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# BMJ Open

## Hypertension and Frailty: a Systematic Review and Meta-analysis

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## Hypertension and Frailty: a Systematic Review and Meta-analysis

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**ABSTRACT**

**Objective** - To review studies assessing the association of hypertension and frailty in observational studies.

**Design** - A systematic review of the PubMed, Web of Science, and Embase databases was performed. A meta-analysis was performed if at least three studies used the same definition of frailty and a dichotomous definition of hypertension.

**Setting, participants and measures** - Studies providing information on the association between frailty and hypertension in adult persons, regardless of the study setting, study design, or definition of hypertension and frailty were included.

**Results** - Among the initial 964 articles identified, 27 were included in the review. Four longitudinal studies examined the incidence of frailty according to baseline hypertension status, providing conflicting results. Twenty-three studies assessed the cross-sectional association between frailty and hypertension: 13 of them reported a significantly higher prevalence of frailty in hypertensive participants and 10 found no significant association. The pooled prevalence of hypertension in frail individuals was 72% (95% Confidence Interval [95%CI] 66%-79%) and the pooled prevalence of frailty in individuals with hypertension was 14% (95%CI 12%-17%). Five studies, including a total of 7,656 participants, reported estimates for the association between frailty and hypertension (pooled OR 1.33; 95%CI 0.94-1.89).

**Conclusions** - Frailty is common in persons with hypertension. Given the possible influence of frailty on the risk-benefit ratio of treatment for hypertension and its high prevalence it is important to assess the presence of this condition in persons with hypertension.

**PROSPERO REGISTRATION NUMBER:** CRD42017058303

**Keywords:** Frailty; Hypertension

**Article Summary - Strengths and limitations of this study**

- A greater number of potentially eligible articles were screened and included in the review.
- Absence of evident publication bias, and low-to-moderate risk of methodological bias increase the reliability of our findings.
- Heterogeneity in the definitions of frailty and hypertension across studies.
- Cross-sectional design of most studies included in the review which limits the opportunity of generating hypotheses regarding a causal link between the conditions of interest.

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## INTRODUCTION

The accumulation of biological deficits and dysfunctions occurring with age impairs the homeostatic balance of organisms, leading to a condition called “frailty”. Frailty confers extreme vulnerability to stressors and increases the risk of a range of adverse health-outcomes (1). Its prevalence ranges between 8% and 16% in community-dwelling older adults (2,3) and it is associated with shorter survival, poor quality of life, and increased risk of disability, hospitalization, and institutionalization (4). Frailty has been shown to be correlated with morbidity and mortality in persons suffering from cardiovascular disease, and it was suggested that the recognition of frailty status can help physicians in establishing prognosis, determining procedural risks, and guiding treatments (5). In some cases, the assessment of frailty may be critical in guiding the patient towards a certain therapeutic choice (6).

Several studies have assessed the association of frailty with hypertension. In older adults, it has been suggested that frailty can explain the paradoxical relationship between lower blood pressure and increased mortality documented in several studies (7-10). For example, data from the National Health and Nutrition Examination Survey (NHANES) demonstrated an effect modification of hypertension according to frailty level in terms of walking speed (11); in fit persons, elevated blood pressure was associated with greater mortality, while in frail participants higher blood pressure was associated with lower mortality risk. The SPRINT trial showed that compared to standard blood pressure control, intensive control confers a benefit on cardiovascular morbidity and mortality both in frail and non-frail persons, but this study did not show any effects of intensive blood pressure control on risk of frailty related outcomes, such as gait speed and mobility limitation (12,13). Notably, the hypertension clinical practice guidelines released in 2017 precisely point out that blood pressure lowering therapy is one of the few interventions shown to reduce mortality risk in frail older individuals (14).

Assessing the association of frailty and hypertension may be the first step for understanding their complex interplay and might ultimately lead to optimize the treatment of hypertension and to set therapeutic goals in persons with frailty. However, the evidence on the association between these conditions has never been comprehensively summarized. The aim of the present study is to systematically review the literature, and provide pooled estimations of evidence regarding the association of frailty and hypertension.

## METHODS

We reviewed studies providing information on the association between frailty and hypertension in adult persons (*i.e.* 18 years old or older), regardless of the study setting, study design, or definition of hypertension and frailty. The protocol of the present study was registered in the international prospective register of systematic reviews PROSPERO (registration number 58303). This systematic review was carried out in accordance with the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) recommendations.

### Data sources and searching

We searched three databases for relevant articles published from 01/01/2002 to 26/10/2017: 1) PubMed electronic database of the National Library of Medicine, 2) Web of Science and; 3) Embase. The detailed search queries are reported in the Appendix. References from the selected papers and from other relevant articles were screened for potential additional studies.

### Study selection and data extraction

Two assessors independently screened the title and abstract of the selected studies. The inclusion criteria were: 1) Articles reporting information on the association of frailty with hypertension or blood pressure (BP) values; 2) Articles in English or another European language; 3) Study design: cross-sectional, case-control, or cohort studies. Articles were excluded if they 1) Did not investigate the aims of the review; 2) Included persons younger than 18 years; 3) Did not report original data (e.g., editorial, review, or congress abstract); 4) Did not provide an explicit definition of frailty and; 5) If frailty was assessed only with a single symptom/measure (e.g. only gait speed or grip strength); 6) Were not in English or another European language. The full text of the articles selected by one or both of the assessors were retrieved for full evaluation. Two assessors read the full texts and independently extracted the information from the selected studies. A third assessor reviewed the data extraction, and any disagreement was resolved through consensus. Articles that were written in another European language than English were sent for translation by a native speaker who conducted the data extraction.

### Assessment of risk of bias

Quality of the studies was evaluated independently by the two assessors with the qualitative evaluation of observational studies Newcastle Ottawa Scale (NOS). Any disagreement in quality assessment was resolved through consensus. Studies scoring >7 were considered at low risk of bias, scores of 5-7 indicated moderate risk of bias, and scores of <5 indicated high risk of bias.

### Statistical analysis

For each measure of interest (*i.e.* proportions and association estimates), a meta-analysis was performed if at least three studies used the same definition of frailty and a dichotomous definition of hypertension (rather than using continuous BP values). Considering the observational design of the

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3 retrieved studies, and the methodological differences potentially responsible for a significant share  
4 of the variance within the measures of interest, the pooled estimates were obtained through random  
5 effect models and Mantel-Haenszel weighting. Lack of homogeneity within the pooled studies was  
6 tested through the  $I^2$  statistics (significant if  $\geq 50\%$ ). Additional analyses were performed selecting 1)  
7 Studies with NOS $\geq 5$ , in order to exclude studies with high risk of methodological bias; 2) Studies with  
8 a sample size  $\geq 500$  participants. Publication bias was assessed by mean of the Egger's and the Begg's  
9 tests. All statistical analyses were performed with STATA version 14 (StataCorp, TX, USA). A P value  
10  $< 0.05$  was considered statistically significant for all analyses.  
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### 15 **Patient and public involvement**

16 Patients and public were not involved in this study.  
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## RESULTS

Through the literature search, we retrieved 1369 articles (**Figure 1**). An additional 8 articles were identified after reading references from the selected papers. Out of 1369 articles, 670 (48.9%) were screened after duplicates removal. Of these, 604 were excluded after screening and 34 after full-text reading. Thirty-two articles were part of the final qualitative and/or quantitative assessment (15-46) (see table e1 in the Appendix).

### Study description

The studies' sample size ranged from 56 to 144403 participants, with a mean age ranging from 60 to 81 years. Only 4 studies had a longitudinal design (15-18). Most studies included community-dwelling participants, and only 3 studies included in-hospital participants (41,45,46). Most of the studies were carried out in Asia (n=10), Europe (n=9) and South America (n=9), and fewer in North America (n=4).

**Frailty and hypertension definitions.** Most of the studies (n=23) defined frailty according to the Cardiovascular Health Study (CHS) criteria (15-17,19,20,22,23,25-28,32,34-37,39-45). The rest of the studies evaluated frailty based on a frailty index (n=6) (18,21,24,30,36,38), by a composite score (n=3) (29,31,33) or using the Clinical Frailty Scale (n=1) (46). One study assessed frailty adopting both CHS criteria and FI (36).

In the longitudinal studies, frailty incidence ranged from 3% to 16%, in cross-sectional studies, frailty prevalence ranged from 3% to 68%. A diagnosis of hypertension was reported in 28 studies (15-21,23-35,37,39-45), while 3 studies analyzed BP as a continuous variable (22,36,46) and 1 classified BP in 4 groups (38). Diagnosis of hypertension was based on a BP cut-point in 12 studies (15,16,20,26,27,29-32,34,37,39), assessed only by self-reported in 5 studies (19,23,41,43,44), based on evaluation of medical records in 1 study (33) and on pharmacological treatment in 1 study (21). In 9 studies, hypertension diagnosis was not defined (17,18,24,25,28,35,40,42,45). Prevalence of hypertension ranged from 28% to 100%.

**Assessment of risk of bias.** The majority of the studies presented a moderate risk of bias (n=25), and six studies presented a high risk, according to the NOS. In most of the cases, the self-reported nature of information was responsible for a lower score. However, according to the Egger's and the Begg's tests, no strong evidence of publication bias was detected in our meta-analyses (P=0.150 and P=0.987, respectively).

### Association between hypertension and frailty

**Longitudinal studies.** Four longitudinal studies examined the risk of incidence of frailty according to baseline hypertension status. Two studies found that baseline hypertension did not significantly predict incidence of frailty (15,18), but Boullion et al found that hypertension was associated with an

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3 increased incidence of the combined outcome prefrailty/frailty ( $p=0.009$ ) (16). However, data from  
4 this study were not adjusted for possible confounders. Similarly, Castrejon Perez et al (17) found that  
5 hypertension was associated with incident frailty at univariate analysis ( $HR=2.11$ , 95%CI 1.03-4.31),  
6 but this association was not confirmed in the multivariate analysis ( $HR=1.58$ , 95%CI 0.83-3.01).  
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9 **Cross-sectional studies.** Twenty-three studies assessed the cross-sectional association between  
10 frailty and hypertension (19,20,23-35,37,39-45). Results were very different across studies, with 13  
11 studies reporting a significantly higher prevalence of frailty in hypertensive participants (20,24-  
12 26,29,30,31,32,34,35,37,42,43) and 10 finding no significant association (19,23,27,28,33,39-  
13 41,44,45).  
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17 Seventeen of these studies assessed frailty by the use of CHS criteria, for a total sample of 23304  
18 individuals (19,20,23,25,26,28,32,34,35,37,39-45). Analyzing data from these studies, the pooled  
19 prevalence of hypertension in frail individuals was 72% (95% Confidence Interval [95%CI] 66% to  
20 79%;  $I^2=93.1\%$ ; **Figure 2a**) and the pooled prevalence of frailty in individuals with hypertension was  
21 14% (95% CI 12% to 17%;  $I^2=96.2\%$ ; **Figure 2b**).  
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25 Three studies assessed blood pressure as a continuous variable, finding conflicting results: one study  
26 showed significantly higher SBP and DBP values in frail participants (22), while in two other studies  
27 frailty was associated with significantly lower blood pressure values (36,46). A small study including  
28 only participants receiving pharmacological treatment for hypertension, showed an inverse  
29 association between blood pressure levels and frailty (21). Finally, a large study performed in more  
30 than 140000 community dwelling older adults aged  $\geq 80$  years, classified SBP in 5 groups, showing  
31 that frailty was associated with lower SBP (38).  
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35 Among studies adopting the CHS definition of frailty and a dichotomous definition of hypertension, 5  
36 reported estimates (odds ratios) for the association between frailty and hypertension, for a total  
37 sample of 7656 individuals (27,37,40,43,45). The pooled estimate for the association of frailty and  
38 hypertension based on these studies was 1.33 (95% CI 0.94 to 1.89;  $I^2=79.2\%$ ; **Figure 3**). These results  
39 were confirmed when only studies with  $NOS \geq 5$  (OR 1.39; 95% CI 0.70 to 2.75;  $I^2=88.1\%$ ) or studies  
40 with a sample size  $\geq 500$  participants (OR 1.25; 95% CI 0.79 to 1.99;  $I^2=88.4\%$ ) were analyzed.  
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## DISCUSSION

This systematic review and meta-analysis shows that 7 out of 10 frail adults have hypertension, while about 1 out of 7 hypertensive adults present with frailty. In addition, this study shows that the association between frailty and hypertension is uncertain: few longitudinal studies have assessed the impact of hypertension on incident frailty, providing conflicting results. Further, no studies have been performed to examine whether frailty predicts incident hypertension. Finally, the meta-analysis of cross sectional studies failed to find a significant association between these conditions.

