have access to the data via information sheets.
8. Interviewer characteristics	What characteristics were reported about the interviewer/facilitator? e.g. Bias, assumptions, reasons and interests in the research topic	Information reported about interviewers included position during the study and reasons for the study.
Domain 2: study design		
<i>Theoretical framework</i>		
9. Methodological orientation and Theory	What methodological orientation was stated to underpin the study? e.g. grounded theory, discourse analysis, ethnography, phenomenology, content analysis	See 'Design' in Methods (page 6). We used a qualitative narrative interview design, we explain the theory behind this.
<i>Participant selection</i>		
10. Sampling	How were participants selected? e.g. purposive, convenience, consecutive, snowball	See 'recruitment' in Methods (page 7). Participants were self-selected using purposive sampling. All participation was voluntary.
11. Method of approach	How were participants approached? e.g. face-to-face, telephone, mail, email	See 'data collection' in Methods (page 7).
12. Sample size	How many participants were in the study?	See 'Participants' in Methods (page 7) "Eight stakeholder groups comprising n=185 individuals participated in the interview"
13. Non-participation	How many people refused to participate or dropped out? Reasons?	Participation was voluntary and participants were not considered to take part until they participated in the interviews. No participants withdrew from the study after participating in interviews.
<i>Setting</i>		
14. Setting of data collection	Where was the data collected? e.g. home, clinic, workplace	See 'Data collection' in Methods (page 7) "interview sessions. Interviews were conducted in a quiet room at participants' convenience." – audio-diaries were recorded anywhere participants wished to do so – typically at home.
15. Presence of non-participants	Was anyone else present besides the participants and researchers?	See 'Data collection' in Methods (page 7) The participants and one (or two) interviewers were mainly present. One participant had her carer with her, who remained silent during the interview.
16. Description of	What are the important	See 'Participants' (page 7)

sample	characteristics of the sample? e.g. demographic data, date	The gender and age proportion of each participant group has been reported.
<i>Data collection</i>		
17. Interview guide	Were questions, prompts, guides provided by the authors? Was it pilot tested?	See 'Data collection' in Methods (page 7) Semi-structured narrative interviews were conducted using a discussion guide as a memory aid for interviewers. All interviews were trained in narrative interviewing. Audio diaries followed guidance to record one preparedness and one unpreparedness narrative.
18. Repeat interviews	Were repeat interviews carried out? If yes, how many?	See 'Data collection' in Methods (page 7) Repeat interviews were carried out with the audio diary participants.
19. Audio/visual recording	Did the research use audio or visual recording to collect the data?	See 'Data collection' in Methods (page 7) With participants' consent, all narrative interviews were audio-recorded. Also audio diaries were recorded.
20. Field notes	Were field notes made during and/or after the interview or focus group?	None made. Although discussions with the supervisory team occurred quickly following the interviews by way of a researcher debrief.
21. Duration	What was the duration of the interviews or focus group?	Group interviews took an average of 56 mins.
22. Data saturation	Was data saturation discussed?	We do not report this as we do not consider this to appropriate for our research position (Varpio L, Ajjawi R, Monrouxe LV, O'Brien B, Rees CE (2017) Shedding the cobra effect: problematising thematic emergence, triangulation, saturation and member checking. Medical Education. 51(1)40-50.)
23. Transcripts returned	Were transcripts returned to participants for comment and/or correction?	We do not report this as we do not consider this to appropriate for our research position (Varpio L, Ajjawi R, Monrouxe LV, O'Brien B, Rees CE (2017) Shedding the cobra effect: problematising thematic emergence, triangulation, saturation and member checking. Medical Education. 51(1)40-50.)
Domain 3: analysis and findings		
<i>Data analysis</i>		
24. Number of data coders	How many data coders coded the data?	See 'Data analysis' in Methods (page 8)
25. Description of the coding tree	Did authors provide a description of the coding tree?	See Results Section (page 8-9)
26. Derivation of themes	Were themes identified in advance or derived from the data?	See 'Data analysis' in Methods (page 8) Themes were structured around the GMCs outcomes for graduates using a framework analysis approach.
27. Software	What software, if applicable, was used to manage the data?	See 'Data analysis' in Methods (page 8) Data were coded using ATLAS-ti qualitative analysis software.

28. Participant checking	Did participants provide feedback on the findings?	We do not report this as we do not consider this to appropriate for our research position (Varpio L, Ajjawi R, Monrouxe LV, O'Brien B, Rees CE (2017) Shedding the cobra effect: problematising thematic emergence, triangulation, saturation and member checking. Medical Education. 51(1)40-50.)
<i>Reporting</i>		
29. Quotations presented	Were participant quotations presented to illustrate the themes/findings? Was each quotation identified? e.g. participant number	Yes.
30. Data and findings consistent	Was there consistency between the data presented and the findings?	We have ensured consistency between the data presented and the findings of the study through thoroughly reviewing the manuscript.
31. Clarity of major themes	Were major themes clearly presented in the findings?	See 'Results' (page 9-19) The results section is organized around the major themes of the study, which are described under specific headings.
32. Clarity of minor themes	Is there a description of diverse cases or discussion of minor themes?	See 'Results' (page 9-19) The results section includes discussion of major themes, and nuances within these were covered.

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New graduate doctors' preparedness for practice: A multi-stakeholder, multi-centre narrative study

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Contributor and guarantor information

Lynn V Monrouxe (LVM), Charlotte E Rees (CER), Karen Mattick (KM), Alison Bullock (AB) and GJG (Gerard J Gormley) contributed to the conception of the study. LVM, CER, KM, AB and GJG designed the work. Kathrin Kaufhold (KK), Narcie Kelly (NK) and Camille E Kostov (CEK) contributed to the acquisition of the data. All authors contributed to the analysis and interpretation of data. LVM, KM and CER drafted the initial manuscript. All authors revised the manuscript critically for important intellectual content and gave their final approval of the version to be published. LVM is the guarantor, agrees to be accountable for all aspects of the manuscript, has access to the data, made the final decision to submit and will ensure that any questions relating to the accuracy or integrity of any part of the manuscript are appropriately investigated and resolved.

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1
2
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10

11 **Transparency declaration**

12 Lynn V Monrouxe (the manuscript's guarantor) affirms that the manuscript is an honest, accurate,
13 and transparent account of the study being reported; no important aspects of the study have been
14 omitted; and any discrepancies from the study as planned (and, if relevant, registered) have been
15 explained.
16
17

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27 the above.
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31 **Competing interests**

32 "All authors have completed the ICMJE uniform disclosure form at
33 www.icmje.org/coi_disclosure.pdf and declare: LVM received a research grant from the GMC for this
34 research, KK and NK were employed using these funds; no financial relationships with any
35 organisations that might have an interest in the submitted work in the previous three years; no
36 other relationships or activities that could appear to have influenced the submitted work."
37
38

39 **Patient consent**

40 Obtained.
41

42 **Ethics approval**

43 Ethics approval was awarded for the study in July and August 2013 from each of the four UK sites.
44 The ethics committees are not named individually to protect the anonymity of sites and study
45 participants.
46

47 **Data sharing statement**

48 The raw data for this research consists of audio-recordings of narrative interviews and audio diaries.
49 The principal investigator (Prof Lynn V Monrouxe) has access to this specific data set, including
50 audio-recordings of interviews and interview transcripts, in addition to participant contact details
51 and signed consent forms. All authors have access to anonymised data from this set. All data are
52 stored securely on password-protected and encrypted computers. Participants have not given their
53 permission for data sharing outside the research group. Thus, no additional data is available.
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55
56

Abstract

Objective: While previous studies have begun to explore newly graduated junior doctors' preparedness for practice, findings are largely based on simplistic survey data or perceptions of newly graduated junior doctors and their clinical supervisors alone. This study explores, in a deeper manner, multiple stakeholders' conceptualisations of what it means to be prepared for practice and their perceptions about newly graduated junior doctors' preparedness (or unpreparedness) using innovative qualitative methods.

Design: A multi-stakeholder, multi-centre qualitative study including narrative interviews and longitudinal audio diaries.

Setting: Four UK settings: England, Northern Ireland, Scotland and Wales.

Participants: Eight stakeholder groups comprising n=185 participants engaged in 101 narrative interviews (27 group and 84 individual). Twenty-six junior doctors in their first year post-graduation also provided audio diaries over a 3-month period.

Results: We identified 2,186 narratives across all participants (506 classified as 'prepared', 663 as 'unprepared', 951 as 'general'). Seven themes were identified; this paper focuses on two themes pertinent to our research questions: (1) explicit conceptualisations of preparedness for practice; and (2) newly graduated junior doctors' preparedness for the General Medical Council's (GMC) *outcomes for graduates*. Stakeholders' conceptualisations of preparedness for practice included short-term (*hitting the ground running*) and long-term preparedness, alongside being prepared for practical and emotional aspects. Stakeholders' perceptions of medical graduates' preparedness for practice varied across different GMC outcomes for graduates (e.g. Doctor as Scholar and Scientist, as Practitioner, as Professional) and across stakeholders (e.g. newly graduated doctors sometimes perceived themselves as prepared but others did not).

Conclusion: Our narrative findings highlight the complexities and nuances surrounding new medical graduates' preparedness for practice. We encourage stakeholders to develop a shared understanding (and realistic expectations) of new medical graduates' preparedness. We invite medical school leaders to increase the proportion of time that medical students spend participating meaningfully in multi-professional teams during workplace learning.

