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Neurophysiological correlates of motor planning and movement initiation in ACL-reconstructed individuals: A case-control study

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5 2 *initiation in ACL-reconstructed individuals: A case-control study*
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Abstract

INTRODUCTION: Current evidence suggests that the loss of mechanoreceptors after anterior cruciate ligament (ACL) tears might be compensated by increased cortical motor planning. This occupation of cerebral resources may limit the potential to quickly adapt movements to unforeseen external stimuli in the athletic environment. To date, studies investigating such neural alterations during movement focused on simple, anticipated tasks with poor ecological validity. This trial, therefore, aims to investigate the cortical and biomechanical processes associated with sport- and injury-related movements in ACL-reconstructed individuals.

METHODS AND ANALYSIS: ACL-reconstructed participants and uninjured controls will perform repetitive counter-movement jumps with single-leg landings. Two different conditions are to be completed: anticipated (n = 35) vs. non-anticipated (n = 35) landings. Under the anticipated condition, participants receive the visual information depicting the requested landing leg prior to the jump. In the non-anticipated condition, this information will be provided about 400 ms prior to landing. Neural correlates of motor planning will be measured using electroencephalography. In detail, movement-related cortical potentials, frequency spectral power, and functional connectivity will be assessed. Biomechanical landing quality will be captured via a capacitive force plate. Calculated parameters encompass time to stabilization, vertical peak ground reaction force and center of pressure path length. Potential systematic differences between ACL-reconstructed individuals and controls will be identified in dependence of jumping condition (anticipated, non-anticipated, left and right landing leg and rest) by using interference statistics. In Potential associations between the cortical and biomechanical measures will be calculated by means of correlation analysis. In case of statistical significance ($\alpha < .05$.) further confounders (cofactors) will be considered.

ETHICS AND DISSEMINATION: The independent Ethics Committee of the University of Frankfurt (Faculty of Psychology and Sport Sciences) approved the study. Publications in peer-reviewed journals are planned. The findings will be presented at scientific conferences.

PROTOCOL REGISTRATION NUMBER: NCT03336060 (ClinicalTrials.gov)

Keywords: ACL rupture, neuromuscular function, cortical activity, neurocognition, neuroplasticity, central nervous system modifications

43 Article Summary

44 Strengths and limitations of this study

- 45 • First-time investigation of the link between electrocortical (EEG) activity (neural correlates of
46 motor planning) and biomechanical function during typical sport- and injury-related movements
47 (single-leg landings) in ACL-reconstructed individuals.
- 48 • Association between increased use of motor planning capacities and lower postural control during
49 landing in ACL-reconstructed individuals may have major implications for rehabilitation and
50 return to sports.
- 51 • Comparison against both, unaffected leg of the ACL-reconstructed individuals as well as
52 uninjured controls and rigorous control of relevant confounders (e.g. higher and lower level
53 cognitive functions).
- 54 • Investigator and participant blinding is not possible.

57 1. Introduction

58 Anterior cruciate ligament (ACL) tears represent the most frequent injury of the knee, particularly
59 among young, physically active individuals[1, 2]. The disorder represents the leading cause of sports-
60 related surgery[3] and, besides the severe acute and long-term consequences (e.g. pain, functional
61 disability and impairments)[4], is associated with a higher lifetime risk of knee osteoarthritis[5].
62 Despite several multidisciplinary therapeutic approaches aiming to restore preinjury neuromuscular
63 function, the odds of sustaining a second tear are significantly increased in afflicted individuals who
64 returned to sports[6, 7]. It may be inferred that current rehabilitation paradigms fail to eliminate all
65 impairments of the injury[8, 9].

66 The ACL rupture is, besides affecting mechanical stability, associated with substantial destructions of
67 ligament mechanoreceptors[10]. These afferences, such as the Ruffini and Pacini corpuscles located in
68 the ACL capable of providing proprioceptive information[11–13] regulate the activity of the
69 Hamstring muscles[14–16]. As they represent a synergist of the ACL the Hamstrings are paramount

1
2
3 70 for functional stability of the knee joint[17, 18]. As the neural drive to the muscle depends on the
4
5 71 sensory input, the above described peripheral deafferentation (mechanoreceptor damage), secondary to
6
7 72 the rather acute consequences of the injury (e.g. pain, swelling and inflammation), even induce
8
9 73 potentially neuroplastic changes in the brain[19, 20].

10
11 74 Current evidence suggests persistent central nervous system (CNS) adaptations occurring after
12
13 75 ligamentous injuries and subsequent reconstruction surgeries[21]. Electroencephalographic (EEG)
14
15 76 studies revealed increased activity of the frontal[22] and frontoparietal cortex[23] during the execution
16
17 77 of sensorimotor tasks in ACL-reconstructed compared to unimpaired individuals. The authors
18
19 78 conclude that this may be related to increased attentional control and somatosensory information
20
21 79 processing related to a higher working memory load. Similarly, neuroimaging studies demonstrated
22
23 80 ACL-injured individuals to exhibit a higher recruitment of cortical areas responsible for motor
24
25 81 planning, sensory processing and visual-motor control during the execution of repetitive knee
26
27 82 extensions[24, 25]. It may be concluded that the brain of ACL-injured and -reconstructed individuals
28
29 83 relies more on higher-order motor control areas[26] and executive function even during simple,
30
31 84 feedback-controlled movements, such as joint repositioning[23], force matching tasks[22] and knee
32
33 85 extensions [8, 25] in order to compensate the reduced sensory input[21, 25, 27].

34
35
36 86 While the consequences of this supraspinal compensation strategy may be invisible during performing
37
38 87 activities of daily living, they may place an athlete at risk of injury during sports and competition. To
39
40 88 maintain neuromuscular control in a complex and dynamic athletic environment, a constant interaction
41
42 89 between intrinsic (e.g. motor planning, joint position and movement) and extrinsic factors (e.g. other
43
44 90 players, ball and non-anticipated stimuli) is required, based on the simultaneous integration and
45
46 91 processing of varying proprioceptive, visual and vestibular information[8, 28–30]. In most situations
47
48 92 leading to an injury, athletes are required to quickly adapt to the changing environment and cannot
49
50 93 exclusively rely on pre-planned, anticipated movements[28, 29]. This, inter alia, refers to single-leg
51
52 94 jump landings, which have been demonstrated to represent one of the major causes for non-contact
53
54 95 knee injuries[31, 32].

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2
3 96 To date, studies investigating the cortical alterations during movement of ACL patients focussed on
4
5 97 simple, anticipated tasks mainly requiring feedback control and assesses in sitting or lying
6
7 98 position[22–25]. Those tasks have poor ecological validity. Evidence is thus scarce regarding typical
8
9 99 sport- and injury-related movements characterized by time constraints and feedforward control. The
10
11 100 trial, therefore, aims to gain further insight into the cortical and biomechanical processes associated
12
13 101 with non-anticipated/ unforeseen single-leg jump landings in ACL-reconstructed individuals and
14
15 102 healthy controls. Specifically, the hypothesis will be tested that, in ACL-reconstructed individuals
16
17 103 compared to unimpaired individuals, increased motor planning occurs occupying cerebral resources,
18
19 104 which will no longer be available to ensure stable landings.
20
21 105

23 106 **2. Methods**

26 107 **2.1 Study design and ethical standard**

28 108 An explorative case-control study will be conducted. The trial will be carried out according to the
29
30 109 Guidelines for Good Clinical Practice and according to the Declaration of Helsinki, including its
31
32 110 modification of Fortaleza. Ethical approval has been obtained by the local committee of the university
33
34 111 (Ethics Committee of the Faculty of Psychology and Sport Sciences, Goethe University Frankfurt,
35
36 112 Germany, reference no: 2017/27) and all participants provide written informed consent. The study has
37
38 113 been prospectively registered at clinicaltrials.gov (NCT03336060).
39
40 114

42 115 **2.2 Study setup**

44 116 After study enrollment, each individual will be scheduled for two visits within one week (Figure 1). At
45
46 117 visit 1, potential confounders are assessed. Subsequently, participants will be familiarized with the
47
48 118 anticipated and non-anticipated jump-landing tasks of the study. At visit 2, the main measurements are
49
50 119 performed. Both visits will take place at comparable time of day.
51
52 120

54 121 **Figure 1**

55 122

57 123

124 **2.3 Sample**

125 Recruited participants will be ACL-reconstructed (cases) and healthy, uninjured individuals (controls).

126 All participants will be recruited at local physical rehabilitation centres, physiotherapists and medical
127 practices, sports clubs, fitness centres, and the local university's sports campus by means of flyers, e-
128 mails and personal addressing. Inclusion criteria for all participants are (1) male sex, (2) age between
129 20 and 40 years, and (3) engagement in regular physical activity. Cases will be included if they have a
130 history of unilateral, anterior cruciate ligament rupture with reconstruction surgery (> 1 year),
131 irrespective of the replacement plastic and surgical access. The following exclusion criteria will be
132 applied:

- 133 ▪ exorbitant concomitant knee injury (i.e. bone bruise grad 3 or 4, full-thickness articular
134 cartilage lesion larger than 1 cm², "unhappy triad") (cases)
- 135 ▪ previous ACL-injury or surgery of the uninvolved knee (cases)
- 136 ▪ life-quality impairing somatic/ psychological diseases/ disorders (all participants)
- 137 ▪ acute or chronic inflammation of the musculoskeletal system / lower extremity (all
138 participants)
- 139 ▪ medication modifying pain perception and proprioception (all participants)
- 140 ▪ muscle soreness (all participants)
- 141 ▪ any severe musculoskeletal injury of the lower limb (controls)

143 **2.4 Patient and Public Involvement**

144 Patients will be not involved in this study: We only include ACL-reconstructed individuals (minimum
145 one year after surgery) who have returned to their initial daily, physical and sportive activities and
146 have restored their neuromuscular performance of the injured lower leg indicated by a side symmetry
147 of single leg hop for distance testing above 85 percent.

149 **2.5 Experimental approach**

150 All participants will perform repetitive counter-movement jumps (hands placed at the hip) with single
151 leg landings. Two different conditions are to be completed: anticipated vs. non-anticipated landings.

1
2
3 152 For the anticipated condition, the participants receive a visual information depicting the requested
4
5 153 landing leg prior to the jump. In the non-anticipated condition, this information will be provided only
6
7 154 after take-off. After a brief standardised warm-up (30 jumping jacks) and three test jumps, all
8
9 155 participants have to perform a total of 70 successful jumps (n = 35 per condition, in randomized
10
11 156 order), using the above described paradigm (for details, refer to Figure. 3 and 4).