Frailty has become a high-priority theme in cardiovascular medicine due to the aging and the increasingly complex nature of patients suffering for cardiovascular conditions (5,6). This is confirmed by the observation that 14% of persons with hypertension are frailty. Frailty might indeed influence the therapeutic choices for many cardiovascular diseases. For example, assessment of frailty is considered important for determining which patients are likely to benefit from the treatment of aortic stenosis or left ventricular assist device therapy, in terms of both survival and improved quality of life (47,48).

Similarly, therapeutic choices in hypertension might be influenced by presence of frailty. First, frail older people are almost always excluded from randomized controlled trials (RCTs) assessing the effects of treatments of cardiovascular diseases, including hypertension. Logistic barriers limiting the retention in the study, the higher propensity to present adverse effects from the treatments and the higher drop out for mortality of frail individuals are the main causes for exclusion from RCTs. (49). This limits the generalizability of RCTs findings and makes difficult estimating the efficacy and safety of treatments for chronic diseases in persons with frailty. This is extremely important if we consider that according to our results 70% of frail individuals present also with hypertension. In this context, the SPRINT trial showed that compared to standard blood pressure control, intensive control leads to a benefit on cardiovascular morbidity and mortality both in frail and non-frail persons (12), but this trial excludes persons with various chronic diseases, cognitive impairment, psychiatric disorders, and those institutionalized or at risk of poor medication adherence. The lack of evidence regarding the treatment of hypertension in frail older people has been highlighted in the recently issued guidelines for the management of hypertension that recognize the role of blood pressure lowering therapy as one of the few interventions to reduce mortality risk in frail older individuals, but did not make any specific recommendations regarding treatment of hypertension in frailty individuals (14).

Second, frailty is associated with limited life expectancy; estimates from the SHARE study suggests that life expectancy for frail individuals at age of 70 years ranges between 0.1 and 1.8 years in men and between 0.4 and 5.5 years in women (50). This clearly suggests that several preventive treatments for chronic diseases, including hypertension, might have limited benefits in persons with

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3 frailty, given that the time-until-benefit might exceed the actual life expectancy of the frail  
4 individuals.

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6 Third, frailty is associated with an increased rate of negative events associated with  
7 pharmacological treatments. Cullian et al. showed that frail inpatients were twice as likely to develop  
8 an adverse drug reaction compared to robust persons (51). Finally, frailty might be associated with  
9 unintentional non-adherence. A recent study of 300 hypertensive patients aged 65 to 91 years,  
10 showed that frailty is associated with a significant reduction in treatment adherence (52).

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12 These data underline the importance of assessing frailty when treating hypertension and  
13 possibly to set individual targets of blood pressure control for persons with frailty. Interestingly, in  
14 the SPRINT trial frail participants in the intensive blood pressure control group, experienced a  
15 significantly lower reduction of systolic blood pressure compared with non-frail participants (10.8 vs.  
16 13.5 mm Hg,  $p=0.01$ ), underling possible difficulties in lowering blood pressure in frail persons (12).

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18 The meta-analysis of cross-sectional studies did not show any significant association between  
19 frailty and hypertension. Chronic diseases, including hypertension, are considered to be major  
20 determinants of frailty in theoretical models, and the negative effect of hypertension on  
21 cardiovascular outcomes can lead to frailty (53). However, our findings might be explained by the  
22 fact that cross-sectional data assess a single time-point and are unable to evaluate the role of  
23 hypertension at differing stages of the frailty process.

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25 Only four longitudinal studies assessed the impact of hypertension on incident frailty,  
26 providing conflicting results. This observation is in line with results of RCTs that were not able to  
27 show any impact of treatment of hypertension on onset of frailty (13,54). A further explanation could  
28 be that that persons developing frailty related to functional impairment might be more likely to be  
29 lost to follow-up, and this selective drop out makes it difficult to draw any firm conclusions about the  
30 effect of the treatment on these frailty-related outcomes (55).

### 31 32 **Strengths and limitations**

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34 The major strength of the present study is its comprehensive literature search that, together  
35 with the careful study selection and quality assessment, provides a reliable overview of the evidence  
36 in this field. Moreover, the generalizability of our findings is enhanced by the representativeness of  
37 the retrieved studies that mainly involved community-dwelling adults and older adults. However, our  
38 findings must be read in light of several limitations. First, we detected a significant heterogeneity  
39 among the studies that was only partially buffered by subgroup analyses. The different definitions of  
40 frailty and hypertension, the use of adapted scales and the demographic differences encountered,  
41 might explain such high level of heterogeneity. However, the absence of evident publication bias,  
42 and the low-to-moderate risk of methodological bias increase the reliability of our findings. Second,  
43 the cross-sectional design of 28 out of 32 studies limits the opportunity of generating hypotheses

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3 regarding a causal link between the conditions of interest. In addition, the three longitudinal studies  
4 retrieved by our literature search, provided conflicting evidence on the association between frailty  
5 and hypertension. Finally, most of the studies included in the review were not aimed to assess  
6 hypertension and its relationship with frailty. For this reason, hypertension was poorly defined in  
7 most studies and this might lead to possible concerns about the methodology used to assess this  
8 condition.  
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## 12 **CONCLUSION**

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14 The present study shows that frailty is common in persons with hypertension. Given the possible  
15 influence of frailty on risk-benefit ratio of treatment for hypertension and its high prevalence it is  
16 important to assess the presence of this condition in persons with hypertension. In addition, limited  
17 studies assessing the association of these conditions are available. Further research, including a more  
18 rigorous and agreed assessment of frailty, and based on longitudinal designs, is needed to untangle  
19 the relationship between frailty and hypertension and to allow for the identification of pros and the  
20 cons of the pharmacological treatment, and possible targets for therapy in this population, leading  
21 ultimately to the development of specific recommendations for the treatment of hypertension in frail  
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**AUTHORS CONTRIBUTIONS**

Conception of the work: DLV, KP, GO. Articles evaluation DLV, KP. Data analysis: DLV. Results interpretation: all the co-authors. Drafting the article: DLV, GO. Critical revision of the manuscript: all the co-authors. Final approval of the manuscript: all the co-authors. All the authors fulfill the ICMJE criteria for authorship.

**DISCLAIMER**

The authors declare no financial relationships with any organisations that might have an interest in the submitted work, no other relationships or activities that could appear to have influenced the submitted work.

**COMPETING INTERESTS**

None

**PATIENT CONSENT**

Not required

**DATA SHARING STATEMENT**

All data are available within the appendices.

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**Legend to Figures**

Figure 1 - Systematic review and meta-analysis flow-chart

Figure 2a - Proportion of participants presenting with hypertension among those with frailty. Frailty was defined according to the CHS criteria.

Figure 2b - Proportion of participants presenting with frailty among those with hypertension. Frailty was defined according to the CHS criteria.

Figure 3 - Cross-sectional association of frailty (CHS criteria) with hypertension. Frailty was defined according to the CHS criteria.

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Figure 1

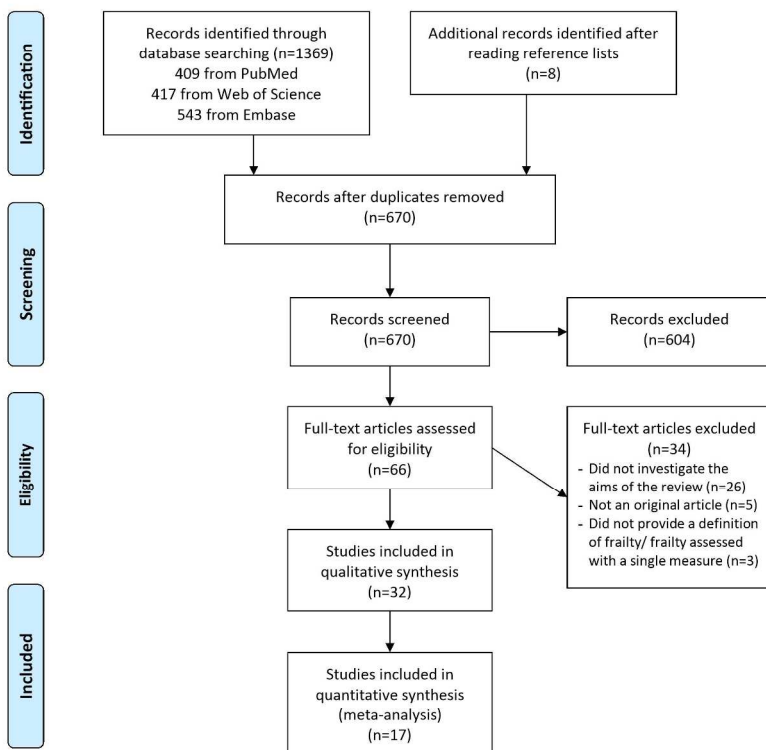


Figure 1 - Systematic review and meta-analysis flow-chart

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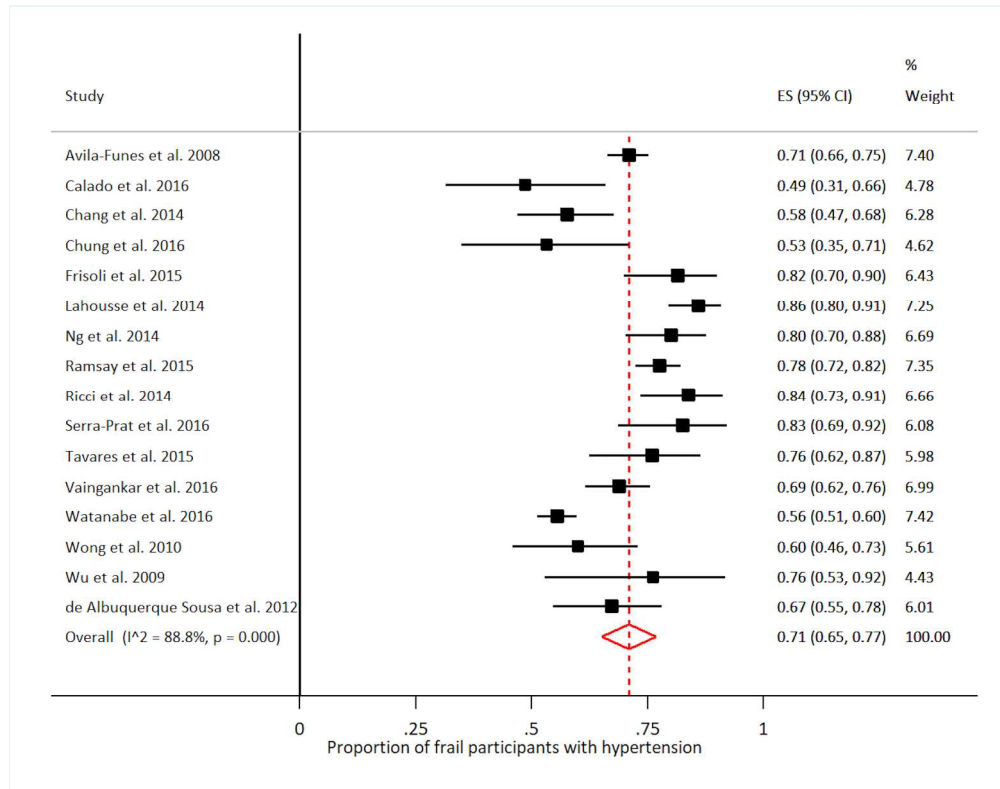


Figure 2a - Proportion of participants presenting with hypertension among those with frailty. Frailty was defined according to the CHS criteria.