ARTICLE SUMMARY

Strengths and limitations of this study

- This is the first study to explore multiple stakeholders' perceptions of recent medical graduates' preparedness for practice including under-represented groups such as patient and public representatives and policy and government officials
- Our use of narrative interviewing and longitudinal audio diaries has enabled us to capture narratives of preparedness for practice temporally close to those experiences
- We collected large amounts of data from stakeholders based in all four UK countries, enhancing the transferability of our study findings
- Mapping preparedness to the GMC's outcomes for graduates enables the focus of future research and interventions to target those areas where graduates are 'underprepared'
- Given the participant-led nature of our data collection methods, we were only able to collect a partial picture of preparedness for practice for all GMC outcomes

Introduction

Everyone stands to benefit from medical graduates who are well prepared to start work as junior doctors. However, ensuring that those graduates are prepared for the complexity, and pressures, of today's practice is more challenging than ever. Firstly, as the healthcare needs of modern society are changing,^{1,2} the goal of preparedness constantly changes too. Secondly, our collective understanding of approaches to preventing, diagnosing and managing diseases is also developing.^{3,4} This in turn demands changes to established medical practice, new structures for healthcare delivery and novel approaches to medical education and training.⁵⁻⁷ There are different expectations and opportunities for new medical graduates today compared with previous generations.^{6,8} Finally, there is a lack of clarity about the task of preparing medical graduates for practice; an important yet thorny question is 'preparedness for what exactly?'.^{9,10} There is a difference between preparing graduates for immediate practice, and preparing them for careers in medicine across a wide range of specialities in an ever-changing healthcare environment. The urgent need for research and development in the area of newly graduated doctors' preparedness is highlighted, for example, by studies reporting increased incidences of adverse patient outcomes over the time period when new graduates start work as junior doctors.^{11,12} Indeed, major challenges and impact on patient care exist, resulting from financial and staffing pressures, and the associated risk of burnout for newly graduated junior doctors.¹³ Improving new graduate doctors' preparedness for practice is therefore likely to have a tangible positive impact on patient outcomes.

Despite significant investment in medical education in the UK over recent years, a report published in 2014 found that only 70% of new graduate doctors felt they were well prepared for their first doctor role.¹⁴ Importantly, clinical supervisors also feel that new graduate doctors are not always well prepared for their roles and report their concerns that patient care and safety may be negatively affected when they initially start work.¹⁵ Arguably, new graduate doctors will never feel fully prepared for starting clinical practice. Indeed, given the complex and unpredictable nature of clinical care, undue confidence prior to gaining direct experience might seem inappropriate. There are numerous studies published about medical graduates' preparedness for practice, most of which are quantitative retrospective cross-sectional surveys of graduate perceptions,¹⁶⁻¹⁹ with fewer studies employing qualitative or longitudinal approaches and exploring the perceptions of other stakeholders about graduate preparedness such as clinical supervisors.²⁰ A recent rapid review of the literature about preparedness for practice of UK medical graduates,¹⁰ found that very few studies defined preparedness for practice and that the evidence was mixed in terms of many aspects of preparedness. There were marked variations across this literature in terms of perceptions of preparedness from one trainee to the next, within trainees across time, and across research tools in terms of what new medical graduates report feeling prepared for (or not).¹⁰ Importantly, the rapid review flagged further limitations with the existing literature (e.g. focus on short-term preparedness, and reliance on self-report of recently graduated doctors only) and recommended multi-site and longitudinal research designs using a range of research methods: "to understand the concept and process of preparedness alongside the variety of individual, cultural and organisational issues that might impact on this".¹⁰

According to Eva and Regehr, a range of factors can affect individuals' self-reports: individuals' beliefs in their own abilities to complete tasks (self-efficacy); their abilities to draw context-free

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2
3 general conclusions about their own skills or knowledge in specific domains (self-concept);
4 individuals' access to their own knowledge (meta-cognition); the various heuristics and 'short-cuts'
5 in thinking that individuals use (cognition); their pattern-recognition and fact-checking (models of
6 expert performance); and reflective practice.²¹ The implication from this work is that, in isolation,
7 quantitative self-report measures of 'preparedness in general' are unlikely to be a meaningful and
8 useful construct of whether newly graduated doctors are *actually* prepared for practice. Eva and
9 Regehr,²¹ drawing on Schön,²² also make the distinction between 'reflection-on-practice' and
10 'reflection-in-practice.' Importantly, Regehr assert that 'reflection-on-practice' is more accurate
11 when considering specific events (rather than generalised events), when one reflects on a situation
12 regarding a *particular* patient than when rating "one's own strengths and weaknesses in an
13 acontextual manner" (p.553). Given the reliance of the majority of previous research on simplistic
14 data, and the lack of multi-site and longitudinal study designs,¹⁰ this study presents a large multi-
15 stakeholder, multi-centre narrative interview and audio diary study, which aimed to understand the
16 extent to which current UK medical graduates are prepared for practice. To the best of our
17 knowledge, this is the largest study of its kind and provides uniquely rich and contextualised insights
18 into medical graduates' preparedness for practice in the UK.

22 **Aims and research questions**

23
24 We aim to explore issues around preparedness for practice in terms of how the concept is
25 understood across a range of stakeholder groups and to understand aspects in which new medical
26 graduates are deemed prepared (or unprepared) for clinical practice with the following two broad
27 research questions (RQ):
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- 30 • RQ1: How do stakeholders conceptualise 'preparedness for practice'?
- 31 • RQ2: To what extent do various stakeholders perceive recent medical graduates to be
32 prepared for practice, and what factors do they attribute to this?
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36 **Methods**

37 **Design**

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39 A qualitative narrative interview and longitudinal audio diary design was used. Narrative
40 interviewing was employed as it provides an opportunity for participants to ground their
41 contributions in actual lived experiences.²³ Thus, narratives begin to overcome the acontextual
42 nature of event reporting that presently prevails in the literature.²¹ Furthermore, audio diaries,
43 which were recorded by the newly graduated doctors, provided them with an opportunity to select
44 and narrate on-going events close to the time of those events, and in the privacy of their own space.
45 Longitudinal audio diaries therefore facilitated participants' remembering and the conveying of their
46 feelings during those events.²⁴
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49

50 **Patient and public involvement**

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52 A group of six patient and public representatives (PPRs) were consulted prior to the design of the
53 study to ask their opinions on how to include patients and their families in the study (e.g.
54 recruitment, best data collection methods etc.). Dr Philip Bell was appointed the PPR for the study
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3 by the group. Prior to data collection, Dr Bell was interviewed by two researchers (KK and CEK) using
4 the interview protocol designed by the wider team. Through this interview he advised on changes in
5 terminology and the focus of questions to enable us to develop the interview protocol specifically
6 for the PPR groups. Due to the nature of his interview (being focussed on the design of the protocol)
7 we did not use this interview in the final analysis. Patients' involvement in the recruitment of other
8 patient participants took the form of snowballing (a recruitment method whereby participants invite
9 their peers to join them in the study). All PPR participants were given a copy of the final report to the
10 General Medical Council who funded the programme of research.
11
12

13 **Participants**

14
15 Eight stakeholder groups comprising n=185 individuals participated in the interviews. The largest
16 group comprised newly graduated doctors: n=34 PGY1s (postgraduate year 1 doctors, we use this
17 terminology as it is internationally recognised; these comprised newly graduated [approximately 4
18 months] junior doctors) and n=23 PGY2s (postgraduate year 2 doctors, in the UK junior doctors
19 obtain full registration with the General Medical Council at the end of their PGY1 year). Other
20 stakeholder groups comprised: n=32 CEs (clinical educators); n=30 DTPLs (deans and training
21 programme leads); n=13 HCPs (healthcare professionals e.g. nurses, pharmacists etc.); n=7 EMPs
22 (employers); n=25 PPRs (patient and public representatives) and n=11 POLs (policy and government
23 officials).
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26
27 PGY1 and PGY2 doctors were mainly aged between 25-34 years (74%) and 62% were female. The
28 healthcare stakeholders (CE, DTPL, HCP and EMP) were mainly aged between 30-59 years (79%) with
29 42% female. The PPRs were mainly 60+ years (72%) and 68% female. Twenty-six PGY1s recorded
30 audio-diaries for an average of 3 months: all aged 25-29 years, 50% female, and 77% direct-entry
31 undergraduates. Additionally, 19/26 participated in an exit interview.
32
33

34 **Data collection**

35
36 Twenty-seven group and 84 individual interviews were held (total 94hr 30min data: mean interview
37 duration 56 min). Additionally, 254 discrete audio-diary entries were submitted from the 26 PGY1
38 participants (comprising 18hr 9min; mean 4mins 30sec per audio diary; range 32sec-13min 13sec).
39 Furthermore, we held four group and seven individual exit interviews with 19/26 PGY1s (total 7hr
40 48min, mean 43min). Taking advice from our reference group (see acknowledgements), we
41 employed multiple methods of recruitment including: email; notices on notice-boards; snowballing;
42 and face-to-face recruitment during formal curricula. Information sheets and consent forms were
43 sent to prospective participants. PGY1s were asked to 'opt-into' the audio-diary phase during
44 interview sessions. Interviews were conducted in a quiet room at participants' convenience. One
45 participant had her carer with her, who remained silent during the interview. Five researchers (KK,
46 GS, JC, NK, CEK: see acknowledgements) conducted the interviews across the four UK settings, all of
47 whom were trained together for the narrative interviewing process prior to data collection. One
48 researcher (CJ: see acknowledgements) led the audio-diary data collection. The interviews all began
49 with an orienting question: what does the phrase 'preparedness for practice' mean to you? Next,
50 we asked participants: 'how prepared are you for practice?' or 'how prepared do you think medical
51 graduates are for practice?', employing narrative interviewing techniques to elicit stories from
52 participants about specific events of their own or involving new medical graduates'
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(un)preparedness for practice. For the audio diaries, we sensitised participants to the following prompt: 'please tell us of a time since you last spoke with us when you felt prepared for practice and also a time when you felt less prepared', in order to collect narratives of (un)preparedness over the 3-month data collection period.

Data analysis

The data were transcribed and the audios and transcriptions were managed via Atlas.ti.²⁵ The thematic Framework Analysis method was used comprising: (1) familiarisation, (2) identifying a coding framework, (3) coding, (4) charting, and (5) mapping and interpretation.²⁶

(1) Familiarisation: Ten researchers (LVM, CER, AB, KM, JC, CJ, KK, CEK, NK and GS) and two clinical consultants (see Acknowledgements) each read a transcript from an interview (either focus group or individual interview) across the different participant groups and up to three audio-diary transcripts with PGY1 doctors, with each transcript being read by at least two researchers.

(2) Development of coding framework: A series of face-to-face and video-conference meetings were held across two days with researchers discussing themes identified inductively from the data. An existing coding framework (developed via a rapid review of the literature)¹⁰ was then mapped onto the inductive framework (by LVM), ensuring that all outcomes for UK medical graduates, and preparedness themes and subthemes previously identified, were included as 'potential codes'. A coding framework outlining all themes, sub-themes, definitions and illustrative quotes, alongside coding instructions, was produced to facilitate coding consistency by multiple coders.