12
13 157 The indication of the requested landing leg will be delivered by means of a laptop screen (17 inch
14
15 158 diameter). It is positioned at 2.5 meters distance in front of the participants (Figure2). On the screen, a
16
17 159 slide (Microsoft PowerPoint 2010) with a left or right footprint located on the left or right side of a
18
19 160 vertical line is shown (Figure 2). In anticipated trials, the slide indicating the landing leg will be
20
21 161 presented constantly before take-off (for details, refer to Figure 3). For the non-anticipated jumps, a
22
23 162 single button USB switch (120 ms delay; KKmoon; South Africa) connected to the laptop will be used
24
25 163 in order to elicit a slide change from the fixation cross to the landing leg slide upon take-off (for
26
27 164 details, refer to Figure 4; supplementary file – Video).

28
29 165
30
31 166 **Figure 2, 3, 4**

32
33 167
34
35 168 A successful jump is defined as holding a stable landing position for at least 10 seconds. The
36
37 169 participants will be allowed to use their arms to equilibrate the postural sway immediately after
38
39 170 landing. After landing, their hands need to be re-positioned on the hip, while focussing a cross on the
40
41 171 wall at eye level. Unsuccessful trials are categorised as landing errors (touching the ground with the
42
43 172 free leg, leaving the force plate, touching the ground with the hands and falls) and/or task errors
44
45 173 (landing on the wrong foot). To prevent excessive exhaustion during the experiment, the 70 jumps will
46
47 174 be stratified into blocks of 10 with 5-minutes rests (sitting position) in between. Randomised selection
48
49 175 of the jump conditions will be performed using BIAS for windows (University Frankfurt, Germany,
50
51 176 Version 11.06).

52
53 177 Previous pilot testing revealed longer flight times for non-anticipated jump-landings compared to
54
55 178 anticipated landings. Therefore, two strategies will be used to ensure uniform flight durations between
56
57 179 the two disposed conditions. Firstly, during the familiarisation session, the participants will be trained

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2
3 180 to constantly achieve comparable flight times of 480 to 520 milliseconds regardless of the jump
4
5 181 condition. This duration, corresponding to a jumping height of about 30 cm, was chosen because the
6
7 182 button switch has a latency of 120 ms from release to slide appearance and because other similar trials
8
9 183 have used flight times of 400 ms[33, 34]. Secondly, in addition to the task familiarisation, during the
10
11 184 breaks of the actual experiment, the participants will be provided with feedback regarding the achieved
12
13 185 flight heights.
14
15 186

16 17 187 **2.6 Measurements**

18
19 188 Cortical measures of motor planning and preparation affordances serve as the main outcome of the
20
21 189 trial. They were assessed prior to jumping. To ensure self-initiated movements the start of the jump is
22
23 190 not triggered to an external stimulus in both jump-landing conditions. To reduce artefacts generated by
24
25 191 eye movements, participants are asked to fixate the cross (Figure 3 and 4) shown on the laptop screen
26
27 192 prior to jumping.
28
29 193

30 31 194 2.6.1 Cortical activity

32
33 195 Brain activity prior to jump movement initiation will be captured using a 32-channel
34
35 196 electroencephalography (EEG) system with a wireless amplifier (LiveAmp, BrainProducts, Gilching,
36
37 197 Germany). The device samples data at a frequency of 500 Hz (24-bit analog-to-digital) and has an
38
39 198 integrated 3-axis acceleration sensor (measurement range: ± 2 g, Resolution: 1 mg/bit, 12 Bit; Error: \pm
40
41 199 0.2 g). It is carried in a custom-made backpack, which is placed attached to the upper back of the
42
43 200 participants. Positioning of the active slim electrodes embedded in the EEG cap (actiCAP, EasyCap,
44
45 201 Herrsching, Germany) will be performed according to the 10-20 international system. Impedance will
46
47 202 be kept below 5 k Ω and no online filters will be applied.

48
49 203 The EEG signal will be recorded throughout the whole jump landing experiment. In addition, EEG
50
51 204 data will be collected during 2-minute sitting rests prior to and after the 70 jumps. To reduce artefacts
52
53 205 resulting from eye movement before and after the jump-landing experiments as well as during these
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55 206 measurements at rest, the participants will be instructed to fixate a cross, which is displayed on the
56
57 207 laptop screen.
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1
2
3 208 Three EEG parameters will be analysed: *Movement-related cortical potentials, frequency power*
4 209 *spectra and functional connectivity*. The **Movement-related cortical potentials** (MRCP) occur about
5
6 210 two seconds prior to voluntary movement and can be subdivided into successive three parts that will
7
8 211 be assessed in the planned trial: *Bereitschaftspotential - negative slope - motor potential*[35, 36] (for a
9
10 212 review see[37]). The *Bereitschaftspotential* is a slowly rising, bilateral negativity, generated in the
11
12 213 supplementary and pre-supplementary motor area (1.5 to 0.5 seconds before movement onset; [38,
13
14 214 39]). Subsequently, a steeper negativity, the *negative slope* occurs and relates to the activity of the
15
16 215 contralateral primary motor cortex (starting about 0.5 seconds prior to movement onset;[36, 40]). Both
17
18 216 signals are followed by the *motor potential*[39], the peak negativity corresponding to the movement
19
20 217 onset itself[41, 42]. MCRP are thought to reflect the motor cortical involvement during motor
21
22 218 planning and preparing of a self-initiated movement[40]. For each of the MRCP measures, acceptable
23
24 219 test-retest reliability has been reported [43].

25
26 220 To investigate the attentional and working memory processes needed for initiating and executing the
27
28 221 jumps different **frequency power spectra** (Theta, Beta and Alpha) will be captured for frontal, central
29
30 222 and parietal brain areas. Theta power will be measured in the frontal cortex and increases with higher
31
32 223 levels of focused attention[44]. Alpha-2 power; inversely related to the activation[45] of the
33
34 224 underlying somatosensory cortex, decreases with higher demands of sensory information-processing
35
36 225 during sensorimotor tasks[23]. Both frontal Theta and parietal Alpha-2 have been shown to be
37
38 226 strongly associated with working memory load[46]. It is, furthermore, well-known that the planning
39
40 227 and preparation of voluntary movements are accompanied by an event-related desynchronization[47,
41
42 228 48] of the alpha and beta (including sensorimotor rhythm[49]) frequencies power corresponding to the
43
44 229 cortical sensorimotor and parietal areas[50–54]. EEG power measures have been demonstrated to be
45
46 230 highly reliable during both rest[55] and sensorimotor tasks[56].

47
48 231 Coherence analyses will be applied to examine the **functional connectivity** between the brain region
49
50 232 specific co-working processes (motor planning areas, fronto-parietal network[46]). Following the
51
52 233 approach of Sauseng et al.[46] and Silva et al.[57] coherence analysis will be conducted for the above
53
54 234 mentioned frequency bands (e.g. Theta, Beta and Alpha). The test-retest-reliability of coherence
55
56 235 testing has been shown to be sufficient to high for most brain areas and frequency bands[58].
57
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238 2.6.2 Biomechanical parameters

239 A capacitive force measurement platform (50 Hz, Zebris FDM, Zebris Medical GmbH, Isny,
240 Germany) will be used to assess postural stability following the single leg landings. Three parameters
241 will be investigated *Time to stabilisation (TTS)* - *Vertical peak ground reaction force (GRF)* - *Center
242 of pressure (COP) path length*: **Time to stabilisation (TTS)** describes the capacity to regain a stable
243 stance as quickly as possible. It will be computed according to Colby et al.[59] and Wikstrom et
244 al.[60]. Here, the dynamic cumulative average weight is calculated, based on the continuous force
245 plate recordings until 10 seconds after landing. A stable stance is assumed as soon as the sequential
246 average no longer exceeds the threshold of .25 standard deviations of the overall mean ground vertical
247 force. The TTS has been demonstrated to exhibit moderate to high reliability[61]. **Vertical peak
248 ground reaction force (GRF)** is the maximal vertical force impact upon landing. Using the raw data,
249 the highest value [Newton] will be identified. **Center of pressure (COP) path length** represents the
250 absolute cumulative sway of the total covered distance by the COP during the trial duration[62]. The
251 path length will be assessed up until 2.5 seconds after the initial ground contact, which corresponds to
252 the duration of the early dynamic landing phase[63]. In terms of balance assessment, COP measures
253 have been demonstrated satisfactory reliability[64]. Intraindividually minima will be calculated for the
254 both TTS, peak GRF, and COP path length in dependence of the disposed conditions.

255

256 2.6.3 Potential cofactors

257 The following parameters, potentially affecting the biomechanical and cortical outcomes, will be
258 assessed:

- 259 - Dynamic stability feed-forward performance of the lower limb (Single leg hop for distance[65]).
- 260 - Postural control during single-leg stance (capacitive force measurement plate Zebris PDMS,
261 Zebris, Isny, Germany)
- 262 - Limb alignment in frontal plane evaluated by using Single-Leg Landing Error Scoring
263 System[66]

- 1
2
3 264 - Higher and lower level cognitive function (for details, see Table 1 and table 2)
4
5 265 - Level of arousal and alertness (10 cm VAS)
6
7 266 - Self-reported knee function (Lysholm Knee Score Scale;[67])
8
9 267 - Self-reported perceived fatigue of the lower limbs (10 cm VAS)
10
11 268 - Kinesiophobia, or fear of movement/ (re-)injury (Tampa Scale for Kinesiophobia;[68])
12
13 269 - Task-specific fear of movement/reinjury (10 cm VAS)
14
15 270 - Risk-taking behaviour (domain-specific risk-taking/ DOSPERT scale)
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Table 1 gives an overview about the measures which are used to assess lower cognitive functions

Cognitive function	Measure	Format	Description	Primary Outcomes
Visuoperceptual abilities	Trail Making Test – A[69]	Pen/ paper	Link 25 disordered circles (number 1 to 25) in ascending order	Speed [s]
Reaction time/ speed of processing	Detection Task[70]	Computer-based*	Simple reaction time: attend to back side of playing card (screen), press predefined key when card turns to front side, 25 correct responses or max. 2 min.	Response time in correct responses [ms]
	Identification Task[70]	Computer-based*	Choice reaction time: attend to back side of playing card (screen), press one of two predefined keys according to colour (black or red) of front side of the turned card	Response time in correct responses [ms]

*Part of the neuropsychological, computerized test battery (CogState Ltd., Melbourne, Australia)

Table 2 gives an overview about the measures which are used to assess higher cognitive functions

