

Supplemental Materials for

Canadian evidence-based guidelines for the first-line treatment of chronic lymphocytic leukemia

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Supplemental Table 1: Patient Fitness Evaluation

Study	Subgroup analysis
CLL8 FCR vs FC [1]	<ul style="list-style-type: none"> No difference in OS or PFS by age. Increased grade 3/4 toxicities in patients ≥ 65 years Increased grade 3/4 toxicities with moderate/high-grade comorbidity in at least one organ system vs low-grade comorbidity (81% vs 66%; $p < 0.001$)
CLL10 BR vs FCR [2]	<ul style="list-style-type: none"> PFS longer with FCR in patients < 65 years, but not in patients ≥ 65 years. No difference in PFS between FCR and BR in patients with CIRS 4-6 or > 1 CIRS item. Increased risk of infection with FCR for patients ≥ 65 years (47.7% vs 20.6%; $p < 0.001$)
CLL4/5 metaanalysis [3]	<ul style="list-style-type: none"> Patients with ≥ 2 comorbidities had shorter median OS than patients with < 2 comorbidities (71.7 months vs 90.2 months; $p < 0.001$); significant in both younger (CLL4) and older (CLL5) patients) Specific comorbidities predicting overall survival could not be identified Comorbidities did not influence myelotoxicity, infection or any SAEs. Doses of study drugs were more frequently reduced in patients with ≥ 2 comorbidities vs < 2 comorbidities (40% vs 31%; $P < 0.05$)
Metaanalysis [4]	<ul style="list-style-type: none"> Fludarabine does not confer significant benefit in PFS nor OS to adults ≥ 70 years A trend toward poorer OS with fludarabine versus chlorambucil in subgroup ≥ 70 years Addition of rituximab to fludarabine-containing regimens significantly improves both PFS and OS in younger and older patients
FCR [5, 6]	<ul style="list-style-type: none"> Age ≥ 70 years independently associated with inferior response to FCR Patients ≥ 70 years less likely to complete 6 cycles of therapy (46% vs 79%; $p < 0.001$) Dose reductions more common in patients > 60 years CrCl 30-70mg/ml: more cytopenias, dose reductions and early treatment discontinuations.

Study	Subgroup analysis
F arm of CALGB 9011 [7]	<ul style="list-style-type: none"> No association between age (≥ 70 vs < 70 years) and incidence of hematologic toxicity or infection during cycle 1 of treatment Strong association between CrCl and time-to-toxicity endpoint. Patients with CrCl < 80 ml/min had increased incidence of toxicity during treatment course ($P < 0.0001$)
BR [8]	<ul style="list-style-type: none"> No difference in PFS for patients with CrCl ≥ 70 ml/min vs CrCl < 70 ml/min No difference in PFS for patients ≥ 70 years vs < 70 years
PCR [9, 10]	<ul style="list-style-type: none"> No difference in PFS for patients ≥ 70 years vs < 70 years No difference in number of treatment cycles, dose reductions, or grade ≥ 3 toxicities for patients ≥ 70 years vs < 70 years No difference in PFS in patients with CrCl ≥ 70 ml/min vs CrCl < 70 ml/min

BR, bendamustine+rituximab; Clb, chlorambucil; CrCl, Creatinine clearance; F, fludarabine; FC, fludarabine+cyclophosphamide; FCR, fludarabine+cyclophosphamide+rituximab; GCLLSG, German CLL Study Group; OS, overall survival; PCR, pentostatin+cyclophosphamide+rituximab; PFS, progression free survival

Supplemental Table 2: RCTs – First-line Chemotherapy (pre-rituximab)