(3) Coding: KK led the coding with additional work by CEK, CJ and LVM. The coders met regularly to discuss developments and provide feedback on one another's coding decisions. LVM double-checked a subset of coding for consistency. The unit of analysis was the narratives of personal experience with narratives coded to the themes and sub-themes they addressed and the level of preparedness narrated by the participant (if any). However, many narratives were complex with elements of both preparedness and unpreparedness. We therefore classified the narratives according to how the narrators constructed the events (e.g. explicitly saying something such as 'a time when I felt prepared...'). Further coding of additional information, such as context (e.g. where the event occurred) and facilitating/inhibiting factors was also undertaken.

(4) Charting: The data in Atlas.ti²⁵ were managed to facilitate retrieval by theme/sub-theme and participant group, enabling us to analyse similarities and differences across the data.

(5) Mapping and interpretation: LVM managed the data retrieval, mapping themes across participant groups and developing initial interpretations. These were developed further by CER, KM, GJ, AB and KK and discussed in light of existing literature and theory.

Results

We identified n=2,186 narratives across all participants, of which n=506 were classified as 'prepared', n=663 as 'unprepared' and n=951 as 'general' (general events were not commented on in terms of preparedness).

Seven main themes were identified in the wider study: (1) Explicit conceptualisations of preparedness for practice; (2) Medical graduates' preparedness for GMC outcomes for graduates; (3) Medical graduates' preparedness for non-GMC outcomes; (4) Transitions and transition interventions; (5) Medical school experiences and preparedness; (6) Inhibiting and facilitating factors of medical graduates' preparedness; and (7) Bringing full registration forward.

In this paper, we report the findings of themes 1 and 2, with the remaining themes and analyses presented elsewhere.^{9 27-30} Note that we provide excerpts in accompanying boxes to illustrate our findings (participants' unique identifiers specify gender, participant group and number: e.g. M_PGY1_12 is a male, postgraduate year 1, participant number 12). We also indicate when the excerpt comes from an audio diary entry. The transcripts have the following notations: ((double brackets)) indicates extra linguistic information; [square brackets] indicates additional clarification; ellipsis ... indicates missing words; *'italicised words in single quotations'* indicate direct reported talk or thought; and **bolded words** demonstrate narrators' emphasis.

Theme 1: Explicit conceptualisations of preparedness for practice

Some participants across all stakeholder groups struggled to conceptualise 'preparedness for practice', as evidenced by their faltering talk (Excerpt 1, Box 1). When they did begin to define the term, however, the majority focused on how preparedness meant passing exams in order to become a doctor, whereas a minority (from the CE, DPL and POL groups) made a distinction between passing exams and actually being prepared to work as a new graduate doctor. Participants from all stakeholder groups highlighted that performing as a new graduate doctor included possessing the knowledge, skills and behaviours expected of them, but also included knowing limitations, prioritisation, managing stress, engendering patient trust and generally being a safe doctor (Excerpts 2 & 3, Box 1). Temporal aspects of preparedness also featured heavily in participants' talk across stakeholder groups. While short-term preparedness focused on graduates being able to hit the ground running (Excerpts 1 & 3, Box 1), longer-term preparedness involved readiness for a medical career, focusing on psychological and emotional aspects of preparedness (Excerpts 4 & 5, Box 1). Interestingly, some acknowledged that an undergraduate degree in medicine could not fully prepare new graduates for this longer-term preparedness (Excerpt 6, Box 1). Finally, preparedness was not just about knowledge and skills but also about dealing with psychological distress and possessing good physical health and mental resilience (Excerpt 6, Box 1).

BOX 1: EXPLICIT DEFINITIONS OF 'PREPAREDNESS FOR PRACTICE'

EXCERPT 1: "((Laughs)) [4 second pause] I suppose it's really how we felt prepared for what we were going to face as we started work from medical school, and whether we felt like the training was adequate for what we were going to be doing..." (M_PGY1_19)

EXCERPT 2: "it's a composite isn't it? It means they have the knowledge and the skills, they have the ability to organise themselves, and they have the communication emotional component... It's the whole package" (M_CE_55)

EXCERPT 3: "...when they graduate on their first day of the ward... they have the skills and ability to undertake those activities of a foundation doctor... part of that I think would also involve recognising their own limitation 'cause they're only out of university" (M_HSP_07)

EXCERPT 4: "it's a long term thing... it's preparation for a career in practice" (M_CE_31)

EXCERPT 5: "not just for that first day, not just for that first month, not even just for that first year, but to give them a foundation where they feel competent and confident to practice in the longer term... issues such as patient safety... the moral dilemmas that they'll come across as time goes by and what to do when they fail" (M_CE_3)

EXCERPT 6: "there is no way I think in any professional training that you can be fully prepared for the job you're going to do, because it's an academic training with some practical input..." (F_PPR_44)

EXCERPT 6: "that's complicated... it's both the ability to complete the job required, but also to be able to do it without causing mental problems... I think a lot of people are able to do the job satisfactory, but in a great deal of psychological distress... [it's] about... being in a state of resilience..." (M_CE_21)

Theme 2: Newly graduating doctors' preparedness across the GMC's Outcomes for Graduates

This theme considers participants' narratives as a response to the broad question "how prepared are you [do you think medical graduates are] for practice?" We present our analysis according to the specific outcomes as set out in the GMC's outcomes for graduates.³¹ The sub-themes that follow therefore include: (2.1) Doctor as Scholar and Scientist; (2.2) Doctor as Practitioner; and (2.3) Doctor as Professional. It is important to understand that we did not specifically ask about these outcomes due to our open and narrative approach to questioning. Further, rather than neatly falling into single specific outcomes identified in the document, participants' narrated events were rich with detail, frequently cutting across more than one outcome domain. As such, many narratives were coded to multiple sub-themes, with some demonstrating *preparedness* for one outcome and *unpreparedness* for another.

2.1: Doctor as Scholar and Scientist

This sub-theme considers aspects such as medical graduates' abilities to apply biological, psychological and sociological principles and knowledge to practice and considers population health, healthcare improvement and research. Interestingly, very few participant groups contributed narratives to this sub-theme. Most of the data came from PGY1s directly and focussed on issues of biomedical scientific principles, with little data relating to psychological or sociological principles. There was a complete absence of narratives relating to population health, healthcare improvement or indeed research.

The vast majority of trainees' narratives related to situations where they felt prepared in terms of their biomedical scientific knowledge. Although some trainees narrated situations where they were able to translate this knowledge to the presenting patient, this preparedness was sometimes undermined by a lack of ward staff or clinical support (Excerpt 1, Box 2). Others admitted struggling to translate their knowledge into clinical practice (Excerpt 2 & 3, Box 2). Furthermore, patient and public representatives (PPRs) commented on PGY1s' lack of biomedical knowledge or lack of ability to translate knowledge into practice (Excerpt 4, Box 2). In terms of psychosocial aspects, some

clinical educators and PPRs felt that a holistic understanding of patient care was lacking in PGY1s' care (Excerpt 5, Box 2).

BOX 2: NARRATIVE EXCERPTS FOR PREPAREDNESS FOR SCHOLAR AND SCIENTIST OUTCOMES

EXCERPT 1: "I understood the physiology of what was happening... I was able to grasp that she was not responding to the treatment, and even why... I did not feel comfortable having this patient under my care at night with just two doctors in the hospital... with no ICU [intensive care unit] available and no lab on site" (M_PGY1_01: audio diary)

EXCERPT 2: "I mean I knew a lot about diabetes, but when I'm there on the ward and someone comes to me and talks about setting up a sliding scale because someone's levels are too high, I found I knew a lot about the receptors and all these sort of like lofty things about how they work... I didn't know well enough, properly, how to put in place the treatment for it..." (M_PGY1_19)

EXCERPT 3: "in terms of dealing with actual things that came across, I'd say the theory was there, like hypoglycaemia, I could tell you exactly what to do and when to do it, and then when someone had hypoglycaemia I say where the kit is and I had never actually used the kit before, so I had this weird tube... I had an insulin syringe, I was just like '*what to do with this?*'... it was like this much between my theoretical knowledge and how to do it" (M_PGY1_25: audio diary)

EXCERPT 4: "... there were some sort of glaring, glaringly weird things said which, you know, I'm thinking '*I didn't get an O-level in biology and I know... that's [liver] not there*' so perhaps they weren't that far ((laughter)) into the training" (F_PPR_38)

EXCERPT 5: "it's very complex... you can't expect these very junior doctors to have all these insights... these days a lot of medical problems are not about taking a tablet to lower your blood pressure... it is about lifestyle... they've always been focused to rule out medical conditions that they have not focused on... what causes the pain... that is often the psycho-social and social environment" (F_CE_18)

2.2 Doctor as Practitioner

This sub-theme considers various aspects of medical graduates' preparedness such as their abilities to: conduct patient consultations; diagnose and manage conditions; communicate effectively; prescribe; perform practical procedures; and use information effectively in the workplace. The outcomes associated with 'Doctor as Practitioner' were most prevalent across all participant group narratives.

Preparedness for patient consultations

Patient consultations include history taking, full physical examinations and assessing patients' decision-making capacities. In terms of history taking, both PGY1s and others narrated how PGY1s seemed prepared to take patient histories (Excerpt 1, Box 3). However, PGY1s stated that they often felt under-prepared for the high volume of patient consultations and anything unexpected or unusual regarding those consultations (Excerpt 1, Box 3). Other stakeholders commented that PGY1s had not yet understood their role in healthcare processes, lacking situational awareness (Excerpt 2, Box 3). Furthermore, when PGY1s transitioned into new wards they often encountered problems in terms of history taking for that particular specialty (Excerpt 1 and 3, Box 3). With respect to full physical examinations, PGY1s narrated their preparedness for conducting examinations (Excerpt 1, Box 3) and presenting their examination (and history) findings to their senior colleagues. Although

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3 different participant groups talked about PGY1s' preparedness for understanding how to assess
4 patient decision-making capacity, many participants commented that they found such assessments
5 challenging in practice (Excerpt 4, Box 3). However, from the perspective of patients, one PPR
6 participant reported that his experience with "very, very junior doctors" was positive, but added the
7 caveat that these junior doctors had the benefit of having "a lot of time to do it", suggesting that
8 they were probably undergraduate medical students learning without the pressures of work (Excerpt
9 5, Box 3).