Cognitive function	Measure	Format	Description	Primary Outcomes
Working memory	One back test[70]	Computer-based*	Attend to back side of playing card (screen), press one of two predefined keys, one key when turned card is same as immediately previous one, another key if not, 42 trials or max. 3 min.	Correct responses (% of total trials)
	Verbal digit span test[71]	Verbal	Forward condition: examiner read single digits, participant repeat in same order, 1 block of 2 different digit spans with same number of digits (start with 3 digits), one digit is added if one of both is repeated correctly (max. 8 digits); Backward condition: participant repeat readed digits in contrariwise order (start with 2, max. 7 digits)	Correct repeated digit spans [n]
Spatial working memory, learning efficiency	Groton Maze Learning Test [72]	Computer-based*	10 x 10 grid of squares (maze), start: top left corner, finish: bottom right corner (flag); goal: move to flag by clicking squares, if correct (green check mark appears), if not correct (red cross appears); 1 practice trial to learn pathway, 3 experimental trials in which the learned pathway has to be repeated	Accuracy (total number of errors during three trials) and speed (time)
Visual memory	One card learning Test[70]	Computer-based*	Attend to back side of playing card (screen), cards turned in succession, press one predefined key if shown card has already appeared before, another key if not; 3 sequences (42 responses) or max. 3 min.	Accuracy (errors) and speed (time)
Cognitive flexibility	Trail Making Test – B[69]	Pen and paper	Link both disordered numbers (1–13) and letters (A–L) in alternating and ascending order (i.e., 1-A-2-B-3-C-4-D, etc.)	TMT difference = TMT-B [s] – TMT-A [s]
Response inhibition	Stop-Signal-Task[73]	Computer-based	Primary task: pressing one of two predefined keys according to two visual stimuli (75% of trials) Additional task: primary-task stimulus followed by tone (variable delay), indicating response to visual stimuli has to be avoided (stop-signal trials; 25% of the trials randomly selected).	mean stop signal reaction time [ms], accuracy of responses to no-signal trials (% of correct responses)
Response interference control	Stroop Colour-Word task[74]	Visual (sheet)	Familiarisation trials: read one sheet (3 columns) of words of colours (colour-words) printed in black ink (word reading; Stroop I), read colour-words printed in different colours (colour naming; Stroop II) Experimental trial: colour-words are printed in inconsistent colour ink (i.e. the word “green” is printed in blue ink), name the colour ink in which the colour-word is printed and not the word (i.e. the word “green” is printed in blue ink; interference; Stroop III).	Interference score = ‘Stroop III – [(Stroop I + Stroop II) / 2]

*Part of the neuropsychological, computerized test battery (CogState Ltd., Melbourne, Australia)

1 2.7 EEG data processing

2 All EEG data will be filtered with a Butterworth high-pass filter of .001 Hz (24 dB/octave) and a low-
3 pass filter of 40 Hz (24 dB/octave). For movement onset detection, the accelerometer data of the
4 amplifier are used. In each jump trial, the EEG signals will be segmented into epochs of 2500 ms,
5 from 2.000 ms before to 500 ms after movement onset. Components which are associated with eye
6 movements and blinks will be removed by using Independent Component Analysis according to[75].

7 Trials with remaining artefacts will be rejected and only artefact-free trials will be used for analysis.

8 Time-domain specific analysis will be conducted to investigate the MRCP prior each jump. According
9 to Spring et al.[41], MRCP will be divided into 3 successive epochs as follows: The
10 Bereitschaftspotential divided in an early (BP-1: -1.500 to -1.000 ms) and late component (BP-2: -
11 1.000 to -500 ms), and the negative slope component (-500 ms to 0 ms), including the motor potential.

12 The mean and peak activity as well as onset time of the MRCP will be calculated primarily for the
13 fronto-central (FC1, FC2) and central electrodes (C3, Cz, C4) as these channels correspond mainly to
14 the supplementary and primary motor areas.

15 Frequency domain (spectral) analysis will be conducted by means of Fast Fourier Transformation
16 dividing artefact-free epochs into the frequency power spectra for both measurement at rest
17 (continuous EEG) and during the jump landing experiment. For the latter, in terms of time-frequency
18 analysis, the 1.5 second EEG prior to movement onset will be separated into three successive 0.5
19 second epochs: -1.500 ms to -1.000 ms (T1), -1.000 s to -500 ms (T2) and -500 ms to 0 ms (T3).

20 According to the literature, the mean frequency power will be mainly analysed for the frontal theta
21 (Fz;[76]), central beta (C3, Cz, C4) and parietal alpha-2 (P3, Pz, P4). Finally, to examine functional
22 connectivity, coherence analysis in the respective frequency bands will be applied[77]. All
23 electrocortical outcomes will be calculated for each condition (anticipated/ non-anticipated,
24 injured/non-injured leg). The EEG at rest measurements will be serve as control condition. All EEG
25 data processing will be applied by using the BrainVision Analyzer software (Brain Products, Gilching,
26 Germany)

27

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30 **2.7 Statistics**

31 All calculations will be performed after checking the underlying assumptions for parametric or
32 nonparametric testing (Shapiro-Wilk normality test for testing of normal distribution, Levene-test for
33 variance homogeneity testing). The EEG outcome measures will be transformed to normalize
34 distributions by using logarithmic based or arcsine transformation, if indicated. Data will be reported
35 descriptively as means, standard deviations, and 95 % confidence intervals. Potential systematic
36 differences between cases and controls (between-subject factors) and within both groups (within-
37 subject factors) will be identified in dependence of jumping condition (anticipated, non-anticipated,
38 left and right landing leg and rest) by using interference statistics. Potential associations between
39 cortical activity measures and landing biomechanics will be calculated by using correlation analysis. If
40 statistical associations occur, further confounders are introduced and considered by means of cofactor
41 analysis. The level of statistical significance is set to $\alpha < .05$. Based on the exploratory nature of this
42 study no alpha-error adjustment will be performed for multiple hypotheses testing. Microsoft Excel
43 2010 for Windows and SPSS Statistics (version 22.0, SPSS Inc., Chicago, IL, USA) will be used for
44 statistical data analysis.

45

46 **3. Discussion**

47 To the best of our knowledge, the planned study is the first to explore both, the cortical and
48 biomechanical fundamentals underlying non-anticipated single-leg landings in ACL-reconstructed
49 individuals. Hence, this study will provide the first evidence concerning neural correlates of motor
50 planning within sport- and injury-relevant movement paradigms.

51 Another strength of our design consists in the standardized assessment of relevant confounders
52 potentially influencing the chosen outcomes. This, particularly, relates to higher and lower level
53 cognitive functions, which have been identified to be associated with athletic performance (e.g. ball
54 game sports[78, 79]) as well as knee injury risk[80] and incidence[81–83]

55 Our study will reveal results relevant for practice. If the hypothesized association between increased
56 use of motor planning capacities and lower postural control during landing are verified, this would

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2
3 57 have major implications for rehabilitation. Three key aspects may be of particular relevance: Above all
4
5 58 (1), an increased reliance on motor planning during athletic high-risk situations could represent a new
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7 59 factor predisposing for ACL (re-)injury. Future prospective observational studies may therefore
8
9 60 include non-anticipated jump-landing tasks in order to elucidate its value in predicting injury and
10
11 61 monitoring the return to play / return to sports process.

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13 62 Another issue (2) relates to the elaboration of new training approaches. In addition to physical
14
15 63 exercise, e.g. dynamic balance, dual/-multi task training approaches (including external focus) and
16
17 64 visual-motor exercise paradigms[8], electrophysiological methods, such as neuromuscular electrical
18
19 65 stimulation[84], transcutaneous electrical nerve stimulation[85], electromyography biofeedback[86]
20
21 66 and transcranial magnet stimulation[87] may represent intriguing options to restore somatosensory
22
23 67 function and quadriceps corticomotor excitability of ACL-reconstructed individuals. Their application
24
25 68 may open new therapeutic avenues, if changes in motor planning prior to non-anticipated jump
26
27 69 landings could be evidenced in the cases.

28
29 70 Finally (3), affordable devices for daily practice would be needed to assess an individuals' ability to
30
31 71 react and properly adjust his motor plan to an unforeseen/ non-anticipated external visual stimulus.

32
33 72 Despite the promising approach, some limitations have to be taken into account. No investigator nor
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35 73 participant blinding is possible using a quasi-experimental approach. Moreover, the neural correlates
36
37 74 of motor planning are only detectable prior to the jump, but not after take-off due to serious EEG
38
39 75 artefacts caused by the jump.

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Figure captions

Figure 1: Experimental study setup. The figure details the days in which participants are assessed.

Figure 2: Setup of the Jump-Landing Experiment.

Rubber mat (1); Hinge (2); Plastic panel (3); USB-button switch (4); Force plate (5); USB-cable connecting button switch with screen (PowerPoint; 6); Laptop with screen (17 Inch diameter; 7); Powerpoint-slides demonstrated on laptop screen indicating left or right foot landing (randomised order). Before each foot slide a separate slide containing a fixation cross is demonstrated (8).

Figure 3: Proceedings of anticipated jump-landings and the clarification when and how the visual stimulus indicating the side on which the single leg-landing has to be performed is presented.

A = slide with a fixation cross; B = slide is presented before the initiation of the jump. Participants start standing in bipedal position on the plastic panel (3; Figure 2) while fixating the cross (A). The experimenter indicates the start of movement preparation by mentioning the condition “anticipated”. Simultaneously the slide demonstrating the landing leg (B) is shown. Afterwards, participants initiate the jump by their own.

Figure 4: Proceedings of non-anticipated jump-landings and the clarification when and how the visual stimulus indicating the side on which the single leg-landing has to be performed is presented.

C = slide with a fixation cross (same as in A; Fig 3); D = USB-button (4, Figure 2) release during take-off (plastic panel elevates) initiating slide change; E = slide indicating the landing foot presented only after take-off. Participants start standing in bipedal position on the plastic panel (3; Figure 2) while fixating the cross (C). The experimenter mentions the jump-landing condition “non-anticipated”. Afterwards, participants will initiate the jump by their own while C is still shown. The slide indicating the landing leg (E) appears about 120 milliseconds after take-off (button release; D) and is then shown continuously (for more details, refer to the supplementary video file).

Supplementary video file

This video demonstrates in exemplary the non-anticipated jump-landing task according to the description provided in Figure 4.

Trial status

At the time of submission of this manuscript, recruitment is ongoing.

Abbreviations

ACL: Anterior cruciate ligament; COP: Center of Pressure; CNS: Central nervous system; EEG: Electroencephalography; GRF: Vertical peak ground reaction force; MRCP: Movement-related cortical potentials; TTS: Time to stabilisation; VAS: Visual analogue scale

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Conflict of interests

The authors have nothing to disclose.

