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Title	The uptake of elective laparoscopic colectomy for colon cancer in Canada from 2004 to 2014: a descriptive analysis
Authors	C. Hoogerboord MBChB MSc, A.R. Levy PhD, M. Hu MA, G. Flowerdew DSc, G. Porter MD MSc
Reviewer 1	David Pace
Institution General comments	Discipline of Surgery, Memorial University, St. John's, NL This time series analysis of the use of laparoscopic colon surgery in Canada is interesting and timely. Using administrative data, the authors found that the use of laparoscopic was steadily increasing in Canada from 2004 to 2014. This data set showed that
(author response in bold)	there are several variables associated with its use including younger age, female gender, province, urban address, low comorbidity index, high volume hospital, and type of resection. Unfortunately, many important variables that may have influenced the choice of laparoscopic colon surgery could not be included and were discussed in the paper.
	In terms of interprovincial variation, a number of variables including physician remuneration (some provinces give a premium for doing a colon resection laparoscopically), the prevalence of obesity, and the population density of fellowship trained colorectal/MIS surgeons who can perform laparoscopic colon surgery were not considered but could be discussed further. A discussion of the barriers to incorporation of laparoscopic colon surgery could be further expanded upon in the discussion as well.
	Thank you very much for the constructive feedback and suggestions, which we have included to the best of our ability:
	We referred to barriers to incorporation of laparoscopic colectomy in the interpretation: "Our data suggest that such training programs aimed at practicing surgeons in secondary level hospitals may be most effective at increasing pan Canadian use and reducing interprovincial variation. However, limited time to attend training courses, pressure on operating room resources and time and resource constraints for experienced mentors may pose significant barriers (1)."
	Unfortunately, our CIHI data do not allow for differentiation of fellowship trained surgeons nor does it reliably capture overweight / obesity. We addressed this in limitations: "Several clinical variables were not available in the DAD maintained by CIHI (e.g. body mass index, tumour stage, local recurrence vs. primary tumour, prior abdominal operation) and thus we are unable to examine their association with laparoscopic colectomy use. We were also not
	able to distinguish fellowship trained subspecialist surgeons and how their distribution affected interprovincial variation."
	Reference: Reviewer 1 (1) Birch DW, Misra M, Farrokhyar F. The feasibility of introducing advanced minimally invasive surgery into surgical practice. Can J Surg 2007 Aug;50(4):256-260.
Reviewer 2	Julie Hallet
Institution	Sunnybrook Health Sciences Centre — Odette CancerCentre, Division of General Surgery, Toronto, Ont.
General comments (author	Thank you very much for the constructive feedback and suggestions, which we have addressed to the best of our ability:
response in bold)	Hoogerboord and al report a descriptive analysis of the use of laparoscopic colectomy in Canada over a 10-year period. This is interesting information contributing to efforts to further increase the uptake of minimally invasive surgery and improve patient outcomes. I have a few comments that if addressed I believe would improve the impact of the paper. It would provide additional insight on whether practice changes are needed and how to operate them.
	METHODS - The authors provided the checklist for the STROBE statement. The study should also be reported according to the RECORD statement for observational routinely collected data. As per CMAJ editors, the RECORD statement is sufficient
	- The authors used the Elixhauser comorbidity index to assess comorbidity burden. Could they provide a definition and reference for this index, and explain why it was picked over other comorbidity measures using administrative data (such as the ADG system or adapted Charlson index)?
	Methods: "A medical comorbidity was defined according to the Elixhauser index, which was specifically developed to be applied to administrative data and that has been shown to outperform other measures of comorbidity, including the Charlson index (1-3)".
	- High volume for surgeon and institutions was defined using the 75th percentile. This results in surprisingly low cut-off for high volumes (7/year for surgeon). a) Did the authors, consider using cut-offs from previously published work on volume-outcomes? How could their decision have
	b) To appreciate this, it could be interesting to provide details of volume distribution for surgeons and institutions (also by provinces), and consider a sensitivity analysis using higher cut-offs to test the robustness of results.
	 c) was sub-group analysis based on volumes considered? There were significant variations in use of laparoscopy between provinces. I suspect the same was true for institutions (although not reported). How did the author account for clustering effects? This would significantly impact results and should
	be addressed using appropriate statistical methods. a, b, c) Several methods to describe surgeon and hospital volume are used in the literature, including tertiles, quartiles, percentiles that allow for similar numbers of patients in each group and arbitrary cut-off volumes (4-7). We therefore feel that our use of quartiles is acceptable and a more accurate representation of the Canadian experience than what a generic number would be. We were however also surprised by the low annual surgeon volumes in our data. We decided to dichotomize volume quartiles into high and low as the small differences between lower quartiles (Surgeon volume quartiles; 1: 1-2, 2: 2.1-3.88, 3: 3.9-7.0, hospital volume quartiles; 1: 1- 3.89, 2: 3.90-16.18, 3: 16.27-36.63) may be difficult to interpret and are likely of little clinical significance.