11 ***Preparedness for diagnosing and managing conditions***

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13 The majority of narratives coded to this theme came from PGY1s, who recounted both preparedness
14 and unpreparedness for practice narratives in roughly equal measure. Multiple participant groups
15 (including PGY1s, PGY2s, CEs, and POLs) felt that PGY1 doctors were mostly prepared to diagnose
16 and plan treatments when cases were relatively straightforward (Excerpt 6, Box 3). However, PGY1s
17 narrated feeling less well prepared for the diagnosis and management of acutely unwell patients,
18 particularly in emergency situations when they struggled to find information, manage uncertainty
19 and emotions, and prioritise (Excerpt 7, Box 3). While some trainees narrated feeling better
20 prepared for making diagnoses than patient management, others such as senior doctors flagged
21 cases of PGY1 doctors missing diagnoses and contributing to serious patient safety issues (Excerpt 8,
22 Box 3). Furthermore, PPRs expressed concern that PGY1s preferred simple diagnoses, being
23 reluctant to consider greater complexity or to support patients when asking for a second opinion.

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28 Some PGY1s indicated that decisions were not purely their own responsibility but were that of the
29 wider interprofessional team. Here, PGY1s narrated dilemmas around when they should escalate
30 decisions with others. While PGY1s' narratives reported them being proactive in terms of diagnosis
31 and management, HCP participants often indicated that PGY1s were merely reporting diagnosis and
32 management in patients' notes rather than proactively acting on their investigation findings.
33 Furthermore, some HCPs talked about how they went over PGY1s' heads to discuss things directly
34 with their superiors as they believed the PGY1s would just follow orders rather than engage in
35 serious discussion about patient treatments (Excerpt 9, Box 3). Interestingly, both employers and
36 clinical educators expressed their concern about PGY1s' abilities to glean sufficient contextual
37 information about patients in order to consider diagnoses and management holistically. Indeed,
38 PGY1s' narratives tended to focus on the clinical aspects of diagnosis and management rather than
39 broader psychosocial or cultural aspects and their narratives rarely included them involving patients,
40 families or carers when making diagnoses or developing management plans.

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44 PGY1s were felt to request too many expensive patient investigations, with trainees reporting over-
45 ordering investigations for fear of missing something (Excerpt 10, Box 3). Interestingly, trainees
46 talked about witnessing – or deferring to – their seniors' investigation patterns, which gave them the
47 necessary role modelling and reflective experience from which to consider their own place in
48 financial aspects of care (Excerpt 10, Box 3).

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51 In terms of factors that contributed towards PGY1s' preparedness, some trainees cited their
52 confidence in themselves, positive relationships with their supervisors and/or wider team, and prior
53 rote learning of *fire drills* (e.g. the ABCDE approach) and simulation learning as being facilitative
54 (especially the learning of fire drills for emergency situations). Contrary to this, other PGY2s and
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3 some PGY1s felt that simulation learning comprised insufficient preparation for real world scenarios
4 where managing sick or dying patients, sometimes without support, was commonplace (Excerpt 7,
5 Box 3).
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7 Finally, despite the quantity of data in our study that mapped onto this subheading of diagnosis and
8 management, we found little evidence for some factors specified in the GMC's outcomes for
9 graduates, including trainees supporting patients' self-care, and identifying features of abuse in
10 patients.
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12 ***Preparedness for communicating effectively with patients and colleagues***

13 While there was evidence in some participants' narratives that trainees could communicate
14 effectively and sensitively with patients and families, several areas of under-preparedness were
15 commonly narrated by PGY1 and PGY2 doctors in terms of patient-orientated communication,
16 including: communicating with particular 'types' of patients (e.g. patients with mental health
17 conditions, patients who are emotional, patients with English as an additional language and/or
18 highly informed patients); managing complaints and breaking bad news (Excerpt 11, Box 3). Once
19 again, the issue of learning via simulation was deemed inadequate for communication preparedness
20 (by PGY1, PGY2, DTPL and HCP groups), due to the unpredictable nature and complexity and of real-
21 life interactions. Indeed, trainees commonly narrated communication challenges with patients that
22 were emotionally problematic for them, with trainees sometimes narrating fears for their physical
23 safety. Finally, patients variously narrated events concerning junior doctors' preparedness for
24 communication. The general consensus was that communication skills were lacking in junior doctors,
25 but that these skills were also lacking in their seniors too. Thus, we had multiple narratives from
26 patient groups in which they focussed on more senior consultants and the issue of abruptly breaking
27 bad news, leading to patient distress. Some participants felt that such role models had a significant
28 influence on the development of junior doctors' communication skills, especially those early on in
29 their careers. Others discussed the issue of individual differences in people, rather than this being a
30 training issue (Excerpt 12, Box 3). However, it was noted that the patient group, more than other
31 stakeholder groups, tended to refer to a range of sources (e.g. their friends, family and media) when
32 presenting their opinions, rather than just first-hand experiences.²⁸ Furthermore, patient
33 participants' first-hand experiences were generally more positive than when they discussed these
34 second-hand stories.
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36 While various participant groups indicated that PGY1s were prepared for communicating with
37 colleagues, participants also narrated communication challenges with respect to multi-professional
38 working such as clinical disputes with senior medical or nursing staff, difficulties in gaining support
39 from senior medical staff or HCPs, and handovers with insufficient information received.
40 Occasionally, serious communication breakdowns between nurses and PGY1 doctors were narrated,
41 including confrontation, emotional distress and on-going teamwork problems (Excerpt 13, Box 3).
42 Interestingly, junior doctors narrated the importance of learning on the job, suggesting that
43 everyday experiences of interacting with different healthcare professionals enabled them to develop
44 the skills they needed over time (see multi-professional team-working section below).
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53 ***Preparedness for prescribing drugs safely, effectively and economically***

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3 Generally, our data suggest that medical graduates were less prepared for prescribing. Interestingly,
4 it was the HCP group who provided the strongest evidence around graduates' unpreparedness, with
5 the PGY1s narrating roughly equal numbers of prepared/unpreparedness events. While some
6 graduates narrated how practising prescribing skills during medical school and interprofessional
7 team working afforded adequate learning opportunities, others narrated prescribing difficulties
8 resulting from their limited *workplace* prescribing experiences, the complex (and sometimes urgent)
9 nature of the prescribing event, alongside a lack of support on the wards (Excerpts 14 and 15, Box 3).
10 They frequently narrated referring to the BNF (British National Formulary) during ward-based
11 prescribing, especially for double-checking their drug selection and dose calculations. Interestingly,
12 PGY2 doctors discussed their own unpreparedness for prescribing on graduation and new PGY1s'
13 unpreparedness, sometimes talking about how they tried to educate PGY1s about prescribing
14 because they understood their lack of prescribing practice. Other stakeholders (e.g. DTPL, EMP
15 groups) narrated that PGY1s lacked basic pharmacology understanding and were unable to grasp the
16 concept of economic prescribing. Participants in the HCP group highlighted that although PGY1s
17 knew how to access prescribing support, they lacked prescribing knowledge and reasoning, were less
18 prepared to write legally controlled drug prescriptions or undertake adequate drug histories (Excerpt
19 16, Box 3). A few prescribing errors were narrated and there was a view that PGY1s were unaware
20 of common error sources and safety checks.
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25 ***Preparedness for carrying out practical procedures safely and effectively***

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27 PGY1s narrated numerous events in which they portrayed themselves as prepared for everyday
28 practical procedures such as obtaining blood samples, inserting cannulas, inserting urinary catheters,
29 and carrying out electrocardiograms (ECGs) (Excerpt 17, Box 3). While PGY1s explained that certain
30 processes (e.g. ABCDE) had been "drilled into" them during their undergraduate education, they
31 explained that their confidence in performing practical procedures had grown during PGY1 as they
32 learnt on the job performing practical procedures repeatedly on real patients. PGY1s, however,
33 narrated that routine procedures could be problematic at times, for example, when they
34 experienced difficulty in accessing veins, resulting in concerns of unpreparedness. As with
35 prescribing, when PGY1s felt less prepared, they reported how they sought out (and sometimes
36 insisted on) support from seniors in order to maintain patient safety (Excerpt 18, Box 3).
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40 ***Preparedness for using information effectively in the clinical environment***

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42 Similar numbers of preparedness and unpreparedness narratives for using information effectively
43 were elicited from our participants. While PGY1s narrated being prepared for some aspects (e.g.
44 accessing hospital services via computers and using Apps for information), they seemed less
45 prepared for others (e.g. documenting procedures, documenting initial clerking and accessing
46 patient notes: Excerpt 19, Box 3). Many PGY1s narrated incidents of incomplete patient notes and/or
47 illegible handwriting. Problems using information effectively in teams and having insufficient
48 information when requesting the assistance of other healthcare professionals were also included in
49 PGY1 doctors' narratives. The POL and EMP groups highlighted the importance for PGY1s to keep
50 clear patient records and suggested that this was an area in need of further training.
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53 **BOX 3: NARRATIVE EXCERPTS FOR PREPAREDNESS FOR PRACTITIONER OUTCOMES**

54 ***Patient Consultation***

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EXCERPT 1: "I was working today in pre-assessment clinic where we have to clerk patients that are for theatre... as medical student a lot of our time is spent clerking and examining patients so in that respect I felt unprepared for the situation... questions to ask and in what order... but... we didn't get taught in medical school how to clerk for a... pre-op assessment clinic... you're assessing someone's anaesthetic risk as well as... the risk from the surgery... it's quite a big responsibility" (F_PGY1_27: audio diary)

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EXCERPT 2: "they haven't got a clue what they're up to... they might be able to take the history... but... they don't seem to understand why... I'd use the term again, situational awareness... so you know classically in anaesthetics and theatres we talk about the situational awareness and that's about the environment that you're working in, the risks that are occurring, but it's having that wider view of the world..." (M_POL_32)

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EXCERPT 3: "sort of feeling a bit rusty in terms of obstetric history... it's difficult when you go into specialties from a previous rotation, because I was on medicine, you have your set of questions that you ask... and I suppose when I first took a history off... a[n] obstetric patient it was sort of remembering which subheading you need to put where and what you had to ask in obstetric history" (M_PGY1_30)

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EXCERPT 4: "they know the theory behind it all but I think they can do with a little bit of education or support from seniors... to fully understand what the connotations of going through the mental capacity act and stuff like that, they know all that, but I don't think they get a lot of training how they should apply it and what it does mean to the patient" (M_CE_28)

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EXCERPT 5: "yes they were very, very junior doctors who came and took histories from me and they did it very, very well... they had a lot of time to do it mind you" (M_PPR_25)