Competing interests

All authors have completed the ICMJE uniform disclosure form at www.icmje.org/coi_disclosure.pdf and declare: no support from any organisation for the submitted work; no financial relationships with any organisations that might have an interest in the submitted work in the previous three years; no other relationships or activities that could appear to have influenced the submitted work. We declare that we have no competing interests.

Data statement

After completion of data acquisition the dataset will be available from ResearchGate.

Author Statement

FG developed the jump-landing setup and selected the neurophysiological and biomechanical outcome measures. FG wrote the first draft of the manuscript, revised the manuscript and provided final approval. TE assisted FG in the development of the trial jump-landing setup and in the selection of biomechanical outcome parameters. TE revised the manuscript, provided critical review and final approval. JW assisted FG in the development of the trial jump-landing setup and in the selection of biomechanical outcome parameters. JW revised the manuscript, provided critical review and final approval. DN revised the manuscript, provided critical review and final approval. JW assisted FG in the development of the trial jump-landing setup and in the selection of biomechanical outcome parameters. DN revised the manuscript, provided critical review and final approval. LV revised the manuscript and provided intellectual contributions to the final, submitted version of the manuscript. WB revised the manuscript and provided intellectual contributions to the final, submitted version of the manuscript. The material within has not been and will not be submitted for publication elsewhere except as an abstract. The authors agree that the copyright for our article is transferred to the publisher if and when the article is accepted for publication.

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Consent for publication

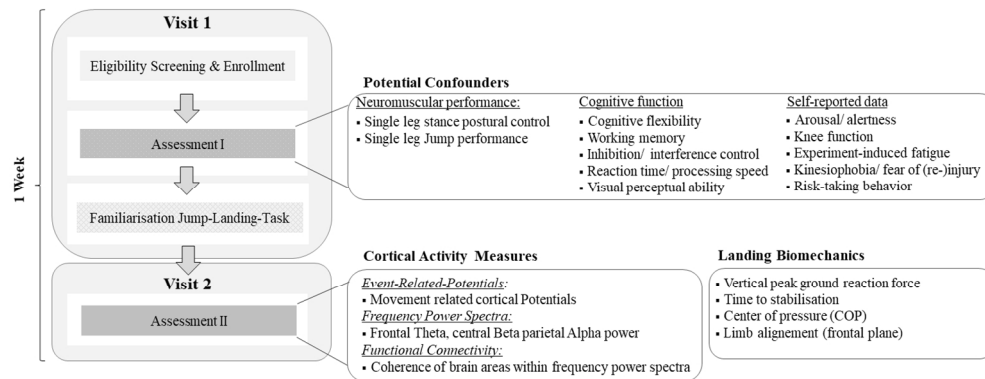
Written informed consent was obtained from the participants for publication of their individual details and accompanying images/ video in this manuscript. The consent form is held by the authors and is available for review by the Editor-in-Chief.

Ethics approval and consent to participate

The study was approved by the local Ethics Committee of the Faculty of Psychology and Sport Science, Goethe-University Frankfurt (reference number: 2017/27). The trial will be carried out according to the Guidelines for Good Clinical Practice and according to the Declaration of Helsinki, including its modification of Fortaleza. All participants provide informed consent prior to study enrollment.

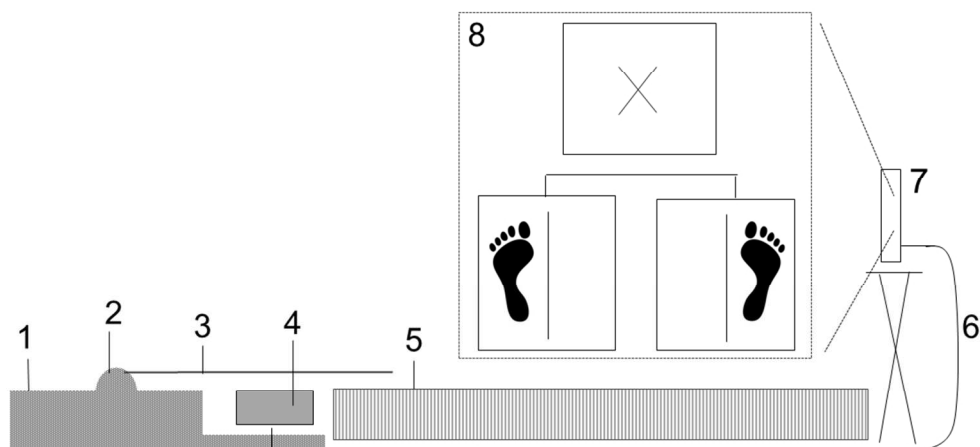
Trial registration

The study has been registered at clinicaltrials.gov (NCT03336060).



20 Experimental study setup. The figure details the days in which participants are assessed.

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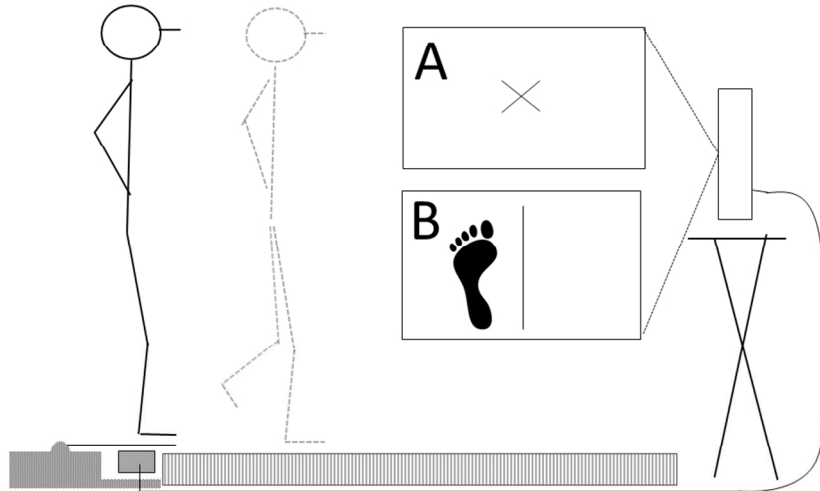


Setup of the Jump-Landing Experiment.

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Anticipated

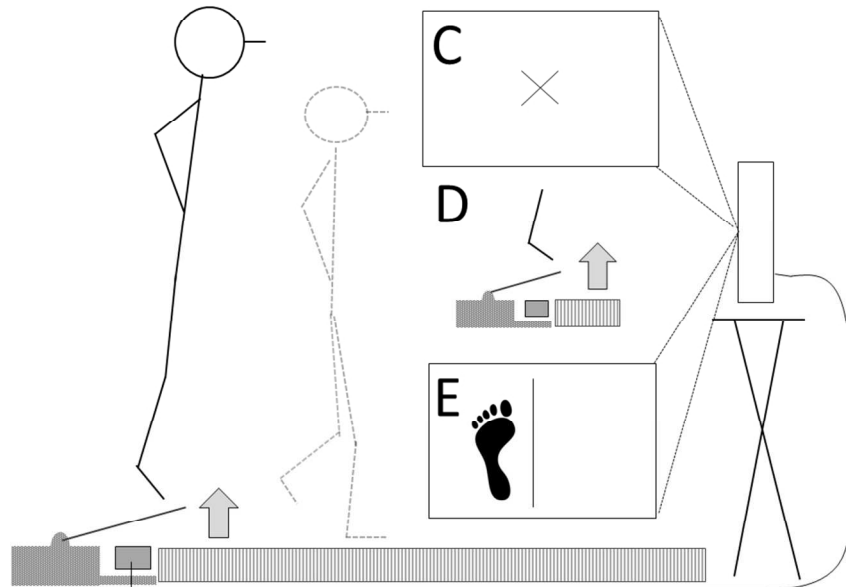


Proceedings of anticipated jump-landings and the clarification when and how the visual stimulus indicating the side on which the single leg-landing has to be performed is presented.

300x210mm (96 x 96 DPI)

Peer Review Only

Non-anticipated



Proceedings of non-anticipated jump-landings and the clarification when and how the visual stimulus indicating the side on which the single leg-landing has to be performed is presented.

300x210mm (96 x 96 DPI)

STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of *case-control studies*

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1, 2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3
Objectives	3	State specific objectives, including any prespecified hypotheses	5
Methods			
Study design	4	Present key elements of study design early in the paper	5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	5
Participants	6	(a) Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls	6,7
		(b) For matched studies, give matching criteria and the number of controls per case	n.a.
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	7 f.
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	7 f.
Bias	9	Describe any efforts to address potential sources of bias	n.a.
Study size	10	Explain how the study size was arrived at	
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	12, 13
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	13
		(b) Describe any methods used to examine subgroups and interactions	13
		(c) Explain how missing data were addressed	n.a.
		(d) If applicable, explain how matching of cases and controls was addressed	n.a.
		(e) Describe any sensitivity analyses	n.a.
Results			n.a. (study protocol)

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	“
		(b) Give reasons for non-participation at each stage	“
		(c) Consider use of a flow diagram	“
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	“
		(b) Indicate number of participants with missing data for each variable of interest	“
Outcome data	15*	Report numbers in each exposure category, or summary measures of exposure	“
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	“
		(b) Report category boundaries when continuous variables were categorized	“
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	“
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	“
Discussion			13, 14
Key results	18	Summarise key results with reference to study objectives	n.a. (study protocol)
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	“
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	“
Generalisability	21	Discuss the generalisability (external validity) of the study results	“
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	13

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.

BMJ Open

Neurophysiological correlates of motor planning and movement initiation in ACL-reconstructed individuals: A case-control study

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3 1 *Neurophysiological correlates of motor planning and movement*
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5 2 *initiation in ACL-reconstructed individuals: A case-control study*
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17 **Abstract**

18
19 **INTRODUCTION:** Current evidence suggests that the loss of mechanoreceptors after anterior
20 cruciate ligament (ACL) tears might be compensated by increased cortical motor planning. This
21 occupation of cerebral resources may limit the potential to quickly adapt movements to unforeseen
22 external stimuli in the athletic environment. To date, studies investigating such neural alterations
23 during movement focused on simple, anticipated tasks with poor ecological validity. This trial,
24 therefore, aims to investigate the cortical and biomechanical processes associated with more sport- and
25 injury-related movements in ACL-reconstructed individuals.

