

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

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3. Relevant financial activities outside the submitted work.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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Royalties: Funds are coming in to you or your institution due to your patent



Section 1. Identifying Inform			
Identifying Inform	ation		
Given Name (First Name) Andrew	2. Surname (Last Name) Liu		3. Date 22-February-2018
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author	
5. Manuscript Title Environmental Concerns for Children w	ith Asthma on the Navajo	Nation	
6. Manuscript Identifying Number (if you kn White-201708-674PS.R1	ow it)		
Section 2. The Work Under Co	onsideration for Publi	cation	
Did you or your institution at any time recei any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intered If yes, please fill out the appropriate info Excess rows can be removed by pressing	but not limited to grants, dest? Yes No ormation below. If you have	ata monitoring board, stu	dy design, manuscript preparation,
Name of Institution/Company	Grant	n-Financial Other?	Comments
NIH			to employer National Jewish Health
Section 3. Relevant financial	activities outside the	submitted work.	
Place a check in the appropriate boxes i of compensation) with entities as descri clicking the "Add +" box. You should rep Are there any relevant conflicts of intere	bed in the instructions. Uport relationships that we est? Yes No	se one line for each ent	tity; add as many lines as you need by
If yes, please fill out the appropriate info	ormation below.		
Name of Entity	Grant	n-Financial Other?	Comments
Phadia ThermoFisher			consulting (2017)
Merck Sharp & Dohme			speaker honoraria and travel (2016)



Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
Glaxo SmithKline				✓	DSM committee member and co- author (2016). No honoraria or support provided	
Section 4. Intellectual Prope	erty Pate	ents & Co	pyrights			
Do you have any patents, whether pla	nned, pend	ing or issue	ed, broadly releva	nt to the	work? Yes V No	
Section 5. Relationships no	t covered	above				
Are there other relationships or activit potentially influencing, what you wrot				nfluence	d, or that give the appearance of	
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✓ No other relationships/conditions/	circumstan	ces that pro	esent a potential (conflict o	i interest	
At the time of manuscript acceptance, On occasion, journals may ask authors	-				• •	ments.
Section 6. Disclosure States						
Disclosure Staten	nent					
Based on the above disclosures, this for below.	orm will auto	omatically	generate a disclos	sure state	ement, which will appear in the box	x
Dr. Liu reports grants from NIH, durin from Merck Sharp & Dohme, other fro						



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Bender 1



Section 1. Identifying Info	rmation					
1. Given Name (First Name) Bruce	2. Surname (Last Name) Bender	3. Date 26-January-2018				
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Lynn Gerald				
5. Manuscript Title Environmental concerns for children	with asthma on the Navajo I	Nation				
6. Manuscript Identifying Number (if you	ı know it)					
Section 2. The Work Under	Consideration for Public	cation				
	ling but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,				
Section 3. Relevant financi	al activities outside the s	submitted work.				
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes No						
Section 4. Intellectual Prop	oerty Patents & Copyri	ghts				
Do you have any patents, whether pl	anned, pending or issued, b	roadly relevant to the work? Yes V No				

Bender 2



Section 5.	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Bender has n	othing to disclose.

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Bender 3



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Gerald 1



Section 1. Identifying Info	ormation	
1. Given Name (First Name) Lynn	2. Surname (Last Name) Gerald	3. Date 26-January-2018
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Lynn B Gerald
5. Manuscript Title Environmental Concerns for Childre	en with Asthma on the Navajo	Nation
6. Manuscript Identifying Number (if yo White-201708-674PS.R1	ou know it)	
Section 2. The Work Under	r Consideration for Public	tation
• •		a third party (government, commercial, private foundation, etc.) for ta monitoring board, study design, manuscript preparation,
Are there any relevant conflicts of ir		
If yes, please fill out the appropriate Excess rows can be removed by pre		re more than one entity press the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal Nor	n-Financial Other? Comments
NHLBI grant	✓	
Arizona AHEC	✓	
Section 3. Relevant finance	cial activities outside the s	ubmitted work.
of compensation) with entities as de	escribed in the instructions. Us	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.
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Section 4. Intellectual Pro	perty Patents & Copyric	hts
Do you have any patents, whether p	planned, pending or issued, br	oadly relevant to the work? Yes V No

Gerald 2



Section 5. Polationships not severed above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Disclosure Statement
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Dr. Gerald reports grants from NHLBI grant, grants from Arizona AHEC, during the conduct of the study; .