28 **Diagnosing and managing clinical conditions**

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EXCERPT 6: "During my first set of nights in surgery a nurse approached me to tell me that a patient had had an episode of coffee ground vomiting [usually the result of bleeding into the stomach]... I was recalling what I had seen before and working through the patient's symptoms and needs and dealing with them accordingly. I think I was able to do so because this patient was stable and I had time to think and act" (F_PGY1_05)

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EXCERPT 7: "but I think just the experience was pretty horrendous and something that I... wasn't prepared for sort of emotionally... the resuscitation was unsuccessful ..., and the child passed away... it's different whenever you practice on... the mannequins in the resus training, and even doing CPR, which I've done numerous times now, on elderly patients... you kind of get a bit cold to it, but certainly I wasn't prepared for... emotional trauma of taking part in a paediatric cardiac arrest" (M_PGY1_08: audio diary)

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EXCERPT 8: "... the worse thing is when a patient comes in who is sick, they [PGY1s] just clerk, they ask them the questions, they write down the answers, they examine them, they write down examination findings, they do the usual bloods and they put them in a bed, and then twelve hours later or twenty-four hours later somebody more experienced will see them and think '*oh my god, what the hell's been happening here? This patient is desperately ill, we've missed an opportunity here'...*" (M_CE_21)

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EXCERPT 9: "... actually there's no point in me speaking to the [trainees], I need to go to the registrar because I need a discussion about the [management] and I don't think that I'll get that from the [PGY1]... if you go to a newly qualified [trainee] and say these two medicines aren't prescribed they may well write them up, which is really what you don't want" (F_HPE_28)

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EXCERPT 10: "... got someone who's still reduced level of consciousness... likely hit her head, so... I was halfway through talking to this lady, maybe ten minutes in, I could hear my consultant outside

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3 of the curtain... [he] sticks his head around the curtain and sort of gives me a bit of a look and
4 beckons me out to come and talk to him... I felt the tone of the consultant's conversation was... sort
5 of looking to leave this lady for a bit, give her some pain relief... so that's was what I did for the next
6 ten minutes [I]... I think even with that experience... you can still do very different things, you can be
7 very conservative and order a lot of tests and make sure you very much cover your back, equally you
8 don't do that all the time because... you don't want to be over-testing people and also spending
9 more money than we necessarily have" (M_PGY1_02: audio diary)

Communicating effectively

12 EXCERPT 11: "my registrar basically said *'go and speak to the family'*... I was like *'okay'*, so I explained
13 what had happened to their dad... and... they just start firing these questions at you and you're kind
14 of sitting there going *'uhmm, uhmm, I don't know... but I can find out for you'*... and that was quite an
15 uncomfortable moment because... it makes you feel quite incompetent... when it comes to a real
16 situation at 2.00 am in the morning with someone's father, and someone's husband, and they're
17 asking all these questions, there's two or three people crying next to you, the last thing you... can
18 really remember is your fifth year lecture on stroke thrombolysis... it was quite intense"
19 (M_PGY1_35: audio diary)

21 EXCERPT 12: "I've never thought that we're all equal... so the people who are successful with
22 patients at risk, or more demanding patients, I think with some extent that's a special kind of
23 person... although it's possible to train indiv- individual [doctors] to become more understanding,
24 unless they've really got it within them, I think er they're only going to go so far down that road of
25 having a full understanding full of empathy, full willingness to spend time... I put that down not to
26 their training, not to their age, not to the experience, but to themselves, the people... and some
27 doctors will fit that bill... but not all..." (M_PPR_21)

29 EXCERPT 13: "essentially it was a corridor conversation that happened between one of my senior
30 nurses... with this trainee in the corridor... there was a challenge about the care that she'd [trainee]
31 given to a patient and also there was like a prescribing issue as well... the poor doctor... was getting
32 hammered verbally by the nurse in the corridor... it was basically like machine gunning the poor girl
33 verbally in a corridor... the girl [trainee] did walk off the ward straight away crushed... we were trying
34 to get her on bleeps later on [but] couldn't get her..." (F_HCP_24)

Prescribing drugs safely, effectively and economically

37 EXCERPT 14: "there was a patient admitted with urosepsis [severe urinary tract infection] who was
38 commenced on a regime of antibiotics, one of the antibiotics was then stopped which was called
39 vancomycin where you have to load it on several levels, it was stopped abruptly, then two days later
40 it was picked up on and I got asked to restart it. This is very new territory for me and I've never been
41 told how to restart something like this before..." (F_PGY1_02: audio diary)

43 EXCERPT 15: " ... even things like IV morphine, like the nurses they won't do it, they expect you to
44 just prescribe morphine and give an IV 'cause this person's in pain and they need it... and that is...
45 quite worrisome... the one time I did that, it was it was for a guy who had some sort of blood
46 cancer... ended up having to phone up palliative care in one of the hospices 'cause... it was at night
47 and I was really worrying about it but he was like rolling around in pain... I still went up the ladder
48 'cause I just wasn't... one hundred per cent sure about giving IV morphine at that point... so that was
49 a bit scary" (F_PGY1_13)

51 EXCERPT 16: "with the prescribing... they [PGY1s] will ask you a question... and you might tell them
52 and they might just write it down without, let's say, engaging with you and sort of discussing the
53 issues around it, and whether it's appropriate for that patient... so they know who's best to ask for
54 help and realising that they do need to ask for help... sometimes they... see it as black and white...
55 one dose being the only dose, whereas in reality they need to take a clinical judgement... so at first I

would quite happily say *'oh well, it's this'* and then realise that they were just writing down what I'd told them without any thought ((laughs))..." (F_HCP_82)

Carrying out practical procedures safely and effectively

EXCERPT 17: "On a late shift in the Care of the Elderly building I was asked to take a blood sample for a group and cross match from an older gentleman who was anaemic... I went up to see the patient who needed transfused... I... obtained informed consent, checked the patient's details carefully and managed to insert the venflon and take the group and cross match blood sample together... The following day... I followed up on the gentleman in question. He had been stable overnight and was receiving his transfusion. I felt satisfied that I facilitated this patient's transfusion in a manner that had minimised risk and maximised benefit." (F_PGY1_06: audio diary)

EXCERPT 18: "A time that I felt unprepared was when I was called to see an elderly female on the urology ward. She had been in for several weeks and when I was called to see her she was vomiting bile... I decided... to start her on IV fluids, make her a nil by mouth and request an abdominal X-ray. I wasn't quite sure what I was dealing with... once I [had] seen the abdominal X-ray which showed dilated loops of small bowel, I then sought some senior help... I said to her [senior house officer] what my management had been and how I was thinking of putting an NG tube down she agreed with me... I asked one of the senior nurses on the ward to assist me, and hence I put down my first NG tube... I felt quite unprepared at doing it... I managed to successfully introduce the NG tube. It was quite a daunting experience... during the ward round in the afternoon... the urology registrar... commended the management that I had done." (F_PGY1_03: audio diary)

Using information effectively in the clinical environment

EXCERPT 19: "[we are] often the... first doctor to see a patient when they come into hospital, I've realised since I've done the job, how important that first clerking is, so for example, documenting what's brought the patient into hospital... the other day when I was seeing a patient, um had written half of their clerking... a couple of pages of writing... and got called away to do something else briefly, I'd referred my patient to medicine and I came back and the patient had been transferred already to medical ward a lot sooner than I thought, and I actually hadn't finished writing for the patient... I remember being really, really stressed out about this... I felt really terrible that this patient had gone with only half a clerking, so I had to scoot after them to the medical ward and finish writing, because I thought this would reflect really badly on me... I think that little outcome made me realise how important our documentation is... and this sort of accountability and traceability is a really important part of being a good first year doctor..." (M_PGY1_08: audio diary)

2.3 Doctor as Professional

In this section, we consider participants' narratives about PGY1s' preparedness for professional aspects of work, including ethical and legal aspects, reflection, learning and teaching, and multi-professional team-working.

Preparedness for ethical and legal aspects

While approximately half of the narratives classified to this theme indicated neither preparedness nor unpreparedness, the remaining narratives suggested that new graduates were relatively unprepared for ethical and legal aspects. Notably, most of these came from graduates. While they narrated preparedness for activities such as obtaining valid patient consent and completing death certification, they also narrated their unpreparedness for more complex situations like domestic violence cases, confidentiality issues around patients brought into the hospital by police, patients

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3 wishing to self-discharge from hospitals and completing 'Do Not Attempt Resuscitation' (DNAR)
4 forms (Excerpt 1, Box 4). Although they sometimes narrated their knowledge of ethical principles
5 and occasionally provided examples of situations in which they challenged seniors about their
6 professional behaviours, they also revealed some uncertainty about how to act appropriately at
7 times (Excerpt 2, Box 4), sometimes seeking advice from their seniors (Excerpt 3, Box 4).
8 Interestingly, they often narrated feeling unprepared for their own emotional reactions during such
9 complex events. Other stakeholders (PGY2, DTPL, GOV and EMP) discussed medical graduates'
10 overall preparedness around patient-centred care and ethical reasoning, although sometimes
11 situations suggested that new medical graduates were less attentive to their professional self-care
12 and self-presentation (Excerpt 4, Box 4).
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Preparedness for reflecting, learning and teaching others

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17 There was a paucity of data on reflecting, learning and teaching others, although medical graduates
18 often touched upon these issues, sometimes summarising a take away message for future learning
19 based on the events narrated. Effective time-management and the maintenance of work-life
20 balance were narrated as challenging. Participants in several groups (e.g. PGY1/2s, CEs and DTPLs)
21 narrated events in which new medical graduates failed to work efficiently, such as taking too long to
22 clerk patients, asking irrelevant questions, requesting unneeded tests and prioritisation skills
23 (Excerpt 5, Box 4). Medical graduates' accounts were linked to fatigue – the less sleep, the worse
24 their time management was – and their general lack of experience in what comprised an essential
25 task. The PPR group empathised with the difficulties that new graduates faced in terms of juggling
26 many different demands and linked this with junior doctors developing mechanisms to block out
27 patients' demands (Excerpt 6, Box 4). Some medical graduates narrated receiving excellent teaching
28 and feedback (Excerpt 7, Box 4), and often discussed how they were trying to address their
29 shortcomings. Graduates also narrated events where they felt well prepared to teach undergraduate
30 medical students on placements, often citing their own inadequacies and a desire to address this in
31 the next cohort of graduates (Excerpt 8, Box 4).
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Preparedness for learning and working effectively in multi-professional teams

