

#### **Instructions**

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## 1. Identifying information.

## 2. The work under consideration for publication.

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#### 3. Relevant financial activities outside the submitted work.

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Carey 1



Section 1. Identifying Inform	nation			
1. Given Name (First Name) Kyle	2. Surname (Last Name) Carey	3. Date 08-March-2018		
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Juan C. Rojas		
5. Manuscript Title Predicting Intensive Care Unit Readmis	sion with Machine Learnin	g using Electronic Health Record Data		
6. Manuscript Identifying Number (if you ki White-201710-787OC.R1	now it)			
Section 2. The Work Under C	onsideration for Public	cation		
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  No				
Section 3. Relevant financial	activities outside the s	submitted work.		
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes You				
Section 4. Intellectual Prope	rty Patents & Copyrig	ghts		
Do you have any patents, whether plan				

Carey 2



Section 5.	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
Yes, the follow	wing relationships/conditions/circumstances are present (explain below):
✓ No other relat	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the abo below.	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Mr. Carey has no	thing to disclose.

### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.

Carey 3



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patent

Churpek 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Matthew	2. Surname (Last Name) Churpek	3. Date 08-March-2018
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name Juan C Rojas
5. Manuscript Title Predicting Intensive Care Unit Readmis	ssion with Machine Learnin	g using Electronic Health Record Data
6. Manuscript Identifying Number (if you k White-201710-787OC.R1	now it)	
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	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the s	submitted work.
of compensation) with entities as descr clicking the "Add +" box. You should re	ribed in the instructions. Use port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by e present during the 36 months prior to publication.
Are there any relevant conflicts of inter If yes, please fill out the appropriate inf		
ii yes, piease iiii out the appropriate iiii		
Name of Entity	Grant? Personal Nor	n-Financial other? Comments
08 HL121080-01 NIH/NHLBI	<b>✓</b>	Current
01 GM 123193 NIH/NIGMS	<b>✓</b>	Current
Section 4. Intellectual Prope	rty Patents & Copyrig	ihts
писпессии горе	rty - Tutchts a copyrig	
Do you have any patents, whether plan	. •	oadly relevant to the work?  Yes  No

Churpek 2

Excess rows can be removed by pressing the "X" button.



Paten	t <b>?</b>	Pending?	Issued?	Licensed	Royalties?	Licensee?	Comments	
ARCD. P0535US.P2		<b>✓</b>						
Section 5.	Relationshi	ps not cov	ered abo	ove				
Are there other r	•			•	eive to have	influenced, or the	at give the appearance of	
	wing relationsh	•			•	•	-t	
✓ No other rela	itionships/cond	itions/circui	nstances	that presen	та ротепца	conflict of intere	St	
						d, if necessary, up reported relations	odate their disclosure stater ships.	nents.
Section 6.	Disclosure S	tatement						
Based on the about the below.	ove disclosures,	this form wi	ill automa	atically gene	erate a disclo	osure statement, v	which will appear in the bo	K
HL121080) and	d The National	Institute of	General	Medical So	ciences (R0		g, and Blood Institute (K0 rs. Churpek and Edelson lized patients.	В

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Royalties: Funds are coming in to you or your institution due to your

patent

Edelson 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Dana	2. Surname (Last Name) Edelson	3. Date 15-March-2018
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Juan C. Rojas
5. Manuscript Title Predicting Intensive Care Unit Readmis	sion with Machine Learnin	g using Electronic Health Record Data
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Section 2. The Work Under C		
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Section 3. Relevant financial	activities outside the s	submitted work.
of compensation) with entities as descr	ibed in the instructions. Us	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Are there any relevant conflicts of interest	est? 🗸 Yes 🗌 No	
If yes, please fill out the appropriate info	ormation below.	
Name of Entity	Grant? Personal Nor	n-Financial Other? Comments
Quant HC		Ownership
Philips Healthcare		Ended 8/15
Early Sense		Current
Section 4. Intellectual Proper	rty Patents & Copyric	white
intellectual Propel	rty Patents & Copyrig	inis —
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work? 🗸 Yes 🗌 No

Edelson 2



✓ No other relationships/conditions/circumstances that present a potential conflict of interest

On occasion, journals may ask authors to disclose further information about reported relationships.