26 **METHODS AND ANALYSIS:** ACL-reconstructed participants and uninjured controls will perform
27 repetitive counter-movement jumps with single-leg landings. Two different conditions are to be
28 completed: anticipated (n = 35) vs. unanticipated (n = 35) successful landings. Under the anticipated
29 condition, participants receive the visual information depicting the requested landing leg prior to the
30 jump. In the unanticipated condition, this information will be provided about ms prior to landing.
31 Neural correlates of motor planning will be measured using electroencephalography. In detail,
32 movement-related cortical potentials, frequency spectral power, and functional connectivity will be
33 assessed. Biomechanical landing quality will be captured via a capacitive force plate. Calculated
34 parameters encompass time to stabilization, vertical peak ground reaction force and center of pressure
35 path length. Potential systematic differences between ACL-reconstructed individuals and controls will
36 be identified in dependence of jumping condition (anticipated, unanticipated, left and right landing leg
37 and rest) by using interference statistics. Potential associations between the cortical and biomechanical
38 measures will be calculated by means of correlation analysis. In case of statistical significance ($\alpha <$
39 .05.) further confounders (cofactors) will be considered.

40 **ETHICS AND DISSEMINATION:** The independent Ethics Committee of the University of
41 Frankfurt (Faculty of Psychology and Sport Sciences) approved the study. Publications in peer-
42 reviewed journals are planned. The findings will be presented at scientific conferences.

43 **PROTOCOL REGISTRATION NUMBER:** NCT03336060 (ClinicalTrials.gov)

44 **Keywords:** ACL rupture, neuromuscular function, cortical activity, neurocognition, neuroplasticity,
45 central nervous system modifications

46 Article Summary

47 Strengths and limitations of this study

- 48 • First-time investigation of the link between electrocortical (EEG) activity (neural correlates of
49 motor planning) and biomechanical function during typical sport- and injury-related movements
50 (single-leg landings) in ACL-reconstructed individuals.
- 51 • Association between increased use of motor planning capacities and lower postural control during
52 landing in ACL-reconstructed individuals may have major implications for rehabilitation and
53 return to sports.
- 54 • Comparison against both, unaffected leg of the ACL-reconstructed individuals as well as
55 uninjured controls and rigorous control of relevant confounders (i.e. cognitive functions).
- 56 • Investigator and participant blinding is not possible.

58 1. Introduction

59 Anterior cruciate ligament (ACL) tears of the knee represent one of the most common sports-related
60 injuries, particularly among young, physically active individuals[1, 2]. The disorder represents the
61 leading cause of sports-related surgery[3] and, besides the severe acute and long-term consequences
62 (e.g. pain, functional disability and impairments;[4]), is associated with a higher lifetime risk of knee
63 osteoarthritis[5]. Despite several multidisciplinary therapeutic approaches aiming to restore preinjury
64 neuromuscular function, the odds of sustaining a second tear are significantly increased in afflicted
65 individuals who returned to sports[6, 7]. It may, therefore, be inferred that current rehabilitation
66 paradigms fail to eliminate all impairments of the injury[8, 9].

67 Besides affecting mechanical stability, ACL rupture is associated with substantial destructions of
68 ligament mechanoreceptors[10]. Under healthy conditions, the sensory receptors located in the ACL,
69 e.g. Ruffini and Pacini corpuscles, provide essential proprioceptive information[11–13] and regulate
70 the activity of the Hamstring muscles[14–16]. Representing a synergist of the ACL, the Hamstrings
71 are paramount for functional stability of the knee joint[17, 18]. As the neural drive to the muscle
72 depends on the sensory input, the above described peripheral deafferentation (mechanoreceptor

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3 73 damage), secondary to the rather acute consequences of the injury (e.g. pain, swelling and
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5 74 inflammation), could induce neuroplastic changes in the brain[19, 20].
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8 75 Current evidence demonstrates persistent central nervous system (CNS) adaptations occurring after
9
10 76 ligamentous injuries and subsequent reconstruction surgeries[21]. Electroencephalographic (EEG)
11
12 77 studies revealed increased activity of the frontal [22] and frontoparietal cortex[23] during the
13
14 78 execution of sensorimotor tasks in ACL-reconstructed compared to unimpaired controls. It has been
15
16 79 suggested that this may be related to an increased attentional control and somatosensory information
17
18 80 processing related to a higher working memory load[22, 23]. Similarly, neuroimaging studies showed
19
20 81 ACL-injured individuals to exhibit a higher recruitment of cortical areas responsible for motor
21
22 82 planning, sensory processing and visual-motor control during the execution of repetitive knee
23
24 83 extensions[24, 25]. It may be concluded that the brain of ACL-injured and -reconstructed individuals
25
26 84 relies more on higher-order motor control areas [26] and executive function even during simple,
27
28 85 feedback-controlled movements, such as joint repositioning[23], force matching tasks[22] and knee
29
30 86 extensions[8, 25] in order to compensate for the reduced sensory input[21, 25, 27].
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33 87 While the consequences of this supraspinal compensation strategy may be invisible during activities of
34
35 88 daily living, they may place an athlete at risk of injury during sports and competition. To maintain
36
37 89 neuromuscular control in a complex and dynamic athletic environment, a constant interaction between
38
39 90 intrinsic (e.g. motor planning, joint position and movement) and extrinsic factors (e.g. other players,
40
41 91 ball and unanticipated stimuli) is required, based on the simultaneous integration and processing of
42
43 92 varying proprioceptive, visual and vestibular information[8, 28–30]. In most situations leading to an
44
45 93 injury, athletes, under high time constraints, are required to quickly adapt to the changing environment
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47 94 and cannot rely on pre-planned, anticipated movements exclusively[28, 29]. Against this background,
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49 95 current evidence suggests that rapid movement adaptations such as single-leg landings and cuttings in
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51 96 response to an unanticipated visual stimulus induce aberrant knee kinematics and kinetics that increase
52
53 97 the risk of injury[31].
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55
56 98 To date, studies investigating the cortical alterations during movement of ACL patients focussed on
57
58 99 simple, anticipated tasks mainly requiring feedback control and assessed in sitting or lying
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3 100 position[22–25]. Such tasks have poor ecological validity as they do not mimic sport-specific
4 101 movement characteristics. Our planned trial, therefore, aims to gain further insight into the cortical and
5 102 biomechanical processes associated with anticipated/ pre-planned vs. unanticipated/ unforeseen single-
6 103 leg jump landings in ACL-reconstructed individuals and healthy controls. Specifically, the hypothesis
7 104 will be tested that, ACL-reconstructed individuals compared to control individuals' exhibit increased
8 105 cortical motor planning prior jumping. Furthermore, we assume that this higher use of cerebral
9 106 resources will be associated with a lower landing quality in ACL reconstructed individuals.
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19 108 **2. Methods**

20 109 **2.1 Study design and ethical standard**

21 110 An explorative case-control study will be conducted. The trial will be carried out according to the
22 111 Guidelines for Good Clinical Practice and according to the Declaration of Helsinki, including its
23 112 modification of Fortaleza. Ethical approval has been obtained by the local committee of the university
24 113 (Ethics Committee of the Faculty of Psychology and Sport Sciences, Goethe University Frankfurt,
25 114 Germany, reference no: 2017/27) and all participants provide written informed consent. The study has
26 115 been prospectively registered at clinicaltrials.gov (NCT03336060).
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37 117 **2.2 Study setup**

38 118 After study enrollment, each individual will be scheduled for two visits within one week (Figure 1). At
39 119 visit 1, potential confounders (for details see 2.6.3) are assessed. Subsequently, participants will be
40 120 familiarized with the anticipated and unanticipated jump-landing tasks of the study. At visit 2, the
41 121 main measurements are performed. Both visits will take place at comparable time of day.
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49 123 **Figure 1**

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51 125 **2.3 Sample**

52 126 Recruited participants will be ACL-reconstructed (cases) and healthy, uninjured individuals (controls).
53 127 All participants will be recruited at local physical rehabilitation centres, physiotherapists and medical
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3 128 practices, sports clubs, fitness centres, and the local university's sports campus by means of flyers, e-
4
5 129 mails and personal addressing. Inclusion criteria for all participants are (1) male sex, (2) age between
6
7 130 20 and 40 years, and (3) engagement in regular physical activity. Cases will be included if they have a
8
9 131 history of unilateral, anterior cruciate ligament rupture with reconstruction surgery (> 1 year),
10
11 132 irrespective of the graft used for reconstruction and surgical procedure, and full clearance to return to
12
13 133 sport provided by the treated physician. The following exclusion criteria will be applied:

- 14 134 ▪ exorbitant concomitant knee injury (i.e. bone bruise grad 3 or 4, full-thickness articular
15
16 135 cartilage lesion larger than 1 cm², "unhappy triad") (cases)
- 17
18 136 ▪ previous ACL-injury or surgery of the uninvolved knee (cases)
- 19
20 137 ▪ life-quality impairing somatic/ psychological diseases/ disorders (all participants)
- 21
22 138 ▪ acute or chronic inflammation/ disorders/ pain of the musculoskeletal system (all participants)
- 23
24 139 ▪ medication modifying pain perception and proprioception (all participants)
- 25
26 140 ▪ muscle soreness (all participants)
- 27
28 141 ▪ any severe musculoskeletal injury of the lower limb (controls)
- 29
30 142 ▪ history of head injuries (i.e. concussions)
- 31

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33 143

34 144 **2.4 Patient and Public Involvement**

35
36 145 Patients will be not involved in this study: We only include ACL-reconstructed individuals (minimum
37
38 146 one year after surgery) who have returned to their initial daily, physical and sportive activities and
39
40 147 have restored their neuromuscular performance of the injured lower leg indicated by a side symmetry
41
42 148 of single leg hop for distance testing above 85 percent. Achieving a ratio of at least 85% is
43
44 149 recommended before return to unrestricted sport activities[32] as a lower limb asymmetry increases
45
46 150 the risk for re-injury[33].

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49 152 **2.5 Experimental approach**

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52 153 All participants will perform repetitive counter-movement jumps (hands placed at the hip) with single
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54 154 leg landings. Two different conditions are to be completed: anticipated vs. unanticipated landings. For
55
56 155 the anticipated condition, the participants receive a visual information depicting the requested landing

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3 156 leg prior to the jump. In the unanticipated condition, this information will be provided only after take-
4
5 157 off. After a brief standardised warm-up (30 jumping jacks) and three test jumps, all participants have
6
7 158 to perform a total of 70 successful jumps ($n = 35$ per condition), using the above described paradigm.
8
9 159 Pilot data indicated that a number of 35 successful trials per condition (5-minute breaks in sitting
10
11 160 position after each 10 trials) are sufficient in order to produce stable results (neural correlates of motor
12
13 161 planning; EEG) without evoking measurable exhaustion in any assessed parameter.