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38 Despite having some communication problems (as discussed above), medical graduates commonly
39 narrated positive experiences of working as part of a multi-professional team, frequently citing
40 nurses as making a positive contribution. Other stakeholders also narrated events in which today's
41 medical graduates were contrasted favourably with previous generations of PGY1s. While medical
42 graduates constructed themselves as being relative newcomers to the team, they explained being
43 prepared to learn from others (Excerpt 9, Box 4). They reported how working with other healthcare
44 professionals, such as social workers, provided them with different ways of thinking and working.
45 They also narrated their attempts of building positive working relationships with other healthcare
46 professionals, for example, through introducing themselves, taking time to get to know others,
47 building trust and resolving conflict. Both newly graduated doctors and HCP participants most often
48 cited nurses as key players. While nurses could be a source of conflict for PGY1 doctors, as illustrated
49 above (Excerpt 12, Box 3), they were also described as looking out for graduates due to their novice
50 status. Indeed, newly graduated doctors' narratives frequently focused on them consulting nurses
51 on ward practices, in preference to their senior medical clinicians, and for assistance when
52 undertaking unfamiliar practical procedures (Excerpt 10, Box 4). Some graduates talked about
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3 feeling pressurised when other healthcare professionals wanted them to make decisions on clinical
4 aspects that they were unsure about. Occasionally graduates narrated witnessing team members'
5 inappropriate behaviour, which caused dilemmas around how they should respond.
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7 ***Protecting patients and improving care***

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9 Overall, participants' narratives suggest that graduates are less prepared in this area. PGY1 and PGY2
10 participants talked negatively in terms of coping with uncertainty and change: uncertainty about
11 their diagnoses, when seniors changed their minds and ethical issues (e.g. Excerpt 13, Box 3). Their
12 positive talk around uncertainty and change focussed on how repeated exposure to similar events
13 led them to cope better. Several PGY1s (and some DTPLs) narrated their understanding of
14 healthcare improvement, describing their involvement in audits and projects. Participating in audits
15 was thought to lead to PGY1s' broader understanding of the NHS. Healthcare improvements also
16 work at a more interpersonal level. Consider the interaction between members of one of our
17 patient groups (Excerpt 11, Box 4) in which they discuss the issue of junior doctors and nurses who
18 witness poor patient care. Here, they highlight the issue that junior doctors are more closely aligned
19 with patients' perspectives than their seniors, due to them also being in an 'alien environment', yet
20 it is often their seniors who they witness breaching patients' safety or dignity. For junior doctors, this
21 creates a dilemma around whistle-blowing (in the words of the PPR participant, although the GMC
22 prefer the term 'raising concerns'). The conclusion that these patients come to is that, provided with
23 the necessary support, junior doctors can make sense of what they see and subsequently make
24 informed decisions around whether or not to whistle-blow. In addition to direct patient care,
25 occasionally PGY1s mentioned self-care, understanding their need for appropriate levels of rest,
26 nourishment and work-life balance. Interestingly, these aspects were only narrated in relation to the
27 benefits they will have on patient care. Some of the PPR group participants also highlighted this
28 issue, although their focus was more around how junior doctors were so overworked that they were
29 not alert, which was deemed detrimental to patient care. As touched on earlier, PGY1s and other
30 stakeholders felt that they were generally unaware of (or unconcerned about) the financial
31 consequences of their practice (Excerpt 9, Box 3), with PGY2s believing that cost efficiency was only
32 appropriate further on in their careers.
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39 BOX 4: NARRATIVES EXCERPTS FOR PREPAREDNESS FOR PROFESSIONAL OUTCOMES

40 ***Behaving according to ethical and legal principles***

41 EXCERPT 1: "... all the seniors are then scrubbed in theatre leaving me as the most senior member on
42 our team, which therefore meant it fell to me to actually do the 'do not resuscitate' form... and it's
43 something that I didn't feel particularly confident with or happy doing" (M_PGY1_28: audio diary)

44 EXCERPT 2: "She [the patient] had the diagnosis of [eating disorder diagnosis]... was admitted late at
45 night and required an NG tube placement. This wasn't one of my patients, but I was allowed to
46 observe the NG tube being placed... mainly because I haven't- I've never done one and I was
47 actually told off about not knowing how to put one in... anyway, this patient didn't really want an NG
48 tube... I was very torn ethically about this particular patient... It took three people to get the tube
49 down which shouldn't really be the case...I was very uncomfortable with the fact that she was
50 basically being force-fed" (F_PGY1_06: audio diary)

51 EXCERPT 3: "I rang the ward and told them I'll be back in a minute to write up some fluids for a
52 patient, and I got back and they'd already been given by one of the nursing staff... but they'd given
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3 something that is not prescribed, and you have to look at the scenario and think... *'should I raise this*
4 *as an issue or should I not?'* and one of my registrars said that really if you go around trying to
5 correct every bit of not-quite-right practice, you just give yourself a headache and create a lot of
6 nightmares..." (M_PGY2_08)

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8 EXCERPT 4: "I have, on several occasions... [seen] trainees coming in inappropriately dressed... and I
9 find it fairly intolerable... my male consultant colleagues find this a really difficult area because... if
10 they tell females that they think that they're inappropriately dressed, they worry that the female will
11 see this as bullying or harassment... I feel that it shows a little bit of a lack of understanding and
12 respect for the patients to come on the ward inappropriately dressed" (F_GOV_28)

13 **Reflecting, learning and teaching others**

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15 EXCERPT 5: "time management... that's one of their [PGY1] major [challenges], the prioritising and
16 time management... you can just see when they first start, when their... bleep [goes] twice at once,
17 you'll hear them... say [to] the nursing staff, *'which of these things should I do first? ...'*" (M_CE_16)

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19 EXCERPT 6: "I think that's true of junior doctors as well because the turnover on wards and
20 everything... and the complexity of the conditions that are on wards these days, they're trying to
21 learn how to deal with all that... and at the same time ((laughter)) regard this person as a human
22 being that they're interacting with, it must be very, very difficult... I imagine as a junior doctor
23 because you've got the pressure coming from the patient... but you've also got the expectations of
24 your senior doctors, and you've got to get through this, and you've got to do the bloods, you gotta
25 do that, you gotta do... you're torn between them and so gradually you develop mechanisms like
26 you're on your computer to sort of block out the demands of the patient" (M_PPR_34)

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28 EXCERPT 7: "Yeah I've got one [clinical teacher] who, she was our registrar during my first job... she
29 was particularly good because... she was very supportive and happy to help with anything... she
30 would give you advice about who to speak to, ... and she would get me to talk through why I thought
31 of each differential diagnosis and what I was going to do about it, and then gave me feedback and
32 did assessments and things for me..." (F_PGY2_12)

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34 EXCERPT 8: "when you've got students with you... certainly getting them to do some of the things
35 that I would have wanted to have done as a medical student to get experience was some of the
36 things that I'm reflecting on now, like making decisions, so now I'm trying to get the students... to try
37 and make those decisions with support while they're students as well..." (F_PGY2_8)

38 **Learning and working effectively in a multi-professional team**

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40 EXCERPT 9: "taking care of the elderly, there's like multidisciplinary meetings every week... so you
41 have the consultants there, you'll have the occupational therapists, the physiotherapists, the social
42 workers, all like in the same room... the consultant will say how they're doing medically and then OT
43 [occupational therapist] will say how they're getting on... they able to climb the front steps... you get
44 a really good impression of the whole patient ... the physiotherapist will keep you right... particularly
45 with mobilising them... so yeah I've found it really good working with them actually" (M_PGY1_14:
46 audio diary)

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48 EXCERPT 10: "nursing staff, who are really helpful... especially in those emergency situations when
49 you were waiting for someone more senior and they could be doing stuff for you while you were
50 trying to work out what was going on... just telling you about how things worked on a ward... you'd
51 often be asking *'so what would you normally be doing in this situation?'* they'd be like *'well this is*
52 *what they done before'* or you know *'this is what we normally give in this situation'* and they were
53 just a real fountain of knowledge" (F_PGY1_24)

54 **Protecting patients and improving care**

EXCERPT 11:

"M_PPR_45: it seems to me... both nursing and medicine have retreated into themselves to a certain extent and they seem to be... the sort of management that is only concerned with money and ticking boxes... there is not this sense of 'we are the **champions** of the patient' and 'we **care** about the patient'... and 'we are going to **fight** for the patient'... probably happens in some places- but it doesn't seem to be a normal thing, and you see the way Trusts put gagging clauses into contracts... that's terrible... and yet it is often the junior doctor and the junior nurse that pick up the things that are going wrong... but where do you go? They're new aren't they, they're new

F_PPR_44: they're new and they identify more closely... you know for them, too, it's an alien environment

F_PPR_43: of course [it is yeah]...

M_PPR_45: I was talking about peer support, but yes, you still need the capacity to have a whistleblower because... if it's your consultant... you want to complain about, then there are sensitivities...

F_PPR_44: you need to be able to talk to people

M_PPR_45: yeah

F_PPR_44: before you get to the whistle-blowing stage... you need to have a group of experienced people that you can talk over situations that you've been in to get them into perspective, and if necessary, to whistle-blow, but sometimes it's more simple than that

F_PPR_43: of course it is"

Discussion

This paper set out to address two research questions. In relation to the first question focusing on stakeholders' conceptualisations of preparedness for practice, participants sometimes struggled to articulate preparedness when specifically asked to define the concept. When they did, their understandings varied by the constituent aspects of preparedness (e.g. knowledge, skills, behaviours and emotional aspects) and time (e.g. short-term versus longer-term). Furthermore, throughout the remainder of the interviews, participants' implicit conceptualisations of preparedness for practice also reflected these factors as they narrated their own experiences of observing and interacting with newly graduated doctors. Although previous research has explored preparedness in terms of clinical skills and procedures (e.g. communication skills, examination skills and practical procedures), and other studies have considered issues around junior doctors' wellbeing,^{13 32} to our knowledge, our study is the first time that research focussing on the issue of whether graduates are prepared for practice has included behavioural and emotional aspects. Furthermore, since the primary focus of current research is around new graduates' short-term preparedness (i.e. preparedness for their role as PGY1 doctor) it appears that in general, researchers' understandings of this concept are more limited than those of our participants.¹⁶⁻²⁰ This is also echoed in the GMC's outcomes for graduates document that focuses on knowledge, skills and behaviours,³¹ despite recognising the importance of resilience for doctors.