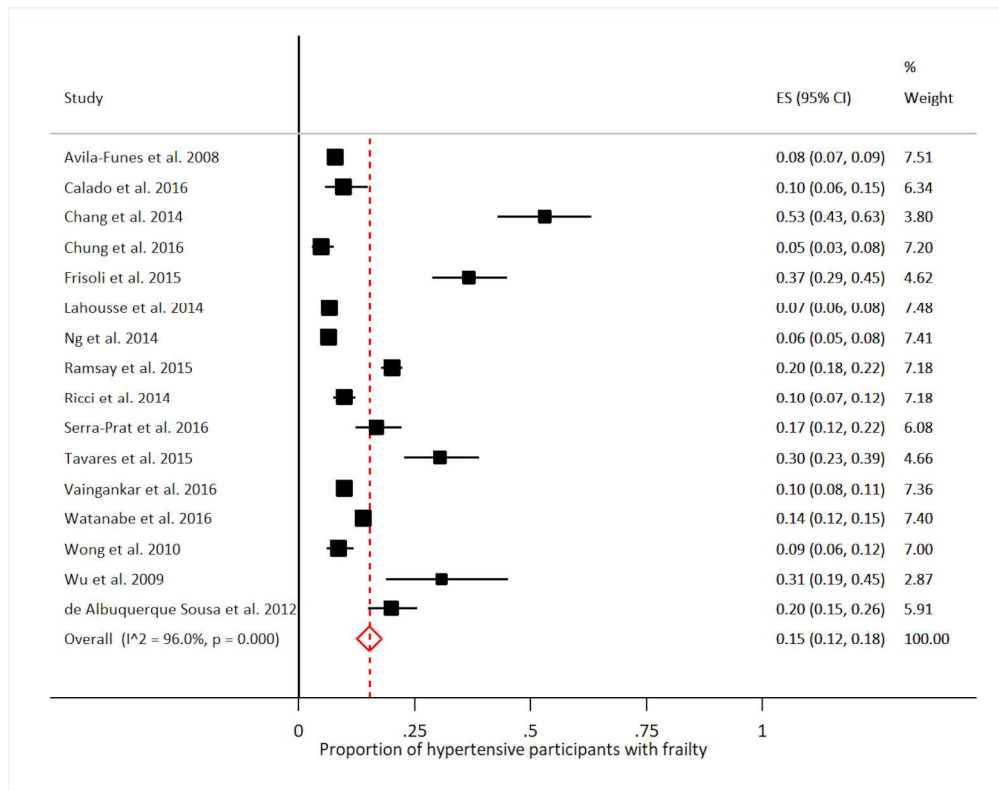
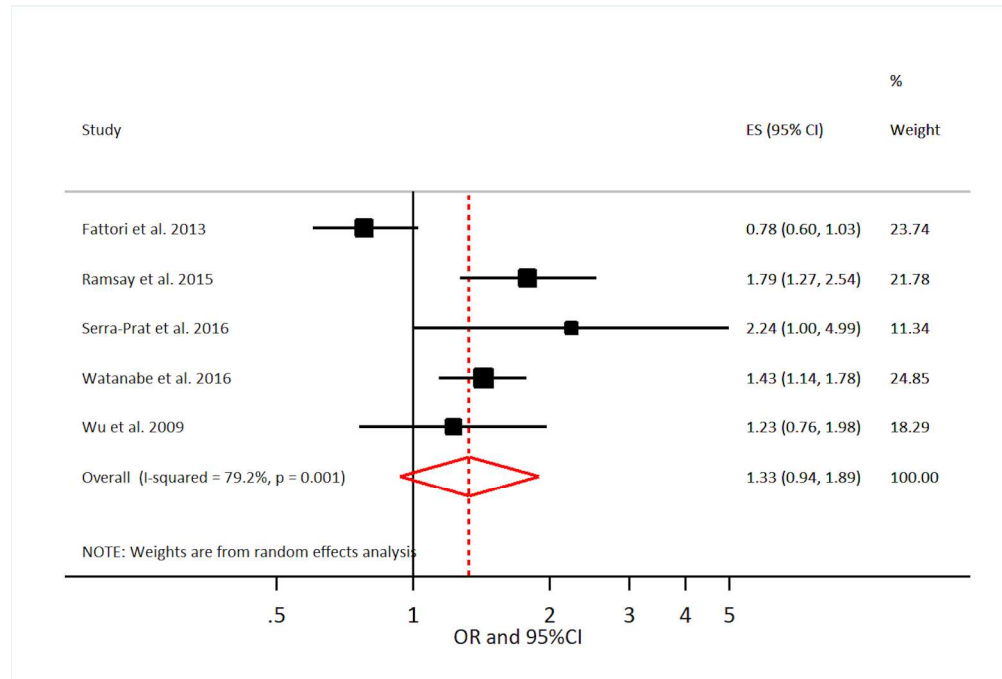


Figure 2b - Proportion of participants presenting with frailty among those with hypertension. Frailty was defined according to the CHS criteria.



29 Figure 3 - Cross-sectional association of frailty (CHS criteria) with hypertension. Frailty was defined  
30 according to the CHS criteria. !! +

## **Appendix**

### **Search terms used**

#### **Pubmed**

("hypertension"[MeSH Terms] OR "hyperten\*" [Title/Abstract] OR "hypertension" [Title/Abstract] OR "hypertensive" [Title/Abstract] OR "high blood pressure" [Title/Abstract] OR "systolic blood pressure" [Title/Abstract] OR "diastolic blood pressure" [Title/Abstract] OR "raised blood pressure" [Title/Abstract]) AND ("frail elderly" [MeSH Terms] OR "frail\*" [Title/Abstract] OR "frailty" [Title/Abstract])

#### **Web of Science and Embase**

("hyperten\*" OR "hypertension" OR "hypertensive" OR "high blood pressure" OR "systolic blood pressure" OR "diastolic blood pressure" OR "raised blood pressure" ) AND ("frail\*" OR "frailty" )



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**Table e1.** Characteristics of the studies included in the systematic review.

First Author (year)	Study characteristics	n	Hypertension definition	Hypertension prevalence	Frailty definition	Frailty Incidence (longitudinal studies) or prevalence (cross-sectional studies)	% hypertension in frailty groups	Other results	NOS
<b>LONGITUDINAL STUDIES</b>									
Barzilay (2007)	Country: USA  Name: Cardiovascular Health Study (CHS)  Setting: community  Age: ≥ 65 y	2826	BP ≥ 130/85 mm Hg or treated hypertension	37%	CHS criteria	Prefrail: 66% Frail: 8%	Robust=34% Prefrail=38% Frail=43%	Incident frailty (5 and 9 y follow-up) was not predicted by hypertension diagnosis or blood pressure levels. SBP at baseline was not independently associated with frailty: HR=0.96 (95% CI 0.89-1.04) for prefrailty and HR=1.01 (95% CI 0.88-1.17) for frailty.	7
Bouillon (2013)	Country: UK  Name: Whitehall II Study  Setting: community  Age (range): 45-69 y	2707	BP ≥ 130/85 mm Hg or treated hypertension	40%	CHS criteria	Prefrail: 37% Frail: 3%	Robust=38% Prefrail/frail=43%	-	6
Castrejón-Pérez (2017)	Country: Mexico  Name: Mexican Study of Nutritional and Psychosocial Markers of Frailty  Setting: community  Age (range): 70-95 y	237	Not defined	58%	CHS criteria	Frail=15%	Robust=55% Frail=74%	At univariate analysis hypertension was associated with incident frailty (HR=2.11, 95%CI 1.03-4.31), but this association was not confirmed in the multivariate analysis (HR=1.58, 95%CI 0.83-3.01)	6
Doba (2012)	Country: Japan  Name: Japanese Health Research Volunteer Study	351	Not defined	28%	FI	Frail: 16%	Robust=28% Frail=29%	Baseline SBP was lower in persons who developed frailty vs non frail SBP=135±17 vs 140±21 (p=0.046) . In multivariate analyses, no	7

	Setting: community							significant association between SBP and frailty was observed	
	Age (mean±SD): 78±4 y								
<b>CROSS-SECTIONAL STUDIES</b>									
de Albuquerque Sousa (2012)	Country: Brazil Name: Network of Studies on the Frailty of Elderly Brazilians Setting: Community Age (mean±SD): 74±7 y	391	Self-reported	58%	CHS criteria	Prefrail: 60% Frail: 17%	Robust=53% Prefrail=57% Frail=67%	-	5
Ávila-Funes (2008)	Country: France Name: Three-City Study Setting: community Age (mean±SD): 74±5 y	6078	Self-reported or BP≥160/95 or treated hypertension	64%	CHS criteria	Prefrail: 48% Frail: 7%	Robust=64% Prefrail=63% Frail=71%	-	5
Basile (2017)	Country: Italy Setting: community Age (mean): 81±8 y	56	Treated hypertension	100%	FI	-	-	Participants with SBP≥140 mmHg had lower FI compared to those with SBP<140 mmHg (p=0.006)	5
Bastos-Barbosa (2012)	Country: Brazil Name: Research Network of Studies of Brazilian Elderly Individuals Setting: community Age (mean±SD): 74±7 y	77	BP reported as a continuous measure	63%	CHS criteria	Prefrail: 40% Frail: 30%	Not reported	Ambulatory BP of frail group demonstrated significantly higher systolic and diastolic BP values over the 24 h (135/74 mm Hg) than nonfrail group (122/68 mm Hg).	5
Calado (2016)	Country: Brazil Setting: community	385	Self-reported	46%	CHS criteria	Prefrail: 50% Frail: 9%	Robust=44% Prefrail=48% Frail=49%	-	5

	Age (mean): 74±6 y								
Castrejón-Pérez (2017)	Country: Mexico Name: Mexican Health and Nutrition Survey Setting: community Age (mean±SD): 71±8 y	7164	Not defined	38%	FI	Mean FI score=0.18	-	Multiple linear regression for FI for hypertension only (without diabetes) Beta: 0.31 (0.55-0.69)	5
Chang (2014)	Country: Taiwan Setting: community Age: ≥65 y	234	Not defined	43%	CHS criteria	Frail: 39%	Robust=33% Frail=58%	Hypertension significantly associated with frailty OR=2.21 (1.16–4.21) in multivariate analysis.	4
Chung (2016)	Country: Taiwan Name: I-Lan Longitudinal Aging Study Setting: community Age (mean±SD): 62±9 y	962	Self-reported or BP≥140/90 or treated hypertension	37%	CHS criteria	Prefrail: 33% Frail: 3%	Robust=34% Prefrail=42% Frail=53%	-	6
Fattori (2013)	Country: Brazil Name: Research Network of Studies of Brazilian Elderly Individuals Setting: community Age: ≥65 y	900	BP ≥ 140/90 mm Hg	52%	CHS criteria	Prefrail: 52% Frail: 8%	Not reported	Hypertension not associated with frailty OR=0.78 (0.60–1.03) in univariate analysis.	7
Frisoli (2015)	Country: Brazil Name: FRAGilidade em idosos com doenças Cardiovasculares Setting: outpatient	172	Not defined	84%	CHS criteria	Prefrail: 51% Frail: 38%	Robust=100% Prefrail=83% Frail=81%	-	4

	clinic Age (mean±SD):77±6 y								
Guessous (2014)	Country: Switzerland Name: BusSanté study Setting: community Age (mean): 60 y	2930	BP ≥ 140/90 mm Hg or treated hypertension	47%	Frailty scale based on 4 indicators (weakness, shrinking, exhaustion, and low activity)	1 indicator=29% ≥2 indicators=8%	0 indicators =42% 1 indicator =54% ≥2 indicators =65%	Hypertension significantly associated with frailty indicators in multivariate analyses. OR for 1 indicator (vs. 0 indicators) 1.40 (1.15-2.68)- OR for ≥2 indicators 1.88 (1.32-2.68).	7
Kang (2017)	Country: Korea Name: Korea National Health and Nutrition Examination Survey Setting: community Age (mean±SD): 73±5 y	4352	BP ≥ 140/90 mm Hg or treated hypertension	62%	FI	Prefrail: 39% Frail: 44%	Robust=49% Prefrail=61% Frail=68%	-	6
Klein (2005)	Country: USA Name: Beaver Dam Eye Study Setting: community Age (range): 53-86 y	2515	BP ≥ 160/95 mm Hg or treated hypertension	47%	Frailty scale based on 5 indicators (gait speed, peak expiratory flow rate, hand grip strength, chair stand test and visual acuity)	Not reported	-	In multivariate analysis hypertension significantly associated with frailty scale in men OR for 1-point increment in scale =1.22 (1.00-1.49) and women OR=1.22 (1.02-1.46)	6
Lahousse (2014)	Country: The Netherlands Name: Rotterdam Study Setting: community Age (median): 74 y	2833	BP ≥ 160/100 mm Hg or treated hypertension	75%	CHS criteria	Prefrail: 51% Frail: 6%	Robust=71% Prefrail=77% Frail=85%	-	6