	Although a more detailed analysis of the impact of provider and hospital volumes on laparoscopic colectomy would have been interesting we feel that it is beyond the scope of this paper; the primary objective of our study was to describe the pan Canadian use and uptake of laparoscopic colectomy, similar to what has been done in

other countries / jurisdictions (8-13), rather than testing statistical hypotheses regarding drivers of uptake.
To account for clustering we compared the mean proportion of provincial laparoscopic colectomies per patient to that of surgeons and of surgeons to that of institutions by paired t-test: Analysis: "To assess the effects of clustering we compared the mean proportion of provincial laparoscopic colectomies per patient to that of surgeons and of surgeons to that of institutions by one tailed paired t-test." Results: "The mean proportion of laparoscopic surgeries per surgeon was lower than per patient (0.26 vs. 0.31, p=0.02), and lower per institution than per surgeon (0.23 vs. 0.26, p=0.02), reflecting a clustering of the procedure within physicians and additional clustering within institutions, with more procedures being performed by high volume surgeons and in high volume hospitals."
RESULTS - Could the authors provide information re the diagnosis for which patients were operated on? The CIHI-DAD should include ICD-10 diagnosis codes to classify in benign vs malignant at a minimum. The study only included patients who underwent elective colectomy for colon cancer, the diagnostic and intervention codes were included in the Methods: "Patients with an International Classification of Diseases and Related Health Problems, 10th Revision, Canada (ICD-10-CA) (14) primary diagnosis of colon cancer (C18.0-18.9 or C19) and a Canadian Classification of Health Intervention (CCI) (15) procedure code for colectomy (1.NM.87, 89, 91 or 1.NQ.87) were identified."
- Could length of stay be obtained from CIHI-DAD? We included a description of postoperative length of stay and in hospital mortality; Methods: "Baseline characteristics, in hospital mortality and postoperative length of stay, defined as number of days from day of surgery up to and including day of discharge, of laparoscopic and open colectomy groups were compared by use of Student's t test for continuous and chi square test for categorical variables."
Interpretation: "Compared to open colectomy, patients who underwent laparoscopic colectomy had lower in hospital mortality (0.82% vs. 2%) and 2.9 days shorter postoperative length of stay."
- There were significant variations by provinces. Could the authors elaborate on this? Including information on baseline and clinical characteristics comparison between provinces, to better appreciate the sources of this variation. This would be helpful information in addressing how to act on the reported results - what factors underlie the variation? how can we improve the uptake of laparoscopic surgery where it is less used? Included in Interpretation: "Factors that increased the likelihood of laparoscopic colectomy included later year of study, younger age, lower level of medical comorbidities, urban residence, right hemicolectomy and high surgeon and hospital volume. It is therefore not surprising that provinces with older populations and higher rural / urban ratios had lower uptake of laparoscopic colectomy".
- The multivariate analysis is interesting but limited. The most interesting thing about this data would be to gain insight about the reasons why laparoscopy may not be used. This may help design interventions to further increase its uptake.
DISCUSSION - Please reference the comments on mentorship programs for MIS (lines 48-54 page 6). Included in Interpretation: "To ensure the safety and effectiveness of laparoscopic colectomy, established surgeons in particular need to engage in comprehensive training programs that include all members of the perioperative team as well as ongoing post course mentorship (16). Our data suggest that such training programs aimed at practicing surgeons in secondary level hospitals may be most effective at increasing pan Canadian use and reducing interprovincial variation. However, limited time to attend training courses, pressure on operating room resources and time and resource constraints for experienced mentors may pose significant barriers (17)".