Reference	Treatment	Median Follow up	Patients	N	Response	PFS	OS	Toxicity (Grade ≥ 3)
[11-13] CALGB 9011	F vs Clb	62 months	Median age: 63 years (32-89) ECOG: 0-2	509	ORR: 63% vs 37%, $p < 0.001$ CR: 20% vs 4%, $p < 0.001$	Median: 20 months vs 14 months, $p < 0.001$ At 4 years: 21% vs 6%, $p < 0.001$	Median: 66 months vs 56 months, $p = 0.1$ At 8 years: 31% vs 19%, $p = 0.07$	All: 55% vs 44% $p = 0.05$ Major infection: 29% vs 17%; $p = 0.008$ Secondary malignancies similar in F vs Clb, except t-MN > in F arm
[14] LRF CLL4	FC vs F vs Clb	N/R	Median age: 65 years (35-86)	777	ORR: 94% vs 80% vs 72% $p < 0.0001$ (FC vs F) $p = 0.04$ (F vs Clb) CR: 38% vs 15% vs 7% $p < 0.0001$ (FC vs F) $p = 0.006$ (F vs Clb)	At 5 years: 36% vs 10% vs 10% $p < 0.00005$ (FC vs F) NS (F vs Clb)	NS	Neutropenia: 56% vs 41% vs 28%, $p < 0.0001$ Admission (> 1day): 38% vs 36% vs 22% $p < 0.0001$ Nausea/vomiting: 53% vs 28% vs 33%, $p < 0.0001$
[15] NAIT-E2997	F vs FC	N/R	Median age: 61 years (33-86) PS > 2 ECOG 0-2	278	ORR: 59.5% vs 74.3% $p = 0.013$ CR: 4.6% vs 23.4% $p < 0.001$	Median: 19.2 months vs 31.6 months, $p < 0.0001$	NS	All: 33% vs 50%, $p = 0.007$ No difference in severe infection

Reference	Treatment	Median Follow up	Patients	N	Response	PFS	OS	Toxicity (Grade ≥ 3)
[16] GCLLSG-CLL4	F vs FC	N/R	Age: ≤ 65 years Median age: 58 years ECOG 0-2	375	ORR: 83% vs 94% $p = 0.01$ CR: 7% vs 24% $p < 0.001$	Median: 20 months vs 48 months, $p = 0.001$	At 3 years: 80.7% vs 80.3%, NS	All: 54% vs 72.6%, $p = 0.001$ Myelotoxicity: 40% vs 64%, $p = 0.001$ Leukocytopenia: 26% vs 56%, $p < 0.001$ No difference in rate of infection
[17] GCLLSG-CLL5	F vs Clb	N/R	Age: 65-80 years Median age: 70 years ECOG: 0-2	193	ORR: 72% vs 51%, $p = 0.003$ CR: 7% vs 0% $p = 0.01$ TTF: 18 months vs 11 months $p = 0.004$	Median: 18 months vs 19 months, NS	Median: 64 months vs 46 months, NS	Myelotoxicity: 42% vs 23% $p = 0.005$
[18, 19]	Clb vs B	54 months	Age: ≤ 75 years WHO PS: 0-2	319	ORR: 31% vs 68%, $p < 0.0001$ CR: 10.8% vs 21%, p N/R Median TTNT: 10.1 months vs 31.7 months, $p < 0.0001$	Median: 8.8 months vs 21.2 months, $p < 0.0001$ Difference sustained in patients < 65 years and ≥ 65 years	Median: 78.8 months vs NR, NS	Neutropenia: 10.6% vs 23%, $p =$ N/R Leukopenia: 1.3% vs 14.3%, $p =$ N/R