Lee (2011)	Country: China Setting: community Age (mean±SD): 72±5 y	4000	Medical records	43%	Composite frailty score (range 0-20)	Mean frailty score=12.2	-	In multivariate analysis hypertension not significantly associated with composite frailty score	5
Nadruz 2017)	Country: USA Name: Atherosclerosis Risk in Communities Study Setting: community Age (mean±SD): 76±5 y	3991	BP ≥ 160/100 mm Hg or treated hypertension	82%	CHS criteria	Frail=5%	Robust=81% Frail=92%	-	6
Ng (2014)	Country: Singapore Name: Singapore Longitudinal Ageing Studies I and II Setting: community Age (mean±SD): 67±8 y	1685	Not defined	62%	CHS criteria	Prefrail: 42% Frail: 5%	Robust=58% Prefrail=64% Frail=80%	Hypertension not associated with frailty in multivariate analysis (data not provided)	6
O'Connell (2015)	Country: Republic of Ireland Name: Irish Longitudinal Study on Aging Setting: community Age (mean±SD): 63±9 y	5692	BP reported as a continuous measure	-	CHS criteria & FI	CHS criteria Prefrail: 34% Frail: 4%  Mean FI score=0.10	-	In adjusted linear regression analyses, frailty significantly associated with lower seated and standing SBP and DBP. Seated SBP -1.9 (-2.52to-1.27), standing SBP -1.79 (-2.46 to-1-13), seated DBP -1.14 (-1.51to-0.77), standing DBP -1.10 (-1.48to-0.73).	7
Ramsay (2015)	Country: UK Name: British Regional Heart Study Setting: community Age (range): 71-92 y	1622	BP ≥ 160/90 mm Hg or treated hypertension	72%	CHS criteria	Prefrail: 54% Frail: 19%	Robust=65% Prefrail=74% Frail=78%	Hypertension associated with frailty age-adjusted OR=1.79 (1.27-2.54)	6

1 2 3 4 5 6 7 8 9 10 11 12	Ravindrarajah (2017)	Country: UK Name: Clinical Practice Research Datalink Setting: community Age: ≥80 y	144403	SBP values classified as follows (mmHg): <110, 110-119, 120-139, 140-159, ≥160	<110 =3% 110-119=7% 120-139=37% 140-159=41% ≥160=12%	FI	Mild frailty=40% Moderate frailty=21% Severe frailty=7%  Any frailty=68%	Any frailty: <110 =78% 110-119=77% 120-139=72% 140-159=64% ≥160=58%	Frailty was associated with lower BP. In participants with SBP <110 mmHg, 22% were fit, 28% had moderate frailty, and 12% had severe frailty. In those with SBP ≥160 mm Hg, 42% were fit, 16% had moderate frailty, and 4% had severe frailty.	7
13 14 15 16 17 18 19 20	Ricci (2014)	Country: Brazil Name: Fragilidade em Idosos Brasileiros Network Study Setting: community Age (mean±SD): 72±6 y	761	Self-reported or BP≥140/90 or treated hypertension	84%	CHS criteria	Prefrail: 48% Frail: 10%	Robust=81% Prefrail=87% Frail=84%	-	5
21 22 23 24	Serra-Prat (2016)	Country: Spain, Setting: community Age (mean±SD): 80±3 y	324	Not defined	71%	CHS criteria	Prefrail: 54% Frail: 14%	Robust=66% Prefrail=70% Frail=82%	Hypertension associated with frailty OR=2.24 (1.00-4.99) at univariate analysis. Association not confirmed in multivariate analysis (data not provided).	5
25 26 27 28 29 30 31	Tavares (2016)	Country: Brazil Name: Study of Frailty in Elderly People Setting: hospital Age: ≥ 60 y	205	Self-reported	66%	CHS criteria	Prefrail: 52% Frail: 26%	Robust=62% Prefrail=62% Frail=76%	-	4
32 33 34 35 36 37 38 39	Vaingankar (2016)	Country: Singapore Name: Well-being of the Singapore Elderly study Setting: community Age (mean): 69 y	2102	Not defined	59%	CHS criteria	Prefrail: 40% Frail: 6%	Robust=55% Prefrail=62% Frail=70%	Hypertension not associated with frailty in multivariate analysis (data not provided)	4

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Watanabe (2017)	Country: Japan  Name: Obu Study of Health Promotion for the Elderly  Setting: community  Age (mean): 71 y	4720	Self reported	46%	CHS criteria	Prefrail: 57% Frail: 11%	Robust=39% Prefrail=47% Frail=55%	Hypertension significantly associated with frailty in multivariate analysis (OR 1.43, 95% CI = 1.14–1.78)	4
Wong (2010)	Country: Canada,  Name: Montreal Unmet Needs Study  Setting: community  Age (mean±SD): 80±4 y	740	Self-reported	52.3%	CHS criteria	Prefrail: 50% Frail: 7%	Robust=47% Prefrail=55% Frail=60%	-	5
Wu (2009)	Country: Taiwan  Setting: community and hospital  Age (mean±SD): 77±6 y	90	Not defined	58%	CHS criteria	Prefrail: 62% Frail: 23%	Robust=69% Prefrail=48% Frail=76%	No significant association between frailty and hypertension at univariate analysis, OR=1.23 (0.76–1.98)	4
Yanagita (2017)	Country: Japan  Setting: hospital  Age (mean±SD): 78±8 y	132	BP reported as a continuous measure	-	Clinical Frailty Scale	Frail=42%	-	Frail participants had lower SBP values. In multivariate analyses frailty associated with significantly lower SBP values.	4

NOS = Newcastle-Ottawa Scale; CHS = Cardiovascular Health Study; OR = Odds Ratio; FI = Frailty Index; BP=Blood Pressure; SBP=Systolic Blood Pressure; DBP=Diastolic Blood Pressure

## MOOSE Checklist for Meta-analyses of Observational Studies

Item No	Recommendation	Reported on Page No
Reporting of background should include		
1	Problem definition	4
2	Hypothesis statement	4
3	Description of study outcome(s)	4
4	Type of exposure or intervention used	4
5	Type of study designs used	4
6	Study population	4
Reporting of search strategy should include		
7	Qualifications of searchers (eg, librarians and investigators)	5
8	Search strategy, including time period included in the synthesis and key words	5
9	Effort to include all available studies, including contact with authors	5
10	Databases and registries searched	5
11	Search software used, name and version, including special features used (eg, explosion)	6
12	Use of hand searching (eg, reference lists of obtained articles)	5
13	List of citations located and those excluded, including justification	5
14	Method of addressing articles published in languages other than English	5
15	Method of handling abstracts and unpublished studies	5
16	Description of any contact with authors	NA
Reporting of methods should include		
17	Description of relevance or appropriateness of studies assembled for assessing the hypothesis to be tested	5
18	Rationale for the selection and coding of data (eg, sound clinical principles or convenience)	5
19	Documentation of how data were classified and coded (eg, multiple raters, blinding and interrater reliability)	5
20	Assessment of confounding (eg, comparability of cases and controls in studies where appropriate)	NA
21	Assessment of study quality, including blinding of quality assessors, stratification or regression on possible predictors of study results	5
22	Assessment of heterogeneity	5-6
23	Description of statistical methods (eg, complete description of fixed or random effects models, justification of whether the chosen models account for predictors of study results, dose-response models, or cumulative meta-analysis) in sufficient detail to be replicated	6
24	Provision of appropriate tables and graphics	See tables and graphs
Reporting of results should include		
25	Graphic summarizing individual study estimates and overall estimate	See figures 2 and 3
26	Table giving descriptive information for each study included	See table e1
27	Results of sensitivity testing (eg, subgroup analysis)	8
28	Indication of statistical uncertainty of findings	8



Item No	Recommendation	Reported on Page No
Reporting of discussion should include		
29	Quantitative assessment of bias (eg, publication bias)	10
30	Justification for exclusion (eg, exclusion of non-English language citations)	NA
31	Assessment of quality of included studies	10
Reporting of conclusions should include		
32	Consideration of alternative explanations for observed results	10
33	Generalization of the conclusions (ie, appropriate for the data presented and within the domain of the literature review)	11
34	Guidelines for future research	11
35	Disclosure of funding source	12

From: Stroup DF, Berlin JA, Morton SC, et al, for the Meta-analysis Of Observational Studies in Epidemiology (MOOSE) Group. Meta-analysis of Observational Studies in Epidemiology. A Proposal for Reporting. *JAMA*. 2000;283(15):2008-2012. doi: 10.1001/jama.283.15.2008.

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# PRISMA 2009 Checklist

Section/topic	#	Checklist item	Reported on page #
<b>TITLE</b>			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1
<b>ABSTRACT</b>			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	2
<b>INTRODUCTION</b>			
Rationale	3	Describe the rationale for the review in the context of what is already known.	4
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	4
<b>METHODS</b>			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	5
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	5
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	5
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	5
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	5
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	5
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	5
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	5
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	6
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., $I^2$ ) for each meta-analysis.	6



# PRISMA 2009 Checklist

Page 1 of 2

Section/topic	#	Checklist item	Reported on page #
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	5
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	6
<b>RESULTS</b>			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	7
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	7
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	7
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	7-8
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	8
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	7
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	8
<b>DISCUSSION</b>			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	9
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	10
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	11
<b>FUNDING</b>			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	12

From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(6): e1000097. doi:10.1371/journal.pmed1000097

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# BMJ Open

## Hypertension and Frailty: a Systematic Review and Meta-analysis

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# Hypertension and Frailty: a Systematic Review and Meta-analysis

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**Conflicts of interest:** none declared.

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**ABSTRACT**

**Objective** - To review the association between hypertension and frailty in observational studies.

**Design** - A systematic review of the PubMed, Web of Science, and Embase databases was performed.

A meta-analysis was performed if at least three studies used the same definition of frailty and a dichotomous definition of hypertension.

**Setting, participants and measures** - Studies providing information on the association between frailty and hypertension in adult persons, regardless of the study setting, study design, or definition of hypertension and frailty were included.

**Results** - Among the initial 964 articles identified, 27 were included in the review. Four longitudinal studies examined the incidence of frailty according to baseline hypertension status, providing conflicting results. Twenty-three studies assessed the cross-sectional association between frailty and hypertension: 13 of them reported a significantly higher prevalence of frailty in hypertensive participants and 10 found no significant association. The pooled prevalence of hypertension in frail individuals was 72% (95% Confidence Interval [95%CI] 66%-79%) and the pooled prevalence of frailty in individuals with hypertension was 14% (95%CI 12%-17%). Five studies, including a total of 7,656 participants, reported estimates for the association between frailty and hypertension (pooled OR 1.33; 95%CI 0.94-1.89).

**Conclusions** - Frailty is common in persons with hypertension. Given the possible influence of frailty on the risk-benefit ratio of treatment for hypertension and its high prevalence it is important to assess the presence of this condition in persons with hypertension.

**PROSPERO REGISTRATION NUMBER:** CRD42017058303

**Keywords:** Frailty; Hypertension

**Article Summary - Strengths and limitations of this study**

- A greater number of potentially eligible articles were screened and included in the review.
- Absence of evident publication bias, and low-to-moderate risk of methodological bias increase the reliability of our findings.
- Heterogeneity in the definitions of frailty and hypertension across studies.
- Cross-sectional design of most studies included in the review which limits the opportunity of generating hypotheses regarding a causal link between the conditions of interest.