14
15 162 The indication of the requested landing leg will be delivered by means of a laptop screen (17 inch
16
17 163 diameter). It is positioned at 2.5 meters distance in front of the participants (Figure 2). On the screen, a
18
19 164 slide (Microsoft PowerPoint 2010) with a left or right footprint located on the left or right side of a
20
21 165 vertical line is shown (Figure 2).

22 166 **Figure 2**

23
24 167 In anticipated trials, the slide indicating the landing leg will be presented constantly before take-off
25
26 168 (for details, refer to Figure 3).

27 169 **Figure 3**

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29
30 170 For the unanticipated jumps, a single button USB switch (KKmoon; South Africa) connected to the
31
32 171 laptop will be used in order to elicit a slide change (120 ms delay) from the fixation cross to the
33
34 172 landing leg slide upon take-off (for details, refer to Figure 4; supplementary file – Video).

35 173 **Figure 4**

36
37
38 174 A successful jump is defined as holding a stable landing position for at least 10 seconds. The
39
40 175 participants will be allowed to use their arms to equilibrate the postural sway immediately after
41
42 176 landing. After landing, their hands need to be re-positioned on the hip, while focussing a cross on the
43
44 177 wall at eye level. Unsuccessful trials are categorised as landing errors (touching the ground with the
45
46 178 free leg, leaving the force plate, touching the ground with the hands and falls) and/or task errors
47
48 179 (landing on the wrong foot). To prevent excessive exhaustion during the experiment, the 70 jumps will
49
50 180 be stratified into blocks of 10 with 5-minutes rests (sitting position) in between. Randomised selection
51
52 181 of the jump conditions will be performed using BIAS for windows (University Frankfurt, Germany,
53
54 182 Version 11.06).

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2
3 183 Previous pilot testing revealed longer flight times for unanticipated jump-landings compared to
4
5 184 anticipated landings. Therefore, two strategies will be used to ensure uniform flight durations between
6
7 185 the two disposed conditions. Firstly, during the familiarisation session, the participants will be trained
8
9 186 to constantly achieve comparable flight times of 480 to 520 milliseconds regardless of the jump
10
11 187 condition. This duration, corresponding to a jumping height of about 30 cm, was chosen because the
12
13 188 button switch has a latency of 120 ms from release to slide appearance and because other similar trials
14
15 189 have used flight times of 400 ms[34, 35]. Secondly, in addition to the task familiarisation, during the
16
17 190 breaks of the actual experiment, the participants will be provided with feedback regarding the achieved
18
19 191 flight heights. All participants are required to wear sports clothes (t-shirt and shorts) and indoor sports
20
21 192 shoes during both task familiarisation session, and the actual jump landing experiment.
22
23 193

24 194 **2.6 Measurements**

25
26 195 Cortical measures of motor planning and preparation affordances serve as the main outcome of the
27
28 196 trial. They were assessed prior to jumping. To ensure self-initiated movements the start of the jump is
29
30 197 not triggered to an external stimulus in both jump-landing conditions. To reduce artefacts generated by
31
32 198 eye movements, participants are asked to fixate the cross (Figure 3 and 4) shown on the laptop screen
33
34 199 prior to jumping.
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36 200

37 38 201 2.6.1 Cortical activity

39
40 202 Brain activity prior to jump movement initiation will be captured using a 32-channel
41
42 203 electroencephalography (EEG) system with a wireless amplifier (LiveAmp, BrainProducts, Gilching,
43
44 204 Germany). The device samples data at a frequency of 500 Hz (24-bit analog-to-digital) and has an
45
46 205 integrated 3-axis acceleration sensor (measurement range: ± 2 g, Resolution: 1 mg/bit, 12 Bit; Error: \pm
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48 206 200 grams). It is carried in a custom-made backpack (700 grams), which is attached to the upper back
49
50 207 of the participants. It is equipped with a power bank to guarantee permanent power supply of the
51
52 208 amplifier (200 grams). Positioning of the active slim electrodes embedded in the EEG cap (actiCAP,
53
54 209 Easycap, Herrsching, Germany) will be performed according to the 10-20 international system[36].
55
56 210 Impedance will be kept below 5 k Ω and no online filters will be applied.
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3 211 The EEG signal will be recorded throughout the whole jump landing experiment. In addition, EEG
4
5 212 data will be collected during 2-minute sitting rests prior to and after the 70 successful jumps. To
6
7 213 reduce artefacts resulting from eye movements during these measurements, the participants will be
8
9 214 instructed to fixate a cross, which is displayed on the laptop screen.

10
11 215 Three EEG parameters will be analysed: *Movement related cortical potentials, frequency power*
12
13 216 *spectra and functional connectivity*. The **Movement-related cortical potentials** (MRCP) occur about
14
15 217 two seconds prior to voluntary movement and can be subdivided into successive three parts that will
16
17 218 be assessed in the planned trial: *Bereitschaftspotential - negative slope - motor potential* [37, 38] (for
18
19 219 a review see[39]). The *Bereitschaftspotential* is a slowly rising, bilateral negativity, generated in the
20
21 220 supplementary and pre-supplementary motor area (1.5 to 0.5 seconds before movement onset;[40,
22
23 221 41]). Subsequently, a steeper negativity, the *negative slope* occurs and relates to the activity of the
24
25 222 contralateral primary motor cortex (starting about 0.5 seconds prior to movement onset;[38, 42]). Both
26
27 223 signals are followed by the *motor potential*[41], the peak negativity corresponding to the movement
28
29 224 onset itself[43, 44]. MCRP are thought to reflect the motor cortical involvement during motor
30
31 225 planning and preparing of a self-initiated movement[42]. For each of the MRCP measures, acceptable
32
33 226 test-retest reliability has been reported[45].

34
35 227 To investigate the attentional and working memory processes needed for initiating and executing the
36
37 228 jumps different **frequency power spectra** (Theta, Beta and Alpha) will be captured for frontal, central
38
39 229 and parietal brain areas. Theta power will be measured in the frontal cortex and increases with higher
40
41 230 levels of focused attention[46]. Alpha-2 power; inversely related to the activation[47] of the
42
43 231 underlying somatosensory cortex, decreases with higher demands of sensory information-processing
44
45 232 during sensorimotor tasks[23]. Both frontal Theta and parietal Alpha-2 have been shown to be
46
47 233 strongly associated with working memory load[48]. It is, furthermore, well-known that the planning
48
49 234 and preparation of voluntary movements are accompanied by an event-related desynchronization[49,
50
51 235 50] of the alpha and beta (including sensorimotor rhythm[51]) frequencies power corresponding to the
52
53 236 parietal and sensorimotor areas[52–56]. EEG power measures have been demonstrated to be highly
54
55 237 reliable during both rest[57] and sensorimotor tasks[58].
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3 238 Coherence analyses will be applied to examine the **functional connectivity** between the brain region
4
5 239 specific co-working processes (motor planning areas; fronto-parietal network[48]). Following the
6
7 240 approach of Sauseng et al.[48] and Silva et al.[59] coherence analysis will be conducted for the above
8
9 241 mentioned frequency bands (e.g. Theta, Beta and Alpha). The test-retest-reliability of coherence
10
11 242 testing has been shown to be sufficient to high for most brain areas and frequency bands[60].
12
13 243

14 244 2.6.2 Biomechanical parameters

15
16 245 A capacitive force measurement platform (50 Hz, Zebris FDM, Zebris Medical GmbH, Isny,
17
18 246 Germany) will be used to assess postural stability following the single leg landings. Three parameters
19
20 247 will be investigated: *Time to stabilisation (TTS)* - *Vertical peak ground reaction force (GRF)* - *Center*
21
22 248 *of pressure (COP) path length*. **Time to stabilisation (TTS)** describes the capacity to regain a stable
23
24 249 stance as quickly as possible. It will be computed according to Colby et al.[61] and Wikstrom et
25
26 250 al.[62]. Here, the dynamic cumulative average weight is calculated, based on the continuous force
27
28 251 plate recordings until 10 seconds after landing. A stable stance is assumed as soon as the sequential
29
30 252 average no longer exceeds the threshold of .25 standard deviations of the overall mean ground vertical
31
32 253 force. The TTS has been demonstrated to exhibit moderate to high reliability[63]. **Vertical peak**
33
34 254 **ground reaction force (GRF)** is the maximal vertical force impact upon landing. Using the raw data,
35
36 255 the highest value [Newton] will be identified. **Center of pressure (COP) path length** represents the
37
38 256 absolute cumulative sway of the total covered distance by the COP during the trial duration[64]. The
39
40 257 path length will be assessed up until 2.5 seconds after the initial ground contact, which corresponds to
41
42 258 the duration of the early dynamic landing phase[65]. In terms of balance assessment, COP measures
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44 259 have been demonstrated satisfactory reliability[66]. Intra-individual mean values will be calculated for
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46 260 TTS, peak GRF, and COP path length in dependence of the disposed conditions.
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49 50 262 2.6.3 Potential confounders

51
52 263 The following parameters, potentially affecting the biomechanical and cortical outcomes, will be
53
54 264 assessed and analysed for their confounding influence:

- 55
56 265 - Dynamic stability feed-forward performance of the lower limb (Single leg hop for distance;[67]).
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3 266 - Postural control during single-leg stance (capacitive force measurement plate Zebris PDMS,
4 Zebris, Isny, Germany)
5 267
6 268 - Limb alignment in frontal plane evaluated by using Single-Leg Landing Error Scoring
7 System[68]
8 269
9 270 - Cognitive function (Visuoperceptual abilities - Trail Making Test A[69]; Simple/ Choice reaction
10 speed – Detection/ Identification Task[70]; Working memory – Verbal digit span test[71]; Spatial
11 271
12 working memory/ learning efficiency – Groton Maze Learning Test[72]; Cognitive flexibility -
13 272
14 Tail Making Test – B[69]; Response inhibition - Stop-Signal-Task[73]; Response interference
15 273
16 control - Stroop Colour-Word task[74])
17 274
18 275 - Current and former physical/sports activities (i.e. primary sport, frequency/ duration per week,
19 276
20 performance level, and years of experience)
21 277
22 - Self-reported knee function (Lysholm Knee Score Scale[75])
23 278
24 - Self-reported perceived fatigue of the lower limbs (10 cm VAS)
25 279
26 - Kinesiophobia, or fear of movement/ (re-)injury (Tampa Scale for Kinesiophobia[76])
27 280
28 - Task-specific fear of movement/reinjury (10 cm VAS)
29 281
30 - Level of arousal and alertness (10 cm VAS)
31 282
32 - Risk-taking behaviour (domain-specific risk-taking/ DOSPERT scale;[77])
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283 2.7 EEG data processing

284 All EEG data will be filtered with a Butterworth high-pass filter of .001 Hz (24 dB/octave) and a low-
285 pass filter of 40 Hz (24 dB/octave). For movement onset detection, the accelerometer data of the
286 amplifier are used. In each jump trial, the EEG signals will be segmented into epochs of 2500 ms,
287 from 2.000 ms before to 500 ms after movement onset. Components which are associated with eye
288 movements and blinks will be removed by using Independent Component Analysis according to
289 Winkler et al.[78]. Artefact removal will be applied according to the criteria used by Saliassi et al.[79]
290 by using automated artefact rejection. Afterwards, all segments will be also visually inspected and
291 trials with remaining artefacts (i.e. eye blinks, movement artefact) will be removed. Only artefact-free
292 trials will be used for analysis.