Reference	Treatment	Median Follow up	Patients	N	Response	PFS	OS	Toxicity (Grade ≥ 3)
[20]	F vs CAP vs CHOP	70 months	Age: < 75 Years Median age: N/R	938	ORR: 71% vs 58% vs 71%, $p < 0.0001$ (for F vs CAP and CHOP vs CAP) CR: 40% vs 15% vs 30%, $p = 0.003$ (for F vs CAP and CHOP vs CAP)	Median: 31.7 months vs 27.7 months vs 29.5 months, $p = 0.09$	Median: 69 months vs 70 months vs 67 months, NS	Neutropenia: 38% vs 30% vs 38%, $p = 0.06$ Thrombocytopenia 15% vs 7% vs 8%, $p = 0.003$ Alopecia: 0 vs 15% vs 16%, $p < 0.0001$
[21]	Clb+theo vs Clb	48 months (mean)	Median age: Clb+theo: 58 years (44-76) Clb: 61 years (40-74)	210	PR: 35.7% vs 34.9%, $p = N/R$ CR: 25.7% vs 12.8%, $p = 0.01$	Median : 44 months vs 30 months , $p = 0.006$	Median : 56 months vs 55 months, $p = 0.371$	Rates of toxicities N/R
[22, 23] PALG-CLL2	2-CdA vs 2-CdAC vs 2-CdACM	N/R	Median age: 2-CdA: 61 years (28-81) 2-CdAC: 62 years (28-80) 2-CdACM: 59 (33-79) WHO-PS <4	508	ORR: 78% vs 83% vs 80%, $p = 0.1$ (2-CdA vs 2-CdAC), $p = 0.4$ (2-CdA vs 2-CdACM) CR: 21% vs 29% vs 36%, $p = 0.08$ (2-CdA vs 2-CdAC), $p = 0.004$ (2-CdA vs 2-CdACM)	Median: 23.5 months vs 22.4 months vs 23.6 months, $p = 0.49$	Median: 51.2 months vs NR vs NR, $p = 0.73$	Neutropenia: 20% vs 32% vs 38%, $p = 0.01$ (2-CdA vs 2-CdAC) $p = 0.004$ (2-CdA vs 2-CdACM)

Reference	Treatment	Median Follow up	Patients	N	Response	PFS	OS	Toxicity (Grade ≥ 3)
[24]	2-CdAP vs ClbP	N/R	Median age: 2-CdA+P: 61 years (31-92) Clb+P: 62 years (31-88) WHO-PS <4	229	ORR: 87% vs 57%, <i>P</i> = 0.0001 CR: 47% vs 12%, <i>p</i> = 0.0001	At 24 months: 46% vs 33% <i>p</i> = 0.01	At 24 months: 78% vs 82%, <i>p</i> = 0.6	Neutropenia (all): 23% vs 11%, <i>p</i> = 0.02 Infection (all): 56% vs 40%, <i>p</i> = 0.02
[25] PALG-CLL3	2-CdAC vs FC	38 months	Median age: 2-CdAC: 58 years (37-81) FC: 59 years (27-81) WHO-PS <4	395	ORR: 88% vs 82%, <i>p</i> = 0.11 CR: 47% vs 46% <i>p</i> = 0.25	Median: 2.34 years vs 2.27 years, <i>p</i> = 0.51	4-year estimate: 62.4% vs 60.6%, <i>p</i> = 0.16	Neutropenia: 20% vs 21%, <i>p</i> = 0.81 Thrombocytopenia: 12% vs 11%, <i>p</i> = 0.62 Infections: 28% vs 27%, <i>p</i> = 0.84
[26] CAM307	A vs Clb	24.6 months	Median age: A: 59 years (35-86) Clb: 60 years (36-83) WHO PS 0-2	297	ORR: 83% vs 55%, <i>p</i> < 0.0001 CR: 24% vs 2%, <i>p</i> < 0.0001	HR: 0.58, 95% CI (0.43-0.77) <i>p</i> = 0.0001	Median: NR vs NR	Infusion-related: 15.7% vs 0

2-CdA, cladribine; 2-CdAC, cladribine+cyclophosphamide; 2-CdACM, cladribine+cyclophosphamide+mitoxantrone; 2-CdAP, cladribine+prednisone; A, alemtuzumab; B, bendamustine; CAP, cyclophosphamide+prednisone+doxorubicin; CHOP, cyclophosphamide+vincristine+prednisone+doxorubicin; Clb, chlorambucil; Clb+theo, chlorambucil+theophylline; ClbP, chlorambucil+prednisone; CR, complete response rate; F, fludarabine; FC, fludarabine+cyclophosphamide; GCLLSG, German CLL Study Group; N/R, not reported; NR, not reached; NS, not significant; Ob, obinutuzumab; Obs, observation; Of, ofatumumab; ORR, overall response rate; OS, overall survival; PC, pentostatin+cyclophosphamide; PCOf, pentostatin+cyclophosphamide+ofatumumab; PCR, pentostatin+cyclophosphamide+rituximab; PFS, progression free survival; PR, partial response rate RCT, randomized controlled trial; Theo, theophylline