- The implications of the results are not discussed much. How should these results be used? How can they inform changes in practice, training, or policy? Is the current use of laparoscopic colectomy appropriate or is there room for improvement? This is important to bring this paper passed its descriptive nature. To clarify the implications of our results we edited our Interpretation and Conclusion as follows: "The introduction of technically advanced procedures can be associated with harm (18). The traditional model of a short course followed by a "learning curve" during which a surgeon gains experience and proficiency is fraught with legal and ethical dilemmas and often fails to establish change in practice over the long term (19). To ensure the safety and effectiveness of laparoscopic colectomy, established surgeons in particular need to engage in comprehensive training programs that include all members of the perioperative team as well as ongoing post course mentorship (16). Our data suggest that such training programs aimed at practicing surgeons in secondary level hospitals may be most effective at increasing pan Canadian use and reducing interprovincial variation. However, limited time to attend training courses, pressure on operating room resources and time and resource constraints for experienced mentors may pose significant barriers (17)." Conclusion "Although the use of elective laparoscopic colectomy for cancer increased significantly in the decade following the publication of seminal randomized trials there is still room for improvement. Significant interprovincial variation in use of laparoscopic colectomy exists, with lowest use in provinces with smaller, older and more rural populations. Further knowledge translation strategies are needed to ensure equal access to the benefits of laparoscopic colectomy for all Canadians."
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Reviewer 3	Carl Brown
Institution	Division of General Surgery, St. Paul's Hospital, Vancouver, BC
General	Thank you very much for the constructive feedback and suggestions, which we have addressed to the best of our
comments	ability.
(author	
response in	The authors present data demonstrating differential increase (but, uniformly increased utilization) in laparoscopic surgery across
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increased uptake observed in 2005 (6). However, similar laparoscopic fee codes introduced in British Columbia and Nova Scotia in 2011 did not have the same effect (7)."
We stated in limitations: "In addition, we were not able to distinguish between the various types of laparoscopic colectomy (e.g. completed laparoscopic, laparoscopic assisted, laparoscopic converted to open); therefore, laparoscopic colectomy in this study should be interpreted as a procedure that was at least initiated laparoscopically."
There is no safety data presented (perioperative mortality, cancer survival), so the possibility of increased rates of laparoscopy (or, at least hospital coding rates increasing) compromising cancer care in practice is an issue. There is data that suggests it has been safely introduced in a Canadian jurisdiction:
Aslani, N., Lobo Prabhu, K., Heidary, B., Phang, T., Raval, M. J., & Brown, C. J. (2012). Outcomes of laparoscopic colon cancer surgery in a population-based cohort in British Columbia: are they as good as the clinical trials? American Journal of Surgery, 204(4), 411-415).
However, there is some evidence that suggests new procedures can be associated with harm Atallah, S. B., DuBose, A. C., Burke, J. P., Nassif, G., deBeche-Adams, T., Frering, T., et al. (2017). Uptake of Transanal Total Mesorectal Excision in North America, 60(10), 1023-1031.) Healey, P., & Samanta, J. (2008). When Does the "Learning Curve" of Innovative Interventions Become Questionable Practice? European Journal of Vascular and Endovascular Surgery, 36(3), 253-257. http://doi.org/10.1016/j.ejvs.2008.05.006 This issue should be explored.
In the interpretation we included our data on postoperative length of stay and in hospital mortality and related it to that of other population base studies: "Compared to open colectomy, patients who underwent laparoscopic colectomy had lower in hospital mortality (0.82% vs. 2%) and 2.9 days shorter postoperative length of stay." "Randomized controlled trials demonstrated similar in hospital mortality rate, enhanced postoperative recovery
with shorter postoperative length of stay and equivalent oncologic outcomes of elective laparoscopic colectomy, compared to open colectomy (8-11). These were subsequently confirmed by population based studies, although some reported a lower in hospital mortality for laparoscopic colectomy (6,12-15). Our pan Canadian data substantiate shorter length of hospital stay and decreased in hospital mortality for laparoscopic colectomy."
In Table 1, statistically significant differences between lap and open surgery in gender, comorbidity despite minimal differences in absolute values - I would encourage the authors to comment specifically on these features as examples of limitations of large administrative database research with the caveat that statistical significance in these data should be interpreted with caution. Related to the previous suggestion, it is likely that the variance related to uncertainty in the diagnosis/procedure codes would overwhelm the uncertainty in these features.
Included in Limitations: "Observed differences in mortality and length of stay outcomes, even after controlling for modest differences in baseline characteristics of open and laparoscopic groups, may be at least partially explained by unmeasured factors contributing to a selection bias."
A small suggested change - in Table 1, surgical volumes (surgeon, hospital) should be expressed in whole numbers (see "high volume") to be clinically meaningful. The numbers for surgeon and hospital volumes were adjusted to the nearest whole.
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