293 Time-domain specific analysis will be conducted to investigate the MRCP prior each jump. According
294 to Spring et al.[43], MRCP will be divided into 3 successive epochs as follows: The
295 Bereitschaftspotential divided in an early (BP-1: -1.500 to -1.000 ms) and late component (BP-2: -
296 1.000 to -500 ms), and the negative slope component (-500 ms to 0 ms), including the motor potential.
297 The mean and peak activity as well as onset time of the MRCP will be calculated primarily for the
298 fronto-central (FC1, FC2) and central electrodes (C3, Cz, C4) as these channels correspond mainly to
299 the supplementary and primary motor areas.

300 Frequency domain (spectral) analysis will be conducted by means of Fast Fourier Transformation
301 dividing artefact-free epochs into the frequency power spectra for both measurement at rest
302 (continuous EEG) and during the jump landing experiment. For the latter, in terms of time-frequency
303 analysis, the 1.5 second EEG prior to movement onset will be separated into three successive 0.5
304 second epochs: -1.500 ms to -1.000 ms (T1), -1.000 s to -500 ms (T2) and -500 ms to 0 ms (T3).
305 According to the literature, the mean frequency power will be mainly analysed for the frontal theta
306 (Fz;[80]), central beta (C3, Cz, C4) and parietal alpha-2 (P3, Pz, P4). Finally, to examine functional
307 connectivity, coherence analysis in the respective frequency bands will be applied[81]. All
308 electrocortical outcomes will be calculated for each condition (anticipated/ unanticipated,
309 injured/uninjured leg). The EEG at rest measurements will be considered as control condition. All

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3 310 EEG data processing will be applied by using the BrainVision Analyzer software (Brain Products,
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5 311 Gilching, Germany)

6 7 312 **2.8 Statistics**

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9 313 All calculations will be performed after checking the underlying assumptions for parametric or
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11 314 nonparametric testing (Shapiro-Wilk normality test for testing of normal distribution, Levene-test for
12
13 315 variance homogeneity testing). The EEG outcome measures will be transformed to normalize
14
15 316 distributions by using logarithmic based or arcsine transformation, if indicated. Data will be reported
16
17 317 descriptively as means, standard deviations, and 95 % confidence intervals. Potential systematic
18
19 318 differences between cases and controls (between-subject factors) and within both groups (within-
20
21 319 subject factors) will be identified in dependence of jumping condition (anticipated, unanticipated, left
22
23 320 and right landing leg) by using interference statistics. The relationships between the cortical activity
24
25 321 and biomechanical measures will be analysed by means of correlation analyses. The influence of the
26
27 322 potential confounders on cortical and biomechanical outcomes during the jump landing task will be
28
29 323 determined by correlation analysis, likewise. If statistical associations occur, significant confounders
30
31 324 will be considered by means of cofactor analysis. To maintain homogeneity, participants of both
32
33 325 groups will be matched based on age, jump performance, and their current physical/ sports activities
34
35 326 (open- vs. closed skill sports[82].

36
37 327 The level of statistical significance is set to $\alpha < .05$. Based on the exploratory nature of this study no
38
39 328 alpha-error adjustment will be performed for multiple hypotheses testing. Microsoft Excel 2010 for
40
41 329 Windows and SPSS Statistics (version 24.0, SPSS Inc., Chicago, IL, USA) will be used for statistical
42
43 330 data analysis.

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46 47 332 **3. Discussion**

48
49 333 To the best of our knowledge, the planned study is the first to explore both, the cortical and
50
51 334 biomechanical fundamentals underlying unanticipated single-leg landings in ACL-reconstructed
52
53 335 individuals. Hence, this study will provide the first evidence concerning neural correlates of motor
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55 336 planning within sport- and injury-relevant movement paradigms.

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3 337 Another strength of our design consists in the standardized assessment of relevant confounders
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5 338 potentially influencing the chosen outcomes. This, particularly, relates to cognitive functions, which
6
7 339 have been identified to be associated with athletic performance (e.g. ball game sports[83, 84]) as well
8
9 340 as knee injury risk[85] and incidence[86–88].

10
11 341 Our study will reveal results relevant for practice. If the hypothesized association between increased
12
13 342 use of motor planning capacities and lower postural control during landing are verified, this would
14
15 343 have major implications for rehabilitation. Three key aspects may be of particular relevance: Above all
16
17 344 (1), an increased reliance on motor planning during athletic high-risk situations could represent a new
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19 345 factor predisposing for ACL (re-)injury. Future prospective observational studies may therefore
20
21 346 include unanticipated jump-landing tasks in order to elucidate its value in predicting injury and
22
23 347 monitoring the return to play / return to sports process.

24
25 348 Another issue (2) relates to the elaboration of new training approaches. In addition to physical
26
27 349 exercise, e.g. dynamic balance, dual/-multi task training approaches (including external focus) and
28
29 350 visual-motor exercise paradigms[8], electrophysiological methods, such as neuromuscular electrical
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31 351 stimulation[89], transcutaneous electrical nerve stimulation[90], electromyography biofeedback[91]
32
33 352 and transcranial magnet stimulation[92] may represent intriguing options to restore somatosensory
34
35 353 function and quadriceps corticomotor excitability of ACL-reconstructed individuals. Their application
36
37 354 may open new therapeutic avenues, if changes in motor planning prior to unanticipated jump landings
38
39 355 could be evidenced in the cases.

40
41 356 Finally (3), affordable devices for daily practice would be needed to assess an individuals' ability to
42
43 357 react and properly adjust his motor plan to an unforeseen/ unanticipated external visual stimulus.

44
45 358 Despite the promising approach, some limitations have to be taken into account. No investigator nor
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47 359 participant blinding is possible using a quasi-experimental approach. Moreover, the neural correlates
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49 360 of motor planning are only detectable prior to the jump, but not after take-off due to serious EEG
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51 361 artefacts caused by the jump. Female athletes are at higher risk for non-contact ACL injuries compared
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53 362 to their male counterparts[93, 94]. To exclude the influences due to this variable only participants of
54
55 363 one sex will be considered for inclusion. Males are chosen because pilot testing indicated that those
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57 364 were more likely to achieve the required jump height. The study results will refer to successful

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3 365 landings only. However, unsuccessful trials (i.e. task errors) may provide additional information in
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5 366 terms of predicting injury risk. It could therefore be useful to investigate if cortical activities differ
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7 367 between successful and unsuccessful trials. This would certainly require a considerable increase of the
8
9 368 total number of jump landings in order to obtain a sufficient amount of error trials for EEG analysis.
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11 369 Due to the considerably increased risk of fatigue and a greater effort for the participants resulting from
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13 370 this, adaption to the described paradigm may be the second step and should be performed after
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15 371 proving the feasibility of the current approach.
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Figure captions

Figure 1: Experimental study setup. The figure details the days in which participants are assessed.

Figure 2: Setup of the Jump-Landing Experiment.

Rubber mat (1); Hinge (2); Plastic panel (3); USB-button switch (4); Force plate (5); USB-cable connecting button switch with screen (PowerPoint; 6); Laptop with screen (17 Inch diameter; 7); Powerpoint-slides demonstrated on laptop screen indicating left or right foot landing (randomised order). Before each foot slide a separate slide containing a fixation cross is demonstrated (8).

Figure 3: Proceedings of anticipated jump-landings and the clarification when and how the visual stimulus indicating the side on which the single leg-landing has to be performed is presented.

A = slide with a fixation cross; B = slide is presented before the initiation of the jump. Participants start standing in bipedal position on the plastic panel (3; Figure 2) while fixating the cross (A). The experimenter indicates the start of movement preparation by mentioning the condition “anticipated”. Simultaneously the slide demonstrating the landing leg (B) is shown. Afterwards, participants initiate the jump by their own.

Figure 4: Proceedings of unanticipated jump-landings and the clarification when and how the visual stimulus indicating the side on which the single leg-landing has to be performed is presented.

C = slide with a fixation cross (same as in A; Fig 3); D = USB-button (4, Figure 2) release during take-off (plastic panel elevates) initiating slide change; E = slide indicating the landing foot presented only after take-off. Participants start standing in bipedal position on the plastic panel (3; Figure 2) while fixating the cross (C). The experimenter mentions the jump-landing condition “unanticipated”. Afterwards, participants will initiate the jump by their own while C is still shown. The slide indicating the landing leg (E) appears about 120 milliseconds after take-off (button release; D) and is than shown continuously (for more details, refer to the supplementary video file).

Supplementary video file

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3 This video demonstrates in exemplary the unanticipated jump-landing task according to the
4 description provided in Figure 4.
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Trial status

At the time of submission of this manuscript, recruitment is ongoing.

Abbreviations

ACL: Anterior cruciate ligament; COP: Center of Pressure; CNS: Central nervous system; EEG: Electroencephalography; GRF: Vertical peak ground reaction force; MRCP: Movement-related cortical potentials; TTS: Time to stabilisation; VAS: Visual analogue scale

Funding

No external funding.

Conflict of interests

The authors have nothing to disclose.

Competing interests

All authors have completed the ICMJE uniform disclosure form at www.icmje.org/coi_disclosure.pdf and declare: no support from any organisation for the submitted work; no financial relationships with any organisations that might have an interest in the submitted work in the previous three years; no other relationships or activities that could appear to have influenced the submitted work. We declare that we have no competing interests.

Data statement

After completion of data acquisition the dataset will be available from ResearchGate.