Supplemental Table 3: Phase II Studies – First-line Therapy

Chemoimmunotherapy								
Reference	Treatment	Median Follow up	Patients	N	Response	PFS	OS	Toxicity (Grade ≥ 3)
[5, 6, 27]	FCR	12.8 years	Median age: 57 years (17-86)	300	ORR: 95% CR: 72%	Median: 6.4 years At 12.8 years: 30.9%	Median: 12.7 years At 6 years: 77%	Neutropenia: 52% Infection: 2% 2 nd cancers in 101 patients
[28, 29]	FCR-lite	N/R	Median age: 58 (36-85)	63	ORR : 93% CR : 73%	At 5 years : 66.9%	At 5 years : 85.5%	Infection: 6% MDS: 3 patients
[30]	FCR-lite+Len	17.4 months	Median age: 62.5 years (42-75) ECOG 0-2	20	ORR: 90% CR: 75%	At 17.4 months: 95%	At 17.4 months: 95%	Leukopenia: 20.3% Neutropenia: 51.6%
[31]	FCR + GM-CSF	56 months	Median age: 55 years (35-77) ECOG 0-2	60	ORR: 100% CR: 75%	Median: NR	Median: NR	Neutropenia: 83% Infection: 16%
[32]	FCR-M	38.5 months	Age: < 70 years ECOG 0-2	30	ORR: 93% CR: 83%	Median: NR	Median: NR	Neutropenia: 67% No infection requiring hospitalization
[33]	FCR-A	25 months	Median age: 59 years (42-69)	60	ORR: 92% CR: 70%	Median: 38 months	Median: NR	Neutropenia: 33% Infection: 11%
[34]	FER	34 months	Median age: 65	38	ORR: 95% CR: 63%	Median: 61 months	Median: NR	Neutropenia: 56% No infection (grade ≥ 3)
[35, 36]	FR-con FR-seq	117 months	Median age: 65 years (36-86) CALGB PS ≤3	104	ORR: FR-con: 90% FR-seq: 77% CR: FR-con: 33% FR-seq: 15%	At 5 years (all): 28% Median (all): 42 months	At 5 years (all): 71% Median (all): 85 months	Neutropenia: 76% vs 39%

Reference	Treatment	Median Follow up	Patients	N	Response	PFS	OS	Toxicity (Grade ≥ 3)
[37]	FA	nr	Age ≤ 60 years Presence of high-risk genetic features	45	ORR: 95% CR: 30%	At 3 years: 42.5%	At 3 years: 79.9%	Neutropenia: 33% Infection: 11%
[9, 10]	PCR	26 months	Median age: 63 years (38-80) ≥ 70 years: 28% ECOG ≤ 3	64	ORR: 91% CR: 41%	Median: 32.6 months	Median: NR	All: 55% Neutropenia: 44%
[38]	PCof	24 months	Median age: 65 (50-83) ECOG ≤ 2	48	ORR: 96% CR: 46%	At 24 months: 89%	Median: NR	Hematologic toxicity: 27% Nonhematologic toxicity: 23%
[39]	PCof	22 months	Age ≥ 65 Median age: 72 years (65-83) ECOG 0-2 CIRS ≤ 6 CrCl ≥ 70 ml/min	47	ORR: 89.4% CR: 51.1%	Median: NR At 24 months: 69%	Median: NR At 24 months: 97.9%	Neutropenia: 53.2% Hospitalization: 6.4%
[40]	P+R	14 months	Median age: 65 (45-81) ECOG ≤ 3	33	ORR: 76% CR: 27%	24 months: 89%	Median: NR	Hematologic toxicity: 12% Nonhematologic toxicity: 15%
[8]	BR	27 months	Median age: 64 years (34-78) ECOG ≤ 2	117	ORR: 88% CR: 23%	Median EFS: 33.9 months	Median: NR At 27 months: 90.5%	Hematologic toxicity: 52.1% Nonhematologic toxicity: 41.6%
[41]	ClbR	30 months	Median age: 70 years (43 to 86) Median no. of comorbidities: 7 (0-20)	100	ORR: 84% CR: 10%	Median: 23.5 months	Median: NR At 30 months: 84%	Neutropenia and lymphopenia: 41% Leukopenia: 23% Anemia: 19% Thrombocytopenia: 18%