For peer review only

## INTRODUCTION

Frailty is a condition characterized by the accumulation of biological deficits and dysfunctions which occurs with age and impairs the homeostatic balance of organisms (1). Frailty confers extreme vulnerability to stressors and increases the risk of negative health-outcomes, including mortality, disability, poor quality of life, hospitalization and institutionalization (2). This condition has a high prevalence, ranging from 8% to 16% in community dwelling older adults (3,4). Frailty has been shown to be correlated with morbidity and mortality in persons suffering from cardiovascular disease, and it was suggested that the recognition of frailty status can help physicians in establishing prognosis, determining procedural risks, and guiding treatments (5). In some cases, the assessment of frailty may be critical in guiding the patient towards a certain therapeutic choice (6).

Several studies have assessed the association of frailty with hypertension. In older adults, it has been suggested that frailty can explain the paradoxical relationship between lower blood pressure and increased mortality documented in several studies (7-10). For example, data from the National Health and Nutrition Examination Survey (NHANES) demonstrated an effect modification of hypertension according to frailty level in terms of walking speed (11); in fit persons, elevated blood pressure was associated with greater mortality, while in frail participants higher blood pressure was associated with lower mortality risk. The SPRINT trial showed that compared to standard blood pressure control, intensive control reduce the incidence of cardiovascular events both in frail and non-frail persons, but this study did not show any effects of intensive blood pressure control on risk of frailty related outcomes, such as gait speed and mobility limitation (12,13). Notably, the hypertension clinical practice guidelines released in 2017 precisely point out that blood pressure lowering therapy is one of the few interventions shown to reduce mortality risk in frail older individuals (14).

Assessing the association of frailty and hypertension may be the first step for understanding their complex interplay and might ultimately lead to optimize the treatment of hypertension and to set therapeutic goals in persons with frailty. However, the evidence on the association between these conditions has never been comprehensively summarized. The aim of the present study is to systematically review the literature, and provide pooled estimations of evidence regarding the association of frailty and hypertension.



## METHODS

We reviewed studies providing information on the association between frailty and hypertension in adult persons (*i.e.* 18 years old or older), regardless of the study setting, study design, or definition of hypertension and frailty. The protocol of the present study was registered in the international prospective register of systematic reviews PROSPERO (registration number CRD42017058303). This systematic review was carried out in accordance with the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) recommendations.

### Data sources and searching

We searched three databases for relevant articles published from 01/01/2002 to 26/10/2017: 1) PubMed electronic database of the National Library of Medicine, 2) Web of Science and; 3) Embase. The detailed search queries are reported in the Appendix. References from the selected papers and from other relevant articles were screened for potential additional studies.

### Study selection and data extraction

Two assessors independently screened the title and abstract of the selected studies. The inclusion criteria were: 1) Articles reporting information on the association of frailty with hypertension or blood pressure (BP) values; 2) Articles in English or another European language; 3) Study design: cross-sectional, case-control, or cohort studies. Articles were excluded if they 1) Did not investigate the aims of the review; 2) Included persons younger than 18 years; 3) Did not report original data (e.g., editorial, review, or congress abstract); 4) Did not provide an explicit definition of frailty and; 5) If frailty was assessed only with a single symptom/measure (e.g. only gait speed or grip strength); 6) Were not in English or another European language. The full text of the articles selected by one or both of the assessors were retrieved for full evaluation. Two assessors read the full texts and independently extracted the information from the selected studies. A third assessor reviewed the data extraction, and any disagreement was resolved through consensus. Articles that were written in another European language than English were sent for translation by a native speaker who conducted the data extraction.

### Assessment of risk of bias

Quality of the studies was evaluated independently by the two assessors with the qualitative evaluation of observational studies Newcastle Ottawa Scale (NOS). Any disagreement in quality assessment was resolved through consensus. Studies scoring >7 were considered at low risk of bias, scores of 5-7 indicated moderate risk of bias, and scores of <5 indicated high risk of bias.

### Statistical analysis

For each measure of interest (*i.e.* proportions and association estimates), a meta-analysis was performed if at least three studies used the same definition of frailty and a dichotomous definition of hypertension (rather than using continuous BP values). Considering the observational design of the

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3 retrieved studies, and the methodological differences potentially responsible for a significant share  
4 of the variance within the measures of interest, the pooled estimates were obtained through random  
5 effect models and Mantel-Haenszel weighting. Lack of homogeneity within the pooled studies was  
6 tested through the I<sup>2</sup> statistics (significant if  $\geq 50\%$ ). Additional analyses were performed selecting 1)  
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8 Studies with NOS $\geq 5$ , in order to exclude studies with high risk of methodological bias; 2) Studies with  
9 a sample size  $\geq 500$  participants. Publication bias was assessed by mean of the Egger's and the Begg's  
10 tests. All statistical analyses were performed using the metan and metaprop packages included in the  
11 software for statistical analyses STATA 14.0 (StataCorp, TX, USA). Metan was used to provide pooled  
12 estimations of the association between frailty and hypertension, Metaprop was used to provide  
13 pooled measures of prevalence of frailty and hypertension (15,16). A P value  $< 0.05$  was considered  
14 statistically significant for all analyses.

### 21 **Patient and public involvement**

22 Patients and public were not involved in this study.  
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## RESULTS

Through the literature search, we retrieved 1369 articles (**Figure 1**). An additional 8 articles were identified after reading references from the selected papers. Out of 1369 articles, 670 (48.9%) were screened after duplicates removal. Of these, 604 were excluded after screening and 34 after full-text reading. Thirty-two articles were part of the final qualitative and/or quantitative assessment (17-48) (see table e1 in the Appendix).

### Study description

The studies' sample size ranged from 56 to 144403 participants, with a mean age ranging from 60 to 81 years. Only 4 studies had a longitudinal design (17-20). Most studies included community-dwelling participants, and only 3 studies included in-hospital participants (43,47,48). Most of the studies were carried out in Asia (n=10), Europe (n=9) and South America (n=9), and fewer in North America (n=4).

**Frailty and hypertension definitions.** Most of the studies (n=23) defined frailty according to the Cardiovascular Health Study (CHS) criteria (17-19,21,22,24,25,27-30,34,36-39,41-47). The rest of the studies evaluated frailty based on a frailty index (n=6) (20,23,26,32,38,40), by a composite score (n=3) (31,33,35) or using the Clinical Frailty Scale (n=1) (48). One study assessed frailty adopting both CHS criteria and FI (38).

In the longitudinal studies, frailty incidence ranged from 3% to 16%, in cross-sectional studies, frailty prevalence ranged from 3% to 68%. A diagnosis of hypertension was reported in 28 studies (17-23,25-37,38,41-47), while 3 studies analyzed BP as a continuous variable (24,38,48) and 1 classified BP in 4 groups (40). Diagnosis of hypertension was based on a BP cut-point in 12 studies (17,18,22,28,29,31-34,36,39,41), assessed only by self-reported in 5 studies (21,25,43,45,46), based on evaluation of medical records in 1 study (35) and on pharmacological treatment in 1 study (21). In 9 studies, hypertension diagnosis was not defined (19,20,26,27,30,37,42,44,47). Prevalence of hypertension ranged from 28% to 100%.

**Assessment of risk of bias.** The majority of the studies presented a moderate risk of bias (n=25), and six studies presented a high risk, according to the NOS. In most of the cases, the self-reported nature of information was responsible for a lower score. However, according to the Egger's and the Begg's tests, no strong evidence of publication bias was detected in our meta-analyses (P=0.150 and P=0.987, respectively).

### Association between hypertension and frailty

**Longitudinal studies.** Four longitudinal studies examined the risk of incidence of frailty according to baseline hypertension status. Two studies found that baseline hypertension did not significantly predict incidence of frailty (17,20), but Boullion et al found that hypertension was associated with an

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3 increased incidence of the combined outcome prefrailty/frailty ( $p=0.009$ ) (18). However, data from  
4 this study were not adjusted for possible confounders. Similarly, Castrejon Perez et al (19) found that  
5 hypertension was associated with incident frailty at univariate analysis (HR=2.11, 95%CI 1.03-4.31),  
6 but this association was not confirmed in the multivariate analysis (HR=1.58, 95%CI 0.83-3.01).  
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10 **Cross-sectional studies.** Twenty-three studies assessed the cross-sectional association between  
11 frailty and hypertension (21,22,25-37,39,41-47). Results were very different across studies, with 13  
12 studies reporting a significantly higher prevalence of frailty in hypertensive participants (22,26-  
13 28,31,32,33,34,36,37,39,44,45) and 10 finding no significant association (21,25,29,30,35,41-  
14 43,46,47).  
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18 Seventeen of these studies assessed frailty by the use of CHS criteria, for a total sample of 23304  
19 individuals (21,22,25,27,28,30,34,36,37,39,41-47). Analyzing data from these studies, the pooled  
20 prevalence of hypertension in frail individuals was 72% (95% Confidence Interval [95%CI] 66% to  
21 79%;  $I^2=93.1\%$ ; **Figure 2**) and the pooled prevalence of frailty in individuals with hypertension was  
22 14% (95% CI 12% to 17%;  $I^2=96.2\%$ ; **Figure 3**). When the analyses were limited to 13 studies enrolling  
23 participants with a mean age  $\geq 70$  years (21,22,25,27,30,34,36 39,41,42,45-47) the pooled  
24 prevalence of hypertension in frail individuals was 71% (95% CI 62% to 80%;  $I^2=95.4\%$ ) and the  
25 pooled prevalence of frailty in individuals with hypertension was 14% (95% CI 11% to 17%;  $I^2=97.0\%$ ).  
26 Three studies assessed blood pressure as a continuous variable, finding conflicting results: one study  
27 showed significantly higher SBP and DBP values in frail participants (24), while in two other studies  
28 frailty was associated with significantly lower blood pressure values (38,48). A small study including  
29 only participants receiving pharmacological treatment for hypertension, showed an inverse  
30 association between blood pressure levels and frailty (23). Finally, a large study performed in more  
31 than 140000 community dwelling older adults aged  $\geq 80$  years, classified SBP in 5 groups, showing  
32 that frailty was associated with lower SBP (40).  
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43 Among studies adopting the CHS definition of frailty and a dichotomous definition of hypertension, 5  
44 reported estimates (odds ratios) for the association between frailty and hypertension, for a total  
45 sample of 7656 individuals (29,39,42,45,47). All 5 studies enrolled a sample with a mean age  $\geq 70$   
46 years. The pooled estimate for the association of frailty and hypertension based on these studies was  
47 1.33 (95% CI 0.94 to 1.89;  $I^2=79.2\%$ ; **Figure 4**). These results were confirmed when only studies with  
48 NOS $\geq 5$  (OR 1.39; 95% CI 0.70 to 2.75;  $I^2=88.1\%$ ) or studies with a sample size  $\geq 500$  participants (OR  
49 1.25; 95% CI 0.79 to 1.99;  $I^2=88.4\%$ ) were analyzed.  
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## DISCUSSION

This systematic review and meta-analysis shows that 7 out of 10 frail adults have hypertension, while about 1 out of 7 hypertensive adults present with frailty. In addition, this study shows that the association between frailty and hypertension is uncertain: few longitudinal studies have assessed the impact of hypertension on incident frailty, providing conflicting results. Further, no studies have been performed to examine whether frailty predicts incident hypertension. Finally, the meta-analysis of cross sectional studies failed to find a significant association between these conditions.

Frailty has become a high-priority theme in cardiovascular medicine due to the aging and the increasingly complex nature of patients suffering for cardiovascular conditions (5,6). This is confirmed by the observation that 14% of persons with hypertension are frail. Frailty might indeed influence the therapeutic choices for many cardiovascular diseases. For example, assessment of frailty is considered important for determining which patients are likely to benefit from the treatment of aortic stenosis or left ventricular assist device therapy, in terms of both survival and improved quality of life (49,50).