In relation to our second research question around various stakeholders' perspectives of recent medical graduates' preparedness, on the one hand, we found areas of consistency across stakeholder groups (e.g. problems translating knowledge into practice), while on the other, we found contradictory findings, where graduates might perceive themselves as prepared but other stakeholders deemed them less prepared (e.g. diagnosis and patient management). This pattern of consistency and inconsistency is echoed in previous quantitative research examining PGY1s' and their supervisors' opinions of PGY1s' preparedness for practice.^{33 34} What is different in our study, however, is the rich narratives based on real events experienced by different stakeholders. It is within these narratives that we can better understand the nuances of preparedness. For example, in terms of diagnosis and management, our data reveal that this difference in opinion lies in issues such as simple versus complex cases, perceptions around PGY1s' reliance on carrying out instructions (rather than engaging in discussions), and their sometimes powerful emotional reactions to difficult clinical situations.³⁰ An understanding of these nuances enables a more sophisticated appreciation of the concept of preparedness, which recognises that preparedness is not binary, an aspect that was not specifically highlighted when participants were asked to define the concept. From here, educators are better able to develop educational and support systems appropriate to the specific mechanisms at play.

With respect to preparedness, if we are to make a list, our data suggest that medical graduates were mostly thought to be prepared for: history taking and physical examinations; diagnosis and management of simple cases; straightforward communication with patients and their families; straightforward communication with medical colleagues; openness for learning and working in multi-professional teams; everyday practical procedures (e.g. taking blood, inserting cannulas); some aspects of using information in the clinical environment (e.g. accessing hospital services via computers); and straightforward ethical and legal aspects (e.g. obtaining valid patient consent).

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3 These findings extend what we already know about UK junior doctors' preparedness for practice.¹⁰
4 For example, there has been a paucity of information on medical graduates' preparedness for multi-
5 professional team-working, although this limited and inconsistent evidence suggests that multi-
6 professional team-working is an area of relative unpreparedness.^{35 36} Furthermore, what our
7 research adds to this literature is the multifaceted nature in which these 'preparedness' events
8 occur alongside the deeper issue of what it means to be prepared: knowing *how* vs. knowing *why*,
9 knowing *what it means* and knowing *what next*. Indeed, our work calls into question the very notion
10 of check-box approaches to preparedness for practice.^{18 37-39} For example, our research confirms
11 what we already know—that medical graduates can clerk patients: take their history and perform
12 physical examinations.¹⁰ But our research sheds light onto their situational awareness, knowing the
13 purpose of these activities (e.g. pre-operation assessment vs. management of illness) or the
14 ramifications (e.g. what it means for the patient), which is often lacking. As such, our research
15 unpacks what it actually means to know something and the limited utility of 'check-box'
16 questionnaire research approaches.^{14 17 19 38 40} Additionally, the issue of situational awareness further
17 expands on our conceptualisation of preparedness for practice, pointing to the necessity for this to
18 be facilitated during students' undergraduate years. However, it is worth noting that while medical
19 students mature as they go through their undergraduate medical education, their development is
20 not constrained to this environment, but necessarily interacts with their personal world outwith
21 their studies. And it is within and between these two worlds that the emotional and psychological
22 aspects of themselves develop. Thus, merely adding 'situational awareness' to the check-box is not
23 the answer.
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29 Regarding unpreparedness, in summary, medical graduates are mostly thought to be less well
30 prepared for: applying biomedical scientific knowledge to clinical practice; psychosocial aspects of
31 patient care; the high volume of patients requiring history taking and physical examinations;
32 diagnosis and management of complex cases and acutely unwell patients; challenging
33 communication with patients and their families (e.g. breaking bad news); communication in multi-
34 professional teams; prescribing; some aspects of information management (e.g. documentation);
35 complex ethical and legal aspects (e.g. DNAR forms); and effective time management and
36 maintenance of work-life balance. Although some of these aspects have been found in previous
37 studies exploring UK junior doctors' preparedness for practice,¹⁰ again, our study reveals further
38 nuances around the issue of unpreparedness. For example, previous research suggests that
39 graduates are prepared in terms of their knowledge of behavioural and social sciences for medical
40 care and their recognition of the social and emotional factors in illness and treatment.^{17 39 41 42}
41 However, there was a perception in our study, by both clinical educators and patients, that
42 graduates failed to consider the psychosocial aspects of patient care and even developed
43 mechanisms through which they could avoid patients' demands. Furthermore, medical graduates
44 failed to mention these aspects in their audio diaries when describing their clinical reasoning and
45 patient encounters. Thus, it seems that this might be an area in which medical graduates are,
46 indeed, knowledgeable, but one in which they fail to translate their knowledge into everyday
47 practice (as such, there is a distinction between 'knowing what' and 'knowing how'). Many PGY1s'
48 narratives in our study are replete with accounts of complex and uncomfortable situations, which
49 comprise 'new territory' for them. They sometimes explicitly report struggling to remember their
50 classroom learning in the face of multiple interactional and contextual demands (e.g. middle of the
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night, distressed patients, competing requests etc.). It is hardly surprising therefore that the cognitive capacity of these newly qualified doctors is challenged as they encounter high-stress situations alongside expected responsibilities, leading them to momentarily 'forget' learning that they may not have previously utilised in practice.

Relatedly, PGY1 participants in our study talked about the importance of learning on the job in terms of developing their preparedness for practice, particularly in relation to practical procedures and communicating with multi-professional teams, as has been suggested previously by other researchers.²⁰ While previous authors have discussed the importance of experiential and socio-cultural learning theories in terms of preparedness for practice,^{17 20} we instead draw here on Eraut's thinking about informal learning in the workplace.⁴³ Indeed, informal learning includes: 'implicit, unintended, opportunistic and unstructured learning'⁴³ and can be of three types varying by level of learning intention: implicit (unconscious); reactive (near-spontaneous); and deliberative (considered) learning.⁴³ Eraut⁴³ highlighted various informal learning outcomes in the workplace including task performance (e.g. communication with diverse people); role performance (e.g. handling ethical issues); awareness and understanding (e.g. understanding one's own organisation); academic knowledge and skills (e.g. applying theory to practice); personal development (e.g. ability to learn from experience); decision-making and problem-solving (e.g. generating and evaluating options); teamwork (e.g. collaborative work); and judgement (e.g. prioritisation). Such informal learning outcomes, similar to those aspects of unpreparedness identified above, are thought to come about through participation in group tasks, working alongside others, undertaking challenging activities, and working with clients.⁴³ Therefore, much of the unpreparedness we report might only be developed through informal workplace learning during the first two postgraduate years, unless significant change happens within undergraduate medical education to allow for final year medical students' meaningful participation in workplace activities such as prescribing.⁴⁴

Methodological limitations and strengths of the study

Our study is not without its challenges, however, and these must be taken into consideration when interpreting our results. Given the participant-led nature of our narrative interviews and longitudinal audio-diaries, participants volunteered their experiences of graduates' preparedness/unpreparedness without prompting for specific GMC graduate outcomes. Therefore, we collected sparse data (e.g. on preparedness for reflecting, learning and teaching others) or no data (e.g. on preparedness for population health, healthcare improvement and research) for some GMC graduate outcomes. We cannot be sure why these were not mentioned but we suspect that they did not readily come to the minds of stakeholders, which is an important finding in itself. Therefore, our findings present only a partial picture of UK graduates' preparedness against all GMC graduate outcomes.³¹ Finally, given the voluminous data collected (i.e. 2,186 narratives from 185 participants across 111 interviews), we found it impossible to present all seven identified themes in sufficient depth in this one paper. We were also unable to present longitudinal results here, in addition to the cross-sectional findings. Therefore, in this paper we report on two of our themes; the remaining themes and analyses are presented elsewhere.^{9 27-30}

Despite these challenges, our study has strengths. It is one of few to explore multiple stakeholders' perceptions beyond graduates and their supervisors,¹⁰ including previously under-represented

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3 groups such as patient and public representatives and policy and government officials. Furthermore,
4 previous studies have explored PGY1s' preparedness for practice employing qualitative data
5 collected at a single time-point,⁴⁵⁻⁴⁷ or fixed time-points longitudinally,²⁰ or via quantitative
6 retrospective surveys of graduates (and occasionally their supervisors).^{17 33 41 48-53} Our study employs
7 both narrative interviewing and longitudinal audio-diaries, thereby enabling us to capture narratives
8 of events close to their time of occurrence, increasing the details of those events within our data. As
9 such, we address the acontextual nature of event reporting, plus recall challenges, found in previous
10 literature.²¹ We have collected a large amount of qualitative data (nearly 100 hours) from
11 stakeholders across four UK sites (England, Northern Ireland, Scotland, and Wales). This, coupled
12 with the similarities between our findings and other recent UK-based preparedness for practice
13 studies, and alongside the advances we have made in terms of the complexities and nuances of the
14 data, means that our findings are likely to be transferable across the UK. Further, we employed a
15 team-based approach to our qualitative data analysis, enhancing both our study rigour and
16 reflexivity. Our large research team came from diverse disciplinary backgrounds (medicine, social
17 sciences, biomedical sciences, healthcare education, and education), bringing different expertise,
18 expectations, and understandings to our interpretations, leading to a more thorough analysis of our
19 data.⁵⁴ Finally, we classified participants' narratives in terms of relative preparedness according to
20 how they constructed the events. Thus, what we present here is an accurate picture of stakeholders'
21 perceptions of newly graduated doctors' preparedness rather than objective assessments or our
22 classifications. Indeed, there might be instances where a situation was narrated as one of
23 unpreparedness but that when seen through the eyes of another, reveals a level of preparedness.
24 For example, that newly graduated doctors narrated referring to the BNF during their ward-based
25 prescribing as them feeling unprepared for prescribing – double-checking their drug selection and
26 dose calculations – could be constructed by others as evidence of preparedness in terms of
27 awareness and professionalism. This disparity of interpretation is worth noting in order to evaluate
28 the utility of our results.