Monoclonal Antibody therapy

Reference	Treatment	Median Follow up	Patients	N	Response	PFS	OS	Toxicity (Grade ≥ 3)
[42]	R+GM-CSF	79 months	Age: ≥ 70 years	40	ORR: 59%	Median: 15 months	At 7 years: 67%	2 patients experienced serious infection
[43]	Ob (1000) Ob (2000)	20.3 months	Median age: 67 years (34-91) ECOG ≤ 2	80	ORR: 49% vs 67% <i>p</i> = 0.08 CR: 5% vs 20% <i>p</i> < 0.05	At 18 months: 59% vs 83%	Median: NR	All: 55.0% vs 65% Neutropenia: 30% vs 31.6%
[44]	AR-st AR-lo	24.6 months	Median age: 76 years (67-92) ECOG ≤ 2	25	ORR: 90% CR: 45%	Median: AR-st:12.8 months AR-lo:23.3 months	Median: NR	Neutropenia: AR-st:75% AR-lo:47% <i>p</i> = 0.15
[45]	AR	58.3 months	Median age: 58 years (28-80) ECOG ≤ 2	30	ORR: 70% CR: 23%	At 58.3 months: 80%	Median: NR	Neutropenia: 30%

Lenalidomide

Reference	Treatment	Median Follow up	Patients	N	Response	PFS	OS	Toxicity (Grade ≥ 3)
[46, 47]	Len	48 months	Age ≥ 65 Median age: 71 years (65-84) ECOG 0-3	60	ORR: 65% CR: 15%	At 2 years: 60% Median: NR	At 4 years: 82%	Neutropenia: 88% Thrombocytopenia: 47% Severe infection: 13% 1 fatality
[48, 49]	Len	53.2 months	Median age: 60 years (33-78) ECOG 0-3	25	ORR: 72% CR: 20%	At 3 years: 64.6% Median: 40.4 months	At 3 years: 85.3%	Neutropenia: 72% Thrombocytopenia: 28% Severe infection: 36%

Reference	Treatment	Median Follow up	Patients	N	Response	PFS	OS	Toxicity (Grade ≥ 3)
[50]	Len+R	20 months	Arm A: < 65 years Median: 57 years (45-64) Arm B: ≥ 65 years Median: 70 years (65-80) ECOG 0-2	Arm A: 40 Arm B: 29	Arm A: ORR: 95% CR: 20% Arm B: ORR: 79% CR: 10%	Arm A median: 19 months Arm B median: 20 months	Median: NR	Neutropenia: Arm A: 53% Arm B: 66%

A, alemtuzumab; AR, alemtuzumab+rituximab; BR, bendamustine+rituximab; ClbR, chlorambucil+rituximab; CR, complete response rate; CrCl, Creatinine clearance; FCA, fludarabine+cyclophosphamide+alemtuzumab; FCR, fludarabine+cyclophosphamide+rituximab; FR, fludarabine+rituximab; GM-CSF, granulocyte macrophage colony stimulating factor; Len, lenalidomide; Len+R, lenalidomide+rituximab; N/R, not reported; NR, not reached; NS, not significant; Ob, obinutuzumab; Obs, observation; ORR, overall response rate; OS, overall survival; PC, pentostatin+cyclophosphamide; PCOf, pentostatin+cyclophosphamide+ofatumumab; PCR, pentostatin+cyclophosphamide+rituximab; PFS, progression free survival; P+R, pentostatin+rituximab