Similarly, therapeutic choices in hypertension might be influenced by presence of frailty. First, frail older people are almost always excluded from randomized controlled trials (RCTs) assessing the effects of treatments of cardiovascular diseases, including hypertension. Logistic barriers limiting the retention in the study, the higher propensity to present adverse effects from the treatments and the higher drop out for mortality of frail individuals are the main causes for exclusion from RCTs. (51). This limits the generalizability of RCTs findings and makes difficult estimating the efficacy and safety of treatments for chronic diseases in persons with frailty. This is extremely important if we consider that according to our results 70% of frail individuals present also with hypertension. In this context, the SPRINT trial showed that intensive control leads to a reduction in cardiovascular events both in frail persons (12), but this trial excludes most complex older adults, such as those presenting with cognitive impairment or psychiatric disorders, and those institutionalized. The lack of evidence regarding the treatment of hypertension in frail older people has been highlighted in the recently issued guidelines for the management of hypertension that recognize the role of blood pressure lowering therapy as one of the few interventions to reduce mortality risk in frail older individuals, but did not make any specific recommendations regarding treatment of hypertension in frailty individuals (14).

Second, frailty is associated with limited life expectancy; as described by results of the SHARE study life expectancy for frail individuals at age of 70 years ranges between 0.1 and 1.8 years in men and between 0.4 and 5.5 years in women (52). Therefore, in frail individuals the time-until-benefit of a given treatment might exceed the life expectancy and this might modify the risk-benefit ratio of

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3 preventive treatments for chronic diseases, including hypertension, which may require several years  
4 before showing a beneficial effect.

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6 Third, frail individuals have an increased risk of iatrogenic illness. Cullian et al. showed among  
7 hospitalized older adults frailty doubles the risk of developing an adverse drug reaction (53). Finally,  
8 frailty might be associated with poor medication adherence to antihypertensive medications. (54).

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10 These data underline the importance of assessing frailty when treating hypertension and  
11 possibly to set individual targets of blood pressure control for persons with frailty. Interestingly, in  
12 the SPRINT trial frail participants in the intensive blood pressure control group, experienced a  
13 significantly lower reduction of systolic blood pressure compared with non-frail participants (10.8 vs.  
14 13.5 mm Hg,  $p=0.01$ ), underling possible difficulties in lowering blood pressure in frail persons (12).

15  
16 The meta-analysis of cross-sectional studies did not show any significant association between  
17 frailty and hypertension. Chronic diseases, including hypertension, are considered to be major  
18 determinants of frailty in theoretical models, and the negative effect of hypertension on  
19 cardiovascular outcomes can lead to frailty (55). However, our findings might be explained by the  
20 fact that cross-sectional data assess a single time-point and are unable to evaluate the role of  
21 hypertension at differing stages of the frailty process.

22  
23 Only four longitudinal studies assessed the impact of hypertension on incident frailty,  
24 providing conflicting results. This observation is in line with results of RCTs that were not able to  
25 show any impact of treatment of hypertension on onset of frailty (13,56). A possible explanation for  
26 this lack of effect could be that that persons developing frailty might be more likely to be lost to  
27 follow-up, and this selective drop out makes it difficult to draw any firm conclusions about the effect  
28 of the treatment on these frailty-related outcomes (57).

### 29 30 **Strengths and limitations**

31  
32 We performed a comprehensive literature search and a careful study selection and quality  
33 assessment, providing a reliable overview of the evidence in the field of hypertension and frailty. In  
34 addition, selected studies enrolled mainly community dwelling samples and this enhances the  
35 generalizability of our findings. However, our findings present some limitations. First, we detected a  
36 significant heterogeneity among the studies which can be explained by the different definitions of  
37 frailty and hypertension and the demographic differences across studies. This heterogeneity is  
38 partially buffered by the absence of evident publication bias, and the reliability of our findings is  
39 increased by the low-to-moderate risk of methodological bias. Second, the cross-sectional design of  
40 28 out of 32 studies limits the opportunity of assessing a cause-effect association between frailty and  
41 hypertension. In addition, the four longitudinal studies retrieved by our literature search, provided  
42 conflicting evidence on the association between frailty and hypertension. Third, the meta-analyses  
43 included only studies that defined frailty based on the CHS criteria. Therefore, we can not exclude  
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3 that the described association of frailty with hypertension varies if different criteria for frailty  
4 definition are adopted. Finally, most of the studies included in the review were not aimed to assess  
5 hypertension and its relationship with frailty. For this reason, hypertension was poorly defined in  
6 most studies and this might lead to possible concerns about the methodology used to assess this  
7 condition.  
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## 11 **CONCLUSION**

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13 The present study shows that frailty is common in persons with hypertension. Given the possible  
14 influence of frailty on risk-benefit ratio of treatment for hypertension and its high prevalence it is  
15 important to assess the presence of this condition in persons with hypertension. In addition, limited  
16 studies assessing the association of these conditions are available. Further research, including a more  
17 rigorous and standardized assessment of frailty, and based on longitudinal designs, is needed to  
18 untangle the relationship between frailty and hypertension and to allow for the identification of pros  
19 and the cons of the pharmacological treatment, and possible targets for therapy in this population,  
20 leading ultimately to the development of specific recommendations for the treatment of  
21 hypertension in frail people.  
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Conception of the work: DLV, KP, GO. Articles evaluation DLV, KP. Data analysis: DLV. Results interpretation: DLV, KP, GO, AM. Drafting the article: DLV, GO. Critical revision of the manuscript: RB, SG, LG, AM, KP. Final approval of the manuscript: all the authors. All the authors fulfill the ICMJE criteria for authorship.

**DISCLAIMER**

The authors declare no financial relationships with any organisations that might have an interest in the submitted work, no other relationships or activities that could appear to have influenced the submitted work.

**COMPETING INTERESTS**

None

**PATIENT CONSENT**

Not required

**DATA SHARING STATEMENT**

All data are available within the appendices.

ew only



**Legend to Figures**

Figure 1 - Systematic review and meta-analysis flow-chart

Figure 2 - Proportion of participants presenting with hypertension among those with frailty. Frailty was defined according to the CHS criteria.

Figure 3 - Proportion of participants presenting with frailty among those with hypertension. Frailty was defined according to the CHS criteria.

Figure 4 - Cross-sectional association of frailty (CHS criteria) with hypertension. Frailty was defined according to the CHS criteria.

For peer review only

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Figure 1

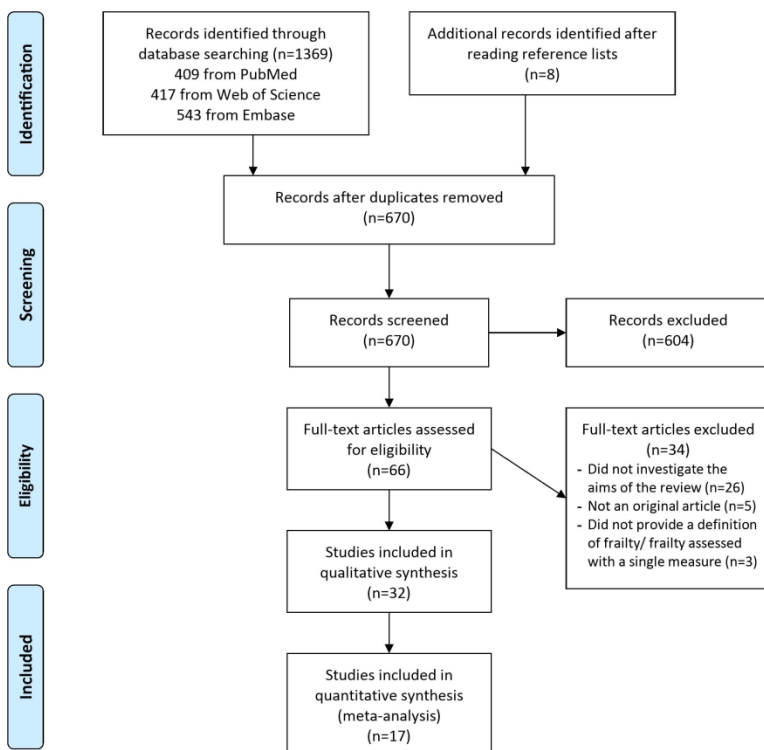


Figure 1 - Systematic review and meta-analysis flow-chart

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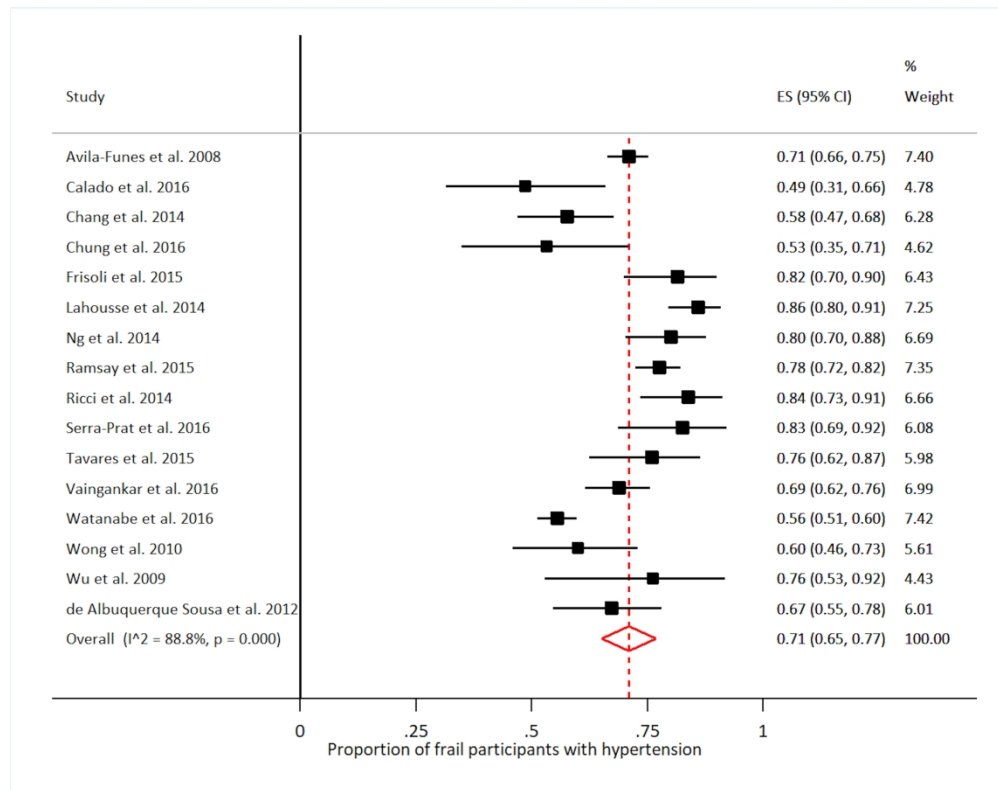


Figure 2 - Proportion of participants presenting with hypertension among those with frailty. Frailty was defined according to the CHS criteria.