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Lowe 1



Section 1. Ider	ntifying Information			
Given Name (First Nam Ashley	ne) 2. Surna Lowe	me (Last Name)		3. Date 26-January-2018
4. Are you the correspon	ding author? Yes	✓ No	Corresponding Author's Na Lynn B. Gerald, PhD, MSF	
5. Manuscript Title Environmental concern	ns for children with asthma	a living on the N	lavajo Nation	
6. Manuscript Identifying	Number (if you know it)			
Section 2. The	Work Under Considera	tion for Publ	ication	
	ed work (including but not lir		n a third party (government, co ata monitoring board, study de	ommercial, private foundation, etc.) for esign, manuscript preparation,
Section 3. Bolo	vant financial activitie		andomissa dunant	
Place a check in the ap of compensation) with	propriate boxes in the tabl entities as described in the x. You should report relati	e to indicate w e instructions. U	nether you have financial rel Ise one line for each entity; a	lationships (regardless of amount add as many lines as you need by nonths prior to publication.
Section 4. Intel	lectual Property Pat	ents & Copyr	ahts	
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Do you have any paten	ts, whether planned, pend	ling or issued, b	roadly relevant to the work	?

Lowe 2



Section 5.	
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Given Name (First Name) Aaron	2. Surname (Last Name) Kobernick	3. Date 23-February-2018
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Lynn B. Gerald, PhD
5. Manuscript Title Environmental Concerns for Children w	ith Asthma on the Navajo	Nation
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Royalties: Funds are coming in to you or your institution due to your patent

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administrative support, etc.



Section 1. Identifying Inform	nation		l <u> </u>
1. Given Name (First Name) Wayne	2. Surname (Last Nam Morgan	ne)	3. Date 04-December-2017
4. Are you the corresponding author?	Yes V No	Correspond Lynn B Ger	ling Author's Name rald
5. Manuscript Title Environmental Concerns for Children v	vith Asthma on the Na	vajo Nation	
6. Manuscript Identifying Number (if you k White-201708-674PS.R1	now it)		
Section 2. The Work Under C	Consideration for Pu	ıblication	
any aspect of the submitted work (includin statistical analysis, etc.)? Are there any relevant conflicts of inter	g but not limited to grant est? Yes Normation below. If you	ts, data monitoring	government, commercial, private foundation, etc.) fo board, study design, manuscript preparation, one entity press the "ADD" button to add a row
Name of Institution/Company	Grant? Personal Fees?	Non-Financial Support?	Other? Comments
NIH	✓		NIH grant funding the Navajo asthma outreach program
Section 3. Relevant financial	activities outside t	he submitted v	work.
of compensation) with entities as descri	ribed in the instruction port relationships that est? Yes	s. Use one line fo	ve financial relationships (regardless of amount or each entity; add as many lines as you need by uring the 36 months prior to publication.
Name of Entity	Grant? Personal Fees?	Non-Financial Support?	Other? Comments
NIH	V		NHLBI ORBEX asthma prevention trial site PI
NIH	✓		NHLBI Asthmanet - site investigator

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Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments		
NIH	✓				PARK NIAID Asthma Prevention Study - key investigator		
Cystic Fibrosis Foundation	✓				CFF DSMB infrastructure grant		
Cystic Fibrosis Foundation		✓			Consultant, Chair of CFF DSMB		
Cystic Fibrosis Foundation		\checkmark			Member CER/Registry Committee		
Cystic Fibrosis Foundation	✓				EPIC Observational Study Co-PI		
University of Arizona		\checkmark			Primary Employer		
American College of Chest Physicians		\checkmark			Speaker, ACCP Board Prep Course		
American Thoracic Society		✓			Speaker, ATS Postgraduate Course		
Genentech		✓			Co-chair Epidemiologic Study of Cystic Fibrosis		
NIH	✓				The Tucson Children's Respiratory Study - Key Investigator		
Section 4. Intellectual Property Patents & Copyrights Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes V No							
Costion 5	•		•				
Relationships not c	overed	above					
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?							
Yes, the following relationships/conditions/circumstances are present (explain below): Voother relationships/conditions/circumstances that present a potential conflict of interest							

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

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Section 6.

Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Morgan reports grants from NIH, during the conduct of the study; grants from NIH, grants from Cystic Fibrosis Foundation, personal fees from Cystic Fibrosis Foundation, grants from Cystic Fibrosis Foundation, personal fees from University of Arizona, personal fees from American College of Chest Physicians, personal fees from American Thoracic Society, personal fees from Genentech, outside the submitted work;

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Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

Grant: A grant from an entity, generally [but not always] paid to your organization

Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued **Issued:** The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether

earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

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Section 1.	Identifying Inform	nation		
1. Given Name (First Name) Teshia		2. Surname (Last Name) Solomon	3. Date 22-February-2018	
4. Are you the corresponding author?		Yes ✓ No	Corresponding Author's Name Lynn B. Gerald, PhD	
5. Manuscript Title Environmental Concerns for Children with Asthma on the Navajo Nati			Nation	
6. Manuscript Identifying Number (if you know it) White-201708-674PS.R1				
	1			
Section 2. The Work Under Consideration for Publication				
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes Vo				
Section 3.	Relevant financial	activities outside the s	submitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo				
Section 4.	Intellectual Proper	ty Patents & Copyric	ghts	
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo				

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Section 5. Relationships not severed above			
Relationships not covered above			
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Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.			
Dr. Solomon has nothing to disclose.			

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