Supplemental Table 4: RCTs - Maintenance Drug Therapy After First-line Treatment

Reference	Induction Therapy	Maintenance Therapy	Median Follow up	Patients	N	Response	PFS	OS	Toxicity (Grade ≥ 3)
[51]	FCR (71%) BR (21%) Other (8%) First-line (80%) Second-line (20%)	R (maint) vs Obs	33.4 months	Median age: 63 years (35-85) ECOG 0-1 CR, Cri, or PR after induction	263	Conversion of PR to CR or Cri: 13% vs 2%	Median: 47.0 months vs 35.5 months $p =$ 0.00077 Median TNT: NR vs 47.3 months $P=0.0051$	NR	Neutropenia: 21% vs 11% Infection: 20% vs 8%

Reference	Induction Therapy	Maintenance Therapy	Median Follow up	Patients	N	Response	PFS	OS	Toxicity (Grade ≥ 3)
[52] (Abstract)	FCR (4 cycles)	R (maint) vs Obs	43.6 months	Age ≥ 65 years; Median age: 71.3 years Fit, no del(17p) PR or CR after induction	409	N/R	Median: 59.3 months vs 49.0 months $p = 0.0011$ At 3 years: 83.0% vs 64.2%	At 3 years: 92.6% vs 87.2%	Hematological toxicity: 6.9% vs 1.9% $p = 0.027$ Infections: 18.8% vs 10.1% $p = 0.036$
[53]	FCR, BR, or FC	Len (maint) vs Obs	17.9 months (Recruitment closed prematurely due to poor accrual)	Median age: 64 years (57-69) High risk: ≥ intermediate MRD + IGHV-U or TP53 aberration CR, Cri, or PR after induction	85	MRD status at cycle 12: Negative (7% vs 0); Intermediate (48% vs 22%); Positive (44% vs 78%)	Median: NR vs 13.3 months	NE	Neutropenia: 34% vs 6% GI disorders: 13% vs 0 Infections: 15% vs 9%

BR, bendamustine+rituximab; Con, consolidation; CR, complete response rate; Cri, complete response with incomplete blood count recovery; FCR, fludarabine+cyclophosphamide+rituximab; IGHV-U, unmutated IGHV gene; Len, lenalidomide; Maint, maintenance; MRD, minimal residual disease; N, number of patients; N/R, not reported; NE, not evaluable; NR, not reached; Obs, observation; ORR, overall response rate; OS, overall survival; PCOf, pentostatin+cyclophosphamide+ofatumumab; PFS, progression free survival; PR, partial response rate; R, rituximab; TFS, treatment-free survival

Supplemental Table 5: Phase II Studies – Maintenance and/or Consolidation Drug Therapy After First-line Treatment

Reference	Induction Therapy	Maintenance Therapy	Median Follow up	Patients	N	Response	PFS	OS	Toxicity (Grade ≥ 3)
[54, 55]	FCR-M	R (maint)	48.7 months	Median age: 60 (35-70) CR or PR after induction	64	CR (MRD-neg): 40.6% CR (MRD-pos): 40.6% PR: 4.8% TF: 14% Improved response: 21%	At 4 years: 74.8% Median: NR	At 4 years: 93.7% Median: NR	2 deaths due to adverse events Serious infection: 25%
[56]	FR	R (con + maint) vs Obs	26 months	Median age: 60 (35-70) CR or PR (MRD-pos) after induction: R or Obs MRD-neg: Obs	71 MRD-pos: 28 R vs 18 Obs MRD-neg: 25 Obs	N/R	At 5 years: 87% vs 32% $p = 0.001$	At 5 years: 90% for all patients	Few SAEs
[57]	ClbR	R (maint) vs Obs	34.9 months	Age ≥ 60 Median age: 70 (61-84) CR, CRi or PR after induction	66 randomized to R or Obs post-induction	ORR: 55.9% vs 34.4% $P = 0.079$ (Among 50 PR/nPR randomized after induction, ORR: 56.7% vs 26.7% $p = 0.027$)	Median: 38.2 vs 34.7 months At 3 years: 48.6% vs 31.8% $p = 0.07$	N/R	SAEs equal across both arms; only one R-related event (neutropenia)