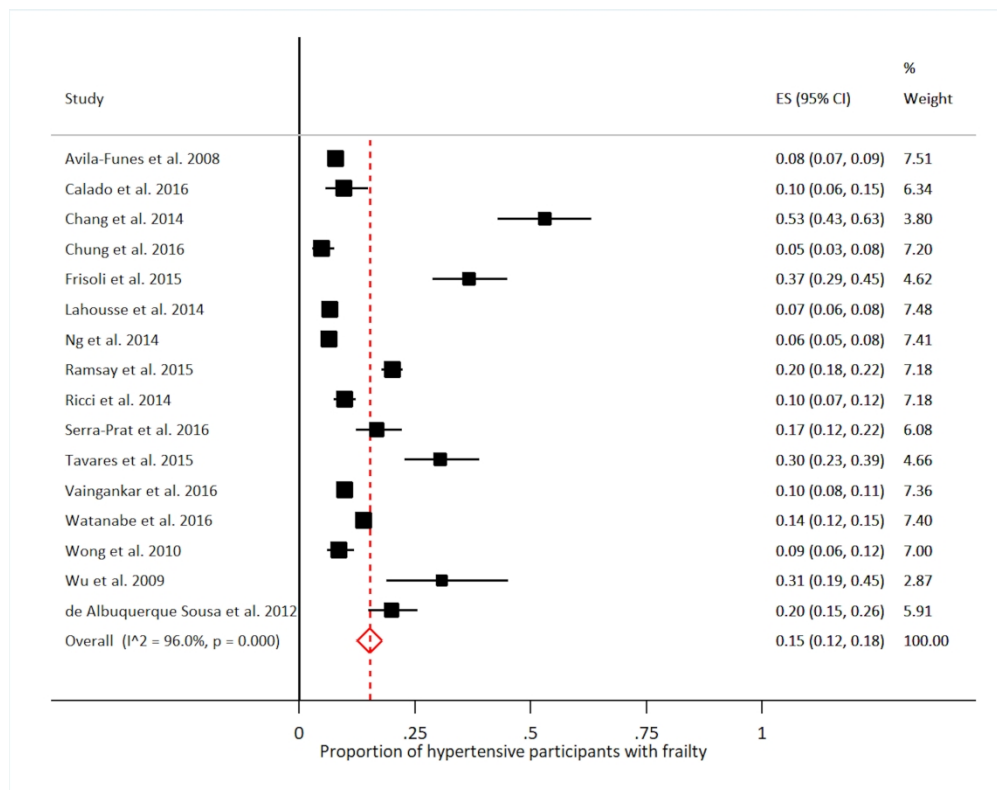
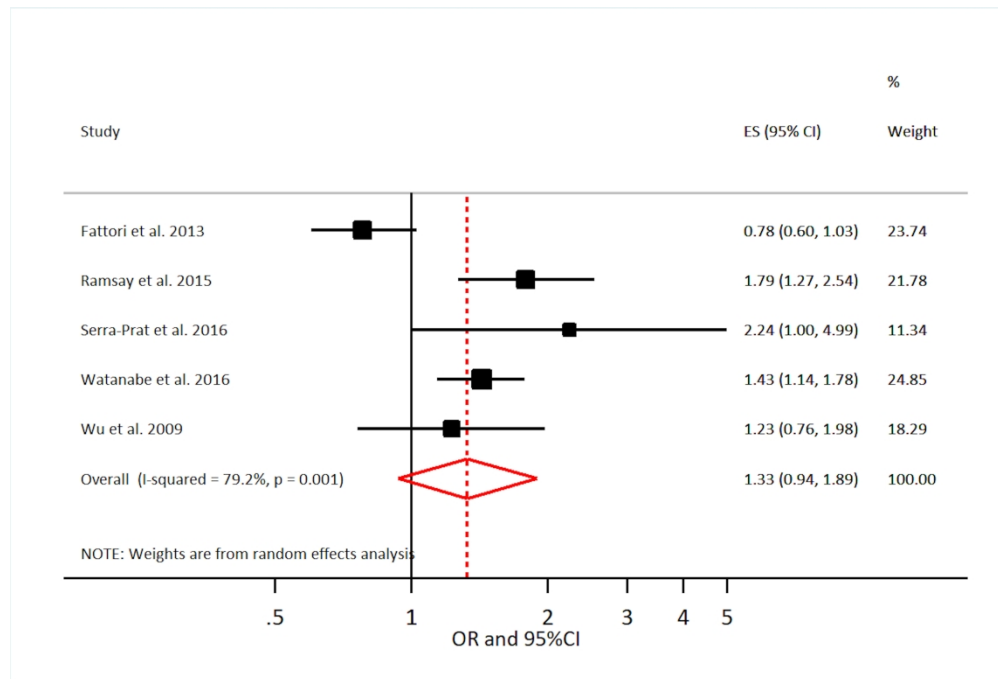


Figure 3 - Proportion of participants presenting with frailty among those with hypertension. Frailty was defined according to the CHS criteria.





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Figure 4 - Cross-sectional association of frailty (CHS criteria) with hypertension. Frailty was defined according to the CHS criteria.

## **Appendix**

### **Search terms used**

#### **Pubmed**

("hypertension"[MeSH Terms] OR "hyperten\*" [Title/Abstract] OR "hypertension" [Title/Abstract] OR "hypertensive" [Title/Abstract] OR "high blood pressure" [Title/Abstract] OR "systolic blood pressure" [Title/Abstract] OR "diastolic blood pressure" [Title/Abstract] OR "raised blood pressure" [Title/Abstract]) AND ("frail elderly" [MeSH Terms] OR "frail\*" [Title/Abstract] OR "frailty" [Title/Abstract])

#### **Web of Science and Embase**

("hyperten\*" OR "hypertension" OR "hypertensive" OR "high blood pressure" OR "systolic blood pressure" OR "diastolic blood pressure" OR "raised blood pressure" ) AND ("frail\*" OR "frailty" )

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**Table e1.** Characteristics of the studies included in the systematic review.

First Author (year)	Study characteristics	n	Hypertension definition	Hypertension prevalence	Frailty definition	Frailty Incidence (longitudinal studies) or prevalence (cross-sectional studies)	% hypertension in frailty groups	Other results	NOS
<b>LONGITUDINAL STUDIES</b>									
Barzilay (2007)	Country: USA  Name: Cardiovascular Health Study (CHS)  Setting: community  Age: ≥ 65 y	2826	BP ≥ 130/85 mm Hg or treated hypertension	37%	CHS criteria	Prefrail: 66% Frail: 8%	Robust=34% Prefrail=38% Frail=43%	Incident frailty (5 and 9 y follow-up) was not predicted by hypertension diagnosis or blood pressure levels. SBP at baseline was not independently associated with frailty: HR=0.96 (95% CI 0.89-1.04) for prefrailty and HR=1.01 (95% CI 0.88-1.17) for frailty.	7
Bouillon (2013)	Country: UK  Name: Whitehall II Study  Setting: community  Age (range): 45-69 y	2707	BP ≥ 130/85 mm Hg or treated hypertension	40%	CHS criteria	Prefrail: 37% Frail: 3%	Robust=38% Prefrail/frail=43%	-	6
Castrejón-Pérez (2017)	Country: Mexico  Name: Mexican Study of Nutritional and Psychosocial Markers of Frailty  Setting: community  Age (range): 70-95 y	237	Not defined	58%	CHS criteria	Frail=15%	Robust=55% Frail=74%	At univariate analysis hypertension was associated with incident frailty (HR=2.11, 95%CI 1.03-4.31), but this association was not confirmed in the multivariate analysis (HR=1.58, 95%CI 0.83-3.01)	6
Doba (2012)	Country: Japan  Name: Japanese Health Research Volunteer Study	351	Not defined	28%	FI	Frail: 16%	Robust=28% Frail=29%	Baseline SBP was lower in persons who developed frailty vs non frail SBP=135±17 vs 140±21 (p=0.046) . In multivariate analyses, no	7

	Setting: community Age (mean±SD): 78±4 y							significant association between SBP and frailty was observed	
<b>CROSS-SECTIONAL STUDIES</b>									
de Albuquerque Sousa (2012)	Country: Brazil Name: Network of Studies on the Frailty of Elderly Brazilians Setting: Community Age (mean±SD): 74±7 y	391	Self-reported	58%	CHS criteria	Prefrail: 60% Frail: 17%	Robust=53% Prefrail=57% Frail=67%	-	5
Ávila-Funes (2008)	Country: France Name: Three-City Study Setting: community Age (mean±SD): 74±5 y	6078	Self-reported or BP≥160/95 or treated hypertension	64%	CHS criteria	Prefrail: 48% Frail: 7%	Robust=64% Prefrail=63% Frail=71%	-	5
Basile (2017)	Country: Italy Setting: community Age (mean): 81±8 y	56	Treated hypertension	100%	FI	-	-	Participants with SBP≥140 mmHg had lower FI compared to those with SBP<140 mmHg (p=0.006)	5
Bastos-Barbosa (2012)	Country: Brazil Name: Research Network of Studies of Brazilian Elderly Individuals Setting: community Age (mean±SD): 74±7 y	77	BP reported as a continuous measure	63%	CHS criteria	Prefrail: 40% Frail: 30%	Not reported	Ambulatory BP of frail group demonstrated significantly higher systolic and diastolic BP values over the 24 h (135/74 mm Hg) than nonfrail group (122/68 mm Hg).	5
Calado (2016)	Country: Brazil Setting: community	385	Self-reported	46%	CHS criteria	Prefrail: 50% Frail: 9%	Robust=44% Prefrail=48% Frail=49%	-	5

	Age (mean): 74±6 y								
Castrejón-Pérez (2017)	Country: Mexico Name: Mexican Health and Nutrition Survey Setting: community Age (mean±SD): 71±8 y	7164	Not defined	38%	FI	Mean FI score=0.18	-	Multiple linear regression for FI for hypertension only (without diabetes) Beta: 0.31 (0.55-0.69)	5
Chang (2014)	Country: Taiwan Setting: community Age: ≥65 y	234	Not defined	43%	CHS criteria	Frail: 39%	Robust=33% Frail=58%	Hypertension significantly associated with frailty OR=2.21 (1.16–4.21) in multivariate analysis.	4
Chung (2016)	Country: Taiwan Name: I-Lan Longitudinal Aging Study Setting: community Age (mean±SD): 62±9 y	962	Self-reported or BP≥140/90 or treated hypertension	37%	CHS criteria	Prefrail: 33% Frail: 3%	Robust=34% Prefrail=42% Frail=53%	-	6
Fattori (2013)	Country: Brazil Name: Research Network of Studies of Brazilian Elderly Individuals Setting: community Age: ≥65 y	900	BP ≥ 140/90 mm Hg	52%	CHS criteria	Prefrail: 52% Frail: 8%	Not reported	Hypertension not associated with frailty OR=0.78 (0.60–1.03) in univariate analysis.	7
Frisoli (2015)	Country: Brazil Name: FRAgilidade em idosos com doenças Cardiovasculares Setting: outpatient	172	Not defined	84%	CHS criteria	Prefrail: 51% Frail: 38%	Robust=100% Prefrail=83% Frail=81%	-	4

	clinic								
	Age (mean±SD):77±6 y								
Guessous (2014)	Country: Switzerland Name: BusSanté study Setting: community Age (mean): 60 y	2930	BP ≥ 140/90 mm Hg or treated hypertension	47%	Frailty scale based on 4 indicators (weakness, shrinking, exhaustion, and low activity)	1 indicator=29% ≥2 indicators=8%	0 indicators =42% 1 indicator =54% ≥2 indicators =65%	Hypertension significantly associated with frailty indicators in multivariate analyses. OR for 1 indicator (vs. 0 indicators) 1.40 (1.15-2.68)- OR for ≥2 indicators 1.88 (1.32-2.68).	7
Kang (2017)	Country: Korea Name: Korea National Health and Nutrition Examination Survey Setting: community Age (mean±SD): 73±5 y	4352	BP ≥ 140/90 mm Hg or treated hypertension	62%	FI	Prefrail: 39% Frail: 44%	Robust=49% Prefrail=61% Frail=68%	-	6
Klein (2005)	Country: USA Name: Beaver Dam Eye Study Setting: community Age (range): 53-86 y	2515	BP ≥ 160/95 mm Hg or treated hypertension	47%	Frailty scale based on 5 indicators (gait speed, peak expiratory flow rate, hand grip strength, chair stand test and visual acuity)	Not reported	-	In multivariate analysis hypertension significantly associated with frailty scale in men OR for 1-point increment in scale =1.22 (1.00-1.49) and women OR=1.22 (1.02-1.46)	6
Lahousse (2014)	Country: The Netherlands Name: Rotterdam Study Setting: community Age (median): 74 y	2833	BP ≥ 160/100 mm Hg or treated hypertension	75%	CHS criteria	Prefrail: 51% Frail: 6%	Robust=71% Prefrail=77% Frail=85%	-	6