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
ARCD. P0535US.P2	<b>✓</b>						

Section 5.	Relationships not covered above
	er relationships or activities that readers could perceive to have influenced, or that give the appearance fluencing, what you wrote in the submitted work?
Yes, the fo	Illowing relationships/conditions/circumstances are present (explain below):

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements.

# Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Drs. Churpek and Edelson have a patent pending (ARCD. P0535US.P2) for risk stratification algorithms for hospitalized patients. In addition, Dr. Edelson has received research support and honoraria from Philips Healthcare (Andover, MA) and Early Sense (Ramat Gan, Israel). She has ownership interest in Quant HC (Chicago, IL), which is developing products for risk stratification of hospitalized patients.

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Howell 1



Section 1. Identifying Inform	ation				
1. Given Name (First Name) Michael	2. Surname (Last Name) Howell		3. Date 08-March-2018		
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Juan C. Rojas	s Name		
5. Manuscript Title Predicting Intensive Care Unit Readmiss	sion with Machine Learnin	g using Electronic Healt	th Record Data		
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		_			
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Are there any relevant conflicts of intered If yes, please fill out the appropriate info					
Name of Entity	Grant? Personal Nor	n-Financial other?	Comments		
Google		Re	o October 2017, I joined Google esearch. I am now employed by and wn equity in Google. (Google was ot part of this study.)		
Section 4. Intellectual Proper	ty Patents & Copyric	ghts			
Do you have any patents, whether plans	ned, pending or issued, br	oadly relevant to the w	ork? ☐ Yes   ✓ No		

Howell 2



Section 5.	
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	, 2017, Dr. Howell is employed by Google, Inc. Prior to this, Dr. Howell was employed by University ng the time that the work of this study was conducted

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Rojas 1



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1. Given Name (First Name) Juan	2. Surname (Last Name) Rojas	3. Date 08-March-2018
4. Are you the corresponding auth	or? Yes No	
5. Manuscript Title Predicting Intensive Care Unit F	Readmission with Machine Learning using Elec	ctronic Health Record Data
6. Manuscript Identifying Number White-201710-787OC.R1	(if you know it)	
Section 2		
Section 2. The Work U	nder Consideration for Publication	
any aspect of the submitted work ( statistical analysis, etc.)? Are there any relevant conflicts	including but not limited to grants, data monitoring	(government, commercial, private foundation, etc.) for g board, study design, manuscript preparation,
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Name of Entity	Grant? Personal Non-Financial Fees? Support?	Other? Comments
Γ32 NHLBI (HL007605)		Current
Section 4. Intellectual	Property Patents & Copyrights	
Do you have any patents, wheth	her planned, pending or issued, broadly releva	ant to the work? ☐ Yes ✓ No

Rojas 2



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Dr. Rojas is supported by an institutional research training grant from the the National Heart, Lung, and Blood Institute (T32-HL007605).

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## Identifying information.

## 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

#### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

# Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

#### Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued **Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

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Section 1.	Identifying Inform	nation		
1. Given Name (Fii Laura	rst Name)	2. Surname (Last Name) Venable	3. Date 08-March-2018	
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Juan C. Rojas	
5. Manuscript Title Predicting Intens		sion with Machine Learnin	g using Electronic Health Record Data	
6. Manuscript Ider White-201710-78	ntifying Number (if you kr 87OC.R1	now it)		
Section 2.	The Work Under Co	onsideration for Public	cation	
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  No				
Section 3.	Relevant financial	activities outside the s	submitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes Vo				
Section 4.	Intellectual Proper	rty Patents & Copyri <u>c</u>	yhts	
Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No	

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Section 5.	
Section 5.	Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?	
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.	
Section 6.	Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.	
L.R. Venable has nothing to disclose.	

### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.

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