Reference	Induction Therapy	Maintenance Therapy	Median Follow up	Patients	N	Response	PFS	OS	Toxicity (Grade ≥ 3)
[58]	FR (4 cycles)	A (con)	41 months	Median age: 60 (40-80) Rai II-IV requiring treatment ECOG 0-2	34 started Ale, only 20 completed prescribed dose	ORR: 76% CR: 21%	Median: 42 months At 4 years: 47%	At 4 Years: 82%	Neutropenia: 12% Thrombocytopenia: 9% Infusion-related: 21% Infection: 21%
[59, 60]	FR	A (con)	36 months	CR, PR or SD after induction	58 received A consolidation	ORR: 90% CR: 57% 61% of patients achieving PR after induction attained CR after A; 42% became MRD-neg	Median: 36 months 2 years: 72%	2 years: 86%	7 patient deaths due to infection following A consolidation
[61]	PCOf	Of (con)	33 months	ECOG 0-2 CR or PR after induction	28	ORR: 96% CR:32% 25% had improvement in depth of response after Of con	Median TFS: NR TFS at 36 months: 84%	N/R	2 deaths due to sepsis Neutropenia: 42% Infection: 6%
[62]	PCR	Len (con)	37 months		34 initiated Len consolidation	24% improved response with Len CR: 38.3 after induction to 52.99 after Len	Median TFS: NR TFS at 37 months: 75%	At 37 months : 86.4%	Hematologic toxicity : 65%

A, alemtuzumab; ClbR, chlorambucil+rituximab; Con, consolidation; CR, complete response rate; Cri, complete response with incomplete blood count recovery; FCR-M, fludarabine+cyclophosphamide+rituximab+mitoxantrone; FR, fludarabine+rituximab; Len, lenalidomide; Maint, maintenance; N, number of patients; N/R, not reported; NR, not reached; Obs, observation; Of, ofatumumab; ORR, overall response rate; OS, overall survival; PCOf, pentostatin+cyclophosphamide+ofatumumab; PFS, progression free survival; PR, partial response rate; R, rituximab; TFS, treatment-free survival

Supplemental Table 6: RCTs – Autologous Transplant After First-line Treatment

Reference	Patients	Treatment	N	EFS	OS	Toxicity (Grade ≥ 3)
[63]	1st and 2nd line, response after induction	After 1st or 2nd line treatment (various): Obs vs ASCT	223	5 years: 24% vs 42% $p < 0.001$	5 years: 84.3% vs 85.5% NS	Low rates of grade3/4 toxicity in each group
[64]	1st line, CR after induction	CHOP/F -> Obs vs CHOP/F -> ASCT	105	3 years: 35.5% vs 79.8% $p = 0.003$	3 years: 97.8% vs 95.7% NS	Low rates of grade3/4 toxicity in each group
[64]	1st line, PR after induction	CHOP/F -> DHAP -> FC vs CHOP/F -> DHAP -> ASCT	94	3 years: 48.9% vs 44.4% NS	3 years: 87.0% vs 81.7% NS	Low rates of grade3/4 toxicity in each group
[65]	1st line, CR after induction	CHOP + CHOP maintenance vs CHOP + ASCT	82	Median: 22 vs 53 months $p < 0.0001$	Median: 104.7 months vs 107.4 months NS	Secondary malignancies: 15% vs 13% Infection: 11.5% vs 34.5% (1 fatal) $p = N/R$
[66]	< 65 years Binet Stage B or C 1st line treatment + con/maint	FCR + R maintenance vs FCR + (HDT + ASCT)	96	5 years: 65.1 months vs 60.4 months NS	5 years: 88.1% vs 88% NS	Bacterial infection: 19% vs 35.4% $p = 0.067$ Treatment related deaths: 6% vs 6%

ASCT, autologous stem cell transplant; CHOP, cyclophosphamide+vincristine+prednisone+doxorubicin; Con, consolidation; CR, complete response rate; EFS, event-free survival; FCR, fludarabine+cyclophosphamide+rituximab; HDT, high-dose therapy; Maint, maintenance; N/R, not reported; NS, not significant; Obs, observation; OS, overall survival; PR, partial response rate RCT, randomized controlled trial.

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