Lee (2011)	Country: China Setting: community Age (mean±SD): 72±5 y	4000	Medical records	43%	Composite frailty score (range 0-20)	Mean frailty score=12.2	-	In multivariate analysis hypertension not significantly associated with composite frailty score	5
Nadruz 2017)	Country: USA Name: Atherosclerosis Risk in Communities Study Setting: community Age (mean±SD): 76±5 y	3991	BP ≥ 160/100 mm Hg or treated hypertension	82%	CHS criteria	Frail=5%	Robust=81% Frail=92%	-	6
Ng (2014)	Country: Singapore Name: Singapore Longitudinal Ageing Studies I and II Setting: community Age (mean±SD): 67±8 y	1685	Not defined	62%	CHS criteria	Prefrail: 42% Frail: 5%	Robust=58% Prefrail=64% Frail=80%	Hypertension not associated with frailty in multivariate analysis (data not provided)	6
O'Connell (2015)	Country: Republic of Ireland Name: Irish Longitudinal Study on Aging Setting: community Age (mean±SD): 63±9 y	5692	BP reported as a continuous measure	-	CHS criteria & FI	CHS criteria Prefrail: 34% Frail: 4% Mean FI score=0.10	-	In adjusted linear regression analyses, frailty significantly associated with lower seated and standing SBP and DBP. Seated SBP -1.9 (-2.52to-1.27), standing SBP -1.79 (-2.46 to-1-13), seated DBP -1.14 (-1.51to-0.77), standing DBP -1.10 (-1.48to-0.73).	7
Ramsay (2015)	Country: UK Name: British Regional Heart Study Setting: community Age (range): 71-92 y	1622	BP ≥ 160/90 mm Hg or treated hypertension	72%	CHS criteria	Prefrail: 54% Frail: 19%	Robust=65% Prefrail=74% Frail=78%	Hypertension associated with frailty age-adjusted OR=1.79 (1.27-2.54)	6

Ravindrarahaj (2017)	Country: UK Name: Clinical Practice Research Datalink Setting: community Age: ≥80 y	144403	SBP values classified as follows (mmHg): <110, 110-119, 120-139, 140-159, ≥160	<110 =3% 110-119=7% 120-139=37% 140-159=41% ≥160=12%	FI	Mild frailty=40% Moderate frailty=21% Severe frailty=7%  Any frailty=68%	Any frailty: <110 =78% 110-119=77% 120-139=72% 140-159=64% ≥160=58%	Frailty was associated with lower BP. In participants with SBP <110 mmHg, 22% were fit, 28% had moderate frailty, and 12% had severe frailty. In those with SBP ≥160 mm Hg, 42% were fit, 16% had moderate frailty, and 4% had severe frailty.	7
Ricci (2014)	Country: Brazil Name: Fragilidade em Idosos Brasileiros Network Study Setting: community Age (mean±SD): 72±6 y	761	Self-reported or BP≥140/90 or treated hypertension	84%	CHS criteria	Prefrail: 48% Frail: 10%	Robust=81% Prefrail=87% Frail=84%	-	5
Serra-Prat (2016)	Country: Spain, Setting: community Age (mean±SD): 80±3 y	324	Not defined	71%	CHS criteria	Prefrail: 54% Frail: 14%	Robust=66% Prefrail=70% Frail=82%	Hypertension associated with frailty OR=2.24 (1.00-4.99) at univariate analysis. Association not confirmed in multivariate analysis (data not provided).	5
Tavares (2016)	Country: Brazil Name: Study of Frailty in Elderly People Setting: hospital Age: ≥ 60 y	205	Self-reported	66%	CHS criteria	Prefrail: 52% Frail: 26%	Robust=62% Prefrail=62% Frail=76%	-	4
Vaingankar (2016)	Country: Singapore Name: Well-being of the Singapore Elderly study Setting: community Age (mean): 69 y	2102	Not defined	59%	CHS criteria	Prefrail: 40% Frail: 6%	Robust=55% Prefrail=62% Frail=70%	Hypertension not associated with frailty in multivariate analysis (data not provided)	4



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Watanabe (2017)	Country: Japan  Name: Obu Study of Health Promotion for the Elderly  Setting: community  Age (mean): 71 y	4720	Self reported	46%	CHS criteria	Prefrail: 57% Frail: 11%	Robust=39% Prefrail=47% Frail=55%	Hypertension significantly associated with frailty in multivariate analysis (OR 1.43, 95% CI = 1.14–1.78)	4
Wong (2010)	Country: Canada,  Name: Montreal Unmet Needs Study  Setting: community  Age (mean±SD): 80±4 y	740	Self-reported	52.3%	CHS criteria	Prefrail: 50% Frail: 7%	Robust=47% Prefrail=55% Frail=60%	-	5
Wu (2009)	Country: Taiwan  Setting: community and hospital  Age (mean±SD): 77±6 y	90	Not defined	58%	CHS criteria	Prefrail: 62% Frail: 23%	Robust=69% Prefrail=48% Frail=76%	No significant association between frailty and hypertension at univariate analysis, OR=1.23 (0.76–1.98)	4
Yanagita (2017)	Country: Japan  Setting: hospital  Age (mean±SD): 78±8 y	132	BP reported as a continuous measure	-	Clinical Frailty Scale	Frail=42%	-	Frail participants had lower SBP values. In multivariate analyses frailty associated with significantly lower SBP values.	4

NOS = Newcastle-Ottawa Scale; CHS = Cardiovascular Health Study; OR = Odds Ratio; FI = Frailty Index; BP=Blood Pressure; SBP=Systolic Blood Pressure; DBP=Diastolic Blood Pressure

## MOOSE Checklist for Meta-analyses of Observational Studies

Item No	Recommendation	Reported on Page No
Reporting of background should include		
1	Problem definition	4
2	Hypothesis statement	4
3	Description of study outcome(s)	4
4	Type of exposure or intervention used	4
5	Type of study designs used	4
6	Study population	4
Reporting of search strategy should include		
7	Qualifications of searchers (eg, librarians and investigators)	5
8	Search strategy, including time period included in the synthesis and key words	5
9	Effort to include all available studies, including contact with authors	5
10	Databases and registries searched	5
11	Search software used, name and version, including special features used (eg, explosion)	6
12	Use of hand searching (eg, reference lists of obtained articles)	5
13	List of citations located and those excluded, including justification	5
14	Method of addressing articles published in languages other than English	5
15	Method of handling abstracts and unpublished studies	5
16	Description of any contact with authors	NA
Reporting of methods should include		
17	Description of relevance or appropriateness of studies assembled for assessing the hypothesis to be tested	5
18	Rationale for the selection and coding of data (eg, sound clinical principles or convenience)	5
19	Documentation of how data were classified and coded (eg, multiple raters, blinding and interrater reliability)	5
20	Assessment of confounding (eg, comparability of cases and controls in studies where appropriate)	NA
21	Assessment of study quality, including blinding of quality assessors, stratification or regression on possible predictors of study results	5
22	Assessment of heterogeneity	5-6
23	Description of statistical methods (eg, complete description of fixed or random effects models, justification of whether the chosen models account for predictors of study results, dose-response models, or cumulative meta-analysis) in sufficient detail to be replicated	6
24	Provision of appropriate tables and graphics	See tables and graphs
Reporting of results should include		
25	Graphic summarizing individual study estimates and overall estimate	See figures 2 and 3
26	Table giving descriptive information for each study included	See table e1
27	Results of sensitivity testing (eg, subgroup analysis)	8
28	Indication of statistical uncertainty of findings	8

Item No	Recommendation	Reported on Page No
Reporting of discussion should include		
29	Quantitative assessment of bias (eg, publication bias)	10
30	Justification for exclusion (eg, exclusion of non-English language citations)	NA
31	Assessment of quality of included studies	10
Reporting of conclusions should include		
32	Consideration of alternative explanations for observed results	10
33	Generalization of the conclusions (ie, appropriate for the data presented and within the domain of the literature review)	11
34	Guidelines for future research	11
35	Disclosure of funding source	12

From: Stroup DF, Berlin JA, Morton SC, et al, for the Meta-analysis Of Observational Studies in Epidemiology (MOOSE) Group. Meta-analysis of Observational Studies in Epidemiology. A Proposal for Reporting. *JAMA*. 2000;283(15):2008-2012. doi: 10.1001/jama.283.15.2008.

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# PRISMA 2009 Checklist

Section/topic	#	Checklist item	Reported on page #
<b>TITLE</b>			
Title	1	Identify the report as a systematic review, meta-analysis, or both.	1
<b>ABSTRACT</b>			
Structured summary	2	Provide a structured summary including, as applicable: background; objectives; data sources; study eligibility criteria, participants, and interventions; study appraisal and synthesis methods; results; limitations; conclusions and implications of key findings; systematic review registration number.	2
<b>INTRODUCTION</b>			
Rationale	3	Describe the rationale for the review in the context of what is already known.	4
Objectives	4	Provide an explicit statement of questions being addressed with reference to participants, interventions, comparisons, outcomes, and study design (PICOS).	4
<b>METHODS</b>			
Protocol and registration	5	Indicate if a review protocol exists, if and where it can be accessed (e.g., Web address), and, if available, provide registration information including registration number.	5
Eligibility criteria	6	Specify study characteristics (e.g., PICOS, length of follow-up) and report characteristics (e.g., years considered, language, publication status) used as criteria for eligibility, giving rationale.	5
Information sources	7	Describe all information sources (e.g., databases with dates of coverage, contact with study authors to identify additional studies) in the search and date last searched.	5
Search	8	Present full electronic search strategy for at least one database, including any limits used, such that it could be repeated.	5
Study selection	9	State the process for selecting studies (i.e., screening, eligibility, included in systematic review, and, if applicable, included in the meta-analysis).	5
Data collection process	10	Describe method of data extraction from reports (e.g., piloted forms, independently, in duplicate) and any processes for obtaining and confirming data from investigators.	5
Data items	11	List and define all variables for which data were sought (e.g., PICOS, funding sources) and any assumptions and simplifications made.	5
Risk of bias in individual studies	12	Describe methods used for assessing risk of bias of individual studies (including specification of whether this was done at the study or outcome level), and how this information is to be used in any data synthesis.	5
Summary measures	13	State the principal summary measures (e.g., risk ratio, difference in means).	6
Synthesis of results	14	Describe the methods of handling data and combining results of studies, if done, including measures of consistency (e.g., $I^2$ ) for each meta-analysis.	6



# PRISMA 2009 Checklist

Page 1 of 2

Section/topic	#	Checklist item	Reported on page #
Risk of bias across studies	15	Specify any assessment of risk of bias that may affect the cumulative evidence (e.g., publication bias, selective reporting within studies).	5
Additional analyses	16	Describe methods of additional analyses (e.g., sensitivity or subgroup analyses, meta-regression), if done, indicating which were pre-specified.	6
<b>RESULTS</b>			
Study selection	17	Give numbers of studies screened, assessed for eligibility, and included in the review, with reasons for exclusions at each stage, ideally with a flow diagram.	7
Study characteristics	18	For each study, present characteristics for which data were extracted (e.g., study size, PICOS, follow-up period) and provide the citations.	7
Risk of bias within studies	19	Present data on risk of bias of each study and, if available, any outcome level assessment (see item 12).	7
Results of individual studies	20	For all outcomes considered (benefits or harms), present, for each study: (a) simple summary data for each intervention group (b) effect estimates and confidence intervals, ideally with a forest plot.	7-8
Synthesis of results	21	Present results of each meta-analysis done, including confidence intervals and measures of consistency.	8
Risk of bias across studies	22	Present results of any assessment of risk of bias across studies (see Item 15).	7
Additional analysis	23	Give results of additional analyses, if done (e.g., sensitivity or subgroup analyses, meta-regression [see Item 16]).	8
<b>DISCUSSION</b>			
Summary of evidence	24	Summarize the main findings including the strength of evidence for each main outcome; consider their relevance to key groups (e.g., healthcare providers, users, and policy makers).	9
Limitations	25	Discuss limitations at study and outcome level (e.g., risk of bias), and at review-level (e.g., incomplete retrieval of identified research, reporting bias).	10
Conclusions	26	Provide a general interpretation of the results in the context of other evidence, and implications for future research.	11
<b>FUNDING</b>			
Funding	27	Describe sources of funding for the systematic review and other support (e.g., supply of data); role of funders for the systematic review.	12

From: Moher D, Liberati A, Tetzlaff J, Altman DG, The PRISMA Group (2009). Preferred Reporting Items for Systematic Reviews and Meta-Analyses: The PRISMA Statement. PLoS Med 6(6): e1000097. doi:10.1371/journal.pmed1000097

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