Author Statement

FG developed the jump-landing setup and selected the neurophysiological and biomechanical outcome measures. FG wrote the first draft of the manuscript, revised the manuscript and provided final approval. TE assisted FG in the development of the trial jump-landing setup and in the selection of biomechanical outcome parameters. TE revised the manuscript, provided critical review and final approval. JW assisted FG in the development of the trial jump-landing setup and in the selection of biomechanical outcome parameters. JW revised the manuscript, provided critical review and final approval. DN revised the manuscript, provided critical review and final approval. JW assisted FG in the development of the trial jump-landing setup and in the selection of biomechanical outcome parameters. DN revised the manuscript, provided critical review and final approval. LV revised the manuscript and provided intellectual contributions to the final, submitted version of the manuscript. WB revised the manuscript and provided intellectual contributions to the final, submitted version of the manuscript. The material within has not been and will not be submitted for publication elsewhere

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3 except as an abstract. The authors agree that the copyright for our article is transferred to the publisher
4 if and when the article is accepted for publication.
5

6 7 **Acknowledgements**

8 We especially recognize the assistance of Dr. Solveig Vieluf (Sports Medicine department, University
9 of Paderborn, Germany) in the development of the EEG setup. Furthermore, we like to thank Alwin
10 Eifler for providing written consent for publication of his individual details and the accompanying
11 video of this manuscript.
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14 15 16 **Consent for publication**

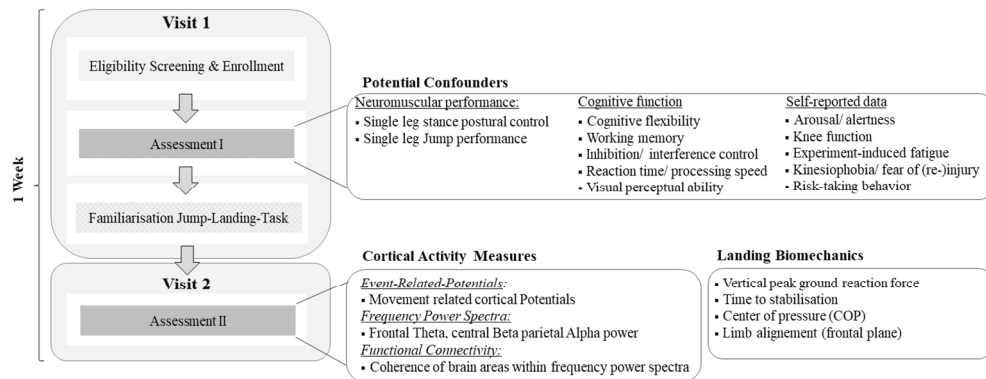
17 Written informed consent was obtained from the participants for publication of their individual details
18 and accompanying images/ video in this manuscript. The consent form is held by the authors and is
19 available for review by the Editor-in-Chief.
20
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22 23 **Ethics approval and consent to participate**

24 The study was approved by the local Ethics Committee of the Faculty of Psychology and Sport
25 Science, Goethe-University Frankfurt (reference number: 2017/27). The trial will be carried out
26 according to the Guidelines for Good Clinical Practice and according to the Declaration of Helsinki,
27 including its modification of Fortaleza. All participants provide informed consent prior to study
28 enrollment.
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32 33 **Trial registration**

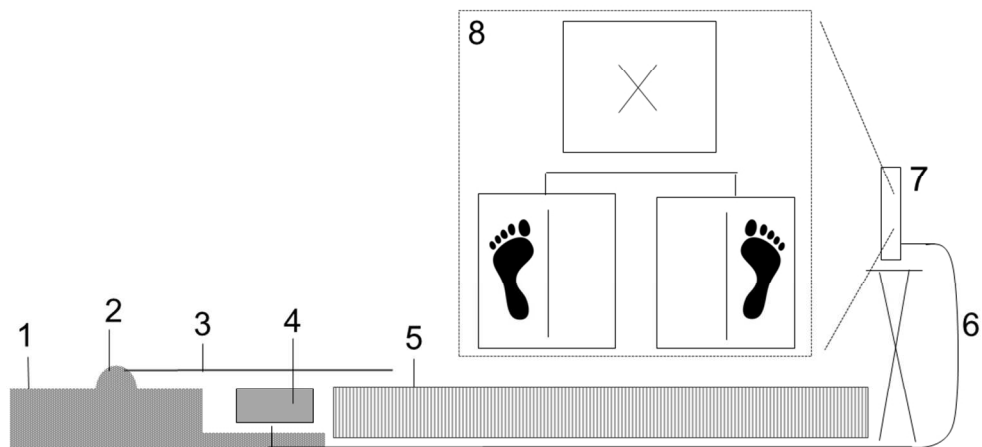
34 The study has been registered at clinicaltrials.gov (NCT03336060).
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Experimental study setup. The figure details the days in which participants are assessed.

131x51mm (300 x 300 DPI)

Peer review only



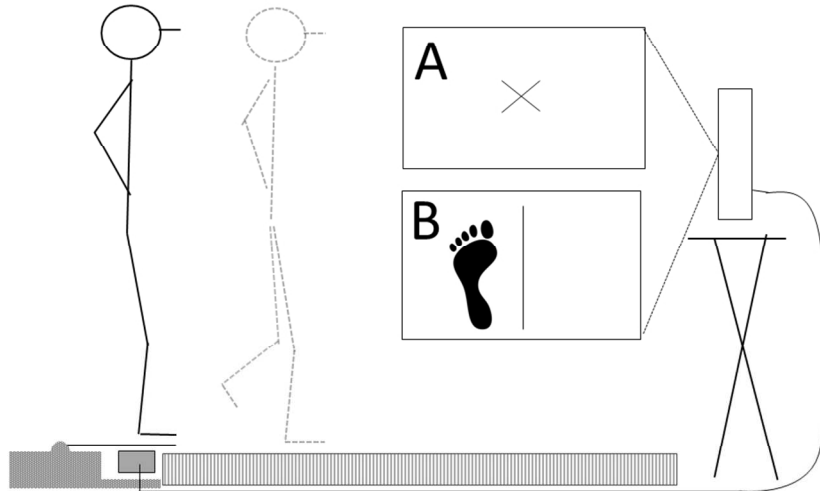
Setup of the Jump-Landing Experiment.

96x51mm (300 x 300 DPI)

review only

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Anticipated

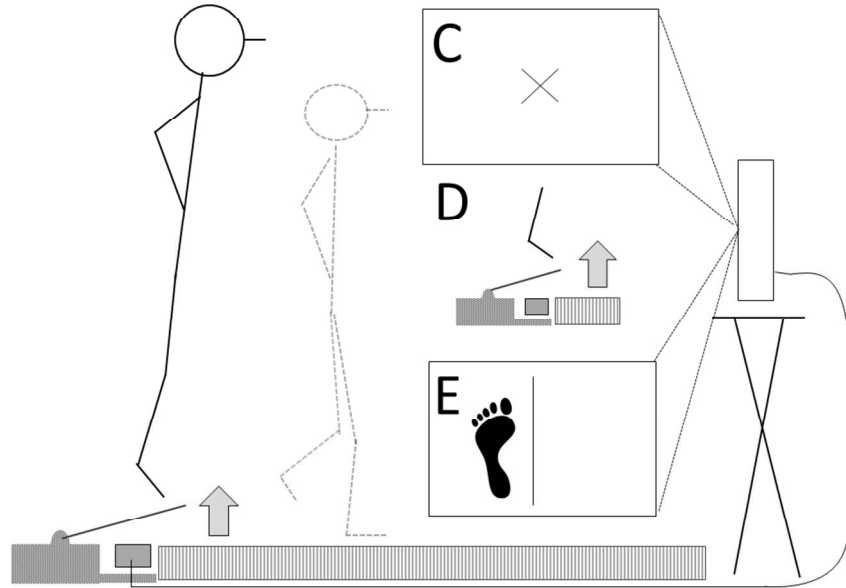


Proceedings of anticipated jump-landings and the clarification when and how the visual stimulus indicating the side on which the single leg-landing has to be performed is presented.

96x67mm (300 x 300 DPI)

Peer Review Only

Non-anticipated



Proceedings of unanticipated jump-landings and the clarification when and how the visual stimulus indicating the side on which the single leg-landing has to be performed is presented.

96x67mm (300 x 300 DPI)

STROBE 2007 (v4) Statement—Checklist of items that should be included in reports of *case-control studies*

Section/Topic	Item #	Recommendation	Reported on page #
Title and abstract	1	(a) Indicate the study's design with a commonly used term in the title or the abstract	1, 2
		(b) Provide in the abstract an informative and balanced summary of what was done and what was found	2
Introduction			
Background/rationale	2	Explain the scientific background and rationale for the investigation being reported	3
Objectives	3	State specific objectives, including any prespecified hypotheses	5
Methods			
Study design	4	Present key elements of study design early in the paper	5
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection	5
Participants	6	(a) Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls	6,7
		(b) For matched studies, give matching criteria and the number of controls per case	n.a.
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable	7 f.
Data sources/ measurement	8*	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group	7 f.
Bias	9	Describe any efforts to address potential sources of bias	n.a.
Study size	10	Explain how the study size was arrived at	
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen and why	12, 13
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding	13
		(b) Describe any methods used to examine subgroups and interactions	13
		(c) Explain how missing data were addressed	n.a.
		(d) If applicable, explain how matching of cases and controls was addressed	n.a.
		(e) Describe any sensitivity analyses	n.a.
Results			n.a. (study protocol)

Participants	13*	(a) Report numbers of individuals at each stage of study—eg numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed	“
		(b) Give reasons for non-participation at each stage	“
		(c) Consider use of a flow diagram	“
Descriptive data	14*	(a) Give characteristics of study participants (eg demographic, clinical, social) and information on exposures and potential confounders	“
		(b) Indicate number of participants with missing data for each variable of interest	“
Outcome data	15*	Report numbers in each exposure category, or summary measures of exposure	“
Main results	16	(a) Give unadjusted estimates and, if applicable, confounder-adjusted estimates and their precision (eg, 95% confidence interval). Make clear which confounders were adjusted for and why they were included	“
		(b) Report category boundaries when continuous variables were categorized	“
		(c) If relevant, consider translating estimates of relative risk into absolute risk for a meaningful time period	“
Other analyses	17	Report other analyses done—eg analyses of subgroups and interactions, and sensitivity analyses	“
Discussion			13, 14
Key results	18	Summarise key results with reference to study objectives	n.a. (study protocol)
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	“
Interpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence	“
Generalisability	21	Discuss the generalisability (external validity) of the study results	“
Other information			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based	13

*Give information separately for cases and controls in case-control studies and, if applicable, for exposed and unexposed groups in cohort and cross-sectional studies.

Note: An Explanation and Elaboration article discusses each checklist item and gives methodological background and published examples of transparent reporting. The STROBE checklist is best used in conjunction with this article (freely available on the Web sites of PLoS Medicine at <http://www.plosmedicine.org/>, Annals of Internal Medicine at <http://www.annals.org/>, and Epidemiology at <http://www.epidem.com/>). Information on the STROBE Initiative is available at www.strobe-statement.org.