34 35 **Implications for educational practice and further research**

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37 Despite these methodological challenges, there are numerous implications for educational practice
38 and further research. In terms of educational practice, we think that a lack of shared understanding
39 of what preparedness for practice actually is, could lead to misunderstandings and misplaced
40 expectations about graduates' workplace performance. Furthermore, across a range of factors
41 reported in our results – including communication in the workplace, prescribing, learning and
42 working effectively in multi-professional teams – our participants narrated a range of problematic
43 situations leading to feelings of unpreparedness. Therefore, we think that the development of
44 shared understanding (and thus expectations) of preparedness for practice between graduates and
45 other stakeholders as part of graduates' transition interventions is key. Our findings also suggest
46 that such transition interventions should look beyond short-term preparedness for the PGY1 role,
47 and also consider longer-term preparedness for aspects such as medical careers, and psychological
48 and emotional aspects of preparedness.^{13 55} Secondly, as trainees, junior doctors are supervised.
49 With this understanding of preparedness as an on-going process, our study holds implications for
50 supervisors as guardians of patient safety. Junior doctors require the right balance of supervision (to
51 safeguard patient safety) and autonomy (to facilitate their development). This balance develops with
52 supervisory experience and can benefit from appropriate training.⁵⁶ Additionally, our findings

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3 suggest numerous GMC outcomes for which medical graduates are thought to be less well prepared,
4 largely because, we would argue, they lack sufficient informal workplace learning opportunities
5 during their undergraduate education to develop these capabilities.⁴⁴ Indeed, we believe that
6 PGY1s' ability to manage complex and challenging situations will only develop through increased
7 informal workplace learning opportunities. We therefore recommend that medical educators re-
8 consider their final year medicine curriculum and increase the proportion of time that medical
9 students spend participating meaningfully in multi-professional teams as part of informal workplace
10 learning: indeed, this is already beginning in terms of an extension to current assistantship periods.¹³
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12 ⁵⁷ Alternatively, we need to recalibrate our expectations of what PGY1 doctors should be able to do
13 on graduation based on our appreciation that they will only become fully prepared for certain
14 aspects once they are in post. For this latter approach, a greater focus on the formal and informal
15 clinical supervision of PGY1 doctors is key; supervision that not only emphasises the formative
16 (educational) aspects of supervision but also privileges the restorative (supportive) aspects of
17 supervision.⁵⁸
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21 In terms of research, we know from this and other studies¹⁰ what UK graduates are typically thought
22 to be less well prepared for, based on quantitative surveys and qualitative interviews. Further
23 research is now needed employing observational methods to explore further those aspects of
24 unpreparedness. For example, innovative methods such as video-reflexive ethnography (VRE) could
25 be used to explore the complexities of PGY1s' everyday experiences. As an educational intervention
26 in itself, VRE has been used to stimulate discussion of PGY1s' prescribing amongst the multi-
27 professional team in order to further develop junior doctor prescribing. Such methodologies will
28 allow us to unpack the complexities of informal workplace learning more fully.
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Consolidated criteria for reporting qualitative studies (COREQ): 32-item checklist

Developed from: Tong A, Sainsbury P, Craig J. Consolidated criteria for reporting qualitative research (COREQ): a 32-item checklist for interviews and focus groups. *International Journal for Quality in Health Care*. 2007. Volume 19, Number 6: pp. 349 – 357

No. Item	Guide questions/description	Response / Reported on Page #
Domain 1: Research team and reflexivity		
<i>Personal Characteristics</i>		
1. Interviewer/facilitator	Which author/s conducted the interview or focus group?	See 'data collection' in Methods (page 7) (KK, GS, JC, NK, CK: see acknowledgements)
2. Credentials	What were the researcher's credentials? E.g. PhD, MD	Professor Lynn V. Monrouxe (LVM): PHD Professor Charlotte E Rees (CER): PHD Dr Camille E Kostov (CEK): MBBCh Dr Gerry J Gormley: MBBCh Dr Narcie Kelly: PHD Dr Kathrin Kaufhold: PHD Professor Alison Bullock: PHD Professor Karen Mattick: PHD
3. Occupation	What was their occupation at the time of the study?	See title page (page 1) CEK: Medical Student LVM: Director of Medical Education Research, Cardiff University School CER: Director of the Centre for Medical Education, University of Dundee GG: Senior Lecturer in the Centre for Medical Education, Queen's University Belfast NK: Research Assistant KK: Research Assistant KM: Professor, Exeter University AB: Director, Cardiff Unit for Research and Evaluation in Medical and Dental Education (CUREMeDE), Cardiff University
4. Gender	Was the researcher male or female?	GG: Male CEK, CER, LVM, KK, NK, AB, KM: Female
5. Experience and training	What experience or training did the researcher have?	LVM, CER, AB, KM have vast experience of conducting qualitative research and analysis (over 15 years each). GG has previous experience in qualitative research and analysis. CEK received narrative interview and thematic analysis training prior to conducting the research and were supervised and supported by LVM, CER and GG throughout the study. KK had 5 years undertaking qualitative research NK had 10 years undertaking qualitative research
<i>Relationship with participants</i>		
6. Relationship	Was a relationship established prior	See 'Design' in Methods (page 6)

established	to study commencement?	Participants were recruited through patient groups and in collaboration with our patient advisor, Mr Philip Bell. Researchers had no relationship with participants prior to this point.
7. Participant knowledge of the interviewer	What did the participants know about the researcher? e.g. personal goals, reasons for doing the research	See Data Collection section in Methods (page 7) Participants were aware who the interviewers were. Participants were informed of all researchers that were part of the research team and that would have access to the data via information sheets.
8. Interviewer characteristics	What characteristics were reported about the interviewer/facilitator? e.g. Bias, assumptions, reasons and interests in the research topic	Information reported about interviewers included position during the study and reasons for the study.
Domain 2: study design		
<i>Theoretical framework</i>		
9. Methodological orientation and Theory	What methodological orientation was stated to underpin the study? e.g. grounded theory, discourse analysis, ethnography, phenomenology, content analysis	See 'Design' in Methods (page 6). We used a qualitative narrative interview design, we explain the theory behind this.
<i>Participant selection</i>		
10. Sampling	How were participants selected? e.g. purposive, convenience, consecutive, snowball	See 'recruitment' in Methods (page 7). Participants were self-selected using purposive sampling. All participation was voluntary.
11. Method of approach	How were participants approached? e.g. face-to-face, telephone, mail, email	See 'data collection' in Methods (page 7).
12. Sample size	How many participants were in the study?	See 'Participants' in Methods (page 7) "Eight stakeholder groups comprising n=185 individuals participated in the interview"
13. Non-participation	How many people refused to participate or dropped out? Reasons?	Participation was voluntary and participants were not considered to take part until they participated in the interviews. No participants withdrew from the study after participating in interviews.
<i>Setting</i>		
14. Setting of data collection	Where was the data collected? e.g. home, clinic, workplace	See 'Data collection' in Methods (page 7) "interview sessions. Interviews were conducted in a quiet room at participants' convenience." – audio-diaries were recorded anywhere participants wished to do so – typically at home.
15. Presence of non-participants	Was anyone else present besides the participants and researchers?	See 'Data collection' in Methods (page 7) The participants and one (or two) interviewers were mainly present. One participant had her carer with her, who remained silent during the interview.
16. Description of	What are the important	See 'Participants' (page 7)

sample	characteristics of the sample? e.g. demographic data, date	The gender and age proportion of each participant group has been reported.
<i>Data collection</i>		
17. Interview guide	Were questions, prompts, guides provided by the authors? Was it pilot tested?	See 'Data collection' in Methods (page 7) Semi-structured narrative interviews were conducted using a discussion guide as a memory aid for interviewers. All interviews were trained in narrative interviewing. Audio diaries followed guidance to record one preparedness and one unpreparedness narrative.
18. Repeat interviews	Were repeat interviews carried out? If yes, how many?	See 'Data collection' in Methods (page 7) Repeat interviews were carried out with the audio diary participants.
19. Audio/visual recording	Did the research use audio or visual recording to collect the data?	See 'Data collection' in Methods (page 7) With participants' consent, all narrative interviews were audio-recorded. Also audio diaries were recorded.
20. Field notes	Were field notes made during and/or after the interview or focus group?	None made. Although discussions with the supervisory team occurred quickly following the interviews by way of a researcher debrief.
21. Duration	What was the duration of the interviews or focus group?	Group interviews took an average of 56 mins.
22. Data saturation	Was data saturation discussed?	We do not report this as we do not consider this to appropriate for our research position (Varpio L, Ajjawi R, Monrouxe LV, O'Brien B, Rees CE (2017) Shedding the cobra effect: problematising thematic emergence, triangulation, saturation and member checking. Medical Education. 51(1)40-50.)
23. Transcripts returned	Were transcripts returned to participants for comment and/or correction?	We do not report this as we do not consider this to appropriate for our research position (Varpio L, Ajjawi R, Monrouxe LV, O'Brien B, Rees CE (2017) Shedding the cobra effect: problematising thematic emergence, triangulation, saturation and member checking. Medical Education. 51(1)40-50.)
Domain 3: analysis and findings		
<i>Data analysis</i>		
24. Number of data coders	How many data coders coded the data?	See 'Data analysis' in Methods (page 8)
25. Description of the coding tree	Did authors provide a description of the coding tree?	See Results Section (page 8-9)
26. Derivation of themes	Were themes identified in advance or derived from the data?	See 'Data analysis' in Methods (page 8) Themes were structured around the GMCs outcomes for graduates using a framework analysis approach.
27. Software	What software, if applicable, was used to manage the data?	See 'Data analysis' in Methods (page 8) Data were coded using ATLAS-ti qualitative analysis software.

28. Participant checking	Did participants provide feedback on the findings?	We do not report this as we do not consider this to appropriate for our research position (Varpio L, Ajjawi R, Monrouxe LV, O'Brien B, Rees CE (2017) Shedding the cobra effect: problematising thematic emergence, triangulation, saturation and member checking. Medical Education. 51(1)40-50.)
<i>Reporting</i>		
29. Quotations presented	Were participant quotations presented to illustrate the themes/findings? Was each quotation identified? e.g. participant number	Yes.
30. Data and findings consistent	Was there consistency between the data presented and the findings?	We have ensured consistency between the data presented and the findings of the study through thoroughly reviewing the manuscript.
31. Clarity of major themes	Were major themes clearly presented in the findings?	See 'Results' (page 9-19) The results section is organized around the major themes of the study, which are described under specific headings.
32. Clarity of minor themes	Is there a description of diverse cases or discussion of minor themes?	See 'Results' (page 9-19) The results section includes discussion of major themes, and nuances within these were covered.